Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

5 C.F.R Part 2634

U.S. Office of Government Ethics

| Date of Appointment, Candidacy, Election or Nomination (Month, Day, Year) | Reporting Status Calendar Yea Check Incumbent Covered by R | | Termination Date (If Appli- cable) (Month, Day, Year) | Any individual who is required to |
|---|---|---|--|--|
| | appropriate boxes) | X or Candidate. | Filer | file this report and does so more than 30 days after the date the report is |
| Reporting Individual's Name | East Name Shah | Pirst Name and Middle Rajeev J. | Initial | required to be filed or, if an extension is granted, more than 30 days after the last day of the filing extension period. |
| Position for Which Filing | Title of Position Undersecretary, Research, Education & Education | | (If Amilicable) | |
| | Address (Number Street Cirv. State, and ZIP Code) | | Telephone No. (Include Area Code) | |
| Location of Present Office (or torwarding address) | 1551 Eastlake Ave. E, Seattle, WA 98102 | | (206) 709-3681 | the preceding calendar year except. Part If of Schedule C and Part I of Schedule D where you must also |
| Position(3) Held with the Federal a Government During the Preceding 12 Months (If No) Same as Above) | Title (of Position(s) and Date(s) Held | | | include the filing year up to the date you file. Part II of Schedule D is not applicable. |
| | | | | Dermination Ellers: The reporting |
| Presidential Nominees Subject to Senate Confirmation | Name of Congressional Committee Considering Nom Agriculture, Nutrition, and Forestry | Po You Unitendito Greate | e.a. Qualified Diversified Trust? | period begins at the end of the period. covered by your previous filing and ends at the date of termination. Part II. |
| Contineation Respectively that the spite in only University | Signature or Reporting Individual | | pats(Month) Day (ear) | or Schedule D is not applicable. |
| made on this formand all attached schedules are frige complete and correct to the best of mylenowledge. | Bag-SM | | 4-27-2009 | Nominees, New Entrants and Candidates for President and Vice President: |
| Other Review | Signature of Other Reviewer | | Date Month Day (ear) | School file A 2. The convention are of the income (BLOCK C) is the proceding calendar year and the current; dendar year up to the date of filing. A alice |
| (If desired by agency) | | | | assots as crary date you choose that is within 3 Mays of the date of filling |
| Agency Ethics Official's Opinion On the basis of information contained in this report I conclude that the file is | Signature of Designated Agency Ethics Official/Revie | wing Official | Opatie(Month. Day. Year) | Schedule Bassic applicable Schedule C. Part I (Tabbilities) |
| meompliance with applicable laws and regulations (subject to any comments in the box below)// | KHle | | 4-29-2009 | Scheinle C. Part F. Tangling. The repering period is the preceding calendar year and the current calendar year up at any date you choose that is within \$1 days of the date of films. |
| Office of Government Ethics Use Only | Similare For | | 14/5/09 5/4/09* | Schodula (*) Part II (*) masmant on Arrangements) - Show any agreements |
| Comments of Reviewing Officials of Faulthron | naissance is renutred ascentier every side of ansistrate | heck box if filing extension granted & in | ndicate number of days) | orarrangements as of the date or filings |
| * date corre | ected - WMS \$ 109 (Ch | MRG | | Schedule De-The recording meriodis is the preceding two calendar years and |
| | | o APF | R 2 9 2009 | the current calendar year up to the date of filing. Agency Use Only |
| | | | | |
| * | | (Check bar if commen | ds are continued on the reverse side) | APR 3 0 2009 |

SF278 (Rev. 03/2000) 5 C.F.R Part 2634 U.S. Office of Government Ethics Reporting Individual's Name SCHEDULE A 1 . Raieev Janardan Shah and the control of the first of the control of the Income: type and amount. If "None (or less than \$201)" is checked, no Valuation of Assets Assets and Income other entry is needed in Block C for that item. at close of reporting period BLOCK C: BLOCK B BLOCK A Amount Type For you, your spouse, and dependent children. eport each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the report-Other Date ing period, or which generated more than \$200. Mo. Day \$5,000,001-\$25,000,000 For yourself, also report the source and actual amount of earned income exceeding \$200 (other han from the IU'S Government). For your spous eport the source but not the amount of earned income of more than \$1,000 (except report the Over \$1,000,000 * Dividends Central Airlines Common Doe Jones & Smith Hometown State IRA: Heartland 500 lindex Fund Х X Starbucks Corp (Stock) 2 X Johnson & Johnson (Stock) X Ameritrade Brokerage Account 3 X X Google (Stock) Ameritrade Brokerage Account X Hersha Hospitality Trust (REIT) (HT) (Stock) 5 X 3M Company (Stock) X Ameritrade Brokerage Account

Ameritrade Brokerage Account

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

X

99 Cents Only Stores (Stock)

X

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| Reporting Individual's Name Rajeev Janardan Shah | SCHI (I | Page Number 2 | | | | | |
|--|---|---|--|--|--|--|--|
| Assets and Income | Valuation of Assets at close of reporting period BLOCK B | Income: type and amount. If "None (or less than \$201)" is che other entry is needed in Block C for that item. BLOCK C | cked no | | | | |
| Note: | Start or less Hard State \$1001 - \$15,000 \$15,004 - \$10,000 \$50,004 - \$10,000 \$100,004 - \$25,000 \$250,004 - \$1,000,000 \$250,004 - \$1,000,000 \$50,000,004 - \$2,000,000 | Type Amount Type O C C C C C C C C C C C C C C C C C C | Others Date Income (Mo. Day Specify Yr) Tybe & Only of Amount) Honoraria | | | | |
| 1 Berkshire Hathaway Inc. (Stock) Ameritrade Brokerage Account | | | | | | | |
| Chico's Fashion (Stock) Ameritrade Brokerage Account | ×. | | | | | | |
| Contango Oil & Gas (Stock) Ameritrade Brokerage Account | x | | | | | | |
| Ebay (Stock) Ameritrade Brokerage Account | x | | | | | | |
| Fairfax Financial (Stock) Ameritrade Brokerage Account | X | | * | | | | |
| Genzyme (Stock) Ameritrade Brokerage Account | x | x x | | | | | |
| Graco Inc (Stock) Ameritrade Brokerage Account | x· | | | | | | |
| Home Depot (Stock) Ameritrade Brokerage Account | × | x x x | | | | | |
| Ishares MSCI MSCI Japan Ind Fd (Stock) Ameritrade Brokerage Account This category applies only if the asset/income is sole | x | x x | | | | | |

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SCHEDULE A continued

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Rajeev Janardan Shah

(Use only if needed)

| | Assets and Income BLOCKA | | | | at ol portii | n of A ose of ng peri | na talia. | | | | othe | r entr | y is n | eede | d in | Block | BLOCK | nat ite | n, | n \$201 | ()" is | checke | d. no | And the Secretary |
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| | Nine Markel CP (Stock) | Sone tor less | × \$1,001 - \$15,000 | \$50,001 \$100,000 | \$100,001 - \$250,000 | 0.800.15.21.00.003. | \$1.000.001 | \$5,000,001 \$25,000,000 \$25,000,001 \$50,000,00 | Over\$50,000,000 | Excepted Frast | Dividends | Rentand Roy Interest | A CONTRACTOR | x None (or less than \$201) | \$207 \$1,000 | \$2,501-5,000 | mies miest | \$50,001 \$100,000 | Oyer \$1,000,000 | \$1,000,001 | Over \$5,000,000 | | | |
| | Ameritrade Brokerage Account Medtronic Inc (Stock) Ameritrade Brokerage Account | | x | | | | | | | | x | | | x | | | | | | | | | | |
| Ī | Powershares Dynamic Market Intellidex Index Ameritrade Brokerage Account | | x | | | | | | × | | | | | х | | | | | | | | | | |
| ١ | Tower Group Inc (Stock) Ameritrade Brokerage Account | | x | | | | | | | | x | | | X | | | | | | | 1 | | | |
| 1 | DFA Invt Dimensions Group US Vector Equity-DFVEX Ameritrade Brokerage Account | | × | | | | | | × | | | | | 100000000000000000000000000000000000000 | | | | | | | 1 | | | |
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| | 401K - Allianz RCM Tech Fund This category applies only if the asset/income is | | x | | | | | | × | | | | | x | | | | | | | | | | |

SF278 (Rev. 03/2000)

| 5 C.F.R Part 2634 U.S. Office of Government Ethics | |
|---|---|
| Reporting Individual's Name | Page Number |
| Rajeev Janardan Shah | SCHEDULE A continued 4 (Use only if needed) |
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| | | aluation of Ass | 2 | | 56.52.54 | | | 7 15 E E E | | Stational W | | |
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| Assets and Income | | | Income: other ent | tvpe ry is n | and amou eeded in I | nt. If "Non Hock C for BLOC | that item. | an \$201)" i | s checked: no | | | |
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| | Note ordes dam \$100 \$1401 - \$15,000 \$15,001 - \$54,000 | \$100,001 - \$250,000 \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000,000 * | \$5,000,001-\$5,000,000 \$5,000,001-\$50,000,000 \$55,000,001-\$50,000,000 Over \$50,000,000 | Excepted fine-siment band Excepted Frust Fulfield Frust | Dividents, Rentand Roy littles 11 Interest | apried Cams | None (or less than \$201) \$201. \$1,000 \$1,001. \$2,500 | \$2500-\$55000 \$5000-\$15000 \$15000-\$5000 | \$50,001 \\$700,000 \$100,001 \\$1,000,000 | \$1000.00T = \$5,000.000 Over \$5,000,000 | (Specify Type & Actual Amount) | (Mo. II Only Honor |
| Non- | 1 | 125 124 00, | (\$) (\$) (\$) | 10 Po | | | \$ \$ \$ | 18 | 058 | 5 6 | | |
| 401K - American Balanced Fund (RLBFX) | x | | | × | | | x | | | | | |
| 401K - T Rowe Price Equity Inc | x | | | x III | | | x | | | | | |
| 401K - Mutual Beacon | x | | | | | | x | | | | | |
| 401K - DWS RREEF Real Estate | | | | x I | | | x | | | | | |
| 401K - BlackRock Large Value | | X | | × | | | x | | | | | |
| 401K - MidValue Fund (JEMUX) | x | | | × | | | x | | | | | |
| 401K - Total Stock Market Index Fund (JETSX) | x | | | | | | x | | | | | |
| 401K - International Value Fund (JIVIX) | | | | | | | x | | | | | |
| 401K - International Equity Index Fund (PIEQX) | × | | | × | | | × | | | | | |

mark the other higher categories of value, as appropriate.

| Reporting Individual's Name Rajeev Janardan Shah | SCHEDULE A continued (Use only if needed) | | | | | | | | 5 | | | | | | | | | | | | |
|--|---|---|---|--|------------------------------------|---|------------------------------|--|-----------|--|---|----------|------------------|---|-----|-------------------|--|---|---------------------------------|--|--|
| Assets and Income | | Valuation of Assets at close of reporting period BEOGER | | | | | | Income: type and amount. If "None (or less than \$201)" other entry is needed in Block C for that item. BLOCK C Type Amount | | | | | | | | | | | | | |
| | None (or less than Slatter) | \$1,001-\$15,000 \$15,001-\$50,000 | \$50,001 - \$100,000 \$100,003 - \$250,000 | \$250,001 - \$500,000 \$300,001 - \$1,000,000 | OverStiguedour Stigueour spagno | \$5,000,001-\$25,000,000 \$25,000,001 \$50,000,000 | Exempled fixes trained frame | Quanticatrant | | | None (or less than N201) \$201 - \$1 000 | 1-\$2500 | \$5.001_\$15.000 | | 000 | Over \$1,000,000% | | Other Income (Spear) Type &c. Actual Amount) | Date (Mo. Do Ye) Only I Honorai | | |
| 1 Rental Property - DC Washington, DC (Residential) | | | | | | | | | | | | | | × | | | | | | | |
| 2 Rental Property - Philadelphia Philadelphia, PA | | | | x | | | | | x | | | | | × | | | | | | | |
| 3 Blank | | | | | | | | | | | | | | | | | | | | | |
| 4 529B for Dependent Child # 1 T. Rowe Price Alaska Fund Portfolio 2024 | | x | | | | | × | | | | × | | | | | | | | | | |
| 5 529B for Dependent Child # 2 T. Rowe Price Alaska Fund Portfolio 2027 | | x | | | | | X | | | | × | | | | | | | | | | |
| Savings Account - Citibank | | × | | | | | | | | | x | | | | | | | | | | |
| 7 Savings Account - Wells Fargo | | x | | | | | | | 100 miles | | x | | | | | | | Honoraria | | | |
| 8 Texas Pacific Group Whistler, BC, Canada | | | | | | | | | | | | | | | | | | 10,000 | 6/27/0 | | |
| 9 AlM Invt Funds - GL Healthcare CL A 401K * This category applies only if the asset/inco | | × | | | | | X. | | | | | x | | | | | | | | | |

5 C.F.R Part 2634 U.S. Office of Government Ethics Reporting Individual's Name Page Number SCHEDULE A continued 6 Rajeev Janardan Shah (Use only if needed) Assets and Income Valuation of Assets Income: type and amount. If "None (or less than \$201)" is checked, no at close of other entry is needed in Block C for that item. reporting period BLOCK A BLOCK C Type Amount Date Mo. Day Other \$5,000,001 - \$25,000,000 Specify Type & Actual Only it \$50,001 - \$100,000 Over \$1,000,000 * \$50,001 - \$100,000 Over \$1,000,000* Honoraria Bill and Melinda Gates Foundation Seattle, WA Salary 2008 259,168 Salary 2009 46,666 3 Bill and Melinda Gates Foundation S Seattle, WA Salary Employment Columbia Value & Restructuring Fund X 401K 5 Seattle Community College Seattle, WA 1,400 Salary 2008 Money Market Account X Ameritrade Brokerage Account 7 Cash Merger Sleep Country Canada Ameritrade Brokerage Account 9 * This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children,

mark the other higher categories of value, as appropriate.

SF 278 (Rev. 03/2000) 5 C.F.R Part 2634

Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

| U.S. Office of Government Ethics Reporting Individual's Name Rajeev Janardan Shah | | SCHEDULE B | | | | | | | | | Page | Number | 7 | |
|--|--|--|---|------------------------------|----------------------|---|---------------------|-----------------------|---|--------------------|-------------------------------------|-------------------------|--|---------------------------------------|
| Part I: Transactions Report any purchase, sale, on exchar | Marketine market and the second secon | port a transaction involvi | ng propertiested coleb | as your E True | 18action | | None | |] | ount of T | ransactio | n (V) | | |
| or dependent children during the rep property stocks; bonds, commodity securities when the amount of the fr \$1,000 Include transactions that res | orting period of any real. In futures, and other yansaction exceeded di uited in a loss Domoi c | ersonal residence, or a trai our spouse, or dependent o rvestiture iblock to indical errificate of divestiture fro h or assets | nsaction solely between childs Check the "Gert te sales made pursuant in OGE." | You, Ty ficate of to a Land | Sale ad (x) Exchange | Date (Mo., Day, Yr.) | \$1,001 \$75,000 | \$50,000 | \$100,001 - | | \$1,000,000 DVer \$1,000,000* | | \$25,000,000 - \$25,000,001 - \$50,000,000 | SSO(200.000) Certificate of divestime |
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| *This category applies only if the un by the filer or jointly held by the filer Part II: Gifts, Reimbur For you your spouserand depend from and the value of the gifts so | with the spouse or dependent resements, and Tra | children, use the other his wel-Expenses | ndent children. If the u gher categories of value | e, as appropriate. | s Gover | nment giv | en to v | our agent | y inco | inecuio) | i with o | nlichaltica | vel. | |
| from and the value of the fifty of food or entertainment; received 1/2 http://elarchied.cash.rembursetian 8/60/Hors conflicts analysty as personal thend agency appropautions; yet a Poptra elarchied. | rom one source folding inc nears received from one sor it is helpful to male ate a bi arningen SUSIC 884 (11) o | reshan \$ 260} and irc∈ tolaling more Sis forrecopt, such cother standory | | indene The dor total v | ndem of lors resi | elamves, it their relan dence: Als a one sources | onship o. tora | nto vouve surposes | r provid Daggre | led as p gating | ersonal attisaon | hospitalit letermine | v ato the | |
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Prior Editions Cannot Be Used.

SF 278 (Rev. 03/2000) 5 C.F.R Part 2634 U.S. Office of Government Ethics

| R | eporting Individual's Name Rajeev Janardan Shah | | | | | | | | | | | | | | | each |
|---------|--|--|--------------------------|------------------|--|----------|----------|-----------|-------------------|--------|---------|----------|---------|----------|------------|--------|
| PR | Part I: Liabilities eport liabilities over \$10,000 (wed)to | any one creditor as personal residence unless it is rented out Joans secure | CONTRACTOR TO CONTRACTOR | No | ne | 1 | | | Category | of Air | gount o | ir Vallu | ig (૪) | | | |
| OI | ny time during the reporting period by dependent children. Check the bight uring the reporting period. Exclude a | est amount owed | | Interest Rate | Termif appli cable | 1000 | 001 | 0.000 | 0.000 | 0,000 | 000.000 | .000.000 | 960.901 | 000,000 | 000,000 | |
| | Creditors (Name at xamples: First District Bank; Washing tohn lones; 1239 St.; Washin | on DC Moregage on rental property, Delaware | 1991 1950 | | 25 yr on demand | is is | 5.2 | \$ \$ | 3 % S | 3 3 | 5 II (| 6 G | 38 | 53 °S4 | 0.13 | 00 I |
| 1 | Wells Fargo Bank Seattle, WA | Mortgage on rental property, DC | 2003 | 5.875% | The state of the s | | | | | x | | | | | | 1500 |
| 2 | | Mortgage on rental property, Philadelphia | 2005 | 5.75% | 30 yrs | | | | | × | | | | | | |
| 3 | Sallie Mae AES | Student Loans [spouse] Student Loans [spouse] | 2003 1997 | 4.0% 3.2% | 3/2008 3/2008 | х | х | | | | 7 | \dashv | 7 | | | |
| 5 | | | | - | | \vdash | \vdash | \dashv | - | + | + | + | + | - | \dashv | _ |
| | | ity is solely that of the filer's spouse or dependent children. If the liability is that or mark the other higher categories, as appropriate. | f the filer or a jo | int liability | of the filer | _ | | | | _ | | - | | _ | | _ |
| P Re | art II: Agreements or port your agreements, or arrangen iployee benefit plan (e.g. 401k) de | THE STATE OF THE S | | |) future on any of the | | | | | | | | | ming | | |
| | xample Pursuantto partnership agre | | | | | Jones a | Smith | Homer | articy awn Sta | | Ŋω | ie L | X | | Dai 7/8 | 6 5 |
| | my 401k and my spouse's 401k | nd Melinda Gates Foundation (Foundation), the Foundation will make one on the 1st day of the quarter following my termination. The Foundation will be will roll over these 401ks following receipt of this contribution. | | | | | | | | | | | | | 200 |)1 |
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Prior Editions Cannot Be Used.

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U.S. Office of Government Ethics Reporting Individual's Name SCHEDULE D Rajeev Janardan Shah Part I: Positions Held Outside U.S. Government Report any positions held during the applicable reporting period, whether consultant of any corporation, firm, partnership, or other business enterprise or any compensated or not Positions include but are not limited to those of an officer, non-profit a reality attors on educational justifition. Exclude positions with relia our social, fraternal, on political entities and those solely of an honorary nature. director, trustee, general partner, proprietor, representative, employee, or Type of Organization Position Held From (Mo., Yr.) To (Mo., Yr.) Natl'Assn. of Rock Gollectors INY NY Doe Jones & Smith, Hometown State Non-profit education President Present Law him Partner 1 Bill & Melinda Gates Foundation in Seattle, WA Foundation Director 2001 present 2 | Seattle Community College District in Seattle, WA Community College System Trustee 2006 present 3 Seattle Public Library in Seattle, WA Public Library 2006 Trustee present 4 AGRA in Nairobi, Kenya Nonprofit - Agricultural Development 2007 Trustee present 5 Project IMPACT for South Asian Americans in Philadelphia, PA Nonprofit Trustee 2001 present 6 Part II: Compensation In Excess Of \$5,000 Paid by One Source Do not complete this part if you are an incumbent. organization when you directly provided the services generating a tee or payment of anore than \$5,000. You need not report the U.S. Government as a source Termination Filer, or Vice Presidential or Presidential Candidate None a Doe Jones & Smith, Hometown State Metrodeniversity (client of Doe Iongoto Smith); Moneyrown, State Legal services in connection with liftiversity construction, 1 Bill & Melinda Gates Foundation in Seattle, WA Director of Agricultural Development team 2 Texas Pacific Group in California Guest speaker at Annual Syposium 3 5 Prior Editions Cannot Be Used.