SF278 (Rev. 03/2000) S C.F.R Part 1634

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved: OMB No. 3209-0001

U.S. Office of Governmen	l Buhles	
--------------------------	----------	--

Date of Appointment, Candidacy, Election or Nomination (Month, Day, Year)	Reporting Status	Incumbent	Calendar Year Covered by Report	New Entrant Normines	Termination	Termination Date (If Appli- cable) (Month, Day, Year)	Any individual who is required to
5/18/09 (88.5/15/4)	appropriate bases)		Coverage by Report	X or Candidate	Filα		file this report and does so more than
3/10/64 (3 1101 -17	Last Namo			First Name and Middle	(A(SA)		30 days after the date the report is required to be filed, or, if an extension
Reporting Individual's Name	Newell				: 011031		is granted, more than 30 days after the
			•	Richard G.			last day of the filing extension period
Position for Which Filing	Tirks of Position			Department of Agency			shall be subject to a \$200 fee.
r osmon for what i ming			ion Administration	Department of En			Reporting Periods
Location of Present Office	Address (Number	Street, City, State.	and ZIP Code)		Telephone No. (In		the preceding calendar year except
(or forwarding address)	Duke U., 8ox 9	90227, Durham,	NC 27708		919-681-8865		Part II of Schedule C and Part I of
Position(s) Held with the Federal	Title of Position(s	l and Dase(s) Held				· ·	Schedule D where you must also include the filing year up to the date
Government During the Preceding	Title of Fosting	110.0					you file. Part II of Schedule D is not
12 Months (If Not Same as Above)							applicable.
	T3 - 10			18. V. 1 UTX **			Termination Filers: The recoging
Presidential Nominees Subject to Senate Confirmation			onsidering Normmation	Do You Intend to Crea		inco inist?	period begins at the end of the period covered by your provious filing and ends
	Committee on	Energy and Nat	ural Resources	Yes	× No	ם	at the date of termination. Part II
Certification	Signature of Repo	nine lodividual			Uale (Month, Day	, Jeår)	of Schedule D is not applicable.
[CERTIFY that the statements I have		1001	. 1				Nominees, New Entrants and
made on this form and all attached schodules are true, complete and correct	1 /2:0	L L/X/A	\mathcal{N}		May 5	2009	Candidates for President and Vice President:
to the best of my knowledge,	4	70.	•		To the second second	, ,	Schoolale A. The renomina period for
	Signature of Othe	Reviewer	1		Data (Month, Day	Year)	income (BLOCK C) is the preceding calendar year and the current calendar
Other Review	[[[7. Ca			5/10	100	year up to the date of filing. Value
(If desired by agency)	1	W GU	MI		1 3//8/	107	assets as of any date you choose that is within 31 days of the date of filing.
Agency Ethics Official's Opinion	Signature of Design	mated Agenmy Ethic	s Official/Reviewing (\AT-12)	Date (Month, Day	· Vues	」
On the basis of information contained	Signature of CLSI	CHARLE ARENCY CHIN	S ODICEDICOTIC WINE C	Miciel	Date I Month. Day	_180/3	Schedule R—Norannlinable
in this report, I conclude that the filer is in compliance with applicable laws and	.)	5	0 1		5/18/0	Q	Schedule C. Part I (1 inhibites) The reporting period is the preceding
regulations (subject to any commonis	Allo	an Flo	Seal		6/10/0	/	calendar year and the current calendar
in the box below).	Signature	2~			Date (Month Day	(Year)	year up to any date you choose that is within 31 days of the date of filing.
Office of Government Ethics Use Only	-7/	1		_		1	1
	/5	VW 8	Lund	. <i>'</i>	5/20	109	Arrangements) - Show any agreements
Comments of Reviewing Officials Of addition	ional snace is requir	red use the reverse	side of this sheet)		<u> </u>		or arrangements as of the date of filing.
			(Check b	ox lf filing extension granted o	& Indicate number of	days)	
							Schedule D-The recording period is the proceeding two calendar years and
							the current calendar year up to the
							Agency Use Oping
						•	MA 0 6 tons
							OGE Uso Only
				(Check bax if comm	sents are continued or	n the reverse side)	MAY 19 2009

5 C.F.R Part 2634 U.S. Office of Government Ethics Reporting Individual's Name Page Number SCHEDULE A 2 Richard G. Newell Valuation of Assets Assets and Income Income: type and amount. If "None (or less than \$201)" is checked, no at close of other entry is needed in Block C for that item. reporting period BLOCK 8 BLOCK A BLOCK C Amount For you, your spouse, and dependent children, Type report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the report-Date Other ing period, or which generated more than \$200 Mo., Day. in income during the reporting period, together Income \$5,000,001 - \$25,000,000 000,000,25 - 100,000,12 Yr.)with such income. None (or less than \$201) (Specify \$1.000,001 \$5,000,000.12 \$500,001 - \$1,000,000 \$100,001 - \$1,000,000 Турс & \$100,001 - \$250,000 000'0058 - 100'0575 For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your soouse, report the source but not the amount of earned Only if \$50,001 - \$100,000 Acquei Over \$1,000.000 * 550,001 - \$100,000 Over \$50,000,000 Over \$1,000,000* \$1,001 - \$15,000 Over \$5,000,000 Amount) Honoraria Excepted Trust \$5,001 - \$15,000 \$1,001 - \$2,500 \$281 - \$1,000 Dhridends income of more than \$1,000 (except report the Interest actual acount of any honoraria over \$200 of your spouse). None Contral Aurlines Common X Examples Doe Jones & Smith, Hometown, State Law Datemark bases 1170.00 Kempstone Equity Fund IRA: Heardand 500 Index Fund 1 Symantec Common 2 Dean Foods Co. Common × 3 Deere & Co Common X 4 H&Q Healthcare Investors Fund 5 Hawlett-Packard Co Common Х 5 Invacare Corp Common X

This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent

children, mark the other higher categories of value, as appropriate.

SF278 (Rev. 03/2000) S C.F.R Part 2634

U.S. Office of Government Ethics																													-		
Reporting Individual's Name										80	HE	DU	ILE A	A c	onth	nue	d												Pi	age Number 3	
Richard G. Newell															neede		·														
	$\overline{}$		-								$\overline{}$	_	.	_									_							•	
Assets and Income			.\		ation at cl	ose	٥f								ncom ther er												\$20	01)"	' is	checked, no	
BLOCK A		BLOCK C BLOCK C																													
	Name (at Jess Man \$1,001)	51,061 - 515,000	\$15,001 - \$50,000	550,001 - 5100,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	51,880,601 - \$ 5,008,000	55,000,0001 - 525,000,000	\$25,400,401 \$50,000,000	Over \$50,000,000	Excepted threstment frond	Excepted Trust Onalitied Trust	1.00	Rent and Rayattics			None (or less than \$201)	\$201 - \$1,000	51,001 - 52,500	42,591 - 55 NOB		815,001 - 850,000	550,001 - \$100,000	\$100,001 - 51.000,000	Over \$1,000,000*	\$1,000,001 - \$5,990,000	Over 55,000,000		Other Income (Specify Type & Actual Amount)	Date (Mo., Dav. Yr.) Colly if Honoraria
None		٦		٣					5				_	ľ		_		Z		5		S		8		0		0]		
i LSI Carp Common		x												T				×											T		
2 Mcafee Inc Common																		×		200000000000000000000000000000000000000									Ť		+
3 The Mexico Fund (MXF)		x						The court of				×		7						X											
4 Parametric Technology Corp Common		X						1						***************************************				×													
5 Transocean Ltd Common		х												No series de				×											T		
8 Treehouse Foods Inc Common		х																х											1		
7 Western Digital Common		×												Dan March				×								-					
a DWS Latin America Fund			X									×								×											
9 Janus Worldwide Fund		x	*			4						×						×													
This category applies only if the asset/incommark the other higher categories of value, as app		-	oft	he fi	dr's s	pous	cor	icpe	nden	l chi	ldra	n. If	the as	sci	/incom	e is e	ithe	r tha	r ol	the i	iler	or jo	intly	y hel	d by	the	ប៊ីer	with	h th	e spouse or depe	ndent children,

SF279 (Rev. 0)/2000)

S C.F.Et Pan 2634

U.S. Office of Government Ethics

	porting Individual's Name										_			_												_					75	age Number		
	chard G. Newell										S			ULE					đ													age Number 4		
					_		_						Jse	oul	yц	ne	eae	:a)																
	Assets and Income	Valuation of Assets at close of reporting period BLOCK B Income: type and amount. If "None (or less than \$201)" is other entry is needed in Block C for that item. BLOCK C											checked, no																					
	DECER	Type Amount																																
		None (or test than \$1,001)	\$1,001 - \$15,000	\$15,091\$50,000	\$50,001 - \$100,000	\$760,501 - \$250,000 \$250,001 - \$500,000	\$250,001 - \$200,000	SCHOOL SI CHICAN	St. #H0.001 - \$.5.005.000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Bivestment Fund	Excepted Trust	Qualified Front	Dividends	Rest and Royalties		Coffine Cains	None (or less than \$201)	5201-51,900	\$1,001 - \$2,500	(2,50) - \$5,000		\$15,001 - \$50,000		900	Over \$1,000,000*	\$1,000,000 - %5,000,000	Over 65 MM MM	OVET 35,840,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Do Yr.) Only i Honora	σν. if
	None									N. Carlotte												-	A					_						
3	Mutual Qualified Z (MQIFX)			X									×									×												
2	Fidelity Four-in-One index Fund	i versione					×			ON LIKE			Š×.									×											-	
3	Fidelity Tax-Free Money Market Fund				8 000000000	*							100000									X									Ť			
4	Alcalel Lucent ADR Common		x																	×														_
δ	Asia Pacific Fund (APB)		X							Ž										×											1			
б	Templeton Dragon Fund												*							×														
7	Vanguard Health Care Fund		×				- C.						9 x								100	X												
	NYSAVES 529 Coilege Fund Aggressive Growth Portfolio	27.			X								×							×														-
	NC 529 Coflege Fund Aggressive Growth Fund		x															-		×										36				
	This category applies only if the asset/income is			al of t	he f	ilers	spoi	use o	r dep	ende	nt ci	hildn	en.	(he	BSS	מוֹעבּ	mos	e is e	ither	r tha	t of	ihe i	गर्भा	or jo	int	y he	d by	the	liler	wil	አ ሁ	ne spouse or depen	lan chilor	ren,

SF278 (Rev. 03/2000) 5 C.F.R Part 2634

V.S. Office of Government Ethics Reporting Individual's Name SCHEDULE A continued																														
Reporting individual's Name										SCE	ED	ULI	EΑ	co	nti	me	be												Page Number 5	
Richard G. Newell										-	Use						-												,	
		_										OIL	.y 1.	- 116	~~u	<u>, u)</u>									_					
Assets and Income			V:	alu	itlor	101	Ass	ets						Inc	om	e: h	/DE:	and	amo	unt	Ιſ	ייעיי	ne t	(or)	ess	than	\$2/)1\"	is checked, no	
					at cle														ed in									,	iv energed no	
BLOCK A				rep	ortin BLO																	вιο	сĸ	c						
BBOOKA	Type Amount																													
												Other	Date																	
	æ							51,000,001 - \$ 5,000,000	9		Excepted Investment Pand							Ŷ									9		Income (Specify	(Mo., Day, Yr.)
			. .	9	8			3	\$5,000,001 - \$25,000,000		2				0	100		(1025 1						0	B		\$1,000,001 - \$5,000,000		Type &	Only if
	None (or less tha	8	\$15.001 - \$50.000 \$50.001 - \$100.000	\$180,001 - \$250,000	\$250,001 - \$500,000	ssen,001 - \$1,000 en	Over \$1,000.000 *		5,5	Over \$50,000,000		181	1		Rent Royalt	Dorm		None (or less than	و ا	2 8		3 🛭		\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	5	8	Amounti	Honoraria
	168	81,001 - \$15,000			- 2		8	3	5	3		Excepted Trust	Qualified Trust	73			Capital Cains	2	5203 - 51,000	31,001 - 32,500		900,818 - 100,83	15.1MI - S-1 MI	510		199		Over \$5,000,000		
	0	-10	8 8	1	ş	Ē	r SI		8	55	1	epte	Ē	Dividends	2	Interest		10) a		-		-		9	Ę	121,	5	33		
	ž	015	3 5		22	#	ŏ	9	22	కే		Exc	ā	Ä	Be.	Inte	ē	Non		0,10		2		520,		Ove		Over 0		
None		8														2000						2								
Duke Uuniversity Durham, NC																							, w						Salary \$246,088	
2 Resources for the Future				1												-		\Box		Ĭ			1						Consulting	
Washington, DC																000000													\$118,215	
3 Brookings Institution																1							Î						Paper honoraria	
Washington, DC																200													\$12,677	11/1/08
4 Harvard University														П															Paper honoraria	04400
Cambridge, MA																1				0000									\$3,000	2/1/09
5 Organization for Economic Cooperation and Development		0.00											8																Paper honoraria	4/1/09
Paris, France																				3000		_							\$4,011	4/1/08
6 Freelance Editor (spouse)		design											8							2000		2						-		
													1		*							0000								
7 Fidelity Municipal Money Market Fund	X	2000											2	Г						X		2000			ş,					
																				2000									<u> </u>	
8		SON SO											S							000										
													3									9000								
9		0.0000											2							2000		2000								
					Š									L				Ц		0000				_						
* This category applies only if the assertineome is mark the other higher categories of value, as approp	•	that	of the	e file	x's ap	ouse	or d	cpen	dent o	hild	en.	f the	258	el/in	com	c is c	ithe	r մեն	ા હાં દો	he fi	icr o	r jai	пиу	hei	by	the I	iler v	with	the spouse or depen	dent children,
Livery the order thisten cateRates or variet its abbitch	n (91¢"																													

SF 278 (Rm. 03/200	(0)
5 C.F.R. Paπ 2634	

Do not Complete Schedule B if you are a new entrant, nomines, Vice Presidential or Presidential Candidate

U.S. Office	à of Government Ethics																		
Reporting	g Individual's Namo													Page	4nwper	r			
Richard	d G. Newell		SCHEDULE	В													6		
Part	I: Transactions							None	;										
Report of	eny purchase, sale, or exchang ndeat children during the repo	ge by you, your spouse,	report a transaction involving property used solely as your personal residence, or a transaction solely between you,	,	ansacii	-					Αī	ס ותשטח	of Trans	action	(x)				
property	y, stocks, bonds, commodity is es when the amount of the ira include transactions that rest	futures, and other insaction exceeded	your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.	Purchese		Exchange	Dale (Mo Day, Yr.)	\$1,001 -	\$15,001 - \$50,000	.00.000	\$100,001 - \$250,000	5250,001 · 1500,000	\$600,001 - \$1,000,000	Over \$1,000,000*	\$1,000,0001 - \$5,000,000	\$5,000,000 - \$25,000,000	\$25,000,001 - \$50,000,000	Dver \$50,000,800	Catibale of divestium
		Sdentific	ation of Asses	3	,Sak	ă		\$1.5	₹ 5%	22 02	25 25	हु है।	3 E	8 3	2 2	2 8	N 8	250 Oce 1	E 3
£x£	emple: Ceanol Aldines Common	1		x			2/1/99			x									
1							}											\	
2		_		+-								М					Н	7	
3				+-				+	 			├─┤	\vdash	\vdash	\vdash	\vdash	Н		
4				+						_	ļ		\sqcup			 	<u> </u>		
										L_			Ш		_			}	
5						ļ													
• This c	caregory applies only if the un	deriving asset is solely the	u of the filer's spouse or dependent children. If the underlying	isset is	cither	held			<u> </u>										
	0 / /		dom children, use the other higher categories of value, as appro																
Part	II: Gifts, Relmbu	rsements, and T	ravel Expenses																
tion, as food of (2) travithan Sa	u, your spouse and dependent the value of: (1) gifts (so or entertainment) received for elated each reimburser 260. For conflicts analysis, sonal friend, agency approxity, etc. For travel-related (1), etc. For travel-related (1)	uch as tangible items, a from one source totaling ments received from one, it is helpful to indicate al under 5 U.S.C. § 411	ansportation, lodging, g more than \$260; and e source totaling more a basis for receipt, such 1 or other statutory	rece inde the c total	lved to pende lonor value	from ent o 's res	rnment; gi relatives: I f their rela sidence. Al m one sour usions.	receive tionshiso, for	ed by ip to y	oses o	pouse prov	e or de rided a regation	epende as pers ng gifi	ent ch sonal is to d	nild to hospi det ern	nally itality nine d structi	at he		1
	and the nature of expenses																		•
	Source (Name an	nd Address)		B	ief De	se ripu	ion										Т	Value	1
Éxa	mples: Nat'l Assn. of Rock Colk Frank Jones, San Francis		Africa ticket hotel room & meals incident to reational conference 6/	5/99 (0	nzona)	activ	lis muse pred	ιο σηγή)									F	\$300 \$300	
1																	1		
2		-															+		
3																	+		
1																	+		
5																	↓ _		
																	<u> </u>		
Prior Ed	fillons Cannor Be Used.																		

1								-			Page N	lumber	_		
	SCHEDUI	LE C											7		
															=
•	•		No	ne X				Categ	ory of	Amoun	or Val	ue (x)			
					+		1		<u> </u>	T	Т	T ₄		14 5	
norteaec on vour	See Instructions for revolving charge accounts.	Date Incurred	ioteresi Rate	Term if	000	. 000	001.	0000	. 1000	-100,00	00.00	50,000 50,000	100,000	000,000	1
Address)	Type of Dability			Capite	\$10,	\$15.	550.	E H	22.25	18 E	8 5	25.03	8 8	\$ 3	8
ton, DC	Mongage on rental property, Delaware Promissory note	1991	8%	25 yrs.			×	-	- <u>-</u> -						F
	-										† È		 		
	-	+				\vdash	-	1	1	†	 				\vdash
· · ·	-					\vdash	1					-			T
									 	1-			-	-	一
													<u> </u>	Ц.	辶
		filororajo	int liabils	y of the filer	•								,		
Arrangements															
ents for, continuing pa	(2) continuation			•						J	Ū	o rep	onin	5	
				r							None	L,		T =	
	<u> </u>			DA	- los	& Cmi	(h Hos								Date 1/85
ed through 1/00.		ā													
				Du	ike Un	iversi	ty, Du	ırhəm	, NC					1/2	2007
versity's retirement pla	n. however no contributions will be made during my Fe	deral servi	¢e.											₩	
									•					1	
													_		
	•													+	
														<u> </u>	
	y is solely that of the file y is solely that of the file ark the other higher cate trangements ents for, continuing prepried compensation; iding severance payth Status and Terms of any ment, will receive lump sue of through 1/00. I take an unpaid leave	personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. Address) Address) Type of Liability DC Mongage on rental property, Delaware Promissory note Promissory note Promissory note Trangements ents for: continuing participation in an ferred compensation; (2) continuation iding severance payments; (3) leaves Subus and Terms of any Agreement or Atrangement ment, will receive lump sum payment of capital account & participation as Professor at Duke Unitake an unpaid leave of absence from my position as Professor at Duke Unitake an unpaid leave of absence from my position as Professor at Duke Unitake an unpaid leave of absence from my position as Professor at Duke Unitake an unpaid leave of absence from my position as Professor at Duke Unitake an unpaid leave of absence from my position as Professor at Duke Unitake an unpaid leave of absence from my position as Professor at Duke Unitake an unpaid leave of absence from my position as Professor at Duke Unitake an unpaid leave of absence from my position as Professor at Duke Unitake an unpaid leave of absence from my position as Professor at Duke Unitake an unpaid leave of absence from my position as Professor at Duke Unitake an unpaid leave of absence from my position as Professor at Duke Unitake an unpaid leave of absence from my position as Professor at Duke Unitake an unpaid leave of absence from my position as Professor at Duke Unitake an unpaid leave of absence from my position as Professor at Duke Unitake an unpaid leave and a participation a	by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See Instructions for revolving charge accounts. Date Incurred Address) Type of Dability DC Mongage on rental property, Delaware Incurred Type of Liability Incurred Mongage on rental property, Delaware Incurred Type of Liability is that of the filter or a jet wark the other higher categories, as appropriate. Arrangements ents for, continuing participation in an of absence form continuing severance payments); (3) leaves Status and Terms of any Agreement or Arrangement ment, will receive lump sum payment of capital account & partnership share of through 100. I take an unpaid leave of absence from my position as Professor at Duke University. In	ny one creditor at personal residence unless it is rented out; leans secured No you, your socuse. by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. Date Interest Pate Pate	personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See Instructions for revolving charge accounts. Date Incurred Rate applicable Address) Type of Dability Doc Mongage on rental property, Delaware 1991 8% 25 yrs. Ion, DC Promissory note 1999 10 % on demand y is solely that of the filter's spouse or dependent children. If the liability is that of the filter or a joint liability of the filter ark the other higher categories, as appropriate. Arrangements ents for: continuing participation in an of absence; and (4) future are forced compensation; (2) continuation ding severance payments; (3) leaves Status and Terms of any Agreement or Arrangement ment, will receive tump sum payment of capital account & partnership share. It also an unpaid leave of absence from my position as Professor at Duke University, I will De-	y one creditor at personal residence unless it is rented out; loans secured y automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See Instructions for revolving charge accounts. Date Instructions Rate applicable with applicable applicable. Address) Type of Liability Dies Mongage on rental property, Delaware 1991 8% 25 yrs. Jon. DC Promissory note 1999 10 % on demand 1999 10 %	personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and amount owed liabilities owed to certain relatives listed in instructions. See Instructions for revolving charge accounts. Date laterest Term if Rate appliances; and laterest popular popul	personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts. Address) Type of Liability Dec. Mongage on rental property, Delaware Inour Dec. Promissory note Promissory note Type of Liability is that of the filter's spouse or dependent children. If the liability is that of the filter or a joint liability of the filter ark the other higher categories, as appropriate. Arrangements Cents for continuing participation in an ferred compensation; (2) continuation unding severance payments); (3) leaves Status and Terms of any Agreement or Arrangement ment, will receive lump sum payment of capital account & partnership share. In the liability is that of the filter or a joint liability of the filter of the gottations for any Agreement or Arrangement ment, will receive lump sum payment of capital account & partnership share. In the liability is that of the filter or a joint liability of the filter Arrangements Doo Jones & Smith, Hord through LDD. Do Jones & Smith, Hord through LDD. Do Lones & Smith, Hord through LDD. Do Lones & Smith, Hord through LDD. Do Lones & Smith, Hord through LDD.	by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts. Date interest Term if a population of the filter or a point liability of the filter or a joint liability of the filter park the other higher categories, as appropriate. Act rangements Arrangements Entitle of the filter's spouse or dependent children. If the liability is that of the filter or a joint liability of the filter park the other higher categories, as appropriate. Arrangements Entitle of any Agreement or Arrangement for capital account & partnership share of three or a point power of absence from my position as Professor at Duke University, I will Duke University, Durham	personal residence unless it is trented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See Instructions for revolving charge accounts. See Instructions for revolving charge accounts. Date Instructions Rate applicable (applicable) and the filter of a joint liability of the filter or a joint liability of the filter ark the other higher categories, as appropriate. Acting on the filter's spouse or dependent children. If the liability is that of the filter or a joint liability of the filter ark the other higher categories, as appropriate. At Trangements Instructions of absence; and (4) future employment. See instructions of negotiations for any of these arrangements or benefits discussed in the filter or a point liability of the filter are application of negotiations for any of these arrangements or benefits of the filter or any participation of negotiations for any of these arrangements or benefits of the filter or any participation of negotiations for any of these arrangements or benefits of the filter or any position as Professor at Duke University. I will need to university, Ourham, NC reasity's retirement plan, however no contributions will be made during my Federal service.	personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See Instructions for revolving charge accounts. Date Incurred Rate applicable (applicable points) Address) Doe Mongage on rental property, Delaware 1991 834 15 yrs. Jon, DC Promissory note Promissory note Promissory note If the liability is that of the filter or a joint liability of the filter are demand on the filter or a point liability of the filter are demand of negative property. At the other higher categories, as appropriate. At a point liability of the filter or a point liability of the filter or a point liability of the filter are demand of negative property. At a point liability of the filter or a point liability of the filter or a point liability of the filter are demand of negative property. At a point liability of the filter or a point liability of the	SCHEDULE C Schedule C Some instructions of revoluting containing the state of the	personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See Instructions for revolving charge accounts. Due Incurred Rate applications of the filter or a joint liability of the filter or a joint liability of the filter ark the other higher categories, as appropriate. None Category of Amount or Value (a) Category of Amount or Value (b) Due Incurred Rate applications of the filter or a joint liability of the filter or a joint liability of the filter or a joint liability of the filter ark the other higher categories, as appropriate. None Category of Amount or Value (b) Category of Amount or Value (c) Due Incurred Rate applications for revolving charge accounts. Due Incurred Rate applications of the filter or a joint liability of the filter or a joint liability of the filter or a joint liability of the filter ark the other higher categories, as appropriate. None Trangements Of absence; and (4) future employment. See instructions regarding the rep of negotiations for any of these arrangements or benefits States and Terms of any Agreement or Arrangement Parties None Doe Joses & Smith, Hometown, State of the early in applications for my position as Professor at Duke University. I will early the parties personal plan, however no contributions will be made during my Federal service.	Address) Type of Lability Son, DC Promissory note Promissory note Type of Lability y is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer area the other higher categories, as appropriate. Attrangements ents for: continuing participation in an ferred compensation; (2) continuation in the filer and the mean of the filer special properties. Status and Terms of any Agreement of Atrangement ment, will needled lump sum payment of depinal account & participation as Professor at Duke University. I well terestity's retirement plan, however no contributions will be made during my Federal service.	Address) Type of Usbility See Instructions for revolving charge accounts. Type of Usbility is that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filter and the other higher categories, as appropriate. Attrangements on your constitutions for evolving charge accounts. Type of Usbility Type of Usbility

Prior Editions Cannot Be Used.

Reporting Individual's Name				Page Number	
Richard G. Newell		SCHEDULE D		8	·
Part I: Positions Held Outside Report any positions held during the applica compensated or not. Positions include but a director, trustee, general partner, proprietor,	uble reporting period, whether are not limited to those of an officer.		artnership, or other business enterprise or any linguistion. Exclude positions with religious and those solely of an honorary nature.		
Organization	(Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples: Nat'l Assn. of Rock Collectors, NY,		Non-profit education	President	6/92	Present
Doc Jones & Smith, Hometown, Sta	te .	Law firm	Partner	7/85	1/00
1 Richard G Newell, Chapel Hill, NC		Sole proprietorship	Sale Propietor	1/1998	Present
2 Duke University, Durham, NC		University .	Professor	1/2007	Present
3 Resources for the Future, Washingt	on, DC	Nonprofit research institution	University Fellow .	1/2007	Present
4 National Bureau of Economic Research	erch	Nonprofit research institution	Research Associate	4/2008	Present
Association of Environmental and R Washington, DC	esource Economists	Professional association	Board Member	1/2008	Present
Princeton Carbon Mitigation Initiativ Princeton, NJ	e	University	Advisory Board Member	10/2007	Present
Part H: Compensation In Exce Report sources of more than \$5,000 comper business affiliation for services provided din the reporting period. This includes the name	ectly by you during any one year of	corporation, firm, partnership, or othe organization when you directly provide	T business enterprise, or any other non-profit ded the services generating a fee or payment eport the U.S. Government as a source.	if you are ar Termination Vice Presid	ential tial Candidate
Source (Name and Address)			Brief Description of Duties		
Examples: Doe Jones & Smith, Hometown, Sta Metro University (client of Doe Jone		Legal services Legal services in connection with unive			
1 Duke University, Durham, NC		Research and teaching			_
2 Resources for the Future, Washingl	on, DC	Research			
3 Brookings Institution, Washington, (DC .	Commissioned paper			
4			-		
5					
8					

Prior Editions Cannot Be Used.

SF 278 (Rev D3/2000) 5 C.F.R Part 2634 U.S. Office of Government Ethics Reporting Individual's Name Page Number SCHEDULE D 9 Richard G. Newell Part I: Positions Held Outside U.S. Government Report any positions held during the applicable reporting period, whether consultant of any corporation, firm, partnership, or other business enterprise or any compensated or not. Positions include but are not limited to those of an officer. non-profit organization or educational institution. Evalude positions with religious director, trastee, general partner, proprietor, representative, employee, or social, fraternal, or political entities and those solely of an honorary partire. None To (Mo., Yr.) Organization (Name and Address) Type of Organization Position Held From (Mo., Yr.) Nat'l Assn. of Rock Collectors, NY, NY Doc Jones & Smith, Hometown, State 6/92 Present Non-profit education President Examples: 7/85 1/00 Law firm Partner 1 Progressive Automotive X Prize Development Advisory Committee Present Non-Profit Advisory Committee Member 1/2006 Plava Vista, CA 2 Journal of Energy Economics Journal Publication Editorial Board Member 1/2006 Present St. Louis, MO 3 Journal of Environmental Economics and Management 1/2007 Journal Publication Editorial Counsel Member Present 4 ExxonMobil External Assessment Panel 1/2009 4/2009 Corporation Member Part II: Compensation In Excess Of \$5,000 Paid by One Source Do not complete this part If you are an incumbent, Report sources of more than \$5,000 compensation received by you or your corporation, firm, partnership, or other business enterprise, or any other non-profit business affiliation for services provided directly by you during any one year of Termination Filer, or organization when you directly provided the services generating a fee or payment the reporting period. This includes the names of clients and customers of any of more than \$5,000. You need not report the U.S. Government as a source. Vice Presidential or Presidential Candidate None — Brief Description of Duties Source (Name and Address) Legal services Doe Jones & Smith, Hometown, State Examples: Metro University (client of Doe Jones & Smith), Moneytown, Stato Legal services in connection with university construction 2 3 4

Prior Editions Cannot Be Used.

â