SF278 (Rev. 03/2000)
5 C.F.R Part 2634
U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:
OMB No. 3209-0001

Date of Appointment, Candidacy, Election or Nomination (*Month, Day, Year*)	Reporting Status (*Check appropriate boxes*)	Incumbent	Calendar Year Covered by Report	New Entrant, Nominee, or Candidate	Termination Filer	Termination Date (*If Applicable*) (*Month, Day, Year*)
		☐		☒	☐	

	Last Name	First Name and Middle Initial
Reporting Individual's Name	Shinseki	Eric K.
	Title of Position	**Department or Agency (*If Applicable*)**
Position for Which Filing	Secretary	Veterans Affairs
	Address (*Number, Street, City, State, and ZIP Code*)	**Telephone No. (*Include Area Code*)**
Location of Present Office (or forwarding address)	c/o Walter A. Hall 810 Vermont Avenue NW Room 1115C (023) Washington, DC 20420	c/o (202) 461-7694
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)	**Title of Position(s) and Date(s) Held**	
	N/A	

Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination	Do You Intend to Create a Qualified Diversified Trust?
	The Veterans' Affairs Committee	☐ Yes ☒ No

Certification	Signature of Reporting Individual	Date (*Month, Day, Year*)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Eric K Shinseki	Jan 2, 2009
Other Review (If desired by agency)	Signature of Other Reviewer	Date (*Month, Day, Year*)
Agency Ethics Official's Opinion	Signature of Designated Agency Ethics Official/Reviewing Official	Date (*Month, Day, Year*)
On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	for Designated Official	Jan. 5, 2009
Office of Government Ethics Use Only	Signature	Date (*Month, Day, Year*)
		Jan 6, 2009

Comments of Reviewing Officials (*If additional space is required, use the reverse side of this sheet*)

(*Check box if filing extension granted & indicate number of days* _____) ☐

(*Check box if comments are continued on the reverse side*) ☐

Fee for Late Filing

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period shall be subject to a $200 fee.

Reporting Periods

Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A—The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B--Not applicable.

Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)-- Show any agreements or arrangements as of the date of filing.

Schedule D--The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

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Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual acount of any honoraria over $200 of your spouse).

None ☐

	Assets and Income	Valuation of Assets: None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income Type: Dividends	Rent and Royalties	Interest	Capital Gains	Income Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo.: Day. Yr.) Only if Honoraria
Examples	Central Airlines Common				x												x						x										
	Doe Jones & Smith, Hometown, State			x																												Law Partnership Income $130,000	
	Kempstone Equity Fund					x								x										x									
	IRA: Heartland 500 Index Fund							x						x											x								
1	Andrews Federal Credit Union Clinton, MD savings account		x																x			x											
2	Pentagon Federal Credit Union Alexandria, VA (savings, checking, money market, IRA cash acct, 7-yr cd)					x													x				x										
3	First Hawaiian Bank Honolulu, HI (savings, checking accts)			x															x		x												
4	Armed Forces Bank Ft. Leavenworth, KS checking acct			x															x		x												
5	Sandy Spring Bank Olney, MD (Pegasus Business checking acct)		x																		x												
6	Burke & Herbert Bank and Trust Alexandria, VA (Pegasus Business checking acct)			x																	x												

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
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Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)																
														Type				Amount												
None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo. Day. Yr.) Only if Honoraria
1 Roth IRA AFBA 5 Star Mid-cap Value Fund		x											x							x												
2 Roth IRA AFBA 5 Star Small Cap Fund		x											x							x												
3 Roth IRA AFBA 5 Star Large Cap Growth Fund		x											x							x												
4 Traditional IRA, AFBA 5 Star Mid Cap Value Fund		x											x							x												
5 Traditional IRA, AFBA 5 Star Large-cap Growth Fund		x											x							x												
6 Roth IRA (S) AFBA 5 Star Mid-Cap Value Fund		x											x							x												
7 Roth IRA (S) AFBA 5 Star Small-Cap Fund		x											x							x												
8 Roth IRA (S) AFBA 5 Star Large-Cap Growth Fund		x											x							x												
9 Traditional IRA (S) AFBA 5 Star Mid-Cap Value Fund		x											x							x												

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Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)																					
														Type				Amount																		
None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Traditional IRA (S) AFBA 5 Star Large Cap Growth Fund		x											x							x														
2 Traditional IRA JANUS Worldwide Fund		x											x							x														
3 Traditional IRA (S) JANUS Worldwide Fund		x											x							x														
4 Traditional IRA USAA S&P 500 Index Fund		x											x							x														
5 Traditional IRA (S) USAA S&P 500 Index Fund		x											x							x														
6 Traditional IRA (Cash Account) Pentagon Federal Credit Union		x																x		x														
7 Traditional IRA (7-yr CD) Pentagon Federal Credit Union		x																x		x														
8 Traditional IRA (S) (Cash Account) Pentagon Federal Credit Union		x																x		x														
9 Traditional IRA (S) (7-yr CD) Pentagon Federal Credit Union		x																x		x														

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
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Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C															
														Type				Amount												
None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Honeywell Restricted Stock					X											X							X								
2 Van Kampen Comstock Fund (ACSTX)			X										X								X										
3 Manulife Financial Corp (MFC)		X														X						X									
4 Vanguard 500 Index (VFINX)			X										X								X										
5 Kauai Investment Assoc., Inc (K-1) Lihue, HI Commercial Rental Building			X														X						X								
6 Haili Moe, Inc. (K-1) Lihue, HI Land Development				X														X	X	X												
7 National Interest Security Co., LLC (K-1) Washington, DC National Security Support Services				X														X		X												
8 US Savings Bonds			X															X		X												
9 Honeywell, Inc Morristown, NJ International Manufacturing																															Director 50,751	

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Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)														Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)															
															Type				Amount											
	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
None ☐																														
1 Guardian Life Insurance Co. New York, NY Insurance																													Director 117,664	
2 First Hawaiian Bank Honolulu, HI Banking																													Director 32,000	
3 Grove Farm Co. Lihue, HI Land Development																													Director 37,704	
4 Haili Moe, Inc. Lihue, HI Land Development																													Director 3,044	
5 Ducommun, Inc. Carver, CA Aerostructures Manufacturing & Technology																													Director 39,000	
6 National Interest Security Co. Washington, DC National Security Support Services																													Board of Managers 35,000	
7 Kaseman, LLC Chantilly, VA Critical Operational Support																													Board of Managers 12,500	
8 Residental Rental Property Honolulu, HI							X										X							X						
9 Honeywell Deferred Compensation Account, see page 14, lines 5-9																														

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Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C														
															Type				Amount										
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income. For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual acount of any honoraria over $200 of your spouse). None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples: Central Airlines Common				x											x						x									
Examples: Doe Jones & Smith, Hometown, State			x																										Law Partnership Income $130,000	
Examples: Kempstone Equity Fund					x								x										x							
Examples: IRA: Heartland 500 Index Fund							x						x											x						
1 Pegasus Associates, Inc ** Falls Church, VA Consulting Business		x																											Consulting Business	
2 Boeing Company (Through Pegasus) Arlington, VA Aerospace Manufacturing																													Consulting $108,000.00	
3 Cubic Defense Analysis San Diego, CA (Through Pegasus) Supt Tech																													Consulting $35,000.00	
4 EDS (Through Pegasus) Plano, TX Information Technology Mgmt																													Consulting $35,000.00	
5 Guardian Life Insurance New York, NY (Deferred Compensation Cash Account)					x														x											
6 Ducommun Stock Options: 3000 shares vested 5/2/08; strike price $28.10. Exp 3/31/2009																			x										Value not readily ascertainable	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.** **Note: Income from Pegasus Associates, Inc. is disclosed in separate entries identifying the sources of payments.**

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Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C																	
																Type				Amount												
	None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Ducommon Stock Options: 3000 shares vest 5/7/09; Strike Price $31.25; Exp. 12/31/2008																				x											Value not readily ascertainable	
2	Life Insurance (Whole Life) Army Mutual Aid Assoc Fort Myer, VA 22211		x																x			x											
3	Life Insurance (Whole Life) Army/AF Mutual Aid Assoc Fort Myer, VA 22211		x																x			x											
4	Life Insurance (Whole Life) Mass Mutual Springfield, MA			x													x					x											
5	Life Insurance (Whole Life) Mass Mutual Springfield, MA		x														x					x											
6	Life Insurance (Whole Life) (S) Mass Mutual Springfield, MA			x													x					x											
7	Life Insurance (Whole Life) John Hancock Life Insurance Boston, MA			x													x					x											
8	Zero to Three, Expert Adviser Non-prof early childhood development org (S) (funds donated to MCEC)																															Honoraria $250	4/08
9	VCSP/College America (Relative 1) Am Fnds The Growth Fund of Am 529		x											x							x												

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SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	VCSP/CollegeAmerica (Relative 1) Amer Fnds: Cap World Grwth & Inc 529		x											x							x												
2	VCSP/CollegeAmerica (Relative 1) Amer Fnds: Wash Mut Inv Fnd 529		x											x							x												
3	VCSP/CollegeAmerica (Relative 1 Amer Fnds: The Grwth Fnd of Am 529 (S)		x											x							x												
4	VCSP/CollegeAmerica (Relative 1) Amer Fnds: Cap World Grwth & Inc 529 (S)		x											x							x												
5	VCSP/CollegeAmerica (Relative 1) Amer Fnds: Wash Mut Inv Fnd 529 (S)		x											x							x												
6	VCSP/CollegeAmerica (Relative 2) Amer Fnds: The Grwth Fnd of Am 529		x											x							x												
7	VCSP/CollegeAmerica (Relative 2) Amer Fnds: Cap World Grwth & Inc 529		x											x							x												
8	VCSP/CollegeAmerica (Relative 2) Amer Fnds: Wash Mut Inv Fnd 529		x											x							x												
9	VCSP/CollegeAmerica (Relative 2) Amer Fnds: The Grwth Fnd of Am 529 (S)		x											x							x												

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SCHEDULE A continued

(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	VCSP/CollegeAmerica (Relative 2) Amer Fnds: Cap World Grwth & Inc 529 (S)		x											x							x												
2	VCSP/CollegeAmerica (Relative 2) Amer Fnds: Wash Mut Inv Fnd 529 (S)		x											x							x												
3	VCSP/CollegeAmerica (Relative 3) Amer Fnds: The Growth Fnd of Am 529		x											x							x												
4	VCSP/CollegeAmerica (Relative 3) Amer Fnds: Cap World Grwth & Inc 529		x											x							x												
5	VCSP/CollegeAmerica (Relative 3) Amer Fnds: Wash Mut Inv Fnd 529		x											x							x												
6	VCSP/College America (Relative 3) Amer Fnds: The Growth Fnd of Am 529 (S)		x											x							x												
7	VCSP/College America (Relative 3) Amer Fnds: Cap World Grwth & Inc 529 (S)		x											x							x												
8	VCSP/College America (Relative 3) Amer Fnds: Wash Mut Inv Fnd 529 (S)		x											x							x												
9	VCSP/College America (Relative 4) Amer Fnds: The Growth Fnd of Am 529		x											x							x												

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
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Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)																	
															Type				Amount												
None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Exempted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 VCSP/College America (Relative 4) Amer Fnds: Cap World Grwth & Inc 529		x											x							x											
2 VCSP/College America (Relative 4) Amer Fnds: Wash Mut Inv Fnd 529		x											x							x											
3 VCSP/College America (Relative 4) Amer Fnds: The Growth Fnd of Am 529 (S)		x											x							x											
4 VCSP/College America (Relative 4) Amer Fnds: Cap World Grwth & Inc 520 (S)		x											x							x											
5 VCSP/College America (Relative 4) Amer Fnds: Wash Mut Inv Fnd 529 (S)		x											x							x											
6 VCSP/College America (Relative 5) Amer Fnds: The Growth Fnd of Am 529		x											x							x											
7 VCSP/College America (Relative 5) Amer Fnds: Cap World Grwth & Inc 529		x											x							x											
8 VCSP/College America (Relative 5) Amer Fnds: Wash Mut Inv Fnd 529		x											x							x											
9 VCSP/College America (Relative 5) Amer Fnds: The Growth Fnd of Am 529 (S)		x											x							x											

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
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Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)																		
														Type				Amount														
None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $ 5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 VCSP/College America (Relative 5) Amer Fnds: Cap Wrold Grwth & Inc 529 (S)		x											x							x												
2 VCSP/College America (Relative 5) Amer Fnds: Wash Mut Inv Fnd 529 (S)		x											x							x												
3 VCSP/College America (Relative 6) Amer Fnds: The Growth Fnd of Am 529		x											x							x												
4 VCSP/College America (Relative 6) Amer Fnds: Cap World Grwth & Inc 529		x											x							x												
5 VCSP/College America (Relative 6) Amer Fnds: Wash Mut Inv Fnd 529		x											x							x												
6 VCSP/College America (Relative 6) Amer Fnds: The Growth Fnd of Am 529 (S)		x											x							x												
7 VCSP/College America (Relative 6) Amer Fnds: Cap World Grwth & Inc 529 (S)		x											x							x												
8 VCSP/College America (Relative 6) Amer Fnds: Wash Mut Inv Fnd 529 (S)		x											x							x												
9 VCSP/College America (Relative 7) Amer Fnds: The Growth Fnd of Am 529		x											x							x												

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Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B														Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C															
															Type				Amount												
None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 VCSP/College America (Relative 7) Amer Fnds: Cap World Growth & Inc 529		x											x							x											
2 VCSP/College America (Relative 7) Amer Fnds: Wash Mut Inv Fnd 529		x											x							x											
3 VCSP/College America (Relative 7) Amer Fnds: The Growth Fnd of Am 529 (S)		x											x							x											
4 VCSP/College America (Relative 7) Amer Fnds: Cap World Growth & Inc 529 (S)		x											x							x											
5 VCSP/College America (Relative 7) Amer Fnds: Wash Mut Inv Fnd 529 (S)		x											x							x											
6 Honeywell Stock options - 5000 shares vested, strike price $34.87; exp 3/31/2009																				x											Value not readily ascertainable	
7 Honeywell Stock options - 5000 shares vested, strike price $36.28; exp 3/31/2009																				x											Value not readily ascertainable	
8 Honeywell Stock options - 3500 shares vested, exp 3/31/09 1500 vest 4/1/09; str price $43.47; exp 12/31/2008																				x											Value not readily ascertainable	
9 HON Stck Opns-1250 shrs vest;exp3/31/09 1250 vest 4/1/09; 1250 vest 4/1/10; 1250 vest 4/1/11;str pr $51.22; exp 12/31/08																				x											Value not readily ascertainable	

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Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B													Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C																	
															Type				Amount													
None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 HON stock opt-1250 shares vest 4/28/09; 1250 vest 4/28/10; 1250 vest 4/28/11; 1250 vest 4/28/12; str prc $60.59; exp12/31/08																			X												Value not readily ascertainable	
2 Fidelity Cash Reserves Individual 401K					X										X							X									
3 Vanguard Prime Money Market Fund SEP IRA					X										X							X									
4 DC Capital Partners, LLC Private Equity Washington, DC																															Advisory Fee $20,000	
5 Honeywell Deferred Compensation Account -- tracks the following:																																
6 Short-Term Fixed Income fund			X																X													
7 Value Yield Equity Fund			X																X													
8 S&P 500 Equity Index Fund			X																X													
9 Honeywell Common Stock Fund						X													X													

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Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

Reporting Individual's Name	SCHEDULE B	Page Number
Eric K Shinseki		15

Part I: Transactions

None ☐

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)												
		Purchase	Sale	Exchange		$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
Example:	Central Airlines Common	x			2/1/99			x										
1																		
2																		
3																		
4																		
5																		

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $260; and (2) travel-related cash reimbursements received from one source totaling more than $260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $104 or less. See instructions for other exclusions.

None ☐

	Source (Name and Address)	Brief Description	Value
Examples:	Natl Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$300
1			
2			
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Do not Complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

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Part I: Transactions

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
	Example: Central Airlines Common	x			2/1/99			x									
1																	
2																	
3																	
4																	
5																	
6																	
7																	
8																	
9																	
10																	
11																	
12																	
13																	
14																	
15																	
16																	

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Reporting Individual's Name	SCHEDULE C	Page Number
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Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x)											
						$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples:	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, 123 J St., Washington, DC	Promissory note	1999	10 %	on demand					x						
1	Countrywide Mortgage Simi Valley, CA	Mortgage on Residental Rental Property	2008	6.875%	30 yrs.		x									
2	Wells Fargo Los Angeles, CA	Equity Line of Credit	2004	Var	40 yrs		x									
3																
4																
5																

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g. 401k, deferred compensation; (2) continuation payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits

None ☐

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	Stock Options -- I hold vested and unvested stock options. Upon resignation, I forfeited the unvested stock options and will exercise vested options in accordance with stock option plan.	Honeywell International Morristown, NJ	12/2003
2	Deferred Compensation (cash account) will receive lump sum payment following resignation according to established agreement	Guardian Life Insurance New York, NY	9/2005
3	SEP IRA -- will continue to participate in SEP IRA but there will be no ongoing contributions following appointment Vanguard Prime Money Market Fund	Pegasus Associates, Inc. Falls Church, VA	2004
4	Individual 401K -- will continue to participate in Individual 401K but there will be no ongoing contributions following appointment Fidelity Cash Reserves	Pegasus Associates, Inc. Falls Church, VA	2004
5	Haili Moe, Inc Stock ownership plan requires the Company (Haili Moe, Inc) to repurchase the .3% shares at net book value at time of resignation from the Board.	Haili Moe, Inc. Lihue, HI	8/2004
6	Stock Options -- I hold vested and unvested stock options. Upon resignation, I forfeited the unvested stock options and will exercise vested options in accordance with stock option plan.	Ducommun, Inc Carver, CA	1/2007

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Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x)										
						$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples:	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, 123 J St., Washington, DC	Promissory note	1999	10%	on demand					x						
1																
2																
3																
4																
5																

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Part II: Agreements or Arrangements

Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g. 401k, deferred compensation); (2) continuation payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits

None ☐

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
	Deferred Compensation Account -- following resignation, deferred compensation will be paid in ten annual installments based on annual determinations of fair market value of tracked assets.	Honeywell, International Morristown, NJ	12/2003
2	Restricted Shares -- certificate representing 3,294 shares of Honeywell common stock will be reissued as unrestricted common stock following resignation, in accordance with established Director Stock Plan.	Honeywell, International Morristown, NJ	12/2003
3			
4			
5			
6			

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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (*Name and Address*)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	Pegasus Associates, Inc. Falls Church, VA	Consulting Firm	President & 100% Owner	6/03	present
2	Honeywell International Morristown, NJ	International Manufacturing	Director	12/03	12/31/08
3	Guardian Life Insurance Company of America New York, NY	Insurance	Director	9/05	12/31/08
4	Ducommun, Inc. Carson, CA	Aerostructures Manufacturing and Tacl Manufacturing	Director	1/07	1/1/09
5	First Hawaiian Bank Honolulu, HI	Banking	Director	11/06	1/1/09
6	Grove Farm Company, Inc Lihue, Kauai, Hawaii	Land Development	Director	8/04	12/31/08

Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None ☐

	Source (*Name and Address*)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	Pegasus Associates, Inc. Falls Church, VA	Management/Sole Employee
2	Honeywell International Morristown, NJ	Board of Directors
3	Guardian Life Insurance Company of America New York, NY	Board of Directors
4	Ducommun, Inc. Carson, CA	Board of Directors
5	First Hawaiian Bank Honolulu, HI	Board of Directors
6	Grove Farm Company, Inc. Lihue, HI	Board of Directors

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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (*Name and Address*)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	Haili Moe, Inc. Lihue, HI	Land Development	Director	8/04	12/31/08
2	DC Capital Partners, LLC Washington, DC	Private Equity	Member, Board of Advisors	9/07	1/1/09
3	National Interest Security Company, LLC Fairfax, VA	National security support services	Member, Board of Managers	10/07	1/1/09
4	Kaseman, LLC Chantilly, VA	Mission Critical Operational Support to	Member, Board of Managers	9/08	1/1/09
5	Cubic Defense Applications (Through Pegasus) San Diego, CA	Opns & Security Trng & Support Techr	Consultant	12/04	6/08
6	Boeing Company (Through Pegasus) Arlington, VA	Aerospace Manufacturing	Consultant	6/07	1/1/09

Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None ☐

	Source (*Name and Address*)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	Haili Moe, Inc. Lihue, HI	Board of Directors
2	DC Capital Partners, LLC Washington, DC	Member, Board of Advisors
3	National Interest Security Co, LLC Fairfax, VA	Member, Board of Managers
4	Kaseman, LLC Chantilly, VA	Member, Board of Managers
5	Cubic Defense Analysis (Through Pegasus) San Diego, CA	Consultant
6	Boeing Co. (Through Pegasus) Arlington, VA	Consultant

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SF 278 (Rev. 03/2000)
5 C.F.R Part 2634
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Reporting Individual's Name	SCHEDULE D	Page Number
Eric K. Shinseki		21

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (*Name and Address*)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY Doe Jones & Smith, Hometown, State	Non-profit education Law firm	President Partner	6/92 7/85	Present 1/00
1	EDS (Through Pegasus) Plano, TX	Information Technology Management	Consultant	3/06	1/1/09
2	United States Military Academy, Association of Graduates West Point, NY	Educational Institution	Visiting Professor	9/05	4/07
3	Go For Broke National Education Center	Non-Profit	National Spokesperson Board of Governors	Est 2006	1/1/09
4	The Eric K. Shinseki Trust	Trust	Co-Trustee	11/07/05	Present
5	The Patricia K. Shinseki Trust	Trust	Co-Trustee	11/07/05	Present
6	The Eric K. Shinseki Insurance Trust	Trust	Co-Trustee	11/07/05	Present

Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None ☐

	Source (*Name and Address*)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services Legal services in connection with university construction
1	EDS (Through Pegasus) Plano, TX	Defense Advisory Council
2	United States Military Academy, Association of Graduates West Point, NY	Visiting Professor 09/05-04/07
3	Haverford School Haverford, PA	Guest Speaker 4/07 Funds donated to Go For Broke National Education Center
4		
5		
6		

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