

ROCKEFELLER FOUNDATION

2009
ANNUAL REPORT



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PRESIDENT'S LETTER

The recent, global triple-threat of economic, climate, and pandemic crises reminded us of the need for rapid, enlightened action to confront the world's urgent challenges. That is exactly what the Rockefeller Foundation strives to achieve and enable by promoting smart globalization – our efforts to ensure globalization's benefits are more widely shared and its complex, inescapable challenges are more easily weathered.

In 2009, we pursued interventions that contributed to smart globalization, while maintaining our single-minded focus on results and impact. We fostered innovation in markets, organizations, products, and processes. We built capacity and leveraged resources. We found and funded new pilot projects, scaled successful ventures, and contributed to the resilience of communities around the world.

An important focus of our effort to build a world with more equitable opportunities and more resilient communities involved establishing networks, convening partnerships, and driving innovation. We have chosen to make our investments in these three approaches the theme of this 2009 annual report.

You will find several rich examples of the Foundation's work over the past year presented in greater context and detail. Read about our recent philanthropic efforts, each reflecting one of these three Foundation core capabilities and illustrating some of the work we've undertaken over the last year. You can also view a full listing of our 2009 grants at www.rockefellerfoundation.org/grants.

In 2009, a year of profound change, the Rockefeller Foundation continued its focus on the twin pillars of its strategy - building resilience and supporting more equitable growth. As we look ahead to a new decade, financially strong and passionately focused, the nature of our challenges will continue to shift, but the defining qualities of our Foundation will stay constant to meet them

Judith Rodin

Judoth Rodin

President



From climate change and environmental degradation to the dire need for sustainable urbanization and universal health care, the 21st century challenges we face are deeply interwoven.

Networks are therefore an inherently powerful means of bolstering global resilience, and in 2009, network building grew as a critical aspect of our work:

Building Disease-Sensing Networks in the Developing World

To prevent the spread of future pandemics, the Foundation is helping countries in Southeast Asia and Southern and Eastern Africa to build regional disease-sensing networks. Active cooperation on public health issues is giving these countries the tools to stop serious disease outbreaks before they occur.

Developing Climate Change Resilience in Growing Asian Cities

To help new urban centers safeguard against the threats of climate change, the Foundation has established a knowledge-sharing network between several Asian cities. These cities meet to discuss successes and failures as they attempt to sustainably manage their rapid growth, sharing best practices and developing new standards of urban design.

Employing New Models to Source and Distribute Capital

To tap into new sources of capital to solve social problems, the Foundation helped create the Global Impact Investing Network to educate and support the use of investment that seeks both financial and social returns as an important complement to our philanthropic work.

Harnessing the Private Sector to Improve Global Health

In many developing countries, 50-80 percent of the provision and financing of health care is private. In many of the world's poorest and most populous nations more than half of total health expenditures are out-of-pocket. Over the past two years, the Foundation has been uniting private sector caregivers, policy makers and governments to strengthen health systems.

Creating New Means of Global Insight, Analysis, and Impact

Networks are critical to better understand and navigate the growing complexity of our globalized world. In 2009, the Rockefeller Foundation supported a forward-looking network of trend monitoring organizations known as "Searchlight" — an innovative mechanism that scans for novel ideas, researches results, and uncovers "clues" to new challenges.

Our Work in 2000



ESTABLISHING NETWORKS

BUILDING DISEASE-SENSING NETWORKS IN THE DEVELOPING WORLD

In 2009, the world faced an influenza pandemic. In March, a 4-year-old boy in La Gloria, Mexico contracted a unique upper respiratory infection, what would soon be identified as H1N1, or swine flu. Two weeks later, a woman in Oaxaca, Mexico, became swine flu's first casualty. Two weeks after that, more than 100 New York high school students were ill with flu-like symptoms, many having recently returned from a trip to Mexico.

Within two-and-a-half months, swine flu had swept the globe spreading from a tiny village in Mexico to the largest, densest cities in the world, killing thousands on its global trek.

Pandemics have never recognized human borders, but the scale at which we now travel and trade means they can spread with alarming speed. In order to counter modern plagues, we must use modern methods. In addition to developing medicines and vaccines, we must quickly detect and isolate new viruses, preventing them from growing into global killers.

Rapid disease detection is the first and most important step to preventing massive deaths from new epidemics. Disease sensing networks designed to monitor both human and animal health can lead to early detection of an outbreak, and transmit information rapidly across borders. Unfortunately, in the developing world, countries lack the capacity to effectively monitor and report the first signs of outbreaks, let alone coordinate such information with neighbors.

To help build this capacity and head off potential pandemics, the Rockefeller Foundation supported the MBDS, the Mekong Basin Disease Surveillance Network; SACIDS, the Southern African Centre for Infectious Disease Surveillance and EAIDSNET, the East African Integrated Disease Surveillance Network. Operating across multiple countries, these networks are designed to coordinate and contribute to these countries' capacity to conduct surveillance within their own borders, and to share with their neighbors a focused regional response to infectious disease outbreaks.

Currently, SACIDS and EAIDSNET are testing the efficacy of mobile disease surveillance technologies, using smartphones to collect and transmit medical data, and resource mapping to plan outbreak responses. And unlike previous public health response units in the region, these networks critically examine both human and animal disease outbreaks, helping to prevent the next swine flu from becoming a global pandemic.





DEVELOPING CLIMATE CHANGE RESILIENCE IN GROWING ASIAN CITIES

In 2008, for the first time in human history, more people lived in cities than in rural areas. In China, more people assembled hard drives and mobile phones in the factories of Guangdong and loaded cargo in Shanghai's port, the world's busiest. In India, more people took calls in the customer service centers of Hyderabad, drove motorized rickshaws through the vibrant streets of Mumbai, and spun cotton yarn into fabric in the textile mills of Gujarat. And throughout Asia, Africa and Latin America, more people sacrificed living with their families in rural villages for a chance to bring them a better life working higherpaying jobs in coastal metropolises.

Nowhere is the impact of this phenomenon more acute than in Asia. Already, there are 411 cities in the world with populations of more than 1 million; 194 of these cities are in Asia with 60 in China alone. More will soon form. By 2050, 65% of Asia's population, nearly 3.4 billion people, will reside in cities. The largest cities of the future may not even merit boldface on today's maps.

While we grapple with the consequences of this staggering global trend, we witness yet another: a shifting, warming climate. As more people concentrate themselves in urban centers, the risk increases that a strong hurricane or other sustained drought leads to death and devastation on a massive scale.

To safeguard our urban future, our cities must be resilient in the face of global climate change. As cities in Asia grow, and new ones emerge, they have the potential to build infrastructure and housing that can better withstand environmental threats, and through wise land use employ natural defenses against storms and flooding.

Ensuring a quick recovery after a disaster is just as important. Effective disaster management and response policies and the allocation of contingency funding can limit devastation and lower costs in the face of catastrophe. To help ensure that Asian cities grow resiliently and equitably, the Rockefeller Foundation helped support the formation of the Asian Cities Climate Change Resilience Network (ACCCRN), designed to help cities grow in a manner that acknowledges and prepares for an unpredictable climate.

Focused on securing poor and vulnerable communities, ACCCRN helps cities develop and identify best practices for climate-resilient growth, and develops effective, agile ways to implement those practices. The successes of individual cities are quickly taught and disseminated across the network of cities, making certain no lessons are lost.

In 2009, with support from the Rockefeller Foundation, ACCCRN completed its final city selection process, and is now operational in 10 cities across India, Indonesia, Thailand and Vietnam. By engaging with key stakeholders such as city officials, research experts, national and local governments, NGOs, and think tanks, ACCCRN is creating detailed vulnerability assessments. These will be instrumental in identifying climate shock susceptibilities as well as building the necessary response capacity. Comprehensive sector studies are also being conducted for a wide array of issues including health, housing, and water, energy and flood management. These analyses will help each city identify its most vulnerable populations and devise effective strategies to minimize the damage done by climate change.

Our Work in 2000



EMPLOYING NEW MODELS TO SOURCE AND DISTRIBUTE CAPITAL

There were many casualties of the recent economic and financial crisis. In the U.S., 8 million people lost their jobs and corporations posted record losses. Global wealth was eroded on a mammoth scale, and economic downturns around the world meant existing social problems would soon be exacerbated by the swelling ranks of the impoverished.

While the number of people in need around the world grew, the resources available to address their need shrank. In the United States, charitable giving fell by the largest percentage in five decades, after peaking in 2007 at \$314 billion.

The current economic climate demands that the Rockefeller Foundation embrace new models of philanthropy, models that may challenge conventional beliefs about the role of charity and the lines drawn between the public, private and philanthropic sectors.

To increase the amount of capital available to combat social ills, the Rockefeller Foundation has supported efforts to expand the reach of impact investing, for-profit investment that seeks to deliver both financial and social returns, in order to bring new tools to focus on solutions to intractable problems. Despite widespread enthusiasm, a lack of market structures has prevented impact investing from achieving the scale necessary to effectively serve as an additional mechanism to address the world's most difficult social and environmental problems.

In 2009, the Rockefeller Foundation helped create the Global Impact Investing Network (GIIN), a non-profit organization dedicated to increasing both the scale and effectiveness of impact investing. The Network created a platform for impact investors, including financial services companies and large-scale foundations, to work together to build the market infrastructure necessary to demonstrate the viability of the impact investing industry.

One of the Network's first efforts was to establish Impact Reporting and Investment Standards (IRIS), creating a uniform language and reporting framework for measuring and monitoring the social performance of impact investments. By establishing these global standards, the GIIN is bringing the transparency and credibility necessary to deploy more private capital in the service of combatting social ills.

Already, these standards are being used to track the social and environmental performance of investments that provide financing for solar energy in Ghana, support affordable healthcare in India, and expand a coffee cooperative in Bolivia.



HARNESSING THE PRIVATE SECTOR TO IMPROVE GLOBAL HEALTH

Our efforts at improving health systems around the world are tied to achieving access to affordable, quality health care for all citizens. This is a difficult, ambitious prospect, one that still confounds many countries in the developed world. Not only must we work with key stakeholders about the benefits of universal coverage, which will provide greater financial protection, but we must also help build the capacity to deliver high-quality, accessible health care. A key part of both efforts, as the World Health Assembly recently recognized, is leveraging the reach of private sector health systems.

In much of the developing world, 50-80 percent of provided health care is private. These private caregivers work independently of governments and each other, preventing the spread of innovations about effective approaches and models. To help ensure these crucial health findings are spread, the Rockefeller Foundation in 2009 helped create the Center for Health Market Innovations (CHMI). The CHMI maps, tracks, and analyzes health innovations designed to improve health outcomes and lower the cost of care. Its ultimate objective is to accelerate the diffusion and replication of the best innovations.

In addition to improving the performance of private healthcare providers, the Foundation is working to improve oversight and accountability of the private health sector. Despite its size and potential for improving public health, the private health sector is often neglected in health systems. Without adequate accountability mechanisms, the quality of services provided by the private sector can be hugely variable, especially for poor and vulnerable patients.

The Foundation is working to ensure the private sector is leveraged more effectively to achieve national health outcomes. In 2009, we worked to promote a global policy and practice agenda that takes a more inclusive approach toward the private health sector. And we will continue our work to bring promising, innovative private sector policies and models to the attention of the global heath and donor communities.



CREATING NEW MEANS OF GLOBAL INSIGHT, ANALYSIS, AND IMPACT

From the billions of data-exchanging devices that comprise the World Wide Web, to the impossibly intricate neural connections that give rise to human learning, networks are fundamental structures of insight and information. To understand, to adapt, and to thrive, we turn to networks. Today, they are particularly needed to better understand and navigate the growing complexity of our globalized world.

To that end, in 2009, the Rockefeller Foundation supported a forward-looking network of trend monitoring organizations known as "Searchlight" — an innovative mechanism that scans for novel ideas, researches results, and uncovers "clues" to new challenges and how we can surmount them.

Rockefeller's Searchlight function is comprised of 10 grantees located throughout the developing world, in Africa, Asia, and the Americas. Each participating institution provides a series of regular, high-level insights

that point to the long-term implications of regional change in a variety of contexts. Searchlight's interdisciplinary, multi-actor, and international analysis covers a wide range of views and expertise, from the social entrepreneur and business incubation lens of Intellecap in India, to the policy and social justice oriented mindset of the Center for Democracy and Development in Nigeria.

The Searchlight network has offered a unique and meaningful approach to understanding and impacting the broader context within which our grantees operate. For instance, through the Searchlight activities, the Strategic Foresight Group in India was able to initiate a discussion on the important topic of migration after sharing its forward-looking perspective with local and national policymakers. This important new network will continue to elevate planning and action among our grantees, and inform decision-making at a variety of levels throughout the Rockefeller Foundation.

CONVENING PARTNERSHIPS



The philanthropic sector plays a unique role in our global society. Working alongside the public, private and academic sectors, it informs, directs, and enables collective efforts to build strong and vibrant communities. The Rockefeller Foundation has attempted to play a catalytic role in this space by convening partnerships across sectors, coordinating the efforts of disparate groups around shared, singular goals. In 2009, organizing new partnerships was a centerpiece of our work:

Improving Economic Security for Unemployed American Workers

The employment crisis has left millions of Americans out of work. America's safety net does provide unemployment insurance (UI) benefits, but in many states there are gaps in coverage for low-wage, part-time, and even female workers. The Foundation brought together the National Employment Law Project, the nation's only think tank specializing in UI research, with several state governments to redesign their programs, compete for federal aid, and provide a stronger safety net for millions who had fallen through the cracks.

Increasing Collaboration Between Leading Global Actors

Collaboration, so often a key to producing shared knowledge and unleashing human capacity, happens too rarely amongst scholars of different disciplines. To help foster cross-disciplinary work between a diverse group of thinkers, the Rockefeller Foundation's Bellagio Center hosts policymakers, professionals, practitioners, scholars and artists from around the world.

Accelerating the Mobile Health Revolution

Around the world, the increasing ubiquity of mobile devices presents an important opportunity to efficiently and rapidly deliver critical health services. With a number of key partners, the Rockefeller Foundation established the Mobile Health Alliance to maximize the impact of this growing field, strengthen preventive and clinical care, and improve patient outcomes around the world.



CONVENING PARTNERSHIPS

IMPROVING ECONOMIC SECURITY FOR UNEMPLOYED AMERICAN WORKERS

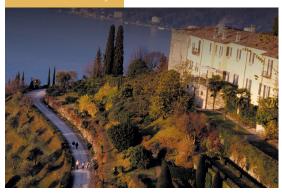
In 2009, America began the long, difficult process of emerging from recession. The American economy is growing again, albeit slowly, while stock markets have largely recovered after posting staggering losses. But for the eight million people who lost their jobs over the last two years, the sluggish pace of recovery is little relief.

Unemployment insurance benefits provide critical support to those currently at sea in our economy, but with gaps in coverage and state budgets bursting, many have found themselves falling without a safety net. In some states, as many of two-thirds of unemployed workers were ineligible for coverage.

In 2009, the American Recovery and Reinvestment Act provided \$7 billion in incentive awards, encouraging states to close gaps in their unemployment insurance. States had to alter complex policies, many of them politically charged, to provide benefits to low-wage, female and part-time workers.

To help states compete for incentives and provide greater coverage for those out of work, the Rockefeller Foundation supported the National Employment Law Project (NELP), the nation's only national policy organization with deep legal and policy expertise regarding unemployment insurance. NELP provided in-depth technical assistance and non-partisan analysis in over 40 states, helping to identify major gaps in coverage and the best paths toward reform.

Our Work in 2009



CONVENING PARTNERSHIPS

INCREASING COLLABORATION BETWEEN LEADING GLOBAL THINKERS

What we know today as the Renaissance was an era that saw an unheralded merging of various disciplines. A diverse group of scientists and sculptors, philosophers and poets, artists and academics converged upon Florence and other European cities, learning from each other while breaking down disciplines. This unique historical moment inspired innovations in medicine, mathematics, and astronomy that became the foundation of our modern society.

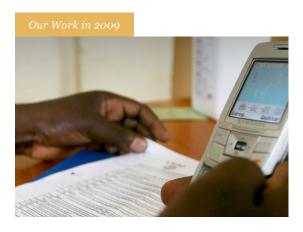
The Rockefeller Foundation has long believed in the wisdom of bringing people with differing perspectives and different training together to unlock new innovations. We helped pioneer modern interdisciplinary research, supporting the collaboration between biologist George Beadle and chemist Linus Pauling, creating the new field of biochemistry and sponsoring work that led both scientists to Nobel Prize winning work. Throughout our near-century of work, we've continued this tradition, combining realms of thought to create new disciplines, including public health, molecular biology, and urban theory.

The Rockefeller Foundation's Bellagio Center was designed to foster exactly this kind of path-breaking intellectual discourse. The Center annually hosts

residencies for policymakers, professionals, practitioners, scholars, and artists from around the world. Fellows spend their days working on individual or collaborative projects, then meet in the evenings to share findings and ideas and seek feedback. A frequent schedule of conferences at the Center brings representatives from global institutions together to discuss some of our world's greatest challenges.

In 2009, the Foundation hosted 43 conferences at the Bellagio Center, with nearly 1,000 participants, three-quarters of whom live outside the United States. In fact, 33 percent of our conference participants in 2009 came from the developing world, demonstrating the growing influence that emerging countries will have on global progress and thought.

In the same year, we welcomed 89 fellows for residencies. By bringing these intellectually and geographically diverse scholars to Italy, we hope to extend a 600-year-old tradition of convening novel partnerships to unlock new trajectories in thought, art, and human progress.



CONVENING PARTNERSHIPS

ACCELERATING THE MOBILE HEALTH REVOLUTION

Several hundred thousand smart-phones are activated around the world each day. Mobile data transmission increased by more than twofold last year. Five billion people currently have access to mobile technology. These trends portend powerfully of explosive, unknown technological advancement in the coming years, particularly in health care delivery.

Around the world, the increasing ubiquity of mobile devices presents an important opportunity to efficiently and rapidly deliver critical health services, from education to prevention to remote data collection and monitoring. The new field of mobile health is already making strides in providing training for health care workers, disease tracking, and diagnostic treatment and support for rural populations in the developing world.

In 2009, to scale and grow the mobile health revolution, the Rockefeller Foundation, the United Nations Foundation and The Vodafone Foundation—three of the leading foundations in global health, technology and humanitarian assistance—banded together to create the Mobile Health Alliance. The United States' "President's Emergency Plan for AIDS Relief" (PEPFAR) has also joined the mHealth Alliance as a key partner.

The Alliance is designed to maximize the impact of mobile health, particularly in developing countries, strengthening preventive and clinical health care and improving patient outcomes. In 2009, it continued to broker product development, offer thought leadership, and thoroughly map this burgeoning space. Already, the Alliance is driving the Maternal and Newborn mHealth Initiative, working with the U.N. Secretary General, the World Health Organization, and other partners to create an integrated information system underpinning the continuum of care to advance this key Millennium Development Goal.



Throughout our history, the Rockefeller Foundation has driven innovation. This drive has characterized our most impactful work, and plays a vital role in confronting challenges that are imposing, complex, and evolving. Indeed, such challenges can outpace our ability to counter them. Food insecurity becomes exacerbated by climate change; city transportation and infrastructure becomes overwhelmed by rapid urban migration. To face these dynamic challenges, we must innovate with the same speed and determination - a key feature of our efforts in the past year:

Promoting Sustainable Transportation Policies

America's transportation policies and infrastructure are in dire need of reform. Not only have roadways and bridges begun to deteriorate, but the size and scale of our sprawl has led to overdependence on car ownership and the fossil fuels that power them. The Foundation has supported novel research, non-partisan policy analysis, and public awareness campaigns to transform American transportation networks. We've also helped create competitive investment challenges, directing funds to climate-conscious public transit programs.

Providing Capital to the "Missing Middle"

Microfinance has done a miraculous job of extending credit to the poor, while banks are able to direct capital to large corporations in the developing world. But what happens to the small and growing businesses, the small farms and artisanal craft makers that are most effective at lifting villagers out of poverty? The Foundation is working to develop new models of credit assistance, to ensure the "missing middle" can help provide a mechanism to provide jobs to the poor and stabilize communities.

Protecting New York Harbor Against Rising Tides

With global climate change leading to polar melting and rising waters, the Rockefeller Foundation commissioned a number of leading architectural and urban design firms to devise new ways to protect low-lying coastal cities. Their work led to the "Rising Currents" exhibit at the Museum of Modern Art, a reimagining of New York Harbor as a sustainable, thriving coastline, able to resist the threat of floods and wave damage.

Mitigating Climate Risk to African Agriculture

Subsistence agriculture is the only route millions of rural poor have to escape poverty. But the already risky venture of investing in next season's harvest is being made more so by the impact of climate change. The Foundation is funding the effort to create new, innovative weather insurance products at prices that are affordable to poor subsistence farmers. The growth of these markets will help protect those farmers, and help safeguard a Green Revolution in Africa.

Transforming Urban Crises into Opportunities

Today, for the first time in history, more people around the world live in cities than in rural regions. However, in developing nations, the urban poor have little or no access to land or services in their own cities. In 2009, the Foundation supported Shack/Slum Dwellers International (SDI) to better leverage its resources to reduce poverty, landlessness and homelessness more effectively.



PROMOTING SUSTAINABLE TRANSPORTATION POLICIES

It is easy to overlook the importance of transportation in American life. But our transportation networks are extremely influential, determining the shape of our communities, our access to jobs and services, and the safety of our families.

In America, congested streets, crumbling bridges and motorways, and a lack of mass transit options are undercutting economic productivity, and harming both the quality of our lives and the health of our environment. Each year, American workers spend the equivalent of an entire workweek sitting in traffic, while they burn 2.9 billion gallons of fuel in bumper-to-bumper congestion. This wasted gas and wasted time represents \$78.2 billion in squandered resources annually.

But those who must sit in traffic might be considered the lucky ones. Millions of poor Americans, unable to afford a car, must commute for hours on public transit to reach their jobs, making multiple transfers between sparse, poorly linked routes. On average they spend significantly more time commuting to work than wealthier Americans, despite living closer to their jobs.

Of course, our transportation policies also threaten the environment: automobile exhaust is the fastest growing source of greenhouse gas emissions both in America and around the world.

In 2009, to define a new vision for transportation in America, the Rockefeller Foundation supported novel research, non-partisan policy analysis and public awareness campaigns, highlighting the environmental, energy, and equity challenges of transit in the US.

The Rockefeller Foundation facilitated a dialogue among key stakeholders at national and local levels regarding the need for more sustainable and affordable transportation options throughout the country. We helped create a broad network of over 450 organizations to work together on transportation policy issues. These organizations, from the American Association of Retired Persons to the National Resources Defense Council, are advocating the formation of more sustainable, livable communities.

By supporting grantees like Smart Growth America, we have helped create competitive investment challenges to direct federal dollars to deserving, climate-conscious programs. Our work has helped inform federal efforts that emphasize livability, creating communities with transportation options that are energy efficient and equitable.

In 2009, we also helped the Center for Neighborhood Technology develop powerful online tools to help cities and consumers make informed transportation decisions. These tools help consumers calculate the true costs of transportation, learn how pedestrian-friendly a neighborhood is, and describe public transit options for a given address.

Our Work in 2000



DRIVING INNOVATION

PROVIDING CAPITAL TO THE "MISSING MIDDLE"

Microcredit has been one of the most staggering development successes of the last 50 years, in a field where game-changing breakthroughs are few and far between. The idea of providing small loans to those in poverty changed the global economic calculus, proving that the world was short on opportunity, but not on talent. By fostering growth from the grassroots, and focusing on the economic power of women, microcredit helped offer a lifeline out of poverty for millions.

But throughout the developing world, access to capital is still punishingly scarce, especially for small, growing businesses that create jobs for the poor and stabilize their communities. Too large to access microcredit and too small and risky for traditional bank loans, the single-origin coffee farms and artisanal cooperatives throughout the developing world cannot find funds to grow or even to sustain their operations.

These entrepreneurs are caught in the "missing middle," often sinking all of their assets into business ventures, only to find their contributions unmatched and their potential unmet. And yet small businesses could be true engines for sustainable development and poverty alleviation, operating at a scale that microcredit cannot achieve. By developing a new class of capital, sitting between microcredit and commercial lending, the development community can unlock the potential of a new class of entrepreneurs, helping build sustainable jobs and improved livelihoods. Empowering these risk takers can help foster lasting growth that ripples through rural villages and communities.

To spur investment to small businesses in the developing world, in 2009 the Rockefeller Foundation supported Root Capital in its efforts to help expand an innovative lending model that targets grassroots entrepreneurs. Root Capital provides capital and financial training to growing firms operating in poor, environmentally vulnerable regions of Africa and Latin America. These lending and capacity building activities help small businesses expand their operations, generate incomes for their workforce, and support their local communities. Root Capital has financed 139 organizations across 27 industries, directly benefiting over 164,000 producers and their families.

By supporting a new class of entrepreneurs, the Rockefeller Foundation and Root Capital hope to create a powerful demonstration effect, convincing commercial banks that small and growing businesses are smart investments. Only by attracting more commercial investment can the world hope to truly build upon the initial success of the missing middle to create jobs, stabilize communities and alleviate poverty. Shifting this financial paradigm will help transform global lending in the same way microcredit has throughout the developing world.





PROTECTING NEW YORK HARBOR AGAINST RISING TIDES

Every day, Rockefeller Foundation employees from throughout New York converge at our Midtown office to help tackle the problems confronting humanity. We travel on the subway from Brooklyn and Queens, ride ferries across New York Harbor, or walk along Central Park on our way down Fifth Avenue. And though our work often takes us to the most remote corners of the world – to the areas where global need is greatest – we remain residents of New York at heart.

To us, New York represents the ultimate urban promise – that by forgoing the comforts of a more subdued life, we can form vibrant and diverse capitals of culture and commerce. But New York is also emblematic of the many challenges urban centers will face in the next century. Most notably, as a major coastal city, New York must learn to cope with the threats of fiercer storms and rising seas levels due to global climate change.

In 2009, to help protect and enrich New York's coastline, the Foundation sponsored the Museum of Modern Art's Rising Currents workshop and exhibition. The Museum challenged five interdisciplinary teams – comprised of architects, designers, engineers— to re-imagine the coastlines of New York City and New Jersey, developing novel solutions to prevent damage from flooding and storm surges.

Traditionally, coastline infrastructure featured dense, hard surfaces like levies, sea walls and storm-surge barriers. But this strategy actually leads to stronger surges and increased flooding, as waves bounce against the walls with greater velocity.

To counter this effect, the teams employed soft infrastructural solutions that absorb water and waves and lessen the impact of violent storms. Featured solutions included restored wetlands that absorb water and manage sea level change, artificial islands that accumulate silt and provide resilience against storm surges, and the creation of an oyster reef that would help purify water while attenuating wave velocity.

The ideas generated from the project will help New York, as well as coastal cities around the world, develop innovative, unconventional practices to protect their shores with an approach in harmony with the environment and local communities.

Our Work in 2009



MITIGATING CLIMATE RISK TO AFRICAN AGRICULTURE

In a small village in East Africa, a young woman's neck strains under the weight of a plastic sack of bananas resting on her head. She's walked miles now to reach her village's market stall, a patchwork of gathered aluminum and wooden planks. On a good day, the proprietor of the stall, also a woman, will pay her a decent price for what's she's grown and carried.

On a bad day, the proprietor will either pay her less than it cost to produce her crops, or send her back home with nothing. Women like her represent the small fraction of African farmers lucky enough to have fed their own families and still have a surplus of something worth selling, despite erratic rainfall and dusty soils leached of nutrients.

This daily game of chance takes place throughout Sub-Saharan Africa, where nearly 500 million people depend on agriculture for their livelihoods. Half of these smallholder farmers live in extreme poverty, and a third of them are undernourished. For most of them, moving away from subsistence into small-scale commercial agricultural production represents their only chance at escaping a life of want and need, but too often it locks them in a vicious cycle of indebtedness and hunger. Increasingly, due to global climate change, their odds of breaking that cycle are becoming worse.

African farmers already grapple with wild swings in weather, growing crops at temperatures near their maximum tolerance. That means even minor droughts can lead to crisis, resulting in hunger and death at a rate higher than on any other continent. As climate change leads to higher temperatures, less dependable rains, and longer droughts, outbreaks of famine throughout the region will become increasingly common. And if an agricultural economy suffers a major climate-related natural disaster, such as a severe drought or debilitating storm, as much as 15 percent of its GDP could be wiped out, with after effects lasting five years.

Climate change will undoubtedly hurt Africa's smallholder farmers, but it does not have to consign them to economic despair. Microinsurance can help poor farmers survive the shocks of erratic weather and climbing temperatures, and protect their fragile economic security.

New insurance models, especially those based on local weather indexes, have lowered the costs of premiums, making them a realistic option for struggling farmers. These affordable crop and livestock insurance products allow farmers to withstand droughts and overcome bad harvests, encouraging them to make investments that increase land and labor productivity.

In 2009, the Rockefeller Foundation worked with partners to design and test new microinsurance models, helping to create products that shield smallholder farmers from weather risk, improving their odds of success. We continue to test and pilot these products in hopes of increasing their scale, lowering their cost, and disseminating them throughout the developing world.

(cont'd on page 17)

Our Work in 2009



MITIGATING CLIMATE RISK TO AFRICAN AGRICULTURE

(cont'd from page 16)

In Ethiopia, the Rockefeller Foundation worked with the national government and Oxfam America, as well as other NGOs, private sector partners, and academic institutions to provide insurance products that are tied to the local region's weather measurements rather than individual claims, dramatically lowering administrative costs and premiums. The success of these products will lead to opportunities to devise them at a much larger scale, helping mitigate risk at a regional, national, and even continental level, widening the risk pool and driving down costs even further.

The Foundation also supported Oxfam's pioneering HARITA (Horn of Africa Risk Transfer for Adaptation) program, allowing subsistence farmers to obtain insurance in exchange for their labor. Instead of spending what little income they generate on premiums, these farmers obtain insurance vouchers after working on public works projects in the off-season, many of which are designed to strengthen resilience to climate change. The insurance products are collaboratively designed, encouraging participation from local farmers and administered through existing community and social structures.

In Kenya, the Foundation worked with the World Bank and local NGOs to pilot an indexed-based weather insurance (IBWI) project, which will help develop a market for agriculture insurance to reduce the risk climate change presents to farmers and pastoralists. Tailored products are being designed for various crops, including cereals, bananas, tea and coffee, further helping to stabilize fragile economies.

Also with the support of the Foundation in 2009, the World Food Programme's Climate and Disaster Risk Solutions team developed Africa RiskView, a software tool that allows the WFP to predict and assess the impact of severe droughts on food security throughout Africa. The tool help governments and aid organizations predict and prepare for climate-related food crises, responding quickly and efficiently, even before outbreaks of famine occur.



TRANSFORMING URBAN CRISES INTO OPPORTUNITIES

Today, for the first time in history, more people around the world live in cities than in rural regions. However, in developing nations, the urban poor see themselves as refugees in their own cities, with no access to land or services.

In 1996, a number of national federations of community-based organizations of shack and slum dwellers, mainly in India, South Africa, and Thailand, came together to found Shack/Slum Dwellers International (SDI). Their mission is to link poor urban communities to transfer and adapt the successful mobilization, advocacy, and problem-solving strategies they develop in one location to other cities, countries and regions. Now, organizations from some 30 developing countries in Latin America, Asia and Africa have joined SDI.

In 2009, the Rockefeller Foundation supported SDI to better leverage its resources to reduce poverty, landlessness and homelessness more effectively.

SDI now encourages individual daily savings plans that promote financial literacy, accountability and self-reliance; participation of women and the most marginalized members of slum communities, and grassroots-driven information gathering, through surveys, enumerations and settlement profiles.

SDI members have also created solid savings networks that make established financial institutions take notice. Yes, poor people can borrow money and pay it back, say SDI leaders, enabling slum dwellers to obtain financing for better housing, toilets, and communal services.

In several towns in Zimbabwe, hundreds of households now have water, thanks to SDI. Once-voiceless urban communities work with government officials to secure and develop land in their areas.

FISCAL STEWARDSHIP

THE ROCKEFELLER FOUNDATION CONDENSED FINANCIAL STATEMENTS

Statement of Financial Position as of December 31.

Amounts in millions. Numbers are rounded.

Total Liabilities and Net Assets

	2009	2008
ASSETS		
Cash, cash equivalents and other current assets	8	77
Investments	3,255	2,926
Other long term assets	54	51
Total Assets	3,317	3,054
LIABILITIES AND NET ASSETS		
Accounts payable and accrued expenses	9	8
Grants payable	43	52
Debt outstanding	20	120
Other liabilities	24	21
Total liabilities	96	201
Unrestricted Net Assets	3,211	2,853

3,317

3,054

FISCAL STEWARDSHIP

THE ROCKEFELLER FOUNDATION CONDENSED FINANCIAL STATEMENTS

Statement of Activities as of December 31.

Amounts in millions. Numbers are rounded.

	2009	2008
REALIZED INCOME		
Revitalized investment income	32	87
Investment expense	(12)	(12)
Other income	1	4
Net realized income	21	<i>7</i> 9
EXPENSES		
Grants and direct charitable activities	136	144
Program costs	19	18
Operations	19	20
Taxes	(1)	1
Total expenses	173	183
(Deficiency) of net realized income over expenses	(152)	(104)
UNREALIZED INCOME		
Unrealized gain (loss) on investments	510	(1,110)
Pension and post-retirement benefit adjustments	8	(33)
Change in Net Assets	366	(1,247)

FOUNDATION TRUSTEES

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President and Chief Executive Officer LandingPoint Capital Boston, Massachusetts

ANN M. FUDGE

Retired Chairman and CEO Young & Rubicam Brands New York, New York

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Supreme Court of the United States Washington, D.C.

NGOZI OKONJO-IWEALA

Managing Director

The World Bank Washington, D.C.

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New York, New York

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Circle Capital Ventures Cape Town, South Africa

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Director and former Chair

Rockefeller & Co., Inc. New York, New York

JUDITH RODIN

President

The Rockefeller Foundation New York, New York

JOHN W. ROWE, M.D.

Professor

The Mailman School of Public health Columbia University New York, New York

VO-TONG XUAN

Rector Emeritus

An Giang University Long Xuyen City, An Giang, Vietnam

This list includes any trustee who served between January 1 and December 31, 2009.

THE ROCKEFELLER FOUNDATION

420 Fifth Avenue New York, New York 10018-2702 U.S.A.

Main phone: 212-869-8500 Media inquiries: 212-852-8454

Visit RockefellerFoundation.org

Form **990-PF**

Department of the Treasury

Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0052

For calendar year 2009, or tax year beginning and ending Initial return Initial return of a former public charity Final return G Check all that apply: Amended return Address change Name change Name of foundation A Employer identification number Use the IRS label. Otherwise, THE ROCKEFELLER FOUNDATION 13-1659629 Number and street (or P.O. box number if mail is not delivered to street address) Room/suite print **B** Telephone number or type. 420 FIFTH AVENUE 212-852-8361 See Specific City or town, state, and ZIP code C If exemption application is pending, check here Instructions. D 1. Foreign organizations, check here NEW YORK, NY 10018-2702 2. Foreign organizations meeting the 85% test, check here and attach computation X Section 501(c)(3) exempt private foundation H Check type of organization: Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation E If private foundation status was terminated I Fair market value of all assets at end of year | J Accounting method: X Accrual under section 507(b)(1)(A), check here Other (specify) (from Part II, col. (c), line 16) F If the foundation is in a 60-month termination 3,317,100,678. (Part I, column (d) must be on cash basis.) ▶\$ under section 507(b)(1)(B), check here ... Part I | Analysis of Revenue and Expenses (b) Net investment (d) Disbursements for charitable purposes (c) Adjusted net (a) Revenue and (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).) expenses per books income income (cash basis only) Contributions, gifts, grants, etc., received N/A 2 Check X if the foundation is not required to attach Sch. B Interest on savings and temporary cash investments 1,575,170. 1,575,170. STATEMENT 23,121,140. 43,675,610. STATEMENT Dividends and interest from securities **5a** Gross rents **b** Net rental income or (loss) 6,778,520. **6a** Net gain or (loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a 683930980. 7 Capital gain net income (from Part IV, line 2) 0. 8 Net short-term capital gain Income modifications 10a Gross sales less returns and allowances **b** Less: Cost of goods sold ... c Gross profit or (loss) 2,486,529. 2,341,879. STATEMENT 3 11 Other income 12 Total. Add lines 1 through 11 33,961,359. 47,592,659. 3,033,784. 564,991. 2,468,793. 13 Compensation of officers, directors, trustees, etc. 14,029,864. 2,953,160. 11,051,565. 14 Other employee salaries and wages 10,110,609. 459,585. 5,621,472. 15 Pension plans, employee benefits 16a Legal fees STMT 4 655,550. 254,371. 440,363. 348,520. 163,780. 111,073. **b** Accounting fees **STMT** 5 9,919,615. c Other professional fees STMT 6 6,937,851. 2,922,700. 1,223,102. 4,108,677. 1,205,642. 17 Interest **18** Taxes **STMT 7** 237,510. 114,281. 1,605,629. 19 Depreciation and depletion 131,318. 2,223,631. 20 Occupancy 2,146,751. 2,425,457. 2,065,652. 190,533. 21 Travel, conferences, and meetings 22 Printing and publications 363,150. 34,396. 338,084. 26,719,865. 2,601,188. 23 Other expenses STMT 8 2,961,363. 24 Total operating and administrative 48,900,274. 42,870,318. 30,973,283. expenses. Add lines 13 through 23 25 Contributions, gifts, grants paid 135585704. 145129900. 26 Total expenses and disbursements. 184485978. 176103183. 42,870,318. Add lines 24 and 25 27 Subtract line 26 from line 12: -150,524,619 **a** Excess of revenue over expenses and disbursements ... 4,722,341. **b Net investment income** (if negative, enter -0-) N/A C Adjusted net income (if negative, enter -0-)

923501 02-02-10 LHA For Privacy Act and Paperwork Reduction Act Notice, see the instructions.

D	art	Balance Sheets Attached schedules and amounts in the description	Beginning of year	End of year			
	ai t	column should be for end-of-year amounts only.	(a) Book Value	(b) Book Value	(c) Fair Market Value		
	1	Cash - non-interest-bearing	15,184,366.				
		Savings and temporary cash investments	139,971,328.	65,310,000.	65,310,000.		
	3	Accounts receivable ►					
		Less: allowance for doubtful accounts					
	4	Pledges receivable ►					
		Less; allowance for doubtful accounts					
	5	Grants receivable					
		Receivables due from officers, directors, trustees, and other					
		disqualified persons					
	7	Other notes and loans receivable					
	ļ .	Less: allowance for doubtful accounts					
s	8	Inventories for sale or use					
Assets		Prepaid expenses and deferred charges	647.053.	416,736.	416,736.		
As		Investments - U.S. and state government obligations STMT 11	1 271 617.	190,910,315.	190.910.315.		
		Investments - corporate stock STMT 12	861,688,839.				
	ء ا	Investments - corporate bonds STMT 13	290,432.		296,625.		
		Investments - land, buildings, and equipment basis	23071321	230,0231	250,0251		
	l ''	Less: accumulated depreciation					
	12	Investments - mortgage loans					
	13	Investments - other STMT 14	1,923,166,671.	1,847,126,024.	1,847,126,024.		
	14	Land, buildings, and equipment: basis ► 33,610,905.	2,220,200,072;	2,017,120,021.	2,017,220,021.		
	' -	Less: accumulated depreciation 12,034,500.	22,071,976.	21 576 405	21,576,405.		
	15	Other assets (describe STATEMENT 15)	89,652,451.	21,576,405. 36,487,939.	36,487,939.		
	'"	Other assets (describe P	03,032,131	30/10//3330	30/10//3331		
	16	Total assets (to be completed by all filers)	3,053,944,733.	3,317,100,678.	3,317,100,678.		
_		Accounts payable and accrued expenses	7,610,772.		0,027,200,070.		
		Grants payable	51,565,892.	42,800,342.			
"		Deferred revenue	31/303/031	12/000/5120			
iabilities		Loans from officers, directors, trustees, and other disqualified persons					
ig		Mortgages and other notes payable					
Ę.	22	Other liabilities (describe ► STATEMENT 16)	141,318,895.	44,923,239.			
				11/520/2000			
	23	Total liabilities (add lines 17 through 22)	200,495,559.	96,244,735.			
_		Foundations that follow SFAS 117, check here					
		and complete lines 24 through 26 and lines 30 and 31.					
ès	24	Unrestricted	2,853,449,174.	3,220,855,943.			
anc		Temporarily restricted	, , , , -	, , , ,			
Bal		Permanently restricted					
- Pu		Foundations that do not follow SFAS 117, check here					
F		and complete lines 27 through 31.					
ō	27	Capital stock, trust principal, or current funds					
ěts		Paid-in or capital surplus, or land, bldg., and equipment fund					
Ass		Retained earnings, accumulated income, endowment, or other funds					
Net Assets or Fund Balance	30	Total net assets or fund balances	2,853,449,174.	3,220,855,943.			
~			, , ,	, , ,			
	31	Total liabilities and net assets/fund balances	3,053,944,733.	3,317,100,678.			
			alances				
Р	<u>art</u>	Analysis of Onlinges in Net Assets of Fund B	alances				
		net assets or fund balances at beginning of year - Part II, column (a), line					
		t agree with end-of-year figure reported on prior year's return)		1	2,853,449,174.		
		amount from Part I, line 27a			-150524619.		
		increases not included in line 2 (itemize)	SEE ST	ATEMENT 9 3	520,060,871.		
		ines 1, 2, and 3			3,222,985,426.		
		eases not included in line 2 (itemize)		ATEMENT 10 5	2,129,483.		
6	Total	net assets or fund balances at end of year (line 4 minus line 5) - Part II, co	olumn (b), line 30	6	3,220,855,943.		
					Form 990-PF (2009)		

923511 02-02-10

	pe the kind(s) of property sold (e chouse; or common stock, 200 s			(b) How P - Pu D - Do	acquired irchase onation	(c) Date (mo., c	acquired lay, yr.)	(d) Date sold (mo., day, yr.)
1a								
	STATEMENTS							
d d								
e								
(e) Gross sales price	(f) Depreciation allowed (or allowable)		st or other basis expense of sale		I		ain or (loss s (f) minus	
a								
b								
С								
d			1 705 00					4 004 450
e 683,930,980.	gain in column (h) and awned h		1,705,82	19.		0-1 (6		4,924,452.
Complete only for assets showing	· ' '			_			Col. (h) gain not less tha	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69		cess of col. (i) col. (j), if any				(from col. (
a			3,7					
b				_				
C								
d								
е							_	4,924,452.
<u> </u>	(If gain, also en	iter in Part I. line	7	7				
2 Capital gain net income or (net capi	ital loss) If (loss), enter	-0- in Part I, line	7 7	. } 2			-	4,924,452.
3 Net short-term capital gain or (loss)	•							
If gain, also enter in Part I, line 8, co	olumn (c).	. ,		}			_	
If (loss), enter -0- in Part I, line 8	1 0 1 4040/ \ 7			<u>.] 3</u>			N/A	
Part V Qualification Un					tment inc	ome		
(For optional use by domestic private f	oundations subject to the sectio	n 4940(a) tax on	i net investment ir	icome.)				
If section 4940(d)(2) applies, leave this	s part blank.							
Was the foundation liable for the section	on 4042 tay on the distributable	amount of any w	oar in the bace no	riod2				Yes X No
lf "Yes," the foundation does not qualify			-	nour				165 [2 <u>2</u> 1N0
1 Enter the appropriate amount in ea				es.				
(a)	(b)		<u> </u>	(c)			Dietwik	(d) oution ratio
Base periód years Calendar year (or tax year beginning	Adjusted auglifying	distributions	Net value of no		le-use assets		(col. (b) div	rided by col. (c))
2008		91,285.	3,7	05,8	28,203	•	, , ,	.049056
2007	201,0	37,622.	3,9	07,3	95,237	•		.051451
2006		43,866.			86,830			.051162
2005		40,608.			71,075			.047292
2004	171,0	58,770.	2,9	50,4	92,544	•		.057976
2 Total of line 1, column (d)						. 2		.256937
3 Average distribution ratio for the 5-	•		•	-				054005
the foundation has been in existence	e if less than 5 years					3		.051387
							, ,,	1 856 161
4 Enter the net value of noncharitable	-use assets for 2009 from Part	X, line 5				. 4	3,02	<u>1,756,161.</u>
						_	1 1 -	E 270 004
5 Multiply line 4 by line 3						. 5	15	5,278,984.
O Foster 40/ of motions of the state of the	(40/ -f Dt 15 075)							47 222
6 Enter 1% of net investment income	(1% of Part I, line 27b)					6		47,223.
7 Add lines 5 and 6						7	1 5	5,326,207.
I MUU IIIIES J AIIU D						· ′	13	5,540,407.
8 Enter qualifying distributions from F						8	17	7,816,198.
If line 8 is equal to or greater than li See the Part VI instructions.	ine 7, check the box in Part VI, li	ine 1b, and comp	olete that part usin	ıg a 1% ta	x rate.			

Pa	urt VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4	948 -	see ii	nstru	ctio	าร)
1a	Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1.					
	Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)					
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here X and enter 1%	1		4	7,2	23.
	of Part I, line 27b					
C	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)					
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2				0.
3	Add lines 1 and 2	3		4	7,2	23.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4				0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		4	7,2	23.
6	Credits/Payments:					
а	2009 estimated tax payments and 2008 overpayment credited to 2009 6a 2,000,000.					
b	Exempt foreign organizations - tax withheld at source 6b					
	: Tax paid with application for extension of time to file (Form 8868) 6c					
d	Backup withholding erroneously withheld 6d					
	Total credits and payments. Add lines 6a through 6d	7	2	,00	0,0	00.
	Enter any penalty for underpayment of estimated tax. Check here X if Form 2220 is attached	8				
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9				
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1	, 95	2,7	77.
11	Enter the amount of line 10 to be: Credited to 2010 estimated tax ▶ 1,952,777. Refunded ▶	11				0.
Pa	rt VII-A Statements Regarding Activities					
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene	in			Yes	No
	any political campaign?			1a		Х
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?			1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials publishe	d or				
	distributed by the foundation in connection with the activities.					
C	Did the foundation file Form 1120-POL for this year?			1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:					
	(1) On the foundation. \blacktriangleright \$ (2) On foundation managers. \blacktriangleright \$					
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation					
	managers. ▶ \$0 .					
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?			2		X
	If "Yes," attach a detailed description of the activities.					
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, o					
	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes			3		X
	Did the foundation have unrelated business gross income of \$1,000 or more during the year?			4a	Х	
b	olf "Yes," has it filed a tax return on Form 990-T for this year?			4b	Х	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?			5		X
	If "Yes," attach the statement required by General Instruction T.					
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:					
	By language in the governing instrument, or					
	By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state					
	remain in the governing instrument?			6	X	
7	Did the foundation have at least \$5,000 in assets at any time during the year?			7	Х	
	If "Yes," complete Part II, col. (c), and Part XV.					
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)					
	NY,CA					
b	olf the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)				,,	
_	of each state as required by General Instruction G? If "No," attach explanation			8b	Х	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for cale					77
	year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV			9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses			10		X

	() = =================================			
Pa	ort VII-A Statements Regarding Activities (continued)			
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
	section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before			
	August 17, 2008?	12		Х
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Х	
	Website address ► WWW.ROCKEFELLERFOUNDATION.ORG			
14	The books are in care of ▶ ELLEN TAUS, CFO Telephone no. ▶ 212-85	2-8	361	
	Located at ► 420 FIFTH AVENUE, NEW YORK, NY ZIP+4 ► 10			02
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here			Ť
	and enter the amount of tax-exempt interest received or accrued during the year > 15		/A	
Pa	urt VII-B Statements Regarding Activities for Which Form 4720 May Be Required		,	
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	Nο
10	During the year did the foundation (either directly or indirectly):		103	140
Ia				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disgualified person? Yes X No			
	· · · · · · · · · · · · · · · · · · ·			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? X Yes No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available			
	for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No"			
	if the foundation agreed to make a grant to or to employ the official for a period after			
	termination of government service, if terminating within 90 days.)			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations			
	section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		Х
	Organizations relying on a current notice regarding disaster assistance check here			
C	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected			
	before the first day of the tax year beginning in 2009?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation			
	defined in section 4942(j)(3) or 4942(j)(5)):			
а	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning			
	before 2009? Yes X No			
	If "Voo." liet the years			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect			
_	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach			
	statement - see instructions.) N/A	2b		
	of the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.			
2 0	to Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time			
Ja				
L	during the year?XYesNo If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after			
0	• • • • • • • • • • • • • • • • • • • •			
	May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose			
	of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,	٥.		37
	Form 4720, to determine if the foundation had excess business holdings in 2009.)	3b		X
	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		Х
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that			
	had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for which F	orm 4/20 May Be F	tequirea (contin	nued)	
5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section	1 4945(e))?	Y	es X No	
(2) Influence the outcome of any specific public election (see section 4955); o				
any voter registration drive?			es X No	
(3) Provide a grant to an individual for travel, study, or other similar purposes		<u>X</u>	es 📖 No 📗	
(4) Provide a grant to an organization other than a charitable, etc., organization	n described in section			
509(a)(1), (2), or (3), or section 4940(d)(2)?	<u>X</u>	es L No		
(5) Provide for any purpose other than religious, charitable, scientific, literary,	or educational purposes, or fo	or		
the prevention of cruelty to children or animals?			es X No	
b If any answer is "Yes" to $5a(1)$ -(5), did any of the transactions fail to qualify unc	der the exceptions described in	n Regulations		
section 53.4945 or in a current notice regarding disaster assistance (see instru	ctions)?			5b X
Organizations relying on a current notice regarding disaster assistance check h	ere		▶□	
${f c}$ If the answer is "Yes" to question 5a(4), does the foundation claim exemption fr				
expenditure responsibility for the grant?		.8 <u>X</u> Y	es 📖 No 📗	
If "Yes," attach the statement required by Regulations section 53.4945	5-5 <i>(d).</i>			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to p				
a personal benefit contract?		Y	es 🗶 No	
${f b}$ Did the foundation, during the year, pay premiums, directly or indirectly, on a p	ersonal benefit contract?			6b X
If "Yes" to 6b, file Form 8870.				
$\textbf{7a}\ \text{At}$ any time during the tax year, was the foundation a party to a prohibited tax s				
b If yes, did the foundation receive any proceeds or have any net income attributa				7b
Part VIII Information About Officers, Directors, Truston Paid Employees, and Contractors	ees, Foundation Ma	nagers, Highly	y	
List all officers, directors, trustees, foundation managers and their				
List all officers, directors, trustees, foundation managers and their		(c) Compensation	(d) Contributions to	(a) Evnança
(a) Name and address	(b) Title, and average hours per week devoted	(If not paid,	(d) Contributions to employee benefit plans and deferred	(e) Expense account, other
(~)	to position	`enter'-0-)´	compensation	allowances
700 CD DDVDVD 15			262055	
SEE STATEMENT 17		3,033,784.	363855.	0.
Compensation of five highest-paid employees (other than those inc	luded on line 1\ If none	ontor INONE II		
Compensation of five highest-paid employees (other than those inc	(b) Title, and average	enter NONE.	(d) Contributions to	(a) Expense
(a) Name and address of each employee paid more than \$50,000	` 'hours per week '	(c) Compensation	(d) Contributions to employee benefit plans and deferred	(e) Expense account, other
CHUN LAI	devoted to position MANAGING DIRE		compensation	allowances
			NVESTMEN	1
120 FIFTH AVENUE, NEW YORK, NY 10018	45.00	358,894.	61,435.	0.
	MANAGING DIRE		(1 202	
120 FIFTH AVENUE, NEW YORK, NY 10018	45.00	259,872.	61,383.	0.
			OFFICER	
120 FIFTH AVENUE, NEW YORK, NY 10018	45.00	257,000.	62,090.	0.
			INVESTME	1
120 FIFTH AVENUE, NEW YORK, NY 10018	45.00	259,650.	58,808.	0.
	ASSOCIATE DIR		INVESTME	1
120 FIFTH AVENUE, NEW YORK, NY 10018	45.00	267,525.	43,836.	0.
Fotal number of other employees paid over \$50,000				91

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter	"NONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
STATE STREET BANK AND TRUST		
2 AVENUE DE LAFAYETTE, BOSTON, MA 02111	CUSTODIAL SERVICES	1,250,231.
GENERATION INVESTMENT MANAGEMENT U.S., LLP	INVESTMENT	
ONE BRYANT PARK 48TH FL., NEW YORK, NY 10036	MANAGEMENT	654,276.
MARATHON ASSET MANAGEMENT, LP	INVESTMENT	
ONE BRYANT PARK 38TH FL., NEW YORK, NY 10036	MANAGEMENT	622,117.
EMERGING MARKETS MANAGEMENT, LLC - 1001 19TH	INVESTMENT	
STREET NORTH, 17TH FL., ARLINGTON, VA 22209	MANAGEMENT	614,080.
SOUTHEASTERN ASSET MANAGEMENT	INVESTMENT	
6410 POPLAR AVE, STE. 900, MEMPHIS, TN 38119	MANAGEMENT	533,339.
Total number of others receiving over \$50,000 for professional services		▶ 67
Part IX-A Summary of Direct Charitable Activities		•
List the foundation's four largest direct charitable activities during the tax year. Include relevant statisti	cal information such as the	
number of organizations and other beneficiaries served, conferences convened, research papers produ	uced, etc.	Expenses
1 SEE STATEMENTS 27 AND 28		
		4,055,890.
2		· ·
3		
<u> </u>		
-		
4		
<u> </u>		
Part IX-B Summary of Program-Related Investments		
Describe the two largest program-related investments made by the foundation during the tax year on li	ines 1 and 2.	Amount
1		
'		
SEE STATEMENT 19		361,843.
2		301,013.
SEE STATEMENT 20		200,000.
All other program-related investments. See instructions.		200,000.
3 SEE STATEMENTS 31 AND 32		
9 OFF STATEMENTS OF WIND 27		
		116 117
		146,117. 707,960.
Total. Add lines 1 through 3	>	101,900.

P	art X Minimum Investment Return (All domestic foundations must complete this part. Foreign four	ndatio	ns, see instructions.)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
	Average monthly fair market value of securities	1a	3,052,319,507.
	Average of monthly cash balances	1b	11,784,315.
	Fair market value of all other assets	1c	3,668,930.
d		1d	3,067,772,752.
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0 •		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,067,772,752.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	46,016,591.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,021,756,161.
6	Minimum investment return. Enter 5% of line 5	6	151,087,808.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations an foreign organizations check here and do not complete this part.)	d certa	in
1	Minimum investment return from Part X, line 6	1	151,087,808.
2a	Tax on investment income for 2009 from Part VI, line 5 223.		, ,
	Income tax for 2009. (This does not include the tax from Part VI.)		
	Add lines 2a and 2b	2c	47,223.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	151,040,585.
4	Recoveries of amounts treated as qualifying distributions	4	2,750,929.
5	Add lines 3 and 4	5	153,791,514.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	153,791,514.
P	art XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		176 102 102
	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	176,103,183.
	•	1b	707,960.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	1,005,055.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
	Cash distribution test (attach the required schedule)	3b	177 016 100
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	177,816,198.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		47 222
•	income. Enter 1% of Part I, line 27b	5	47,223.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	177,768,975.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation of 4940(e) reduction of tax in those years.	qualities	S IOT LITE SECTION

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI,	остраз	10010 51101 to 2000	2000	2000
line 7				153,791,514.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only			0.	
b Total for prior years:				
		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006 134,627,267.				
dFrom 2007 14448470.				
e From 2008	440 005 000			
f Total of lines 3a through e	149,075,737.			
4 Qualifying distributions for 2009 from				
Part XII, line 4: ►\$ 177816198.			0	
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior		0		
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus	0			
(Election required - see instructions)	0.			153,791,514.
d Applied to 2009 distributable amount	24,024,684.			155,791,514.
e Remaining amount distributed out of corpus	24,024,004.			0.
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as				
indicated below:	172 100 401			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	173,100,421.			
b Prior years' undistributed income. Subtract		0.		
line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of				
deficiency has been issued, or on which				
the section 4942(a) tax has been previously assessed		0.		
assessed d Subtract line 6c from line 6b. Taxable		•		
amount - see instructions		0.		
e Undistributed income for 2008. Subtract line				
4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract				
lines 4d and 5 from line 1. This amount must				
be distributed in 2010				0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004	_			
not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010.	172 100 404			
Subtract lines 7 and 8 from line 6a	173,100,421.			
10 Analysis of line 9:				
a Excess from 2005 b Excess from 2006				
b Excess from 2006 134,627,267. c Excess from 2007 14448470.				
d Excess from 2008				
e Excess from 2009 24024684.				
VEX.0000 II OIII 2000 □ □ □ □ □ □ □ □ □				

SEE STATEMENTS 25 AND 26

c Any submission deadlines:

SEE STATEMENTS 25 AND 26

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENTS 25 AND 26

Supplementary information	(continued)			
3 Grants and Contributions Paid During the	Year or Approved for Future	Payment		
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation	Durnage of grant or	
Name and address (home or husiness)	any foundation manager	status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	status of recipient		
a Paid during the year				
SEE STATEMENT 21				
Total			> 3a	145,129,900
b Approved for future payment			~ 0a	113,123,300
a Approved for fature payment				
Total SEE STATEMENT 22			> 3b	42,800,342

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
·	(a) Business	(b) Amount	(C) Exclu- sion	(d)	Related or exempt
1 Program service revenue:	code	Amount	code	Amount	function income
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash					
investments	.		14	1,575,170.	
4 Dividends and interest from securities	525990	2,367,083.	14	20,754,057.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal					
property					
7 Other investment income			14	0.	0
8 Gain or (loss) from sales of assets other					
than inventory	525990	114,291.	18	6,664,229.	
9 Net income or (loss) from special events	·	· · · · · · · · · · · · · · · · · · ·			
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:	·				
a SEE STATEMENT 23		-5,216,548.		7,703,077.	
b		., ., .		,,	
	-				
d	-				
e	-				
12 Subtotal. Add columns (b), (d), and (e)		-2,735,174.		36,696,533.	0
13 Total. Add line 12, columns (b), (d), and (e)					33,961,359
(See worksheet in line 13 instructions to verify calculations.)					33,301,333

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

▼	the foundation's exempt purposes (other than by providing funds for such purposes).
\dashv	
\dashv	
$-\!\!\!+$	
-+	
-+	
+	
\dashv	
-+	
-+	
_	
1 10	Form 990-PF

10251115 756359 621570.00

THE ROCKEFELLER FOUNDATION Information Regarding Transfers To and Transactions and Relationships With Noncharitable Part XVII **Exempt Organizations**

1	Did th	e organization directly or indi	rectly engage in any	of the following with	any other organiza	ition described	in section 501(c) of		Yes	No
	the Co	ode (other than section 501(c))(3) organizations) o	r in section 527, rela	ating to political org	anizations?				
а	Trans	fers from the reporting found	ation to a noncharital	ble exempt organiza	tion of:					
	(1) (Sash						1a(1)	Х	
										Х
b		transactions:								
			hle exempt organizat	ion				1b(1)		Х
	(1) E	Purchases of assets from a no	ncharitable exempt o	ırnanization				1b(2)		X
	(3) [Pental of facilities equipment	or other accete	gumzunon				1b(3)		X
	(4) [Raimhurcamant arrangamante	01 011101 033013					1b(4)		X
										X
	(a) L	oalis of loan guarantees	mbarahin ar fundrai	ina colicitations				1b(5)		X
_										X
C									-1-	_^
C		•		-	• •	-	ne fair market value of the good		ets,	
					s tnan tair market v	aiue in any tran	saction or sharing arrangemen	t, snow in		
7-1		nn (d) the value of the goods,				1 (4)				
	Line no.	(b) Amount involved		noncharitable exen		` '	scription of transfers, transactions, a	nd sharing arr	angeme	ents
<u>A</u> 1			BUILDING				STATEMENT 24			
<u>A</u> 1		-	AMERICAN			C.				
<u>A</u> 1			MYLIFE, I							
<u>A</u> 1	_	30,000.	INVESTORS	' CIRCLE	NETWORK					
_										
_										
_										
_										
	lo tho	I	the affiliated with or r	coloted to one or me	are toy evemnt erge	nizationa daga	rihad			
28			•						V	No
		tion 501(c) of the Code (other		(3)) or in section 52	27			Yes	Δ	_l No
	i ii Ye	s," complete the following sch (a) Name of org		[/b)	Type of organization	. 1	(c) Description of relation	nchin		
_		• • • • •	janization	(0)	Type of organization	<u> </u>	(c) Description of relation	попр		
_		N/A								
						_				
_		B. 6								
		enames of perjury, I declare that I in a plete. Declaration of preparer (other			-		ne best of my knowledge and belief, i ledge.	is true, corre	UI,	
					1					
						<u> </u>	IEF FINANCIAL	OFFIC.	ER	
<u>ē</u>	Si	gnature of officer or trustee			Date	Title				
ᆸ	m	Preparer's				Date	Check if Prep	arer's identify	ing nun	nber
Sign Here	Paid Preparer's Use Only	signature					employed >			
	Paid spare se On		NNOR DAVI			S, LLP	EIN ▶			
	– Fa	if self-employed), 60 E	AST 42ND							
		address, and ZIP code NEW	YORK, NY	<u> 10165-3</u> 69	98		Phone no. (21	2)286	<u>-26</u>	00
								Form 990	- PF (2009)

MILE DOCKERELLED	HOUND A MIT ON					990-PF,		
THE ROCKEFELLER Part IV Capital Gains and Lo			13-165	962	19 P	AGE 1	OF	8
'	sses for Tax on Investment Income	dl		1/	h) How acquired	(a) Data a sustina d	(d) D-t	_
2-story bi	d describe the kind(s) of property solorick warehouse; or common stock, 20	d, e.g., real estate, 00 shs. MLC Co.		,	P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.	1 .)
1a EQUITY								
b FIXED INCOME								
c MISCELLANEOUS								_
d BROOKDALE INVE								
	TIONAL INV FUND							
	GIC PROPERTY PAR	•						
	ONAL U.K. PARTNE							_
	ONAL U.K. PARTNE							
	ELLS STREET OFFS	HORE						_
j M & H REALTY P		D DADMNIED (II	ITD					_
	INVESTORS LIMITE							_
ORION FUND III								_
n NAT'L CAPITAL	EAL ESTATE INVES PROP TRUST II	IMENIS III	ш.г.					_
ORS PARTNERS I								—
0 KS PARINERS I	(f) Depreciation allowed	(g) Cost or other	hasia		/h:	Coin or (loss)		
(e) Gross sales price	(or allowable)	plus expense of	sale		(e) p) Gain or (loss) lus (f) minus (g)		
a 683,436,138.		691,249				-7,	813,031	
b 494,842.		456	,660.				38,182	
С						-2,	178,249	
d							74,308	
<u>e</u>							50,478	
f							508,786	_
g								<u>) .</u>
h							-1,951	•
i						4,	673,736	<u>, .</u>
<u>j</u>							41,517	
<u>k</u>							109,689 37,999	
1							69,401	
m -							$\frac{69,401}{175,984}$	
n							$\frac{173,384}{12,570}$	
Complete only for assets showing	L ng gain in column (h) and owned by t	 the foundation on 12/31/	69		(1) 00	ses (from col. (h))		<u>, •</u>
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of co over col. (j), if a	l. (i)		Gains (excess of	of col. (h) gain over ot less than "-0-")		
a						-7,	813,031	
b							38,182	2.
С						-2,	178,249	
d							74,308	
е							50,478	
f							508,786	·
g							0	<u>.</u>
h							-1,951	
i						4,	673,736	
j							41,517	
k							109,689) .
1							37,999	<u>, </u>
m							69,401	
n							175,984	
0							12,570	<u>, </u>
2 Capital gain net income or (net ca	apital loss) { If gain, also enter If (loss), enter "-0	in Part I, line 7)-" in Part I, line 7		2				

If (loss), enter "-0-" in Part I, line 8

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).

	EOIMD A M TOM				R 990-PF,		
THE ROCKEFELLER Part IV Capital Gains and Lo	DISSES FOR TAX ON INVESTMENT INCOME		13-16596	29	PAGE 2	OF	8
(a) List and	d describe the kind(s) of property sol	d, e.g., real estate,		(b) How acquire	ed (c) Date acquired	(d) Date s	sold
	rick warehouse; or common stock, 2	00 shs. MLC Co.		D - Donation	`(mo., day, yr.)	(mó., day,	, yr.)
1a ACACIA CREDIT							
		II LP					
	REALTY PARTNERS		•				
	EUROPEAN PROPERT						
	Y PARTNERS FUND Y PARTNERS FUND	IV LP					
	PARTNERS II, L.P						
h LATIN POWER II		•		-			—
i LIME ROCK RESC							
ACCEL X L.P.	oneds c, Eii.						
k BATTERY VENTUR	ES VI. LP						
BATTERY VENTUR							
	TAL PARTNERS IV,	LP					
n BENCHMARK CAPI	TAL PARTNERS V,	LP					
0 BENCHMARK CAPI	TAL PARTNERS VI,	LP					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other plus expense of			(h) Gain or (loss)) plus (f) minus (g)		
a						7,4	
b						9,5	
С						40,69	
d						101,0	
е						61,6	
f						134,5	$\frac{17.}{25}$
g						47,5	
h						1,08	
<u>i</u>						56,18 162,7	
]						162,7	
k						162,6	
<u></u>						895,3	
<u>m</u> n						586,5	
0						311,5	
	ng gain in column (h) and owned by	L the foundation on 12/31	/69	(1) [osses (from col. (h))		- · ·
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of co over col. (j), if	ol. (i)	Gains (exces	s of col. (h) gain ove not less than "-0-")	r col. (k),	
a						7,4	
b						9,5	<u>52.</u>
С						40,69	
d						101,0	
е						61,6	
f						134,5	
g						47,5	
h						1,0	
İ						56,18	
j						162,7	
k						169,7	
1						162,6	66.
m						895,3	
n						586,5	
0				_		311,5	<u> ⊤6•</u>
2 Capital gain net income or (net ca	apital loss) { If gain, also enter	r in Part I, line 7)-" in Part I, line 7	2				

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

				990-PF,	
THE ROCKEFELLER FOUNDATION Part IV Capital Gains and Losses for Tax on Investment Inc.		-16596	29 P	AGE 3	OF 8
·			I(h) How acquired	(a) Data as muina d	(d) Data and
(a) List and describe the kind(s) of property 2-story brick warehouse; or common store	ck, 200 shs. MLC Co.		P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CHARLES RIVER PARTNERSHIP XI	, LP				
b CRP XI ANNEX FUND, L.P.					
c CROSSPOINT VENTURE PARTNERS					
d CROSSPOINT VENTURE PARTNERS					
e GENERAL CATALYST GROUP III,					
f HIGHLAND CAPITAL PARTNERS V		_ ~			
g NEW ENTERPRISE ASSOCIATES 10		RSHIP			
h NEW ENTERPRISE ASSOCIATES 12 i NEW ENTERPRISE ASSOCIATES 9	LP	CILED			
REDPOINT VENTURES II, L.P.	LIMITED PARTNER	БПІР			
	L.P.				
SEQUOIA CAPITAL IX, L.P.	ш.г.				
m SEQUOIA CAPITAL X, L.P.					
n SEQUOIA CAPITAL CHINA I, L.P	•				
O SEQUOIA CAPITAL CHINA GROWTH					
(f) Depreciation allowed	(g) Cost or other basis		(h)	Gain or (loss)	
(e) Gross sales price (i) Depreciation anowed (or allowable)	plus expense of sale		(e) p	lus (f) minus (g)	
a					17,989.
b					11,942.
С					185,539.
d					314,370.
e					920,126.
f					320,987.
g					771,187.
h					67,960. 87,738.
i :				1	062,654.
j k					589,084 .
1				5,	267,824 .
m l					664,476.
n l					294,592.
0					69,858.
Complete only for assets showing gain in column (h) and owner	d by the foundation on 12/31/69		(I) Los	ses (from col. (h))	
(i) F.M.V. as of 12/31/69 (j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		Gains (excess o but n	of col. (h) gain over ot less than "-0-")	col. (k),
a					17,989.
b					11,942.
С					185,539.
d					314,370.
e					920,126.
f					320,987.
g					771,187.
h :					67,960. 87,738.
i				1	062,654.
k					589,084 .
1					267,824 .
m l					664,476 .
n l					294,592 .
-		- 			60 959

923591 04-24-09

If (loss), enter "-0-" in Part I, line 8

10251115 756359 621570.00

 $\textbf{2} \quad \text{Capital gain net income or (net capital loss)} \quad \dots \quad \left\{ \begin{array}{l} \text{If gain, also enter in Part I, line 7} \\ \text{If (loss), enter "-0-" in Part I, line 7} \end{array} \right\}$

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).

2

THE ROCKEFELLER	FOUNDATION		CONTINUA 13-16596		990-PF, AGE 4	PART IN	
	osses for Tax on Investment Income		13 10330	<u> </u>	<u> </u>	01 0	_
(a) List ar	nd describe the kind(s) of property sol brick warehouse; or common stock, 2	d, e.g., real estate,		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)	-
1a SEOUOIA CAPITZ	AL GROWTH FUND II	I. L.P.		B Bonation			-
	AL INDIA GROWTH F						_
	AL ISRAEL IV, L.P		-				-
	PARTNERS V, L.P.						-
e U.S. VENTURE							-
f U.S. VENTURE	PARTNERS VII, L.P						
g U.S. VENTURE	PARTNERS VIII, L.	Ρ.					
h U.S. VENTURE	PARTNERS IV, L.P.						
i ABRY PARTNERS	VI, L.P.						
j ALTOR FUND II	LIMITED PARTNERS	HIP					
	I LIMITED PARTNER						_
	RITIES PARTNERS I						
	RITIES PARTNERS V	, L.P.					
n AP CAYMAN PAR	•						
O BC EUROPEAN CA							
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other plus expense of		(h) (e) p	Gain or (loss) lus (f) minus (g)		
a						394,763.	
b						260,243.	
C						73,483.	
d						933.	
e						254,545.	
f						055,267	
g						734,325	•
h						85,526	
i						271.	
j						-44,868	
k						17,743.	
1						69,050.	
m						160,769	
n	_					6,283.	
Complete only for assets show		the foundation on 12/31	/60	(1) 1 00	and (from and (h))	2,900.	•
Outhpicte only for assets show	(j) Adjusted basis	(k) Excess of co			ses (from col. (h)) of col. (h) gain over	col. (k).	
(i) F.M.V. as of 12/31/69	as of 12/31/69	over col. (j), if		but n	ot less than "-0-")	• •	
a					۷,	394,763. 260,243.	•
<u>b</u>	_						
C						73,483.	
d						254,545.	
<u>e</u>					1	055,267.	-
f					т,	$\frac{033,267}{734,325}$	-
g h						85,526	-
i						271.	
i						-44,868	
<u>J</u> k						17,743	
<u>n</u>						69,050	
m						$\frac{09,030}{160,769}$	_
n						6,283	_
0						2,980.	
-	1	1	ı			_,,,,,,	

3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8
	3591 -24-09

 $\textbf{2} \quad \text{Capital gain net income or (net capital loss)} \quad \dots \quad \left\{ \begin{array}{l} \text{If gain, also enter in Part I, line 7} \\ \text{If (loss), enter "-0-" in Part I, line 7} \end{array} \right\}$

2

3

THE ROCKEFELLER FOUNDAT	ION		13-16596	29 P	AGE 5	OF 8
Part IV Capital Gains and Losses for Tax or	ı Investment Income					
(a) List and describe the k 2-story brick warehouse				(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a BERKSHIRE FUND VI, LE				D Donation		
b BERKSHIRE FUND VII, I						
c CAPITAL RESOURCE PART		P				
d CAPITAL RESOURCE PART						
e CHRYSCAPITAL IV, LLC						
f GOLDER, THOMA, CRESSE	Y FUND III	, LP				
g GRAHAM PARTNERS II, I		<u>, </u>				
h LITTLEJOHN FUND III,						
i MORGAN STANLEY PRIVAT		SIA, L.P.				
SUMMIT PARTNERS EUROP			D, L.P.			
k SUMMIT VENTURES IV, I			•			
	ATE EQUITY	FUND VII-A	, L.P.			
m SUMMIT VENTURES V, LE			-			
n SUMMIT VENTURES VI, I						
0 THOMA CRESSEY FUND VI	I, LP					
(e) Gross sales price (f) Depre	eciation allowed	(g) Cost or other b	asis		Gain or (loss)	
(01)	allowable)	plus expense of s	ale	(e) p	lus (f) minus (g)	227,584.
<u>a</u>						67,097.
<u>b</u>						$\frac{67,037.}{122,217.}$
C						$\frac{122,217}{172,555}$
d						$\frac{172,333.}{857,437.}$
e f						$\frac{637,437.}{53,091.}$
						6,018.
g h						$\frac{0,010.}{228,408.}$
1						$\frac{220,400.}{617,766.}$
<u> </u>					<u> </u>	$\frac{017,700.}{11,016.}$
k	-					$\frac{11,010.}{-68,824.}$
						588.
m						$\frac{3631}{-61,702.}$
n						543,279.
0						230,128.
Complete only for assets showing gain in colum	nn (h) and owned by the	e foundation on 12/31/6	9	(I) L os	ses (from col. (h))	
(i) Ad	justed basis	(k) Excess of col.	(i)	Gains (excess o	of col. (h) gain over	col. (k),
	ı́f 12/31/69	over col. (j), if an		but n	ot less than "-0-")	
a						227,584.
b						67,097.
С						122,217.
d						172,555.
е						857,437.
f						53,091.
g						6,018.
h						228,408.
i					5,	617,766.
j						11,016.
k						-68,824.
1						588.
m						-61,702.
n						543,279.
0				T	-2,	230,128.
Control pain not income and fact are its like to	ر If gain, also enter in	Part I, line 7				
2 Capital gain net income or (net capital loss)	· \ If (loss), enter "-0-"	in Part I, line 7) ······	2			
3 Net short-term capital gain or (loss) as defined in	n sections 1222(5) and	(6):)			
If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8						
	<u></u>	<u></u>	<u> ノ ³</u>	1		

THE ROCKEFELLER	FOIINDARTON		CONTINUA 13-16596		990-PF, PAGE 6	PART IV
	esses for Tax on Investment Income		13-10390) Z J E	AGE 0	OF 0
	d describe the kind(s) of property so			I(b) How acquired	(c) Date acquired	(d) Date sold
2-story b	rick warehouse; or common stock, 2	200 shs. MLC Co.		P - Purchase	(c) Date acquired (mo., day, yr.)	(mo., day, yr.)
1a THOMA CRESSEY	FUND VT LP			D Donation		
	RIVATE INVESTMEN	T PARTNERS	TV. I.P.			
	PARTNERS IV, L.			1		
	PARTNERS V, L.P					
	ANDERSON & STOW		Ρ.			
	ANDERSON & STOW					
	O CAPITAL III, L					
	O CAPITAL FUND I					
i WESTON PRESIDI		•				
T. ROWE PRICE	•					
k WATERSHED CAPI	TAL INSTITUTIONA	L PARTNERS	, LP			
CHESAPEAKE PAR	TNERS INTERNATIO	NAL, LTD	-			
m FARALLON CAPIT	AL INSTITUTIONAL	PARTNERS	, LP			
n MONTRICA GLOBA	L OPPORTUNITIES	FUND				
0 OCEANWOOD CAPI	TAL MANAGEMENT,	LLP				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other plus expense of		(h (e) r) Gain or (loss) Dlus (f) minus (g)	
2	(2. 22.)	F		(-/		741,362.
a b						488,919.
C						489,121.
d						144,292.
e						505,022.
f						158,604.
9						562,712.
h					- 7	208,548.
i						740,000.
j						207,725.
k						274,482.
Ī						602,390.
m						615,749.
n						513,781.
0					-1,	024,250.
Complete only for assets showi	ng gain in column (h) and owned by	the foundation on 12/31	/69	(I) Los	sses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of co over col. (j), if		Gains (excess but n	of col. (h) gain over not less than "-0-")	col. (k),
a					-1,	741,362.
b						488,919.
С						489,121.
d						144,292.
е						505,022.
f						158,604.
g						562,712.
h						208,548.
i						740,000.
j						207,725.
k						274,482.
I						602,390.
m						615,749.
n					- 2	513 781.

923591 04-24-09

If (loss), enter "-0-" in Part I, line 8

 $\textbf{2} \quad \text{Capital gain net income or (net capital loss)} \quad \dots \quad \left\{ \begin{array}{l} \text{If gain, also enter in Part I, line 7} \\ \text{If (loss), enter "-0-" in Part I, line 7} \end{array} \right\}$

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).

2

3

-1,024,250.

THE ROCKEFELLER	ECTIVIDA MITON		13-16596			OF 8
	DSSES for Tax on Investment Income		13-10390) 4 J	AGE /	OF 6
·	d describe the kind(s) of property sol			I(b) How acquired	(a) Data acquired	(d) Date sold
2-story b	orick warehouse; or common stock, 2	200 shs. MLC Co.		P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(mo., day, yr.)
1a OZ EUROPE OVER						
b OZ OVERSEAS FU						
c ATTICUS GLOBAL						
d BLUE RIDGE OFF	SHORE LIMITED PA	RTNERSHIP				
e BRAHMAN INSTIT	UTIONAL PARTNERS	. L.P.				
f CANTILLON CAPI	TAL MANAGEMENT,	LLC				
g JL PARTNERS	•					
h MILLGATE INTER	NATIONAL LTD.					
i SAB OVERSEAS F	UND, LTD.					
SANSAR CAPITAL						
k TIGER GLOBAL I						
TPG-AXON PARTN		LTD.				
	OPPORTUNITIES CA					
	OPPORTUNITIES OF		, INC.			
o FOREIGN CURREN		I BIIONE I ONE	, 11101			
	(f) Depreciation allowed	(g) Cost or other b	acic		Gain or (loss)	
(e) Gross sales price	(or allowable)	plus expense of s		(e) p	lus (f) minus (g)	
a						676,626.
b						281,311.
С					-3,	521,381.
d					1,	499,263.
е					1,	044,508.
f					-2,	636,986.
g					•	0.
h					1.	484,616.
i					2,	157,866.
i						357,408.
k						880,408.
1						57,034.
m						-27,044.
n						592,149.
0						-5,386.
	ing gain in column (h) and owned by	the foundation on 12/31/6	9	(1) 1 oc	ses (from col. (h))	3,300.
- Complete only for account one	(j) Adjusted basis	(k) Excess of col.			of col. (h) gain over	col. (k).
(i) F.M.V. as of 12/31/69	as of 12/31/69	over col. (j), if ar			ot less than "-0-")	(),
		(),,	,		3	676,626.
<u>a</u>					<u> </u>	281,311.
<u>b</u>	+	+				521,381.
C		+				$\frac{321,361}{499,263}$
d						044,508.
6					<u> </u>	636,986 .
1					-2,	<u>030,300.</u>
<u>g</u>					1	<u> </u>
<u>h</u>	1					484,616.
1						157,866.
<u>J</u>						357,408.
<u>k</u>						880,408.
1						57,034.
m						-27,044.
n						592,149.
0				1		-5,386.
	r If nain also enter	r in Part I line 7				
2 Capital gain net income or (net c	apital loss) { If gain, also enter '-(D-" in Part I, line 7 }	2			
3 Net short-term capital gain or (lo	ss) as defined in sections 1222(5) ar	nd (6):)			
If gain, also enter in Part I, line 8	, column (c).	. ,	}			
If (loss), enter "-0-" in Part I, line	8] 3			

THE ROCKEFELLER	FOUNDATION	13-	16596	29 P	AGE 8	OF 8
·	osses for Tax on Investment Income					
(a) List and 2-story b	d describe the kind(s) of property sole rick warehouse; or common stock, 20	d, e.g., real estate, 00 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a BCLF - PRI						
b CENTENARY - PR	I					
c HARVEST FARM -						
	- PRI					
	II - PRI					
	RI					
g OFR FIXED ASSE						
	ZED LOSSES THRU	K-1S, NET OF U	BI			
i i i i i i i i i i i i i i i i i i i	THE LOBBLE TIME	IC ID, IVII OI O				
i						
J k						
<u>k</u>						
<u>m</u>						
n						
0	(A) Daniel de la constant	(-) O++		(1-)) O-i (I)	
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale) Gain or (loss) lus (f) minus (g)	
a						-22,602.
b						-16,202.
С						62,553.
d					_	178,487.
e						93,921.
f						-75,399.
g						-30,158.
h						702,972.
i					·	
i						
k						
1						
m						
n						
0						
	ng gain in column (h) and owned by t	the foundation on 12/31/69		(I) Los	ses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		Gains (excess o	of col. (h) gain over ot less than "-0-")	col. (k),
a						-22,602.
b						$\frac{-16,202}{-16}$
C						62,553.
d					_	178,487.
е						93,921.
f						-75,399.
						-30,158.
g h					-11	702,972.
i						
<u>'</u>						
J k						
<u>k</u>						
<u>m</u>						
m n						
<u>n</u>						
0				ı		
2 Capital gain net income or (net ca	apital loss) { If gain, also enter "-0	r in Part I, line 7)-" in Part I, line 7	2		-4,	924,452.
	ss) as defined in sections 1222(5) an	nd (6):				
If gain, also enter in Part I, line 8,)	3		N/A	

FORM 990-PF INTEREST ON SAVINGS A	ND TEMPORAF	Y CASH	INVESTMENTS	STATEMENT	1
SOURCE				AMOUNT	
INTEREST ON SAVINGS AND TEMPORARY	CASH INVEST	MENTS		1,575,1	70.
TOTAL TO FORM 990-PF, PART I, LINE	1,575,1	1,575,170.			
FORM 990-PF DIVIDENDS AND	INTEREST F	ROM SEC	URITIES	STATEMENT	2
SOURCE	GROSS AMOU		APITAL GAINS DIVIDENDS	COLUMN (A AMOUNT)
DIVIDENDS AND INTEREST	23,121,1	40.	0.	23,121,140.	
TOTAL TO FM 990-PF, PART I, LN 4	23,121,1	40.	0.	23,121,1	40.
FORM 990-PF O	THER INCOME			STATEMENT 3	
DESCRIPTION	(A REVE PER E	NUE	(B) NET INVEST- MENT INCOME		
OTHER INCOME THRU K-1'S, NET OF UB INCOME THRU K-1'S NOT ON F/S ROYALTIES SETTLEMENT INSURANCE CLAIMS SECURITIES LENDING INCOME FEDERAL EXCISE TAX BENEFIT OTHER INCOME PROGRAM RELATED INVESTMENT INTERES	-6 8 1,4	58,872. 58,872. 21. 637. 24,335. 03,522. 72,524. 85,490.	0 21 637 24,335 0 1,472,524	• • • • • •	

TOTAL TO FORM 990-PF, PART I, LINE 11

2,486,529.

2,341,879.

FORM 990-PF	LEGAL	FEES	S	FATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS		(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AXIOM LEGAL SOLUTIONS, INC. HUNTON & WILLIAMS KAUFF MCCLAIN & MCGUIRE LLP	69,437. 15,580. 0.	0. 0. 0.		69,437. 14,120. 4,664.
PATTERSON, BELKNAP, WEBB & TYLER LLP PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP	140,424. 46,844.	0. 46,844.		169,534.
PROSKAUER ROSE LLP SIMPSON THACHER & BARTLETT	162,587.	162,587.		0.
LLP CAPLIN & DRYSDALE, CHARTERED	19,689. 7,595.	0.		34,689. 7,595.
CT CORPORATION SYSTEM FRAGOMEN, DEL REY, BERNSEN & LOEWY, P.C.	270. 14,621.	0.		270. 14,621.
CHIOMENTI STUDIO LEGAL CLIFFORD CHANCE STUDIO DEBEVOISE& PLIMPTON	100,861. 8,451. 11,840.	0. 0. 11,840.		93,853. 8,451. 0.
KAPLAN AND STRATTON QUINN EMANNUEL VEDDER PRICE PC	6,982. 33,100. 13,257.	33,100. 0.		6,982. 0. 12,135.
WALKER KONTOS	4,012.	0.		4,012.
TO FM 990-PF, PG 1, LN 16A	655,550.	254,371.		440,363.
FORM 990-PF	ACCOUNTI	NG FEES	S	PATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
O'CONNOR DAVIES MUNNS & DOBBINS LLP	348,520.	163,780.		111,073.
TO FORM 990-PF, PG 1, LN 16B	348,520.	163,780.		111,073.

FORM 990-PF	OTHER PROFES	SIONAL FEES	S'	TATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTANTS (ADMINISTRATIVE) CONSULTANTS (DIRECT	2,156,370.	23,000.		2,080,773.
CHARITABLE EXPENDITURES)	848,394.	0.		841,927.
CUSTODIANS & INVESTMENT MANAGERS	6,914,851.	6,914,851.		0.
TO FORM 990-PF, PG 1, LN 16C	9,919,615.	6,937,851.		2,922,700.
FORM 990-PF	TAX	ES	S'	PATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES THRU K-1S	0.	237,510.		0.
TO FORM 990-PF, PG 1, LN 18	0.	237,510.		0.
FORM 990-PF	OTHER E	XPENSES	S'	TATEMENT 8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
COMPUTER/FURNITURE AND EQUIPMENT	1,116,001.	0.		1,124,963.
OFFICE EXPENSES (SUPPLIES, POSTAGE, OTHER) SUNDRIES (INSURANCE,	794,360.	1,911.		752,447.
MEMBERSHIPS, TRAINING, STAFF FUNCTIONS, OTHER) CREDIT FACILITY FEES OTHER PORTFOLIO DEDUCTIONS	812,293. 238,709. 0.	0.		612,847. 110,931. 0.
TO FORM 990-PF, PG 1, LN 23	2,961,363.	26,719,865.		2,601,188.

FORM 990-PF OTHER INCREASES IN	NET ASSET	S OR FU	ND BALANCES	STATEMENT	
DESCRIPTION				AMOUNT	
CHANGE IN UNREALIZED GAIN ON INVESTMENTS AND PRI'S			511,822,0	00.	
PENSION AND POSTRETIREMENT BENEF	IT LIABILI	TY ADJU	STMENTS	8,238,8	71.
TOTAL TO FORM 990-PF, PART III,	LINE 3			520,060,8	71.
FORM 990-PF OTHER DECREASES I		TS OR F	UND BALANCES	STATEMENT	1(
DESCRIPTION				AMOUNT	
				2,081,0	
TOTAL TO FORM 990-PF, PART III,	LINE 5			2,129,4	
FORM 990-PF U.S. AND STAT	E/CITY GOV	TED NIMENIO	ODI TG1 #TONG		
		EKMMENT.	OBLIGATIONS	STATEMENT	11
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	STATEMENT FAIR MARKE VALUE	
		OTHER		FAIR MARKE VALUE 19,948,4	T 02.
BGI 1 3 YEAR TREASURY INDEX BGI 1 3 YEAR TREASURY INDEX	GOV'T X X	OTHER	BOOK VALUE 19,948,402. 48,036,395.	FAIR MARKE VALUE 19,948,4 48,036,3	T 02.
BGI 1 3 YEAR TREASURY INDEX BGI 1 3 YEAR TREASURY INDEX BGI 7 10 YEAR TREASURY INDEX	GOV'T X X X	OTHER	BOOK VALUE 19,948,402. 48,036,395. 28,157,264.	FAIR MARKE VALUE 19,948,4 48,036,3 28,157,2	T 02. 95.
BGI 1 3 YEAR TREASURY INDEX BGI 1 3 YEAR TREASURY INDEX BGI 7 10 YEAR TREASURY INDEX BGI US TIPS NON LENDABLE	GOV'T X X	OTHER	BOOK VALUE 19,948,402. 48,036,395.	FAIR MARKE VALUE 19,948,4 48,036,3	T 02 95 64 82
BGI 1 3 YEAR TREASURY INDEX BGI 1 3 YEAR TREASURY INDEX BGI 7 10 YEAR TREASURY INDEX BGI US TIPS NON LENDABLE TREASURY BILL	X X X X X X	OTHER	BOOK VALUE 19,948,402. 48,036,395. 28,157,264. 94,072,282.	FAIR MARKE VALUE 19,948,4 48,036,3 28,157,2 94,072,2	T 02. 95. 64. 82.
DESCRIPTION BGI 1 3 YEAR TREASURY INDEX BGI 1 3 YEAR TREASURY INDEX BGI 7 10 YEAR TREASURY INDEX BGI US TIPS NON LENDABLE TREASURY BILL TOTAL U.S. GOVERNMENT OBLIGATION	GOV'T X X X X X X	OTHER GOV'T	19,948,402. 48,036,395. 28,157,264. 94,072,282. 695,972.	FAIR MARKE VALUE 19,948,4 48,036,3 28,157,2 94,072,2 695,9	T 02. 95. 64. 82.

FORM 990-PF 12 CORPORATE STOCK STATEMENT

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
1ST PHILIPPINE	116,674.	116,674.
AAC ACOUSTIC TECH	314,133.	314,133.
ABB LTD	1,046,004.	1,046,004.
ACCOR	5,439,731.	5,439,731.
ACS ACTIVIDADES CO	4,494,925.	4,494,925.
ADAGE CAPITAL PARNTERS	98,030,231.	98,030,231.
ADAPTEC INC	147,316.	147,316.
ADECCO SA	965,780.	965,780.
ADVANCE AUTO PARTS	2,048,288.	2,048,288.
ADVANCED SEMICONDT	554,721.	554,721.
AFLAC INC	2,075,608.	2,075,608.
AFREN	417,689.	417,689.
AFRICA EMERGING MARKET FUNDS	1,057,532.	1,057,532.
AFRICA EMERGING MARKET FUNDS	19,071,291.	19,071,291.
AFRICAN BANK INV	378,878.	378,878.
ALL AMERICA LATINA LOGISTICA	1,078,500.	1,078,500.
ALL AMERICA LATINA LOGISTICA	1,942,722.	1,942,722.
ALLIANCE GLOBAL GP	163,648.	163,648.
AMBASSADOR HOTEL	180,849.	180,849.
AMDOCS LTD	2,693,375.	2,693,375.
AMERICA MOVIL SAB DE CV	708,693.	708,693.
AMERICA MOVIL SAB DE CV	1,024,164.	1,024,164.
AMERICA MOVIL SAB DE CV	1,479,870.	1,479,870.
AMERICAN EQUITY INVT LIFE	545,278.	545,278.
AMERICAN NATL INS CO	337,418.	337,418.
AMMB HOLDINGS BHD	533,494.	533,494.
AMOREPACIFIC CORP(NEW)	191,697.	191,697.
AMYLIN PHARMACEUTICALS INC	1,234,530.	1,234,530.
ANEKA TAMBANG	276,903.	276,903.
ANGLOGOLD ASHANTI LTD	353,552.	353,552.
ANGLOGOLD ASHANTI LTD	369,656.	369,656.
APOLLO GROUP INC	1,387,282.	1,387,282.
APS CHINA	17,144,500.	17,144,500.
APS SMALL CAP ALPHA FUNDS	12,717,982.	12,717,982.
ARCELORMITTAL	1,302,000.	1,302,000.
ARMSTRONG WORLD INDS INC NEW	353,290.	353,290.
ASM PACIFIC TECH	433,345.	433,345.
ATC TECHNOLOGY CORP	209,284.	209,284.
AU OPTRONICS CORP	183,671.	183,671.
AUTHENTEC INC	68,280.	68,280.
AVENG	478,448.	478,448.
AXA	1,234,592.	1,234,592.
B2W CIA GLOBAL	570,353.	570,353.
BAIDU INC	287,861.	287,861.
BAIDU INC	740,214.	740,214.
BANCO BRADESCO SA-PREF	1,373,224.	1,373,224.
BANCO SANTANDER CHILE NEW	142,516.	142,516.

THE ROCKEFELLER FOUNDATION		13-1659629
BANCOLOMBIA S A	131,979.	131,979.
BANCOLOMBIA S A	441,447.	441,447.
BANK OF CHINA LTD	860,523.	860,523.
BANK OF CHINA LTD	1,310,799.	1,310,799.
BARD C R INC	1,359,355.	1,359,355.
BBVA (BILB VIZ ARG)	1,042,621.	
BBVA (BILB VIZ ARG)	2,548,115.	2,548,115.
BCO ABC BRASIL SA	100,184.	
BECKMAN COULTER INC	1,786,512.	
BECTON DICKINSON + CO	2,885,724.	
BELLE INTERNATIONAL HOLDINGS	463,863.	
BERKLEY W R CORP	1,562,176.	
BEZEK ISRAEL TELCM	197,866.	
BIGBAND NETWORKS INC	39,794.	
BK MANDIRI	281,400.	
BK MANDIRI	826,940.	
BK OF AYUDHYA	1,496,243.	
BK RAKYAT	1,014,572.	
BLACKBAUD INC		785,816.
BLOUNT INTL INC NEW	259,570.	
BM+F BOVESPA SA BO	455,369.	
BP PLC	1,142,344.	
BRADESPAR SA -PREF	1,589,208.	
BRADY CORP	473,408.	
BRAHMAN INVESTMENTS II SPV LTD	254,435.	
BRIDGESTONE CORP	1,178,957.	
BRIDGEWATER PURE ALPHA FUND II	42,139,873.	
BRIDGEWATER ST INV FUND I LLC	31,297,521.	
BYD CO	933,082.	
BYD CO	1,575,735.	
BYD ELECTRONIC INTL CO LTD	178,117.	
CADENCE DESIGN SYS INC	947,660.	
CAIRN ENERGY PLC	966,778.	
CALLIDUS SOFTWARE INC		45,098.
CAP GEMINI	1,265,982.	1,265,982.
CARLISLE COS INC	173,870.	173,870.
CATCHER TECHNOLOGY CO LTD	165,012.	165,012.
CATHAY FINANCIAL H	394,391.	394,391.
CEMENTOS ARGOS SA	170,664. 312,048.	170,664. 312,048.
CEMEX S.A.B DE C.C ADR CEMEX S.A.B DE C.C ADR	3,097,786.	3,097,786.
CHECK POINT SOFTWARE	142,296.	142,296.
CHECK POINT SOFTWARE	1,673,672.	1,673,672.
CHELL INDUSTRIES	790,871.	790,871.
CHEMICAL FINL CORP	319,132.	319,132.
CHESAPEAKE ENERGY CORP	4,166,680.	4,166,680.
CHEUNG KONG(HLDGS)	3,544,238.	3,544,238.
CHINA CONST BK	1,415,022.	1,415,022.
CHINA HIGH SPEED	308,092.	308,092.
CHINA LIFE INSURAN	1,084,280.	1,084,280.
CHINA LIFE INSURANCE	830,895.	830,895.
CHINA LONGYUAN POWER GROUP H	929,672.	929,672.
CHINA MENGNIU DAIRY	522,501.	522,501.
CHINA MERCHANTS BK	926,688.	926,688.
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THE ROCKEFELLER FOUNDATION		13-1659629
CHINA MERCHANTS BK	1,032,453.	1,032,453.
CHINA MOBILE LTD	1,254,245.	1,254,245.
CHINA NATIONAL BUI	376,954.	376,954.
CHINA PETROLIUM + CHEMICAL	925,011.	
CHINA POWER INTL	595,760.	595,760.
CHINA SHENHUA ENERGY	507,219.	507,219.
CHINA SHENHUA ENERGY	1,068,345.	-
CHINA SHINEWAY PHA	219,392.	
CHINA SOUTH LOCOMOTIVE + ROLL	708,636.	-
CHINA STEEL	899,672.	
CHINA TAIPING INSURANCE HOLD	1,074,690.	
CHINA TELECOM CORP		111,147.
CHINA TRUST FINANCE	671,929.	-
CHINA UNICOM HONG KONG LTD	896,213.	-
CHOICE HOTELS INC	1,317,056.	
CHUNGHWA TELECOM		992,803.
CIA BRASILEIRA SP ADR PREF A	172,776.	
CIA ENERGETICA MINAS GER-PRF		974,358.
CIBER INC	338,618.	
CIE DE ST GOBAIN	1,168,013.	
CITRIX SYS INC	1,503,328.	
CNOOC LTD	1,139,908.	
CNPC HONG KONG LIMITED	1,139,908.	
COLORIA ST	738,742.	723,428.
COLOPLAST		
COMPANHIA DE BEBIDAS DAS AMERS	264,047.	-
COMPANHIA ENERGETICA DE MINAS	759,604.	
COMPANHIA PARANAENSE DE ENERG	287,430.	
COMPANIA DE MINAS BUENAVENTURA	123,839.	
CON WAY INC	1,197,413.	
CONMED CORP	260,490.	-
CONSECO INC	175,000.	
CONVEXITY CAPITAL OFFSHORE LP	84,386,472.	
COPA HOLDINGS S A		49,023.
CORP GEO SAB DE CV	243,282.	243,282.
COVENTRY HEALTH CARE INC	1,474,403.	1,474,403.
CTRIP COM INTL ADR	90,615.	90,615.
DAEWOO MOTOR SALES CW 12	79,924.	79,924.
DAIICHI SANKYO	810,208.	
DAITO TRUST CONST	1,129,599.	
DB X TRACKERS S+P CNX NIFTY	4,003,506.	
DEERE + CO	1,451,127.	
DEL MONTE PACIFIC	182,628.	182,628.
DELL INC	4,308,000.	
DELPHI FINL GROUP INC	442,635.	442,635.
DELTA DUNIA PETROI	240,054.	240,054.
DELTA ELECTRONICS	835,348.	835,348.
DEMANDTEC INC	154,045.	154,045.
DIAGEO	1,960,556.	1,960,556.
DIAMONDROCK HOSPITALITY CO	480,884.	480,884.
DIODES INC	201,433.	201,433.
DIRECTV	8,070,700.	
DISCOVERY COMMUNICATIONS INC	1,447,624.	
DISNEY WALT CO	5,611,500.	5,611,500.

THE ROCKEFELLER FOUNDATION		13-1659629
DONGFENG MOTOR GRO	441,199.	441,199.
DONGWON INDUSTRY	71,440.	71,440.
DRAGON OIL	123,435.	123,435.
DRAGON OIL	2,234,455.	2,234,455.
DRESS BARN INC	183,645.	183,645.
DREW INDS INC	200,305.	200,305.
DYNAMO BRAZIL IV LP	53,523,061.	53,523,061.
EAST JAPAN RAILWAY	781,868.	781,868.
EBAY INC	700,692.	700,692.
EMERGING MARKETS GLOBAL SCF	5,665,454.	5,665,454.
EMM MIDDLE EAST FUND	1,971,402.	1,971,402.
EMPRESA NACIONAL DE ELEC	147,140.	147,140.
EMPRESAS LA POLAR	153,020.	
EMSAF MAURITIUS	5,098,871.	5,098,871.
ENEL	1,033,091.	
ENI	1,345,881.	
EQUINIX INC	1,793,935.	· · · · · · · · · · · · · · · · · · ·
ERICSSON(LM)TEL	639,424.	
EVEREST REINSURANCE GROUP LTD	2,741,760.	
EVERGRANDE REAL ES		640,121.
EVERGREEN MARINE	609,417.	
EXXARO RESOURCES LTD	103,439.	103,439.
F V I FONDO DE VALORES INMOBIL	2,709.	2,709.
FAIRFAX FINL HLDGS LTD	2,222,772.	2,222,772.
FAR EAST DEPT	4,517.	4,517.
FAR EAST DEPT	947,248.	947,248.
FBL FINL GROUP INC	205,109.	
FINISAR CORP	165,644.	
FINMECCANICA SPA	1,139,637.	
FIRELAKE STRATEGIC TECH FD	5,143,620.	
FLOWERS FOODS INC	1,226,016.	
FOMENTO ECONOMICO MEXICANO SAB	828,324.	
FOXCONN TECH CO	238,378.	
GALLAGHER ARTHUR J + CO	158,696.	158,696.
GAZPROM O A O	1,425,450.	1,425,450.
GEBERIT GEBLA AUTOMORILE II	991,921.	991,921.
GEELY AUTOMOBILE H	278,093.	-
GENTING MALAYSIA BHD	231,677.	231,677.
GERDAU S A	607,971.	607,971.
GILDAN ACTIVEWEAR INC	680,202.	680,202.
GIVAUDAN AG	881,067. 801,178.	881,067. 801,178.
GLAXOSMITHKLINE GLOBAL ASCENT GLASE1	31,464,746.	
GLOBAL ASCENT GLASET GLOBAL CASH ACCESS HLDGS INC	80,742.	31,464,746. 80,742.
GLOVIS	692,029.	692,029.
GOLD FIELDS	1,277,935.	1,277,935.
GOLD FIELDS LTD NEW	361,836.	361,836.
GOME ELECTRICAL AP	303,310.	303,310.
GPO FIN BANORTE	312,206.	312,206.
GPO FIN BANORTE	706,797.	706,797.
GPO MEXICO SA	168,262.	168,262.
GRACO INC	275,701.	275,701.
GREENHILL + CO INC	1,008,858.	1,008,858.
GRIFFON CORP	585,619.	585,619.
	000,010.	555,515.

THE ROCKEFELLER FOUNDATION		13-1659629
GRINDROD LIMITED	332,510.	332,510.
HANAROTELECOM INCORPORATED	65,880.	65,880.
HANDSOME CORP	61,816.	61,816.
HANKUK ELEC GLASS	105,379.	105,379.
HANNONG CHEMICALS INC	68,349.	68,349.
HARMAN INTL INDS INC NEW	156,114.	156,114.
HARMONY GOLD MNG LTD	157,635.	157,635.
HARTE HANKS INC	669,708.	669,708.
HASBRO INC	1,490,790.	1,490,790.
HEARTLAND PMT SYS INC	199,904.	
HI P INTERNATIONAL	1,376,723.	
HOLCIM	1,276,553.	
HON HAI PRECISION	652,220.	
HON HAI PRECISION	1,418,738.	
HON HAI PRECISION	2,052,386.	
HONAM PETROCHEM	117,598.	
HONG KONG EXCHANGE	219,328.	-
HORIBA	343,198.	
HORIZON LINES INC		76,866.
HOYA CORP	1,012,063.	
HSBC HLDGS PLC	1,153,218.	
HSU FU CHI INTERNATIONAL LTD	344,564.	
HTC CORPORATION		697,880.
HUAKU DEVELOPMENT CO LTD	155,114.	
HUANG HSIANG CONSTRUCTION CO	192,595.	
HUNTINGTON BANCSHARES INC	1,321,128.	
HUTCHISON WHAMPOA	1,275,421.	
HYUNDAI INDL + DEV	599,734.	
HYUNDAI MOBIS	704,867.	
IBERDROLA RENOVABL	1,980,517.	
IBERDROLA SA	952,193.	
ICL ISRAEL CHEM	255,451.	
IDT HLDGS (SING)		74,858.
IDT INTERNATIONAL	-	18,140.
IMMUCOR CORP	94,116.	94,116.
IMPERIAL HLDGS	776,760.	776,760.
IND + COM BK CHINA	934,651.	934,651.
INDL BANK OF KOREA INSIGHT ENTERPRISES INC	54,102. 99,640.	54,102. 99,640.
INSIGHT ENTERPRISES INC INVESTEC LIMITED	517,766.	517,766.
ISHARES MSCI EAFE INDEX FUND	11,039,981.	11,039,981.
ITAU UNIBANCO HOLDING SA		1,276,345.
ITAUSA-INVESTIMENTOS ITAU-PR	1,543,790.	1,543,790.
ITT EDL SVCS INC	1,017,176.	1,017,176.
JAHWA ELECTRONICS	96,387.	96,387.
JAPAN PETROLEUM EX	2,592,083.	2,592,083.
JONES APPAREL GROUP INC	449,680.	449,680.
JONES LANG LASALLE INC	1,430,634.	1,430,634.
JSC HALYK BK	100,700.	100,700.
JU TENG INTERNATIONAL	640,009.	640,009.
KAO CORP	1,205,543.	1,205,543.
KAZMUNAIGAS EXPL PRODTN JSC	42,330.	42,330.
KB FINANCIAL GROUP	526,725.	526,725.
KB FINANCIAL GROUP	668,634.	668,634.
	JUU / JUE	300,004

THE ROCKEFELLER FOUNDATION		13-1659629
KB FINANCIAL GROUP	1,039,248.	1,039,248.
KDA EUROPEAN	31,587,254.	31,587,254.
KELLY SVCS INC	260,074.	260,074.
KENMARE RESOURCES PLC	257,935.	257,935.
KEPPEL CORP	547,514.	547,514.
KEYWISE GREATER CHINA OPPS FND	17,024,298.	17,024,298.
KH VATEC	1,039,992.	1,039,992.
KING PHARMACEUTICALS INC	1,516,572.	1,516,572.
KING SLIDE WORKS	99,036.	99,036.
KINGBOARD COPPER	315,830.	315,830.
KINGFISHER	558,029.	558,029.
KINGSPAN GROUP	1,914,182.	1,914,182.
KONINKLIJKE DSM NV	585,881.	585,881.
KONINKLIJKE PHILIPS ELECTRS N	3,650,560.	3,650,560.
KOREA GAS	33,388.	33,388.
KOREAN REINSURANCE	365,323.	365,323.
KPC HOLDINGS	83,402.	83,402.
LEGRAND SA	1,921,805.	1,921,805.
LENNOX INTL INC	1,179,008.	1,179,008.
LEVEL 3 COMMUNICATIONS INC	2,792,250.	2,792,250.
LG DISPLAY CO LTD	82,917.	82,917.
LG HOUSEHOLD + HEALT	514,790.	514,790.
LIBERTY MEDIA CORP NEW	3,279,100.	3,279,100.
LIVZON PHARM GRP	212,534.	212,534.
LOJAS RENNER SA	459,913.	459,913.
LONE CASCADE LP	70,599,999.	70,599,999.
LONE DRAGON PINE LP	16,461,300.	16,461,300.
LUKOIL OIL COMPANY	807,930.	807,930.
M + T BK CORP	1,678,939.	1,678,939.
MARATHON NEW GLOBAL FUND	74,258,444.	74,258,444.
MARFRIG FRIGORIFIC	179,881.	179,881.
MASCO CORP	488,874.	
MASSEY ENERGY CORP	2,037,485.	2,037,485.
MASSMART MAXIM INTEGRATED PRODS INC	659,281.	659,281.
	1,425,060. 424,200.	1,425,060. 424,200.
MEDASSETS INC MEDIATEK INC	543,224.	
MEDIATEK INC	598,143.	
MEDNAX INC	537,985.	
MEGASTUDY CO LTD	358,149.	
MEILOON INDUSTRIAL		147,127.
MELFAS INC	395,165.	
MEMTECH INTL LTD	171,943.	
METRO BANK + TRUST	148,053.	-
METROPCS COMMUNICATIONS INC	127,169.	
MI DEVS INC	343,840.	343,840.
MILLIPORE CORP	1,302,300.	
MINDRAY MED INTL LTD	430,784.	430,784.
MINDRAY MED INTL LTD	913,296.	
MIRAE ASSET SECURI	669,830.	
MITSUBISHI UFJ FIN GROUP	246,161.	
MITSUI SUMITOMO GR		644,122.
MOBILE TELESYSTEMS	347,119.	
MOHAWK INDS INC	624,845.	
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THE ROCKEFELLER FOUNDATION		13-1659629
MTS SYS CORP	250,757.	250,757.
NALCO HLDG CO	802,366.	802,366.
NARA BANCORP INC	678,699.	678,699.
NASPERS	749,618.	749,618.
NATIONAL PENN BANCSHARES INC	189,912.	189,912.
NATL BK OF GREECE	641,433.	641,433.
NESTLE SA	1,282,012.	
NESTLE SA	2,230,215.	2,230,215.
NEW ORIENTAL ED + TECHNOLOGY	506,587.	506,587.
NEW WORLD DEVEL CO	950,513.	950,513.
NHN CORP	550,538.	550,538.
NHN CORP	717,233.	717,233.
NORSK HYDRO ASA	986,123.	986,123.
NORTHERN TRUST CORP	2,712,434.	
NOVARTIS AG	1,606,868.	
NOVO NORDISK AS	3,540,053.	
NVR INC	1,638,187.	
OCCAM NETWORKS INC DEL	82,102.	
OCI COMPANY LTD	50,850.	50,850.
OEST ELEKTRIZITATS	1,341,879.	
OGX PETROLEO E GAS	2,354,291.	
OIL CO LUKOIL	641,760.	
OJSC OC ROSNEFT	1,214,320.	
OLYMPUS CORP	2,272,732.	
OPEN JT STK CO VIMPEL COMMN	440,583.	440,583.
OPENTABLE INC	319,778.	319,778.
OPNEXT INC	129,284.	129,284.
PACCAR INC	1,595,880.	
PACIFIC ANDES RESOURCES DEVELO	553,374.	553,374.
PANIN LIFE	84,924.	84,924. 493,105.
PARKSON RETAIL GRO PARKSON RETAIL GRO	493,105. 568,967.	•
PEABODY ENERGY CORP	1,613,997.	
PEACE MARK(HLDGS)	11,220.	11,220.
PEARSON	1,159,697.	1,159,697.
PERUSAHAAN GAS NEG	309,468.	309,468.
PETROCHINA CO	802,904.	802,904.
PETROLEO BRASILEIRO SA	2,412,608.	
PETROLEO BRASILEIRO SA PETRO	2,676,547.	
PHH CORP	448,261.	
PIKE ELEC CORP	205,784.	
PING AN INSURANCE	811,189.	811,189.
PLANTRONICS INC NEW	415,680.	415,680.
PLUM CREEK TIMBER CO	2,314,537.	2,314,537.
PNM RES INC	588,541.	588,541.
POLARIS SECURITIES	441,333.	441,333.
POPULAR INC	96,163.	96,163.
PORTLAND GEN ELEC CO	367,380.	367,380.
POSCO	376,805.	376,805.
POSCO	1,114,494.	1,114,494.
PRIME VIEW INTL CO	7,897.	7,897.
PT ASTRA INTL	1,241,001.	1,241,001.
PTT EXPLORTN + PRD	294,970.	294,970.
PTT PUBLIC COMPANY	1,037,421.	1,037,421.

THE ROCKEFELLER FOUNDATION		13-1659629
PUBLIC BK BHD	451,189.	451,189.
PULMUONE HOLDING CO LTD	48,877.	48,877.
QIAGEN NV	1,120,091.	
QUANTA SERVICES INC	1,350,078.	
RACKSPACE HOSTING INC	1,042,500.	· ·
RAIFFEISEN INTL BK	549,724.	
REGIS CORP MINNESOTA	323,078.	
RELIANCE CAP LTD	2,399,586.	2,399,586.
RELIANCE INDS	1,687,950.	1,687,950.
RENHE COMMERCIAL H	488,911.	
RENT A CTR INC NEW	268,901.	
ROCHE HOLDINGS AG	1,773,731.	
ROCKWELL COLLINS INC	1,505,792.	
SAIPEM		484,084.
SAMSON HOLDING	-	159,555.
SAMSUNG CARD	516,993.	
SAMSUNG ELECTRONIC	116,645.	•
SAMSUNG ELECTRONIC	1,872,493.	
SAMSUNG ELECTRONIC	2,813,199.	2,813,199.
SAMSUNG ELECTRONICS-PFD	946,779.	946,779.
SAMSUNG FIRE + MAR	517,221.	517,221.
SAMSUNG FIRE + MAR		861,749.
SANSAR CAPITAL	8,929,331.	8,929,331.
SANSAR CAPITAL HOLDINGS SIDE	1,284,717.	
SBERBANK-PFD-CLS	1,493,619.	
SCHNEIDER ELECTRIC	1,220,271.	1,220,271.
SEALY CORP	134,227.	134,227.
SEALY CORPORATION	422,377.	
SGS SA	957,952.	
SHANGRI LA ASIA LTD	60,252.	
SHIN ETSU CHEM CO	730,329.	
SHINHAN FINANCIAL	572,167.	
SHINSEGAE CO LTD	548,771.	548,771.
SHINSEGAE CO LTD	1,037,592.	1,037,592.
SHN INTL HLDGS	809,209.	809,209.
SHOPPERS DRUG MART CORP	1,552,954.	1,552,954.
SHORETEL INC	99,607.	99,607.
SIAM COMMERCIAL BK	341,380.	341,380.
SIEMENS AG	1,077,865.	1,077,865.
SILCHESTER INTL TOBACCO FREE	38,494,358.	38,494,358.
SILICONWARE PRECIS	350,328.	350,328.
SINA CORP	2,100,870.	2,100,870.
SINGAPORE AIRLINES	943,893.	943,893.
SINOPAC HLDG	421,463.	421,463.
SK C+C CO LTD	336,248.	336,248.
SK TELECOM	136,826.	136,826.
SK TELECOM	542,936.	542,936.
SK TELECOM LTD	180,014.	180,014.
SK TELECOM LTD	540,661.	540,661.
SKECHERS U S A INC	572,760.	572,760.
SMA SOLAR TECH AG	635,504.	635,504.
SMRT CORPORATION	761,850.	761,850.
SOMPO JAPAN INS	4,751,899.	4,751,899.
SOUTHERN COPPER CORP DEL	72,402.	72,402.

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SOUTHWEST AIRLS CO	2,001,393.	2,001,393.
SPDR TRUST	9,736,736.	9,736,736.
SPHERION CORP	163,121.	163,121.
STANDARD CHARTERED	1,469,874.	
STAPLES INC	869,675.	869,675.
STAPLES INC	1,426,220.	1,426,220.
STATOIL ASA	843,614.	843,614.
STRAYER ED INC	775,164.	775,164.
SUMITOMO CORP SUMITOMO TRUST+BKG	1,068,656. 930,379.	1,068,656. 930,379.
SURGUTNEFTEGAZ-PFD	738,720.	738,720.
SUZANO PAPEL E CELULOSE SA	338,687.	
SYNTEC CONSTRUCTION	217.	217.
SYNTHES INC	2,377,484.	
TAIWAN CEMENT	296,577.	296,577.
TAIWAN FERTILIZER	367,110.	•
TAIWAN SEMICON MAN	1,680,077.	•
TAIWAN SEMICON MAN	1,859,971.	
TAKEDA PHARMACEUTICAL CO LTD	695,279.	695,279.
TECH DATA CORP	381,446.	
TELECOM ARGENTINA S.A.	142,970.	142,970.
TELEFONICA SA	725,363.	725,363.
TENAGA NASIONAL	269,860.	269,860.
TERNIUM SA	131,054.	131,054.
TEVA PHARMACEUTICAL INDS LTD	685,396.	685,396.
TEXWINCA HLDGS	244,034.	244,034.
THE CHILDREN S INVESTMENT FUND	46,648,635.	46,648,635.
THORESEN THAI AGEN	592,896.	592,896.
TIANNENG POWER	27,779.	27,779.
TIMBERLAND CO	186,920.	
TOFAS(TURK OTOMOBIL FAB)	151,659.	
TOTAL ACCESS	178,563.	
TOTAL SA	1,175,190.	
TREX INC	344,470.	344,470.
TRIPOD TECHNOLOGY	388,408.	388,408.
TULLOW OIL TUPRAS(T PETR RAF)	891,421. 107,493.	891,421. 107,493.
TURK TELEKOMUNIKAS	95,067.	95,067.
TURKIYE GARANTI BANKASI	396,492.	396,492.
TURKIYE GARANTI BANKASI	1,903,394.	1,903,394.
TURKIYE IS BANKASI	1,365,132.	1,365,132.
UNIBAIL-RODAMCO SE	595,407.	595,407.
UNILEVER NV	1,124,695.	1,124,695.
UNILEVER PLC	1,230,204.	1,230,204.
UNITED AMER INDTY LTD	496,164.	496,164.
UNITED O SEAS BANK	785,783.	785,783.
UNIVERSAL AMERN FINL CORP	201,884.	201,884.
UNUM GROUP	1,331,264.	1,331,264.
UTD MICRO ELECT	301,141.	301,141.
VALE SA	586,406.	586,406.
VALE SA	1,531,766.	1,531,766.
VALE SA	1,773,733.	1,773,733.
VALIDUS HOLDINGS LTD	404,181.	404,181.
VANCEINFO TECHNOLOGIES INC	192,100.	192,100.

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VARIAN MED SYS INC		2,230,341.	2,230,341.
VARITRONIX INTL		398,965.	398,965.
VCA ANTECH INC		618,016.	618,016.
VIAD CORP		317,186.	317,186.
VIETNAM RESOURCE INVESTMENTS		258,073.	258,073.
VISTA LAND + LIFES		8,174.	8,174.
VIVENDI SA		1,109,885.	1,109,885.
VIVO PARTICIPACOES S A		359,600.	359,600.
VODAFONE GROUP		1,145,475.	1,145,475.
VODAFONE GROUP		2,820,152.	2,820,152.
VOSSLOH AG		864,878.	864,878.
WADDELL + REED FINL INC		1,768,266.	1,768,266.
WAL MART DE MEXICO SAB DE CV		306,193.	306,193.
WAL MART DE MEXICO SAB DE CV		1,101,962.	1,101,962.
WATERS CORP		750,645.	750,645.
WATSON PHARMACEUTICALS INC		1,964,656.	1,964,656.
WEBSTER FINL CORP WATERBURY		322,567.	322,567.
WESTERN UN CO		1,409,980.	1,409,980.
WPP PLC		1,181,100.	1,181,100.
WUXI PHARMATECH CAYMAN INC		290,903.	290,903.
X 5 RETAIL GROUP NV		621,667.	621,667.
XINYI GLASS HLDGS		135,087.	135,087.
YARA INTERNATIONAL		1,004,258.	1,004,258.
YUANTA FINL HOLDS		352,665.	352,665.
YUM BRANDS INC		4,476,160.	4,476,160.
ZORAN CORP		537,030.	537,030.
ZURICH FINANCIAL SERVICES AG		547,763.	547,763.
TOTAL TO FORM 990-PF, PART II,	LINE 10B	1151837171.	1151837171.
FORM 990-PF	CORPORATE BONDS		STATEMENT 13
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
HYNIX SEMICONDUCTO		296,625.	296,625.

296,625.

TOTAL TO FORM 990-PF, PART II, LINE 10C

296,625.

FORM 990-PF OTHER INVESTMENTS STATEMENT 14

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ABRY PARTNERS VI LP	FMV	8,037,116.	8,037,116.
ACCEL IX	FMV	16,090,802.	16,090,802.
ACCEL X L P	FMV	2,494,542.	2,494,542.
AETOS CAPITAL ASIA TE	FMV	5,805,198.	5,805,198.
AETOS CAPITAL ASIA TE II LTD	FMV	11,631,092.	11,631,092.
ALPINE INVESTORS III LP	FMV	7,150,963.	7,150,963.
ALPINE INVESTORS IV, LP	FMV	301,081.	301,081.
ALTOR FUND II LP	FMV	16,302,187.	16,302,187.
ALTOR FUND III LP	FMV	516,989.	516,989.
AMERICAN SECURITIES PARTNERS V	FMV	3,621,759.	3,621,759.
AMERICAN SECURITIES PRTNRS IV	FMV	19,129,091.	19,129,091.
AP CAYMAN PARTNERS II	FMV	2,340,740.	2,340,740.
AP CAYMAN PARTNERS II CO INV	FMV	579,537.	579,537.
ARCLIGHT ENERGY PART FD IV LP	FMV	12,738,275.	12,738,275.
ARCLIGHT ENERGY PARTNERS FD 3	FMV	17,190,610.	17,190,610.
ASLAN REALTY PARTNERS II	FMV	5,409,000.	5,409,000.
AUDAX PRIVATE EQUITY FD II	FMV	16,514,386.	16,514,386.
AUDAX PRIVATE EQUITY FUND III	FMV	11,407,992.	11,407,992.
AVANTI STRATEGIC LAND INV IV	FMV	749,828.	749,828.
AVANTI STRATEGIC LAND INV V	FMV	8,571,918.	8,571,918.
AVANTI STRATEGIC LAND INV VI	FMV	5,562,492.	5,562,492.
BAIN CAPITAL ASIA FUND	FMV	940,779.	940,779.
BAIN CAPITAL FUND IX LP	FMV	6,247,754.	6,247,754.
BAIN CAPITAL FUND VIII E	FMV	12,347,014.	12,347,014.
BAIN CAPITAL IX COINV FUND	FMV	3,008,839.	3,008,839.
BATTERY VENTURES VI LP	FMV	2,977,370.	2,977,370.
BATTERY VENTURES VII	FMV	6,620,006.	6,620,006.
BATTERY VENTURES VIII	FMV	3,960,864.	3,960,864.
BAUPOST VALUE PARTNERS IV	FMV	64,114,295.	64,114,295.
BAY RESOURCE PRTNRS OFFSHORE	FMV	14,015,616.	14,015,616.
BC EUROPEAN CAPITAL VII	FMV	15,929,158.	15,929,158.
BC EUROPEAN CAPITAL VIII	FMV	21,394,981.	21,394,981.
BENCHMARK CAP PARTNERS VI LP	FMV	5,536,370.	5,536,370.
BENCHMARK CAPITAL PARTNERS IV	FMV	5,210,518.	5,210,518.
BENCHMARK CAPITAL PARTNERS V	FMV	5,231,302.	5,231,302.
BERKSHIRE FUND VI LP	FMV	13,281,802.	13,281,802.
BERKSHIRE FUND VII LP	FMV	9,236,542.	9,236,542.
BLUE RIDGE OFFSHORE LP	FMV	75,843,831.	75,843,831.
BLUEHOUSE ACCESSION PRPTY III	FMV	2,793,719.	2,793,719.
BRAHMAN INSTITUTIONAL PTNRS LP	FMV	48,491,244.	48,491,244.
BRAHMAN INVESTMENTS I SPV LTD	FMV	39,978.	39,978.
BROADREACH CAPITAL PARTNERS	FMV	4,133,602.	4,133,602.
BROADREACH CAPITAL PARTNERS LP	FMV	6,372,032.	6,372,032.
BROOKDALE INVESTORS FIVE LP	FMV	3,976,953.	3,976,953.
CABOT INDUSTRIAL VALUE FD II	FMV	14,395,592.	14,395,592.
CABOT INDUSTRIAL VALUE FUNDIII	FMV	592,655.	592,655.
CADENT ENERGY PARTNERS I	FMV	7,924,780.	7,924,780.

CADENT ENERGY PARTNERS II	FMV	4,168,270.	4,168,270.
CADMUS CAPITAL FUND LTD	FMV	168,905.	168,905.
CAPITAL RESOURCE LENDERS II	FMV	1,018,568.	1,018,568.
CAPITAL RESOURCE LENDERS III	FMV	986,977.	986,977.
CAPITAL RESOURCE LENDERS IV	FMV	2,098,800.	2,098,800.
CAPITAL RESOURCE PARTNERS V	FMV	5,345,595.	5,345,595.
CARL MARKS STRATEGIC OPP FUND	FMV	17,133,456.	17,133,456.
CASTANEA PARTNERS FUND III LP	FMV	1,925,461.	1,925,461.
CDH CHINA FUND III, LP	FMV	9,171,130.	9,171,130.
CDH SUPPLEMENTARY FUND III,LP	FMV	1,899,020.	1,899,020.
CERBERUS INSTITUTIONAL PRINS	FMV	12,450,935.	12,450,935.
CERBERUS SERIES 3 HOLDINGS LLC	FMV	10,588,257.	10,588,257.
CHARLES RIVER PARTNERS XIII LP	FMV	3,263,887.	3,263,887.
CHARLES RIVER PARTNERSHIP X	FMV	196,643.	196,643.
CHARLES RIVER PARTNERSHIP XI	FMV	29,739.	29,739.
CHARLES RIVER PARTNERSHIP XI	FMV	162,827.	162,827.
CHARLES RIVER PARTNERSHIP XII	FMV	195,110.	195,110.
CHARLES RIVER PARTNERSHIP XII	FMV	1,137,164.	1,137,164.
CHRYSCAPITAL IV	FMV	5,791,461.	5,791,461.
CHRYSCAPITAL V LLC	FMV	4,474,374.	4,474,374.
CODE HENNESSY + SIMMONS IV	FMV	3,710,153.	3,710,153.
			9,806,053.
CODE HENNESSY + SIMMONS PE V	FMV	9,806,053.	
COMM FD CAP NATRL RES PRINS VI	FMV	7,431,792.	7,431,792.
COMMFD CNR PARTNERS VII LP	FMV	4,970,978.	4,970,978.
COMPOSITION CAPITAL ASIA FUND	FMV	219,152.	219,152.
CP INVESTMENT FUND II LP	FMV	3,268,416.	3,268,416.
CP INVESTMENT FUND III LP	FMV	190,772.	190,772.
CROSSPOINT VENTURE PARTNERS	FMV	4,637,853.	4,637,853.
CROSSPOINT VENTURE PRINTS 1999	FMV	644,523.	644,523.
CROW HLDGS REALTY PART II LP	FMV	50,330.	50,330.
CYPRESS REALTY V LP	FMV	13,818,352.	13,818,352.
CYPRESS REALTY VI LP	FMV	8,302,348.	8,302,348.
DA VINCI JAPAN REAL ESTATE	FMV	13,034,955.	13,034,955.
DELPHI VENTURES IV	FMV	3,193,960.	3,193,960.
EMINENCE LEV LONG ALPHA LTD	FMV	4,473,833.	4,473,833.
FARALLON CAPITAL INST PARTNERS	FMV	34,295,402.	34,295,402.
FARALLON FCIP, LTD	FMV	174,925.	174,925.
FIRELAKE STRATEGIC TECH FD II	FMV	3,134,589.	3,134,589.
FIRELAKE STRATEGIC TECH FD LP -	FMV		
PRIVATE		17,161,079.	17,161,079.
FLEXPOINT FORD FUND II LP	FMV	9,055.	9,055.
FLEXPOINT FORD OVERAGE FUND II	FMV	5,653.	5,653.
FORTRESS INVESTMENT FUND III	FMV	7,022,869.	7,022,869.
FORTRESS COMM FUND LP	FMV	44,583,595.	44,583,595.
FORTRESS INVESTMENT FUND IV	FMV	10,587,406.	10,587,406.
FORTRESS INVESTMENT FUND V	FMV	12,085,523.	12,085,523.
FORTRESS RES INVEST	FMV	4,425,980.	4,425,980.
FORTRESS V COINVESTMENT	FMV	2,344,275.	2,344,275.
FORUM ASIAN REALTY II LP	FMV	12,061,405.	12,061,405.
FREMONT STRATEGIC PROPERTY	FMV	1,785,340.	1,785,340.
FREMONT STRETEGIC PROPERTY II	FMV	9,768,483.	9,768,483.
GENERAL CATALYST GROUP IV L.P.	FMV	4,113,058.	4,113,058.
GENERAL CATALYST GROUP V SUPPL	FMV	1,218,151.	1,218,151.
GENERAL CATALYST GRP III LP	FMV	4,864,285.	4,864,285.
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GENERAL CATALYST V LP	FMV	3,845,290.	3,845,290.
GMO MEAN REVERSION FD OFFSHORE	FMV	5,495,972.	5,495,972.
GRAHAM PARTNERS II	FMV	3,664,915.	3,664,915.
GRAHAM PARTNERS II	FMV	11,987,792.	11,987,792.
GREENFIELD ACQ PARTNERS V LP	FMV	4,477,906.	4,477,906.
GREENFIELD ACQS PARTNERS IV LP	FMV	7,003,554.	7,003,554.
GREENFIELD ACQU PARTNERS III	FMV	7,386,529.	7,386,529.
GROTECH PARTNERS III (PAUL CAPITAL	FMV		
2)		3,286.	3,286.
GS CAPITAL PARTNERS III	FMV	509,303.	509,303.
GS SPECIAL OPPORTUNITIES ASIA	FMV	507,130.	507,130.
HELLMAN FRIEDMAN CAP PARTN II	FMV	5,213.	5,213.
HF EMINENCE FUND LTD	FMV	16,572,924.	16,572,924.
HIGHCROSS REG UK PARTNERS III	FMV	1,286,484.	1,286,484.
HIGHCROSS REGIONAL PARTNERS LP	FMV	8,610,198.	8,610,198.
HIGHLAND CAPITAL PARTNERS V	FMV	1,537,778.	1,537,778.
HIGHLAND CAPITAL PARTNERS VI	FMV	5,156,792.	5,156,792.
HIGHSIDE OFFSHORE LTD	FMV	12,273,173.	12,273,173.
IDG ACCEL CHINA CAPITAL	FMV	2,628,359.	2,628,359.
IDG ACCEL CHINA GROWTH FD II	FMV	7,341,053.	7,341,053.
IDG ACCEL CHINA GROWTH FUND I	FMV	10,005,056.	10,005,056.
INSTITUTIONAL VENTURE PART 8	FMV	117,779.	117,779.
INSTITUTIONAL VENTURE PRTNS IV	FMV	1,830,450.	1,830,450.
INVENTION DEVELOPMENT FD I	FMV	647,012.	647,012.
INVENTION INV FUND I LP	FMV	4,574,229.	4,574,229.
J.C. FLOWERS II LP	FMV	4,514,275.	4,514,275.
KING STREET CAPITAL II	FMV	64,173,265.	64,173,265.
KPCB CHINA FUND	FMV	4,046,421.	4,046,421.
LANSDOWNE UK EQUITY FUND PARTN	FMV	21,448,899.	21,448,899.
LATIN POWER III LP	FMV	6,999,189.	6,999,189.
LIME ROCK PARTNERS III LP	FMV	4,230,659.	4,230,659.
LIME ROCK PARTNERS IV	FMV	8,743,238.	8,743,238.
LIME ROCK PARTNERS V	FMV	8,737,537.	8,737,537.
LIME ROCK RESOURCES C	FMV	10,225,376.	10,225,376.
LITTLEJOHN FUND III LP	FMV	13,646,176.	13,646,176.
LNK PARTNERS LP	FMV	6,087,933.	6,087,933.
LONE PINON	FMV	4,971,836.	4,971,836.
LONE STAR FUND VI L.P.	FMV	15,429,098.	15,429,098.
LONE STAR V AR	FMV	14,495,765.	14,495,765.
LONGREACH CAP PARTNERS I LP	FMV	10,677,887.	10,677,887.
LYME FOREST FUND	FMV	7,912,526.	7,912,526.
M + H REALTY PART V LP	FMV	2,119,186.	2,119,186.
MAP 2006 LP	FMV	9,911,994.	9,911,994.
MARATHON DISTRESSED SUBPRIME	FMV	20,484,767.	20,484,767.
MARATHON SPECIAL OPP FUND LTD	FMV	28,409,731.	28,409,731.
MERIT ENERGY PARTNERS EI	FMV	3,251,922.	3,251,922.
MERIT ENERGY PARTNERS F I LP	FMV	2,837,875.	2,837,875.
MISSIONPOINT CAP PARTNERS 1	FMV	8,819,920.	8,819,920.
MOHR DAIDOW VENTURES VI	FMV	1,282,208.	1,282,208.
MOHR DAVIDOW VENTURES IX	FMV	3,453,450.	3,453,450.
MOHR DAVIDOW VENTURES VIII LP	FMV	3,959,244.	3,959,244.
MOHR DAVIDOW VII LP	FMV	5,144,642.	5,144,642.
MONTRICA GLOBAL OPP FUND LP	FMV	13,733,683.	13,733,683.
MOORFIELD REAL ESTATE FUND A	FMV	1,166,690.	1,166,690.
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MOORFIELD REAL ESTATE FUND II	FMV	1,741,314.	1,741,314.
MORGAN STANLEY PRIVATE EQUITY	FMV	12,662,166.	12,662,166.
NATIONAL CAPITAL PROPERTIES IV	FMV	3,839,606.	3,839,606.
NATL CAPITAL PROP III	FMV	11,121,697.	11,121,697.
NEA 11	FMV	9,362,548.	9,362,548.
NEA 9	FMV	1,472,931.	1,472,931.
NEW ENTERPRISE ASSOCIATES 10	FMV	1,959,883.	1,959,883.
NEW ENTERPRISE ASSOCIATES XII	FMV	3,094,422.	3,094,422.
NORTH BRIDGE GROWTH EQUITY I	FMV	2,831,175.	2,831,175.
OAKTREE CAPITAL MANAGEMENT III	FMV	316,683.	316,683.
OAKTREE CAPITAL MANAGEMENT IV	FMV	15,246.	15,246.
OAKTREE CAPITAL MANAGEMENT OPP	FMV	114,627.	114,627.
ORION EUROPEAN RE FUND II	FMV	928,462.	928,462.
ORION EUROPEAN RE FUND III	FMV	2,322,497.	2,322,497.
OZ EUROPE OVERSEAS FUND LTD	FMV	4,152,458.	4,152,458.
OZ OVERSEAS FUND LTN	FMV	22,660,608.	22,660,608.
PEEPUL CAPITAL LLC ILABS	FMV	5,087,427.	5,087,427.
PENDING SECURITIES TRANSACTIONS -	FMV		
NET		9,499,000.	9,499,000.
PHOENIX ASIA RE INVS III LP	FMV	9,352,889.	9,352,889.
POLARIS VENTURE PARTNERS IV	FMV	8,622,194.	8,622,194.
QUADRANGLE/NACIONAL	FMV	10,073,948.	10,073,948.
QUANTUM ENERGY PARTNERS IV	FMV	5,285,863.	5,285,863.
QUANTUM ENERGY PARTNERS V	FMV	391,153.	391,153.
QUANTUM RES B	FMV	1,343,077.	1,343,077.
REDPOINT OMEGA	FMV	1,973,660.	1,973,660.
REDPOINT VENTURE I LP	FMV	2,755,974.	2,755,974.
REDPOINT VENTURES II LP	FMV	6,414,410.	6,414,410.
REDPOINT VENTURES III LP	FMV	7,297,081.	7,297,081.
ROSEWOOD CAPITAL SAB OVERSEAS FUND LTD	FMV	810,286.	810,286.
SAB OVERSEAS FOND LID SB ASIA INVESTMENT FD II LP	FMV FMV	29,822,977. 15,810,965.	29,822,977. 15,810,965.
SEQUOIA CAP INDIA GTH FD II LP	FMV	357,260.	357,260.
SEQUOIA CAPITAL CHINA	FMV	4,641,768.	4,641,768.
SEQUOIA CAPITAL CHINA II L.P.	FMV	2,123,211.	2,123,211.
SEQUOIA CAPITAL GROWTH FD III	FMV	16,084,543.	16,084,543.
SEQUOIA CAPITAL GROWTH FD IV	FMV	2,247,352.	2,247,352.
SEQUOIA CAPITAL INDIA	FMV	3,304,614.	3,304,614.
SEQUOIA CAPITAL INDIA III LP	FMV	776,560.	776,560.
SEQUOIA CAPITAL ISRAEL III LP	FMV	1,850,945.	1,850,945.
SEQUOIA CAPITAL ISRAEL IV	FMV	563,112.	563,112.
SEQUOIA CAPITAL IX	FMV	589,350.	589,350.
SEQUOIA CAPITAL X	FMV	2,222,707.	2,222,707.
SEQUOIA CAPITAL XI LP	FMV	2,826,012.	2,826,012.
SEQUOIA CAPITAL XII LP	FMV	3,739,519.	3,739,519.
SEQUOIA CPTL CHINA GROWTH FD I	FMV	3,481,022.	3,481,022.
SHORENSTEIN REALTY INV IX LP	FMV	3,894,207.	3,894,207.
SHORENSTEIN REALTY INV VI LP	FMV	4,574,196.	4,574,196.
SHORENSTEIN REALTY INV VII LP	FMV	9,315,477.	9,315,477.
SHORENSTEIN REALTY INV VIII	FMV	6,121,308.	6,121,308.
SIERRA VENTURES VII	FMV	2,024,229.	2,024,229.
SIGMA PARTNERS VII LP	FMV	5,641,900.	5,641,900.
SIGMA PARTNERS VIII LP	FMV	2,298,283.	2,298,283.
SOWOOD ALPHA FUND LTD	FMV	743,743.	743,743.
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STATE STR INSTL INVT TR	FMV	7,751.	7,751.
SUMMIT PARTN VENTURE CAP II A	FMV	1,236,732.	1,236,732.
SUMMIT PARTNERS PRIVAT	FMV	3,359,486.	3,359,486.
SUMMIT VENTURES IV	FMV	53,414.	53,414.
SUMMIT VENTURES V	FMV	171,784.	171,784.
SUMMIT VENTURES VI	FMV	7,502,723.	7,502,723.
TA VI	FMV	11,186,918.	11,186,918.
THE PRIVATVARDE FUND LP	FMV	21,028,809.	21,028,809.
THE VARDE FUND IX L P	FMV	24,517,491.	24,517,491.
THE VARDE FUND VIII	FMV	22,744,402.	22,744,402.
TIGER ASIA OVERSEASFUND LTD	FMV	33,140,842.	33,140,842.
TIGER GLOBAL PIP IV	FMV	8,645,833.	8,645,833.
TIGER GLOBAL PIP V LP	FMV	2,563,689.	2,563,689.
TPG AXON PARTNERS OFFSHORE LTD	FMV	25,793,701.	25,793,701.
TRANSEUROPEAN PROPERTIES LP	FMV	11,447.	11,447.
U S VENTURE PARTNERS IV	FMV	90,074.	90,074.
U S VENTURE PARTNERS V	FMV	37,315.	37,315.
US DOLLAR	FMV	7,445.	7,445.
US DOLLAR	FMV	1,415,825.	1,415,825.
US VENTURE PARNTERS IX	FMV	5,866,182.	5,866,182.
US VENTURE PARTNERS VI	FMV	407,343.	407,343.
US VENTURE PARTNERS VII	FMV	2,599,741.	2,599,741.
US VENTURE PARTNERS VIII	FMV	6,626,688.	6,626,688.
VESTAR CAPITAL PARTNER V	FMV	12,474,315.	12,474,315.
VESTAR CAPITAL PARTNERS III LP	FMV	4,436,047.	4,436,047.
VESTAR CAPITAL PARTNERS IV LP	FMV	6,985,165.	6,985,165.
WATERSHED CAPITAL INSTITUTIONA	FMV	8,661,145.	8,661,145.
WCIP LP (WATERSHED)	FMV	13,510.	13,510.
WELLINGTON BAY POND	FMV	22,094,335.	22,094,335.
WELLINGTON MGMT INV TERREBONNE	FMV	18,437,456.	18,437,456.
WELLINGTON MGMT SPINDRIFT INV	FMV	22,347,806.	22,347,806.
WELSH CARSON ANDERSON STOWE 8	FMV	4,616,786.	4,616,786.
WELSH CARSON ANDERSON+STOWE IX	FMV	10,737,394.	10,737,394.
TOTAL TO FORM 990-PF, PART II, L	INE 13	1847126024.	1847126024.
FORM 990-PF	OTHER ASSETS		STATEMENT 15
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE

		 	
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
PROGRAM RELATED INVESTMENTS BOND UNDERWRITER'S FEES PREPAID FEDERAL EXCISE AND UBI	14,132,553. 118,119.	12,593,942.	12,593,942.
TAXES PREPAID PENSION COST AND OTHER	3,185,000.	2,090,000.	2,090,000.
ASSETS REDEMPTIONS, DIVIDENDS, INTEREST,	13,190,024.	19,162,024.	19,162,024.
AND OTHER RECEIVABLES FEDERAL EXCISE TAX REFUND	59,026,755.	982,912.	982,912.
RECEIVABLE	0.	1,549,088.	1,549,088.
TO FORM 990-PF, PART II, LINE 15	89,652,451.	36,487,939.	36,487,939.

FORM 990-PF OT	HER LIABILITIES		STAT	EMENT 16
DESCRIPTION		BOY AMOUN	г еоу	AMOUNT
TAX-EXEMPT BONDS TO FUND 1993 FAC PURCHASE POSTRETIREMENT BENEFIT OBLIGATION UBI TAXES PAYABLE - STATES BORROWINGS UNDER LINE OF CREDIT DEFERRED FEDERAL EXCISE TAXES		20,266,4 20,752,4 300,0 100,000,0	43. 22 00. 00.	,278,766. ,563,473. 0. 0. ,081,000.
TOTAL TO FORM 990-PF, PART II, LI	NE 22	141,318,8	95. 44	,923,239.
	T OF OFFICERS, D FOUNDATION MANA		STAT	EMENT 17
NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	
ANN M. FUDGE C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE 2.00	9,000.	0.	0.
RAJAT GUPTA C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE 2.00	9,000.	0.	0.
MARGARET HAMBURG C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE 2.00	1,500.	0.	0.
THOMAS J. HEALEY C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE 2.50	0.	9,000.	0.
ALICE HUANG C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE 2.00	9,000.	0.	0.
STRIVE MASIYIWA C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE 2.50	9,000.	0.	0.
DIANA NATALICIO	TRUSTEE	0	0 000	0

0. 9,000.

0.

C/O FOUNDATION, 420 FIFTH AVENUE 2.00 NEW YORK, NY 10018

THE ROCKEFELLER FOUNDATION			13-10	559629
SANDRA DAY O'CONNOR C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE 2.00	0.	0.	0.
NGOZI OKONJO-IWEALA C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE 2.00	0.	0.	0.
JAMES F. ORR, III C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	CHAIR 3.00	9,000.	0.	0.
RICHARD PARSONS C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE 2.00	9,000.	0.	0.
MAMPHELA RAMPHELE C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE 2.50	7,000.	0.	0.
DAVID ROCKEFELLER, JR. C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE 2.00	9,000.	0.	0.
JOHN W. ROWE C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018		9,000.	0.	0.
XUAN VO-TONG C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	TRUSTEE 2.00	9,000.	0.	0.
JUDITH RODIN C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	PRESIDENT 45.00	748,729.	48,180.	0.
PETER MADONIA C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	CHIEF OPERATING		62,673.	0.
DARREN WALKER C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	VICE PRESIDENT 45.00		53,662.	0.
PAMELA O. FOSTER C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	ASSISTANT GENER		58,447.	0.
DONNA J. DEAN C/O FOUNDATION, 420 FIFTH AVENUE	TREAS. & CHIEF 45.00	INVEST. OFF 564,991.		0.

NEW YORK, NY 10018

THE ROCKEFELLER FOUNDATION			13	-1659629
ELLEN TAUS C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	CHIEF FINANCIAL 45.00		46,954.	0.
SHARI PATRICK C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018	GENERAL COUNSEL 45.00		39,654.	0.
ZIA KHAN (FROM NOVEMBER 9, 2009) C/O FOUNDATION, 420 FIFTH AVENUE NEW YORK, NY 10018		96,875.	11,699.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6,	PART VIII	3,033,784.	363855.	0.

FORM 990-PF EXPENDITURE RESPONSIBILITY STATEMENT STATEMENT 18 PART VII-B, LINE 5C

GRANTEE'S NAME

AAVISHKAAR INDIA MICRO VENTURE CAPITAL FUND

GRANTEE'S ADDRESS

512, 5TH FLOOR, PLAM SPRING LINK ROAD MUMBAI, INDIA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 361,843. 06/30/09 0.

PURPOSE OF GRANT

PRI FOR A MICROEQUITY FUND TO PROMOTE ECONOMIC DEVELOPMENT IN INDIA.

DATES OF REPORTS BY GRANTEE

9/25/2009, 3/31/2010, 6/30/2010

ANY DIVERSION BY GRANTEE

ABT ASSOCIATES, INC.

GRANTEE'S ADDRESS

55 WHEELER STREET CAMBRIDGE, MA 02138-1168

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

42,900. 12/30/08

42,900.

PURPOSE OF GRANT

TO ENGAGE THE PRIVATE SECTOR IN ADDRESSING HEALTH ISSUES IN NIGERIA.

DATES OF REPORTS BY GRANTEE

3/26/2009, 7/30/2009, 10/5/2009, 4/10/2010

ANY DIVERSION BY GRANTEE

ABT ASSOCIATES, INC.

GRANTEE'S ADDRESS

55 WHEELER STREET CAMBRIDGE, MA 02138-1168

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

17,000. 08/05/09

17,000.

PURPOSE OF GRANT

TO ENGAGE THE PRIVATE SECTOR IN ADDRESSING HEALTH ISSUES IN NIGERIA.

DATES OF REPORTS BY GRANTEE

3/26/2009, 7/30/2009, 10/5/2009, 4/10/2010

ANY DIVERSION BY GRANTEE

ACCOUNTABILITY STRATEGIES

GRANTEE'S ADDRESS

250-252 GOSWELL ROAD CLERKENWELL

LONDON, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

54,150. 04/30/09

0.

PURPOSE OF GRANT

TO IDENTIFY BEST PRACTICES FOR EVALUATING INNOVATION ACROSS SECTORS.

DATES OF REPORTS BY GRANTEE

9/23/2010, 9/15/2010

ANY DIVERSION BY GRANTEE

ACCOUNTABILITY STRATEGIES

GRANTEE'S ADDRESS

250-252 GOSWELL ROAD CLERKENWELL LONDON, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

45,600. 10/08/09

0.

PURPOSE OF GRANT

TO IDENTIFY BEST PRACTICES FOR EVALUATING INNOVATION ACROSS SECTORS.

DATES OF REPORTS BY GRANTEE

9/23/2010, 9/15/2010

ANY DIVERSION BY GRANTEE

ACUMEN CAPITAL MARKETS I, LP

GRANTEE'S ADDRESS

76 NINTH AVENUE SUITE 315 NEW YORK, NY 10011

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

3,500,000. 12/29/08

547,731.

PURPOSE OF GRANT

PRI FOR A DEBT AND EQUITY FUND TO PROMOTE SUSTAINABLE ECONOMIC DEVELOPMENT.

DATES OF REPORTS BY GRANTEE

7/15/2010, 6/30/2010

ANY DIVERSION BY GRANTEE

AFRICA AGRICULTURE DEVELOPMENT COMPANY LIMITED

GRANTEE'S ADDRESS

32 LEE TERRACE

LONDON, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

250,000. 12/23/09 163,000.

PURPOSE OF GRANT

FOR PILOT PROJECTS FOR SUSTAINABLE AGRI-BUSINESS OPPORTUNITIES IN AFRICA.

DATES OF REPORTS BY GRANTEE

4/5/2010

ANY DIVERSION BY GRANTEE

AFRICA HEALTH AND DEVELOPMENT INTERNATIONAL

GRANTEE'S ADDRESS

TWAWEZA HOUSE PARKLANDS ROAD, MPESI LANE P.O. BOX 66872 NAIROBI, KENYA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 100,000. 07/24/09 88,948.

PURPOSE OF GRANT

FOR "ETHNIC DIVERSITY: CHALLENGES AND OPPORTUNITIES FOR EASTERN AFRICA."

DATES OF REPORTS BY GRANTEE

3/9/2010

ANY DIVERSION BY GRANTEE

AFRICA TWENTY-FOUR MEDIA, LTD.

GRANTEE'S ADDRESS

4TH FLOOR, LES JAMALACS BUILDING VIEUX CONSEIL STREET PORT LOUIS, MAURITIUS

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 50,000. 12/15/09 15,000.

PURPOSE OF GRANT

FOR FILMS INTENDED TO CHANGE GLOBAL PERCEPTIONS OF SUB-SAHARAN AFRICA.

DATES OF REPORTS BY GRANTEE

3/12/2010

ANY DIVERSION BY GRANTEE

AFRICAN BIOTECHNOLOGY STAKEHOLDERS FORUM

GRANTEE'S ADDRESS

P.O. BOX 66069 NAIROBI, KENYA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

12,000. 01/10/06

12,000.

PURPOSE OF GRANT

FOR EDUCATIONAL MATERIALS ON THE ACCOMPLISHMENTS OF AFRICAN CROP RESEARCH.

DATES OF REPORTS BY GRANTEE

7/3/2006, 2/12/2010

ANY DIVERSION BY GRANTEE

AFRICAN EVALUATION ASSOCIATION

GRANTEE'S ADDRESS

15 EMMAUSE ROAD, LA ACCRA P.O. BOX 16208 KIA ACCRA, GHANA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

90,000. 10/01/09

0.

PURPOSE OF GRANT

TO TRANSITION FROM A VOLUNTARY TO AN INDEPENDENT PAN-AFRICAN ASSOCIATION.

DATES OF REPORTS BY GRANTEE

NONE YET

ANY DIVERSION BY GRANTEE

AFRICAN NETWORK OPERATORS GROUP

GRANTEE'S ADDRESS

34 CHURCH CRESCENT LABONE P.O. BOX 3035 ACCRA, GHANA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 110,000. 11/20/08 13,131.

PURPOSE OF GRANT

TO IMPROVE BANDWIDTH CAPACITIES IN 12 INSTITUTIONS OF HIGHER ED IN AFRICA.

DATES OF REPORTS BY GRANTEE

3/5/2009, 5/5/2010

ANY DIVERSION BY GRANTEE

AITEC CONFERENCES LTD

GRANTEE'S ADDRESS

8 HIGHT STREET CROXTON CAMBRIDGESHIRE, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

40,000. 04/30/09

40,000.

PURPOSE OF GRANT

TO EDUCATE KENYAN DEVELOPMENT ORGANIZATIONS ABOUT SHARED SERVICES.

DATES OF REPORTS BY GRANTEE

7/29/2009

ANY DIVERSION BY GRANTEE

AITEC CONFERENCES LTD

GRANTEE'S ADDRESS

8 HIGHT STREET CROXTON CAMBRIDGESHIRE, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

19,900. 08/13/09

19,900.

PURPOSE OF GRANT

TO EDUCATE KENYAN DEVELOPMENT ORGANIZATIONS ABOUT SHARED SERVICES.

DATES OF REPORTS BY GRANTEE

7/29/2009, 1/11/2010

ANY DIVERSION BY GRANTEE

AITEC CONFERENCES LTD

GRANTEE'S ADDRESS

8 HIGHT STREET CROXTON CAMBRIDGESHIRE, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

5,000. 10/07/09

5,000.

PURPOSE OF GRANT

TO EDUCATE KENYAN DEVELOPMENT ORGANIZATIONS ABOUT SHARED SERVICES.

DATES OF REPORTS BY GRANTEE

1/11/2010

ANY DIVERSION BY GRANTEE

ALLAVIDA (KE) LIMITED

GRANTEE'S ADDRESS

3RD FL RATTANSI EDUCATIONAL TRUST BUILDING KOINANGE ST PO BOX 10434-00100 NAIROBI, KENYA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 233,700. 12/23/09 45,766.

PURPOSE OF GRANT

TO TEST VIABILITY OF EXPANDING PRODUCT/SERVICES DELIVERY TO POOR IN AFRICA.

DATES OF REPORTS BY GRANTEE

3/4/2010, 4/28/2010, 4/1/2010, 8/11/2010

ANY DIVERSION BY GRANTEE

AMERICA SHARE USA, INC.

GRANTEE'S ADDRESS

15 WEST 26TH STREET 11TH FLOOR NEW YORK, NY 10010

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

25,000. 01/08/09

25,000.

PURPOSE OF GRANT

FOR INFRASTRUCTURE AND SUPPORT FOR VULNERABLE PEOPLE AFFECTED BY HIV.

DATES OF REPORTS BY GRANTEE

12/23/2008

ANY DIVERSION BY GRANTEE

AMERICAN VETERINARY MEDICAL ASSOCIATION

GRANTEE'S ADDRESS

1931 N. MEACHAM RD. SUITE 100 SCHAUMBURG, IL 60173-4360

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 25,000. 01/08/09 75,000.

PURPOSE OF GRANT

FOR ESTABLISHING A NATIONAL STRATEGY IN THE U.S. FOR IMPROVED PUBLIC HEALTH.

DATES OF REPORTS BY GRANTEE

7/8/2009, 2/2/2010

ANY DIVERSION BY GRANTEE

AMERICAN VETERINARY MEDICAL ASSOCIATION

GRANTEE'S ADDRESS

1931 N. MEACHAM RD. SUITE 100 SCHAUMBURG, IL 60173-4360

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 50,000. 11/11/09 50,000.

PURPOSE OF GRANT

FOR ESTABLISHING A NATIONAL STRATEGY IN THE U.S. FOR IMPROVED PUBLIC HEALTH.

DATES OF REPORTS BY GRANTEE

7/8/2009, 2/2/2010

ANY DIVERSION BY GRANTEE

APCO WORLDWIDE LIMITED

GRANTEE'S ADDRESS

153/3 GOLDEN LAND BUILDING, 3RD FLOOR SOI MAHARDLEKLUANG 1, RAJDAMRI ROAD LU BANGKOK, THAILAND

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 300,000. 12/28/09 102,732.

PURPOSE OF GRANT

COMMUNICATIONS AND LEARNING MANAGEMENT FOR ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK.

DATES OF REPORTS BY GRANTEE

3/8/2010, 6/11/2010,

ANY DIVERSION BY GRANTEE

ASIAN COALITION FOR HOUSING RIGHTS

GRANTEE'S ADDRESS

73 SOL SONTHIWATTANA 4 LADPRAO 110 ROAD. WANGTHONGLANG DISTRICT BANGKOK, THAILAND

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 367,000. 05/05/09 272,301.

PURPOSE OF GRANT

IN SUPPORT OF THREE COMMUNITY-DRIVEN PROJECTS IN POOR URBAN AREAS IN ASIA.

DATES OF REPORTS BY GRANTEE

4/13/2010

ANY DIVERSION BY GRANTEE

ASSOCIATION FOR HEALTH AND ENVIRONMENTAL DEVELOPMENT

GRANTEE'S ADDRESS

17 BEIRUT STREET., APT. 501 HELIOPOLIS CAIRO, EGYPT

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

79,400. 12/18/08

60,619.

PURPOSE OF GRANT

TO PROVIDE HEALTH PROFESSIONALS FROM DEVELOPING COUNTRIES WITH NEW SKILLS.

DATES OF REPORTS BY GRANTEE

7/1/2009, 1/25/2010

ANY DIVERSION BY GRANTEE

BEN LOETERMAN PRODUCTIONS, INC.

GRANTEE'S ADDRESS

119 BRAINTREE STREET SUITE 403 BOSTON, MA 02134

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 28,500. 01/08/08 28,500.

PURPOSE OF GRANT

FOR THE DOCUMENTARY, "THE PEOPLE VS. LEO FRANK," AND AN EDUCATIONAL PROJECT.

DATES OF REPORTS BY GRANTEE

11/18/2009

ANY DIVERSION BY GRANTEE

BCLF VENTURES LLC, INC.

GRANTEE'S ADDRESS

56 WARREN STREET BOSTON, MA 02119

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

750,000. 05/08/02

0.

PURPOSE OF GRANT

AS A PROGRAM-RELATED INVESTMENT TO CREATE HIGH-QUALITY JOBS FOR LOW-INCOME AND OTHER DISADVANTAGED GROUPS.

DATES OF REPORTS BY GRANTEE

3/31/2010, 6/30/2010

ANY DIVERSION BY GRANTEE

BROADREACH HEALTHCARE, LLC

GRANTEE'S ADDRESS

2500 WILSON BLVD, SUITE 220 ARLINGTON, VA 22201

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

100,700. 12/24/09

0.

PURPOSE OF GRANT

IN SUPPORT OF HEALTH MARKET RESEARCH ACTIVITIES IN SOUTH AFRICA.

DATES OF REPORTS BY GRANTEE

2/25/2010

ANY DIVERSION BY GRANTEE

BUILDING AMERICA'S FUTURE

GRANTEE'S ADDRESS

1301 PENNSYLVANIA AVE., NW SUITE 350 WASHINGTON, DC 20004

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

500,000. 10/10/08

500,000.

PURPOSE OF GRANT

TO ADVANCE A NATIONAL VISION OF EQUITABLE TRANSPORTATION POLICIES.

DATES OF REPORTS BY GRANTEE

5/5/2009, 12/21/2009, 1/11/2010

ANY DIVERSION BY GRANTEE

BUILDING AMERICA'S FUTURE

GRANTEE'S ADDRESS

1301 PENNSYLVANIA AVE., NW SUITE 350 WASHINGTON, DC 20004

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 500,000. 07/02/09 358,183.

PURPOSE OF GRANT

TO ADVANCE A NATIONAL VISION OF EQUITABLE TRANSPORTATION POLICIES.

DATES OF REPORTS BY GRANTEE

5/5/2009, 12/21/2009, 1/11/2010

ANY DIVERSION BY GRANTEE

CAMBODIAN WOMEN FOR PEACE AND DEVELOPMENT ASSOCIATION

GRANTEE'S ADDRESS

#23, ST. 47 SANGKAT SRAS CHAK KHAN DAUN PENH PHNOM PENH, CAMBODIA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 152,637. 12/28/07 146,233.

PURPOSE OF GRANT

FOR A FINAL PHASE OF A PROGRAM TO STRENGTHEN MIGRANT CAMBODIAN HEALTH CARE.

DATES OF REPORTS BY GRANTEE

7/16/2009, 4/26/2009, 12/23/2009

ANY DIVERSION BY GRANTEE

CAMBODIAN WOMEN FOR PEACE AND DEVELOPMENT ASSOCIATION

GRANTEE'S ADDRESS

#23, ST. 47 SANGKAT SRAS CHAK KHAN DAUN PENH PHNOM PENH, CAMBODIA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 147,363. 08/07/09 0.

PURPOSE OF GRANT

FOR A FINAL PHASE OF A PROGRAM TO STRENGTHEN MIGRANT CAMBODIAN HEALTH CARE.

DATES OF REPORTS BY GRANTEE

NONE YET

ANY DIVERSION BY GRANTEE

CENTENARY RURAL DEVELOPMENT BANK

GRANTEE'S ADDRESS

PLOT 7, ENTEBBE ROAD, PO BOX 1892 KAMPALA, UGANDA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

300,000. 05/04/07

0.

PURPOSE OF GRANT

A PRI TO RAISE THE INCOMES OF POOR FARMERS IN UGANDA.

DATES OF REPORTS BY GRANTEE

2/28/2007, 2/29/2008, 12/31/2008

ANY DIVERSION BY GRANTEE

CENTER FOR COMMUNITY DEVELOPMENT STUDIES

GRANTEE'S ADDRESS

NO. 133, QIXIANG ROAD KUNMING THE OLD CAMPUS, YUNNAN ACADEMY OF SOCIAL SCIEN YUNNAN, CHINA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 50,000. 02/27/07 50,000.

PURPOSE OF GRANT

FOR A REVIEW OF SOCIAL TRANSFORMATIONS EXPERIENCED BY ETHNIC GROUPS IN ASIA.

DATES OF REPORTS BY GRANTEE

3/16/2008, 3/10/2010, 3/16/20010

ANY DIVERSION BY GRANTEE

CENTER FOR CREATIVE INITIATIVES IN HEALTH AND POPULATION

GRANTEE'S ADDRESS

NO. 2 LANE 49/41 HUYNH THUC KHANG STREET DONG DA HANOI, VIETNAM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 70,820. 12/17/09 45,819.

PURPOSE OF GRANT

TO COLLECT INFORMATION ON INNOVATIVE HEALTH MARKET PROGRAMS IN VIETNAM.

DATES OF REPORTS BY GRANTEE

3/16/2010, 4/16/2010

ANY DIVERSION BY GRANTEE

CENTRE FOR DEMOCRACY AND DEVELOPMENT

GRANTEE'S ADDRESS

P.O. BOX 14345 4, KIKUYU CLOSE, OFF NAIROBI STREET OFF PRAKOU CRESCENT, WUSE ABUJA, NIGERIA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 50,000. 12/08/09 17,533.

PURPOSE OF GRANT

TO CONDUCT TREND MONITORING ON ISSUES OF POVERTY AND HUMAN DEVELOPMENT.

DATES OF REPORTS BY GRANTEE

5/14/2010

ANY DIVERSION BY GRANTEE

CENTRE FOR HEALTH AND SOCIAL SERVICES LIMITED

GRANTEE'S ADDRESS

P.O. BOX PMB 52 MINISTRIES ACCRA, GHANA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

229,700. 10/09/09

229,700.

PURPOSE OF GRANT

IN SUPPORT OF ITS EFFORTS TO STRENGTHEN GHANA'S NATIONAL HEALTH SYSTEM.

DATES OF REPORTS BY GRANTEE

2/26/2010

ANY DIVERSION BY GRANTEE

CENTRE FOR HIGHER EDUCATION TRANSFORMATION TRUST

GRANTEE'S ADDRESS

THE CHET TRUST PO BOX 29204 SUNNYSIDE 0132 PRETORIA, SOUTH AFRICA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 84,000. 07/27/07 84,000.

PURPOSE OF GRANT

FOR A HIGHER EDUCATION RESEARCH AND ADVOCACY EXPERTISE NETWORK IN AFRICA.

DATES OF REPORTS BY GRANTEE

1/20/2008, 2/11/2008, 5/14/2008, 2/10/2009, 1/15/2010, 2/5/2010

ANY DIVERSION BY GRANTEE

CENTRE FOR HIGHER EDUCATION TRANSFORMATION TRUST

GRANTEE'S ADDRESS

THE CHET TRUST PO BOX 29204 SUNNYSIDE 0133 PRETORIA, SOUTH AFRICA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 84,000. 07/09/08 84,000.

PURPOSE OF GRANT

FOR A HIGHER EDUCATION RESEARCH AND ADVOCACY EXPERTISE NETWORK IN AFRICA.

DATES OF REPORTS BY GRANTEE

1/15/2010, 2/5/2010

ANY DIVERSION BY GRANTEE

CENTRE FOR HIGHER EDUCATION TRANSFORMATION TRUST

GRANTEE'S ADDRESS

THE CHET TRUST PO BOX 29204 SUNNYSIDE 0132 PRETORIA, SOUTH AFRICA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 82,000. 07/01/09 82,000.

PURPOSE OF GRANT

FOR A HIGHER EDUCATION RESEARCH AND ADVOCACY EXPERTISE NETWORK IN AFRICA.

DATES OF REPORTS BY GRANTEE

1/15/2010, 2/5/2010, 6/30/2010, 7/12/2010

ANY DIVERSION BY GRANTEE

CENTRE FOR THE MANAGEMENT OF IP IN HEALTH R & D

GRANTEE'S ADDRESS

OXFORD CENTRE FOR INNOVATION MILL STREET OXFORD, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 300,000. 10/19/04 300,000.

PURPOSE OF GRANT

IMPROVE AND PROMOTE PRODUCT DEVELOPMENT OF PRODUCTS IN DEVELOPING COUNTRIES.

DATES OF REPORTS BY GRANTEE

5/5/2005, 1/20/2006, 12/21/2006, 1/12/2007, 4/25/2008

ANY DIVERSION BY GRANTEE

CENTRE FOR THE MANAGEMENT OF IP IN HEALTH R & D

GRANTEE'S ADDRESS

OXFORD CENTRE FOR INNOVATION MILL STREET OXFORD, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 210,000. 02/03/06 210,000.

PURPOSE OF GRANT

A HANDBOOK ON MANAGEMENT OF INTELLECTUAL PROPERTY IN HEALTH AND AGRICULTURE.

DATES OF REPORTS BY GRANTEE

2/1/2006, 1/12/2007, 12/21/2006, 4/25/2008, 10/20/2009

ANY DIVERSION BY GRANTEE

CENTRE FOR THE MANAGEMENT OF IP IN HEALTH R & D

GRANTEE'S ADDRESS

OXFORD CENTRE FOR INNOVATION MILL STREET OXFORD, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 14,000. 02/08/06 14,000.

PURPOSE OF GRANT

IMPROVE AND PROMOTE PRODUCT DEVELOPMENT OF PRODUCTS IN DEVELOPING COUNTRIES.

DATES OF REPORTS BY GRANTEE

4/25/2008

ANY DIVERSION BY GRANTEE

CENTRE FOR THE MANAGEMENT OF IP IN HEALTH R & D

GRANTEE'S ADDRESS

OXFORD CENTRE FOR INNOVATION MILL STREET OXFORD, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 100,000. 02/08/06 100,000.

PURPOSE OF GRANT

TO PROMOTE THE DEVELOPMENT OF HEALTH PRODUCTS FOR POOR PEOPLE.

DATES OF REPORTS BY GRANTEE

1/18/2006, 1/19/2006, 1/22/2007, 1/22/2008

ANY DIVERSION BY GRANTEE

CITISTATES GROUP, LLC

GRANTEE'S ADDRESS

610 G STREET, S.W. WASHINGTON, DC 20024

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

70,000. 03/27/09

70,000.

PURPOSE OF GRANT

FOR "CENTURY OF THE CITY," FOCUSED ON URBAN PLANNING IN THE GLOBAL SOUTH.

DATES OF REPORTS BY GRANTEE

6/8/2009

ANY DIVERSION BY GRANTEE

CITISTATES GROUP, LLC

GRANTEE'S ADDRESS

610 G STREET, S.W. WASHINGTON, DC 20024

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

5,000. 01/08/09

5,000.

PURPOSE OF GRANT

FOR A REPORT THAT SYNTHESIZES CRITICAL FINDINGS OF THE GLOBAL URBAN SUMMIT.

DATES OF REPORTS BY GRANTEE

12/31/2008

ANY DIVERSION BY GRANTEE

CLIMATE CHANGE ORGANISATION LTD

GRANTEE'S ADDRESS

THE TOWER BUILDING, 3RD FLOOR 11 YORK ROAD LONDON, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 350,000. 12/30/08 350,000.

PURPOSE OF GRANT

TO BUILD SUPPORT FOR A NEW POST-KYOTO CLIMATE CHANGE AGREEMENT.

DATES OF REPORTS BY GRANTEE

7/1/2009, 11/2/2009, 1/26/2010

ANY DIVERSION BY GRANTEE

CONSULTATION OF INVESTMENT IN HEALTH PROMOTION

GRANTEE'S ADDRESS

108 A12 ALLEY 4/15 PHUONG MAI STREET HANOI , VIETNAM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

123,470. 03/25/08

47,012.

PURPOSE OF GRANT

TO ENHANCE CAPACITY TO PUBLISH INFORMATION ON HEALTH ISSUES IN VIETNAM.

DATES OF REPORTS BY GRANTEE

5/3/2007, 3/6/2008, 1/9/2009

ANY DIVERSION BY GRANTEE

CONSUMERS INTERNATIONAL

GRANTEE'S ADDRESS

24 HIGHBURY CRESCENT LONDON , UNITED KINGDOM N5 1RX

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

75,000. 09/12/07

18,553.

PURPOSE OF GRANT

TO FOSTER A FAIRER SYSTEM ON INTELLECTUAL PROPERTY RIGHTS.

DATES OF REPORTS BY GRANTEE

3/6/2009

ANY DIVERSION BY GRANTEE

CREATE! EDUCATION SOLUTIONS, LLC

GRANTEE'S ADDRESS

277 SOUTH WASHINGTON STREET, SUITE 210 ALEXANDRIA , VA 22314

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 100,000. 12/28/07 68,598.

PURPOSE OF GRANT

TO HELP RAISE CAPITAL FOR PRIVATE SCHOOL EDUCATION IN SUB-SAHARAN AFRICA.

DATES OF REPORTS BY GRANTEE

3/12/2008, 2/2/2009

ANY DIVERSION BY GRANTEE

CRITERION VENTURES, LLC

GRANTEE'S ADDRESS

81 CHURCH HILL ROAD HADDAM, CT 06438

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

500,000. 01/15/09

500,000.

PURPOSE OF GRANT

TO PROVIDE HEALTHCARE FINANCIAL SERVICES TO STRUGGLING AMERICAN WORKERS.

DATES OF REPORTS BY GRANTEE

3/10/2010

ANY DIVERSION BY GRANTEE

DALBERG CONSULTING-U.S., LLC

GRANTEE'S ADDRESS

205 EAST 42ND STREET SUITE 1830 NEW YORK, NY 10017

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

61,360. 08/28/09

61,360.

PURPOSE OF GRANT

TO DEVELOP A STRATEGIC CLIMATE CHANGE RESILIENCE PROGRAM.

DATES OF REPORTS BY GRANTEE

11/11/2009

ANY DIVERSION BY GRANTEE

DALBERG CONSULTING-U.S., LLC

GRANTEE'S ADDRESS

205 EAST 42ND STREET SUITE 1830 NEW YORK, NY 10017

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

18,272. 12/09/09

18,272.

PURPOSE OF GRANT

TO DEVELOP A STRATEGIC CLIMATE CHANGE RESILIENCE PROGRAM.

DATES OF REPORTS BY GRANTEE

11/11/2009

ANY DIVERSION BY GRANTEE

EMERALD CITIES PARTNERSHIP, INC.

GRANTEE'S ADDRESS

P.O. BOX 35556

WASHINGTON, DC 20033

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

300,000. 12/24/09

130,741.

PURPOSE OF GRANT

FOR ASSISTANCE TO 10 U.S. CITIES IN IMPLEMENTING BUILDING RETROFIT PLANS.

DATES OF REPORTS BY GRANTEE

9/17/2010

ANY DIVERSION BY GRANTEE

EMPOWER FOUNDATION

GRANTEE'S ADDRESS

CONCRETE HOUSE 57/60 TIVANOND ROAD NONTHABURI, THAILAND

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 10,000. 12/08/09 10,000.

PURPOSE OF GRANT

TO REDUCE VULNERABILITY AND HIV RISK AMONG WOMEN IN LAO PDR AND YUNNAN PROVINCE OF CHINA.

DATES OF REPORTS BY GRANTEE

9/25/2009

ANY DIVERSION BY GRANTEE

ENVIRONMENTAL PROTECTION AND MANAGEMENT SERVICES

GRANTEE'S ADDRESS

P.O. BOX 7775

DAR ES SALAAM, TANZANIA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

50,000. 12/02/09

3,530.

PURPOSE OF GRANT

INTERNATIONAL CONFERENCE ON COMMUNITY-BASED ADAPTATION TO CLIMATE CHANGE.

DATES OF REPORTS BY GRANTEE

5/21/2010

ANY DIVERSION BY GRANTEE

ERASMUS MC

GRANTEE'S ADDRESS

POSTBUS 2040

ROTTERDAM, NETHERLANDS

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

125,000. 01/30/09

125,000.

PURPOSE OF GRANT

FOR MICRO-INSURANCE PROJECTS TO REDUCE THE COST OF HEALTH SERVICES.

DATES OF REPORTS BY GRANTEE

2/3/2010, 4/26/2010

ANY DIVERSION BY GRANTEE

ERASMUS MC

GRANTEE'S ADDRESS

POSTBUS 2040

ROTTERDAM, NETHERLANDS

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

25,000. 06/26/09

25,000.

PURPOSE OF GRANT

FOR MICRO-INSURANCE PROJECTS TO REDUCE THE COST OF HEALTH SERVICES.

DATES OF REPORTS BY GRANTEE

2/3/2010, 4/26/2010

ANY DIVERSION BY GRANTEE

FEDERATION FOR THE DEVELOPMENT OF THE BOOK SECTOR IN CAMBODIA

GRANTEE'S ADDRESS

#148 NORODOM BLVD. TONLE BASAC, CHAMKAR MON PHNOM PENH, CAMBODIA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 45,000. 05/11/07 39,146.

PURPOSE OF GRANT

FOR ACTIVITIES DESIGNED TO DEVELOP AND STRENGTHEN A BOOK CULTURE IN CAMBODIA.

DATES OF REPORTS BY GRANTEE

5/27/2008,6/23/2008

ANY DIVERSION BY GRANTEE

FORO NACIONAL INTERNACIONAL

GRANTEE'S ADDRESS

AV. MANUEL OLGUIN 533 OFICINA 403 SANTIAGO DE SURCO LIMA, PERU

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 50,000. 12/16/09 7,890.

PURPOSE OF GRANT

TO CONDUCT TREND MONITORING ON ISSUES OF POVERTY AND HUMAN DEVELOPMENT.

DATES OF REPORTS BY GRANTEE

3/1/2010, 10/1/2010

ANY DIVERSION BY GRANTEE

FREEDMAN CONSULTING, LLC

GRANTEE'S ADDRESS

3720 YUMA STREET NW WASHINGTON, DC 20016

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

300,000. 10/30/08

300,000.

PURPOSE OF GRANT

FOR THE SECOND POLICY IMPACT FORUM.

DATES OF REPORTS BY GRANTEE

2/10/2009, 10/7/2009, 3/4/2010

ANY DIVERSION BY GRANTEE

FREEDMAN CONSULTING, LLC

GRANTEE'S ADDRESS

3720 YUMA STREET NW WASHINGTON, DC 20016

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

119,600. 05/01/09

119,600.

PURPOSE OF GRANT

FOR THE SECOND POLICY IMPACT FORUM.

DATES OF REPORTS BY GRANTEE

3/4/2010

ANY DIVERSION BY GRANTEE

FREEDMAN CONSULTING, LLC

GRANTEE'S ADDRESS

3720 YUMA STREET NW WASHINGTON, DC 20016

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

155,000. 12/09/09

155,000.

PURPOSE OF GRANT

TO PROVIDE TECHNICAL ASSISTANCE TO GRANTEES OF THE ROCKEFELLER FOUNDATION.

DATES OF REPORTS BY GRANTEE

3/4/2010, 8/13/2010

ANY DIVERSION BY GRANTEE

FREEDMAN CONSULTING, LLC

GRANTEE'S ADDRESS

3720 YUMA STREET NW WASHINGTON, DC 20016

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

24,000. 05/07/09

24,000.

PURPOSE OF GRANT

TO PROVIDE TECHNICAL ASSISTANCE TO GRANTEES OF THE ROCKEFELLER FOUNDATION.

DATES OF REPORTS BY GRANTEE

7/13/2009

ANY DIVERSION BY GRANTEE

FRONT SEAT MANAGEMENT, LLC

GRANTEE'S ADDRESS

3503 NE 45TH STREET SUITE 2W SEATTLE, WA 98105

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

150,000. 09/22/09

87,012.

PURPOSE OF GRANT

FOR A WEB-BASED TOOL THAT MEASURES THE WALKABILITY OF COMMUNITIES.

DATES OF REPORTS BY GRANTEE

3/10/2010, 2/25/2010

ANY DIVERSION BY GRANTEE

FUND FOR CITIES OF SERVICE, INC.

GRANTEE'S ADDRESS

CITY HALL, SECOND FLOOR NEW YORK, NY 10007

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

2,000,000. 12/24/09

0.

PURPOSE OF GRANT

TO ACCELERATE AND STRENGTHEN THE SERVICE EXPANSION INITIATIVES OF TEN CITIES.

DATES OF REPORTS BY GRANTEE

NONE YET

ANY DIVERSION BY GRANTEE

FUNDACION CULTURAL RODOLFO MORALES

GRANTEE'S ADDRESS

MORELOS 108 OCOTLAN DE MORELOS OAXACA, MEXICO

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

80,000. 06/07/07

0.

PURPOSE OF GRANT

FOR THE COSTS OF COMPLETING, "2501 MIGRANTES" BY ARTIST ALEJANDRO SANTIAGO.

DATES OF REPORTS BY GRANTEE

9/25/2008, 12/18/2009

ANY DIVERSION BY GRANTEE

FUNDACION T Y PA - TEORIA Y PRACTICA DE LAS ARTES

GRANTEE'S ADDRESS

ORTEGA Y GASSET 1983 1ER PISO BUENOS AIRES, ARGENTINA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 30,000. 12/17/08 2,297.

PURPOSE OF GRANT

FOR TRANSLATING INTO ENGLISH AND PUBLISHING "HACER CINE EN LATINOAMERICA".

DATES OF REPORTS BY GRANTEE

11/20/2009, 1/20/2010, 5/6/2010

ANY DIVERSION BY GRANTEE

GLOBAL ACTION NETWORK NET, INC.

GRANTEE'S ADDRESS

100 MEMORIAL DRIVE, 52-A CAMBRIDGE, MA 02142

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

191,210. 12/30/08

191,210.

PURPOSE OF GRANT

TO STRENGTHEN THE FIELD OF IMPACT EVALUATION FOR DEVELOPMENT.

DATES OF REPORTS BY GRANTEE

6/9/2010

ANY DIVERSION BY GRANTEE

GLOBAL ACTION NETWORK NET, INC.

GRANTEE'S ADDRESS

100 MEMORIAL DRIVE, 52-A CAMBRIDGE, MA 02142

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

33,690. 12/21/09

26,319.

PURPOSE OF GRANT

TO STRENGTHEN THE FIELD OF IMPACT EVALUATION FOR DEVELOPMENT.

DATES OF REPORTS BY GRANTEE

6/9/2010

ANY DIVERSION BY GRANTEE

GLOBAL DEVELOPMENT INCUBATOR, INC.

GRANTEE'S ADDRESS

205 EAST 42ND STREET SUITE 1830 NEW YORK, NY 10017

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

163,500. 10/07/08

163,500.

PURPOSE OF GRANT

TO PROMOTE GREAT TRANSPARENCY IN SOCIAL IMPACT INVESTING.

DATES OF REPORTS BY GRANTEE

11/18/2009

ANY DIVERSION BY GRANTEE

GREATERGOOD SOUTH AFRICA TRUST

GRANTEE'S ADDRESS

THE BARN, LASSWADE FARM SPAANSCHEMAT RIVER ROAD CONSTANTIA CAPE TOWN, SOUTH AFRICA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

72,540. 03/05/09

0.

PURPOSE OF GRANT

FOR A MEETING TO DISCUSS A GLOBAL SOCIAL INVESTMENT EXCHANGE.

DATES OF REPORTS BY GRANTEE

NONE YET

ANY DIVERSION BY GRANTEE

HEALTH LEVEL SEVEN, INC.

GRANTEE'S ADDRESS

3300 WASHTENAW AVENUE, SUITE 227 ANN ARBOR, MI 48104

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

275,000. 05/28/08

275,000.

PURPOSE OF GRANT

FOR A CONFERENCE ON INTEROPERABLE HEALTH TECHNOLOGY.

DATES OF REPORTS BY GRANTEE

8/25/2009

ANY DIVERSION BY GRANTEE

HEALTH POLICY INSTITUTE, JAPAN

GRANTEE'S ADDRESS

1-11-28, NAGATACHO CHIYODA-KU TOKYO, JAPAN

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

250,000. 03/13/09

250,000.

PURPOSE OF GRANT

TO ORGANIZE THE 2009 GLOBAL HEALTH SUMMIT.

DATES OF REPORTS BY GRANTEE

4/17/2009, 4/20/2009, 9/15/2009

ANY DIVERSION BY GRANTEE

HELENE DE BEIR FOUNDATION

GRANTEE'S ADDRESS

VAN LAETESTRAAT 1A MERELBEKE, BELGIUM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

35,000. 10/28/09

0.

PURPOSE OF GRANT

FOR THE "GLOBAL HEALTH RIGHTS CONFERENCE" TO BE HELD IN BRUSSELS, 2009.

DATES OF REPORTS BY GRANTEE

3/12/2010

ANY DIVERSION BY GRANTEE

HELLOWALLET, LLC

GRANTEE'S ADDRESS

2238 HALL PLACE NW WASHINGTON, DC 20007

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

100,000. 04/10/09

100,000.

PURPOSE OF GRANT

TO ENSURE AFFORDABLE FINANCIAL SERVICES TO LOW-INCOME HOUSEHOLDS.

DATES OF REPORTS BY GRANTEE

5/14/2010, 5/15/2010

ANY DIVERSION BY GRANTEE

HOPE GLOBAL CONSULTING, LLC

GRANTEE'S ADDRESS

378A SAN JOSE AVENUE SAN FRANCISCO, CA 94110

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

123,000. 12/03/09

123,000.

PURPOSE OF GRANT

TO ANALYZE THE DEMAND FOR SUSTAINABLE INVESTMENT PRODUCTS THAT BENEFIT THE POOR AND VULNERABLE.

DATES OF REPORTS BY GRANTEE

3/2/2010, 7/1/2010

ANY DIVERSION BY GRANTEE

IGAD CLIMATE PREDICTION AND APPLICATIONS CENTRE

GRANTEE'S ADDRESS

P.O. BOX 10304 - 00100 GPO

NAIROBI, KENYA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

62,240. 12/15/09 62,200.

PURPOSE OF GRANT

TO STRENGTHEN THE RESILIENCE OF AFRICA TO THE IMPACTS OF CLIMATE CHANGE.

DATES OF REPORTS BY GRANTEE

5/21/2010

ANY DIVERSION BY GRANTEE

IMPACT INVESTMENT EXCHANGE (ASIA) PTE. LTD.

GRANTEE'S ADDRESS

30 CECIL STREET LEVEL 14 PRUDENTIAL TOWER SINGAPORE, SINGAPORE

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 495,040. 12/31/09 4,592.

PURPOSE OF GRANT

TO EXPAND PRODUCT AND SERVICES DELIVERY TO POOR PEOPLE IN ASIA.

DATES OF REPORTS BY GRANTEE

NONE YET

ANY DIVERSION BY GRANTEE

INSTITUTE FOR HEALTH POLICY

GRANTEE'S ADDRESS

72 PARK STREET COLOMBO, SRI LANKA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

56,487. 12/23/09

56,487.

PURPOSE OF GRANT

TO IDENTIFY CRITICAL DRIVERS OF GOOD HEALTH OUTCOMES AND SYSTEMS PERFORMANCE.

DATES OF REPORTS BY GRANTEE

10/8/2009

ANY DIVERSION BY GRANTEE

INSTITUTE FOR POLICY ALTERNATIVES

GRANTEE'S ADDRESS

P.O BOX 16208 KIA ACCRA, GHANA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

46,100. 12/28/09

0.

PURPOSE OF GRANT

TO ASSIST ORGS IN MAKING POSITIVE CHANGE FOR POOR PEOPLE IN AFRICA AND ASIA.

DATES OF REPORTS BY GRANTEE

NONE YET

ANY DIVERSION BY GRANTEE

INSTITUTE FOR SOCIAL DEVELOPMENT STUDIES

GRANTEE'S ADDRESS

SUITE 19.1, VIMECO BUILDING PHAM HUNG RD.

HANOI, VIETNAM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

49,780. 06/22/06

49,780.

PURPOSE OF GRANT

TO PROMOTE AWARENESS OF SOCIAL PROTECTION NEEDS OF WORKERS IN VIETNAM.

DATES OF REPORTS BY GRANTEE

1/6/2006, 1/12/2007, 6/20/2007

ANY DIVERSION BY GRANTEE

INSTITUTE FOR SOCIAL DEVELOPMENT STUDIES

GRANTEE'S ADDRESS

SUITE 19.1, VIMECO BUILDING PHAM HUNG RD.

HANOI, VIETNAM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

133,500. 10/22/09 0.

PURPOSE OF GRANT

IN SUPPORT OF ESTABLISHING THE VIETNAM ALLIANCE FOR HEALTH EQUITY.

DATES OF REPORTS BY GRANTEE

3/12/2010

ANY DIVERSION BY GRANTEE

INSTITUTE FOR SOCIAL DEVELOPMENT STUDIES

GRANTEE'S ADDRESS

SUITE 19.1, VIMECO BUILDING PHAM HUNG RD.

HANOI, VIETNAM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

75,000. 01/21/09

PURPOSE OF GRANT

TO HELP PROTECT THE SOCIAL NEEDS OF MIGRANT WORKERS IN VIETNAM.

44,647.

DATES OF REPORTS BY GRANTEE

9/17/2009, 3/11/2010

ANY DIVERSION BY GRANTEE

INTELLECAP INC.

GRANTEE'S ADDRESS

262 VENTURA AVENUE PALO ALTO, CA 94306

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

200,000. 04/14/09

200,000.

PURPOSE OF GRANT

TOWARD THE COST OF ORGANIZING THE SANKALP SOCIAL ENTERPRISE FORUM.

DATES OF REPORTS BY GRANTEE

6/8/2009

ANY DIVERSION BY GRANTEE

INTELLECAP INC.

GRANTEE'S ADDRESS

262 VENTURA AVENUE PALO ALTO, CA 94306

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

60,000. 10/20/09

9,507.

PURPOSE OF GRANT

FOR TREND MONITORING ON ISSUES RELEVANT TO POVERTY AND HUMAN DEVELOPMENT.

DATES OF REPORTS BY GRANTEE

2/19/2010

ANY DIVERSION BY GRANTEE

INTELLECAP INC.

GRANTEE'S ADDRESS

262 VENTURA AVENUE PALO ALTO, CA 94306

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

149,150. 10/28/09

80,000.

PURPOSE OF GRANT

TO STUDY PRIVATE SECTOR ENGAGEMENT IN URBAN CLIMATE CHANGE RESILIENCE.

DATES OF REPORTS BY GRANTEE

1/7/2010, 3/17/2010, 5/12/2010

ANY DIVERSION BY GRANTEE

INTERNATIONAL AIDS SOCIETY

GRANTEE'S ADDRESS

71 AVENUE LOUIS CASAL CH - 1216 COINTRIN GENEVA, SWITZERLAND

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 100,000. 10/20/08 100,000.

PURPOSE OF GRANT

FOR A MEETING ON THE RESEARCH NEEDED TO SCALE-UP HIV HEALTH CARE SERVICES.

DATES OF REPORTS BY GRANTEE

2/18/2009, 5/12/2010

ANY DIVERSION BY GRANTEE

INTERNATIONAL AIDS SOCIETY

GRANTEE'S ADDRESS

71 AVENUE LOUIS CASAL CH - 1216 COINTRIN GENEVA, SWITZERLAND

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 100,000. 12/29/09 5,384.

PURPOSE OF GRANT

FOR A MEETING "HIV PROGRAMMES AND HEALTH SYSTEMS STRENGTHENING."

DATES OF REPORTS BY GRANTEE

4/8/2010

ANY DIVERSION BY GRANTEE

INTERNATIONAL COUNCIL FOR LOCAL ENVIRONMENTAL INITIATIVES

GRANTEE'S ADDRESS

LEVEL 4, 267 COLLINS ST.

MELBOURNE VIC , AUSTRALIA 3000

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

166,467. 12/17/08

77,012.

PURPOSE OF GRANT

TO ASSESS INDONESIA'S CAPACITY FOR BUILDING RESILIENCE TO CLIMATE CHANGE.

DATES OF REPORTS BY GRANTEE

12/22/2008, 5/25/2009

ANY DIVERSION BY GRANTEE

INTERNATIONAL COUNCIL FOR LOCAL ENVIRONMENTAL INITIATIVES

GRANTEE'S ADDRESS

LEVEL 4, 267 COLLINS ST.

MELBOURNE VIC , AUSTRALIA 3000

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

16,560. 12/30/08

0.

PURPOSE OF GRANT

TO ASSESS INDONESIA'S CAPACITY FOR BUILDING RESILIENCE TO CLIMATE CHANGE.

DATES OF REPORTS BY GRANTEE

12/22/2008, 5/25/2009

ANY DIVERSION BY GRANTEE

INTERNATIONAL FEDERATION OF RED CROSS AND RED CRESCENT SOCIETIES

GRANTEE'S ADDRESS

17, CHEMIN DES CRETS PETIT-SACONNEX GENEVA, SWITZERLAND

GRANT AMOUNT	DATE OF GRANT	AMOUNT EXPENDED
600,000.	12/23/08	600,000.

PURPOSE OF GRANT

FOR USE BY ITS PROVENTION CONSORTIUM TO CONVENE A SERIES OF DONOR DIALOGUES.

DATES OF REPORTS BY GRANTEE

2/24/2010

ANY DIVERSION BY GRANTEE

INTERNATIONAL SOCIETY FOR TELEMEDICINE AND EHEALTH

GRANTEE'S ADDRESS

KLINIKUM DER UNIVERSITAT REGENSBURG ABTEILUNG FUR UNFALLCHIRURGIE JOSEF-ENGE REGENSBURG, GERMANY

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 200,000. 04/14/09 200,000.

PURPOSE OF GRANT

TOWARD THE COSTS OF PLANNING FOR A GLOBAL EHEALTH CONVENTION.

DATES OF REPORTS BY GRANTEE

1/18/2010, 6/10/2010

ANY DIVERSION BY GRANTEE

INTERNATIONAL UNION FOR CONSERVATION OF NATURE

GRANTEE'S ADDRESS

RUE MAUVERNEY 28 GLAND, SWITZERLAND

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 97,200. 12/24/08 97,200.

PURPOSE OF GRANT

FOR A STUDY TO STRENGTHEN AFRICAN LIVESTOCK PRACTICES AND SYSTEMS.

DATES OF REPORTS BY GRANTEE

10/2/2009, 6/9/201

ANY DIVERSION BY GRANTEE

INTERNATIONAL UNION FOR CONSERVATION OF NATURE

GRANTEE'S ADDRESS

RUE MAUVERNEY 28 GLAND, SWITZERLAND

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

24,300. 10/09/09

24,300.

PURPOSE OF GRANT

FOR A STUDY TO STRENGTHEN AFRICAN LIVESTOCK PRACTICES AND SYSTEMS.

DATES OF REPORTS BY GRANTEE

10/2/2009, 6/9/2010

ANY DIVERSION BY GRANTEE

INVESTORS' CIRCLE NETWORK

GRANTEE'S ADDRESS

165 11TH STREET

SAN FRANCISCO, CA 94103

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

30,000. 08/18/09

30,000.

PURPOSE OF GRANT

FOR CONFERENCES TO PROMOTE EDUCATION AND RESEARCH FOR IMPACT INVESTING.

DATES OF REPORTS BY GRANTEE

3/12/2009

ANY DIVERSION BY GRANTEE

IPS ASIA PACIFIC CENTER FOUNDATION INC.

GRANTEE'S ADDRESS

UNIT 505 STERTEN PLACE CONDOMINIUM 116 MAGINHAWA ST. UP TEACHERS' VILLAGE **OUEZON CITY, PHILIPPINES**

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 100,420. 05/22/07 90,431.

PURPOSE OF GRANT

TO STRENGTHEN COMMUNICATION ON INFLUENZA IN THE GREATER MEKONG SUB-REGION.

DATES OF REPORTS BY GRANTEE

3/7/2008, 2/12/2009, 5/7/2010

ANY DIVERSION BY GRANTEE

IPS ASIA PACIFIC CENTER FOUNDATION INC.

GRANTEE'S ADDRESS

UNIT 505 STERTEN PLACE CONDOMINIUM 116 MAGINHAWA ST. UP TEACHERS' VILLAGE **OUEZON CITY, PHILIPPINES**

GRANT AMOUNT	DATE OF GRANT	AMOUNT EXPENDED
1.50.000		454 440
160,922.	04/27/09	154,119.

PURPOSE OF GRANT

FOR "IMAGING OUR MEKONG," ADDRESSING ISSUES IN THE GREATER MEKONG SUB-REGION.

DATES OF REPORTS BY GRANTEE

5/7/2010

ANY DIVERSION BY GRANTEE

IPS ASIA PACIFIC CENTER FOUNDATION INC.

GRANTEE'S ADDRESS

UNIT 505 STERTEN PLACE CONDOMINIUM 116 MAGINHAWA ST. UP TEACHERS' VILLAGE **OUEZON CITY, PHILIPPINES**

GRANT AMOUNT	DATE OF GRANT	AMOUNT EXPENDED
89,078.	12/27/07	89,078.

PURPOSE OF GRANT

FOR "IMAGING OUR MEKONG," ADDRESSING ISSUES IN THE GREATER MEKONG SUB-REGION

DATES OF REPORTS BY GRANTEE

2/12/2009, 5/7/2010

ANY DIVERSION BY GRANTEE

JACOBS CENTER FOR NEIGHBORHOOD INNOVATION

GRANTEE'S ADDRESS

404 EUCLID AVENUE SAN DIEGO, CA 92114

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 1,000,000. 10/30/03 194,250.

PURPOSE OF GRANT

AS A PROGRAM-RELATED INVESTMENT TO PROVIDE EQUITY CAPITAL TO FINANCE PHASE I OF MARKET CREEK PLAZA, A 20-ACRE COMMERCIAL, RETAIL AND CULTURAL COMMUNITY ECONOMIC DEVELOPMENT PROJECT IN A DISTRESSED NEIGHBORHOOD IN SAN DIEGO, CA.

DATES OF REPORTS BY GRANTEE

9/28/2009, 12/31/2009, 6/30/2010

ANY DIVERSION BY GRANTEE

JAMII BORA CHARITABLE TRUST

GRANTEE'S ADDRESS

FUNZI ROAD, P.O. BOX. 2704 NAIROBI, KENYA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

248,000. 04/10/09

0.

PURPOSE OF GRANT

FOR MENTORSHIP, STAFF TRAINING AND OUTREACH OF THE URBAN POOR IN KENYA.

DATES OF REPORTS BY GRANTEE

NONE YET

ANY DIVERSION BY GRANTEE

JEMBI HEALTH SYSTEMS

GRANTEE'S ADDRESS

3 WINCHESTER LANE, SILVERTREE ESTATE THE GREEN BUILDING, WESTLAKE BUSINESS P CAPE TOWN, SOUTH AFRICA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 350,000. 12/02/09 0.

PURPOSE OF GRANT

FOR THE IMPLEMENTATION OF A ROBUST EHEALTH SYSTEM IN LOW-INCOME AREAS.

DATES OF REPORTS BY GRANTEE

NONE YET

ANY DIVERSION BY GRANTEE

KENYA EDUCATION NETWORK TRUST

GRANTEE'S ADDRESS

UNIVERSITY OF NAIROBI 1ST FLOOR, THE JOMO KETTA MEMORIAL LIBRARY P.O. BOX 30 NAIROBI, KENYA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 105,000. 05/19/06 105,000.

PURPOSE OF GRANT

FOR EFFECTIVE MANAGEMENT OF A BANDWIDTH TO KENYAN UNIVERSITIES.

DATES OF REPORTS BY GRANTEE

6/23/2007, 11/27/2007, 8/11/2008, 9/2/2009

ANY DIVERSION BY GRANTEE

KENYA EDUCATION NETWORK TRUST

GRANTEE'S ADDRESS

UNIVERSITY OF NAIROBI 1ST FLOOR, THE JOMO KETTA MEMORIAL LIBRARY P.O. BOX 30 NAIROBI, KENYA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 300,000. 12/28/07 300,000.

PURPOSE OF GRANT

TO ASSIST HIGHER EDUCATION INSTITUTIONS IN EASTERN AFRICA.

DATES OF REPORTS BY GRANTEE

8/11/2008, 10/5/2009, 12/14/2009

ANY DIVERSION BY GRANTEE

KILIMO TRUST

GRANTEE'S ADDRESS

PLOT 2 SERUNKUMA ROAD, MBUYA P.O. BOX 71782, KAMPALA, UGANDA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

1,860,000. 07/11/06 1,860,000.

PURPOSE OF GRANT

TO SUPPORT EFFORTS TO REDUCE POVERTY AND FOOD SHORTAGES.

DATES OF REPORTS BY GRANTEE

3/15/2007, 2/1/2009, 11/17/2009

ANY DIVERSION BY GRANTEE

MANATT, PHELPS & PHILLIPS, LLP

GRANTEE'S ADDRESS

11355 W. OLYMPIC BLVD LOS ANGELES, CA 90064

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

269,600. 03/04/09

269,600.

PURPOSE OF GRANT

FOR STRATEGIC COMMUNICATIONS ADVICE FOR THE HEALTH SYSTEMS INITIATIVE.

DATES OF REPORTS BY GRANTEE

6/9/2009

ANY DIVERSION BY GRANTEE

MANATT, PHELPS & PHILLIPS, LLP

GRANTEE'S ADDRESS

11355 W. OLYMPIC BLVD LOS ANGELES, CA 90064

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

11,000. 01/22/09

11,000.

PURPOSE OF GRANT

FOR MORE EFFECTIVE USE OF COMMUNICATIONS TECHNOLOGIES IN HEALTHCARE DELIVERY SYSTEMS.

DATES OF REPORTS BY GRANTEE

12/10/2008

ANY DIVERSION BY GRANTEE

MANATT, PHELPS & PHILLIPS, LLP

GRANTEE'S ADDRESS

11355 W. OLYMPIC BLVD LOS ANGELES, CA 90064

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

78,700. 09/10/09

78,700.

PURPOSE OF GRANT

FOR MORE EFFECTIVE USE OF COMMUNICATIONS TECHNOLOGIES IN HEALTHCARE DELIVERY SYSTEMS.

DATES OF REPORTS BY GRANTEE

12/31/2008

ANY DIVERSION BY GRANTEE

MATHEMATICA POLICY RESEARCH, INC.

GRANTEE'S ADDRESS

PO BOX 2393

PRINCETON, NJ 08543-2393

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

677,712. 10/23/07

677,712.

PURPOSE OF GRANT

FOR AN EVALUATION TO MEASURE THE IMPACT OF RF'S AMERICAN WORKERS INITIATIVE.

DATES OF REPORTS BY GRANTEE

2/29/2008, 12/3/2008, 2/26/2009, 4/29/2009, 2/12/2010

ANY DIVERSION BY GRANTEE

MATHEMATICA POLICY RESEARCH, INC.

GRANTEE'S ADDRESS

PO BOX 2393

PRINCETON, NJ 08543-2393

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

563,377. 12/30/08

563,377.

PURPOSE OF GRANT

FOR AN EVALUATION TO MEASURE THE IMPACT OF RF'S AMERICAN WORKERS INITIATIVE.

DATES OF REPORTS BY GRANTEE

2/26/2009, 4/29/2009, 2/12/2010

ANY DIVERSION BY GRANTEE

MAX PLANCK SOCIETY FOR THE ADVANCEMENT OF SCIENCE

GRANTEE'S ADDRESS

HOFGARTENSTR. 8 MUNICH, GERMANY

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

33,500. 07/07/06

33,500.

PURPOSE OF GRANT

TO ENHANCE THE ABILITY OF BREEDERS TO IMPROVE CASSAVA AND TROPICAL FRUITS.

DATES OF REPORTS BY GRANTEE

5/15/2007, 12/20/2007, 12/31/2007, 10/6/2008, 4/17/2009, 10/9/2009, 3/11/201

ANY DIVERSION BY GRANTEE

MCKINSEY & COMPANY, INC.

GRANTEE'S ADDRESS

55 EAST 52ND STREET NEW YORK, NY 10022

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

50,000. 08/05/08

50,000.

PURPOSE OF GRANT

TO STUDY THE COST OF EXISTING MEASURES TO ADAPT TO CLIMATE CHANGE.

DATES OF REPORTS BY GRANTEE

4/22/2009, 6/9/2009, 10/19/2009

ANY DIVERSION BY GRANTEE

MCKINSEY & COMPANY, INC.

GRANTEE'S ADDRESS

55 EAST 52ND STREET NEW YORK, NY 10022

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

50,000. 08/18/09

50,000.

PURPOSE OF GRANT

TO STUDY THE COST OF EXISTING MEASURES TO ADAPT TO CLIMATE CHANGE.

DATES OF REPORTS BY GRANTEE

4/22/2009, 6/9/2009, 10/19/2009

ANY DIVERSION BY GRANTEE

MCKINSEY & COMPANY, INC.

GRANTEE'S ADDRESS

55 EAST 52ND STREET NEW YORK, NY 10022

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

450,000. 12/04/08

450,000.

PURPOSE OF GRANT

TO STUDY THE COST OF EXISTING MEASURES TO ADAPT TO CLIMATE CHANGE.

DATES OF REPORTS BY GRANTEE

4/22/2009, 6/9/2009, 10/19/2009

ANY DIVERSION BY GRANTEE

MCKINSEY & COMPANY, INC.

GRANTEE'S ADDRESS

55 EAST 52ND STREET NEW YORK, NY 10022

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

400,000. 12/21/09

0.

PURPOSE OF GRANT

ANALYZE COSTS OF MOVING TO UNIVERSAL HEALTH COVERAGE FOR POOR COUNTRIES.

DATES OF REPORTS BY GRANTEE

3/8/2010

ANY DIVERSION BY GRANTEE

MIRROR FOUNDATION

GRANTEE'S ADDRESS

162 MOO 1 HUAYKHOM VILLAGE MAEYAO SUB-DISTRICT MUANG DISTRICT CHIANGRAI, THAILAND

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 180,000. 01/07/08 152,020.

PURPOSE OF GRANT

TO IMPROVE PUBLIC UNDERSTANDING OF ETHNIC MINORITY GROUPS IN THAILAND.

DATES OF REPORTS BY GRANTEE

5/11/2009, 12/30/2009, 12/31/2009

ANY DIVERSION BY GRANTEE

MIRROR FOUNDATION

GRANTEE'S ADDRESS

163 MOO 1 HUAYKHOM VILLAGE MAEYAO SUB-DISTRICT MUANG DISTRICT CHIANGRAI, THAILAND

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

20,000. 08/07/09

0.

PURPOSE OF GRANT

TO IMPROVE PUBLIC UNDERSTANDING OF ETHNIC MINORITY GROUPS IN THAILAND.

DATES OF REPORTS BY GRANTEE

NONE YET

ANY DIVERSION BY GRANTEE

MISNOMER, INC.

GRANTEE'S ADDRESS

588 10TH STREET SUITE 4 BROOKLYN, NY 11215

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

112,500. 12/04/08

112,500.

PURPOSE OF GRANT

FOR THE DEVELOPMENT OF AN OPEN SOURCE SUITE OF WEB-BASED SOFTWARE TOOLS.

DATES OF REPORTS BY GRANTEE

1/4/2010

ANY DIVERSION BY GRANTEE

MONITOR COMPANY GROUP LP

GRANTEE'S ADDRESS

TWO CANAL PARK CAMBRIDGE, MA 02141

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

20,000. 01/08/09

20,000.

PURPOSE OF GRANT

TO IMPROVE THE EFFICIENCY AND EFFECTIVENESS OF THE PHILANTHROPIC SECTOR.

DATES OF REPORTS BY GRANTEE

12/16/2009, 12/17/2009

ANY DIVERSION BY GRANTEE

MONITOR COMPANY GROUP LP

GRANTEE'S ADDRESS

TWO CANAL PARK CAMBRIDGE, MA 02141

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

325,000. 04/29/09

325,000.

PURPOSE OF GRANT

FOR A TOOL TO HELP PHILANTHROPIC INSTITUTIONS UNDERSTAND FUNDING STRATEGIES.

DATES OF REPORTS BY GRANTEE

12/16/2009

ANY DIVERSION BY GRANTEE

MONITOR COMPANY GROUP LP

GRANTEE'S ADDRESS

TWO CANAL PARK CAMBRIDGE, MA 02141

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

325,000. 06/23/09

325,000.

PURPOSE OF GRANT

FOR A TOOL TO HELP PHILANTHROPIC INSTITUTIONS UNDERSTAND FUNDING STRATEGIES.

DATES OF REPORTS BY GRANTEE

12/16/2009

ANY DIVERSION BY GRANTEE

MONITOR COMPANY GROUP LP

GRANTEE'S ADDRESS

TWO CANAL PARK CAMBRIDGE, MA 02141

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 350,000. 12/24/09 205,767.

PURPOSE OF GRANT

IN SUPPORT OF AN EFFORT TO IMPROVE THE EFFICIENCY AND EFFECTIVENESS OF THE PHILANTHROPIC FIELD.

DATES OF REPORTS BY GRANTEE

7/6/2010

ANY DIVERSION BY GRANTEE

MONITOR COMPANY GROUP LP

GRANTEE'S ADDRESS

TWO CANAL PARK CAMBRIDGE, MA 02141

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

400,000. 10/06/09

400,000.

PURPOSE OF GRANT

TO ILLUMINATING THE INTERSECTIONS OF TECHNOLOGY TRENDS IN INTERNATIONAL DEVELOPMENT.

DATES OF REPORTS BY GRANTEE

2/25/2010, 9/16/2010

ANY DIVERSION BY GRANTEE

MONITOR COMPANY GROUP LP

GRANTEE'S ADDRESS

TWO CANAL PARK CAMBRIDGE, MA 02141

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

289,800. 04/01/09

289,800.

PURPOSE OF GRANT

TO INCREASE THE NUMBER OF HOSPITALS SERVING THE POOR AND VULNERABLE IN INDIA.

DATES OF REPORTS BY GRANTEE

2/19/2010

ANY DIVERSION BY GRANTEE

MONITOR COMPANY GROUP LP

GRANTEE'S ADDRESS

TWO CANAL PARK CAMBRIDGE, MA 02141

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

25,000. 06/23/09

25,000.

PURPOSE OF GRANT

TO INCREASE THE NUMBER OF HOSPITALS SERVING THE POOR AND VULNERABLE IN INDIA.

DATES OF REPORTS BY GRANTEE

2/19/2010

ANY DIVERSION BY GRANTEE

MONITOR INSTITUTE, LLC

GRANTEE'S ADDRESS

101 MARKET STREET SUITE 1000

SAN FRANCISCO, CA 94105

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

266,600. 04/01/09

266,600.

PURPOSE OF GRANT

TO ADVANCE THE EVOLUTION OF THE IMPACT INVESTING INDUSTRY.

DATES OF REPORTS BY GRANTEE

7/1/2010

ANY DIVERSION BY GRANTEE

MYLIFE, INC. DBA QVISORY

GRANTEE'S ADDRESS

P.O. BOX 18165

WASHINGTON, DC 20036

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

800,000. 05/28/08

800,000.

PURPOSE OF GRANT

FOR DEVELOPMENT OF HEALTH, SAVINGS, AND DEBT COUNSELING PRODUCTS.

DATES OF REPORTS BY GRANTEE

1/22/2009, 3/15/2010

ANY DIVERSION BY GRANTEE

MYLIFE, INC. DBA QVISORY

GRANTEE'S ADDRESS

P.O. BOX 18165

WASHINGTON, DC 20036

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

200,000. 04/10/09

149,923.

PURPOSE OF GRANT

FOR DEVELOPMENT OF HEALTH, SAVINGS, AND DEBT COUNSELING PRODUCTS.

DATES OF REPORTS BY GRANTEE

3/15/2010

ANY DIVERSION BY GRANTEE

NATIONAL COUNCIL FOR HIGHER EDUCATION

GRANTEE'S ADDRESS

34 CAVERS CRESCENT P.O. BOX 76 KYAMBOGO KAMPALA, UGANDA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 319,380. 03/30/07 319,380.

PURPOSE OF GRANT

FOR A COMMON CREDIT ACCUMULATION/TRANSFER SYSTEM FOR UNIVERSITIES IN AFRICA.

DATES OF REPORTS BY GRANTEE

10/8/2007, 9/18/2008, 1/21/2009

ANY DIVERSION BY GRANTEE

NATIONAL COUNCIL FOR HIGHER EDUCATION

GRANTEE'S ADDRESS

35 CAVERS CRESCENT P.O. BOX 76 KYAMBOGO KAMPALA, UGANDA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 40,620. 02/07/08 11,507.

PURPOSE OF GRANT

FOR A CREDIT ACCUMULATION AND TRANSFER SYSTEM FOR UNIVERSITIES IN AFRICA.

DATES OF REPORTS BY GRANTEE

1/21/2009

ANY DIVERSION BY GRANTEE

NATURE CONSERVATION RESEARCH CENTRE-GHANA

GRANTEE'S ADDRESS

P.O. BOX KN 925 ACCRA, GHANA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

300,000. 12/15/09

62,302.

PURPOSE OF GRANT

TO INCREASE ACCESS TO CARBON FINANCE.

DATES OF REPORTS BY GRANTEE

3/18/2010

ANY DIVERSION BY GRANTEE

NEW YORK ACQUISITION FUND, LLC

GRANTEE'S ADDRESS

588 BROADWAY, SUITE 1103 NEW YORK, NY 10012

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

5,000,000. 10/18/06

0.

PURPOSE OF GRANT

TO CAPITALIZE THE NEW YORK CITY ACQUISITION LOAN FUND, LLC, WHICH WILL ACCELERATE AND INCREASE THE DEVELOPMENT OF AFFORDABLE HOUSING IN NEW YORK CITY.

DATES OF REPORTS BY GRANTEE

3/1/2010

ANY DIVERSION BY GRANTEE

NOVISCAPE CONSULTING GROUP CO. LTD.

GRANTEE'S ADDRESS

6 SOMKID PLACE BUILDING, ROOM 1 B, 2ND FLOOR SOI SOMKID, WIRELESS ROAD LUMPI BANGKOK, THAILAND

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 55,000. 12/15/09 7,929.

PURPOSE OF GRANT

FOR TREND MONITORING AND RESEARCH ON ISSUES ON POVERTY AND HUMAN DEVELOPMENT.

DATES OF REPORTS BY GRANTEE

2/26/2010

ANY DIVERSION BY GRANTEE

OVE ARUP & PARTNERS INTERNATIONAL LIMITED

GRANTEE'S ADDRESS

13 FITZROY STREET W1T 4BQ LONDON, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 150,000. 08/26/09 150,000.

PURPOSE OF GRANT

FOR ASSISTANCE TO ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK.

DATES OF REPORTS BY GRANTEE

11/4/2009, 4/1/2010

ANY DIVERSION BY GRANTEE

OVE ARUP & PARTNERS INTERNATIONAL LIMITED

GRANTEE'S ADDRESS

13 FITZROY STREET W1T 4BQ LONDON, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 150,000. 11/18/09 81,696.

PURPOSE OF GRANT

FOR ASSISTANCE TO ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK.

DATES OF REPORTS BY GRANTEE

11/4/2009, 4/1/2010

ANY DIVERSION BY GRANTEE

OVE ARUP & PARTNERS INTERNATIONAL LIMITED

GRANTEE'S ADDRESS

13 FITZROY STREET W1T 4BQ LONDON, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

70,000. 07/16/09

70,000.

PURPOSE OF GRANT

TO ENHANCE CLIMATE CHANGE RESILIENCE IN SELECTED ASIAN CITIES

DATES OF REPORTS BY GRANTEE

7/8/2009

ANY DIVERSION BY GRANTEE

PORTLAND PR LIMITED

GRANTEE'S ADDRESS

1 RED LION COURT LONDON, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

77,280. 06/26/09

77,280.

PURPOSE OF GRANT

TO PROVIDE FOUR AFRICAN NON-PROFIT ORGANIZATIONS WITH COMMUNICATIONS SUPPORT.

DATES OF REPORTS BY GRANTEE

5/11/2010, 6/16/2010

ANY DIVERSION BY GRANTEE

PORTLAND PR LIMITED

GRANTEE'S ADDRESS

1 RED LION COURT LONDON, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

38,640. 11/12/09

38,640.

PURPOSE OF GRANT

TO PROVIDE FOUR AFRICAN NON-PROFIT ORGANIZATIONS WITH COMMUNICATIONS SUPPORT.

DATES OF REPORTS BY GRANTEE

5/11/2010, 6/16/2010

ANY DIVERSION BY GRANTEE

PORTLAND PR LIMITED

GRANTEE'S ADDRESS

1 RED LION COURT LONDON, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

123,600. 10/08/09

123,600.

PURPOSE OF GRANT

TO PROVIDE FOUR AFRICAN NON-PROFIT ORGANIZATIONS WITH COMMUNICATIONS SUPPORT.

DATES OF REPORTS BY GRANTEE

8/27/2010

ANY DIVERSION BY GRANTEE

PORTLAND PR LIMITED

GRANTEE'S ADDRESS

1 RED LION COURT LONDON, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

118,600. 12/18/09

6,852.

PURPOSE OF GRANT

TO PROVIDE FOUR AFRICAN NON-PROFIT ORGANIZATIONS WITH COMMUNICATIONS SUPPORT.

DATES OF REPORTS BY GRANTEE

8/27/2010

ANY DIVERSION BY GRANTEE

PROBE MEDIA FOUNDATION, INC.

GRANTEE'S ADDRESS

16 MATIPID STREET SIKATUNA VILLAGE DILIMAN, 1101 **OUEZON CITY, PHILIPPINES**

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 138,540. 12/19/07 134,323.

PURPOSE OF GRANT

FOR "IMAGING OUR MEKONG," ADDRESSING ISSUES IN THE GREATER MEKONG SUB-REGION.

DATES OF REPORTS BY GRANTEE

2/12/2009, 2/24/2009

ANY DIVERSION BY GRANTEE

PROBE MEDIA FOUNDATION, INC.

GRANTEE'S ADDRESS

13 MATIPID STREET SIKATUNA VILLAGE DILIMAN, 1101 **OUEZON CITY, PHILIPPINES**

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 91,030. 05/07/08 66,437.

PURPOSE OF GRANT

TO ADDRESS CROSS-CULTURAL ISSUES IN THE GREATER MEKONG SUB-REGION.

DATES OF REPORTS BY GRANTEE

2/12/2009, 6/9/2009

ANY DIVERSION BY GRANTEE

PROBE MEDIA FOUNDATION, INC.

GRANTEE'S ADDRESS

17 MATIPID STREET SIKATUNA VILLAGE DILIMAN, 1101 **OUEZON CITY, PHILIPPINES**

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 111,460. 06/12/09 0.

PURPOSE OF GRANT

FOR "IMAGING OUR MEKONG," ADDRESSING ISSUES IN THE GREATER MEKONG SUB-REGION.

DATES OF REPORTS BY GRANTEE

NONE YET

ANY DIVERSION BY GRANTEE

PUBLIC HEALTH FOUNDATION OF INDIA

GRANTEE'S ADDRESS

2ND FL. PHD HOUSE 4/2 SIRI INSTITUTIONAL AREA NEW DELHI, INDIA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 366,400. 10/29/08 305,740.

PURPOSE OF GRANT

TO CONDUCT ANALYSIS OF SCHOOLS OF PUBLIC HEALTH IN 8 DEVELOPING COUNTRIES.

DATES OF REPORTS BY GRANTEE

5/5/2009, 5/6/2010, 9/7/2010

ANY DIVERSION BY GRANTEE

REGIONAL UNIVERSITIES FORUM FOR CAPACITY BUILDING IN AGRICULTURE, LIMITED

GRANTEE'S ADDRESS

PLOT 151, GARDEN HILL MAKERERE UNIVERSITY MAIN CAMPUS P.O. BOX 7065 KAMPALA, UGANDA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 150,000. 01/22/09 140,000.

PURPOSE OF GRANT

TO MANAGE TRANS-BOUNDARY ANIMAL DISEASES.

DATES OF REPORTS BY GRANTEE

10/5/2007, 10/7/2009

ANY DIVERSION BY GRANTEE

REGIONAL UNIVERSITIES FORUM FOR CAPACITY BUILDING IN AGRICULTURE, LIMITED

GRANTEE'S ADDRESS

PLOT 151, GARDEN HILL MAKERERE UNIVERSITY MAIN CAMPUS P.O. BOX 7065 KAMPALA, UGANDA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 150,000. 11/25/09 0.

PURPOSE OF GRANT

TO MANAGE TRANS-BOUNDARY ANIMAL DISEASES.

DATES OF REPORTS BY GRANTEE

NONE YET

ANY DIVERSION BY GRANTEE

REGIONAL UNIVERSITIES FORUM FOR CAPACITY BUILDING IN AGRICULTURE, LIMITED

GRANTEE'S ADDRESS

PLOT 151, GARDEN HILL MAKERERE UNIVERSITY MAIN CAMPUS P.O. BOX 7063 KAMPALA, UGANDA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 320,000. 11/02/09 109,460.

PURPOSE OF GRANT

TO STRENGTHEN THE RESILIENCE OF AFRICAN AGRICULTURE TO CLIMATE CHANGE.

DATES OF REPORTS BY GRANTEE

9/25/2009, 10/5/2009

ANY DIVERSION BY GRANTEE

REYUM

GRANTEE'S ADDRESS

#47, STREET 178 P.O. BOX 2439 PHNOM PENH, CAMBODIA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 125,000. 01/01/08 125,000.

PURPOSE OF GRANT

TO SUPPORT PUBLIC EDUCATION TO ENCOURAGE UNDERSTANDING OF CAMBODIAN CULTURE.

DATES OF REPORTS BY GRANTEE

2/5/2009, 1/27/2010

ANY DIVERSION BY GRANTEE

REYUM

GRANTEE'S ADDRESS

#47, STREET 178 P.O. BOX 2440 PHNOM PENH, CAMBODIA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 125,000. 04/08/09 125,000.

PURPOSE OF GRANT

TO SUPPORT PUBLIC EDUCATION TO ENCOURAGE UNDERSTANDING OF CAMBODIAN CULTURE.

DATES OF REPORTS BY GRANTEE

2/5/2009, 1/27/2010

ANY DIVERSION BY GRANTEE

RMC RESEARCH CORPORATION

GRANTEE'S ADDRESS

1000 MARKET STREET BUILDING 2 PORTSMOUTH, NH 03801

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

50,000. 12/28/07

30,500.

PURPOSE OF GRANT

FOR DISSEMINATION OF "CULTURAL DATA IN PLANNING AND ECONOMIC DEVELOPMENT".

DATES OF REPORTS BY GRANTEE

10/19/2009

ANY DIVERSION BY GRANTEE

ROCKEFELLER BROTHERS FUND, INC.

GRANTEE'S ADDRESS

475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 600,000. 08/11/09 600,000.

PURPOSE OF GRANT

TO ADVANCE CLIMATE CHANGE MITIGATION AND ADAPTATION POLICY IN THE U.S.

DATES OF REPORTS BY GRANTEE

9/16/2010

ANY DIVERSION BY GRANTEE

ROCKHOPPER PRODUCTIONS LIMITED

GRANTEE'S ADDRESS

THE PALL MALL DEPOSIT BARLBY ROAD LONDON, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 1,507,750. 12/01/08 1,507,750.

PURPOSE OF GRANT

FOR THE DEVELOPMENT AND PRODUCTION OF A DOCUMENTARY ON CLIMATE CHANGE.

DATES OF REPORTS BY GRANTEE

4/28/2010

ANY DIVERSION BY GRANTEE

ROCKHOPPER PRODUCTIONS LIMITED

GRANTEE'S ADDRESS

THE PALL MALL DEPOSIT BARLBY ROAD LONDON, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 301,550. 11/12/08 301,550.

PURPOSE OF GRANT

FOR THE DEVELOPMENT AND PRODUCTION OF A DOCUMENTARY ON CLIMATE CHANGE.

DATES OF REPORTS BY GRANTEE

4/28/2010

ANY DIVERSION BY GRANTEE

ROCKHOPPER PRODUCTIONS LIMITED

GRANTEE'S ADDRESS

THE PALL MALL DEPOSIT BARLBY ROAD LONDON, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 753,875. 06/04/09 753,875.

PURPOSE OF GRANT

FOR A DOCUMENTARY SERIES ON CLIMATE CHANGE RESILIENCE AND A FOLLOW-UP DEBATE.

DATES OF REPORTS BY GRANTEE

4/28/2010

ANY DIVERSION BY GRANTEE

ROCKHOPPER PRODUCTIONS LIMITED

GRANTEE'S ADDRESS

THE PALL MALL DEPOSIT BARLBY ROAD LONDON, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 301,550. 09/18/09 301,550.

PURPOSE OF GRANT

FOR A DOCUMENTARY SERIES ON CLIMATE CHANGE RESILIENCE AND A FOLLOW-UP DEBATE.

DATES OF REPORTS BY GRANTEE

4/28/2010

ANY DIVERSION BY GRANTEE

RURAL INNOVATIONS NETWORK AKA VILLGRO INNOVATIONS FOUNDATION

GRANTEE'S ADDRESS

NO 9 KANAKASHRI NAGAR, SECOND FLOOR CHENNAI, 600 086, TAMIL NADU , INDIA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

154,000. 12/13/07

0.

PURPOSE OF GRANT

TO SUPPORT USER-CENTERED INNOVATION IN RURAL INDIA.

DATES OF REPORTS BY GRANTEE

11/11/2008, 7/20/2009

ANY DIVERSION BY GRANTEE

RURAL INNOVATIONS NETWORK AKA VILLGRO INNOVATIONS FOUNDATION

GRANTEE'S ADDRESS

NO 9 KANAKASHRI NAGAR, SECOND FLOOR CHENNAI, 600 086, TAMIL NADU , INDIA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 223,000. 11/12/08 0.

PURPOSE OF GRANT

TO SUPPORT USER-CENTERED INNOVATION IN RURAL INDIA.

DATES OF REPORTS BY GRANTEE

11/11/2008, 7/20/2009

ANY DIVERSION BY GRANTEE

RURAL INNOVATIONS NETWORK AKA VILLGRO INNOVATIONS FOUNDATION

GRANTEE'S ADDRESS

NO 9 KANAKASHRI NAGAR, SECOND FLOOR CHENNAI, 600 086, TAMIL NADU , INDIA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 223,000. 11/12/09 0.

PURPOSE OF GRANT

SUPPORT OF TWO PROJECTS FOCUSED ON USER-CENTERED INNOVATION IN RURAL INDIA.

DATES OF REPORTS BY GRANTEE

3/31/2010

ANY DIVERSION BY GRANTEE

SA NODE OF THE MILLENNIUM PROJECT

GRANTEE'S ADDRESS

PO BOX 1666 GALLO MANOR 2052 JOHANNESBURG, SOUTH AFRICA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

80,000. 07/02/09

80,000.

PURPOSE OF GRANT

FOR AN INFORMATION REPOSITORY AND NETWORKING PLATFORM FOR VULNERABLE PEOPLES.

DATES OF REPORTS BY GRANTEE

3/3/2010, 8/9/2010

ANY DIVERSION BY GRANTEE

SA NODE OF THE MILLENNIUM PROJECT

GRANTEE'S ADDRESS

PO BOX 1666 GALLO MANOR 2053 JOHANNESBURG, SOUTH AFRICA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

100,000. 12/10/09

0.

PURPOSE OF GRANT

TO CONDUCT TREND MONITORING ON ISSUES OF POVERTY AND HUMAN DEVELOPMENT.

DATES OF REPORTS BY GRANTEE

2/11/2010, 2/18/2010

ANY DIVERSION BY GRANTEE

SEVEN SEAS CAPITAL MANAGEMENT LLC

GRANTEE'S ADDRESS

26 BAKER BRIDGE ROAD LINCOLN, MA 01773

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

75,000. 02/19/09

75,000.

PURPOSE OF GRANT

FOR A SOCIAL IMPACT MEASUREMENT SYSTEM FOR THE AFRICA HEALTHCARE FUND.

DATES OF REPORTS BY GRANTEE

9/14/2009, 3/6/2010, 4/1/2010

ANY DIVERSION BY GRANTEE

SEVEN SEAS CAPITAL MANAGEMENT LLC

GRANTEE'S ADDRESS

26 BAKER BRIDGE ROAD LINCOLN, MA 01773

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

25,000. 08/05/09

25,000.

PURPOSE OF GRANT

FOR A SOCIAL IMPACT MEASUREMENT SYSTEM FOR THE AFRICA HEALTHCARE FUND.

DATES OF REPORTS BY GRANTEE

4/1/2010

ANY DIVERSION BY GRANTEE

SEVEN SEAS CAPITAL MANAGEMENT LLC

GRANTEE'S ADDRESS

26 BAKER BRIDGE ROAD LINCOLN, MA 01773

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

200,000. 08/11/09

0.

PURPOSE OF GRANT

A PRI FOR HEALTHCARE COMPANIES SERVING LOW-INCOME POPULATIONS IN AFRICA.

DATES OF REPORTS BY GRANTEE

2/24/2010

ANY DIVERSION BY GRANTEE

SILKWORM BOOKS

GRANTEE'S ADDRESS

6 SUKKASEM ROAD, SUTHEP, MUANG CHIANG MAI , THAILAND 50200

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

132,015. 02/28/08

82,077.

PURPOSE OF GRANT

TO PROMOTE SCHOLARSHIP ON THE MEKONG SUB-REGION BY NATIVE WRITERS.

DATES OF REPORTS BY GRANTEE

7/24/2009,2/19/2010

ANY DIVERSION BY GRANTEE

SILKWORM BOOKS

GRANTEE'S ADDRESS

7 SUKKASEM ROAD, SUTHEP, MUANG CHIANG MAI , THAILAND 50200

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

161,725. 08/16/09

0.

PURPOSE OF GRANT

TO PROMOTE SCHOLARSHIP ON THE MEKONG SUB-REGION BY NATIVE WRITERS.

DATES OF REPORTS BY GRANTEE

NONE YET

ANY DIVERSION BY GRANTEE

SOCIAL FINANCE LIMITED

GRANTEE'S ADDRESS

42 PORTLAND PLACE

LONDON, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

708,100. 10/09/09

145,890.

PURPOSE OF GRANT

FOR DEVELOPING AND PILOTING INNOVATIVE APPROACHES TO IMPACT INVESTING.

DATES OF REPORTS BY GRANTEE

11/30/2009

ANY DIVERSION BY GRANTEE

SOCIAL STOCK EXCHANGE LIMITED

GRANTEE'S ADDRESS

38 SANTLEY HOUSE FRAZIER STREET LONDON, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

467,200. 04/11/08

467,200.

PURPOSE OF GRANT

TO EXPAND PRODUCT/SERVICES DELIVERY BY SOCIAL ENTERPRISES.

DATES OF REPORTS BY GRANTEE

4/24/2009, 4/21/2010

ANY DIVERSION BY GRANTEE

SOCIETY FOR PARTICIPATORY RESEARCH IN ASIA

GRANTEE'S ADDRESS

42, TUGHLAKABAD INSTITUTIONAL AREA NEW DELHI, INDIA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 69,720. 12/23/09 57,220.

PURPOSE OF GRANT

TO MAKE POSITIVE CHANGE IN THE LIVES OF POOR PEOPLE.

DATES OF REPORTS BY GRANTEE

6/21/2010, 6/25/2010

ANY DIVERSION BY GRANTEE

SOUTHEAST ASIAN MINISTERS OF EDUCATION ORGANIZATION

GRANTEE'S ADDRESS

TROPMED CENTRAL OFFICE 420/6 RAJVITHI ROAD BANGKOK, THAILAND

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 181,280. 11/12/09 163,838.

PURPOSE OF GRANT

FOR AN EVALUATION OF RF'S DISEASE SURVEILLANCE NETWORKS INITIATIVE.

DATES OF REPORTS BY GRANTEE

8/31/2010

ANY DIVERSION BY GRANTEE

SOUTHEAST ASIAN MINISTERS OF EDUCATION ORGANIZATION

GRANTEE'S ADDRESS

TROPMED CENTRAL OFFICE 420/6 RAJVITHI ROAD BANGKOK, THAILAND

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 71,777. 10/14/09 0.

PURPOSE OF GRANT

TO STRENGTHEN DISEASE PREVENTION IN THE GREATER MEKONG SUB-REGION.

ANY DIVERSION BY GRANTEE

STG COOPERATION PTE LTD

GRANTEE'S ADDRESS

PO BOX 799

SINGAPORE, SINGAPORE

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

44,140. 05/20/09

44,140.

PURPOSE OF GRANT

TO HELP COUNTRIES TO MONITOR AND RESPOND TO DISEASE AND PANDEMICS.

DATES OF REPORTS BY GRANTEE

3/1/2008, 5/18/2008

ANY DIVERSION BY GRANTEE

STRATEGIC FORESIGHT GROUP

GRANTEE'S ADDRESS

C-306, MONTANA LOKHANDWALA COMPLEX ANDHERI WEST MUMBAI, INDIA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 50,000. 12/21/09 21,000.

PURPOSE OF GRANT

FOR TREND MONITORING/ HORIZON SCANNING ON POVERTY AND HUMAN DEVELOPMENT.

DATES OF REPORTS BY GRANTEE

4/7/2010

ANY DIVERSION BY GRANTEE

SUNNIGHT SOLAR ENTERPRISES, LLC

GRANTEE'S ADDRESS

1722 BISSONNET HOUSTON, TX 77005

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

81,000. 03/19/08

81,000.

PURPOSE OF GRANT

TO DEVELOP SOLAR-POWERED PRODUCTS TO IMPROVE THE LIVES OF POOR COMMUNITIES.

DATES OF REPORTS BY GRANTEE

8/5/2010

ANY DIVERSION BY GRANTEE

THAILAND DEVELOPMENT RESEARCH INSTITUTE FOUNDATION

GRANTEE'S ADDRESS

565 SOI RAMKHAMHAENG 39 (THEPLEELA 1) RAMKHAMHAENG ROAD WANGTHONGLANG BANGKOK, THAILAND

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 80,160. 10/16/09 66,703.

PURPOSE OF GRANT

TO STUDY THE IMPACT OF FINANCIAL CRISIS ON VULNERABLE SECTORS IN THAILAND.

DATES OF REPORTS BY GRANTEE

7/29/2010, 5/6/2010

ANY DIVERSION BY GRANTEE

THAILAND ENVIRONMENT FOUNDATION

GRANTEE'S ADDRESS

16/151 MUANGTHONG THANI BOND STREET, BANGPOOD PAKKRED NONTHABURI, THAILAND

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 83,000. 05/14/09 83,000.

PURPOSE OF GRANT

TO ASSESS THAI CITIES FOR THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK.

DATES OF REPORTS BY GRANTEE

11/27/2009, 1/5/2010, 1/7/2010

ANY DIVERSION BY GRANTEE

THAILAND ENVIRONMENT FOUNDATION

GRANTEE'S ADDRESS

16/151 MUANGTHONG THANI BOND STREET, BANGPOOD PAKKRED NONTHABURI, THAILAND

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 295,000. 12/23/09 131,502.

PURPOSE OF GRANT

TO BUILD CLIMATE CHANGE RESILIENCE IN CHIANG RAI AND HAT YAI, THAILAND.

DATES OF REPORTS BY GRANTEE

12/31/2009, 6/30/2010

ANY DIVERSION BY GRANTEE

THE AFRICAN HEALTH ECONOMICS AND POLICY ASSOCIATION LIMITED

GRANTEE'S ADDRESS

73 KIMBERLEY ROAD CROYDON SURREY, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 52,500. 03/13/09 52,500.

PURPOSE OF GRANT

FOR A STRATEGY FOR STRENGTHENING THE CAPACITY OF AFRICAN HEALTH ECONOMISTS.

DATES OF REPORTS BY GRANTEE

12/27/2009

ANY DIVERSION BY GRANTEE

THE AFRICAN HEALTH ECONOMICS AND POLICY ASSOCIATION LIMITED

GRANTEE'S ADDRESS

73 KIMBERLEY ROAD CROYDON SURREY, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 22,500. 04/17/09 22,500.

PURPOSE OF GRANT

FOR A STRATEGY FOR STRENGTHENING THE CAPACITY OF AFRICAN HEALTH ECONOMISTS.

DATES OF REPORTS BY GRANTEE

12/27/2009

ANY DIVERSION BY GRANTEE

THE CALIFORNIA ENDOWMENT

GRANTEE'S ADDRESS

1000 N. ALAMEDA STREET LOS ANGELES, CA 90012

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

2,000,000. 02/24/06 2,000,000.

PURPOSE OF GRANT

TO IMPROVE THE HEALTH AND ECONOMIC OPPORTUNITY OF RESIDENTS OF CALIFORNIA.

DATES OF REPORTS BY GRANTEE

6/13/2006, 4/26/2006, 11/12/2008, 11/17/2008, 5/17/2010

ANY DIVERSION BY GRANTEE

THE ENERGY AND RESOURCES INSTITUTE

GRANTEE'S ADDRESS

DARBARI SETH BLOCK IHC COMPLEX, LODHI ROAD NEW DELHI, INDIA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 100,000. 03/17/09 100,000.

PURPOSE OF GRANT

TOWARD THE COSTS OF THE 2009 DELHI SUSTAINABLE DEVELOPMENT SUMMIT.

DATES OF REPORTS BY GRANTEE

5/6/2009

ANY DIVERSION BY GRANTEE

THE NIGERIA ICT FORUM OF PARTNERSHIP INSTITUTIONS

GRANTEE'S ADDRESS

25 LIBREVILLE STREET OFF AMINU KANO CRESCENT, WUSE II, P.M.B. 498, GARKI GPO ABUJA, NIGERIA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 750,000. 12/23/08 749,998.

PURPOSE OF GRANT

TO PROVIDE LOW-COST INTERNET ACCESS FOR AFRICAN EDUCATIONAL INSTITUTIONS.

DATES OF REPORTS BY GRANTEE

12/21/2009

ANY DIVERSION BY GRANTEE

THE UNIVERSALIA MANAGEMENT GROUP LIMITED

GRANTEE'S ADDRESS

5252 BLVD. DE MAISONNEUVE SUITE 310 MONTREAL, CANADA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 332,760. 12/17/09 5,000.

PURPOSE OF GRANT

TO DEVELOP AN APPROACH FOR AN IMPACT ANALYSIS OF THE RF'S GRANTS PORTFOLIO.

DATES OF REPORTS BY GRANTEE

2/24/2010

ANY DIVERSION BY GRANTEE

TRUST FOR INDIGENOUS CULTURE AND HEALTH

GRANTEE'S ADDRESS

ACS PLAZA, 3RD FLOOR, LENANA ROAD P.O. BOX 677 - 00504 NAIROBI, KENYA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 95,000. 02/03/09 72,480.

PURPOSE OF GRANT

TO IMPROVE COMMUNITY IN TWO SLUMS IN NAIROBI, KENYA.

DATES OF REPORTS BY GRANTEE

2/2/2010, 6/9/2010

ANY DIVERSION BY GRANTEE

TRUST FOR INDIGENOUS CULTURE AND HEALTH

GRANTEE'S ADDRESS

ACS PLAZA, 3RD FLOOR, LENANA ROAD P.O. BOX 677 - 00505 NAIROBI, KENYA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 160,000. 05/13/09 91,179.

PURPOSE OF GRANT

TO INFORM POLICIES THAT WILL BETTER SERVE POOR AND VULNERABLE POPULATIONS.

DATES OF REPORTS BY GRANTEE

1/25/2010

ANY DIVERSION BY GRANTEE

UK CONSORTIUM ON AIDS AND INTERNATIONAL DEVELOPMENT

GRANTEE'S ADDRESS

THE GRAYSTON CENTRE 28 CHARLES SQUARE LONDON, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 174,060. 07/23/08 174,060.

PURPOSE OF GRANT

TO FACILITATE KNOWLEDGE-SHARING AND NETWORKING AMONG HIV PROFESSIONALS.

DATES OF REPORTS BY GRANTEE

5/8/2009, 5/7/2009, 10/1/2009

ANY DIVERSION BY GRANTEE

UK CONSORTIUM ON AIDS AND INTERNATIONAL DEVELOPMENT

GRANTEE'S ADDRESS

THE GRAYSTON CENTRE 28 CHARLES SQUARE LONDON, UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 19,340. 12/02/09 19,340.

PURPOSE OF GRANT

TO FACILITATE KNOWLEDGE-SHARING AND NETWORKING AMONG HIV PROFESSIONALS.

DATES OF REPORTS BY GRANTEE

10/1/2009

ANY DIVERSION BY GRANTEE

URBAN RESOURCE CENTER DBA COMMUNITY ORGANISATION RESOURCE CENTRE

GRANTEE'S ADDRESS

P.O BOX 14038 MOWBRAY CAPE TOWN, SOUTH AFRICA

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 400,000. 03/27/09 346,139.

PURPOSE OF GRANT

TO ASSIST URBAN COMMUNITIES IN SF WITH COMMUNITY ORGANIZING.

DATES OF REPORTS BY GRANTEE

7/1/2010, 6/29/2010

ANY DIVERSION BY GRANTEE

VERULAM ASSOCIATES LTD.

GRANTEE'S ADDRESS

PEAR TREE COTTAGE 45 NETHERTON LANE, FYFIELD ABINGDON UNITED KINGDOM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

272,700. 12/17/09

9,254.

PURPOSE OF GRANT

FOR AN EVALUATION OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK.

DATES OF REPORTS BY GRANTEE

2/25/2010

ANY DIVERSION BY GRANTEE

VIETNAM HEALTH ECONOMICS ASSOCIATION

GRANTEE'S ADDRESS

ROOM 402, BUILDING A, MINISTRY OF HEALTH 138A GIANG VO STREET BA DINH DISTRI HANOI, VIETNAM

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 185,350. 12/22/09 9,411.

PURPOSE OF GRANT

TO ASSIST THE VIETNAM MINISTRY OF HEALTH IMPLEMENT HEALTH FINANCE REFORM.

DATES OF REPORTS BY GRANTEE

3/11/2010, 3/18/2010

ANY DIVERSION BY GRANTEE

VOXIVA, INC.

GRANTEE'S ADDRESS

1990 K STREET NW SUITE 400 WASHINGTON, DC 20006

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

75,000. 12/18/07

0.

PURPOSE OF GRANT

TO ENHANCE EFFECTIVENESS OF PUBLIC HEALTH INFO FOR UNDERSERVED COMMUNITIES.

DATES OF REPORTS BY GRANTEE

6/29/2010

ANY DIVERSION BY GRANTEE

VOXIVA, INC.

GRANTEE'S ADDRESS

1990 K STREET NW SUITE 400 WASHINGTON, DC 20006

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

50,000. 09/11/08

0.

PURPOSE OF GRANT

TO ENHANCE EFFECTIVENESS OF PUBLIC HEALTH INFO FOR UNDERSERVED COMMUNITIES.

DATES OF REPORTS BY GRANTEE

6/29/2010

ANY DIVERSION BY GRANTEE

WILLIAM A. HASELTINE CHARITABLE FOUNDATION

GRANTEE'S ADDRESS

3053 P STREET, NW WASHINGTON , DC 20007

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

33,400. 07/15/08

0.

PURPOSE OF GRANT

TO ANALYZE INNOVATIVE HEALTH SERVICE MODELS IN INDIA AND LATIN AMERICA.

DATES OF REPORTS BY GRANTEE

3/1/2008, 3/20/2008

ANY DIVERSION BY GRANTEE

WILLIAM A. HASELTINE CHARITABLE FOUNDATION

GRANTEE'S ADDRESS

3053 P STREET, NW WASHINGTON , DC 20007

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

16,600. 08/14/08

0.

PURPOSE OF GRANT

TO ANALYZE INNOVATIVE HEALTH SERVICE MODELS IN INDIA AND LATIN AMERICA.

DATES OF REPORTS BY GRANTEE

3/1/2008, 3/20/2008

ANY DIVERSION BY GRANTEE

WILLIAM A. HASELTINE FOUNDATION FOR MEDICAL SCIENCES AND THE ARTS, INC.

GRANTEE'S ADDRESS

3053 P STREET, NW WASHINGTON, DC 20007

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

520,000. 12/21/09

0.

PURPOSE OF GRANT

IN SUPPORT OF HEALTH MARKET RESEARCH ACTIVITIES IN SOUTH ASIA.

DATES OF REPORTS BY GRANTEE

3/10/2010, 3/11/2010

ANY DIVERSION BY GRANTEE

WINTERHOUSE

GRANTEE'S ADDRESS

71 UNDERMOUNTAIN ROAD PO BOX 159 FALLS VILLAGE, CT 06031

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 585,000. 01/16/09 585,000.

PURPOSE OF GRANT

DEVELOP ACTION AND COLLABORATION IN HUMAN-CENTERED DESIGN FOR SOCIAL IMPACT.

DATES OF REPORTS BY GRANTEE

9/10/2009, 2/21/2010

ANY DIVERSION BY GRANTEE

WINTERHOUSE

GRANTEE'S ADDRESS

71 UNDERMOUNTAIN ROAD PO BOX 159 FALLS VILLAGE, CT 06031

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

280,000. 09/22/09

280,000.

PURPOSE OF GRANT

DEVELOP ACTION AND COLLABORATION IN HUMAN-CENTERED DESIGN FOR SOCIAL IMPACT.

DATES OF REPORTS BY GRANTEE

2/21/2010, 8/3/2010

ANY DIVERSION BY GRANTEE

WINTERHOUSE

GRANTEE'S ADDRESS

71 UNDERMOUNTAIN ROAD PO BOX 159 FALLS VILLAGE, CT 06031

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 580,000. 10/30/09 2,036.

PURPOSE OF GRANT

DEVELOP ACTION AND COLLABORATION IN HUMAN-CENTERED DESIGN FOR SOCIAL IMPACT.

DATES OF REPORTS BY GRANTEE

2/21/2010, 8/3/2010

ANY DIVERSION BY GRANTEE

WOMEN'S HEALTH AND REPRODUCTIVE RIGHTS FOUNDATION OF THAILAND

GRANTEE'S ADDRESS

SUITE 808, 8TH FLOOR, ROYAL GOLDEN JUBILEE BUILDING 2 SOI SOONVIJAI, NEW PET BANGKOK, THAILAND

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 25,000. 11/16/09 25,000.

PURPOSE OF GRANT

FOR TRAVEL TO A CONFERENCE ON UNSAFE ABORTION.

DATES OF REPORTS BY GRANTEE

3/10/2010, 4/22/2010

ANY DIVERSION BY GRANTEE

WORLD FEDERATION OF PUBLIC HEALTH ASSOCIATIONS

GRANTEE'S ADDRESS

C/O APHA 800 I STREET, NW WASHINGTON, DC 20001-3710

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 30,000. 12/14/07 30,000.

PURPOSE OF GRANT

FOR MEETINGS AND A PAPER ON PUBLIC HEALTH ANALYSIS IN DEVELOPING COUNTRIES.

DATES OF REPORTS BY GRANTEE

12/10/2007, 1/10/2008, 4/9/2009

ANY DIVERSION BY GRANTEE

WORLD FEDERATION OF PUBLIC HEALTH ASSOCIATIONS

GRANTEE'S ADDRESS

C/O APHA 800 I STREET, NW WASHINGTON, DC 20001-3710

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

60,000. 12/14/07

60,000.

PURPOSE OF GRANT

FOR MEETINGS AND A PAPER ON PUBLIC HEALTH ANALYSIS IN DEVELOPING COUNTRIES.

DATES OF REPORTS BY GRANTEE

12/10/2007, 1/10/2008, 4/9/2009

ANY DIVERSION BY GRANTEE

WORLD FEDERATION OF PUBLIC HEALTH ASSOCIATIONS

GRANTEE'S ADDRESS

C/O APHA 800 I STREET, NW WASHINGTON, DC 20001-3710

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 33,500. 12/22/08 33,500.

PURPOSE OF GRANT

FOR MEETINGS AND A PAPER ON PUBLIC HEALTH ANALYSIS IN DEVELOPING COUNTRIES.

DATES OF REPORTS BY GRANTEE

4/9/2009

ANY DIVERSION BY GRANTEE

WORLD TOILET ORGANIZATION LIMITED

GRANTEE'S ADDRESS

19 TOA PAYOH WEST

SINGAPORE

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED 100,000. 12/18/09 68,179.

PURPOSE OF GRANT

FOR NETWORKS COMMITTED TO PROVIDING ACCESS TO BASIC SANITATION IN POOR AREAS.

DATES OF REPORTS BY GRANTEE

3/15/2010, 4/6/2010, 6/13/2010, 8/2/2010, 8/5/2010

ANY DIVERSION BY GRANTEE

ZAGO LLC

GRANTEE'S ADDRESS

392 BROADWAY, 2ND FLOOR NEW YORK, NY 10013

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED

40,000. 11/26/08

33,820.

PURPOSE OF GRANT

TO EXPLORE NEW MODELS THAT PROMOTE HUMAN-CENTERED DESIGN INNOVATION.

DATES OF REPORTS BY GRANTEE

1/22/2009, 7/26/2010

ANY DIVERSION BY GRANTEE

THE ROCKEFELLER FOUNDATION	13-1659 	629
FORM 990-PF SUMMARY OF PROGRAM-RELATED INVESTMENTS	STATEMENT	19
DESCRIPTION		
A PROGRAM-RELATED INVESTMENT IN AAVISHKAAR INDIA MICRO VENTURE CAPITAL FUND IN SUPPORT OF A MICRO-EQUITY FUND WITH A MISSION TO PROMOTE SUSTAINABLE ECONOMIC DEVELOPMENT BY ENCOURAGING ENTREPRENEURSHIP AMONG LOWER-INCOME PEOPLE IN INDIA.		
	AMOUNT	
TO FORM 990-PF, PART IX-B, LINE 1	361,8	43.
FORM 990-PF SUMMARY OF PROGRAM-RELATED INVESTMENTS	STATEMENT	20
DESCRIPTION		
A PROGRAM-RELATED INVESTMENT IN SEVEN SEAS CAPITAL MANAGEMENT TO PROVIDE OPERATIONAL FUNDING TO A PRIVATE		

MANAGEMENT TO PROVIDE OPERATIONAL FUNDING TO A PRIVATE EQUITY FUND THAT WILL INVEST IN HEALTHCARE COMPANIES SERVING LOW-INCOME POPULATIONS IN SUB-SAHARAN AFRICA.

		AMOUNT
TO FORM 990-PF, PART IX-B,	LINE 2	200,000.

STATEMENT

21

FORM 990-PF

		DECIDIENT	
RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT		AMOUNT
3 LEGGED DOG INC 80 GREENWICH STREET NEW YORK, NY 10006		501(C)(3) PUBL CHAR	50,000.
AARON DAVIS HALL INC 150 CONVENT AVENUE NEW YORK, NY 10031		501(C)(3) PUBL CHAR	50,000.
ABT ASSOCIATES INC 55 WHEELER STREET CAMBRIDGE, MA 02138-1168	N/A TO STRENGTHEN THE CAPACITY OF THE NIGERIAN GOVERNMENT.		17,000.
ACADEMY FOR EDUCATIONAL DEVELOPMENT 1825 CONNECTICUT AVENUE NW WASHINGTON, DC 20009-5721	TO ENABLE KENYAN NGOS TO EFFICIENTLY MONITOR	501(C)(3) PUBL CHAR	177,300.
ACCOUNTABILITY STRATEGIES 250 252 GOSWELL ROAD CLERKENWELL LONDON, UNITED KINGDOM	TO IDENTIFY BEST	FOR ORG - E/R GRANT	99,750.
ACUMEN FUND INC 76 NINTH AVENUE SUITE 315 NEW YORK, NY 10011			100,000.
ADMINISTRATION OF MUNICIPAL EDUCATION VELSKOE UL SOVETSKAYA 33 ARKHANGELSK REGION VELSK, RUSSIA	N/A TOWARD THE COSTS OF A SCIENTIFIC CONFERENCE	FOREIGN GOV'T UNIT	7,500.
AFRICAN CENTER FOR ECONONOMIC TRANSFORMATION 1015 18TH STREET NW SUITE 210 WASHINGTON, DC 20036	N/A TO ACHIEVE LONG-TERM GROWTH AND TRANSFORMATION OF AFRICAN ECONOMIES	501(C)(3) PUBL CHAR	500,000.

GRANTS AND CONTRIBUTIONS

THE ROCKEFELLER FOUNDATION			13-1659629
73 KIMBERLEY ROAD CROYDON SURREY, UNITED KINGDOM	FOR A STRATEGY FOR	FOR ORG - E/R GRANT	75,000.
AFRICA AGRICULTURE DEVELOPMENT COMPANY 32 LEE TERRACE LONDON, UNITED KINGDOM	N/A FOR PILOT PROJECTS FOR SUSTAINABLE AGRI-BUSINESS OPPORTUNITIES IN		
TWAWEZA HOUSE PARKLANDS ROAD	FOR "ETHNIC DIVERSITY:		
AFRICA TWENTY FOUR MEDIA LTD - 4TH FLOOR LES JAMALACS BUILDING VIEUX CONSEIL STREET PORT LOUIS, MAURITIUS			
AFRICAN AGRICULTURE TECHNOLOGY FOUNDATION - C O ILRI PO BOX 30709 00100 OLD NAIVASHA ROAD KABETE CAMPUS NAIROBI, KENYA	TO IDENTIFY CHINESE AGRICULTURAL		
AFRICAN CENTER FOR ECONOMIC TRANSFORMATION 1015 18TH STREET NW SUITE 210 WASHINGTON, DC 20036	FOR RESEARCH SUPPORT		
AFRICAN CENTER FOR ECONOMIC TRANSFORMATION 1015 18TH STREET NW SUITE 210 WASHINGTON, DC 20036	N/A TO ADVISE LIBERIA ON EQUITABLE TRADE AND INVESTMENT AGREEMENTS WITH CHINA		
AFRICAN ECONOMIC RESEARCH CONSORTIUM - 3RD FLOOR MIDDLE EAST BANK TOWERS BUILDING MILIMANI RD PO BOX 62882 NAIROBI, KENYA	TO MAP CAPACITY BUILDING RESOURCES IN		
AFRICAN ECONOMIC RESEARCH CONSORTIUM - 3RD FL MIDDLE EAST BANK TOWERS BUILDING MILIMANI ROAD PO BOX 62882 NAIROBI, KENYA	TO STRENGTHEN CAPACITY OF LOCAL ECONOMIES IN		200,000.

THE ROCKEFELLER FOUNDATION			13-1659629
AFRICAN ECONOMIC RESEARCH CONSORTIUM - 3RD FL MIDDLE EAST BANK TOWERS BUILDING MILIMANI RD PO BOX 62882 NAIROBI, KENYA	TOWARD THE COSTS OF AN AFRICA-BASED		
AFRICAN ECONOMIC RESEARCH CONSORTIUM - 3RD FL MIDDLE EAST BANK TOWERS BUILDING MILIMANI ROAD PO BOX 62882 NAIROBI, KENYA	KNOWN CHINA'S IMPACT	501(C)(3) PUBL CHAR	212,750.
AFRICAN EVALUATION ASSOCIATION 15 EMMAUSE ROAD LA ACCRA PO BOX 16208 KIA ACCRA, GHANA	N/A TO TRANSITION FROM A VOLUNTARY TO AN INDEPENDENT PAN-AFRICAN		
AFRICAN MEDICAL AND RESEARCH FOUND - INTERNATIONAL TRAINING CENTRE LANGATA ROAD P O BOX 27691 00506 NAIROBI, KENYA	FOR SURVEILLANCE AND RESPONSE EFFORTS TO	CHARITY EQUIV	250,000.
AFRICAN POPULATION AND HEALTH RESEARCH CENTRE SHELTER AFRIQUE 2ND FLOOR PO BOX 10787 00100 GPO NAIROBI,	TO ADDRESS URBAN HEALTH VULNERABILITIES		
AFRICAN POPULATION AND HEALTH RESEARCH CENTRE SHELTER AFRIQUE 2ND FLOOR PO BOX 10787 00100 GPO NAIROBI,	TO BUILD NEW HEADQUARTERS AND FOR	501(C)(3) PUBL CHAR	2,250,000.
AFRICAN POPULATION AND HEALTH RESEARCH CENTRE SHELTER AFRIQUE 2ND FLOOR PO BOX 10787 00100 GPO NAIROBI,	N/A FOR SPEAKERS TO JOIN AN URBAN HEALTH CONFERENCE IN NAIROBI, KENYA	501(C)(3) PUBL CHAR	-
AFRICAN TECHNOLOGY POLICY STUDIES NETWORK - CHANCERY BUILDING 3RD FLOOR VALLEY ROAD PO BOX 10081 00100 NAIROBI, KENYA	N/A TO PROMOTE CLIMATE CHANGE ADAPTATION RESEARCH, INNOVATION, AND TECHNOLOGY	PUBLIC CHARITY EQUIV	150,000.
AIDS NETWORK DEVELOPMENT FOUNDATION 145 1 SRIPOOM RD MUANG DISTRICT CHIANG MAI, THAILAND	N/A TO REDUCE HIV/AIDS VULNERABILITY IN ETHNIC AND MIGRANT POPULATIONS	PUBLIC CHARITY EQUIV	106,000.

THE ROCKEFELLER FOUNDATION			13-1659629
AITEC CONFERENCES LTD 8 HIGHT STREET CROXTON CAMBRIDGESHIRE, UNITED KINGDOM	TO EDUCATE KENYA	FOR ORG - E/R GRANT	64,900.
ALLIANCE FOR A GREEN REVOLUTION IN AFRICA PO BOX 66773 WESTLANDS 00800 NAIROBI, KENYA	TO DEVELOP CLIMATE		400,000.
ALLAVIDA KENYA LIMITED - 3RD FLOOR RATTANSI EDUCATIONAL TRUST BUILDING KOINANGE STREET NAIROBI, KENYA		FOR ORG - E/R GRANT	233,700.
ALLIANCE FOR A GREEN REVOLUTION IN AFRICA PO BOX 66773 WESTLANDS 00800 NAIROBI, KENYA	TO PROVIDE ACCESS TO		500,000.
ALLIANCE FOR A GREEN REVOLUTION IN AFRICA PO BOX 66773 WESTLANDS 00800 NAIROBI, KENYA	TO ENCOURAGE ADOPTION		2,300,000.
ALLIANCE FOR DOWNTOWN NY INC 120 BROADWAY SUITE 3340 NEW YORK, NY 10271			112,500.
ALLIANCE FOR THE ARTS INC 330 WEST 42ND STREET SUITE 1701 NEW YORK, NY 10036	N/A FOR A WEB APPLICATION TO HELP VISIBILITY OF NYC'S CULTURAL COMMUNITY		75,000.
ALLIANCE OF RESIDENT THEATRES 520 EIGHTH AVENUE SUITE 319 NEW YORK, NY 10018	N/A FOR AN ANALYSIS OF THE BUSINESS MODEL FOR NON-PROFIT THEATERS IN NEW YORK		112,500.
AMERICA SHARE USA INC 15 WEST 26TH STREET 11TH FLOOR NEW YORK, NY 10010	N/A TO PROVIDE SUPPORT FOR POOR AND VULNERABLE PEOPLE AFFECTED BY HIV/AIDS	501(C)(3) PVT FDN EF	

THE ROCKEFELLER FOUNDATION			13-1659629
AMERICAN MEDICAL INFORMATICS ASSOC 4915 ST ELMO AVENUE SUITE 401 BETHESDA, MD 20814	N/A FOR A DISTANCE LEARNING PROGRAM AND A MEETING ON HEALTH INFORMATICS	501(C)(3) PUBL CHAR	
AMERICAN MEDICAL INFORMATICS ASSOC 4915 ST ELMO AVENUE SUITE 401 BETHESDA, MD 20814	N/A TO PROVIDE HEALTH INFORMATICS TRAINING TO DEVELOPING-WORLD INSTITUTIONS	501(C)(3) PUBL CHAR	
AMERICAN VETERINARY MEDICAL ASSOC 1931 N MEACHAM RD SUITE 100 SCHAUMBURG, IL 60173-4360	N/A FOR A U.S INITIATIVE TO IMPROVE HUMAN, ANIMAL AND ENVIRONMENTAL HEALTH	EXMPT NON-CHAR E/R	75,000.
AMERICAS PROMISE - THE ALLIANCE FOR YOUTH 1110 BERMONT AVENUE NW SUITE 900 WASHINGTON, DC 20005	N/A GENERAL SUPPORT	501(C)(3) PUBL CHAR	200,000.
AMIGOS DEL MUSEO DEL BARRIO 1230 FIFTH AVENUE NEW YORK, NY 10029		501(C)(3) PUBL CHAR	250,000.
	N/A COMMUNICATIONS AND KNOWLEDGE MANAGEMENT FOR ACCCRN	FOR ORG - E/R GRANT	300,000.
ARCHITECTURAL LEAGUE OF NEW YORK 457 MADISON AVENUE NEW YORK, NY 10022	N/A FOR A PROJECT ON THE FUTURE OF THE BUILT ENVIRONMENT IN NEW YORK CITY	501(C)(3) PUBL CHAR	50,000.
ASHOKA INNOVATORS FOR THE PUBLIC (THAILAND) FOUNDATION 3RD FLOOR 65 1 SUKHUMVIT KLONGTON NUA WATTANA BANGKOK,	CLIMATE CHANGE	PUBLIC CHARITY EQUIV	125,000.
ASIA FOUNDATION 465 CALIFORNIA STREET 9TH FLOOR SAN FRANCISCO, CA 94104	N/A TO DELIVER CRITICAL SOCIAL SERVICES TO THE URBAN AND RURAL POOR	501(C)(3) PUBL CHAR	1,550,000.

THE ROCKEFELLER FOUNDATION			13-1659629
ASIAN AMERICAN PACIFIC ISLANDERS IN PHILANTHROPY 200 PINE STREET SUITE 700 SAN FRANCISCO, CA 94104	N/A GENERAL SUPPORT	501(C)(3) PUBL CHAR	25,000.
ASIAN AMERICAN PACIFIC ISLANDERS IN PHILANTHROPY 200 PINE STREET SUITE 700 SAN FRANCISCO, CA 94104		501(C)(3) PUBL CHAR	33,334.
ASIAN COALITION FOR HOUSING RIGHTS - 73 SOL SONTHIWATTANA 4 LADPRAO 110 ROAD WANGTHONGLANG DISTRICT BANGKOK, THAILAND	IN SUPPORT OF THREE		367,000.
ASIAN CULTURAL COUNCIL INC 437 MADISON AVENUE 37TH FLOOR NEW YORK, NY 10022-7001	N/A FOR A FELLOWSHIP PROGRAM IN MAINLAND SOUTHEAST ASIA	501(C)(3) PUBL CHAR	133,500.
ASIAN DISASTER PREPAREDNESS CENTER - SM TOWER 24TH FLOOR 979 69 PAHOLYOTHIN ROAD SAMSEN NAI PHAYATHAI BANGKOK, THAILAND	TO ASSESS THAI CITIES FOR THE ASIAN CITIES	CHARITY	48,200.
ASIAN DISASTER PREPAREDNESS CENTER - SM TOWER 24TH FLOOR 979 69 PAHOLYOTHIN ROAD SAMSEN NAI PHAYATHAI BANGKOK, THAILAND	TO PREPARE FOR AND MANAGE THE RISKS OF	CHARITY	84,000.
ASIAN INSTITUTE OF TECHNOLOGY KM 42 PAHOLYOTHIN HIGHWAY KLONG LUANG PATHUMTHANI, THAILAND	N/A FOR A MULTI-COUNTRY RESEARCH PROJECT ON TRANSITION IN ASIAN AGRICULTURE	PUBLIC CHARITY EQUIV	83,900.
ASIAN MIGRANT CENTRE - 13 F FLAT 6 BLOCK A FUK KEUNG IND BLDG 66 TONG MEI ROAD KOWLOON, HONG KONG	N/A TO MONITOR MIGRATION ISSUES AND STRATEGIES IN THE GREATER MEKONG SUB-REGION	PUBLIC CHARITY EQUIV	132,434.
ASIAN RESOURCE FOUNDATION 1562 113 SOI 1 1 MOO BAN PIBUL PRACHARAJ ROAD BANGKOK, THAILAND	N/A FOR A RESEARCH FELLOWSHIP PROGRAM ON ISLAM IN SOUTHEAST ASIA	PUBLIC CHARITY EQUIV	61,850.

THE ROCKEFELLER FOUNDATION			13-1659629
ASSOC VOLONTARI SOCCORSO BELLAGIO VIA LAXXARETTO 12 BELLAGIO, ITALY	TO BUILD A HELIPORT	PUBLIC CHARITY EQUIV	200,000.
AYALA FOUNDATION USA 255 SHORELINE DR SUITE 428 REDWOOD CITY, CA 94065	N/A FOR URBAN DEVELOPMENT IN METRO MANILA AND OTHER PHILIPPINE CITIES	501(C)(3) PUBL CHAR	10,000.
ADMINISTRATION BUILDING ROOM 712 1401 EAST UNIVERSITY BLVD	TO ENCOURAGE ENVIRONMENTALLY AND	501(C)(3) PUBL CHAR	
B LAB COMPANY 15 WATERLOO AVE BERWYN, PA 19312	N/A GENERAL SUPPORT	501(C)(3) PUBL CHAR	500,000.
B LAB COMPANY 15 WATERLOO AVE BERWYN, PA 19312	N/A TO DEVELOP STANDARDS FOR MEASURING INVESTMENTS' POSITIVE IMPACT	501(C)(3) PUBL CHAR	600,000.
B LAB COMPANY 8 WALNUT AVE BERWYN, PA 19312	N/A GENERAL SUPPORT	501(C)(3) PUBL CHAR	1,000,000.
BANG ON A CAN INC 80 HANSON PLACE SUITE 701 BROOKLYN, NY 11217	N/A TO PROMOTE CONTEMPORARY MUSIC OUTSIDE THE CONCERT HALL AND IN THE	501(C)(3) PUBL CHAR	25,000.
BIPARTISAN POLICY CENTER INC 1225 I STREET NW SUITE 1000 WASHINGTON, DC 20005	N/A FOR A WHITE PAPER ON U.S. TRANSPORTATION AND THE IMPACTS OF CLIMATE CHANGE	501(C)(3) PUBL CHAR	50,000.
BIPARTISAN POLICY CENTER INC 1225 I STREET NW SUITE 1000 WASHINGTON, DC 20005	N/A TO HELP ADVANCE FIVE NATIONAL TRANSPORTATION GOALS	501(C)(3) PUBL CHAR	250,000.

THE ROCKEFELLER FOUNDATION			13-1659629
BIPARTISAN POLICY CENTER INC 1225 I STREET NW SUITE 1000 WASHINGTON, DC 20005	N/A TOWARD THE COSTS OF ITS NATIONAL TRANSPORTATION POLICY PROJECT		600,000.
BLUEGREEN ALLIANCE FOUNDATION 2828 UNIVERSITY AVENUE SOUTH SUITE 200 MINNEAPOLIS, MN 55414	TO EXPAND GREEN		
BOSTON UNIVERSITY 121 BAY STATE ROAD BOSTON, MA 02215	N/A TO STUDY THE EFFECT OF MAIZE CULTIVATION ON MALARIA IN SUB-SAHARAN AFRICA		300,700.
BRAC UNIVERSITY 66 MOHAKHALI DHAKA, BANGLADESH	N/A TO IMPROVE THE LIVES OF SLUM DWELLERS IN FIVE BANGLADESHI SLUMS		183,920.
BOARD OF TRUSTEES OF THE LELAND STANFORD JR UNIVERSITY - OFFICE OF SPONSORED RESEARCH 651 SERRA STREET SUITE 220 STANFORD, CA 94305-6203	N/A TO USE MODELS TO INFORM DEVELOPMENT PRIORITIES IN AFRICAN AGRICULTURE		238,587.
BRIC ARTS MEDIA BROOKLYN INC 647 FULTON STREET BROOKLYN, NY 11217	·		125,000.
BROADREACH HEALTHCARE LLC 2500 WILSON BLVD SUITE 220 ARLINGTON, VA 22201	N/A IN SUPPORT OF HEALTH MARKET RESEARCH ACTIVITIES IN SOUTH AFRICA	US NON-CHAR E/R GRNT	100,700.
BRONX MUSEUM OF THE ARTS 1040 GRAND CONCOURSE NEW YORK, NY 10456-3999	N/A FOR A PLANNED EXPANSION OF THE MUSEUM	501(C)(3) PUBL CHAR	50,000.
BROOKINGS INSTITUTION 1775 MASSACHUSETTS AVENUE NW WASHINGTON, DC 20036-2188	N/A TO TRACK AND PROMOTE THE RECOVERY OF THE GREATER NEW ORLEANS	501(C)(3) PUBL CHAR	125,000.

THE ROCKEFELLER FOUNDATION			13-1659629
BROOKINGS INSTITUTION 1775 MASSACHUSETTS AVENUE NW WASHINGTON, DC 20036-2188		501(C)(3) PUBL CHAR	250,000.
BROOKINGS INSTITUTION 1775 MASSACHUSETTS AVENUE NW WASHINGTON, DC 20036-2188	N/A TO MODEL METHODS OF U.S. HEALTH QUALITY ASSURANCE FOR THE DEVELOPING WORLD		250,000.
BROOKINGS INSTITUTION 1775 MASSACHUSETTS AVENUE NW WASHINGTON, DC 20036-2188	N/A TO ADVANCE HEALTH AND SUSTAINABLE TRANSPORTATION POLICIES		350,000.
BROOKINGS INSTITUTION 1775 MASSACHUSETTS AVENUE NW WASHINGTON, DC 20036-2188		501(C)(3) PUBL CHAR	850,000.
BROOKLYN ACADEMY OF MUSIC INC 30 LAFAYETTE AVENUE BROOKLYN, NY 11217-1486	N/A FOR A TEN-DAY FESTIVAL OF ARTS PRESENTATIONS DEMONSTRATING MUSLIM CULTURE	501(C)(3) PUBL CHAR	=
BUILDING AMERICAS FUTURE 1301 PENNSYLVANIA AVE NW SUITE 350 WASHINGTON, DC 20004	•	EXMPT NON-CHAR E/R	500,000.
CAMBODIA DEVELOPMENT RESOURCE INSTITUTE 56 STREET 315 TUOL KORK PHNOM PENH, CAMBODIA	N/A TO STUDY THE CONSEQUENCES OF CHINA'S ECONOMIC RISE ON POVERTY REDUCTION	PUBLIC CHARITY EQUIV	175,000.
CAMBODIAN WOMEN FOR PEACE AND DEVELOPMENT ASSOCIATION 23 ST 47 SANGKAT SRAS CHAK KHAN DAUN PENH PHNOM PENH,	TO STRENGTHEN HEALTH	FOR ORG - E/R GRANT	•
CAMBRIDGE UNIVERSITY PRESS AMERICAN BRANCH 32 AVENUE OF THE AMERICAS NEW YORK, NY 10013	N/A FOR A BOOK: "WEALTH, HEALTH, AND DEMOCRACY IN EAST ASIA AND LATIN AMERICA"	501(C)(3) PUBL CHAR	=

THE ROCKEFELLER FOUNDATION			13-1659629
CAPITAL MARKETS AUTHORITY PO BOX 74800 NAIROBI, KENYA		FOREIGN GOV'T UNIT	
CARLETON UNIVERSITY 503 TORY BUILDING 1125 COLONEL BY DRIVE OTTAWA, CANADA			221,840.
1779 MASSACHUSETTS AVE NW	TO EXAMINE THE IMPACTS	501(C)(3) PUBL CHAR	40,000.
CENTER FOR A NEW AMERICAN SECURITY 1301 PENNSYLVANIA AVENUE NW SUITE 403 WASHINGTON, DC 20004	TO STUDY HOW CLIMATE CHANGE WILL AFFECT	501(C)(3) PUBL CHAR	100,000.
CENTER FOR AMERICAN PROGRESS 1333 H ST NW 10TH FL WASHINGTON, DC 20005	N/A TO ASSESS PUBLIC OPINION ON THE CHANGING ROLE OF WOMEN IN THE U.S. ECONOMY	501(C)(3) PUBL CHAR	247,600.
CENTER FOR AMERICAN PROGRESS 1333 H STREET NW 10TH FLOOR WASHINGTON, DC 20005		501(C)(3) PUBL CHAR	1,729,382.
CENTER FOR CLEAN AIR POLICY 750 FIRST STREET NE SUITE 940 WASHINGTON, DC 20002	·	501(C)(3) PUBL CHAR	100,000.
CENTER FOR CLIMATE STRATEGIES INC 1899 L STREET NW SUITE 900 WASHINGTON, DC 20036	N/A TO DEVELOP COMPREHENSIVE CLIMATE CHANGE ADAPTATION PLANS	501(C)(3) PUBL CHAR	100,000.
CENTER FOR ECONOMIC PROGRESS 29 E MADISON STREET SUITE 900 CHICAGO, IL 60602		501(C)(3) PUBL CHAR	450,000.

SERVICES

THE ROCKEFELLER FOUNDATION			13-1659629
CENTER FOR EFFECTIVE PHILANTHROPY 675 MASSACHUSETTS AVENUE 7TH FLOOR CAMBRIDGE, MA 02139	N/A TO HELP PHILANTHROPIC FUNDERS BETTER GAGE THEIR EFFECTIVENESS AND IMPACT		200,000.
CENTER FOR GLOBAL DEVELOPMENT 1800 MASSACHUSETTS AVE NW WASHINGTON, DC 20036	N/A TO ASSIST DEVELOPING COUNTRIES IN STRENGTHENING THEIR HEALTH SYSTEMS	501(C)(3) PUBL CHAR	
CENTER FOR GLOBAL DEVELOPMENT 1800 MASSACHUSETTS AVE NW WASHINGTON, DC 20036		501(C)(3) PUBL CHAR	
CENTER FOR KHMER STUDIES INC 149 EAST 63RD STREET NEW YORK, NY 10021	N/A TO ENHANCE THE CAPACITY OF CAMBODIAN UNIVERSITIES	501(C)(3) PUBL CHAR	100,000.
CENTER FOR NEIGHBORHOOD TECHNOLOGY 2125 WEST NORTH AVENUE CHICAGO, IL 60647	FOR ENCOURAGING THE	501(C)(3) PUBL CHAR	162,500.
CENTER FOR PUBLIC INTEGRITY 910 17TH STREET NW 7TH FLOOR WASHINGTON, DC 20006		501(C)(3) PUBL CHAR	150,000.
CENTER FOR STRATEGIC INTL STUDIES 1800 K STREET NW SUITE 400 WASHINGTON, DC 20006	N/A FOR A CONFERENCE HIGHLIGHTING ENERGY SECURITY AND TRANSPORTATION	501(C)(3) PUBL CHAR	30,000.
CHEZ BUSHWICK INC 304 BOERUM ST 23 BROOKLYN, NY 11206	N/A FOR ARTS-BASED ECONOMIC DEVELOPMENT AND URBAN REVITALIZATION IN	501(C)(3) PUBL CHAR	
CHF INTERNATIONAL 8601 GEORGIA AVENUE SUITE 800 SILVER SPRING, MD 20910	N/A TO PREPARE FOR THE U.N.'S FIFTH "WORLD URBAN FORUM"	501(C)(3) PUBL CHAR	74,400.

THE ROCKEFELLER FOUNDATION		1	13-1659629
CHIANG MAI UNIVERSITY 239 HUAY KAEW ROAD MUANG DISTRICT CHIANG MAI, THAILAND	N/A TO STRENGTHEN STUDY EXCHANGE AND RESEARCH PROGRAMS		79,890.
CHIANG MAI UNIVERSITY 239 HUAY KAEW ROAD MUANG DISTRICT CHIANG MAI, THAILAND	N/A TO STRENGTHEN COLLABORATION ACROSS VETERINARY HEALTH AND PUBLIC HEALTH	PUBLIC CHARITY EQUIV	150,000.
CHINA MEDICAL BOARD OF NY 2 ARROW STREET CAMBRIDGE, MA 02138	N/A FOR A STUDY GROUP ON EDUCATION FOR GLOBAL HEALTH LEADERSHIP	501(C)(3) PVT FDN ER	300,000.
CHRISTIAN COALITION 8730 NORTHPARK BOULEVARD SUITE 1 D CHARLESTON, SC 29406		501(C)(3) PUBL CHAR	80,000.
CHULALONGKORN UNIVERSITY 254 PHYATHAI ROAD PATUMWAN BANGKOK, THAILAND	N/A FOR A SYMPOSIUM "MUSLIM IN THAILAND" TO BE HELD IN BANGKOK	PUBLIC CHARITY EQUIV	30,000.
CHULALONGKORN UNIVERSITY 254 PHYATHAI ROAD PATUMWAN BANGKOK, THAILAND	N/A TO ENABLE STUDENTS TO PURSUE MASTER'S DEGREES IN SOUTHEAST ASIAN STUDIES	PUBLIC CHARITY EQUIV	75,000.
CITISTATES GROUP LLC 610 G STREET SW WASHINGTON, DC 20024	N/A FOR A REPORT THAT SYNTHESIZES THE DISCUSSIONS OF THE RF GLOBAL URBAN SUMMIT	US NON-CHAR E/R GRNT	5,000.
CITISTATES GROUP LLC 610 G STREET SW WASHINGTON, DC 20024	N/A FOR "CENTURY OF THE CITY," FOCUSED ON URBAN PLANNING IN THE GLOBAL SOUTH	US NON-CHAR E/R GRNT	70,000.
CITY FUTURES INC 120 WALL STREET 20TH FLOOR NEW YORK, NY 10005	N/A TO STRENGTHEN NEW YORK'S CREATIVE SECTOR	501(C)(3) PUBL CHAR	100,000.

THE ROCKEFELLER FOUNDATION			13-1659629
	N/A FOR A COLLABORATIVE THEATER LAB TO REVEAL COMMUNITY DYNAMICS	501(C)(3) PUBL CHAR	37,500.
	N/A TO ENGAGE U.S. POLICYMAKERS ON CLIMATE CHANGE RESILIENCE STRATEGY	501(C)(3) PUBL CHAR	145,000.
239 BRANNAN STREET 9G SAN FRANCISCO, CA 94107	TO BECOME A PUBLIC		
	N/A FOR TWO STUDIES ON CLIMATE CHANGE RESILIENCE POLICIES IN THE U.S.	501(C)(3) PUBL CHAR	239,910.
COUNCIL ON THE ARTS AND HUMANITIES FOR STATEN ISLAND 1000 RICHMOND TERRACE STATEN ISLAND, NY 10301	TO ENABLE ARTISTS TO	501(C)(3) PUBL CHAR	112,500.
COLUMBIA UNIVERSITY 202 LOW MEMORIAL LIBRARY NEW YORK, NY 10027	N/A FOR A MEETING ON THE GLOBAL FINANCING OF AN AFRICAN GREEN REVOLUTION	501(C)(3) PUBL CHAR	92,150.
COLUMBIA UNIVERSITY 202 LOW MEMORIAL LIBRARY NEW YORK, NY 10027	N/A TO FIND SOLUTIONS IN THE ECONOMIC AND SOCIAL JUSTICE FIELDS IN THE U.S.	501(C)(3) PUBL CHAR	
COLUMBIA UNIVERSITY 202 LOW MEMORIAL LIBRARY NEW YORK, NY 10027	N/A TO DEVELOP INTEGRATIVE URBAN PLANNING MODELS IN NAIROBI, KENYA	501(C)(3) PUBL CHAR	-
COLUMBIA UNIVERSITY 202 LOW MEMORIAL LIBRARY NEW YORK, NY 10027	N/A FOR AN IMPACT STUDY OF HIV/AIDS PROJECTS AND HEALTH SYSTEMS IN AFRICA		275,000.

THE ROCKEFELLER FOUNDATION		1	3-1659629
COLUMBIA UNIVERSITY 202 LOW MEMORIAL LIBRARY NEW YORK, NY 10027	N/A TO PROMOTE THE USE OF INFO TECHNOLOGY IN HEALTHCARE IN THE DEVELOPING WORLD	501(C)(3) PUBL CHAR	330,000.
BEN BELLA ROAD PO BOX 30051	FOR LAND USE CARBON	FOREIGN GOV'T UNIT	400,000.
505 EIGHTH AVENUE 15TH FLOOR	FOR PARTICIPANTS TO	501(C)(3) PUBL CHAR	65,100.
COMMUNICATIONS CONSORTIUM MEDIA CTR 401 NINTH STREET NW SUITE 450 WASHINGTON, DC 20004	TO EDUCATE ON THE UN	501(C)(3) PUBL CHAR	50,000.
CONSERVATION INTERNATIONAL FOUNDATION 2011 CRYSTAL DRIVE SUITE 500 ARLINGTON, VA 22202	FOR GUIDANCE MATERIALS	501(C)(3) PUBL CHAR	150,000.
CONSERVATION LAW FOUNDATION INC 62 SUMMER STREET BOSTON, MA 02110-1016	N/A TO ADVANCE SUSTAINABLE TRANSPORTATION AND LAND USE POLICIES IN THE U.S.	501(C)(3) PUBL CHAR	75,000.
CORNELL UNIVERSITY 300 DAY HALL ITHACA, NY 14853	N/A TO STUDY THE GROWTH OF AGRICULTURAL MARKETS IN MALAWI AND MOZAMBIQUE	501(C)(3) PUBL CHAR	61,373.
CORPORATE COUNCIL ON AFRICA 1100 17TH STREET NW SUITE 1000 WASHINGTON, DC 20037	N/A FOR TWO WORKSHOPS ON PRIVATE SECTOR HEALTH CARE FINANCING IN AFRICA	501(C)(3) PUBL CHAR	30,000.
COUNCIL ON FOREIGN RELATIONS INC 58 EAST 68TH STREET NEW YORK, NY 10021	N/A FOR RESEARCH ON THE U.S. RELATIONSHIP WITH PAKISTAN AND AFGHANISTAN	501(C)(3) PUBL CHAR	250,000.

THE ROCKEFELLER FOUNDATION			13-1659629
COUNCIL ON FOUNDATIONS 2121 CRYSTAL DRIVE SUITE 700 ARLINGTON, VA 22202	N/A FOR A CONFERENCE TO DISCUSS CRITICAL URBAN POLICY ISSUES	501(C)(3) PUBL CHAR	10,000.
COUNCIL ON FOUNDATIONS 2121 CRYSTAL DRIVE SUITE 700 ARLINGTON, VA 22201	N/A FOR AN EVENT TO EDUCATE PHILANTHROPIC ORGANIZATIONS ABOUT PROSPERITY	501(C)(3) PUBL CHAR	21,051.
COUNCIL ON FOUNDATIONS 2121 CRYSTAL DRIVE SUITE 700 ARLINGTON, VA 22202	N/A GENERAL SUPPORT	501(C)(3) PUBL CHAR	55,000.
CREATIVE CAPITAL FOUNDATION 65 BLEECKER STREET 7TH FLOOR NEW YORK, NY 10012	N/A TO DEVELOP MODELS OF CAPITAL GENERATION FOR ARTISTS IN NEW YORK	501(C)(3) PUBL CHAR	112,500.
CRITERION VENTURES LLC 81 CHURCH HILL ROAD HADDAM, CT 06438	N/A TO PROVIDE HEALTHCARE FINANCIAL SERVICES TO STRUGGLING AMERICAN WORKERS		500,000.
CENTER CREATIVE INITIATIVES IN HEALTH AND POPULATION NO 2 LANE 49 41 HUYNH THUC KHANG STREET DONG DA HANOI, VIETNAM	TO COLLECT INFORMATION ON INNOVATIVE HEALTH		
CENTER FOR DEMOCRACY AND DEVELOPMENT - PO BOX 14345 4 KIKUYU CLOSE OFF NAIROBI ST OFF PRAKOU CRESCENT WUSE 11 ABUJA, NIGERIA	N/A TO CONDUCT TREND MONITORING ON ISSUES OF POVERTY AND HUMAN DEVELOPMENT	FOR ORG - E/R GRANT	50,000.
CENTER FOR ECONOMIC AND POLICY RESEARCH 1611 CONNECTICUT AVE NW SUITE 400 WASHINGTON, DC 20009	N/A TO CREATE A PORTABLE RETIREMENT SAVINGS PLAN FOR U.S. WORKERS	501(C)(3) PUBL CHAR	-
CENTER FOR HEALTH AND SOCIAL SERVICES PO BOX PMB 52 MINISTRIES ACCRA, GHANA	N/A IN SUPPORT OF ITS EFFORTS TO STRENGTHEN GHANA'S NATIONAL HEALTH SYSTEM	FOR ORG - E/R GRANT	229,700.

THE ROCKEFELLER FOUNDATION			13-1659629
CENTER FOR HIGHER EDUCATION TRANSFORMATION TRUST THE CHET TRUST PO BOX 29204 SUNNYSIDE 0132 PRETORIA, SOUTH	N/A FOR THE ESTABLISHMENT OF A HIGHER EDUCATION RESEARCH NETWORK IN AFRICA		82,000.
CUNNINGHAM DANCE FOUNDATION INC 55 BETHUNE STREET NEW YORK, NY 10014	N/A TO PROVIDE PUBLIC ACCESS TO MERCE CUNNINGHAM'S CREATIVE PROCESS	501(C)(3) PUBL CHAR	25,000.
D TREE INC 52 WHITNEY TAVERN ROAD WESTON, MA 02493	N/A FOR A WEB APPLICATION TO SPREAD E-HEALTH TOOLS IN SUB-SAHARAN AFRICA		170,600.
D2D FUND INC 1127 HARRISON AVE ROXBURY, MA 02119			350,000.
DALBERG CONSULTING US LLC 205 EAST 42ND STREET SUITE 1830 NEW YORK, NY 10017	N/A TO DEVELOP A STRATEGIC CLIMATE CHANGE RESILIENCE PROGRAM	US NON-CHAR E/R GRNT	79,632.
DANCE THEATRE OF HARLEM INC 466 WEST 152ND STREET NEW YORK, NY 10031-1896	N/A GENERAL SUPPORT	501(C)(3) PUBL CHAR	100,000.
DEMOCRACY 21 EDUCATION FUND 2000 MASSACHUSETTS AVENUE NW WASHINGTON, DC 20036	N/A GENERAL SUPPORT	501(C)(3) PUBL CHAR	100,000.
DEMOS A NETWORK FOR IDEAS ACTION 220 FIFTH AVENUE FIFTH FLOOR NEW YORK, NY 10001	N/A TO BUILD SUPPORT FOR SOCIAL SECURITY AND PUBLIC INVESTMENT	501(C)(3) PUBL CHAR	
DIGITAL DIVIDE DATA 115 WEST 30TH STREET SUITE 400 NEW YORK, NY 10001	N/A TO STUDY ITS JOB SKILLS TRAINING MODEL FOR THE POOR IN CAMBODIA AND LAO PDR	501(C)(3) PUBL CHAR	

THE ROCKEFELLER FOUNDATION			13-1659629
87 LAFAYETTE STREET NEW YORK,	FOR A THEATER DEVOTED		50,000.
DUKE UNIVERSITY BOX 90001 DURHAM, NC 27708-0340		501(C)(3) PUBL CHAR	160,600.
EAST AFRICAN COMMUNITY - AICC BUILDING KILIMANJARO WING 5TH FLOOR PO BOX 1096 ARUSHA, TANZANIA	TO STRENGTHEN	FOREIGN GOV'T UNIT	
ECONOMIC POLICY INSTITUTE 1333 H STREET NW SUITE 300 EAST TOWER WASHINGTON, DC 20005	TO ENSURE GREATER	501(C)(3) PUBL CHAR	211,000.
ECONOMIC POLICY INSTITUTE 1333 H STREET NW SUITE 300 EAST TOWER WASHINGTON, DC 20005	TO STUDY SECURITY		750,000.
ECONOMIC POLICY INSTITUTE 1333 H STREET NW SUITE 300 EAST TOWER WASHINGTON, DC 20005	FOR WORK TO IMPROVE	501(C)(3) PUBL CHAR	750,000.
EDUCATIONAL BROADCASTING CORP 450 WEST 33RD STREET NEW YORK, NY 10001	N/A IN SUPPORT OF "BLUEPRINT AMERICA," A PUBLIC BROADCASTING EFFORT	501(C)(3) PUBL CHAR	1,750,000.
EMERALD CITIES PARTNERSHIP INC PO BOX 35556 WASHINGTON, DC 20033	N/A FOR ASSISTANCE TO 10 U.S. CITIES IN IMPLEMENTING BUILDING RETROFIT PLANS	E/R GRANT	300,000.
EMORY UNIVERSITY 201 DOWMAN DR MAILSTOP 1000 001 1AR ATLANTA, GA 30322	N/A FOR A MONUMENT AND A SYMPOSIUM COMEMMORATRING SMALLPOX'S ERADICATION	501(C)(3) PUBL CHAR	100,000.

THE ROCKEFELLER FOUNDATION			13-1659629
EMPOWER FOUNDATION CONCRETE HOUSE 57 60 TIVANOND ROAD NONTHABURI, THAILAND	N/A TO REDUCE HIV RISK AMONG WOMEN IN LAO PDR AND YUNNAN PROVINCE OF CHINA	E/R GRANT	10,000.
ENVIRONMENTAL EDUCATION MEDIA PROJECT FOR CHINA PO BOX 001 CI YUN SI CHAOYANG DISTRICT BEIJING, CHINA	N/A FOR SCREENINGS OF A FILM TO BUILD GLOBAL SUPPORT FOR ECOSYSTEM RESTORATION	501(C)(3) PUBL CHAR	15,000.
ENVIRONMENTAL EDUCATION MEDIA PROJECT FOR CHINA PO BOX 001 CI YUN SI CHAOYANG DISTRICT BEIJING, CHINA	N/A FOR A FILM TO BUILD GLOBAL SUPPORT FOR ECOSYSTEM RESTORATION	501(C)(3) PUBL CHAR	150,000.
ENVIRONMENTAL LAW AND POLICY CENTER OF THE MIDWEST 35 E WACKER STREET SUITE 1300 CHICAGO, IL 60601	TO ADVANCE CHICTATNABLE	501(C)(3) PUBL CHAR	125,000.
ENVIRONMENTAL PROTECTION MANAGEMENT SERVICES PO BOX 7775 DAR ES SALAAM, TANZANIA		FOR ORG - E/R GRANT	50,000.
ERASMUS MC POSTBUS 2040 ROTTERDAM, NETHERLANDS	N/A TO INCREASE THE AFFORDABILITY OF HEALTH SERVICES TO THE POOR AND VULNERABLE	FOR ORG - E/R GRANT	150,000.
ETHEKWINI MUNICIPALITY PO BOX 1014 DURBAN, SOUTH AFRICA	N/A TO EVALUATE THE IMPACTS OF CLIMATE CHANGE SCENARIOS ON PLANNING AND POLICY	FOREIGN GOV'T UNIT	-
FEMINIST PRESS - C O THE GRADUATE CENTER CUNY 365 FIFTH AVENUE SUITE 5406 NEW YORK, NY 10016	N/A FOR SIX AFRICAN SCHOLARS' TRAVEL TO A MEETING ON WOMEN WRITERS IN AFRICA	501(C)(3) PUBL CHAR	
FINANCIAL SECTOR DEEPENING TRUST KENYA - 4TH FLOOR KENYA RE TOWERS OFF RAGATI ROAD UPPER HILL PO BOX 11353 NAIROBI, KENYA		PUBLIC CHARITY EQUIV	175,000.

THE ROCKEFELLER FOUNDATION			13-1659629
FOOD AGRICULTURE AND NATURAL RESOURCES POLICY ANALYSIS NETWORK - 141 CRESSWELL ROAD WEAVIND PARK 0184 PRIVATE BAG X813 SILVERTON 0127 PRETORIA, SOU	TO BETTER INTEGRATE CLIMATE CHANGE ADAPTATION IN	CHARITY	120,400.
FOOD AND AGRICULTURE ORGANIZATION OF THE UN VIALE TERME DI CARACALLA ROME, ITALY	TO BUILD RESILIENCE OF		1,500,000.
WASHINGTON, DC 20007	N/A TO CONNECT CARBON OFFSET BUYERS WITH EFFORTS TO REDUCE POVERTY IN AFRICA		
FORO NACIONAL INTERNACIONAL AV MANUEL OLGUIN 533 OFICINA 403 SANTIAGO DE SURCO LIMA, PERU	TO CONDUCT TREND		50,000.
FOUNDATION FOR ART AND PRESERVATION IN EMBASSIES 1725 I STREET SUITE 300 WASHINGTON, DC 20006			300,000.
FOUNDATION CENTER 79 FIFTH AVENUE NEW YORK, NY 10003	N/A GENERAL SUPPORT	501(C)(3) PUBL CHAR	100,000.
FOUNDATION FOR DANCE PROMOTION INC 27 W 120TH STREET 1 NEW YORK, NY 10027	N/A FOR A SERIES OF CIVIC DIALOGUES WITH HARLEM RESIDENTS	501(C)(3) PUBL CHAR	-
FRACTURED ATLAS PRODUCTIONS INC 248 W 35TH ST STE 1202 NEW YORK, NY 10001	N/A TO CREATE ALLIANCES BETWEEN ARTISTS AND RESIDENTS AT RISK OF DISPLACEMENT	501(C)(3) PUBL CHAR	-
FREE CONGRESS RESEARCH AND EDUCATION FOUNDATION 1423 POWHATAN STREET 2 ALEXANDRIA, VA 22314	N/A TO BUILD SUPPORT FOR PUBLIC TRANSPORTATION ON FEDERAL AND LOCAL LEVELS	501(C)(3) PUBL CHAR	-

THE ROCKEFELLER FOUNDATION			13-1659629
FREEDMAN CONSULTING LLC 3720 YUMA STREET NW WASHINGTON, DC 20016			24,000.
FREEDMAN CONSULTING LLC 3720 YUMA STREET NW WASHINGTON, DC 20016			119,600.
FREEDMAN CONSULTING LLC 3720 YUMA STREET NW WASHINGTON, DC 20016			155,000.
FREEDOM FROM HUNGER 1644 DA VINCI COURT DAVIS, CA 95618			150,000.
FRIENDS OF THE EARTH 1717 MASSACHUSETTS AVENUE SUITE 600 WASHINGTON, DC 20036	FOR INTERNATIONAL		40,000.
	N/A TO INSTALL PUBLIC ART WORKS ON A ONE-BLOCK PORTION OF A CITY PARK		
FRONT SEAT MANAGEMENT LLC 3503 NE 45TH STREET SUITE 2W SEATTLE, WA 98105	N/A FOR A WEB-BASED TOOL THAT MEASURES THE WALKABILITY OF COMMUNITIES	US NON-CHAR E/R GRNT	150,000.
FUND FOR CITIES OF SERVICE INC - C O BLOOMBERG PHILANTHROPIES 25 EAST 78TH STREET NEW YORK, NY 10075		E/R GRANT	2,000,000.
FUND FOR THE CITY OF NEW YORK INC 121 AVENUE OF THE AMERICAS 6TH FLOOR NEW YORK, NY 10013-1590	N/A TO FIND INNOVATIVE SOLUTIONS TO JUVENILE JUSTICE AND YOUTH SERVICES	501(C)(3) PUBL CHAR	-

THE ROCKEFELLER FOUNDATION			L3-1659629
FUNDERS NETWORK FOR SMART GROWTH AND LIVABLE COMMUNITIES 1500 SAN REMO AVENUE SUITE 249 CORAL GABLES, FL 33146	N/A TO IMPROVE TRANSPORTATION POLICIES AND PRACTICES IN THE U.S	501(C)(3) PUBL CHAR	211,500.
FUNDERS NETWORK FOR SMART GROWTH AND LIVABLE COMMUNITIES 1500 SAN REMO AVENUE SUITE 249 CORAL GABLES, FL 33146	TOWARD THE COSTS OF A		200,000.
GACHAO KIUNA HOUSE 190 GIGIRI CRESENT PO BOX 1372 VILLAGE MARKET NAIROBI, KENYA	TO CONVENE INVESTORS	INDIVIDUAL	50,100.
GAMALIEL FOUNDATION 203 NORTH WABASH SUITE 808 CHICAGO, IL 60601	N/A TO ENCOURAGE EQUITY, JOB CREATION FOR LOW- AND MIDDLE-INCOME AMERICANS	501(C)(3) PUBL CHAR	150,000.
GEORGETOWN UNIVERSITY 204 HEALY HALL 37TH AND 0 STREETS NW WASHINGTON, DC 20057	FOR A STUDY ON	501(C)(3) PUBL CHAR	187,920.
GEORGETOWN UNIVERSITY 204 HEALY HALL 37TH AND 0 STREETS NW WASHINGTON, DC 20057	N/A TO FOSTER CLIMATE CHANGE ADAPTATION POLICY ANALYSIS	501(C)(3) PUBL CHAR	500,000.
GIVING ASSETS INC - C O THE CALVERT FOUNDATION 7315 WISCONSIN AVE SUITE 1100 W BETHESDA, MD 20814	N/A GENERAL SUPPORT	501(C)(3) PUBL CHAR	200,000.
GLOBAL ACTION NETWORK NET INC 100 MEMORIAL DRIVE 52 A CAMBRIDGE, MA 02142	N/A TO STRENGTHEN THE FIELD OF IMPACT EVALUATION FOR DEVELOPMENT	501(C)(3) PVT FDN ER	33,690.
GLOBAL FORUM FOR HEALTH RESEARCH 1 5 ROUTE DES MORILLONS PO BOX 2100 1211 GENEVA, SWITZERLAND			50,000.

THE ROCKEFELLER FOUNDATION			13-1659629
GLOBAL FORUM FOR HEALTH RESEARCH 1 5 ROUTE DES MORILLONS PO BOX 2100 1211 GENEVA, SWITZERLAND	N/A TO FIND DONOR ORGANIZATIONS AND FUNDING GAPS FOR HEALTH SYSTEMS	PUBLIC CHARITY EQUIV	200,000.
GLOBAL FORUM FOR HEALTH RESEARCH 1 5 ROUTE DES MORILLONS PO BOX 2100 1211 GENEVA, SWITZERLAND	N/A FOR A CONFERENCE ON PUBLIC HEALTH NETWORKS IN NEGLECTED AREAS		280,000.
GLOBAL HEALTH COUNCIL INC 15 RAILROAD ROW WHITE RIVER JUNCTION, VT 05001	N/A FOR A CONFERENCE TO IMPROVE GLOBAL HEALTH	501(C)(3) PUBL CHAR	220,000.
GLOBALGIVING FOUNDATION INC 1816 12TH STREET NW 3RD FLOOR WASHINGTON, DC 20005	N/A TO DESIGN INNOVATIONS IN UTILITIES AND CONSTRUCTION FOR POOR PEOPLE	501(C)(3) PUBL CHAR	24,000.
GLOBALGIVING FOUNDATION INC 1023 15TH STREET NW 12TH FLOOR WASHINGTON, DC 20005	N/A TO STRENGTHEN SELF-MONITORING AND EVALUATION OF ITS PROGRAMMING IN AFRICA	501(C)(3) PUBL CHAR	70,000.
GLOBALGIVING FOUNDATION INC 1023 15TH STREET NW 12TH FLOOR WASHINGTON, DC 20005	N/A TO FIND TECHNOLOGY SOLUTIONS THAT MAY IMPROVE THE LIVES OF THE POOR	501(C)(3) PUBL CHAR	270,000.
GRADUATE INSTITUTE OF INTERNATIONAL AND DEVELOPMENT STUDIES - 132 RUE DE LAUSANNE PO BOX 136 1211 GENEVA, SWITZERLAND			160,000.
GRANTMAKERS IN FILM AND ELECTRONIC MEDIA 2406 E FAIRMOUNT AVENUE BALTIMORE, MD 21224	N/A GENERAL SUPPORT	501(C)(3) PUBL CHAR	15,000.
GRANTMAKERS IN THE ARTS 604 WEST GALER STREET SEATTLE,	•	501(C)(3) PUBL CHAR	

WA 98119-3253

THE ROCKEFELLER FOUNDATION			13-1659629
GRANTMAKERS IN THE ARTS 604 WEST GALER STREET SEATTLE, WA 98119-3253		501(C)(3) PUBL CHAR	
GREATER OHIO POLICY CENTER INC 846 1 2 E MAIN STREET COLUMBUS, OH 43205	N/A TO DISCUSS SAVING "SHRINKING CITIES" AND SUGGEST FITTING FEDERAL POLICY	501(C)(3) PUBL CHAR	
GREATERGOOD SOUTH AFRICA TRUST - THE BARN LASSWADE FARM SPAANSCHEMAT RIVER ROAD CONSTANTIA CAPE TOWN, SOUTH AFRICA	FOR A MEETING TO DISCUSS A GLOBAL	FOR ORG - E/R GRANT	
GREEN FOR ALL 1161 TELEGRAPH AVENUE SUITE 600 OAKLAND, CA 94612	N/A TO ENSURE THE EMERGING GREEN-COLLAR ECONOMY PROVIDES GOOD JOBS		200,000.
GSMA FOUNDATION INC 1000 ABERNATHY ROAD ATLANTA, GA 30328	N/A FOR AN INFORMATIONAL FARMER HELPLINE IN KENYA		600,000.
GUIDESTAR USA INC 4801 COURTHOUSE STREET SUITE 220 WILLIAMSBURG, VA 23188		501(C)(3) PUBL CHAR	
HABITAT FOR HUMANITY INTERNATIONAL 270 PEACHTREE STREET NW 1300 ATLANTA, GA 30313	N/A TO BETTER ENABLE INSTITUTIONS TO PROVIDE FUNDING OPTIONS TO THE POOR	501(C)(3) PUBL CHAR	136,000.
HABITAT FOR HUMANITY INTERNATIONAL 270 PEACHTREE STREET NW 1300 ATLANTA, GA 30303	N/A TO COORDINATE WORLD HABITAT DAY 2009	501(C)(3) PUBL CHAR	375,000.
HANOI MEDICAL UNIVERSITY 1 TON THAT TUNG STREET DONG DA DISTRICT HANOI, VIETNAM	•		72,120.
HEALTH POLICY INSTITUTE JAPAN 1 11 28 NAGATACHO CHIYODA KU TOKYO, JAPAN	N/A TO ORGANIZE THE 2009 GLOBAL HEALTH SUMMIT	FOR ORG - E/R GRANT	250,000.

THE ROCKEFELLER FOUNDATION			13-1659629
HELENE DE BEIR FOUNDATION VAN LAETESTRAAT 1A MERELBEKE, BELGIUM		FOR ORG - E/R GRANT	35,000.
HELLOWALLET LLC 2238 HALL PLACE NW WASHINGTON, DC 20007	N/A TO ENSURE AFFORDABLE FINANCIAL SERVICES TO LOW-INCOME HOUSEHOLDS		100,000.
HOME FOR CONTEMPORARY THEATRE ART 145 AVENUE OF THE AMERICAS GROUND FLOOR NEW YORK, NY	N/A FOR A MULTIMEDIA SERIES ON NYC PERFORMING ARTISTS' ISSUES	501(C)(3) PUBL CHAR	-
HOPE GLOBAL CONSULTING LLC 378A SAN JOSE AVENUE SAN FRANCISCO, CA 94110	N/A TO GIVE SOUND INVESTOR INSIGHTS TO SMALL BUSINESSES IN THE DEVELOPING WORLD		123,000.
HUDSON RIVER FOUNDATION FOR SCIENCE AND ENVIRONMENTAL RESEARCH - 17 BATTERY PLACE SUITE 915 NEW YORK, NY 10004		501(C)(3) PUBL CHAR	
HUE UNIVERSITY OF AGRICULTURE AND FORESTRY 102 PHUNG HUNG STREET HUE CITY, VIETNAM	N/A TO BUILD CAPACITY IN SUSTAINABLE AGRICULTURE DEVELOPMENT	PUBLIC CHARITY EQUIV	94,545.
IGAD CLIMATE PREDICTION APP CTR PO BOX 10304 00100 GPO NAIROBI, KENYA	·		62,240.
IMPACT INVESTMENT EXCHANGE ASIA PTE 30 CECIL STREET LEVEL 14 PRUDENTIAL TOWER SINGAPORE,	TO EXPAND PRODUCT AND SERVICES DELIVERY TO		495,040.
INDEPENDENT SECTOR 16021 L STREET NW WASHINGTON, DC 20036	N/A TO ENABLE UNDERSTANDING OF TRENDS SHAPING THE PHILANTHROPIC SECTOR	501(C)(3) PUBL CHAR	25,000.

THE ROCKEFELLER FOUNDATION			13-1659629
INDEPENDENT SECTOR 1602 L STREET NW WASHINGTON, DC 20036	N/A GENERAL SUPPORT	501(C)(3) PUBL CHAR	25,000.
INITIATIVE FOR A COMPETITIVE INNER CITY 200 HIGH STREET 3RD FLOOR BOSTON, MA 02110	N/A TO STUDY INNER CITY ECONOMIC STAGNATION	501(C)(3) PUBL CHAR	100,000.
INSTITUTE FOR SOCIAL DEVELOPMENT STUDIES SUITE 19 1 VIMECO BUILDING PHAM HUNG RD HANOI, VIETNAM	N/A FOR PUBLIC AWARENESS OF THE SOCIAL PROTECTION NEEDS OF WORKERS IN VIETNAM	FOR ORG - E/R GRANT	<u>-</u>
INSTITUTE FOR SOCIAL AND ENVIRONMENTAL TRANSITION 948 NORTH STREET SUITE 9 BOULDER, CO 80304	N/A FOR THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK		57,010.
INSTITUTE FOR SOCIAL AND ENVIRONMENTAL TRANSITION 948 NORTH STREET SUITE 9 BOULDER, CO 80304	N/A TO DEVELOP AND SHARE STRATEGIES FOR BUILDING CLIMATE CHANGE RESILIENCE	501(C)(3) PUBL CHAR	
INSTITUTE FOR HEALTHCARE IMPROVEMENT 20 UNIVERSITY ROAD 7TH FLOOR CAMBRIDGE, MA 02138	N/A TO FILL GAPS IN HEALTH CARE QUALITY AND SAFETY FOR THE POOR		8,400.
INSTITUTE FOR SOCIAL DEVELOPMENT STUDIES SUITE 225 CT5 SONG DA MY DINH PHAM HUNG RD TU LIEM DISTRICT	N/A IN SUPPORT OF ESTABLISHING THE VIETNAM ALLIANCE FOR HEALTH EQUITY	FOR ORG - E/R GRANT	133,500.
INSTITUTE FOR SUSTAINABLE COMMUNITIES 535 STONE CUTTERS WAY MONTPELIER, VT 05602	N/A TO INFORM THE EFFORTS OF A WORKING GROUP ON DISASTER RECOVERY	501(C)(3) PUBL CHAR	44,000.
INSTITUTE FOR SUSTAINABLE COMMUNITIES 535 STONE CUTTERS WAY MONTPELIER, VT 05602	N/A TO TRAIN U.S. OFFICIALS ON CREATING GREEN TRANSPORTATION	501(C)(3) PUBL CHAR	100,000.

SYSTEMS AND JOBS

THE ROCKEFELLER FOUNDATION			13-1659629
INSTITUTE FOR THE ANALYSIS OF GLOBAL SECURITY 7811 MONTROSE ROAD SUITE 505 POTOMAC, MD 20854	N/A TO ADVANCE THE CASE FOR NATIONAL TRANSPORTATION REFORM	501(C)(3) PUBL CHAR	150,000.
INSTITUTE FOR TRANSPORTATION AND DEVELOPMENT POLICY 126 W 26TH STREET SUITE 1002 NEW YORK, NY 10001	N/A TO IMPROVE ACCESSIBILITY TO URBAN AREAS FOR POOR PEOPLE IN AFRICA	501(C)(3) PUBL CHAR	50,000.
	N/A FOR A COMPETITION TO DESIGN AN OPEN-AIR FESTIVAL IN THE SOUTH BRONX	501(C)(3) PUBL CHAR	112,500.
1707 L STREET NW SUITE 750 WASHINGTON, DC 20036	IN SUPPORT OF	501(C)(3) PUBL CHAR	370,000.
809 UNITED NATIONS PLAZA NEW	TO ADMINISTER CREATIVE	501(C)(3) PUBL CHAR	229,400.
809 UNITED NATIONS PLAZA NEW	TO ADMINISTER CREATIVE		
INSTITUTE FOR ALTERNATIVE FUTURES 100 NORTH PITT STREET SUITE 235 ALEXANDRIA, VA 22310	N/A TO HARNESS KEY GLOBAL DRIVERS FOR PRO-POOR ECONOMIC OPPORTUNITIES		68,700.
INSTITUTE FOR HEALTH POLICY 72 PARK STREET COLOMBO, SRI LANKA		FOR ORG - E/R GRANT	
INSTITUTE FOR POLICY ALTERNATIVES PO BOX 16208 KIA ACCRA, GHANA	TO ASSIST ORGS IN	FOR ORG - E/R GRANT	

THE ROCKEFELLER FOUNDATION			13-1659629
INSTITUTE OF DEVELOPMENT STUDIES UNIVERSITY OF SUSSEX BRIGHTON, UNITED KINGDOM	TO EXPLORE AND ASSESS	PUBLIC CHARITY EQUIV	50,847.
INSTITUTE OF ECONOMIC AFFAIRS - 5TH FLOOR ACK GARDEN HOUSE 1ST NGONG AVENUE PO BOX 53989 0200 NAIROBI, KENYA		PUBLIC CHARITY EQUIV	200,000.
INTERNATIONAL UNION FOR CONSERVATION OF NATURE RUE MAUVERNEY 28 GLAND, SWITZERLAND	N/A TO STRENGTHEN AFRICAN FARMERS' RESPONSE TO THE EFFECTS OF CLIMATE CHANGE	FOR ORG - E/R GRANT	24,300.
INTELLECAP INC 262 VENTURA AVENUE PALO ALTO, CA 94306	N/A FOR TREND MONITORING ON ISSUES RELEVANT TO POVERTY AND HUMAN DEVELOPMENT		60,000.
INTELLECAP INC 262 VENTURA AVENUE PALO ALTO, CA 94306			149,150.
INTELLECAP INC 262 VENTURA AVENUE PALO ALTO, CA 94306	N/A TOWARD THE COST OF ORGANIZING THE SANKALP SOCIAL ENTERPRISE FORUM		200,000.
INTERNATIONAL AIDS SOCIETY 71 AVENUE LOUIS CASAL CH 1216 COINTRIN GENEVA, SWITZERLAND	N/A FOR A MEETING "HIV PROGRAMMES AND HEALTH SYSTEMS STRENGTHENING"	FOR ORG - E/R GRANT	100,000.
INTERNATIONAL FINANCE CORPORATION 2121 PENNSYLVANIA AVENUE NW WASHINGTON, DC 20433	N/A TO INCREASE ACCESS TO HEALTH SYSTEMS TO FIGHT ILLNESS IN SUB-SAHARAN AFRICA	INTL ORG BY EXEC ORD	250,000.
INTERNATIONAL HEALTH POLICY PROGRAM THANON SATHARANASOOK 6 MINISTRY OF PUBLIC HEALTH TIWANOND ROAD		FOREIGN GOV'T UNIT	25,000.

THE ROCKEFELLER FOUNDATION			13-1659629
INTERNATIONAL HEALTH POLICY PROGRAM THANON SATHARANASOOK 6 MINISTRY OF PUBLIC HEALTH TIWANOND ROAD	TO ENSURE THAT A	FOREIGN GOV'T UNIT	152,100.
INTERNATIONAL CENTER DIARRHOEAL DISEASE RESEARCH BANGLADESH GPO BOX 128 DHAKA, BANGLADESH	TO IMPROVE INFORMAL	501(C)(3) PUBL CHAR	194,900.
INTERNATIONAL CENTER FOR LIVING AQUATIC RESOURCES MGMT JALAN BATU MAUNG PO BOX 500 GPO PENANG, MALAYSIA	TO STRENGTHEN THE	501(C)(3) PUBL CHAR	500,000.
INTERNATIONAL HEALTH ECONOMICS ASSOC 435 EAST DURHAM STREET PHILADELPHIA, PA 19119-1223	FOR DEVELOPING COUNTRIES TO ATTEND	501(C)(3) PUBL CHAR	35,200.
INTERNATIONAL ORGANIZATION FOR MIGRATION 17 ROUTE DES MORILLONS CP 71 CH 1211 GENEVA, SWITZERLAND		BY EXEC	27,500.
INTERNATIONAL SOCIETY FOR TELEMEDICINE AND EHEALTH KLINIK D UNIVREGENSBURG ABTEILUNGFURUNFALLCHIRURGIE	TOWARD THE COSTS OF PLANNING FOR A GLOBAL		200,000.
INTERNATIONAL SOCIETY FOR INFECTIOUS DISEASES 1330 BEACON STREET SUITE 228 BROOKLINE, MA 02446	N/A TO IMPROVE THE REPORTING OF DISEASE OUTBREAKS IN AFRICA AND SOUTHEAST ASIA	501(C)(3) PUBL CHAR	229,115.
INVESTORS CIRCLE NETWORK 165 11TH STREET SAN FRANCISCO, CA 94103	N/A FOR CONFERENCES TO PROMOTE EDUCATION AND RESEARCH FOR IMPACT INVESTING	EXMPT NON-CHAR E/R	30,000.
IPS ASIA PACIFIC CENTER FOUNDATION - UNIT 505 STERTEN PL CONDOMINIUM 116 MAGINHAWA ST UP TEACHERS VILLAGE QUEZON CITY, PHILIPPINES		FOR ORG - E/R GRANT	160,922.

THE ROCKEFELLER FOUNDATION			13-1659629
		FOR ORG - E/R GRANT	248,000.
	N/A FOR A CONCERT TO LINKING JAZZ MUSIC TO CIVIL SOCIETY	501(C)(3) PUBL CHAR	122,500.
JAZZ AT LINCOLN CENTER INC 140 WEST 65TH STREET NEW YORK, NY 10023-6969	N/A FOR EDUCATIONAL MATERIALS LINKING JAZZ MUSIC TO CIVIL SOCIETY	501(C)(3) PUBL CHAR	155,000.
JEMBI HEALTH SYSTEMS - 3 WINCHESTER LN SILVERTREE ESTATE GREEN BLDG WESTLAKE BUSINESS PARK CAPE TOWN, SOUTH AFRICA	FOR THE IMPLEMENTATION OF A ROBUST EHEALTH	FOR ORG - E/R GRANT	350,000.
	N/A TO UPDATE THE PANEL STUDY OF INCOME DYNAMICS HOUSING ASSISTANCE DATABASE	501(C)(3) PUBL CHAR	63,265.
JOYCE THEATER FOUNDATION INC 175 EIGHTH AVENUE NEW YORK, NY 10011	N/A TO DEVELOP NEW, COLLABORATIVE THEATRICAL WORKS	501(C)(3) PUBL CHAR	150,000.
KAROLINSKA INSTITUTE NOBELS VAG 5 STOCKHOLM, SWEDEN	N/A TO MAP THE ROLE OF THE PRIVATE SECTOR IN DEVELOPING COUNTRIES' HEALTH CARE		81,800.
KENYA AGRICULTURAL RESEARCH INSTITUTE KAPTAGAT ROAD LORESHO PO BOX 57811 CITY SQUARE NAIROBI,	N/A TO TEST AND DISSEMINATE SUPERIOR CASSAVA CULTIVARS	FOREIGN GOV'T UNIT	<u>-</u>
KENYA AGRICULTURAL RESEARCH INSTITUTE KAPTAGAT ROAD LORESHO PO BOX 57811 00200 CITY SQUARE	N/A FOR CLIMATE CHANGE RESILIENCE MEASURES IN KENYA	FOREIGN GOV'T UNIT	350,000.

THE ROCKEFELLER FOUNDATION		1	3-1659629
KENYA INFORMATION AND COMMUNICATION TECHNOLOGY BOARD PO BOX 27150 NAIROBI, KENYA	N/A TO DEVELOP THE BUSINESS PROCESS OUTSOURCING (BPO) SECTOR IN KENYA	FOREIGN GOV'T UNIT	150,000.
KENYA INFORMATION AND COMMUNICATION TECHNOLOGY BOARD PO BOX 27150 NAIROBI, KENYA	N/A TO IMPROVE GOVERNMENT SERVICE DELIVERY IN KENYA	FOREIGN GOV'T UNIT	250,000.
KENYA INSTITUTE FOR PUBLIC POLICY RESEARCH AND ANALYSIS BISHOPS GARDEN TOWERS BISHOPS ROAD PO BOX 56445 00200	N/A TO BUILD A SET OF RECOMMENDATIONS FOR THE KENYA NATIONAL ROAD SAFETY COUNCIL	FOREIGN GOV'T UNIT	124,350.
KENYA INSTITUTE FOR PUBLIC POLICY RESEARCH AND ANALYSIS BISHOPS GARDEN TOWERS BISHOPS ROAD PO BOX 56445 00200	N/A TO ADVANCE SUSTAINABLE URBAN DEVELOPMENT IN NAIROBI, KENYA	FOREIGN GOV'T UNIT	300,000.
KENYATTA UNIVERSITY PO BOX 43844 00100 GPO NAIROBI, KENYA	N/A TO EXPLORE USING RETIRED PROFESSORS AS MENTORS IN EAST AFRICA	PUBLIC CHARITY EQUIV	47,300.
KENYATTA UNIVERSITY PO BOX 43844 00100 GPO NAIROBI, KENYA	N/A TO DEVELOP AFRICAN MAIZE AND SORGHUM RESISTANT TO WEED STRIGA	PUBLIC CHARITY EQUIV	134,780.
KIGALI INSTITUTE OF SCIENCE AND TECHNOLOGY AVENUE DE L ARMEE BP 3900 KIGALI, RWANDA	N/A FOR A MODEL RESEARCH CENTER FOR HEALTH INFORMATICS TRAINING IN RWANDA	PUBLIC CHARITY EQUIV	150,000.
KINGS COLLEGE OUR LADY ST NICHOLAS KINGS COLLEGE CAMBRIDGE, UNITED KINGDOM	N/A TO STUDY THE HISTORICAL IMPACT OF ECONOMIC CRISES ON	501(C)(3) PUBL CHAR	100,000.

PUBLIC HEALTH

INSTITUTE

TO STRENGTHEN THE

REGIONAL PRESENCE OF

AN HIV/AIDS RESEARCH

N/A

150,100.

PUBLIC

EQUIV

CHARITY

KUNMING MEDICAL COLLEGE

KUNMING, CHINA

PO BOX 43 191 RENMIN WEST ROAD

THE ROCKEFELLER FOUNDATION			13-1659629
LINCOLN CENTER FOR THE PERFORMING ARTS 70 LINCOLN CENTER PLAZA NEW YORK, NY 10023-6583	N/A TO PROVIDE BROADER ACCESS TO ARTS PERFORMANCES VIA DISCOUNT TICKETS	501(C)(3) PUBL CHAR	
LIVABLE STREETS TRANSPORTATION ALLIANCE OF BOSTON 70 PACIFIC STREET CAMBRIDGE, MA 02139	N/A TO MAKE PUBLIC ALTERNATIVES TO CAR OWNERSHIP AND ENCOURAGE TRAVEL	501(C)(3) PUBL CHAR	60,000.
LIVING CITIES NATIONAL COMMUNITY DEVELOPMENT INITIATIVE 1601 CONNECTICUT AVE NW SUITE 400 WASHINGTON, DC 20009	N/A TO STUDY TRANSPORTATION AND PROMOTE EQUITABLE URBAN DEVELOPMENT IN	501(C)(3) PUBL CHAR	20,000.
LIVING CITIES NATIONAL COMMUNITY DEVELOPMENT INITIATIVE 1601 CONNECTICUT AVE NW SUITE 400 WASHINGTON, DC 20009		501(C)(3) PUBL CHAR	
LIVING CITIES NATIONAL COMMUNITY DEVELOPMENT INITIATIVE 55 WEST 125TH STREET 11TH FLOOR NEW YORK, NY 10027		501(C)(3) PUBL CHAR	250,000.
LOCAL GOVERNMENT COMMISSION 1303 J STREET STE 250 SACRAMENTO, CA 95814	N/A TO ENABLE MINORITY LEADERS' PARTICIPATION IN A SAFE COMMUNITIES CONFERENCE	501(C)(3) PUBL CHAR	35,000.
LONDON SCHOOL OF HYGIENE AND TROPICAL MEDICINE UNIV OF LONDON KEPPEL STREET LONDON, UNITED KINGDOM	N/A TO MITIGATE THE CONSEQUENCES OF PANDEMIC INFLUENZA	PUBLIC CHARITY EQUIV	181,976.
LONDON SCHOOL OF HYGIENE AND TROPICAL MEDICINE UNIV OF LONDON KEPPEL STREET LONDON, UNITED KINGDOM	N/A TO IMPROVE AFFORDABLE HEALTH SYSTEMS FOR POOR POPULATIONS	PUBLIC CHARITY EQUIV	600,000.
LONDON SCHOOL OF HYGIENE AND TROPICAL MEDICINE UNIV OF LONDON KEPPEL STREET LONDON, UNITED KINGDOM	N/A TO BUILD A NETWORK OF GRADUATES WORKING IN HEALTH IN POORER COUNTRIES	PUBLIC CHARITY EQUIV	100,000.

THE ROCKEFELLER FOUNDATION			13-1659629
MAHIDOL UNIVERSITY 999 PHUTTAMONTHON 4 ROAD SALAYA NAKHON PATHOM, THAILAND			106,200.
MAHIDOL UNIVERSITY 999 PHUTTAMONTHON 4 ROAD SALAYA NAKHON PATHOM, THAILAND			200,000.
MAHIDOL UNIVERSITY 999 PHUTTAMONTHON 4 ROAD SALAYA NAKHON PATHOM, THAILAND		PUBLIC CHARITY EQUIV	300,000.
MAKERERE UNIVERSITY P O BOX 7062 KAMPALA, UGANDA	N/A TO REDUCE POVERTY IN UGANDA VIA EDUCATIONAL AND INFORMATION TECHNOLOGY	CHARITY	63,000.
MAKERERE UNIVERSITY PO BOX 7062 KAMPALA, UGANDA	N/A TO PROVIDE GRADUATES WITH NEW SKILLS IN HEALTH SYSTEMS COMPETENCIES	CHARITY	75,000.
MAKERERE UNIVERSITY PO BOX 7062 KAMPALA, UGANDA			350,000.
MAKERERE UNIVERSITY PO BOX 7062 KAMPALA, UGANDA	N/A TO STRENGTHEN REGIONAL CLIMATE CHANGE POLICY DEVELOPMENT IN EAST AFRICA		350,000.
MANAGEMENT SCIENCES FOR HEALTH 784 MEMORIAL DRIVE CAMBRIDGE, MA 02139	N/A TO STUDY HOW DRUG DISPENSING OUTLETS CAN HELP POOR PEOPLE IN TANZANIA	501(C)(3) PUBL CHAR	
MANATT PHELPS PHILLIPS LLP 11355 W OLYMPIC BLVD LOS ANGELES, CA 90064	N/A FOR MORE EFFECTIVE TECHNOLOGIES IN HEALTH SYSTEMS IN DEVELOPING COUNTRIES.	US NON-CHAR E/R GRNT	11,000.

THE ROCKEFELLER FOUNDATION			13-1659629
ANGELES, CA 90064	N/A FOR MORE EFFECTIVE TECHNOLOGIES IN HEALTH SYSTEMS IN DEVELOPING COUNTRIES.	US NON-CHAR E/R GRNT	73,700.
ANGELES, CA 90064	N/A FOR STRATEGIC COMMUNICATIONS ADVICE FOR THE HEALTH SYSTEMS INITIATIVE	NON-CHAR E/R GRNT	269,600.
52 VANDERBILT AVENUE NEW YORK,	TO EXPLORE HOW TWO		
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE ROOM 3 208 CAMBRIDGE, MA 02139-4307	TO CREATE TECHNOLOGIES FOR DEVELOPING		
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE ROOM 3 208 CAMBRIDGE, MA 02139-4307	FOR A GRADUATE CURRICULUM IN URBAN		600,000.
MAYORS FUND TO ADVANCE NYC ONE CENTRE STREET 23RD FLOOR NEW YORK, NY 10007		501(C)(3) PUBL CHAR	210,000.
MAYORS FUND TO ADVANCE NYC ONE CENTRE STREET 23RD FLOOR NEW YORK, NY 10007	N/A TOWARD THE COSTS OF OPERATING AND EVALUATING OPPORTUNITY NYC	501(C)(3) PUBL CHAR	
MBARARA UNIV OF SCIENCE AND TECH PO BOX 1410 MBARARA, UGANDA	N/A TO STUDY THE IMPACT OF HIV/AIDS PROGRAMS ON HEALTH SYSTEMS IN AFRICA		60,000.
MCKINSEY COMPANY UNITED STATES 55 EAST 52ND STREET NEW YORK, NY 10022	N/A FOR A STUDY OF EXISTING AND POTENTIAL MEASURES TO ADAPT TO CLIMATE CHANGE	US NON-CHAR E/R GRNT	50,000.

THE ROCKEFELLER FOUNDATION			13-1659629
MCKINSEY COMPANY UNITED STATES 55 EAST 52ND STREET NEW YORK, NY 10022	N/A TO ANALYZE COSTS OF MOVING TO UNIVERSAL HEALTH COVERAGE FOR POOR COUNTRIES	NON-CHAR	400,000.
MEDICAL EDUCATION COOPERATION WITH CUBA MEDICC 1814 FRANKLIN STREET SUITE 500 OAKLAND, CA 94612	TO ENABLE INNOVATION-SHARING TO	501(C)(3) PUBL CHAR	50,000.
MERCY CORPS 45 SW ANKENY ST PORTLAND, OR 97204	N/A TO ASSESS PARTICIPANTS IN THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK		
MERIDIAN INSTITUTE 105 VILLAGE PL PO BOX 1829 DILLON, CO 80435	N/A FOR AGRICULTURAL DEVELOPMENT AND POVERTY REDUCTION	501(C)(3) PUBL CHAR	90,000.
MERIDIAN INSTITUTE 105 VILLAGE PLACE PO BOX 1829 DILLON, CO 80435	N/A FOR A STUDY ABOUT IMPLEMENTING A "SMART" U.S. NATIONAL POWER GRID	501(C)(3) PUBL CHAR	475,000.
MICHIGAN STATE UNIVERSITY 450 ADMINISTRATION BUILDING EAST LANSING, MI 48824-1046	TO TEST DIFFERENT	501(C)(3) PUBL CHAR	300,000.
MINISTERIO DA AGRICULTURE E DESENVOLVIMENTO RURAL CAIXA POSTAL 1406 MAPUTO, MOZAMBIQUE	N/A TO DEVELOP DECENTRALIZED LOCAL MARKET INFORMATION SYSTEMS FOR POOR	FOREIGN GOV'T UNIT	
MINISTRY OF HEALTH CAMBODIA NO 151 153 KAMPUCHEA KROM BLVD PHNOM PENH, CAMBODIA	N/A TO DEVELOP A HEALTH INFORMATICS MASTERS PROGRAM	FOREIGN GOV'T UNIT	80,000.
MINISTRY OF HEALTH CAMBODIA NO 151 153 KAMPUCHEA KROM BLVD PHNOM PENH, CAMBODIA	N/A TO BUILD CAPACITY FOR A DISEASE SURVEILLANCE NETWORK IN THE MEKONG BASIN	FOREIGN GOV'T UNIT	141,000.

THE ROCKEFELLER FOUNDATION		1	3-1659629
MINISTRY OF HEALTH LAO PDR KM 3 THADEUA ROAD VIENTIANE, LAOS	•		137,800.
MINISTRY OF HEALTH RWANDA PO BOX 84 KIGALI, RWANDA	N/A TO HELP LAUNCH THE E-HEALTH INFORMATION SYSTEM IN RWANDA	FOREIGN GOV'T UNIT	605,000.
MINISTRY OF HEALTH VIETNAM 138A GIANG VO STR BA DINH HANOI, VIETNAM	N/A TO BUILD CAPACITY FOR A DISEASE SURVEILLANCE NETWORK IN THE MEKONG BASIN	FOREIGN GOV'T UNIT	116,500.
	N/A TO STUDY THE CHALLENGES TO THE HEALTH SYSTEM IN VIETNAM	FOREIGN GOV'T UNIT	440,000.
MINISTRY OF PUBLIC HEALTH THAILAND TIWANOND ROAD NONTHABURI, THAILAND	N/A TO BUILD CAPACITY FOR A DISEASE SURVEILLANCE NETWORK IN THE MEKONG BASIN	FOREIGN GOV'T UNIT	153,400.
MINISTRY OF PUBLIC HEALTH THAILAND TIWANOND ROAD NONTHABURI, THAILAND	N/A TO STRENGTHEN COORDINATION OF A DISEASE SURVEILLANCE NETWORK	FOREIGN GOV'T UNIT	173,615.
MIRROR FOUNDATION - 160 MOO 1 HUAYKHOM VILLAGE MAEYAO SUB DISTRICT MUANG DISTRICT CHIANG MAI, THAILAND		FOR ORG - E/R GRANT	20,000.
MONITOR COMPANY GROUP LP TWO CANAL PARK CAMBRIDGE, MA 02141	N/A TO INCREASE THE EFFICIENCY AND EFFECTIVENESS OF THE PHILANTHROPIC SECTOR	US NON-CHAR E/R GRNT	20,000.
MONITOR COMPANY GROUP LP TWO CANAL PARK CAMBRIDGE, MA 02141	N/A SUPPORT FOR COMPANIES OFFERING MARKET-BASED SOLUTIONS TO SOCIAL PROBLEMS		314,800.

THE ROCKEFELLER FOUNDATION			13-1659629
MONITOR COMPANY GROUP LP TWO CANAL PARK CAMBRIDGE, MA 02141	N/A FOR A TOOL TO HELP PHILANTHROPIC INSTITUTIONS UNDERSTAND FUNDING	US NON-CHAR E/R GRNT	350,000.
MONITOR COMPANY GROUP LP TWO CANAL PARK CAMBRIDGE, MA 02141	N/A TO ILLUMINATE THE INTERSECTIONS OF TECHNOLOGY TRENDS IN DEVELOPMENT	US NON-CHAR E/R GRNT	400,000.
MONITOR COMPANY GROUP LP TWO CANAL PARK CAMBRIDGE, MA 02141	N/A TO IMPROVE THE EFFICIENCY AND EFFECTIVENESS OF THE PHILANTHROPIC SECTOR	US NON-CHAR E/R GRNT	650,000.
MONITOR INSTITUTE LLC 101 MARKET STREET SUITE 1000 SAN FRANCISCO, CA 94105		US NON-CHAR E/R GRNT	266,600.
MUNICIPAL ART SOCIETY OF NEW YORK 457 MADISON AVENUE NEW YORK, NY 10022	TO HONOR	501(C)(3) PUBL CHAR	18,522.
MUNICIPAL ART SOCIETY OF NEW YORK 457 MADISON AVENUE NEW YORK, NY 10022	TO HONOR	501(C)(3) PUBL CHAR	374,500.
	N/A FOR AN INTERACTIVE EXHIBITION ON THE CHINESE AMERICAN EXPERIENCE	501(C)(3) PUBL CHAR	
MUSEUM OF MODERN ART 11 WEST 53RD STREET NEW YORK, NY 10019	N/A FOR AN EXHIBITION ON CLIMATE CHANGE AND SEA LEVEL RISE IN THE NY/NJ HARBOR	501(C)(3) PUBL CHAR	
MUSEUM OF THE MOVING IMAGE 36 01 35TH AVENUE ASTORIA, NY 11106	N/A TOWARD THE COSTS OF AN EXHIBITION ON THE WORLD OF MULTIPLAYER ONLINE GAMES	501(C)(3) PUBL CHAR	

THE ROCKEFELLER FOUNDATION		1	13-1659629
MYLIFE INC DBA QVISORY PO BOX 18165 WASHINGTON, DC 20036	N/A TO ADDRESS THE ECONOMIC SECURITY NEEDS OF YOUNG AMERICAN WORKERS	EXMPT NON-CHAR E/R	200,000.
NAACP LEGAL DEFENSE AND EDUC FUND 99 HUDSON STREET 16TH FLOOR NEW YORK, NY 10013-2897	N/A TO ENSURE THAT ECONOMIC STIMULUS DOLLARS BENEFIT MARGINALIZED PEOPLE	501(C)(3) PUBL CHAR	100,000.
NATIONAL COMMITTEE FOR RESPONSIVE PHILANTHROPY 1331 H STREET NW SUITE 200 WASHINGTON, DC 20005	N/A GENERAL SUPPORT	501(C)(3) PUBL CHAR	100,000.
NATIONAL GOVERNORS ASSOCIATION CENTER FOR BEST PRACTICES 444 NORTH CAPITOL STREET NW SUITE 267 WASHINGTON, DC	N/A TO ASSIST U.S. STATE GOVERNORS DEVELOP NEW TRANSPORTATION POLICY	501(C)(3) PUBL CHAR	225,000.
NATIONAL INSTITUTE OF SCIENCE AND TECHNOLOGY POLICY STRATEGY STUDIES - 38 NGO QUYEN STREET HANOI, VIETNAM	TO STUDY POVERTY AND	FOREIGN GOV'T UNIT	50,000.
NATIONAL RELIGIOUS PARTNERSHIP FOR THE ENVIRONMENT 49 SOUTH PLEASANT STREET SUITE 301 AMHERST, MA 01002	N/A FOR CLIMATE RESILIENCE POLICIES AND FUNDING OUTREACH EFFORTS IN THE U.S.	501(C)(3) PUBL CHAR	200,000.
NATIONAL ACADEMY OF SCIENCES 500 FIFTH STREET NW WASHINGTON, DC 20001		501(C)(3) PUBL CHAR	250,000.
NATIONAL AGRICULTURAL RESEARCH ORGANIZATION PLOT 3 LUGARD AVENUE PO BOX 295 ENTEBBE, UGANDA	N/A FOR CASSAVA IMPROVEMENT IN UGANDA	FOREIGN GOV'T UNIT	14,007.
NATIONAL AGRICULTURAL RESEARCH ORGANIZATION PLOT 3 LUGARD AVENUE PO BOX 295 ENTEBBE, UGANDA	N/A FOR CLIMATE CHANGE ADAPTATION RESEARCH IN UGANDA AND EASTERN AFRICA	FOREIGN GOV'T UNIT	350,000.

THE ROCKEFELLER FOUNDATION			13-1659629
NATIONAL BUREAU OF ASIAN RESEARCH 1414 NE 42ND STREET SUITE 300 SEATTLE, WA 98105	N/A FOR A PANEL TO REVIEW LESSONS LEARNED FROM VERTICAL DISEASE PROGRAMS	501(C)(3) PUBL CHAR	50,000.
NATIONAL HOUSING TRUST SUITE 400 1101 30TH STREET NW WASHINGTON, DC 20007	N/A TO PRESERVE AFFORDABLE HOUSING IN TRANSIT-RICH COMMUNITIES	501(C)(3) PUBL CHAR	30,000.
NATIONAL UNIVERSITY OF RWANDA PO BOX 56 HUYE BUTARE, RWANDA	N/A TO MAKE USE OF TECHNOLOGY TO STREAMLINE ADMINISTRATIVE	PUBLIC CHARITY EQUIV	125,000.
NATIONAL UNIVERSITY OF SINGAPORE 21 LOWER KENT RIDGE ROAD SINGAPORE, SINGAPORE	N/A TO MEASURE AND COMMUNICATE THE SOCIAL IMPACT OF ENTERPRISES IN ASIA	PUBLIC CHARITY EQUIV	114,400.
NATIONAL UNIVERSITY OF SINGAPORE 21 LOWER KENT RIDGE ROAD SINGAPORE, SINGAPORE	N/A TO STUDY POVERTY AND HUMAN DEVELOPMENT ISSUES IN SOUTHEAST ASIA	PUBLIC CHARITY EQUIV	150,000.
NATIONAL WOMENS LAW CENTER 11 DUPONT CIRCLE NW SUITE 800 WASHINGTON, DC 20036-1209	N/A TO EDUCATE ON THE UN CONVENTION ON ELIMINATING DISCRIMINATION AGAINST	501(C)(3) PUBL CHAR	95,000.
NATIONAL ACADEMY OF SOCIAL INSURANCE 1776 MASSACHUSETTS AVENUE NW SUITE 615 WASHINGTON, DC 20036	N/A TO ENSURE ECONOMIC SECURITY FOR AMERICAN WORKERS VIA SOCIAL SECURITY		93,000.
NATIONAL ACADEMY OF SOCIAL INSURANCE 1776 MASSACHUSETTS AVENUE NW SUITE 615 WASHINGTON, DC 20036	N/A TO PROMOTE IMPROVEMENTS TO SOCIAL SECURITY BENEFITS	501(C)(3) PUBL CHAR	550,000.
NATIONAL ASSOCIATION OF CITY TRANSPORTATION OFFICIALS - NYU WAGNER RUDIN CENTER FOR TRANSPORTATION 295 LAFAYETTE ST 2ND FL NEW YORK, NY 10012	N/A TO SHOW POLICY ON AND PLAN FOR EQUITABLE TRANSPORTATION IN U.S. CITIES	501(C)(3) PUBL CHAR	75,000.

THE ROCKEFELLER FOUNDATION		:	13-1659629
NATIONAL EMPLOYMENT LAW PROJECT INC 75 MAIDEN LANE SUITE 601 NEW YORK, NY 10038	N/A TO RAISE AWARENESS ABOUT IMPROVING FEDERAL UNEMPLOYMENT INSURANCE	501(C)(3) PUBL CHAR	110,000.
NATIONAL EMPLOYMENT LAW PROJECT INC 75 MAIDEN LN SUITE 601 NEW YORK, NY 10038	N/A TO CONTINUE AND EXPAND ITS "UNEMPLOYMENT INSURANCE SAFETY NET PROJECT"	501(C)(3) PUBL CHAR	800,000.
NATIONAL PARTNERSHIP FOR WOMEN AND FAMILIES 1875 CONNECTICUT AVENUE SUITE 650 WASHINGTON, DC 20009	N/A TO INCREASE WOMEN AND FAMILIES' ECONOMIC SECURITY	501(C)(3) PUBL CHAR	140,000.
NATIONAL TRUST HISTORIC PRESERVATION IN THE US 1785 MASSACHUSETTS AVENUE NW WASHINGTON, DC 20036	N/A FOR A PRESERVATION TRAINING PROGRAM AND TO HELP PEOPLE'S RETURN TO HOMES	501(C)(3) PUBL CHAR	25,000.
NATURAL RESOURCES DEFENSE COUNCIL 40 WEST 20TH STREET NEW YORK, NY 10011	N/A TO DEMONSTRATE POLICY OPTIONS FOR EQUITABLE TRANSPORTATION IN U.S. CITIES	501(C)(3) PUBL CHAR	50,000.
NATURAL RESOURCES DEFENSE COUNCIL 40 WEST 20TH STREET NEW YORK, NY 10011	N/A TO ENCOURAGE RAPID SCALE-UP OF INVESTMENT IN ENERGY EFFICIENCY	501(C)(3) PUBL CHAR	259,200.
NATURAL RESOURCES DEFENSE COUNCIL 40 WEST 20TH STREET NEW YORK, NY 10011	N/A FOR A RANGE OF EFFORTS TO BROADEN NATIONAL TRANSPORTATION REFORM	501(C)(3) PUBL CHAR	275,000.
NATURE CONSERVATION RESEARCH CENTER GHANA PO BOX KN 925 ACCRA, GHANA	N/A TO INCREASE ACCESS TO CARBON FINANCE	FOR ORG - E/R GRANT	300,000.
NEIGHBORHOOD REINVESTMENT CORP 1325 G STREET NW SUITE 800 WASHINGTON, DC 20005-3100	N/A TO STRENGTHEN ORGANIZATIONS THAT HELP HOMEOWNERS AVOID FORECLOSURE	501(C)(3) PUBL CHAR	1,000,000.

THE ROCKEFELLER FOUNDATION			13-1659629
NETHOPE INC PO BOX 6704 MCLEAN, VA 22106-6704	N/A TO DEVELOP NGO ACTION PLANS FOR THE USE OF TECHNOLOGY IN THE FIELD	501(C)(3) PUBL CHAR	50,000.
NETHOPE INC PO BOX 6704 MCLEAN, VA 22106-6704	N/A TO BUILD THE CAPACITY OF GLOBAL INTERNATIONAL DEVELOPMENT		
NEW AMERICA FOUNDATION 1630 CONNECTICUT AVENUE NW 7TH FLOOR WASHINGTON, DC 20036		501(C)(3) PUBL CHAR	
NEW AMERICA FOUNDATION 1899 L STREET SUITE 400 WASHINGTON, DC 20036	N/A TO PROMOTE SUSTAINABLE ECONOMIC RECOVERY OF AMERICAN WORKERS	501(C)(3) PUBL CHAR	700,000.
NEW ORLEANS REDEVELOPMENT AUTHORITY 1340 POYDRAS ST SUITE 600 NEW ORLEANS, LA 70112	N/A TO DEVELOP ORGANIZATIONAL CAPACITY IN THE REBUILDING EFFORTS IN	US GOV'T UNIT	75,000.
NEW ORLEANS REDEVELOPMENT AUTHORITY 1340 POYDRAS STREET SUITE 600 NEW ORLEANS, LA 70112	N/A TO ACQUIRE BLIGHTED PROPERTIES FOR REDEVELOPMENT IN NEW ORLEANS	US GOV'T UNIT	250,000.
NEW YORK CITY BALLET INC DAVID H KOCH THEATER 20 LINCOLN CENTER PLZ NEW YORK, NY 10023	N/A FOR SEVEN NEW BALLETS AND FOUR NEW MUSICAL SCORES BY INTERNATIONAL ARTISTS		150,000.
NEW YORK CITY CENTER INC 130 W 56TH STREET NEW YORK, NY 10019	N/A FOR MORPHOSES, THE WHEELDON COMPANY, A NEW RESIDENT BALLET COMPANY	501(C)(3) PUBL CHAR	
NEW YORK FOUNDATION FOR THE ARTS 20 JAY STREET 7TH FLOOR BROOKLYN, NY 11201	N/A FOR AN ONLINE RESOURCE FOR NYC-BASED VISUAL ARTISTS TO PROCURE STUDIO SPACE	501(C)(3) PUBL CHAR	

THE ROCKEFELLER FOUNDATION			13-1659629
NEW YORK HISTORICAL SOCIETY 170 CENTRAL PARK WEST NEW YORK, NY 10024	N/A FOR AN HISTORICAL EXHIBITION ON THE SPANISH-SPEAKING WORLD IN NYC	501(C)(3) PUBL CHAR	50,000.
NEW YORK UNIVERSITY 70 WASHINGTON SQUARE SOUTH 12TH FLOOR NEW YORK, NY 10012	GAMING RESEARCH AND DESIGN	PUBL CHAR	
NEW YORK UNIVERSITY 70 WASHINGTON SQUARE SOUTH 12TH FLOOR NEW YORK, NY 10012	N/A TO IDENTIFY SUSTAINABLE SOLUTIONS TO THE HOUSING CRISIS IN THE U.S.	501(C)(3) PUBL CHAR	264,000.
NONPROFIT FINANCE FUND 70 WEST 36TH STREET 11TH FLOOR NEW YORK, NY 10018	N/A FOR THE FINANCIAL CAPACITY OF NYC CULTURAL INNOVATION FUND RECIPIENTS	501(C)(3) PUBL CHAR	9,250.
NONPROFIT FINANCE FUND 70 WEST 36TH STREET 11TH FLOOR NEW YORK, NY 10018	N/A TO ENHANCE THE FINANCIAL CAPACITY OF NYC CULTURAL INNOVATION FUND	501(C)(3) PUBL CHAR	55,000.
NORTHEASTERN UNIVERSITY 405 LAKE HALL BOSTON, MA 02115	N/A TO ENSURE THAT CHANGES IN TRANSPORTATION POLICY WILL BENEFIT THE POOR		
NOVISCAPE CONSULTING GROUP CO LTD - 231 2 BANGKOK CODE BLD UNIT 4 BLDG B S SATHORN ROAD YANNAWA SATHORN BANGKOK, THAILAND	N/A FOR TREND MONITORING AND RESEARCH ON ISSUES ON POVERTY AND HUMAN DEVELOPMENT	FOR ORG - E/R GRANT	55,000.
NUCLEAR THREAT INITIATIVE INC 1747 PENNSYLVANIA AVE NW 7TH FLOOR WASHINGTON, DC 20006	N/A FOR A MEETING ON PUBLIC HEALTH SURVEILLANCE	501(C)(3) PUBL CHAR	-
NYC PARTNERSHIP FOUNDATION INC ONE BATTERY PARK PLAZA NEW YORK, NY 10004-1479	N/A TO REFINANCE AND PRESERVE AFFORDABLE MULTI-FAMILY RESIDENCES IN NYC	501(C)(3) PUBL CHAR	

THE ROCKEFELLER FOUNDATION			13-1659629
NYC PARTNERSHIP FOUNDATION INCONE BATTERY PARK PLAZA NEW YORK, NY 10004-1479	N/A TO REFINANCE AND PRESERVE AFFORDABLE MULTI-FAMILY RESIDENCES IN NYC	501(C)(3) PUBL CHAR	75,000.
ORGANIZATION FOR ECONOMIC COOPERATION AND DEVELOPMENT 2 RUE ANDRE PASCAL CEDEX 16 PARIS, FRANCE	TO STUDY THE BENEFITS	BY EXEC	45,000.
OVE ARUP PARTNERS INTL LIMITED 13 FITZROY STREET W1T 4BQ LONDON, UNITED KINGDOM		FOR ORG - E/R GRANT	70,000.
OVE ARUP PARTNERS INTL LTD 13 FITZROY STREET W1T 4BQ LONDON, UNITED KINGDOM	N/A FOR ASSISTANCE TO ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK	FOR ORG - E/R GRANT	300,000.
OXFAM AMERICA INC 226 CAUSEWAY STREET 5TH FLOOR BOSTON, MA 02114-2206	N/A TO REDUCE POVERTY VIA POLICY CHANGE IN AID, AGRICULTURE AND CLIMATE CHANGE		107,500.
OXFAM AMERICA INC 226 CAUSEWAY STREET 5TH FLOOR BOSTON, MA 02114-2206	N/A TO PROMOTE FUNDING FOR CLIMATE RESILIENCE DOMESTICALLY AND INTERNATIONALLY	501(C)(3) PUBL CHAR	
OXFAM AMERICA INC 226 CAUSEWAY STREET 5TH FLOOR BOSTON, MA 02114-2206	N/A TO BUILD ETHIOPIAN FARMERS' RESILIENCE TO CLIMATE CHANGE	501(C)(3) PUBL CHAR	
PAMOJA TRUST PO BOX 10269 00100 NAIROBI, KENYA	N/A TO GIVE A VOICE TO POOR URBAN KENYANS IN THE DEVELOPMENT OF THE SLUM AREAS	PUBLIC CHARITY EQUIV	250,000.
PAMOJA TRUST PO BOX 10269 00100 NAIROBI, KENYA	N/A TO DISCUSS AND MODEL COMPREHENSIVE APPROACHES FOR CITY-WIDE SLUM	PUBLIC CHARITY EQUIV	342,400.

THE ROCKEFELLER FOUNDATION			13-1659629
PAN AMERICAN HEALTH ORGANIZATION - REGIONAL OFFICE OF THE WORLD HEALTH ORGANIZATION 525 23 ST NW WASHINGTON, DC 20037-2895	FOR A MEETING ON ACCESS TO HEALTH	INTL ORG BY EXEC ORD	27,950.
PARTNERS IN HEALTH 641 HUNTINGTON AVENUE BOSTON, MA 02445	N/A TO IMPROVE ELECTRONIC HEALTH RECORD (EHR) SYSTEMS FOR THE POOR	501(C)(3) PUBL CHAR	174,800.
PARTNERSHIP FOR PUBLIC SERVICE INC 1100 NEW YORK AVENUE NW SUITE 1090 WASHINGTON, DC 20005	TO FOSTER GREATER	501(C)(3) PUBL CHAR	100,000.
PATRICIA PATRIZI 241 S 6TH STREET 1005 PHILADELPHIA, PA 19106	N/A TO STUDY ROLE OF PHILANTHROPY IN THE RECOVERY PROCESS IN NEW ORLEANS	INDIVIDUAL	225,000.
PENSION RIGHTS CENTER 1350 CONNECTICUT AVENUE NW SUITE 206 WASHINGTON, DC 20036		501(C)(3) PUBL CHAR	100,000.
PHILANTHROPY NEW YORK INC 79 FIFTH AVE 4TH FL NEW YORK, NY 10003-3076	N/A GENERAL SUPPORT	501(C)(3) PUBL CHAR	30,000.
PHILANTHROPY NEW YORK INC 79 FIFTH AVENUE FOURTH FLOOR NEW YORK, NY 10003-3076	N/A FOR AN EFFORT TO STRENGTHEN THE SECTOR'S EFFECTIVENESS THROUGH DIVERSITY	501(C)(3) PUBL CHAR	50,000.
PHILANTHROPY NEW YORK INC 79 FIFTH AVE 4TH FL NEW YORK, NY 10003-3076	N/A TO PROMOTE BETTER PUBLIC UNDERSTANDING OF THE PHILANTHROPIC SECTOR	501(C)(3) PUBL CHAR	125,000.
PHILANTHROPY NORTHWEST 2101 FOURTH AVENUE SUITE 650 SEATTLE, WA 98121	N/A TO PROVIDE SUPPORT TO GRANTMAKERS WHO USE PROGRAM-RELATED INVESTMENTS	501(C)(3) PUBL CHAR	25,000.

THE ROCKEFELLER FOUNDATION		1	3-1659629
PHILIP J RIDDELL 11 RUE DU FIERNEY PREGNIN, FRANCE	N/A TO INCREASE PRO-POOR FINANCIAL INVESTMENT IN AFRICAN AGRICULTURE	INDIVIDUAL	122,900.
POLICYLINK 1438 WEBSTER STREET SUITE 303 OAKLAND, CA 94612	N/A TO SEE THAT THE POOR'S NEEDS ARE MET BY A NATIONAL TRANSPORTATION	501(C)(3) PUBL CHAR	125,000.
POLICYLINK 1438 WEBSTER STREET SUITE 303 OAKLAND, CA 94612	N/A TO ENSURE VOICE, PARTICIPATION AND AGENCY IN THE REBUILDING PROCESS	501(C)(3) PUBL CHAR	200,000.
POLICYLINK 1438 WEBSTER STREET SUITE 303 OAKLAND, CA 94612	N/A TO ADVANCE EQUITY IN THE FEDERAL TRANSPORTATION POLICY DEBATE		200,000.
POLICYLINK 1438 WEBSTER STREET SUITE 303 OAKLAND, CA 94612	N/A TO BETTER COORDINATE FEDERAL INFRASTRUCTURE INVESTMENTS		225,000.
POLYTECHNIC INSTITUTE OF NYU 6 METROTECH CENTER BROOKLYN, NY 11201		501(C)(3) PUBL CHAR	112,500.
PORTLAND PR LIMITED 1 RED LION COURT LONDON, UNITED KINGDOM	N/A TO PROVIDE FOUR AFRICAN NON-PROFIT ORGANIZATIONS WITH COMMUNICATIONS SUPPORT	FOR ORG - E/R GRANT	115,920.
PORTLAND PR LIMITED 1 RED LION COURT LONDON, UNITED KINGDOM	N/A TO PROVIDE COMMUNICATIONS SUPPORT TO FOUR AFRICAN NONPROFIT	FOR ORG - E/R GRANT	242,200.
PREGONES TOURING PUERTO RICAN THEATRE COLLECTION 571 575 WALTON AVENUE BRONX, NY 10451-3134	TO GIVE LOW-INCOME	501(C)(3) PUBL CHAR	50,000.

THE ROCKEFELLER FOUNDATION			13-1659629
HARVARD COLLEGE MASSACHUSETTS HALL CAMBRIDGE,	FOR A PAPER, "HISTORY	501(C)(3) PUBL CHAR	59,100.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE MASSACHUSETTS HALL CAMBRIDGE, MA 02138	TO IDENTIFY GAPS IN		
MASSACHUSETTS HALL CAMBRIDGE,	FOR A RESEARCH STUDY		304,900.
MASSACHUSETTS HALL CAMBRIDGE,	TO OPERATE A FORUM ON		
19 22 CHARLOTTE ROAD LONDON,	TO MEASURE IMPACT OF	PUBLIC CHARITY EQUIV	100,000.
VILLAGE DILIMAN 1101 QUEZON	TO ADDRESS	PVT FDN EQUIV (E/R)	111,460.
PROGRAM FOR APPROPRIATE TECHNOLOGY IN HEALTH 2201 WESTLAKE AVE SUITE 200 SEATTLE, WA 98121	N/A FOR A RESEARCH ON UNIVERSAL HEALTH COVERAGE TO BENEFIT THE POOR		218,000.
PROGRAM FOR APPROPRIATE TECHNOLOGY IN HEALTH 2201 WESTLAKE AVE SUITE 200 SEATTLE, WA 98121	N/A FOR A HEALTH SUPPLY CHAIN INFORMATION SYSTEM TO BENEFIT THE GLOBAL SOUTH	501(C)(3) PUBL CHAR	
PROGRESSIVE AMERICA FUND 1133 BROADWAY SUITE 332 NEW YORK, NY 10010	N/A FOR A GREEN INFRASTRUCTURE INVESTMENT PROGRAM TO CREATE JOBS IN NEW	501(C)(3) PUBL CHAR	

THE ROCKEFELLER FOUNDATION			13-1659629
PROJECT ENTERPRISE 144 W 125TH STREET 4TH FLOOR NEW YORK, NY 10027	N/A TO PILOT A BUSINESS TRAINING AND PEER LENDING PROGRAM FOR ARTISTS IN NYC	501(C)(3) PUBL CHAR	150,000.
PROJECT HOPE 255 CARTER HALL LANE MILLWOOD, VA 22646	N/A TO STUDY E-HEALTH IN IMPROVING HEALTH OUTCOMES IN THE DEVELOPING WORLD	501(C)(3) PUBL CHAR	100,000.
PUBLIC HEALTH INSTITUTE 555 12TH STREET 10TH FLOOR OAKLAND, CA 94607-4046		501(C)(3) PUBL CHAR	52,340.
QUEENS COUNCIL ON THE ARTS INC ONE FOREST PARK AT OAK RIDGE WOODHAVEN, NY 11421	N/A FOR AN INTERACTIVE CELL PHONE ART AND CULTURAL TOUR OF QUEENS	501(C)(3) PUBL CHAR	75,000.
RAINFOREST ALLIANCE INC 665 BROADWAY SUITE 500 NEW YORK, NY 10012	N/A TO ENHANCE SUSTAINABLE AGRICULTURE STANDARDS IN DEVELOPING COUNTRIES	501(C)(3) PUBL CHAR	125,000.
RAKS THAI FOUNDATION - 185 PRADIPAT ROAD SOI PRADIPAT 6 SAMSENNAI PHAYATHAI BANGKOK, THAILAND			107,911.
RAND CORPORATION 1776 MAIN STREET SANTA MONICA, CA 90407	N/A TO STUDY POVERTY AND HUMAN DEVELOPMENT ISSUES IN THE U.S.	501(C)(3) PUBL CHAR	100,000.
RAND CORPORATION 1776 MAIN STREET PO BOX 2138 SANTA MONICA, CA 90401-2138	N/A FOR THE MEKONG BASIN DISEASE SURVEILLANCE NETWORK'S BATTLE WITH DISEASE	501(C)(3) PUBL CHAR	300,375.
RECONNECTING AMERICA INC 436 14TH STREET SUITE 1005 OAKLAND, CA 94612	N/A FOR A CELL PHONE-BASED BUS TRACKING APPLICATION IN WASHINGTON, D.C.	501(C)(3) PUBL CHAR	17,000.

THE ROCKEFELLER FOUNDATION		:	13-1659629
RECONNECTING AMERICA INC 436 14TH STREET SUITE 1005 OAKLAND, CA 94612	N/A TO STUDY THE IMPLEMENTATION OF SUCCESSFUL TRANSIT-ORIENTED	501(C)(3) PUBL CHAR	100,000.
RECTOR AND VISITORS OF THE UNIV OF VIRGINIA PO BOX 400224 CHARLOTTESVILLE, VA 22904	FOR A LIVE DISCUSSION	501(C)(3) PUBL CHAR	115,000.
RED CROSS AND RED CRESCENT CENTER ON CLIMATE CHANGE PO BOX 28120 NL 2502 KC THE HAGUE, NETHERLANDS	TO DEVELOP CLIMATE		300,000.
REGIONAL UNIVERSITIESS FORUM CAPACITY BLDG IN AGRICULTURE - PLOT 151 GARDEN HILL MAKERERE UNIVERSITY MAIN CAMPUS PO BOX 7062 KAMPALA, UGANDA	RESILIENCE OF AFRICAN	EQUIV	320,000.
REGIONAL UNIVERSITIESS FORUM CAPACITY BLDG IN AGRICULTURE - PLOT 151 GARDEN HILL MAKERERE UNIVERSITY MAIN CAMPUS PO BOX 7062 KAMPALA, UGANDA	TO MANAGE	PVT FDN EQUIV (E/R)	300,000.
REGENSTRIEF INSTITUTE INC 1050 WISHARD BLVD RG 6 INDIANAPOLIS, IN 46202-2872	TO SYSTEMATIZE	501(C)(3) PUBL CHAR	1,000,000.
REGENTS OF THE UNIVERSITY OF MINNESOTA - 450 MCNAMARA ALUMNI CENTER 200 OAK STREET SOUTHEAST MINNEAPOLIS, MN 55455-2070	N/A TO ORGANIZE A MEETING ON GLOBAL FOOD SYSTEM LEADERSHIP	501(C)(3) PUBL CHAR	7,200.
REGIONAL PLAN ASSOCIATION INC 4 IRVING PLACE 7TH FLOOR NEW YORK, NY 10003	N/A TO ADVANCE THE REVITALIZATION OF NEW YORK'S OLDER INDUSTRIAL CITIES	501(C)(3) PUBL CHAR	50,000.
REGIONAL PLAN ASSOCIATION INC 4 IRVING PLACE 7TH FLOOR NEW YORK, NY 10003	N/A TO DEVELOP A HEALTHY AND SUSTAINABLE NATIONAL TRANSPORTATION MODEL	501(C)(3) PUBL CHAR	150,000.

THE ROCKEFELLER FOUNDATION		=	13-1659629
REGIONAL PLAN ASSOCIATION INC 4 IRVING PLACE SUITE 711 S NEW YORK, NY 10003		501(C)(3) PUBL CHAR	150,000.
RESOURCE ALLIANCE LIMITED - 5TH FLOOR DEVELOPMENT HOUSE 56 64 LEONARD STREET LONDON, UNITED KINGDOM	TO PROMOTE THE CIVIL	PUBLIC CHARITY EQUIV	100,000.
REYUM 47 STREET 178 PO BOX 2438 PHNOM PENH, CAMBODIA	N/A PUBLIC EDUCATION ACTIVITIES TO ENCOURAGE UNDERSTANDING OF	FOR ORG - E/R GRANT	125,000.
RHIZOME COMMUNICATIONS INC - CONEW MUSEUM OF CONTEMPORARY ART 235 BOWERY NEW YORK, NY 10002	FOR A MONTHLY DIGITAL	501(C)(3) PUBL CHAR	12,500.
RINGSIDE INC 51 NORTH 1ST ST BROOKLYN, NY 11211	N/A TO INTEGRATE OTHER DISCIPLINES WITH DANCE TO FORGE NEW CREATIVE COMMUNITIES	501(C)(3) PUBL CHAR	75,000.
ROCKEFELLER BROTHERS FUND INC 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	N/A TO ADVANCE CLIMATE CHANGE MITIGATION AND ADAPTATION POLICY IN THE U.S.	501(C)(3) PVT FDN ER	600,000.
ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET 10TH FLOOR NEW YORK, NY 10036	N/A TO PROVIDE GUIDANCE TO FOUNDATIONS AND OTHER DONORS ON IMPACT INVESTING	501(C)(3) PUBL CHAR	40,000.
ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET 10TH FLOOR NEW YORK, NY 10036	N/A FOR ITS IMPACT REPORTING AND INVESTMENT STANDARDS PROJECT	501(C)(3) PUBL CHAR	1,000,000.
ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET 10TH FLOOR NEW YORK, NY 10036	N/A TO ENABLE MORE EFFECTIVE SOCIAL INVESTING TO SOLVE CHALLENGES TO THE POOR	501(C)(3) PUBL CHAR	1,500,000.

THE ROCKEFELLER FOUNDATION			13-1659629
ROCKHOPPER PRODUCTIONS LIMITED THE PALL MALL DEPOSIT BARLBY ROAD LONDON, UNITED KINGDOM		FOR ORG - E/R GRANT	1,055,425.
ROYAL MELBOURNE INSTITUTE OF TECHNOLOGY 124 LATROBE STREET MELBOURNE, AUSTRALIA	TO ANALYZE THE	PUBLIC CHARITY EQUIV	93,800.
RUDOLF STEINER FOUNDATION DBA RSF SOCIAL FINANCE 1002A O REILLY AVENUE SAN FRANCISCO, CA 94219	N/A TO DEVEOP AN OPEN-SOURCE DATABASE OF IMPACT INVESTMENT OPPORTUNITIES	501(C)(3) PUBL CHAR	
RUTGERS UNIVERSITY 83 SOMERSET STREET NEW BRUNSWICK, NJ 08901	N/A TO STUDY POVERTY-REDUCTION TOOLS AND URBAN DEVELOPMENT IN	501(C)(3) PUBL CHAR	215,600.
SOUTH AFRICAN INSTITUTE FOR DISTANCE EDUCATION PO BOX 31822 BRAAMFONTEIN, SOUTH AFRICA	N/A TO STUDY THE USE OF INFORMATION TECHNOLOGY IN AFRICAN HIGHER EDUCATION		500,000.
SA NODE OF THE MILLENNIUM PROJECT PO BOX 1666 GALLO MANOR 2052 JOHANNESBURG, SOUTH AFRICA	N/A FOR AN INFORMATION REPOSITORY AND NETWORKING PLATFORM FOR VULNERABLE PEOPLES	FOR ORG - E/R GRANT	80,000.
SA NODE OF THE MILLENNIUM PROJECT PO BOX 1666 GALLO MANOR 2052 JOHANNESBURG, SOUTH AFRICA	N/A TO CONDUCT TREND MONITORING ON ISSUES OF POVERTY AND HUMAN DEVELOPMENT	FOR ORG - E/R GRANT	100,000.
SAMASOURCE INC 972 MISSION STREET 5TH FLOOR SAN FRANCISCO, CA 94103	N/A FOR JOB OPPORTUNITIES FOR KENYAN REFUGEES	501(C)(3) PUBL CHAR	

N/A

REGION

TO STRENGTHEN REGIONAL

DISEASE PREVENTION IN

THE GREATER MEKONG SUB

71,777.

FOR ORG -

E/R GRANT

SOUTHEAST ASIAN MINISTERS OF

TROPMED CENTRAL OFFICE 420 6

RAJVITHI ROAD BANGKOK, THAILAND

EDUCATION ORG

THE ROCKEFELLER FOUNDATION			13-1659629
SOUTHEAST ASIAN MINISTERS OF EDUCATION ORG TROPMED CENTRAL OFFICE 420 6 RAJVITHI ROAD BANGKOK, THAILAND	FOR AN EVALUATION OF RF'S DISEASE		
SECURING AMERICAS FUTURE ENERGY FOUNDATION 1111 19TH STREET NW SUITE 406 WASHINGTON, DC 20036	TO BROADEN NATIONAL		
1111 19TH STREET NW SUITE 406 WASHINGTON, DC 20036	TO EDUCATE U.S. PUBLIC		180,000.
SECURITY COUNCIL REPORT INC 885 SECOND AVENUE 31ST FLOOR NEW YORK, NY 10017	N/A GENERAL SUPPORT	501(C)(3) PUBL CHAR	100,000.
LLC 26 BAKER BRIDGE ROAD LINCOLN,	FOR A SOCIAL IMPACT		100,000.
SEVENTH REGIMENT ARMORY CONSERVANCY 643 PARK AVENUE NEW YORK, NY 10065	FOR AN ANNUAL ARTS		
SHACK DWELLERS INTERNATIONAL PO BOX 14038 MOWBRAY, SOUTH AFRICA	N/A TO REDUCE POVERTY AND HOMELESSNESS BY SUPPORTING SAVINGS COLLECTIVES	PUBLIC CHARITY EQUIV	100,000.
SHOREBANK ENTERPRISE GRP CLEVELAND 540 EAST 105TH STREET CLEVELAND, OH 44108	N/A FOR A COMMUNITY DEVELOPMENT FINANCE INSTITUTION TO CREATE JOBS FOR THE POOR	501(C)(3) PUBL CHAR	
SILKWORM BOOKS 6 SUKKASEM ROAD SUTHEP MUANG CHIANG MAI, THAILAND	N/A TO PROMOTE SCHOLARSHIP ON THE MEKONG SUB-REGION BY NATIVE WRITERS	FOR ORG - E/R GRANT	•

THE ROCKEFELLER FOUNDATION			13-1659629
SMALL ENTERPRISE ASSISTANCE FUNDS 1050 17TH STREET NW SUITE 1150 WASHINGTON, DC 20036	TO INCREASE ECONOMIC DEVELOPMENT AND CREATE JOBS FOR THE POOR	PUBL CHAR	
SMART GROWTH AMERICA 1707 L STREET NW SUITE 1050 WASHINGTON, DC 20036	N/A TO ENCOURAGE TRANSPORTATION AND LAND USE POLICY REFORM AT THE STATE LEVEL	501(C)(3) PUBL CHAR	40,000.
	PROPERTIES IN THE		
SMART GROWTH AMERICA 1707 L STREET NW SUITE 1050 WASHINGTON, DC 20036	TRANSPORTATION		
SMART GROWTH AMERICA 1707 L STREET NW SUITE 1050 WASHINGTON, DC 20036	N/A TOWARD INVESTMENT IN STATE INFRASTUCTURE FROM ECONOMIC STIMULUS PACKAGES	501(C)(3) PUBL CHAR	500,000.
SMITHSONIAN INSTITUTION 1000 JEFFERSON DRIVE SW ROOM 205 MRC 016 WASHINGTON, DC 20560	FOR AN EXHIBITION OF		
SMITHSONIAN INSTITUTION 1000 JEFFERSON DRIVE SW ROOM 205 MRC 016 WASHINGTON, DC 20560		501(C)(3) PUBL CHAR	
SOCIETY FOR PARTICIPATORY RESEARCH IN ASIA 42 TUGHLAKABAD INSTITUTIONAL AREA NEW DELHI, INDIA		FOR ORG - E/R GRANT	69,720.
SOCIETY FOR PROMOTION OF AREA RESOURCE CENTERS - 2NDFL MARATHI MUNICIPAL SCHOOL BLD 1ST LN KHETWADI NEAR ALANKAR CINEMA GIRGAON, INDIA	TO RESOLVE URBAN	PUBLIC CHARITY EQUIV	500,000.

THE ROCKEFELLER FOUNDATION			13-1659629
42 PORTLAND PLACE LONDON,	N/A FOR DEVELOPING AND PILOTING INNOVATIVE APPROACHES TO IMPACT INVESTING	FOR ORG - E/R GRANT	708,100.
SOCIAL SCIENCE RESEARCH COUNCIL ONE PIERREPONT PLAZA 15TH FLOOR BROOKLYN, NY 11201		501(C)(3) PUBL CHAR	150,000.
SOCIETY FOR INTERNATIONAL DEVELOPMENT 207 VIA PANISPERNA ROME, ITALY	TO STUDY POVERTY AND	501(C)(3) PUBL CHAR	250,000.
SOCIETY OF BEHAVIORAL MEDICINE INC 555 EAST WELLS STREET SUITE 1100 MILWAUKEE, WI 53202	N/A FOR PARICIPATION IN THE INTERNATIONAL CONGRESS OF BEHAVIORAL MEDICINE		
PO BOX 3000 CHUO KIKUU	N/A TO MAP HEALTH SYSTEM RESOURCES IN THEIR RESPONSE TO PANDEMIC INFLUENZA	CHARITY	199,500.
SOKOINE UNIVERSITY OF AGRICULTURE PO BOX 3000 CHUO KIKUU MOROGORO, TANZANIA	N/A TO ASSESS THE IMPACT OF MOBILE TECHNOLOGIES ON HEALTH SURVEILLANCE	PUBLIC CHARITY EQUIV	480,870.
SOUTHERN ENVIRONMENTAL LAW CENTER 201 WEST MAIN STREET SUITE 14 CHARLOTTESVILLE, VA 22902	N/A TO ADVANCE SUSTAINABLE TRANSPORTATION AND LAND USE POLICIES IN THE U.S.	501(C)(3) PUBL CHAR	
STG COOPERATION PTE LTD SINGAPORE POST CENTRE PO BOX 799 SINGAPORE, SINGAPORE	N/A TO IMPROVE THE ABILITY TO RESPOND TO PANDEMICS IN BOTH ANIMALS AND HUMANS	FOR ORG - E/R GRANT	
STRATEGIC FORESIGHT GROUP C 306 MONTANA LOKHANDWALA COMPLEX ANDHERI WEST MUMBAI, INDIA		FOR ORG - E/R GRANT	-

THE ROCKEFELLER FOUNDATION			13-1659629
STRATEGIES FOR THE GLOBAL ENVIR 2010 WILSON BOULEVARD SUITE 550 ARLINGTON, VA 22201	The state of the s	501(C)(3) PUBL CHAR	55,000.
STRATHMORE UNIVERSITY - MADARAKA ESTATE OLE SANGALE ROAD PO BOX 59857 00200 CITY SQUARE NAIROBI, KENYA	FOR A CAPACITY	CHARTTY	60,000.
SUSTAINABLE JOBS DEVELOPMENT CORP 200 NORTH MANGUM STREET SUITE 203 DURHAM, NC 27701	TO LAUNCH AWARDS FOR	501(C)(3) PUBL CHAR	75,000.
TASK FORCE FOR CHILD SURVIVAL AND DEVELOPMENT SUITE 400 750 COMMERCE DRIVES DECATUR, GA 30030	FOR A TRAFFIC INJURY	501(C)(3) PUBL CHAR	400,000.
TEATRO CIRCULO LTD 65 EAST 4TH STREET 11 NEW YORK, NY 10003	N/A TO ATTRACT NEW LATINO AUDIENCES TO ARTS EVENTS	501(C)(3) PUBL CHAR	75,000.
TECTONIC THEATER PROJECT INC 204 WEST 84TH STREET 2ND FLOOR NEW YORK, NY 10024	N/A FOR A PLAY ON LARAMIE, WYOMING 10 YEARS AFTER THE MURDER OF MATTHEW SHEPARD	501(C)(3) PUBL CHAR	75,000.
THAILAND DEVELOPMENT RESEARCH INSTITUTE FOUNDATION - 565 SOIRAMKHAMHAENG39 THEPLEELA1 RAMKHAMHAENGRD WANGTHONGLANG BANGKAPI BANGKOK, THAILAND	N/A TO STUDY THE FINANCIAL CRISIS' IMPACT ON VULNERABLE SECTORS IN THAILAND		80,160.
THAILAND ENVIRONMENT FOUNDATION - 16 151 MUANGTHONG THANI BOND STREET BANGPOOD PAKKRED NONTHABURI, THAILAND	N/A TO ASSESS THAI CITIES FOR THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK	FOR ORG - E/R GRANT	83,000.
THAILAND ENVIRONMENT FOUNDATION - 16 151 MUANGTHONG THANI BOND STREET BANGPOOD PAKKRED NONTHABURI, THAILAND	N/A TO BUILD CLIMATE CHANGE RESILIENCE IN CHIANG RAI AND HAT YAI, THAILAND	FOR ORG - E/R GRANT	295,000.

THE ROCKEFELLER FOUNDATION			13-1659629
THE ASIA SOCIETY 725 PARK AVENUE NEW YORK, NY 10021-5088	N/A TO ENCOURAGE CROSS-CULTURAL, INTER-DISCIPLINARY EXCHANGES	501(C)(3) PUBL CHAR	112,500.
THE ASPEN INSTITUTE INC ONE DUPONT CIRCLE NW SUITE 700 WASHINGTON, DC 20036-1133	N/A TO EDUCATE THE U.S. CONGRESS ON ENERGY SECURITY/CLIMATE CHANGE	501(C)(3) PUBL CHAR	125,000.
THE ASPEN INSTITUTE INC ONE DUPONT CIRCLE NW SUITE 700 WASHINGTON, DC 20036-1133	N/A TO PROMOTE IMPACT ASSESSMENT IN THE FIELD OF ENTREPRENEURIAL	501(C)(3) PUBL CHAR	150,000.
THE ASPEN INSTITUTE INC ONE DUPONT CIRCLE NW SUITE 700 WASHINGTON, DC 20036-1133	N/A TO HELP LOW-INCOME AMERICAN WORKERS BUILD ADEQUATE RETIREMENT SAVINGS	501(C)(3) PUBL CHAR	200,000.
THE ASPEN INSTITUTE INC ONE DUPONT CIRCLE NW SUITE 700 WASHINGTON, DC 20036-1133	N/A TO EDUCATE THE U.S. CONGRESS ON ENERGY SECURITY/CLIMATE CHANGE	501(C)(3) PUBL CHAR	200,000.
THE ASPEN INSTITUTE INCONE DUPONT CIRCLE NW SUITE 700 WASHINGTON, DC 20036-1133	N/A FOR A COMMISSION ON IMPROVEMENTS IN THE FEDERAL APPOINTMENT PROCESS	501(C)(3) PUBL CHAR	350,000.
THE COMMUNITY BUILDERS INC 95 BERKELEY STREET SUITE 500 BOSTON, MA 02116	N/A FOR FINANCIAL MANAGEMENT AND ADVICE SERVICES FOR LOW/MODERATE INCOME	501(C)(3) PUBL CHAR	450,000.
THE COMPUMENTOR PROJECT 435 BRANNAN STREET SUITE 100 SAN FRANCISCO, CA 94107	N/A TO STREAMLINE FUNDING OF NON-U.S. ORGANIZATIONS BY U.S. FOUNDATIONS	501(C)(3) PUBL CHAR	
THE COMPUMENTOR PROJECT 435 BRANNAN STREET SUITE 100 SAN FRANCISCO, CA 94107	N/A TO STREAMLINE FUNDING OF NON-U.S. ORGANIZATIONS BY U.S. FOUNDATIONS		300,000.

THE ROCKEFELLER FOUNDATION			13-1659629
THE CONCEPT FOUNDATION - 111 PAHOLYOTHIN RD THAILAND SCIENCE PARK KLONG 1 KLONG LUAN PATHUMTHANI, THAILAND	N/A TO ADDRESS ISSUES OF THE POOR'S ACCESS HEALTH TECHNOLOGY	501(C)(3) PUBL CHAR	220,000.
THE ENERGY AND RESOURCES INSTITUTE DARBARI SETH BLOCK IHC COMPLEX LODHI ROAD NEW DELHI, INDIA	TOWARD THE COSTS OF	FOR ORG - E/R GRANT	100,000.
THE GLOBAL ALLIANCE FOR TB DRUG DEVELOPMENT 40 WALL STREET 24TH FLOOR NEW YORK, NY 10005	TO DEVELOP AFFORDABLE	501(C)(3) PUBL CHAR	24,000.
THE HOUSING PARTNERSHIP NETWORK 160 STATE STREET 5TH FLOOR BOSTON, MA 02109		501(C)(3) PUBL CHAR	100,000.
THE KIWANJA FOUNDATION 4 STOCKS TERRACE HIGH STREET WILLINGHAM CAMBRIDGE, UNITED KINGDOM	N/A TO DEVELOP A USER-TAILORED MESSAGING TOOL FOR USE BY NONPROFITS IN THE	501(C)(3) PUBL CHAR	150,000.
THE MARY IMOGENE BASSETT HOSPITAL 1 ATWELL ROAD COOPERSTOWN, NY 13326	N/A FOR MEETINGS ON THE ADOPTION OF GROUP EMPLOYED MODEL HEALTH	501(C)(3) PUBL CHAR	44,500.
THE MENTORING CENTER 1221 PRESERVATION PARK WAY SUITE 200 OAKLAND, CA 94612	N/A TO STUDY AND PUBLISH BEST PRACTICES AND POLICY FOR INCARCERATED YOUTH		10,000.
THE MILLENNIUM PROJECT CORPORATION 4421 GARRISON STREET NW WASHINGTON, DC 20016	N/A FOR A CONFERENCE ON FUTURES RESEARCH CAPACITY IN DEVELOPING COUNTRIES	501(C)(3) PUBL CHAR	
THE NEW SCHOOL 66 WEST 12TH STREET NEW YORK, NY 10011	N/A TO STRENGTHEN SMALL GROUP NEIGHBORHOOD SUSTAINABILITY PROJECTS	501(C)(3) PUBL CHAR	

THE ROCKEFELLER FOUNDATION			13-1659629
THE NEW SCHOOL 66 WEST 12TH STREET NEW YORK, NY 10011	N/A TO PROVIDE ADEQUATE AND SECURE RETIREMENT SAVINGS FOR AMERICAN WORKERS	501(C)(3) PUBL CHAR	533,500.
THE NEW YORK ACADEMY OF MEDICINE 1216 FIFTH AVENUE NEW YORK, NY 10029-5293	N/A TO ASSIST LOW-INCOME COUNTRIES' HEALTH MINISTERS WITH PUBLIC HEALTH PROGRAMS	501(C)(3) PUBL CHAR	361,500.
THE NEW YORK ACADEMY OF MEDICINE 1216 FIFTH AVENUE NEW YORK, NY 10029-5293	N/A TO IMPROVE EVALUATION OF URBAN POPULATION HEALTH IN DEVELOPING COUNTRIES		
THE NEXT AMERICAN CITY INC 1315 WALNUT STREET SUITE 902 PHILADELPHIA, PA 19107		501(C)(3) PUBL CHAR	97,000.
THE POPULATION COUNCIL INC ONE DAG HAMMARSKJOLD PLAZA NEW YORK, NY 10017			
THE RESTAURANT OPPORTUNITIES CENTER OF NY 275 SEVENTH AVENUE 17TH FLOOR NEW YORK, NY 10001	N/A FOR A NATIONAL HEALTH INSURANCE FUND FOR LOW-WAGE RESTAURANT WORKERS	501(C)(3) PUBL CHAR	225,000.
THE SCIENCE FESTIVAL FOUNDATION 603 WEST 115TH STREET SUITE 135 NEW YORK, NY 10025	N/A TO CREATE AND PREMIER ARTISTIC WORKS AT THE WORLD SCIENCE FESTIVAL	501(C)(3) PUBL CHAR	
THE SYNERGOS INSTITUTE INC 51 MADISON AVENUE 21ST FLOOR NEW YORK, NY 10010	N/A GENERAL SUPPORT	501(C)(3) PUBL CHAR	
THE UNIVERSALIA MANAGEMENT GROUP LIMITED 5252 BLVD DE MAISONNEUVE SUITE 310 MONTREAL, CANADA	N/A TO DEVELOP AN APPROACH FOR AN IMPACT ANALYSIS OF THE RF'S GRANTS PORTFOLIO	FOR ORG - E/R GRANT	332,760.

THE ROCKEFELLER FOUNDATION			13-1659629
TIDES CENTER THE PRESIDIO PO BOX 29907 SAN FRANCISCO, CA 94129-0907		501(C)(3) PUBL CHAR	50,000.
TRANSFORMCA 405 14TH STREET SUITE 605 OAKLAND, CA 94612	N/A TO DESIGN LOW-TRAFFIC RESIDENTIAL DEVELOPMENTS IN CALIFORNIA'S BAY AREA		100,000.
TRI STATE TRANSPORTATION CAMPAIGN 350 WEST 31ST STREET SUITE 802 NEW YORK, NY 10001	N/A TOWARD THE COSTS OF HIRING A FEDERAL TRANSPORTATION POLICY ADVOCATE	501(C)(3) PUBL CHAR	
TRUST FOR INDIGENOUS CULTURE AND HEALTH ACS PLAZA 3RD FLOOR LENANA ROAD PO BOX 677 00502 NAIROBI, KENYA	TO IMPROVE COMMUNITY IN TWO SLUMS IN		
TRUST FOR INDIGENOUS CULTURE AND HEALTH ACS PLAZA 3RD FLOOR LENANA ROAD PO BOX 677 00502 NAIROBI, KENYA		FOR ORG - E/R GRANT	160,000.
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 100 COLLEGE HALL PHILADELPHIA, PA 19104-6380	FOR THE CONFERENCE	501(C)(3) PUBL CHAR	10,000.
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 100 COLLEGE HALL PHILADELPHIA, PA 19104-6380	TO STUDY URBAN		300,000.
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 100 COLLEGE HALL PHILADELPHIA, PA 19104-6380	FOR A REPORT ON	501(C)(3) PUBL CHAR	64,350.
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 100 COLLEGE HALL PHILADELPHIA, PA 19104-6380	TO DISSEMINATE VIA THE		269,500.

THE ROCKEFELLER FOUNDATION			13-1659629
UK CONSORTIUM ON AIDS INTERNATIONAL DEVELOPMENT THE GRAYSTON CENTRE 28 CHARLES SQUARE LONDON, UNITED KINGDOM		FOR ORG - E/R GRANT	19,340.
UN DEVELOPMENT PROGRAMME ONE UNITED NATIONS PLAZA NEW YORK, NY 10017	N/A TO PRESERVE KNOWLEDGE OF CONFLICT PREVENTION AND MEDIATION IN KENYA		648,750.
UNITED NATIONS FOUNDATION INC 1800 MASSACHUSETTS AVENUE NW SUITE 400 WASHINGTON, DC 20036	N/A TO INCLUDE EXPERTS AND DEVELOPING COUNTRIES AT A GLOBAL HEALTH FORUM	501(C)(3) PUBL CHAR	
UNITED NATIONS FOUNDATION INC 1800 MASSACHUSETTS AVENUE NW SUITE 400 WASHINGTON, DC 20036	TO DEVELOP ITS	501(C)(3) PUBL CHAR	550,000.
UNITED NATIONS UNIVERSITY 53 70 JINGUMAE 5 CHOME SHIBUYA KU TOKYO, JAPAN			29,000.
UNITED STATES ARTISTS INC 5757 WILSHIRE BOULEVARD SUITE 580 LOS ANGELES, CA 90036	N/A TO ENDOW TWO ANNUAL ARTIST FELLOWSHIPS AND UNDERWRITE A THIRD IN 2009	501(C)(3) PUBL CHAR	
UNITED STATES DEPARTMENT OF STATE 2201 C STREET NW ROOM 7207 WASHINGTON, DC 20520	N/A FOR ESTABLISHING AN INNOVATION AWARD FOR WOMEN'S AND GIRLS' EMPOWERMENT	US GOV'T UNIT	3,000,000.
UNIVERSITY COLLEGE LONDON UNIVERSITY OF LONDON GOWER STREET LONDON, UNITED KINGDOM	N/A TO ENABLE INSTITUTIONAL RESPONSIVENESS TO MYRIAD CHANGES IN	PUBLIC CHARITY EQUIV	200,000.
UNIVERSITY COLLEGE LONDON UNIVERSITY OF LONDON GOWER STREET LONDON, UNITED KINGDOM	N/A TO STUDY HOW URBAN PLANNING MODELS AFFECT RISK OF ILL HEALTH	PUBLIC CHARITY EQUIV	321,300.

THE ROCKEFELLER FOUNDATION			13-1659629
UNIVERSITY COMMERCIALE LUIGI BOCCONI VIA SARFATTI 25 MILAN, ITALY	N/A TO HAVE A UNIVERSAL HEALTH CARE SECRETARIAT AT A GLOBAL HEALTH SUMMIT	PUBLIC CHARITY EQUIV	200,700.
UNIVERSITY OF CALIFORNIA SAN FRANCISCO 3RD AND PARNASSUS AVENUES SAN FRANCISCO, CA 94143	N/A FOR EXPANDED HEALTH CARE DELIVERY TO PEOPLE IN DEVELOPING COUNTRIES	501(C)(3) PUBL CHAR	250,000.
UNIVERSITY OF COPENHAGEN - RECTORS OFFICE NORREGADE 10 POB 2177 DK 1017 COPENHAGEN K COPENHAGEN, DENMARK	N/A TO MODEL THE EFFECTS OF CLIMATE CHANGE ON AFRICAN AGRICULTURE	PUBLIC CHARITY EQUIV	242,250.
UNIVERSIDADE CATOLICA DE MOCAMBIQUE RUA MARQUES DE SOVERAL 960 BEIRA, MOZAMBIQUE	N/A TO DEVELOP BETTER TEACHING RESOURCES FOR BUSINESS PROGRAMS IN MOZAMBIQUE	PUBLIC CHARITY EQUIV	32,642.
UNIVERSITY OF CALIFORNIA DAVIS MRAK HALL FIFTH FLOOR ONE SHIELDS HALL DAVIS, CA 95616	N/A TO DEVELOP A STATE-WIDE, GREEN TRAVEL MODEL FOR CALIFORNIA	501(C)(3) PUBL CHAR	
UNIVERSITY OF CALIFORNIA IRVINE SPONSORED PROJECTS 300 UNIVERSITY TOWER IRVINE, CA 92618	N/A TO STUDY THE LONG-TERM ISSUES FACING THE U.S. HOUSING MARKET POST-RECESSION	501(C)(3) PUBL CHAR	
UNIVERSITY OF CAPE TOWN PRIVATE BAG RONDEBOSCH 7701 CAPE TOWN, SOUTH AFRICA	N/A TO STUDY AND REPORT ON HIV-SPECIFIC HEALTH CARE SYSTEMS IN SOUTH AFRICA	PUBLIC CHARITY EQUIV	74,900.
UNIVERSITY OF CAPE TOWN PRIVATE BAG RONDEBOSCH 7701 CAPE TOWN, SOUTH AFRICA	N/A FOR A GENDER ANALYSIS OF AFRICAN CONFLICTS AND TO HELP BUILD PEACE	PUBLIC CHARITY EQUIV	160,000.
UNIVERSITY OF CAPE TOWN PRIVATE BAG RONDEBOSCH 7701 CAPE TOWN, SOUTH AFRICA	N/A TO HELP STRENGTHEN URBAN PLANNING CAPACITY IN SUB-SAHARAN AFRICA	PUBLIC CHARITY EQUIV	200,000.

THE ROCKEFELLER FOUNDATION			13-1659629
UNIVERSITY OF CAPE TOWN PRIVATE BAG RONDEBOSCH 7701 CAPE TOWN, SOUTH AFRICA	N/A FOR THOROUGH TRAINING OF AFRICAN URBAN PLANNING INSTRUCTORS	PUBLIC CHARITY EQUIV	200,000.
UNIVERSITY OF COLORADO AT BOULDER 30TH STREET BOULDER, CO 80309	N/A FOR A CONSORTIUM FOR CAPACITY BUILDING, TO DEAL WITH SOCIETAL CHALLENGES	501(C)(3) PUBL CHAR	532,627.
UNIVERSITY OF DAR ES SALAAM P O BOX 35091 DAR ES SALAAM, TANZANIA	N/A TO REDUCE POVERTY IN TANZANIA VIA EDUCATIONAL AND INFORMATION TECHNOLOGY	PUBLIC CHARITY EQUIV	63,000.
UNIVERSITY OF IOWA 2 GILMORE HALL IOWA CITY, IA 52242	N/A TO ASSESS A LOW-COST, ELECTRONIC, EDUCATIONAL INFORMATION RESOURCE	501(C)(3) PUBL CHAR	26,325.
UNIVERSITY OF NAIROBI PO BOX 30197 NAIROBI, KENYA	N/A TO BETTER DEVELOP URBAN PLANNING FOR THE POOR IN KENYA		150,000.
UNIVERSITY OF STELLENBOSCH PRIVATE BAG X1 MATIELAND STELLENBOSCH, SOUTH AFRICA	N/A TO ASSESS THE OUTCOMES OF THE 2006 FORUM FOR CHINA-AFRICA COLLABORATION		110,419.
UNIVERSITY OF SUSSEX SUSSEX HOUSE FALMER BRIGHTON, UNITED KINGDOM	N/A TO MINE EXISTING DATA ON THE IMPACT OF MIGRATION ON YOUTH	PUBLIC CHARITY EQUIV	189,350.
UNIVERSITY OF THE WESTERN CAPE PRIVATE BAG X17 BELLVILLE, SOUTH AFRICA	N/A TO STRENGTHEN HUMAN RESOURCE MANAGEMENT HEALTH SYSTEMS IN CAPE PROVINCE	PUBLIC CHARITY EQUIV	83,980.
UNIVERSITY OF TORONTO 215 HURON STREET TORONTO, CANADA	N/A FOR AN ASSESSMENT OF CANADA'S CURRENT ROLE IN GLOBAL HEALTH		100,000.

THE ROCKEFELLER FOUNDATION			13-1659629
UNIVERSITY OF WASHINGTON 301 GERBERDING HALL BOX 351230 SEATTLE, WA 98195-1230		501(C)(3) PUBL CHAR	163,817.
UNIVERSITY OF WISCONSIN MADISON 500 LINCOLN DRIVE MADISON, WI 53706	•		100,000.
URBAN HABITAT PROGRAM 436 14TH STREET SUITE 1205 OAKLAND, CA 94612	N/A TO INFORM TRANSPORTATION EQUITY POLICIES TO BENEFIT THE POOR	501(C)(3) PUBL CHAR	100,000.
URBAN INSTITUTE 2100 M STREET NW WASHINGTON, DC 20037	N/A TO STUDY HOW A HOUSING REDEVELOPMENT PROGRAM HAS AFFECTED THE RESIDENTS		
URBAN INSTITUTE 2100 M STREET NW WASHINGTON, DC 20037	N/A TO CONSTRUCT A DATA SYSTEM AND STUDY THE ROLE AND IMPACT OF NONPROFITS	501(C)(3) PUBL CHAR	100,000.
URBAN INSTITUTE 2100 M STREET NW WASHINGTON, DC 20037	N/A TO PROVIDE H.U.D. WITH EASY ACCESS TO HOUSING RESEARCH FINDINGS		
URBAN INSTITUTE 2100 M STREET NW WASHINGTON, DC 20037	N/A TO ANALYZE POLICIES ON PUBLIC AND PRIVATE PENSION SYSTEMS IN THE U.S.	501(C)(3) PUBL CHAR	500,000.
URBAN INSTITUTE 2100 M ST NW WASHINGTON, DC 20037	N/A TO COMMUNICATE COMPLEX TAX POLICY INFORMATION TO THE GENERAL PUBLIC	501(C)(3) PUBL CHAR	
URBAN LAND INSTITUTE 1025 THOMAS JEFFERSON STREET NW SUITE 500W WASHINGTON, DC 20007	N/A TO BUILD SUPPORT FOR THE ADVANCEMENT OF TRANSPORTATION POLICIES	501(C)(3) PUBL CHAR	

THE ROCKEFELLER FOUNDATION			13-1659629
URBAN RESOURCE CENTER DBA COMMUNITY ORG RESOURCE CENTER PO BOX 14038 MOWBRAY CAPE TOWN, SOUTH AFRICA			400,000.
US PUBLIC INTEREST RESEARCH GROUP EDUCATION FUND 218 D STREET SE 1ST FLOOR WASHINGTON, DC 20003	N/A TOWARD THE COSTS OF ITS NEW TRANSPORTATION FUTURE CAMPAIGN		
	N/A FOR A PLAY ABOUT WOMEN WHO SURVIVED HURRICANE KATRINA		
	FOR AN EVALUATION OF		272,700.
VIETNAM HEALTH ECONOMICS ASSOCIATION - RM 402 BLDG A MINISTRY OF HEALTH 138A GIANG VO STREET BA DINH DIST HANOI, VIETNAM	TO ASSIST THE VIETNAM MINISTRY OF HEALTH	FOR ORG - E/R GRANT	185,350.
VILLGRO INNOVATIONS FOUNDATION NO 9 KANAKASHRI NAGAR SECOND FLOOR CHENNAI TAMIL NADU, INDIA	TO SUPPORT	FOR ORG - E/R GRANT	223,000.
WILDCAT SERVICE CORPORATION 17 BATTERY PLACE NEW YORK, NY 10004	N/A TO STRENGTHEN ITS TRANSITIONAL EMPLOYMENT PROGRAM MODEL	501(C)(3) PUBL CHAR	100,000.
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BOULEVARD BRONX, NY 10460		501(C)(3) PUBL CHAR	•
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BOULEVARD BRONX, NY 10460	N/A TO PROMOTE THE CONFLUENCE OF ANIMAL, HUMAN AND ENVIRONMENTAL HEALTH	501(C)(3) PUBL CHAR	-

THE ROCKEFELLER FOUNDATION			13-1659629
ARTS - 3053 P STREET NW	N/A IN SUPPORT OF HEALTH MARKET RESEARCH ACTIVITIES IN SOUTH ASIA	E/R GRANT	520,000.
WILLIAM J CLINTON FOUNDATION 1200 PRESIDENT CLINTON AVENUE LITTLE ROCK, AR 72201	N/A TO ALLOW IMPLEMENTATION OF CARBON FINANCE PROJECTS THAT BENEFIT	501(C)(3) PUBL CHAR	300,000.
WILLIAM J CLINTON FOUNDATION 1200 PRESIDENT CLINTON AVENUE LITTLE ROCK, AR 72201	N/A TO CATALYZE GLOBAL LEADERS TO FIND SOLUTIONS TO SEVERAL GLOBAL CHALLENGES		350,000.
WILLIAM J CLINTON FOUNDATION 1200 PRESIDENT CLINTON AVENUE LITTLE ROCK, AR 72201	N/A TO STRENGTHEN E-HEALTH TECHNOLOGY TOOLS IN RWANDA		526,016.
WILLIAM J CLINTON FOUNDATION 1200 PRESIDENT CLINTON AVENUE LITTLE ROCK, AR 72201	N/A TO ENABLE THE POOR TO PARTICIPATE IN GLOBAL CARBON MARKETS		
WINROCK INTERNATIONAL INSTITUTE FOR AGRICULTURAL DEVELOPMENT 2101 RIVERFRONT DRIVE LITTLE ROCK, AR 72202			50,000.
WINTERHOUSE 71 UNDERMOUNTAIN ROAD PO BOX 159 FALLS VILLAGE, CT 06031	N/A TO DEVELOP COLLABORATION BETWEEN THE DESIGN INDUSTRY AND INSTITUTIONS	US NON-CHAR E/R GRNT	865,000.
WOMENS HEALTH AND REPRODUCTION RIGHTS FOUND OF THAILAND - 808 8THFL ROYAL GOLDEN JUBILEE BLD 2 SOI SOONVIJAI NEW PETCHBURI RD BANGKOK, THAILAND		FOR ORG - E/R GRANT	-
WNYC RADIO 160 VARICK STREET NEW YORK, NY 10013-1270	N/A TO SPOTLIGHT AND ANALYZE ISSUES RELATED TO AMERICA'S INFRASTRUCTURE	501(C)(3) PUBL CHAR	

THE ROCKEFELLER FOUNDATION			13-1659629
WNYC RADIO 160 VARICK STREET NEW YORK, NY 10013-1270	N/A FOR EXPANDED COVERAGE ON TRANSPORTATION AND INFRASTRUCTURE POLICY/PRACTICE		450,000.
WORLD FEDERATION OF UN ASSOCIATIONS 1 UNITED NATIONS PLAZA UNITED NATIONS ROOM DC 1 117 NEW YORK,		501(C)(3) PUBL CHAR	33,300.
WORLD FOOD PROGRAMME VIA CG VIOLA 68 PARCO DEI MEDICI ROME, ITALY	N/A FOR A POST-EMERGENCY AID SYSTEM THAT OPTIMIZES GLOBAL RISK MANAGEMENT	INTL ORG BY EXEC ORD	1,459,500.
WORLD HEALTH ORGANIZATION 20 AVENUE APPIA CH 1211 GENEVA, SWITZERLAND	N/A TO IMPROVE ACCESS TO MEDICAL HEALTH INFORMATION IN THE DEVELOPING WORLD	INTL ORG BY EXEC ORD	60,000.
WORLD HEALTH ORGANIZATION 20 AVENUE APPIA CH 1211 GENEVA, SWITZERLAND	N/A TO DEVELOP AND ASSESS NATIONAL EHEALTH POLICIES		70,171.
WORLD HEALTH ORGANIZATION 20 AVENUE APPIA CH 1211 GENEVA, SWITZERLAND	N/A TO STUDY THE EFFECTIVENESS OF HEALTH POLICY MODELS IN POOR COUNTRIES	INTL ORG BY EXEC ORD	135,000.
WORLD HEALTH ORGANIZATION 20 AVENUE APPIA CH 1211 GENEVA, SWITZERLAND	N/A FOR AN EVALUATION OF SØ PAULO, BRAZIL'S HEALTH INFORMATION SYSTEM	INTL ORG BY EXEC ORD	224,900.
WORLD HEALTH ORGANIZATION 20 AVENUE APPIA CH 1211 GENEVA, SWITZERLAND	N/A TO CONVENE MEETINGS ON CATALYZING A NETWORK ON GLOBAL HEALTH DIPLOMACY		300,000.
WORLD HEALTH ORGANIZATION 20 AVENUE APPIA CH 211 GENEVA, SWITZERLAND	N/A TO BUILD CAPACITY AND STRENGTHEN HEALTH SYSTEMS IN SOUTHERN AFRICA	INTL ORG BY EXEC ORD	366,120.

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WORLD HEALTH ORGANIZATION 20 AVENUE APPIA CH 1211 GENEVA, SWITZERLAND	N/A TO STRENGTHEN HEALTH INFORMATION SYSTEMS AND PROGRESS MONITORING	INTL ORG BY EXEC ORD	500,000.
WORLD HEALTH ORGANIZATION 20 AVENUE APPIA CH 1211 GENEVA, SWITZERLAND	N/A TO STRENGTHEN HEALTH SYSTEMS IN THE GLOBAL SOUTH	INTL ORG BY EXEC ORD	2,500,000.
7 BIS AVENUE DE LA PAIX CH 1211	N/A TO DEVELOP TARGETED CLIMATE FORECASTS FOR BETTER FARMING PRACTICES	BY EXEC	250,000.
WORLD RESOURCES INSTITUTE 10 G STREET NE SUITE 800 WASHINGTON, DC 20002	N/A TO STUDY THE RELATIONSHIP OF U.S. TRANSPORTATION POLICY AND CLIMATE CHANGE	501(C)(3) PUBL CHAR	50,000.
WORLD RESOURCES INSTITUTE 10 G STREET NE SUITE 800 WASHINGTON, DC 20002	N/A FOR SMALL BUSINESS TOOLKIT TO BETTER TRACK ENVIRONMENTAL IMPACT DATA	501(C)(3) PUBL CHAR	150,000.
WORLD TOILET ORGANIZATION LIMITED 19 TOA PAYOH WEST SINGAPORE, SINGAPORE	N/A FOR NETWORKS COMMITTED TO PROVIDING ACCESS TO BASIC SANITATION IN POOR AREAS		100,000.
YALE UNIVERSITY WOODBRIDGE HALL 105 WALL STREET PO BOX 208229 NEW HAVEN, CT 06520-8229		501(C)(3) PUBL CHAR	37,150.
YALE UNIVERSITY WOODBRIDGE HALL 105 WALL STREET PO BOX 208229 NEW HAVEN, CT 06520-8229	N/A TO STUDY U.S. WORKERS' PROTECTION AGAINST HARDSHIP-CAUSING ECONOMIC LOSS		479,600.
YUNNAN CTRS FOR DIS CONTROL PREV 158 DONGSI STREET KUNMING YUNNAN, CHINA	N/A TO STRENGTHEN CAPACITY IN DISEASE SURVEILLANCE AND RESPONSE	FOREIGN GOV'T UNIT	110,000.

THE ROCKEFELLER FOUNDATION			13-1659629
EDUCATIONAL FUND PO BOX 61075 NEW ORLEANS, LA	TOWARD THE COSTS OF	PUBLIC CHARITY	1,500.
83 MAIDEN LANE NEW YORK, NY	TOWARD THE COSTS OF	PUBLIC CHARITY	120.
ALBANY LAW SCHOOL 80 NEW SCOTLAND AVENUE ALBANY, NY 12208	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	30,000.
2023 S UNION AVE LOS ANGELES,	TOWARD THE COSTS OF		750.
ALLIANCE FOR JUSTICE 11 DUPONT CIRCLE NW 2ND FLOOR WASHINGTON, DC 20036	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	750.
1220B EAST JOPPA ROAD SUITE 332	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM		150.
AMERICAN CANCER SOCIETY 132 WEST 32ND STREET NEW YORK, NY 10001	·		1,350.
AMERICAN CANCER SOCIETY INC 6800 JERICHO TURNPIKE SUITE 200W SYOSSET, NY 11791	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	825.
AMERICAN CLASSICAL ORCHESTRA 133 WEST 70TH STREET NEW YORK, NY 10023-4498	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	563.
AMERICAN CONSTITUTION SOCIETY FOR LAW AND POLICY 1333 H STREET NW 11TH FLOOR WASHINGTON, DC 20005	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	3,750.

THE ROCKEFELLER FOUNDATION			13-1659629
AMERICAN FOUNDATION FOR SUICIDE 120 WALL STREET 22ND FLOOR NEW YORK, NY 10005		PUBLIC CHARITY	750.
AMERICAN INDIA FOUNDATION 216 E 45TH STREET 7TH FLOOR NEW YORK, NY 10017		PUBLIC CHARITY	90,000.
AMERICAN SOCIETY FOR PREVENTION OF CRUELTY TO ANIMALS 424 EAST 92ND STREET NEW YORK, NY 10128	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	150.
AMERICANS FOR OXFORD INC 500 FIFTH AVENUE 32ND FLOOR NEW YORK, NY 10110	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	150.
AMERICANS UNITED FOR SEPARATION OF CHURCH AND STATE 518 C STREET NE WASHINGTON, DC 20002	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	150.
ARTHRITIS FOUNDATION 122 EAST 42ND STREET 18TH FLOOR NEW YORK, NY 10168-1898	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	150.
	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	615.
ASIAN AMERICAN WRITERS WORKSHOP 16 WEST 32ND STREET SUITE 10A NEW YORK, NY 10001	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	300.
ASSOCIATION OF GRADUATES OF THE US MILITARY ACADEMY 698 MILLS RD US MILTARY ACADEMY WEST POINT, NY 10996	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	1,200.
AT CHILDRENS PROJECT 5300 WEST HILLSBORO BLVD SUITE 105 COCONUT CREEK, FL 33073	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	225.

THE ROCKEFELLER FOUNDATION			13-1659629
AUDUBON NEW YORK 225 VARICK STREET 7TH FLOOR NEW YORK, NY 10014		PUBLIC CHARITY	12,000.
AVON PRODUCTS FOUNDATION INC AVON PLAZA RYE, NY 10580	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM		750.
BARNARD COLLEGE 3009 BROADWAY NEW YORK, NY 10027-6598	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	1,500.
BERKELEY CARROLL STREET SCHOOL 808 UNION STREET BROOKLYN, NY 11215		PUBLIC CHARITY	3,000.
BOARD OF TRUSTEES OF THE LELAND STANFORD JR UNIVERSITY 326 GALVEZ ST STANFORD, CA 94305-6105	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	450.
BOWDOIN COLLEGE 4100 COLLEGE STATION BRUNSWICK, ME 04011		PUBLIC CHARITY	15,000.
BOYS CLUB OF MT KISCO 351 MAIN STREET MT KISCO, NY 10541			1,500.
BRAC USA INC 11 EAST 44TH STREET SUITE 1600 NEW YORK, NY 10017	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	300.
BROADWAY CARES EQUITY FIGHTS AIDS 165 W 46TH ST SUITE 1300 NEW YORK, NY 10036	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	150.
BROOKLYN ACADEMY OF MUSIC INC 30 LAFAYETTE AVENUE BROOKLYN, NY 11217-9985	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	699.

THE ROCKEFELLER FOUNDATION			13-1659629
BROOKLYN ANIMAL RESOURCE COALITION 253 WYTHE AVENUE BROOKLYN, NY 11211	TOWARD THE COSTS OF	PUBLIC CHARITY	1,500.
BROOKLYN BOTANICAL GARDEN CORP 1000 WASHINGTON AVE BROOKLYN, NY 11225	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	195.
BROOKLYN BRIDGE PARK CONSERVANCY 334 FURMAN STREET BROOKLYN, NY 11201		PUBLIC CHARITY	750.
BROOKLYN PUBLIC LIBRARY FOUNDATION GRAND ARMY PLAZA BROOKLYN, NY 11238-5619		PUBLIC CHARITY	450.
BROPHY COMMUNITY FOUNDATION 4701 N CENTRAL AVE PHOENIX, AZ 85012		PUBLIC CHARITY	3,000.
BROWN UNIVERSITY BOX 1877 PROVIDENCE, RI 02912-9962	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	10,647.
BRYN MAWR COLLEGE 101 NORTH MERION AVE BRYN MAWR, PA 19010-2899	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	14,253.
CABECERAS AID PROJECT 1303 KAREN AVENUE AUSTIN, TX 78757	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	1,500.
CALIFORNIA COMMUNITY FOUNDATION 445 SOUTH FIGUEROA STREET NUMBER 3400 LOS ANGELES, CA 90071	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	37,500.
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 E CALIFORNIA BLVD M S 204 31 PASADENA, CA 91125	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	90,000.

THE ROCKEFELLER FOUNDATION			13-1659629
CALIFORNIA RURAL LEGAL ASSISTANCE 631 HOWARD STREET SUITE 300 SAN FRANCISCO, CA 94105	TOWARD THE COSTS OF	PUBLIC CHARITY	375.
CARE 32 W 39TH STREET 3RD FLOOR NEW YORK, NY 10018		PUBLIC CHARITY	750.
CARNEGIE HALL SOCIETY INC 881 SEVENTH AVE NEW YORK, NY 10019-3210		PUBLIC CHARITY	9,273.
CATHOLIC EDUCATION FOUNDATION 3424 WILSHIRE BOULEVARD LOS ANGELES, CA 90010		PUBLIC CHARITY	1,500.
	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	3,000.
CENTRAL ASIA INSTITUTE PO BOX 7209 BOZEMAN, MT 59771		PUBLIC CHARITY	300.
CENTRAL PARK CONSERVANCY 14 EAST 60TH STREET NEW YORK, NY 10022		PUBLIC CHARITY	150.
CHARLOTTE CENTER URBAN MINISTRY CENTER 945 NORTH COLLEGE STREET CHARLOTTE, NC 28206	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	7,049.
CHILDREN INTERNATIONAL 2000 EAST RED BRIDGE ROAD KANSAS CITY, MO 64131	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	2,184.
CHILDRENS STOREFRONT 70 EAST 129 ST NEW YORK, NY 10027	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	3,750.

THE ROCKEFELLER FOUNDATION			13-1659629
CHINA TOMORROW EDUCATION FOUNDATION 4184 CRESTWOOD PLACE MERCER ISLAND, WA 98040	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	300.
CHRISTIAN HERALD ASSOCIATION DBA BOWERY MISSION 227 BOWERY NEW YORK, NY 10002	TOWARD THE COSTS OF	PUBLIC CHARITY	1,500.
CHRISTIAN UNION 240 NASSAU STREET PRINCETON, NJ 08542	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	5,400.
CHURCH FOR ALL NATIONS 417 WEST 57TH STREET NEW YORK, NY 10019	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	17,100.
CHURCH OF ST PAUL AND ST JAMES 57 OLIVE STREET NEW HAVEN, CT 06511		PUBLIC CHARITY	10,800.
CHURCH OF ST STEPHEN OF HUNGARY 414 E 82ND STREET NEW YORK, NY 10028	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	5,550.
CITY HARVEST INC 575 EIGHTH AVE 4TH FLOOR NEW YORK, NY 10018	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	1,500.
CLEAN OCEAN ACTION INC 18 HARTSHORNE DR SUITE 2 HIGHLANDS, NJ 07732	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	75.
COCA COLA SCHOLARS FOUNDATION INC 1 COCA COLA PLAZA ATLANTA, GA 30313	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	1,500.
COMMON GROUND COMMUNITY HOUSING DEVELOPMENT FUND 505 EIGHTH AVE 15TH FL NEW YORK, NY 10018	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	10,500.

THE ROCKEFELLER FOUNDATION			13-1659629
COMMUNITY FOOD BANK OF NEW JERSEY DEVELOPMENT DIRECTOR 31 EVANS TERMINAL ROAD HILLSIDE, NJ	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	3,000.
COMPREHENSIVE DEVELOPMENT INC 240 SECOND AVE NEW YORK, NY 10003	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	4,500.
CONNECTICUT FOOD BANK PO BOX 8686 NEW HAVEN, CT 06531	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	300.
CONNECTICUT HUMANE SOCIETY 701 RUSSELL RD NEWINGTON, CT 06111-1593	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	750.
CONSERVATION FUND 1655 N FORT MEYER DRIVE SUITE 1300 ARLINGTON, VA 22209	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	1,500.
CORPORATION OF HAVERFORD COLLEGE 370 LANCASTER AVENUE HAVERFORD, PA 19041-1392	·	PUBLIC CHARITY	300.
COUNCIL ON FOREIGN RELATIONS 58 EAST 68TH STREET NEW YORK, NY 10021	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	9,000.
DAWN INC 502 WEST HURON ANN ARBOR, MI 48103	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	4,500.
DISABLED AMERICAN VETERANS 3725 ALEXANDRIA PIKE COLD SPRING, KY 41076	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	150.
DISCALCED INC 3 LAFAYETTE AVE BROOKLYN, NY 11217	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	150.

THE ROCKEFELLER FOUNDATION			13-1659629
	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	375.
DOCTORS WITHOUT BORDERS USA INC 333 SEVENTH AVENUE 2ND FLOOR NEW YORK, NY 10001-5004	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	600.
DREW UNIVERSITY 36 MADISON AVENUE MADISON, NJ 07940		PUBLIC CHARITY	900.
	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	52,500.
EDUCATIONAL BROADCASTING CORP 450 WEST 33RD STREET NEW YORK, NY 10001-2605		PUBLIC CHARITY	1,650.
ELECTRONIC FRONTIER FOUNDATION 454 SHOTWELL STREET SAN FRANCISCO, CA 94110-1914	TOWARD THE COSTS OF	PUBLIC CHARITY	750.
EMMA NORTON SERVICES 670 NORTH ROBERT ST ST PAUL, MN 55101		PUBLIC CHARITY	1,500.
EMPIRE STATE COLLEGE FOUNDATION 28 UNION AVENUE SARATOGA SPRINGS, NY 12866	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	150.
ENSEMBLE 212 301 WEST 45TH STREET SUITE 7J NEW YORK, NY 10036	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	300.
EQUAL JUSTICE AMERICA 13540 E BOUNDARY RD BUILDING II SUITE 204 MIDLOTHIAN, VA 23112		PUBLIC CHARITY	300.

THE ROCKEFELLER FOUNDATION			13-1659629
EQUAL RIGHTS ADVOCATES INC 1663 MISSION STREET SECOND FLOOR SAN FRANCISCO, CA 94103	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	375.
FIRST UNITARIAN SOCIETY OF DENVER 1400 LAFAYETTE ST DENVER, CO 80218	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	1,875.
FOUNDATION FOR ART PRESERVATION IN EMBASSIES 1725 I STREET NW SUITE 300 WASHINGTON, DC 20006	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	13,500.
FOUNDATION FOR NATIONAL PROGRESS 222 SUTTER STREET 6TH FLOOR SAN FRANCISCO, CA 94608		PUBLIC CHARITY	300.
FOUNDATION FOR THE CAROLINAS 217 SOUTH TRYON STREET CHARLOTTE, NC 28202	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	7,500.
FRACTURED ATLAS PRODUCTIONS INC 248 W 35TH STREET SUITE 1202 NEW YORK, NY 10001	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	600.
FRESH AIR FUND 633 THIRD AVENUE 14TH FLOOR NEW YORK, NY 10017	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	150.
FRIENDS OF ALTA INC 10201 EAST HWY 210 ALTA, UT 84092	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	750.
FRIENDS OF THE HIGHLINE 529 W 20TH ST SUITE 8W NEW YORK, NY 10011	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	1,725.
FRIENDS OF WESLEY INTERNATIONAL THEOLOGICAL SEMINARY 2865 LENOX ROAD NE NUMBER 304 ATLANTA, GA 30324	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	3,600.

THE ROCKEFELLER FOUNDATION			13-1659629
121 AVENUE OF THE AMERICAS 6TH FLOOR NEW YORK, NY 10013	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	2,250.
GAY MENS HEALTH CRISIS INC 119 W 24TH STREET NEW YORK, NY 10011			150.
GEORGIA TECH FOUNDATION 190 NORTH AVENUE ATLANTA, GA 30313			37,500.
GLOBE AWARE 7232 FISHER RD DALLAS, TX 75214	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM		150.
GODS LOVE WE DELIVER INC 166 AVE OF THE AMERICAS NEW YORK, NY 10013-1207	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	3,000.
GRANTS MANAGERS NETWORK 2330 SCENIC HWY SNELLVILLE, GA 30078			375.
GUIDING EYES FOR THE BLIND INC 611 GRANITE SPRINGS ROAD YORKTOWN HEIGHTS, NY 10598	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	750.
HABITAT FOR HUMANITY INTERNATIONAL 322 WEST LAMAR STREEET AMERICUS, GA 31709-3498	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	900.
HEIFER PROJECT INTERNATIONAL INC 1 WORLD AVENUE LITTLE ROCK, AR 72202		PUBLIC CHARITY	810.
HUMAN RIGHTS FIRST 333 SEVENTH AVENUE NEW YORK, NY 10001	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	900.

THE ROCKEFELLER FOUNDATION			13-1659629
HUMANITY IN ACTION INC 1088 PARK AVENUE NEW YORK, NY 10128	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	1,500.
HUNTER COLLEGE HIGH SCHOOL PTA 71 EAST 94TH STREET NEW YORK, NY 10128	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	300.
IMMACULATE CONCEPTION SEMINARY 171 CLIFTON AVENUE NEWARK, NJ 07104	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	-300.
IMPROVING MENTOR PRACTICES AND COMMUNICATION TECHNIQUES FOUND 330 W 42ND ST NEW YORK, NY 10036	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	3,000.
IN THE LIFE MEDIA INC 184 5TH AVENUE 4TH FLOOR NEW YORK, NY 10010	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	7,500.
INNER CITY LAW CENTER 1309 EAST SEVENTH STREET LOS ANGELES, CA 90021	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	375.
INSTITUTE FOR ADVANCED STUDY EINSTEIN DRIVE PRINCETON, NJ 08540	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	150,000.
INTERFAITH ALLIANCE FOUNDATION 1212 NEW YORK AVENUE NW SUITE 1250 WASHINGTON, DC 20005	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	150.
INTERNATIONAL RESCUE COMMITTEE INC 122 EAST 42ND STREET 11TH FLOOR NEW YORK, NY 10168-1289	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	750.
ISLAMIC RELIEF 6131 ORANGETHORPE AVE SUITE 450 BUENA PARK, CA 90620	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	1,548.

THE ROCKEFELLER FOUNDATION			13-1659629
JESUIT VOLUNTEER CORPS SOUTH EXECUTIVE DIRECTOR PO BOX 3126 HOUSTON, TX 77253-3126	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	750.
JOHNS HOPKINS UNIVERSITY 5801 SMITH AVENUE BALTIMORE, MD 21209	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	1,875.
LA PLAZA DE CULTURA Y ARTES 1055 WILSHIRE BLVD SUITE 800 LOS ANGELES, CA 90017	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	1,875.
LEUKEMIA AND LYMPHOMA SOCIETY INC 475 PARK AVENUE SOUTH 8TH FLOOR NEW YORK, NY 10016	TOWARD THE COSTS OF	PUBLIC CHARITY	1,965.
LINCOLN CENTER THEATER 150 WEST 65TH STREET NEW YORK, NY 10023	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	90,000.
LOCAL INITIATIVES SUPPORT CORP 501 SEVENTH AVENUE 7TH FLOOR NEW YORK, NY 10018	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	3,750.
LOYOLA HIGH SCHOOL OF LOS ANGELES 1901 VENICE BLVD LOS ANGELES, CA 90006-4496	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	3,750.
MADISON SQUARE PARK CONSERVANCY ELEVEN MADISON AVE 14TH FLOOR NEW YORK, NY 10010	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	1,500.
MARCH OF DIMES 515 MADISON AVE NEW YORK, NY 10022	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	75.
MARCH OF DIMES NEW JERSEY CHAPTER 5 CEDAR BROOK DRIVE CRANBURY, NJ 08512	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	75.

THE ROCKEFELLER FOUNDATION			13-1659629
MARINE TOYS FOR TOTS FOUNDATION 18251 QUANTICO GATEWAY DRIVE TRIANGLE, VA 22172	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	150.
MEDIC ALERT FOUNDATION US 2323 COLORADO AVENUE TURLOCK, CA 95380	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	150.
MEDICAL EDUCATION COOP CUBA MEDICC 1902 CLAIRMONT ROAD NUMBER 250 DECATUR, GA 30033	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	6,000.
MILL TREES NYC DBA NY RESTOR PROJ 254 WEST 31ST STREET 10TH FLOOR NEW YORK, NY 10001	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	300.
MOREHOUSE COLLEGE 830 WESTVIEW DRIVE SW ATLANTA, GA 30314	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	15,000.
MUSEUM OF MODERN ART MATCHING GIFTS PROGRAM 11 WEST 53RD STREET NEW YORK, NY 10019	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	450.
NATIONAL ASSOC FOR URBAN DEBATE LEAGUES 332 SOUTH MICHIGAN AVENUE S 500 CHICAGO, IL 60604	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	600.
NATIONAL COUNCIL OF NEGRO WOMEN INC 633 PENNSYLVANIA AVE NW WASHINGTON, DC 20004	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	900.
NATIONAL GEOGRAPHIC SOCIETY DEVELOPMENT OFFICE 1145 17TH STREET NW WASHINGTON, DC 20036	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	300.
NATIONAL HEALTH MUSEUM 1411 K STREET SW 1300 WASHINGTON, DC 20005	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	7,500.

THE ROCKEFELLER FOUNDATION			13-1659629
NATIONAL HUMANITIES CENTER PO BOX 12256 RESEARCH TRIANGLE PARK, NC 27709	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	7,500.
NATIONAL LOW INCOME HOUSING COALITION 727 15TH STREET NW 6TH FLOOR WASHINGTON, DC 20005	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	375.
NATIONAL MULTIPLE SCLEROSIS ASSOCIATION OF AMERICA 733 THIRD AVE 3RD FL NEW YORK, NY 10017-3288	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	75.
NATIONAL MULTIPLE SCLEROSIS SOCIETY NYC CHAP 733 THIRD AVE 3RD FL NEW YORK, NY 10017-3288	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	1,200.
NATIONAL MUSIC THEATER NETWORK PO BOX 2639 NEW YORK, NY 10108	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	120.
NATIONAL NETWORK OF ABORTION FUNDS FDR STATION BOX 7569 NEW YORK, NY 10150	TOWARD THE COSTS OF	PUBLIC CHARITY	300.
NATIONAL SENIOR CITIZENS LAW CENTER 1444 I STREET NW NUMBER 1100 WASHINGTON, DC 20005	TOWARD THE COSTS OF	PUBLIC CHARITY	375.
NATIONAL WILDLIFE FEDERATION 11100 WILDLIFE CENTER DRIVE RESTON, VA 20190-5362	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	150.
NEIGHBORS TOGETHER CORP 2094 FULTON STREET BROOKLYN, NY 11233	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	2,250.
NETHERLAND AMERICA FOUNDATION INC 82 WALL STREET SUITE 1101 NEW YORK, NY 10005	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	450.

THE ROCKEFELLER FOUNDATION			13-1659629
NEW YORK BOTANICAL GARDENS 200TH STREET AND SOUTHERN BLVD BRONX, NY 10458	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	450.
NEW YORK CITY OPERA 20 LINCOLN CENTER NEW YORK, NY 10023	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	15,000.
NEW YORK RESTORATION PROJECT 254 WEST 31ST STREET NEW YORK, NY 10001	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	750.
NIAGARA HOSPICE INC 4675 SUNSET DRIVE LOCKPORT, NY 14094-1231	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	3,000.
NORTH SHORE ANIMAL LEAGUE 750 PORT WASHINGTON BLVD PORT WASHINGTON, NY 11050-9714	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	300.
OPERATION BREAKING STEREOTYPES PO BOX 633 ORONO, ME 04473	·	PUBLIC CHARITY	750.
OPUS 118 HARLEM SCHOOL OF MUSIC 103 EAST 125TH STREET 7TH FLOOR NEW YORK, NY 10035	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	1,500.
OUR LADY OF MT CARMEL CHURCH 627 EAST 187TH STREET BRONX, NY 10458	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	1,500.
OVERSEAS CHINA EDUCATION FOUNDATION PO BOX 772436 HOUSTON, TX 77215-2436	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	1,500.
OXFAM AMERICA INC 226 CAUSEWAY STREET 5TH FLOOR BOSTON, MA 02114	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	1,575.

THE ROCKEFELLER FOUNDATION			13-1659629
PARTNERS FOR A BETTER EDUCATION 200 N BROADWAY SLEEPY HOLLOW, NY 10591	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	750.
200 N BROADWAY SLEEPY HOLLOW,	TOWARD THE COSTS OF	PUBLIC CHARITY	1,500.
PARTNERS IN HEALTH PO BOX 845578 BOSTON, MA 02284		PUBLIC CHARITY	600.
PATH 1455 NW LEARY WAY SEATTLE, WA 98107-5136		PUBLIC CHARITY	750.
PEARL THEATRE COMPANY INC 80 ST MARKS PLACE NEW YORK, NY 10003		PUBLIC CHARITY	780.
PERSON TO PERSON INC 1864 POST ROAD DARIEN, CT 06820			600.
PHILLIPS ACADEMY 180 MAIN STREET ANDOVER, MA 01810-4146	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM		603.
PHYSICIANS FOR REPRODUCTIVE CHOICE AND HEALTH 55 W 39TH STREET SUITE 1001 NEW YORK, NY 10018	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	15,000.
PISGAH LEGAL SERVICES 62 CHARLOTTE STREET ASHVILLE, NC 28801	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	750.
POLYHYMNIA MUSIC FOUNDATION INC ST IGNATIUS OF ANTIOCH 552 WEST END AVENUE NEW YORK, NY 10024	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	150.

THE ROCKEFELLER FOUNDATION			13-1659629
PRESBYTERIAN CHURCH OF UPPER MONTCLAIR 53 NORWOOD AVENUE UPPER MONTCLAIR, NJ 07043		PUBLIC CHARITY	5,040.
PRINCETON FIRST AID RESCUE SQUAD 237 N HARRISON ST PRINCETON, NJ 08540	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	300.
PRINCETON PROJECT 55 INC 12 STOCKTON STREET PRINCETON, NJ 08540	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	4,500.
PROJECT EYE TO EYE INC 250 WEST 93RD STREET 17B NEW YORK, NY 10025	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	150.
PROJECT USE 76 E FRONT STREET RED BANK, NJ 07701	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	150.
PROSPECT PARK ALLIANCE 95 PROSPECT PARK WEST BROOKLYN, NY 11215	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	189.
PROYECTO PASTORAL DOLORES MISSION 135 N MISSION RD LOS ANGELES, CA 90033	TOWARD THE COSTS OF	PUBLIC CHARITY	1,500.
PUBLIC SCH 321 PARENT TEACHER ASSOC 180 7TH AVENUE BROOKLYN, NY 11215	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	3,000.
PURCHASE COLLEGE STATE UNIVERSITY OF NY 735 ANDERSON HILL RD PURCHASE, NY 10577-1400	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	1,500.
QUEENS UNIVERSITY OF CHARLOTTE 1900 SELWYN AVENUE CHARLOTTE, NC 28274	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	9,000.

THE ROCKEFELLER FOUNDATION			13-1659629
REDEEMER PRESBYTERIAN CHURCH 1359 BROADWAY NEW YORK, NY 10018	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	3,000.
REGENTS OF THE UNIVERSITY OF MICHIGAN DEPT CH 10189 PALATINE, IL 60055-0189	·	PUBLIC CHARITY	750.
RIVERSIDE PARK FUND 475 RIVERSIDE DRIVE SUITE 455 NEW YORK, NY 10115	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	150.
ROMAN CATHOLIC ARCHBISHOP OF LOS ANGELES 3424 WILSHIRE BLVD LOS ANGELES, CA 90010	TOWARD THE COSTS OF	PUBLIC CHARITY	3,000.
ROMAN CATHOLIC CHURCH OF ST BONIFACE CITY BRKLYN 109 WILLOUGHBY STREET BROOKLYN, NY 11201	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	5,400.
ROOT CAPITAL INC 675 MASSACHUSETTS AVENUE 8TH FLOOR CAMBRIDGE, MA 02139	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	1,500.
SAILORS FOR THE SEA 56 COMMERCIAL WHARF EAST BOSTON, MA 02110	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	90,000.
SAINT LUKES EPISCOPAL PARISH 1864 POST ROAD DARIEN, CT 06820	·	PUBLIC CHARITY	3,600.
SCHOOL OF AMERICA BALLET INC 70 LINCOLN CENTER PL 5TH FL NEW YORK, NY 10023	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	750.
SCOJO FOUNDATION INC 12 DESBROSSES STREET NEW YORK, NY 10013	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	300.

THE ROCKEFELLER FOUNDATION			13-1659629
SCOTTSDALE UNIFIED SCHOOL DISTRICT FOUNDATION DIST 48 3811 N 44TH STREET PHOENIX, AZ 85018	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	1,200.
SESAME WORKSHOP ONE LINCOLN PLAZA NEW YORK, NY 10023	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	300.
SIDWELL FRIENDS SCHOOL 3825 WISCONSIN AVENUE NW WASHINGTON, DC 20016	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	53,250.
SILPAKORN UNIVERSITY NA PRA LAN ROAD BANGKOK, THAILAND	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	2,703.
SMITHSONIAN INSTITUTION 600 MARYLAND AVE SW MRC 509 PO BOX 37012 WASHINGTON, DC 20013	TOWARD THE COSTS OF	PUBLIC CHARITY	15,000.
SOCIETY THIRD ST MUSIC SCHOOL SETTLEMENT 235 EAST 11TH STREET NEW YORK, NY 10003	TOWARD THE COSTS OF	PUBLIC CHARITY	300.
SOS BEAGLE RESCUE INC 84 JUDITH LANE ATCO, NJ 08004	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	450.
SOUTH GREENBURG PRESBYTERIAN CHURCH 343 BROADWAY DOBBS FERRY, NY 10522	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	6,000.
SPECIAL OLYMPICS NEW JERSEY 3 PRINCESS RD LAWRENCEVILLE, NJ 08648	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	1,500.
ST AUGUSTINE SCHOOL 1419 V STREET NW WASHINGTON, DC 20009	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	30,000.

THE ROCKEFELLER FOUNDATION			13-1659629
ST FRANCIS XAVIER CHURCH 225 6TH AVE BROOKLYN, NY 11215		PUBLIC CHARITY	750.
ST PAULS SCHOOL 325 PLEASANT STREET CONCORD, NH 03301	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	900.
ST PHILIP THE APOSTLE SCHOOL 151 SOUTH HILL AVENUE PASADENA, CA 91106	· · · · · · · · · · · · · · · · · · ·	PUBLIC CHARITY	3,750.
STANDING TALL INC 200 RIVERSIDE BLVD UNIT 2 NEW YORK, NY 10069		PUBLIC CHARITY	1,200.
STATUE OF LIBERTY ELLIS ISL FOUND PO BOX 5200 NEW YORK, NY 10164-2880	TOWARD THE COSTS OF	PUBLIC CHARITY	2,250.
STUYVESANT HIGH SCHOOL ALUMNI ASSOC 345 CHAMBERS STREET RM 271A NEW YORK, NY 10282	TOWARD THE COSTS OF	PUBLIC CHARITY	120.
	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	75.
THE FATHERS HEART MINISTRIES 543 EAST 11TH STREET NEW YORK, NY 10009	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	300.
THE JED FOUNDATION 583 BROADWAY SUITE 8B NEW YORK, NY 10012	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	300.
THE LEUKEMIA AND LYMPHOMA SOCIETY 14 COMMERCE DRIVE SUITE 301 CRANFORD, NJ 07016	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	75.

THE ROCKEFELLER FOUNDATION			13-1659629
THE NATURE CONSERVANCY PO BOX 6015 ALBERT LEA, MN 56007	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	2,400.
THE NEW YORK OPPORTUNITY NETWORK 374 WEST 116TH STREET SUITE 4B NEW YORK, NY 16026	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	750.
THE NEW YORK POPS 333 WEST 52ND STREET SUITE 600 NEW YORK, NY 10019	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	300.
THE NEW YORK PUBLIC LIBRARY 476 FIFTH AVENUE ROOM 67 NEW YORK, NY 10138-0018	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	150.
THE PACKER COLLEGIATE INSTITUTE 170 JORALEMON STREET BROOKLYN, NY 11201		PUBLIC CHARITY	2,250.
THE PARKSIDE SCHOOL 48 WEST 74TH STREET NEW YORK, NY 10023	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	30,000.
THE SMILE TRAIN 41 MADISON AVENUE 28TH FLOOR NEW YORK, NY 10010	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	225.
TRUST FOR AMERICAS HEALTH 1730 M STREET NW NO 900 WASHINGTON, DC 20036	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	7,500.
TRUSTEES FOR HARVARD UNIVERSITY 124 MT AUBURN STREET CAMBRIDGE, MA 02138	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	150.
TRUSTEES OF COLUMBIA U CITY OF NY 475 RIVERSIDE DRIVE STE 964 MAIL CODE 7724 NEW YORK, NY 10115	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	903.

THE ROCKEFELLER FOUNDATION			13-1659629
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA - 433 FRANKLYN BUILDING 3451 WALNUT STREET PHILADELPHIA, PA 19104-6285	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	15,000.
UCLA FOUNDATION 405 HILGARD AVENUE LOS ANGELES, CA 90024	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	18,750.
UNCOMMON GOOD 435 W 12TH STREET CLAREMONT, CA 91711	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	375.
UNION OF CONCERNED SCIENTISTS 2 BRATTLE SQ CAMBRIDGE, MA 02238-9105		PUBLIC CHARITY	150.
UNIVERSITY OF CALIFORNIA LOS ANGELES FOUNDATION 10920 WILSHIRE BLVD 11TH FL LOS ANGELES, CA 90024	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	18,750.
UNIVERSITY OF CHICAGO 5801 S ELLIS AVENUE CHICAGO, IL 60637	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	3,000.
UNIVERSITY OF HAWAII FOUNDATION 2444 DOLE STREET BACHMAN 105 HONOLULU, HI 96822	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	30,000.
UNIVERSITY OF MINNESOTA FOUNDATION LOCKBOX B PO BOX 70870 CM 3854 ST PAUL, MN 55170-3854	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	150.
UNIVERSITY OF NEBRASKA FOUNDATOIN PO BOX 82555 LINCOLN, NE 68501	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	4,500.
UNIVERSITY OF N CAROLINA AT CHAPEL HILL PO BOX 309 CHAPEL HILL, NC 27514	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	3,000.

THE ROCKEFELLER FOUNDATION			13-1659629
UNIVERSITY OF TEXAS AT EL PASO 500 W UNIVERSITY EL PASO, TX 79968	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	90,000.
UNIVERSITY OF TEXAS LAW SCHOOL 727 E DEAN KEETON STREET AUSTIN, TX 78705	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	3,000.
UNIVERSITY OF VERMONT FLEMING MUSEUM 411 MAIN STREET BURLINGTON, VT 05401	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	3,750.
UNIVERSITY OF VIRGINAI LAW SCHOOL FOUNDATION 580 MASSIE ROAD CHARLOTTESVILLE, VA 22903	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	1,500.
VASHON UNITED METHODIST CHURCH PO BOX 330 VASHON HIGHWAY NW VASHON, WA 98070	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	900.
VERA INSTITUTE OF JUSTICE INC 233 BROADWAY 12TH FLOOR NEW YORK, NY 10279	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	3,000.
VILLANOVA UNIVERSITY 800 LANCASTER AVENUE VILLANOVA, PA 19085-1699	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	90,000.
VITAL VOICES GLOBAL PARTNERSHIP 1625 MASSACHUSETTS AVENUE WASHINGTON, DC 20036	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	3,000.
VOCATIONAL INSTR PROJ COMM SERV 1910 ARTHUR AVENUE BRONX, NY 10457	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	30,000.
WESTCHESTER PHILHARMONIC INC 123 MAIN STREET LOBBY LEVEL WHITE PLAINS, NY 10601	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	600.

THE ROCKEFELLER FOUNDATION			13-1659629
WILDEARTH GUARDIANS 312 MONTEZUMA AVE SANTA FE, NM 87501		PUBLIC CHARITY	1,500.
WILDERNESS SOCIETY PO BOX 97231 WASHINGTON, DC 20077-7763		PUBLIC CHARITY	150.
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BLVD BRONX, NY 10460		PUBLIC CHARITY	222.
WILLIAM MARCH RICE UNIVERSITY 6100 MAIN STREET MS 81 HOUSTON, TX 77055-1857		PUBLIC CHARITY	300.
WNYC RADIO 160 VARICK STREET ROOM B12 NEW YORK, NY 10013	·	PUBLIC CHARITY	1,467.
WORLD WILDLIFE FUND INC PO BOX 97180 WASHINGTON, DC 20091-7180	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	225.
YINGHUA LANGUAGE SCHOOL PO BOX 3004 PRINCETON, NJ 08540		PUBLIC CHARITY	6,000.
ZIGEN FUND INC 38 27 217TH STREET BAYSIDE, NY 11361	N/A TOWARD THE COSTS OF THE RF MATCHING GIFT PROGRAM	PUBLIC CHARITY	6,000.
GRANTS MANAGERS NETWORK 1101 14TH STREET NW SUITE 420 WASHINGTON, DC 20005	N/A FOR RF CONTRIBUTIONS TO SERVICE ORGANIZATIONS IN THE PHILANTHROPIC SECTOR	PUBLIC CHARITY	7,500.
FATHERS HEART MINISTRIES 545 EAST 11TH STREET NEW YORK, NY 10009	N/A DONATION TO ORGANIZATION PARTICIPATING IN RF STAFF VOLUNTEERING DAY	PUBLIC CHARITY	5,000.

THE ROCKEFELLER FOUNDATION			13-1659629
HUMAN RIGHTS FIRST 333 SEVENTH AVENUE NEW YORK, NY 10001	N/A DONATION TO ORGANIZATION PARTICIPATING IN RF STAFF VOLUNTEERING DAY	PUBLIC CHARITY	5,000.
HUMANE SOCIETY OF NEW YORK 306 EAST 59TH STREET NEW YORK, NY 10022	N/A DONATION TO ORGANIZATION PARTICIPATING IN RF STAFF VOLUNTEERING DAY	PUBLIC CHARITY	5,000.
OUTSTANDING RENEWAL ENTERPRISES INC PO BOX 20488 NEW YORK, NY 10009	N/A DONATION TO ORGANIZATION PARTICIPATING IN RF STAFF VOLUNTEERING DAY	PUBLIC CHARITY	5,000.
STANLEY M ISAACS NEIGHBORHOOD CNTR 415 EAST 93RD STREET NEW YORK, NY 10128	N/A DONATION TO ORGANIZATION PARTICIPATING IN RF STAFF VOLUNTEERING DAY	PUBLIC CHARITY	5,000.
DAMARIS REYES 64 BARUCH DRIVE APT 6A NEW YORK, NY 10002	N/A FOR CASH PRIZES TO THE RECIPIENTS OF THE RF JANE JACOBS MEDAL	INDIVIDUAL	70,000.
GOOD OLD LOWER EAST SIDE 169 AVENUE B NEW YORK, NY 10009	N/A FOR CASH PRIZES TO THE RECIPIENTS OF THE RF JANE JACOBS MEDAL	PUBLIC CHARITY	30,000.
RICHARD KAHAN 36 EAST 72ND STREET NEW YORK, NY 10021	N/A FOR CASH PRIZES TO THE RECIPIENTS OF THE RF JANE JACOBS MEDAL	INDIVIDUAL	50,000.
THE URBAN ASSEMBLY 90 BROAD STREET SUITE 2101 NEW YORK, NY 10004	N/A FOR CASH PRIZES TO THE RECIPIENTS OF THE RF JANE JACOBS MEDAL	PUBLIC CHARITY	50,000.
ASSOC OF BLACK FOUNDATION EXEC INC 55 EXCHANGE PL SUITE 405 NEW YORK, NY 10005	N/A FOR RF CONTRIBUTIONS TO SERVICE ORGANIZATIONS IN THE PHILANTHROPIC SECTOR	PUBLIC CHARITY	10,000.

THE ROCKEFELLER FOUNDATION			13-1659629
ENVIRONMENTAL GRANTMAKERS ASSOC 55 EXCHANGE PL SUITE 405 NEW YORK, NY 10005	N/A FOR RF CONTRIBUTIONS TO SERVICE ORGANIZATIONS IN THE PHILANTHROPIC SECTOR	PUBLIC CHARITY	10,670.
GRANTS MANAGERS NETWORK 1101 14TH STREET NW SUITE 420 WASHINGTON, DC 20006	N/A FOR RF CONTRIBUTIONS TO SERVICE ORGANIZATIONS IN THE PHILANTHROPIC SECTOR	PUBLIC CHARITY	8,000.
BELLAGIO STUDY AND CONFERENCE CENTER VILLA SERBELLONI BELLAGIO, ITALY	YES DCA	SEE STATEMENT 27	4,055,890.
TOTAL TO FORM 990-PF, PART XV, LI	:NE 3A	1	45,129,900.

STATEMENT

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FORM 990-PF

	VED FOR FUTURE PAYMENT		EMENI ZZ
RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT		AMOUNT
ACADEMY FOR EDUCATIONAL DEVELOPMENT 1825 CONNECTICUT AVENUE NW WASHINGTON, DC 20009-5721	N/A TO ENABLE KENYAN NGOS TO EFFICIENTLY MONITOR PROGRAMMATIC IMPACTS	501(C)(3) PUBL CHAR	44,300.
AFRICAN CTR FOR ECON TRANSFORMATION 1015 18TH STREET NW SUITE 210 WASHINGTON, DC 20036	N/A TO ADVISE LIBERIA ON EQUITABLE TRADE AND INVESTMENT AGREEMENTS WITH CHINA	501(C)(3) PUBL CHAR	44,448.
AFRICAN EVALUATION ASSOCIATION 15 EMMAUSE ROAD LA ACCRA PO BOX 16208 KIA ACCRA, GHANA		FOR ORG - E/R GRANT	30,800.
AFRICAN MEDICAL AND RES FOUND - INTERNATIONAL TRAINING CENTRE LANGATA ROAD P O BOX 27691 0050 NAIROBI, KENYA	FOR SURVEILLANCE AND	PUBLIC CHARITY EQUIV	250,000.
AFRICAN NETWORK OPERATORS GROU 34 CHURCH CRESCENT LABONE PO BO 3035 ACCRA, GHANA		FOR ORG - E/R GRANT	34,800.
AFRICAN POPULATION AND HEALTH RESEARCH CENTRE SHELTER AFRIQUE 2ND FLOOR PO BOX 10787 00100 GPO NAIROBI,	N/A TO ADDRESS URBAN HEALTH VULNERABILITIES IN THE SLUMS OF NAIROBI, KENYA	501(C)(3) PUBL CHAR	200,000.
AFRICAN TECH POLICY STUDIES NETWORK - CHANCERY BUILDING 3F FLOOR VALLEY ROAD PO BOX 10081 00100 NAIROBI, KENYA	N/A RD TO PROMOTE CLIMATE CHANGE ADAPTATION RESEARCH, INNOVATION, AND TECHNOLOGY	PUBLIC CHARITY EQUIV	92,000.

GRANTS AND CONTRIBUTIONS

THE ROCKEFELLER FOUNDATION		1	3-1659629
ALARM WILL SOUND INC C O ALAN PIERSON 401 WEST 52ND STREET APARTMENT 3C NEW YORK, NY 10019	N/A FOR AN INTEGRATED MUSICAL AND MULTIMEDIA PERFORMANCE	501(C)(3) PUBL CHAR	25,000.
ALEXANDROS E WASHBURN 373 VAN BRUNT STREET BROOKLYN, NY 11231	N/A TO REDEFINE URBAN DESIGN PRINCIPLES AND PRACTICES FOR THE 21ST CENTURY	INDIVIDUAL	25,000.
ALL FOR A GREEN REV IN AFRICA PO BOX 66773 WESTLANDS 00800 NAIROBI, KENYA	N/A TO DEVELOP CLIMATE CHANGE RESILIENCE	501(C)(3) PUBL CHAR	40,000.
ALLAVIDA KENYA LIMITED - 3RD FLOOR RATTANSI EDUCATIONAL TRUST BUILDING KOINANGE STREET NAIROBI, KENYA	N/A TO TEST VIABILITY OF EXPANDING PRODUCT/SERVICES DELIVERY TO POOR IN	FOR ORG - E/R GRANT	233,700.
ALLIANCE FOR DOWNTOWN NY INC 120 BROADWAY SUITE 3340 NEW YORK, NY 10271	N/A FOR A DEVELOPMENT AND FUNDING MODEL FOR AN ARTS DISTRICT IN LOWER MANHATTAN	501(C)(3) PUBL CHAR	37,500.
ALLIANCE FOR THE ARTS INC 330 WEST 42ND STREET SUITE 1701 NEW YORK, NY 10036		501(C)(3) PUBL CHAR	25,000.
ALLIANCE OF RESIDENT THEATRES 520 EIGHTH AVENUE SUITE 319 NEW YORK, NY 10018		501(C)(3) PUBL CHAR	37,500.
AMERICAN MEDICAL INFORMATICS ASSOC 4915 ST ELMO AVENUE SUITE 401 BETHESDA, MD 20814	N/A FOR A DISTANCE LEARNING PROGRAM AND A MEETING ON HEALTH INFORMATICS	501(C)(3) PUBL CHAR	16,645.
AMERICAN MEDICAL INFORMATICS ASSOC 4915 ST ELMO AVENUE SUITE 401 BETHESDA, MD 20814	N/A TO PROVIDE HEALTH INFORMATICS TRAINING TO DEVELOPING-WORLD INSTITUTIONS	501(C)(3) PUBL CHAR	100,100.

THE ROCKEFELLER FOUNDATION			13-1659629
AMERICAN VETERINARY MEDICAL ASSOC 1931 N MEACHAM RD SUITE 100 SCHAUMBURG, IL 60173-4360	N/A FOR A U.S INITIATIVE TO IMPROVE HUMAN, ANIMAL AND ENVIRONMENTAL HEALTH	EXMPT NON-CHAR E/R	25,000.
AMERICAS SOCIETY INC 680 PARK AVENUE NEW YORK, NY 10021	N/A TOWARD THE BETTER INTEGRATION OF IMMIGRANTS IN THE U.S.	501(C)(3) PUBL CHAR	10,000.
APCO WORLDWIDE LIMITED - 153 3GOLDENLAND BLD 3FL SOIMAHARDLEKLUANG1 RAJDAMRIRD LUMPINI PATUMWAN BANGKOK, THAILAND	N/A COMMUNICATIONS AND KNOWLEDGE MANAGEMENT FOR ACCCRN	FOR ORG - E/R GRANT	350,000.
ASHOKA INNOVATORS FOR PUB FOUND 3RD FLOOR 65 1 SUKHUMVIT KLONGTON NUA WATTANA BANGKOK, THAILAND	N/A TO DEVELOP AN URBAN CLIMATE CHANGE RESILIENCE INNOVATION PRIZE	PUBLIC CHARITY EQUIV	75,000.
ASIA FOUNDATION 465 CALIFORNIA STREET 9TH FLOOR SAN FRANCISCO, CA 94104	N/A TO DELIVER CRITICAL SOCIAL SERVICES TO THE URBAN AND RURAL POOR	501(C)(3) PUBL CHAR	200,000.
ASIAN COALITION FOR HOUSING RIGHTS - 73 SOL SONTHIWATTANA 4 LADPRAO 110 ROAD WANGTHONGLANG DISTRICT BANGKOK, THAILAND	N/A IN SUPPORT OF THREE COMMUNITY-DRIVEN PROJECTS IN POOR URBAN AREAS IN ASIA	FOR ORG - E/R GRANT	130,500.
AZ BOARD OF REGENTS UNIV OF AZ - ADMINISTRATION BUILDING ROOM 712 1401 EAST UNIVERSITY BLVD TUCSON, AZ 85721	N/A TO ENCOURAGE ENVIRONMENTALLY AND SOCIALLY SOUND DEVELOPMENT IN CITIES	501(C)(3) PUBL CHAR	50,000.
BONNIE BOSWELL 533 NORTH CHEROKEE AVE LOS ANGELES, CA 92651	N/A FOR A DOCUMENTARY ABOUT THE LIFE OF WHITNEY M. YOUNG, JR.	INDIVIDUAL	50,000.
BRAC UNIVERSITY 66 MOHAKHALI DHAKA, BANGLADESH	N/A TO IMPROVE THE LIVES OF SLUM DWELLERS IN	PUBLIC CHARITY EQUIV	47,280.

FIVE BANGLADESHI SLUMS

THE ROCKEFELLER FOUNDATION			13-1659629
BRAC USA INC 11 EAST 44TH STREET SUITE 1600 NEW YORK, NY 10017	N/A FOR A STUDY OF AND A CONFERENCE ON PARTNERSHIPS IN HEALTH PROGRAMS	501(C)(3) PUBL CHAR	22,500.
BRIC ARTS MEDIA BKLYN INC 647 FULTON STREET BROOKLYN, NY 11217			75,000.
BROADREACH HEALTHCARE LLC 2500 WILSON BLVD SUITE 220 ARLINGTON, VA 22201	IN SUPPORT OF HEALTH	US NON-CHAR E/R GRNT	30,600.
BRONX COUNCIL ON THE ARTS INC 1738 HONE AVENUE BRONX, NY 10461-1486		501(C)(3) PUBL CHAR	50,000.
BRONX OVERALL ECON DEVELOPMENT CORP 198 EAST 161ST STREET SUITE 201 BRONX, NY 10451	N/A TO INCREASE CREATIVE SECTOR PRESENCE IN AND ATTRACT PEOPLE TO THE BRONX		18,750.
CAPITAL MARKETS AUTHORITY PO BOX 74800 NAIROBI, KENYA	•		
CARLETON UNIVERSITY 503 TORY BUILDING 1125 COLONEL BY DRIVE OTTAWA, CANADA	N/A FOR A GLOBAL HEALTH PUBLICATION AND TWO MEETINGS ON GLOBAL HEALTH DIPLOMACY	PUBLIC CHARITY EQUIV	178,290.
CENTER FOR AMERICAN PROGRESS 1333 H STREET NW 10TH FLOOR WASHINGTON, DC 20005	N/A FOR ANALYSES TO SHOW HOW GOVERNMENT COULD ACHIEVE RESULTS AT LOWER COST	501(C)(3) PUBL CHAR	1,605,518.
CENTER FOR CLEAN AIR POLICY 750 FIRST STREET NE SUITE 940 WASHINGTON, DC 20002	N/A TO INCREASE RESILIENCY TO THE IMPACTS OF CLIMATE CHANGE	501(C)(3) PUBL CHAR	250,000.

THE ROCKEFELLER FOUNDATION			13-1659629
CENTER FOR CLIMATE STRATEGIES INC 1899 L STREET NW SUITE 900 WASHINGTON, DC 20036	TO DEVELOP	501(C)(3) PUBL CHAR	37,700.
CENTER FOR ECONOMIC PROGRESS 29 E MADISON STREET SUITE 900 CHICAGO, IL 60602	N/A FOR AN ON-LINE PLATFORM FOR FINANCIAL MANAGEMENT AND ADVICE SERVICES	501(C)(3) PUBL CHAR	50,000.
CENTER FOR NEIGHBORHOOD TECHNOLOGY 2125 WEST NORTH AVENUE CHICAGO, IL 60647	FOR ENCOURAGING THE	501(C)(3) PUBL CHAR	162,500.
CHIANG MAI UNIVERSITY 239 HUAY KAEW ROAD MUANG DISTRICT CHIANG MAI, THAILAND	N/A TO STRENGTHEN COLLABORATION ACROSS VETERINARY HEALTH AND PUBLIC HEALTH	PUBLIC CHARITY EQUIV	50,000.
CHRISTIAN COALITION 8730 NORTHPARK BOULEVARD SUITE 1 D CHARLESTON, SC 29406	N/A TO ADVANCE U.S. CLIMATE CHANGE MITIGATION AND ADAPTATION POLICY	501(C)(3) PUBL CHAR	20,000.
CHULALONGKORN UNIVERSITY 254 PHYATHAI ROAD PATUMWAN BANGKOK, THAILAND	N/A TO ENABLE STUDENTS TO PURSUE MASTER'S DEGREES IN SOUTHEAST ASIAN STUDIES		75,000.
CLEAN AIR COOL PLANET INC 100 MARKET STREET SUITE 204 PORTSMOUTH, NH 03801	N/A TO ENGAGE U.S. POLICYMAKERS ON CLIMATE CHANGE RESILIENCE STRATEGY	501(C)(3) PUBL CHAR	=
COUNCIL ON THE ARTS AND HUMANITIES FOR STATEN ISLAND 1000 RICHMOND TERRACE STATEN ISLAND, NY 10301	N/A TO ENABLE ARTISTS TO SHOWCASE THEIR WORK IN STATEN ISLAND	501(C)(3) PUBL CHAR	
COLUMBIA UNIVERSITY 202 LOW MEMORIAL LIBRARY NEW YORK, NY 10027	N/A TO DEVELOP INTEGRATIVE URBAN PLANNING MODELS IN NAIROBI, KENYA	501(C)(3) PUBL CHAR	

THE ROCKEFELLER FOUNDATION		1	3-1659629
COLUMBIA UNIVERSITY 202 LOW MEMORIAL LIBRARY NEW YORK, NY 10027	N/A TO PROMOTE THE USE OF INFO TECHNOLOGY IN HEALTHCARE IN THE DEVELOPING WORLD		865,600.
COMMON MARKET FOR EASTERN AND SOUTHERN AFRICA SECRETARIAT BEN BELLA ROAD PO BOX 30051 LUSAKA, ZAMBIA	N/A FOR LAND USE CARBON SEQUESTRATION IN KENYA, MALAWI, RWANDA, AND ZAMBIA	FOREIGN GOV'T UNIT	100,000.
COMMONWEALTH SCIENTIFIC AND INDUSTRIAL RES ORG HEAD OFFICE GPO 1600 CANBERRA, AUSTRALIA	TO PROVIDE A COWPEA		142,118.
CONSERVATION INTERNATIONAL FOUNDATION 2011 CRYSTAL DRIVE SUITE 500 ARLINGTON, VA 22202	N/A FOR GUIDANCE MATERIALS ON DESIGNING IMPACTFUL LAND-BASED CARBON PROJECTS	501(C)(3) PUBL CHAR	48,800.
CONSERVATION LAW FOUNDATION INC 62 SUMMER STREET BOSTON, MA 02110-1016	· · · · · · · · · · · · · · · · · · ·	501(C)(3) PUBL CHAR	75,000.
CREATIVE CAPITAL FOUNDATION 65 BLEECKER STREET 7TH FLOOR NEW YORK, NY 10012	N/A TO DEVELOP MODELS OF CAPITAL GENERATION FOR ARTISTS IN NEW YORK	501(C)(3) PUBL CHAR	37,500.
CREATIVE TIME INC 59 E 4TH ST 6E NEW YORK, NY 10003	N/A TO PROVIDE LOCAL ARTISTS WITH RESOURCES TO EXPLORE SPECIFIC ISSUES	501(C)(3) PUBL CHAR	62,500.
CTR CREATIVE INITS IN HEALTH POP NO 2 LANE 49 41 HUYNH THUC KHANG STREET DONG DA HANOI, VIETNAM		FOR ORG - E/R GRANT	70,820.
CTR FOR DEMOCRACY AND DEVELOPMENT - PO BOX 14345 4 KIKUYU CLOSE OFF NAIROBI ST OFF PRAKOU CRESCENT WUSE 11 ABUJA, NIGERIA	TO CONDUCT TREND MONITORING ON ISSUES	FOR ORG - E/R GRANT	25,000.

THE ROCKEFELLER FOUNDATION		-	L3-1659629
CTR FOR HEALTH AND SOCIAL SERVICES PO BOX PMB 52 MINISTRIES ACCRA, GHANA	IN SUPPORT OF ITS	FOR ORG - E/R GRANT	423,000.
CTR ON BUDGET POLICY PRIORITIES 820 FIRST STREET NE SUITE 510 WASHINGTON, DC 20002	N/A GENERAL SUPPORT	501(C)(3) PUBL CHAR	500,000.
DECENTR ENERGY SYS INDIA PRIVATE - 4 2ND FL ABOVE AMANATH COOP BANK 4TH MAIN KHM BL RT NAGAR MAIN RD BANGALORE, INDIA	TO EXPLORE INNOVATIONS IN ELECTRICITY	FOR ORG - E/R GRANT	424,700.
DIGITAL DIVIDE DATA 115 WEST 30TH STREET SUITE 400 NEW YORK, NY 10001		501(C)(3) PUBL CHAR	8,000.
EAST AFRICAN COMMUNITY - AICC BUILDING KILIMANJARO WING 5TH FLOOR PO BOX 1096 ARUSHA, TANZANIA		FOREIGN GOV'T UNIT	300,000.
ECONOMIC POLICY INSTITUTE 1333 H STREET NW SUITE 300 EAST TOWER WASHINGTON, DC 20005	FOR WORK TO IMPROVE	501(C)(3) PUBL CHAR	750,000.
EDUC RES FUND EMPL BEN RES INSTIT 1100 13TH STREET NW SUITE 878 WASHINGTON, DC 20005	N/A TOWARD THE COSTS OF ITS 2009 RETIREMENT CONFIDENCE SURVEY	501(C)(3) PUBL CHAR	7,500.
EDWARD P MAZHANGARA PO BOX 97 CHIREDZI, ZIMBABWE	N/A FOR RENEWAL OF FELLOWSHIP FOR ADVANCED TRAINING IN AGRICULTURAL ECONOMICS	INDIVIDUAL	3,299.
EMERALD CITIES PARTNERSHIP INC PO BOX 35556 WASHINGTON, DC 20033	N/A FOR ASSISTANCE TO 10 U.S. CITIES IN IMPLEMENTING BUILDING RETROFIT PLANS	E/R GRANT	200,000.

THE ROCKEFELLER FOUNDATION			13-1659629
ENVIR LAW POL CTR OF THE MIDWEST 35 E WACKER STREET SUITE 1300 CHICAGO, IL 60601	N/A TO ADVANCE SUSTAINABLE TRANSPORTATION AND LAND USE POLICIES IN THE U.S.	501(C)(3) PUBL CHAR	75,000.
ENVIRON PROTECTION MGMT SERVICES PO BOX 7775 DAR ES SALAAM, TANZANIA	•	FOR ORG - E/R GRANT	7,200.
ENVIRONMENTAL GRANTMAKERS ASSOC 55 EXCHANGE PL SUITE 405 NEW YORK, NY 10005	N/A FOR RF CONTRIBUTIONS TO SERVICE ORGANIZATIONS IN THE PHILANTHROPIC SECTOR	PUBLIC CHARITY	1,330.
ETC FOUNDATION KASTANJELAAN 5 PO BOX 64 LEUSDEN, NETHERLANDS	N/A TO ENABLE INNOVATIONS IN AGRICULTURE AND NATURAL RESOURCE MANAGEMENT		500,000.
FINANCIAL SECTOR DEEPENING TRUST KENYA - 4TH FLOOR KENYA RE TOWERS OFF RAGATI ROAD UPPER HILL PO BOX 11353 NAIROBI, KENYA		PUBLIC CHARITY EQUIV	25,000.
FOOD AGRICULTURE NATURAL RESOURCES POLICY ANALYSIS NETWORK - 141 CRESSWELL ROAD WEAVIND PARK 0184 PRIVATE BAG X813 SILVERTON 0127 PRETORIA, SOU	ADAPTATION IN	PUBLIC CHARITY EQUIV	80,000.
FORO NACIONAL INTERNACIONAL AV MANUEL OLGUIN 533 OFICINA 403 SANTIAGO DE SURCO LIMA, PERU	N/A TO CONDUCT TREND MONITORING ON ISSUES OF POVERTY AND HUMAN DEVELOPMENT	FOR ORG - E/R GRANT	28,800.
FORUM FOR AFR WOMEN EDUCATIONALISTS FAWE HOUSE CHANIA AVENUE OFF WOOD AVE PO BOX 21394 00505			50,300.
FRONT SEAT MANAGEMENT LLC 3503 NE 45TH STREET SUITE 2W SEATTLE, WA 98105	N/A FOR A WEB-BASED TOOL THAT MEASURES THE WALKABILITY OF COMMUNITIES		50,000.

THE ROCKEFELLER FOUNDATION			13-1659629
FUNDERS NETWORK FOR SMART GROWTH AND LIVABLE COMMUNITIES 1500 SAN REMO AVENUE SUITE 249 CORAL GABLES, FL 33146	N/A TO IMPROVE TRANSPORTATION POLICIES AND PRACTICES IN THE U.S	501(C)(3) PUBL CHAR	27,000.
FUNDERS NETWORK FOR SMART GROWTH AND LIVABLE COMMUNITIES 1500 SAN REMO AVENUE SUITE 249 CORAL GABLES, FL 33146	N/A TOWARD THE COSTS OF A PROJECT TO INCREASE PHILANTHROPIC ENGAGEMENT	501(C)(3) PUBL CHAR	100,000.
GAMALIEL FOUNDATION 203 NORTH WABASH SUITE 808 CHICAGO, IL 60601	N/A TO ENCOURAGE EQUITY, JOB CREATION FOR LOW- AND MIDDLE-INCOME AMERICANS	501(C)(3) PUBL CHAR	175,000.
GLOBALGIVING FOUNDATION INC 1023 15TH STREET NW 12TH FLOOR WASHINGTON, DC 20005	N/A TO FIND TECHNOLOGY SOLUTIONS THAT MAY IMPROVE THE LIVES OF THE POOR	501(C)(3) PUBL CHAR	145,000.
GRAD INSTIT OF INTL DEV STUDIES 132 RUE DE LAUSANNE PO BOX 136 1211 GENEVA, SWITZERLAND	N/A TO BUILD CAPACITY IN HEALTH DIPLOMACY IN EAST AFRICA AND SOUTHEAST ASIA		340,000.
GSMA FOUNDATION INC 1000 ABERNATHY ROAD ATLANTA, GA 30328	N/A FOR AN INFORMATIONAL FARMER HELPLINE IN KENYA	501(C)(3) PUBL CHAR	149,100.
GUANGXI CENTER FOR DISEASE PREVENTION AND CONTROL 18 JINZHOU ROAD NANNING GUANGXI, CHINA	N/A TO BUILD CAPACITY IN DISEASE SURVEILLANCE AND RESPONSE	FOREIGN GOV'T UNIT	175,100.
HEALTH LEVEL SEVEN INC 3300 WASHTENAW AVENUE SUITE 227 ANN ARBOR, MI 48104	N/A FOR A CONFERENCE ON HEALTH TECHNOLOGY TO SUPPORT HEALTH IN THE GLOBAL SOUTH	E/R GRANT	73,000.
HOME FOR CONTEMPORARY THEATRE ART 145 AVENUE OF THE AMERICAS GROUND FLOOR NEW YORK, NY	N/A FOR A MULTIMEDIA SERIES ON NYC PERFORMING ARTISTS' ISSUES	501(C)(3) PUBL CHAR	62,500.

THE ROCKEFELLER FOUNDATION			13-1659629
HOPE GLOBAL CONSULTING LLC 378A SAN JOSE AVENUE SAN FRANCISCO, CA 94110	TO GIVE SOUND INVESTOR		20,000.
IGAD CLIMATE PREDICTION APP CTR PO BOX 10304 00100 GPO NAIROBI, KENYA			15,560.
INDEPTH NETWORK 11 MENSAH WOOD ROAD EAST LEGON PO BOX KD 213 KANDA ACCRA, GHANA	•		200,000.
INST DE INVEST AGR DE MOCAMBIQUE C POSTAL 3658 AV DAS FPLM NO 2698 MALAVANE 8 MAPUTO,	N/A TO BREED DISEASE-RESISTANT CASSAVA IN MOZAMBIQUE	FOREIGN GOV'T UNIT	
INSTITUTE FOR SUSTAINABLE COMMUNITIES 535 STONE CUTTERS WAY MONTPELIER, VT 05602	TO INFORM THE EFFORTS	(- , (- ,	11,000.
INSTITUTE FOR SUSTAINABLE COMMUNITIES 535 STONE CUTTERS WAY MONTPELIER, VT 05602	TO TRAIN U.S.		75,000.
7811 MONTROSE ROAD SUITE 505	TO ADVANCE THE CASE	501(C)(3) PUBL CHAR	175,000.
INSTITUTE FOR URBAN DESIGN INC 17 WEST 17TH STREET NEW YORK, NY 10011-5510	N/A FOR A COMPETITION TO DESIGN AN OPEN-AIR FESTIVAL IN THE SOUTH BRONX	501(C)(3) PUBL CHAR	37,500.
INSTITUTE FOR WOMENS POLICY RESEARCH 1707 L STREET NW SUITE 750 WASHINGTON, DC 20036	N/A IN SUPPORT OF RESEARCH, ANALYSIS, AND OUTREACH ON SOCIAL SECURITY REFORM	501(C)(3) PUBL CHAR	130,000.

THE ROCKEFELLER FOUNDATION			13-1659629
809 UNITED NATIONS PLAZA NEW	N/A FOR AN EVALUATION OF THE PARTNERSHIP FOR HIGHER EDUCATION IN AFRICA		20,556.
809 UNITED NATIONS PLAZA NEW	N/A TO ADMINISTER CREATIVE AND SCHOLARLY PROGRAMS AT THE RF BELLAGIO CENTER		150,000.
INSTITUTE FOR POLICY ALTERNATIVES PO BOX 16208 KIA ACCRA, GHANA	TO ASSIST ORGS IN		164,600.
INSTITUTE OF ECONOMIC AFFAIRS - 5TH FLOOR ACK GARDEN HOUSE 1ST NGONG AVENUE PO BOX 53989 0200 NAIROBI, KENYA	N/A TO STUDY KENYA'S YOUTH POPULATION BULGE AND ITS FUTURE IMPACT		84,300.
INTERNATIONAL FEDERATION OF RED CROSS AND RED CRESCENT SOCIETIES 17 CHEMIN DES CRETS PETIT SACONNEX GENEVA, SWITZERLAND	N/A TO BUILD CONSENSUS FOR DEVELOPING CLIMATE CHANGE RESILIENCE	- 011 0110	400,000.
INTELLECAP INC 262 VENTURA AVENUE PALO ALTO, CA 94306	N/A FOR TREND MONITORING ON ISSUES RELEVANT TO POVERTY AND HUMAN DEVELOPMENT		27,000.
INTERNATIONAL AIDS SOCIETY 71 AVENUE LOUIS CASAL CH 1216 COINTRIN GENEVA, SWITZERLAND		FOR ORG - E/R GRANT	75,000.
INTERNATIONAL FINANCE CORPORATION 2121 PENNSYLVANIA AVENUE NW WASHINGTON, DC 20433	N/A TO INCREASE ACCESS TO HEALTH SYSTEMS TO FIGHT ILLNESS IN SUB-SAHARAN AFRICA	INTL ORG BY EXEC ORD	500,000.
INTL SOC FOR TELEMEDICINE EHEALTH KLINIK D UNIVREGENSBURG ABTEILUNGFURUNFALLCHIRURGIE	N/A TOWARD THE COSTS OF PLANNING FOR A GLOBAL EHEALTH CONVENTION	FOR ORG - E/R GRANT	170,000.

THE ROCKEFELLER FOUNDATION		1	13-1659629
INTL WATER MANAGEMENT INSTITUTE PO BOX 2075 COLOMBO, SRI LANKA	· · · · · · · · · · · · · · · · · · ·	501(C)(3)	
JEMBI HEALTH SYSTEMS - 3 WINCHESTER LN SILVERTREE ESTATE GREEN BLDG WESTLAKE BUSINESS PARK CAPE TOWN, SOUTH AFRICA	FOR THE IMPLEMENTATION	FOR ORG - E/R GRANT	149,700.
JOYCE THEATER FOUNDATION INC 175 EIGHTH AVENUE NEW YORK, NY 10011	N/A TO DEVELOP NEW, COLLABORATIVE THEATRICAL WORKS	501(C)(3) PUBL CHAR	50,000.
KENYA AGRICULTURAL RESEARCH INSTIT KAPTAGAT ROAD LORESHO PO BOX 57811 00200 CITY SQUARE	FOR CLIMATE CHANGE RESILIENCE MEASURES IN	FOREIGN GOV'T UNIT	120,400.
KENYA EDUCATION NETWORK TRUST - UNIV OF NAIROBI 1ST FL JOMO KENYATTA MEM LIBRARY PO BOX 30244 00100 NAIROBI, KENYA	N/A TO HELP INSTITUTIONS IN AFRICA EFFECTIVELY USE INFORMATION TECHNOLOGY	FOR ORG - E/R GRANT	300,000.
KENYA INFORMATION COMM TECH BOARD PO BOX 27150 NAIROBI, KENYA	N/A TO IMPROVE GOVERNMENT	FOREIGN GOV'T UNIT	49,300.
KENYA INST FOR PUBLIC POLICY RESEARCH ANALYSIS BISHOPS GARDEN TOWERS BISHOPS ROAD PO BOX 56445 00200	N/A TO BUILD A SET OF RECOMMENDATIONS FOR THE KENYA NATIONAL ROAD SAFETY COUNCIL	FOREIGN GOV'T UNIT	
KENYA INST FOR PUBLIC POLICY RESEARCH ANALYSIS BISHOPS GARDEN TOWERS BISHOPS ROAD PO BOX 56445 00200	N/A TO ADVANCE SUSTAINABLE URBAN DEVELOPMENT IN NAIROBI, KENYA	FOREIGN GOV'T UNIT	46,100.
KENYA RED CROSS SOCIETY PO BOX 40712 00100 NAIROBI, KENYA	N/A TO CENTRALIZE AND COORDINATE EMERGENCY RESPONSE SERVICES IN KENYA	PUBLIC CHARITY EQUIV	300,000.

THE ROCKEFELLER FOUNDATION		1	L3-1659629
KIGALI INSTITUTE OF SCIENCE AND TECHNOLOGY AVENUE DE L ARMEE BP 3900 KIGALI, RWANDA	N/A FOR A MODEL RESEARCH CENTER FOR HEALTH INFORMATICS TRAINING IN RWANDA	PUBLIC CHARITY EQUIV	350,000.
KOFI SETORDJI PO BOX 12404 ACCRA, GHANA	N/A FOR A RESIDENCY AT THE BELLAGIO CENTER TO ENHANCE VISUAL ARTIST® WORK	INDIVIDUAL	2,700.
LEGAL RESOURCES TRUST PO BOX 157 WITS 2050 JOHANNESBURG, SOUTH AFRICA	N/A FOR INNOVATIONS IN PUBLIC INTEREST LAW TO BENEFIT POOR CLIENT COMMUNITIES	PUBLIC CHARITY EQUIV	140,000.
LIVABLE STREET TRANSPORTATION ALLIANCE OF BOSTON 70 PACIFIC STREET CAMBRIDGE, MA 02139	TO MAKE PUBLIC	501(C)(3) PUBL CHAR	30,000.
LONDON SCHOOL OF HYGIENE AND TROPICAL MEDICINE UNIVERSITY OF LONDON - KEPPEL STREET LONDON, UNITED KINGDOM	N/A TO MITIGATE THE CONSEQUENCES OF PANDEMIC INFLUENZA	PUBLIC CHARITY EQUIV	186,886.
LONDON SCHOOL OF HYGIENE AND TROPICAL MEDICINE UNIVERSITY OF LONDON - KEPPEL STREET LONDON, UNITED KINGDOM	N/A TO IMPROVE AFFORDABLE HEALTH SYSTEMS FOR POOR POPULATIONS	PUBLIC CHARITY EQUIV	400,000.
MAHIDOL UNIVERSITY 999 PHUTTAMONTHON 4 ROAD SALAYA NAKHON PATHOM, THAILAND	N/A TO DEVELOP A CENTER AND A DEGREE PROGRAM ON HEALTH INFORMATICS	PUBLIC CHARITY EQUIV	100,000.
MAHIDOL UNIVERSITY 999 PHUTTAMONTHON 4 ROAD SALAYA NAKHON PATHOM, THAILAND	N/A FOR A CONFERENCE TO SHARE PRACTICES ON HEALTH SYSTEMS	PUBLIC CHARITY EQUIV	314,000.

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MAKERERE UNIVERSITY

PO BOX 7062 KAMPALA, UGANDA

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THE ROCKEFELLER FOUNDATION			13-1659629
MAKERERE UNIVERSITY P O BOX 7062 KAMPALA, UGANDA	The state of the s		43,000.
MAKERERE UNIVERSITY PO BOX 7062 KAMPALA, UGANDA			198,700.
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE ROOM 3 208 CAMBRIDGE, MA 02139-4307	FOR A GRADUATE CURRICULUM IN URBAN	501(C)(3) PUBL CHAR	
MBARARA UNIVERSITY OF SCIENCE AND TECHNOLOGY PO BOX 1410 MBARARA, UGANDA	TO STUDY THE IMPACT OF		14,550.
MCKINSEY COMPANY UNITED STATES 55 EAST 52ND STREET NEW YORK, NY 10022			100,000.
MEDICAL EDUCATION COOPERATION WITH CUBA MEDICC 1814 FRANKLIN STREET SUITE 500 OAKLAND, CA 94612	TO ENABLE INNOVATION-SHARING TO	501(C)(3) PUBL CHAR	
MERCY CORPS 45 SW ANKENY ST PORTLAND, OR 97204	N/A TO ASSESS PARTICIPANTS IN THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK	501(C)(3) PUBL CHAR	
MERIDIAN INSTITUTE 105 VILLAGE PL PO BOX 1829 DILLON, CO 80435	N/A FOR AGRICULTURAL DEVELOPMENT AND POVERTY REDUCTION	501(C)(3) PUBL CHAR	
MICHIGAN STATE UNIVERSITY 450 ADMINISTRATION BUILDING EAST LANSING, MI 48824-1046	N/A TO TEST DIFFERENT METHODS OF BUILDING CLIMATE RESILIENCE IN EAST AFRICA	501(C)(3) PUBL CHAR	

THE ROCKEFELLER FOUNDATION		1	3-1659629
MINISTRY OF HEALTH CAMBODIA NO 151 153 KAMPUCHEA KROM BLVD PHNOM PENH, CAMBODIA	The state of the s	- 011-1011	80,000.
MINISTRY OF HEALTH CAMBODIA NO 151 153 KAMPUCHEA KROM BLVD PHNOM PENH, CAMBODIA			169,000.
MINISTRY OF HEALTH LAO PDR KM 3 THADEUA ROAD VIENTIANE, LAOS			158,800.
MINISTRY OF HEALTH RWANDA PO BOX 84 KIGALI, RWANDA	N/A TO HELP LAUNCH THE E-HEALTH INFORMATION SYSTEM IN RWANDA	FOREIGN GOV'T UNIT	765,000.
MINISTRY OF HEALTH VIETNAM 138A GIANG VO STR BA DINH HANOI, VIETNAM			148,500.
MINISTRY OF HEALTH VIETNAM 138A GIANG VO STREET BA DINH DISTRICT HANOI, VIETNAM	TO STUDY THE	FOREIGN GOV'T UNIT	440,000.
MINISTRY OF PUBLIC HEALTH THAILAND TIWANOND ROAD NONTHABURI, THAILAND	N/A TO BUILD CAPACITY FOR A DISEASE SURVEILLANCE NETWORK IN THE MEKONG BASIN	FOREIGN GOV'T UNIT	168,700.
MINISTRY OF PUBLIC HEALTH THAILAND TIWANOND ROAD NONTHABURI, THAILAND	N/A TO STRENGTHEN COORDINATION OF A DISEASE SURVEILLANCE NETWORK	FOREIGN GOV'T UNIT	187,725.
MISNOMER INC 588 10TH STREET SUITE 4 BROOKLYN, NY 11215	N/A TOWARD THE COSTS OF THE DEVELOPMENT OF AN "AUDIENCE ENGAGEMENT PLATFORM"	E/R GRANT	37,500.

THE ROCKEFELLER FOUNDATION		1	3-1659629
MONA HATOUM OLDENBURGER STRASSE 3 B BERLIN, GERMANY	N/A FOR A RESIDENCY AT THE BELLAGIO CENTER TO ENHANCE VISUAL ARTIST® WORK	INDIVIDUAL	2,700.
MONITOR COMPANY GROUP LP TWO CANAL PARK CAMBRIDGE, MA 02141			74,200.
MUNICIPAL ART SOCIETY OF NEW YORK 457 MADISON AVENUE NEW YORK, NY 10022	TO HONOR	501(C)(3) PUBL CHAR	30,000.
NAACP LEGAL DEFENSE AND EDUC FUND 99 HUDSON STREET 16TH FLOOR NEW YORK, NY 10013-2897	TO ENSURE THAT	501(C)(3) PUBL CHAR	50,000.
444 NORTH CAPITOL STREET NW	TO ASSIST U.S. STATE	501(C)(3) PUBL CHAR	75,000.
		FOREIGN GOV'T UNIT	23,500.
NATIONAL ACADEMY OF SOCIAL SCIENCES PO BOX 7195 23 SINGHA STREET XAISETTHA DISTRICT VIENTIANE,	N/A TO CREATE AN ELECTRONIC ETHNOGRAPHIC DATABANK FOR LAO PDR	FOREIGN GOV'T UNIT	60,680.
NATIONAL AGRICULTURAL RESEARCH ORG PLOT 3 LUGARD AVENUE PO BOX 295 ENTEBBE, UGANDA	N/A FOR CLIMATE CHANGE ADAPTATION RESEARCH IN UGANDA AND EASTERN AFRICA	FOREIGN GOV'T UNIT	129,900.
NATIONAL AGRICULTURAL RESEARCH ORG PLOT 3 LUGARD AVENUE PO BOX 295 ENTEBBE, UGANDA	N/A TO STUDY TRANSGENIC, PEST-RESISTANT EAST AFRICAN HIGHLAND	FOREIGN GOV'T UNIT	134,280.

BANANAS

THE ROCKEFELLER FOUNDATION		:	13-1659629
NATIONAL COUNCIL OF LA RAZA RAUL YZAGUIRRE BUILDING 1126 16TH STREET NW WASHINGTON, DC 20036		\ - / \ - /	257,900.
NATIONAL UNIVERSITY OF SINGAPORE 21 LOWER KENT RIDGE ROAD SINGAPORE, SINGAPORE	N/A TO STUDY POVERTY AND HUMAN DEVELOPMENT ISSUES IN SOUTHEAST ASIA	PUBLIC CHARITY EQUIV	100,400.
NATIONAL WOMENS LAW CENTER 11 DUPONT CIRCLE NW SUITE 800 WASHINGTON, DC 20036-1209	N/A TO ANALYZE AND MAKE KNOWN THE IMPACTS OF FISCAL POLICY REFORMS ON THE POOR		50,000.
NATIONAL ACADEMY OF SOCIAL INSURANCE 1776 MASSACHUSETTS AVENUE NW SUITE 615 WASHINGTON, DC 20036	N/A TO PROMOTE IMPROVEMENTS TO SOCIAL SECURITY BENEFITS	501(C)(3) PUBL CHAR	250,000.
NATIONAL EMPLOYMENT LAW PROJECT INC 75 MAIDEN LN SUITE 601 NEW YORK, NY 10038	N/A TO CONTINUE AND EXPAND ITS "UNEMPLOYMENT INSURANCE SAFETY NET PROJECT"	501(C)(3) PUBL CHAR	200,000.
NATIONAL PARTNERSHIP FOR WOMEN AND FAMILIES 1875 CONNECTICUT AVENUE SUITE 650 WASHINGTON, DC 20009	N/A TO INCREASE WOMEN AND FAMILIES' ECONOMIC SECURITY	501(C)(3) PUBL CHAR	25,000.
NATURAL RESOURCES DEFENSE COUNCIL 40 WEST 20TH STREET NEW YORK, NY 10011	N/A TO ENCOURAGE RAPID SCALE-UP OF INVESTMENT IN ENERGY EFFICIENCY	501(C)(3) PUBL CHAR	50,000.
NATURAL RESOURCES DEFENSE COUNCIL 40 WEST 20TH STREET NEW YORK, NY 10011	N/A FOR A RANGE OF EFFORTS TO BROADEN NATIONAL TRANSPORTATION REFORM	501(C)(3) PUBL CHAR	225,000.
NATURE CONSERVATION RES CTR GHANA PO BOX KN 925 ACCRA, GHANA	N/A TO INCREASE ACCESS TO CARBON FINANCE	FOR ORG - E/R GRANT	49,900.

THE ROCKEFELLER FOUNDATION			13-1659629
NETHOPE INC PO BOX 6704 MCLEAN, VA 22106-6704	N/A TO DEVELOP NGO ACTION PLANS FOR THE USE OF TECHNOLOGY IN THE FIELD	501(C)(3) PUBL CHAR	38,000.
NETHOPE INC PO BOX 6704 MCLEAN, VA 22106-6704	N/A TO BUILD THE CAPACITY OF GLOBAL INTERNATIONAL DEVELOPMENT	501(C)(3) PUBL CHAR	102,500.
NEW ORLEANS REDEVELOPMENT AUTHORITY 1340 POYDRAS STREET SUITE 600 NEW ORLEANS, LA 70112	N/A TO ACQUIRE BLIGHTED PROPERTIES FOR REDEVELOPMENT IN NEW ORLEANS	US GOV'T UNIT	100,000.
NEW YORK ACADEMY OF SCIENCES - 250 GREENWICH ST 40TH FLOOR 7 WORLD TRADE CENTER NEW YORK, NY 10007	TO IDENTIFY WAYS TO	501(C)(3) PUBL CHAR	50,000.
NEW YORK CITY BALLET INC DAVID H KOCH THEATER 20 LINCOLN CENTER PLZ NEW YORK, NY 10023	N/A FOR SEVEN NEW BALLETS AND FOUR NEW MUSICAL SCORES BY INTERNATIONAL ARTISTS	501(C)(3) PUBL CHAR	50,000.
NEW YORK UNIVERSITY 70 WASHINGTON SQUARE SOUTH 12TH FLOOR NEW YORK, NY 10012	N/A TO IMPLEMENT PROJECTS IN AFRICAN UNIVERSITIES	501(C)(3) PUBL CHAR	250,000.
NIGERIA ICT FORUM OF PARTNERSHIP INSTITUTIONS - 25 LIBREVILLE ST OFF AMINU KANO CRESCENT WUSE II PMB 498 GARKI GPO ABUJA, NIGERIA	ACCESS FOR AFRICAN	FOR ORG - E/R GRANT	200,000.
NONPROFIT FINANCE FUND 70 WEST 36TH STREET 11TH FLOOR NEW YORK, NY 10018	N/A TO HELP NONPROFITS ATTRACT CAPITAL TO MAKE SUSTAINABLE IMPACT	501(C)(3) PUBL CHAR	100,000.
NORTHEAST MIDWEST INSTITUTE 50 F STREET NW SUITE 950 WASHINGTON, DC 20001	N/A TO INFORM POLICYMAKERS ON TRANSPORTATION CHALLENGES FACING U.S. CITIES	501(C)(3) PUBL CHAR	150,000.

THE ROCKEFELLER FOUNDATION			13-1659629
NOVISCAPE CONSULTING GROUP CO LTD - 231 2 BANGKOK CODE BLD UNIT 4 BLDG B S SATHORN ROAD YANNAWA SATHORN BANGKOK, THAILAND	AND RESEARCH ON ISSUES		28,450.
OVE ARUP PARTNERS INTERNATIONAL LTD 13 FITZROY STREET W1T 4BQ LONDON, UNITED KINGDOM	FOR ASSISTANCE TO	FOR ORG - E/R GRANT	124,900.
OXFAM AMERICA INC 226 CAUSEWAY STREET 5TH FLOOR BOSTON, MA 02114-2206	N/A TO REDUCE POVERTY VIA POLICY CHANGE IN AID, AGRICULTURE AND CLIMATE CHANGE		107,500.
OXFAM AMERICA INC 226 CAUSEWAY STREET 5TH FLOOR BOSTON, MA 02114-2206	N/A TO BUILD ETHIOPIAN FARMERS' RESILIENCE TO CLIMATE CHANGE		168,300.
PAMOJA TRUST PO BOX 10269 00100 NAIROBI, KENYA		PUBLIC CHARITY EQUIV	50,000.
PAMOJA TRUST PO BOX 10269 00100 NAIROBI, KENYA	N/A TO GIVE A VOICE TO POOR URBAN KENYANS IN THE DEVELOPMENT OF THE SLUM AREAS	CHARITY	212,000.
PATRICIA PATRIZI 241 S 6TH STREET 1005 PHILADELPHIA, PA 19106	N/A TO STUDY ROLE OF PHILANTHROPY IN THE RECOVERY PROCESS IN NEW ORLEANS	INDIVIDUAL	35,200.
PERFORMANCE ZONE INC 161 6TH AVENUE 14TH FLOOR NEW YORK, NY 10013	N/A TO LAUNCH AN ENTREPRENEURIAL PROFESSIONAL DEVELOPMENT LAB FOR	501(C)(3) PUBL CHAR	25,000.
PHILANTHROPY NEW YORK INC 79 FIFTH AVENUE FOURTH FLOOR NEW YORK, NY 10003-3076	N/A FOR AN EFFORT TO STRENGTHEN THE SECTOR'S EFFECTIVENESS THROUGH DIVERSITY	501(C)(3) PUBL CHAR	50,000.

THE ROCKEFELLER FOUNDATION			13-1659629
POLICYLINK 1438 WEBSTER STREET SUITE 303 OAKLAND, CA 94612	N/A TO ADVANCE EQUITY IN THE FEDERAL TRANSPORTATION POLICY DEBATE	501(C)(3) PUBL CHAR	300,000.
POLYTECHNIC INSTITUTE OF NYU 6 METROTECH CENTER BROOKLYN, NY 11201	N/A FOR A WEBSITE TO ENABLE URBAN ART AND INFRASTRUCTURE PLANNING IN NYC	501(C)(3) PUBL CHAR	37,500.
PORTLAND PR LIMITED 1 RED LION COURT LONDON, UNITED KINGDOM	N/A TO PROVIDE COMMUNICATIONS SUPPORT TO FOUR AFRICAN NONPROFIT	FOR ORG - E/R GRANT	5,000.
PORTLAND PR LIMITED 1 RED LION COURT LONDON, UNITED KINGDOM	N/A TO PROVIDE FOUR AFRICAN NON-PROFIT ORGANIZATIONS WITH COMMUNICATIONS SUPPORT	FOR ORG - E/R GRANT	12,880.
MASSACHUSETTS HALL CAMBRIDGE,			456,100.
PROGRAM FOR APPROPRIATE TECHNOLOGY IN HEALTH 2201 WESTLAKE AVE SUITE 200 SEATTLE, WA 98121	N/A FOR A HEALTH SUPPLY CHAIN INFORMATION SYSTEM TO BENEFIT THE GLOBAL SOUTH	501(C)(3) PUBL CHAR	800,800.
PROJECT ENTERPRISE 144 W 125TH STREET 4TH FLOOR NEW YORK, NY 10027	N/A TO PILOT A BUSINESS TRAINING AND PEER LENDING PROGRAM FOR ARTISTS IN NYC	501(C)(3) PUBL CHAR	
PROJECT HOPE 255 CARTER HALL LANE MILLWOOD, VA 22646	N/A TO STUDY E-HEALTH IN IMPROVING HEALTH OUTCOMES IN THE DEVELOPING WORLD	501(C)(3) PUBL CHAR	
QUEENS COUNCIL ON THE ARTS INC ONE FOREST PARK AT OAK RIDGE WOODHAVEN, NY 11421	N/A FOR AN INTERACTIVE CELL PHONE ART AND CULTURAL TOUR OF QUEENS	501(C)(3) PUBL CHAR	

THE ROCKEFELLER FOUNDATION			13-1659629
RAINFOREST ALLIANCE INC 665 BROADWAY SUITE 500 NEW YORK, NY 10012	The state of the s		75,000.
RAND CORPORATION 1776 MAIN STREET SANTA MONICA, CA 90407	N/A TO STUDY POVERTY AND HUMAN DEVELOPMENT ISSUES IN THE U.S.		98,300.
RAND CORPORATION 1776 MAIN STREET PO BOX 2138 SANTA MONICA, CA 90401-2138	N/A FOR THE MEKONG BASIN DISEASE SURVEILLANCE NETWORK'S BATTLE WITH DISEASE	501(C)(3) PUBL CHAR	265,235.
RECONNECTING AMERICA INC 436 14TH STREET SUITE 1005 OAKLAND, CA 94612		501(C)(3) PUBL CHAR	50,000.
RECTOR AND VISITORS OF THE U OF VA PO BOX 400224 CHARLOTTESVILLE, VA 22904	FOR A LIVE DISCUSSION	501(C)(3) PUBL CHAR	35,000.
RED CROSS AND RED CRESCENT CENTER ON CLIMATE CHANGE PO BOX 28120 NL 2502 KC THE HAGUE, NETHERLANDS	TO DEVELOP CLIMATE		300,000.
REGIONAL UNIVERSITIES FORUM FOR CAPACITY BUILDING IN AGRICULTURE - PLOT 151 GARDEN HILL MAKERERE UNIVERSITY MAIN CAMPUS PO BOX 7062 KAMPALA, UGANDA	TO STRENGTHEN THE RESILIENCE OF AFRICAN		320,000.
RESOURCE ALLIANCE LIMITED - 5TH FLOOR DEVELOPMENT HOUSE 56 64 LEONARD STREET LONDON, UNITED KINGDOM			200,000.
1875 CONNECTICUT AVENUE NW SUITE	TO IMPROVE HEALTH	501(C)(3) PUBL CHAR	1,500,000.

THE ROCKEFELLER FOUNDATION			13-1659629
RF MATCHING GIFTS C/O 420 FIFTH AVE NEW YORK, NY 10018		PUBLIC CHARITY	407,298.
RINGSIDE INC 51 NORTH 1ST ST BROOKLYN, NY 11211	N/A TO INTEGRATE OTHER DISCIPLINES WITH DANCE TO FORGE NEW CREATIVE COMMUNITIES		25,000.
ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET 10TH FLOOR NEW YORK, NY 10036	N/A TO PROVIDE GUIDANCE TO FOUNDATIONS AND OTHER DONORS ON IMPACT INVESTING		
ROCKHOPPER PRODUCTIONS LIMITED THE PALL MALL DEPOSIT BARLBY ROAD LONDON, UNITED KINGDOM	TO CREATE A	FOR ORG - E/R GRANT	298,775.
RUTGERS UNIVERSITY 83 SOMERSET STREET NEW BRUNSWICK, NJ 08901	N/A TO ASSESS INVESTMENT IN DROUGHT TOLERANT RICE RESEARCH AND TECHNOLOGY	501(C)(3) PUBL CHAR	124,334.
SOUTH AFRICAN INSTITUTE FOR DISTANCE EDUCATION PO BOX 31822 BRAAMFONTEIN, SOUTH AFRICA	N/A TO STUDY THE USE OF INFORMATION TECHNOLOGY IN AFRICAN HIGHER EDUCATION	PUBLIC CHARITY EQUIV	400,000.
SA NODE OF THE MILLENNIUM PROJECT PO BOX 1666 GALLO MANOR 2052 JOHANNESBURG, SOUTH AFRICA	N/A TO CONDUCT TREND MONITORING ON ISSUES OF POVERTY AND HUMAN DEVELOPMENT	FOR ORG - E/R GRANT	100,000.
SAMASOURCE INC 972 MISSION STREET 5TH FLOOR SAN FRANCISCO, CA 94103	N/A FOR JOB OPPORTUNITIES FOR KENYAN REFUGEES	501(C)(3) PUBL CHAR	
SOUTHEAST ASIAN MINISTERS OF EDUCATION ORG TROPMED CENTRAL OFFICE 420 6 RAJVITHI ROAD BANGKOK, THAILAND		FOR ORG - E/R GRANT	

THE ROCKEFELLER FOUNDATION			13-1659629
SOUTHEAST ASIAN MINISTERS OF EDUCATION ORG TROPMED CENTRAL OFFICE 420 6 RAJVITHI ROAD BANGKOK, THAILAND	FOR AN EVALUATION OF RF'S DISEASE		54,380.
	TO EDUCATE U.S. PUBLIC		200,000.
231 EAST 88TH STREET APT 5 E NEW YORK, NY 10128	N/A FOR A RESIDENCY AT THE BELLAGIO CENTER TO ENHANCE VISUAL ARTIST® WORK	INDIVIDUAI	2,700.
1707 L STREET NW SUITE 1050 WASHINGTON, DC 20036	N/A TO RAISE PUBLIC TRANSPORTATION FUNDS AND PURSUE NEW TRANSPORTATION		175,000.
SMART GROWTH AMERICA 1707 L STREET NW SUITE 1050 WASHINGTON, DC 20036	N/A TO IMPROVE THE LIVES OF POOR PEOPLE VIA TRANSPORTATION POLICY	501(C)(3) PUBL CHAR	250,000.
SMITHSONIAN INSTITUTION 1000 JEFFERSON DRIVE SW ROOM 205 MRC 016 WASHINGTON, DC 20560	FOR AN EXHIBITION OF		60,000.
42 TUGHLAKABAD INSTITUTIONAL	TO MAKE POSITIVE		147,400.
SOCIETY FOR PROMOTION OF AREA RESOURCE CENTERS - 2NDFL MARATHI MUNICIPAL SCHOOL BLD 1ST LN KHETWADI NEAR ALANKAR CINEMA GIRGAON, INDIA	TO DEVELOP STRATEGIES		198,300.
SOCIAL STOCK EXCHANGE LIMITED 38 SANTLEY HOUSE FRAZIER STREET LONDON, UNITED KINGDOM	N/A TO TEST THE POTENTIAL OF A UK-BASED STOCK EXCHANGE FOR SOCIAL ENTERPRISES	FOR ORG - E/R GRANT	32,800.

THE ROCKEFELLER FOUNDATION			13-1659629
SOCIETY FOR INTERNATIONAL DEVELOPMENT 207 VIA PANISPERNA ROME, ITALY	TO STUDY POVERTY AND		100,200.
SOKOINE UNIVERSITY OF AGRICULTURE PO BOX 3000 CHUO KIKUU MOROGORO, TANZANIA	N/A TO MAP HEALTH SYSTEM RESOURCES IN THEIR RESPONSE TO PANDEMIC INFLUENZA	PUBLIC CHARITY EQUIV	199,500.
SOKOINE UNIVERSITY OF AGRICULTURE PO BOX 3000 CHUO KIKUU MOROGORO, TANZANIA	TO ASSESS THE IMPACT	PUBLIC CHARITY EQUIV	418,660.
	TO STUDY THE IMPACT OF	INDIVIDUAL	6,000.
201 WEST MAIN STREET SUITE 14	TO ADVANCE SUSTAINABLE	501(C)(3) PUBL CHAR	100,000.
STRATEGIC FORESIGHT GROUP C 306 MONTANA LOKHANDWALA COMPLEX ANDHERI WEST MUMBAI, INDIA	FOR TREND MONITORING	FOR ORG - E/R GRANT	25,000.
STRATEGIES FOR THE GLOBAL ENVIR 2010 WILSON BOULEVARD SUITE 550 ARLINGTON, VA 22201	N/A TO SUPPORT CLIMATE CHANGE RESILIENCE MEASURES IN THE U.S.	501(C)(3) PUBL CHAR	55,000.
STRATHMORE UNIVERSITY - MADARAKA ESTATE OLE SANGALE ROAD PO BOX 59857 00200 CITY SQUARE NAIROBI, KENYA	N/A FOR A CAPACITY ASSESSMENT OF CIVIL SOCIETY ORGANIZATIONS NAIROBI, KENYA	PUBLIC CHARITY EQUIV	10,400.
SUNNIGHT SOLAR ENTERPRISES LLC 1722 BISSONNET HOUSTON, TX 77005	N/A TO DEVELOP SOLAR-POWERED CONSUMER PRODUCTS	US NON-CHAR E/R GRNT	20,500.

THE ROCKEFELLER FOUNDATION			13-1659629
TEATRO CIRCULO LTD 65 EAST 4TH STREET 11 NEW YORK, NY 10003	N/A TO ATTRACT NEW LATINO AUDIENCES TO ARTS EVENTS		25,000.
THAILAND ENVIRONMENT FOUNDATION - 16 151 MUANGTHONG THANI BOND STREET BANGPOOD PAKKRED NONTHABURI, THAILAND	N/A TO BUILD CLIMATE CHANGE RESILIENCE IN CHIANG RAI AND HAT YAI, THAILAND	FOR ORG - E/R GRANT	195,000.
THE ASIA SOCIETY 725 PARK AVENUE NEW YORK, NY 10021-5088		501(C)(3) PUBL CHAR	
THE ASPEN INSTITUTE INC ONE DUPONT CIRCLE NW SUITE 700 WASHINGTON, DC 20036-1133		501(C)(3) PUBL CHAR	50,000.
THE ASPEN INSTITUTE INC ONE DUPONT CIRCLE NW SUITE 700 WASHINGTON, DC 20036-1133	N/A TO EDUCATE THE U.S. CONGRESS ON ENERGY SECURITY/CLIMATE CHANGE		50,000.
THE COMMUNITY BUILDERS INC 95 BERKELEY STREET SUITE 500 BOSTON, MA 02116	N/A FOR FINANCIAL MANAGEMENT AND ADVICE SERVICES FOR LOW/MODERATE INCOME	501(C)(3) PUBL CHAR	50,000.
THE COMPUMENTOR PROJECT 435 BRANNAN STREET SUITE 100 SAN FRANCISCO, CA 94107	N/A TO STREAMLINE FUNDING OF NON-U.S. ORGANIZATIONS BY U.S. FOUNDATIONS		100,000.
THE CONCEPT FOUNDATION - 111 PAHOLYOTHIN RD THAILAND SCIENCE PARK KLONG 1 KLONG LUAN PATHUMTHANI, THAILAND	-		110,000.
THE HOUSING PARTNERSHIP NETWORK 160 STATE STREET 5TH FLOOR BOSTON, MA 02109	N/A TO PROMOTE REGIONAL HOUSING PARTNERSHIPS FOR BUILDING HOMES FOR THE POOR	501(C)(3) PUBL CHAR	100,000.

THE ROCKEFELLER FOUNDATION			13-1659629
	N/A TO STRENGTHEN SMALL GROUP NEIGHBORHOOD SUSTAINABILITY PROJECTS	501(C)(3) PUBL CHAR	37,500.
1216 FIFTH AVENUE NEW YORK, NY	TO IMPROVE EVALUATION		
1216 FIFTH AVENUE NEW YORK, NY	TO ASSIST LOW-INCOME		
•			
5252 BLVD DE MAISONNEUVE SUITE 310 MONTREAL, CANADA	TO DEVELOP AN APPROACH		115,240.
1560 BROADWAY SUITE 800 NEW	TO PRODUCE ART AND	501(C)(3) PUBL CHAR	50,000.
TRANSFORMCA 405 14TH STREET SUITE 605 OAKLAND, CA 94612	N/A TO DESIGN LOW-TRAFFIC RESIDENTIAL DEVELOPMENTS IN CALIFORNIA'S BAY AREA		50,000.
TRI STATE TRANSPORTATION CAMPAIGN 350 WEST 31ST STREET SUITE 802 NEW YORK, NY 10001	N/A TOWARD THE COSTS OF HIRING A FEDERAL TRANSPORTATION POLICY ADVOCATE	501(C)(3) PUBL CHAR	
TRUST FOR INDIGENOUS CULT HEALTH ACS PLAZA 3RD FLOOR LENANA ROAD PO BOX 677 00502 NAIROBI, KENYA		FOR ORG - E/R GRANT	

THE ROCKEFELLER FOUNDATION			13-1659629
TRUSTEES OF THE U OF PENNSYLVANIA 100 COLLEGE HALL PHILADELPHIA, PA 19104-6380	TO STUDY URBAN		299,100.
TRUSTEES OF TUFTS COLLEGE ATTN LAWRENCE S BACOW PRESIDENT MEDFORD, MA 02155		501(C)(3) PUBL CHAR	2,000,000.
UN DEVELOPMENT PROGRAMME ONE UNITED NATIONS PLAZA NEW YORK, NY 10017		BY EXEC	216,250.
UNITED STATES FUND FOR UNICEF 125 MAIDEN LANE NEW YORK, NY 10038			225,800.
UNIVERSITY COLLEGE LONDON UNIVERSITY OF LONDON GOWER STREET LONDON, UNITED KINGDOM	N/A TO STUDY HOW URBAN PLANNING MODELS AFFECT RISK OF ILL HEALTH		21,400.
UNIVERSITY COLLEGE LONDON UNIVERSITY OF LONDON GOWER STREET LONDON, UNITED KINGDOM	TO ENABLE	PUBLIC CHARITY EQUIV	109,200.
UNIVERSITY COMMERCIALE LUIGI BOCCONI VIA SARFATTI 25 MILAN, ITALY	N/A TO HAVE A UNIVERSAL HEALTH CARE SECRETARIAT AT A GLOBAL HEALTH SUMMIT	PUBLIC CHARITY EQUIV	50,000.
UNIVERSITY OF CALIFORNIA SAN FRANCISCO 3RD AND PARNASSUS AVENUES SAN FRANCISCO, CA 94143	N/A FOR EXPANDED HEALTH CARE DELIVERY TO PEOPLE IN DEVELOPING COUNTRIES	501(C)(3) PUBL CHAR	
UNIVERSITY OF COPENHAGEN - RECTORS OFFICE NORREGADE 10 POB 2177 DK 1017 COPENHAGEN K COPENHAGEN, DENMARK	N/A TO MODEL THE EFFECTS OF CLIMATE CHANGE ON AFRICAN AGRICULTURE	PUBLIC CHARITY EQUIV	127,250.

THE ROCKEFELLER FOUNDATION			13-1659629
UNIVERSITY OF WISCONSIN STEVENS POINT 1801 FRANKLIN STREET STEVENS POINT, WI 54481	N/A TO INTEGRATE INFORMATION SYSTEMS INTO LOCAL GOVERNMENT PLANNING IN UGANDA	501(C)(3) PUBL CHAR	26,365.
UNIVERSITY OF CALIFORNIA DAVIS MRAK HALL FIFTH FLOOR ONE SHIELDS HALL DAVIS, CA 95616	N/A TO DEVELOP A STATE-WIDE, GREEN TRAVEL MODEL FOR CALIFORNIA	501(C)(3) PUBL CHAR	50,000.
UNIVERSITY OF CAPE TOWN PRIVATE BAG RONDEBOSCH 7701 CAPE TOWN, SOUTH AFRICA	N/A TO BUILD CAPACITY IN AFRICAN UNIVERSITIES VIA EXPANSION AND COLLABORATION	PUBLIC CHARITY EQUIV	50,000.
UNIVERSITY OF CAPE TOWN PRIVATE BAG RONDEBOSCH 7701 CAPE TOWN, SOUTH AFRICA	N/A TO HELP STRENGTHEN URBAN PLANNING CAPACITY IN SUB-SAHARAN AFRICA	PUBLIC CHARITY EQUIV	100,500.
UNIVERSITY OF CAPE TOWN PRIVATE BAG RONDEBOSCH 7701 CAPE TOWN, SOUTH AFRICA	N/A FOR THOROUGH TRAINING OF AFRICAN URBAN PLANNING INSTRUCTORS	PUBLIC CHARITY EQUIV	119,600.
UNIVERSITY OF DAR ES SALAAM P O BOX 35091 DAR ES SALAAM, TANZANIA	N/A TO REDUCE POVERTY IN TANZANIA VIA EDUCATIONAL AND INFORMATION TECHNOLOGY	PUBLIC CHARITY EQUIV	43,000.
UNIVERSITY OF HYDERABAD CENTRAL UNIVERSITY POST OFFICE HYDERABAD, INDIA	N/A TO STUDY RICE IMPROVEMENT FOR DROUGHT TOLERANCE	PUBLIC CHARITY EQUIV	194.
UNIVERSITY OF KWAZULU NATAL PRIVATE BAG X01 SCOTTSVILLE KWAZULU NATAL, SOUTH AFRICA	N/A TO PROVIDE PH.D. TRAINING IN MODERN CROP BREEDING IN AFRICA	PUBLIC CHARITY EQUIV	64,576.
UNIVERSITY OF NAIROBI PO BOX 30197 NAIROBI, KENYA	N/A TO BETTER DEVELOP URBAN PLANNING FOR THE POOR IN KENYA	PUBLIC CHARITY EQUIV	50,100.

THE ROCKEFELLER FOUNDATION			13-1659629
UNIVERSITY OF STELLENBOSCH PRIVATE BAG X1 MATIELAND STELLENBOSCH, SOUTH AFRICA	N/A TO ASSESS THE OUTCOMES OF THE 2006 FORUM FOR CHINA-AFRICA COLLABORATION	PUBLIC CHARITY EQUIV	60,000.
UNIVERSITY OF WASHINGTON 301 GERBERDING HALL BOX 351230 SEATTLE, WA 98195-1230	N/A FOR EVALUATION OF THE DISEASE SURVEILLANCE NETWORKS INITIATIVE		286,183.
URBAN HABITAT PROGRAM 436 14TH STREET SUITE 1205 OAKLAND, CA 94612	N/A TO INFORM TRANSPORTATION EQUITY POLICIES TO BENEFIT THE POOR	501(C)(3) PUBL CHAR	125,000.
URBAN INSTITUTE 2100 M STREET NW WASHINGTON, DC 20037	N/A TO ANALYZE POLICIES ON PUBLIC AND PRIVATE PENSION SYSTEMS IN THE U.S.		500,000.
URBAN RESOURCE CENTER DBA COMMUNITY ORGANIZATION RESOURCE CENTER - PO BOX 14038 MOWBRAY CAPE TOWN, SOUTH AFRICA	TO ASSIST URBAN	FOR ORG - E/R GRANT	101,000.
US PUBLIC INTEREST RESEARCH GROUP EDUCATION FUND 218 D STREET SE 1ST FLOOR WASHINGTON, DC 20003	N/A TOWARD THE COSTS OF ITS NEW TRANSPORTATION FUTURE CAMPAIGN	501(C)(3) PUBL CHAR	550,000.
VERULAM ASSOCIATES LTD - PEAR TREE COTTAGE 45 NETHERTON LANE FYFIELD ABINGDON ABGINDON, UNITED KINGDOM	N/A FOR AN EVALUATION OF THE ASIAN CITIES CLIMATE CHANGE RESILIENCE NETWORK		927,300.
VIETNAM HEALTH ECON ASSOCIATION - RM 402 BLDG A MINISTRY OF HEALTH 138A GIANG VO STREET BA DINH DIST HANOI, VIETNAM	TO ASSIST THE VIETNAM	FOR ORG - E/R GRANT	100,000.
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BOULEVARD BRONX, NY 10460	N/A FOR RESEARCH ON HUMAN, ANIMAL AND ECOSYSTEM HEALTH IN SUB-SAHARAN AFRICA	501(C)(3) PUBL CHAR	14,000.

THE ROCKEFELLER FOUNDATION			13-1659629
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BOULEVARD BRONX, NY 10460	N/A TO PROMOTE THE CONFLUENCE OF ANIMAL, HUMAN AND ENVIRONMENTAL HEALTH	501(C)(3) PUBL CHAR	50,000.
ARTS - 3053 P STREET NW	N/A IN SUPPORT OF HEALTH MARKET RESEARCH ACTIVITIES IN SOUTH ASIA	E/R GRANT	285,100.
WILLIAM J CLINTON FOUNDATION 1200 PRESIDENT CLINTON AVENUE LITTLE ROCK, AR 72201	N/A TO STRENGTHEN E-HEALTH TECHNOLOGY TOOLS IN RWANDA		
	N/A TO ENABLE THE POOR TO PARTICIPATE IN GLOBAL CARBON MARKETS		
WINTERHOUSE 71 UNDERMOUNTAIN ROAD PO BOX 159 FALLS VILLAGE, CT 06031	N/A TO DEVELOP COLLABORATION BETWEEN THE DESIGN INDUSTRY AND INSTITUTIONS		635,000.
WNYC RADIO 160 VARICK STREET NEW YORK, NY 10013-1270	N/A FOR EXPANDED COVERAGE ON TRANSPORTATION AND INFRASTRUCTURE POLICY/PRACTICE		
WORLD HEALTH ORGANIZATION 20 AVENUE APPIA CH 1211 GENEVA, SWITZERLAND	N/A TO DEVELOP AND ASSESS NATIONAL EHEALTH POLICIES	INTL ORG BY EXEC ORD	6,329.
WORLD HEALTH ORGANIZATION 20 AVENUE APPIA CH 1211 GENEVA, SWITZERLAND	N/A TO STUDY THE EFFECTIVENESS OF HEALTH POLICY MODELS IN POOR COUNTRIES	INTL ORG BY EXEC ORD	14,100.
WORLD HEALTH ORGANIZATION 20 AVENUE APPIA CH 1211 GENEVA, SWITZERLAND	N/A TO IMPROVE ACCESS TO MEDICAL HEALTH INFORMATION IN THE DEVELOPING WORLD	INTL ORG BY EXEC ORD	40,000.

THE ROCKEFELLER FOUNDATION		1	.3-1659629
WORLD HEALTH ORGANIZATION 20 AVENUE APPIA CH 1211 GENEVA, SWITZERLAND	N/A TO STRENGTHEN HEALTH SYSTEMS IN THE GLOBAL SOUTH		500,000.
WORLD METEOROLOGICAL ORGANIZATION 7 BIS AVENUE DE LA PAIX CH 1211 GENEVA, SWITZERLAND	N/A TO DEVELOP TARGETED CLIMATE FORECASTS FOR BETTER FARMING PRACTICES		73,000.
WORLD RESOURCES INSTITUTE 10 G STREET NE SUITE 800 WASHINGTON, DC 20002	N/A TO STUDY THE RELATIONSHIP OF U.S. TRANSPORTATION POLICY AND CLIMATE CHANGE	501(C)(3) PUBL CHAR	50,000.
WORLD TOILET ORGANIZATION LIMITED 19 TOA PAYOH WEST SINGAPORE, SINGAPORE	N/A FOR NETWORKS COMMITTED TO PROVIDING ACCESS TO BASIC SANITATION IN POOR AREAS	FOR ORG - E/R GRANT	40,150.
WORLD WILDLIFE FUND INC 1250 24TH STREET NW WASHINGTON, DC 20037		501(C)(3) PUBL CHAR	650,000.
YUNNAN CTRS FOR DIS CONTROL PREV 158 DONGSI STREET KUNMING YUNNAN, CHINA	N/A TO STRENGTHEN CAPACITY IN DISEASE SURVEILLANCE AND RESPONSE	FOREIGN GOV'T UNIT	214,400.
YUNNAN CTRS FOR DISEASES CTRL PREV 158 DONGSI STREET KUNMING YUNNAN, CHINA	N/A TO MAXIMIZE THE IMPACT OF INDIVIDUAL VERTICAL DISEASE SURVEILLANCE PROGRAMS	FOREIGN GOV'T UNIT	38,990.
ZAGO LLC 392 BROADWAY 2ND FLOOR NEW YORK, NY 10013	N/A TO EXPLORE BUSINESS MODELS THAT PROMOTE HUMAN-CENTERED DESIGN INNOVATION		20,000.
TOTAL TO FORM 990-PF, PART XV, LI	NE 3B	42	2,800,342.

FORM 990-PF	ОТ	HER REVENUE			STATEMENT 23
DESCRIPTION	BUS CODE	UNRELATED BUSINESS INC	EXCL CODE	EXCLUDED AMOUNT	RELATED OR EXEMPT FUNC- TION INCOME
ROYALTIES			15	21.	
SETTLEMENT INSURANCE CLAIMS			01	637.	
SECURITIES LENDING INCOME			14	24,335.	
FEDERAL EXCISE TAX					
BENEFIT			01	803,522.	
OTHER INCOME			01	1,472,524.	
PROGRAM RELATED INVESTMENT INTEREST UBI	525990	-5,216,548.	14 14	185,490. 5,216,548.	
TOTAL TO FORM 990-PF, PG 11,	, LN 11	-5,216,548.		7,703,077.	

990-PF

INVOLVEMENT WITH NONCHARITABLE ORGANIZATIONS PART XVII, LINE 1, COLUMN (D)

STATEMENT

24

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

BUILDING AMERICA'S FUTURE

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT TOWARD THE COSTS OF ACTIVITIES TO REVITALIZE INVESTMENT IN, AND RAISE AWARENESS ABOUT, TRANSPORTATION AND INFRASTRUCTURE CHALLENGES FACING CITIES IN THE UNITED STATES WITH THE GOAL OF ADVANCING A NATIONAL VISION THAT WILL LEAD TO POLICIES OF SOCIAL EQUITY, ENVIRONMENTAL SUSTAINABILITY, AND ECONOMIC GROWTH

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

AMERICAN VET. MEDICAL ASSOC.

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT TOWARD THE COSTS OF ESTABLISHING A NATIONAL STRATEGY IN THE U.S. FOR IMPROVED PUBLIC HEALTH BASED ON "ONE HEALTH" PRINCIPLES, AS THE FIRST STEP IN ESTABLISHING A GLOBAL ONE HEALTH INITIATIVE TO SUPPORT MULTIDISCIPLINARY COLLABORATION FOR IMPROVED HUMAN, ANIMAL AND ENVIRONMENTAL HEALTH

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

MYLIFE, INC. DBA OVISORY

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT TOWARD THE COSTS OF PRODUCT DEVELOPMENT FOR HEALTH, SAVINGS, AND DEBT COUNSELING PRODUCTS THAT WILL ADDRESS THE ECONOMIC SECURITY NEEDS OF YOUNG AMERICAN WORKERS

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

INVESTORS' CIRCLE NETWORK

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT TOWARD THE COSTS OF TWO CONFERENCES AIMED AT PROMOTING EDUCATION AND RESEARCH IN THE FIELD OF MISSION-ALIGNED ENTREPRENEURSHIP AND IMPACT INVESTING, INCLUDING DISSEMINATING THE MONITOR INSTITUTE'S "INVESTING FOR SOCIAL AND ENVIRONMENTAL CHANGE"

GENERAL EXPLANATION FORM AND LINE REFERENCES

STATEMENT

FORM/LINE IDENTIFIER

DESCRIPTION/RETURN REFERENCE

990PF, PAGE 10, PART XV, LINES 2A THROUGH 2D

GRANT APPLICATION SUBMISSION

INFORMATION

GENERAL EXPLANATION

STATEMENT 26

- (A) WE ASK PROSPECTIVE APPLICANTS TO DETERMINE IF THEIR ORGANIZATION'S PROJECT ALIGNS WITH THE FOUNDATION'S PROGRAMMATIC GOALS BY VISITING WWW.ROCKEFELLERFOUNDATION.ORG. THE FOUNDATION INVITES INQUIRIES VIA A PORTAL ON OUR WEB SITE AT WWW.ROCKEFELLERFOUNDATION.ORG. AND PEOPLE AND ORGANIZATIONS WHO SUBMIT A WRITTEN INQUIRY ARE REDIRECTED TO THAT PORTAL. EXCEPTIONS ARE MADE (AND WRITTEN APPLICATIONS REVIEWED) FOR ANYONE SUBMITTING AN INQUIRY FROM AN AREA WHERE ACCESS TO THE INTERNET IS INADEQUATE, SUCH AS IN PARTS OF AFRICA.
- (B) APPLICANTS ARE ASKED TO FILL IN THE ON-LINE INQUIRY FORM ON THE FOUNDATION'S WEB SITE AT WWW.ROCKEFELLERFOUNDATION.ORG, IN ORDER FOR THE FOUNDATION TO MAKE AN INITIAL ASSESSMENT OF INTEREST.

APPLICANTS TO THE BELLAGIO CENTER, ITALY, ARE ASKED TO APPLY ON LINE AT WWW.ROCKEFELLERFOUNDATION.ORG.

- (C) IN 2009, THERE WERE NO SPECIFIC SUBMISSION DEADLINES FOR THE FOUNDATION'S PROGRAMS, OTHER THAN FOR THE BELLAGIO PROGRAM AND FOR THE NEW YORK CITY CULTURAL INNOVATION FUND, WHICH IS AN ANNUAL COMPETITIVE PROGRAM THAT BEGAN IN 2007. IN ADDITION, FROM TIME TO TIME, REQUESTS FOR PROPOSALS WERE SENT OUT WITH SPECIFIC DEADLINES TO A SELECT GROUP OF PROSPECTIVE GRANTEES FOR PARTICULAR PROJECTS.
- (D) THE ROCKEFELLER FOUNDATION DEVELOPS ITS PROGRAMS, STRATEGIES AND APPROACHES DESIGNED TO HAVE AN IMPACT ON A PARTICULAR SET OF ISSUES OR CHALLENGES THROUGH A CONSULTATIVE AND COLLABORATIVE PROCESS. WE ENGAGE A VARIETY OF GROUPS, INCLUDING SUBJECT MATTER EXPERTS, POLICYMAKERS AND REPRESENTATIVES OF LOCAL COMMUNITIES TO FULLY UNDERSTAND A GIVEN CHALLENGE OR OPPORTUNITY, INCLUDING THE ECONOMIC, POLITICAL OR SOCIAL CONTEXT IN WHICH IT RESIDES. IN THE COURSE OF PROGRAM DEVELOPMENT, THE FOUNDATION IDENTIFIES AND CONSIDERS ORGANIZATIONS THAT WE BELIEVE ARE BEST POSITIONED TO IMPLEMENT DEFINED COMPONENTS OF A PROGRAM. THIS PROCESS HELPS DETERMINE THE ORGANIZATIONS THAT THE FOUNDATION WILL PROVIDE GRANTS TO, AND WE WILL THEN REQUEST PROJECT PROPOSALS FROM THOSE ORGANIZATIONS. IN ORDER TO FOCUS AND MAXIMIZE THE IMPACT OF OUR GRANTMAKING, WE RARELY PROVIDE FUNDING IN RESPONSE TO UNSOLICITED PROPOSALS. AS A MATTER OF POLICY, THE FOUNDATION DOES NOT GIVE OR LEND MONEY FOR PERSONAL AID TO INDIVIDUALS OR, EXCEPT IN RARE CASES, FUND ENDOWMENTS, OR CONTRIBUTE TO BUILDING AND OPERATING FUNDS.

THE ROCKEFELLER FOUNDATION FOSTERS INNOVATIVE SOLUTIONS TO MANY OF THE WORLD'S MOST PRESSING CHALLENGES, AFFIRMING ITS MISSION, SINCE 1913, TO PROMOTE THE WELL-BEING OF MANKIND THROUGHOUT THE WORLD. THE FOUNDATION WORKS TO ENSURE THAT MORE PEOPLE CAN TAP INTO THE BENEFITS OF GLOBALIZATION WHILE STRENGTHENING RESILIENCE TO ITS RISKS. FOUNDATION'S FUNDING IS CENTERED ON TIME-BOUND INITIATIVES; CURRENT INITIATIVES, AS WELL AS AREAS BEING EXPLORED FOR FUTURE INITIATIVES, ARE DESCRIBED ON THE FOUNDATION'S WEB SITE AT

WWW.ROCKEFELLERFOUNDATION.ORG, WHICH IS CONTINUALLY UPDATED. INITIATIVES THAT WERE ACTIVE DURING 2009 INCLUDED: THE ALLIANCE FOR A GREEN REVOLUTION IN AFRICA; DISEASE SURVEILLANCE NETWORKS; BUILDING CLIMATE CHANGE RESILIENCE; TRANSFORMING HEALTH SYSTEMS; HARNESSING THE POWER OF IMPACT INVESTING; CAMPAIGN FOR AMERICAN WORKERS; TRANSPORTION POLICY; AND ACCELERATING INNOVATION FOR DEVELOPMENT.

THE FOUNDATION ALSO OPERATES A CONFERENCE AND STUDY PROGRAM AT ITS BELLAGIO CENTER IN ITALY.

GENERAL EX FORM AND LIN	PLANATION STATEMENT E REFERENCES	27
FORM/LINE IDENTIFIER	DESCRIPTION/RETURN REFERENCE	
990PF, PAGE 7, PART IX-A	SUMMARY OF DIRECT CHARITABLE ACTIVITIES	

GENERAL EXPLANATION

STATEMENT 28

THE ROCKEFELLER FOUNDATION BELLAGIO CENTER, ITALY 2009 FORM 990PF

PART IX A

THE BELLAGIO CENTER HAD OPERATING EXPENSES OF \$4,055,890. SINCE 1959, THE CENTER HAS SERVED AS A RESOURCE FOR FOSTERING DIALOGUE AND PROBLEM SOLVING FOR DIVERSE PARTICIPANTS AROUND THE WORLD. THE CENTER HOSTS CONFERENCES THAT FOCUS ON TOPICS OF INTERNATIONAL INTEREST, WITH PREFERENCE GIVEN TO APPLICANTS WHO PROMOTE DEVELOPMENT AND ALIGN WITH THE FOUNDATION'S AREAS OF INTEREST. IN 2009, THE CENTER HOSTED 43 CONFERENCES AND TEAM MEETINGS WITH A TOTAL OF 933 PARTICIPANTS; 77% OF WHOM WERE FROM OUTSIDE THE U.S. AND 33% FROM DEVELOPING COUNTRIES.

IN ADDITION, THE CENTER OFFERS TWO-TO-FOUR WEEK RESIDENCIES TO SCHOLARS, WRITERS, ARTISTS, AND COMPOSERS. IN 2009, THE CENTER HOSTED 85 RESIDENTS, 60% OF WHOM WERE FROM OUTSIDE THE U.S. AND 42% FROM DEVELOPING COUNTRIES. A LISTING OF 2009 CONFERENCES, TEAMS AND INDIVIDUAL RESIDENTS IS ATTACHED.

BELLAGIO CENTER CONFERENCES AND TEAMS - 2009

FEBRUARY 3 TO FEBRUARY 6, 2009 CONVENING OF PARTICIPANTS TO CREATE A GLOBAL FEDERATION OF SOCIAL INVESTMENT EXCHANGES TO MAXIMIZE EFFICIENCIES IN THE SOCIAL CAPITAL MARKET - MS. TAMZIN PASCOE RACTLIFFE, GREATER GOOD SOUTH AFRICA TRUST, SOUTH AFRICA

FEBRUARY 3 TO FEBRUARY 7, 2009 HIV/AIDS FUNDING EFFECTS ON THE HEALTH WORKFORCE AND ON FAMILY PLANNING AND REPRODUCTIVE HEALTH PROGRAMS - DR. NANDINI M. OOMMAN, CENTER FOR GLOBAL DEVELOPMENT, WASHINGTON, DC.

FEBRUARY 16 TO FEBRUARY 20, 2009 DEVELOPMENT OF THE ACTION PLAN OF THE SOUTH-SOUTH INITIATIVE FOR APPLICATIONS ON RESEARCH OF NEGLECTED TROPICAL DISEASES - PROF. BIANCA ZINGALES PHD., UNIVERSIDADE DE SAO PAULO, BRAZIL

FEBRUARY 16 TO FEBRUARY 21, 2009 IMPROVING HEALTH CARE AND REDUCING MORTALITY OF LONG-TERM SURVIVORS OF CANCER - PROF. PETER MICHAEL MAUCH M.D., HARVARD MEDICAL SCHOOL, BOSTON, MASSACHUSETTS

MARCH 2 TO MARCH 6, 2009 MINIPIERS (PRE-ECLAMPSIA INTEGRATED ESTIMATE OF RISK FOR MOTHERS IN THE DEVELOPING WORLD): THE IMPLEMENTATION. - DR. PETER VON DADELSZEN, UNIVERSITY OF BRITISH COLUMBIA, VANCOUVER, BRITISH COLUMBIA

MARCH 16 TO MARCH 20, 2009

FORESIGHT FOR SMART GLOBALIZATION - MR. CLEMENT BEZOLD PHD., INSTITUTE FOR ALTERNATIVE FUTURES, ALEXANDRIA, VIRGINIA

MARCH 23 TO MARCH 26, 2009

THE BELLAGIO MINISTERIAL HEALTH LEADERSHIP PROGRAMS - DR. MELVILLE IVEY BOUFFORD, NEW YORK ACADEMY OF MEDICINE, NEW YORK, NEW YORK

MARCH 23 TO MARCH 26, 2009

TO BUILD AND LAUNCH THE WHO NETWORK ON GLOBAL HEALTH DIPLOMACY - MS. BENEDIKTE LOUISE MATRE DAL, WORLD HEALTH ORGANIZATION, GENEVA, SWITZERLAND

MARCH 23 TO APRIL 20, 2009

THAI BREASTFEEDING ATLAS BOOK - DR. KANNIKA BANGSAINOI M.D., HEALTH PROMOTION CENTER REGION 10 CHIANG MAI, THAILAND

MARCH 30 TO APRIL 3, 2009

THE BELLAGIO CONFERENCE ON THE INNOVATIVE CAPACITY BUILDING PROGRAM FOR NEW PUBLIC HEALTH LEADERS - DR. PHICHET BANYATI M.D., THE HEALTH SYSTEM RESEARCH INSTITUTE, MUANG TAK, THAILAND

APRIL 6 TO APRIL 10, 2009

BELLAGIO PRINCIPLES REVISITED - DR. LASZLO PINTER, INTERNATIONAL INSTITUTE FOR SUSTAINABLE DEVELOPMENT (IISD), WINNIPEG, CANADA

APRIL 7 TO APRIL 11, 2009

DIGNITY FOR HUMAN RIGHTS - MS. PRIITI DAROOKA, EXECUTIVE DIRECTOR, PROGRAMME ON WOMEN'S ECONOMIC, SOCIAL AND CULTURAL RIGHTS, NEW DELHI, INDIA

APRIL 14 TO APRIL 18, 2009

INTERNATIONAL RESEARCH WORKSHOP: ENABLING DEMOCRATIC LOCAL GOVERNANCE ENVIRONMENTS FOR FIGHTING POVERTY AND INEQUALITY - MS. NINA JULIETTE BEST, POLIS INSTITUTE, SAO PAULO, BRAZIL

APRIL 21 TO APRIL 25, 2009

COMING HOME: AN INTERNATIONAL DIALOGUE ON THE ROLE OF FAMILY IN PRISONER REENTRY - MS. CAROL SHAPIRO, FAMILY JUSTICE, NEW YORK, NEW YORK

MAY 4 TO MAY 9, 2009

THIRD MEETING OF THE TRANSATLANTIC COUNCIL ON IMMIGRATION: MEDIA, POLITICS AND IMMIGRATION - MR. GREGORY MANIATIS, MIGRATION POLICY INSTITUTE, NEW YORK, NEW YORK

MAY 12 TO MAY 16, 2009

COMMON POLICY FRAMEWORK FOR TRANSPORT AND CLIMATE CHANGE IN DEVELOPING COUNTRIES - MR. JAMES A. LEATHER, ASIAN DEVELOPMENT BANK, MANILA, PHILIPPINES

MAY 25 TO MAY 29, 2009

MEETING OF FARMERS ORGANIZATIONS, CIVIL SOCIETY ORGANIZATIONS,

NON-GOVERNMENTAL ORGANIZATIONS AND THE FOOD AND AGRICULTURE ORGANIZATION OF THE UNITED NATIONS - DR. THOMAS L. PRICE, THE FOOD AND AGRICULTURE ORGANIZATION OF THE UNITED NATIONS, ROME, ITALY

JUNE 1 TO JUNE 10, 2009 CONSORTIUM FOR ADVANCED RESEARCH TRAINING IN AFRICA (CARTA) - DR. ALEX CHIKA EZEH PHD., AFRICAN POPULATION AND HEALTH RESEARCH CENTER, NAIROBI, KENYA

JUNE 2 TO JUNE 5, 2009 GLOBAL HEALTH DIPLOMACY NETWORK - MS. JOANNE ELIZABETH HAMILTON-GERO, POLICY ADVISER, WORLD HEALTH ORGANIZATION, GENEVA SWITZERLAND

JUNE 8 TO JUNE 12, 2009 DISASTER RISK REDUCTION AND CLIMATE CHANGE: IMPLICATIONS FOR PLANNING IN DISASTER-PRONE DEVELOPING COUNTRIES - MR. MATTHEW JOHN BANNERMAN. EMERGENCY CAPACITY BUILDING PROJECT, OXFORD, UNITED KINGDOM

JULY 14 TO JULY 18, 2009 DIVERSITY FOR LIFE: A GLOBAL CAMPAIGN FOR AGRICULTURAL BIODIVERSITY -MS. RUTH DIANE RAYMOND, BIOVERSITY INTERNATIONAL, ROME, ITALY

JULY 15 TO JULY 18, 2009 SCHOOL FEEDING: FEED MINDS AND CHANGE LIVES - MS. NANCY D WALTERS, UNITED NATIONS WORLD FOOD PROGRAMME, ROME, ITALY

AUGUST 24 TO AUGUST 28, 2009 PARTNERSHIP IN THE DEVELOPMENT OF AN INTEGRATED CURRICULUM FOR THE INDIAN INSTITUTE FOR HUMAN SETTLEMENTS - DR. LAWRENCE J. VALE, MASSACHUSETTS INSTITUTE OF TECHNOLOGY, CAMBRIDGE, MASSACHUSETTS

AUGUST 31 TO SEPTEMBER 4, 2009 PRE-CONFERENCE WORKSHOP FOR PMA CONFERENCE / HMN GLOBAL HEALTH INFORMATION FORUM 2010 - DR. CHURNRURTAI KANCHANACHITRA, MAHIDOL UNIVERSITY, NAKHON PATHOM, THAILAND

SEPTEMBER 7 TO SEPTEMBER 11, 2009 CRIMES OF HONOR IN A MOBILE WORLD, PAST AND PRESENT - DR. LINDA SUE REEDER, UNIVERSITY OF MISSOURI, COLUMBIA, MISSOURI

SEPTEMBER 14 TO SEPTEMBER 18, 2009 INVESTMENT PLANNING FOR AN AFRICAN FOOD SECURITY CARBON FUND - MR. MICHAEL BOYCE JENKINS, FOREST TRENDS AND THE KATOOMBA GROUP, WASHINGTON, D.C.

SEPTEMBER 15 TO SEPTEMBER 18, 2009 INCREASING ACCESS TO HEALTH SERVICES THROUGH SOCIAL FRANCHISING - PROF. DOMINIC MONTAGU, HEALTH SYSTEMS INITIATIVE, GLOBAL HEALTH GROUP / UNIVERSITY OF CALIFORNIA, SAN FRANCISCO, CALIFORNIA

SEPTEMBER 19 TO SEPTEMBER 23, 2009 INCREASING THE EFFECTIVENESS OF HEALTH MARKETS THROUGH GREATER ORGANIZATION: LESSONS FOR GHANA AND VIETNAM - PROF. DOMINIC MONTAGU, HEALTH SYSTEMS INITIATIVE, GLOBAL HEALTH GROUP / UNIVERSITY OF

CALIFORNIA, SAN FRANCISCO, CALIFORNIA

SEPTEMBER 21 TO SEPTEMBER 25, 2009

GLOBAL HEALTH DIPLOMACY NETWORK - MR. MATTHIAS CARL HELBLE, WORLD HEALTH ORGANIZATION, GENEVA, SWITZERLAND

SEPTEMBER 28 TO OCTOBER 2, 2009

GLOBAL HEALTH GOVERNANCE STUDY GROUP WORKSHOP - S.T. LEE PROJECT ON GLOBAL GOVERNANCE - PROF. ANN MARGARET FLORINI. LEE KUAN YEW SCHOOL OF PUBLIC POLICY, NATIONAL UNIVERSITY OF SINGAPORE, SINGAPORE

SEPTEMBER 29 TO OCTOBER 3, 2009

BUILDING A GLOBAL HUMANITIES NETWORK WITH REGIONAL ACCENTS - DR. SRINIVAS ARAVAMUDAN, DUKE UNIVERSITY, DURHAM, NORTH CAROLINA

OCTOBER 5 TO OCTOBER 9, 2009

MOBILE A2K: RESOURCES, INTERFACES AND CONTENTS ON URBAN TRANSFORMATIONS - MRS. IOLANDA PENSA, LETTERA27 FOUNDATION, MILANO, ITALY

OCTOBER 12 TO OCTOBER 16, 2009

THE GLOBALIZATION OF MENTAL HEALTH LAW: MANDATING TREATMENT IN POOR AND VULNERABLE COMMUNITIES - DR. JOHN THOMAS MONAHAN, UNIVERSITY OF VIRGINIA SCHOOL OF LAW, CHARLOTTESVILLE, VIRGINIA

OCTOBER 15 TO OCTOBER 19, 2009

THE CLINICAL FUNDAMENTALS OF OSTEOPATHY - MR. LUIGI CIULLO D.O.. INSTITUTO EUROPEO PER LA MEDICINA OSTEOPATICA, COMO, ITALY

OCTOBER 22 TO OCTOBER 26, 2009

SOCIAL MEDIA AND SOCIAL INNOVATION - MS. ROSANNE HAGGERTY, PRESIDENT, COMMON GROUND, NEW YORK, NEW YORK

OCTOBER 26 TO OCTOBER 30, 2009

INTERNATIONAL MULTIDISCIPLINARY LUNG ADENOCARCINOMA CLASSIFICATION -PROF. WILLIAM D. TRAVIS M.D., MEMORIAL SLOAN KETTERING CANCER CENTER, NEW YORK, NEW YORK

NOVEMBER 2 TO NOVEMBER 9, 2009

VOICING DEMANDS: FEMINISTS REFLECTING ON STRATEGIES, NEGOTIATIONS AND INFLUENCE - MS. MAHEEN SULTAN, BRAC DEVELOPMENT INSTITUTE, BRAC UNIVERSITY, DHAKA, BANGLADESH

NOVEMBER 4 TO NOVEMBER 8. 2009

PRACTICE AND POLICY INNOVATIONS IN INCORPORATING SOCIALLY MARGINALIZED AND DISABLED INDIVIDUALS IN MAINSTREAM HOUSING AND COMMUNITIES - MRS. DIANE DIACON, DIRECTOR, BUILDING AND SOCIAL HOUSING FOUNDATION, NOTTINGHAM, UNITED KINGDOM

NOVEMBER 9 TO NOVEMBER 12, 2009

ATTAINING UNIVERSAL HEALTH COVERAGE - PROF. EDUARDO MISSONI, BOCCONI UNIVERSITY, MILAN, ITALY

NOVEMBER 11 TO NOVEMBER 14, 2009

TECHNICAL CONSULTATION ON THE WORLD HEALTH REPORT 2010: FINANCING FOR

UNIVERSAL COVERAGE - DR. DAVID BRUCE EVANS, WORLD HEALTH ORGANIZATION, GENEVA, SWITZERLAND

NOVEMBER 16 TO NOVEMBER 20, 2009 PROJECT DEVELOPMENT WORKSHOP: LATE BLIGHT INITIATIVE - MR. GREGORY A FORBES, INTERNATIONAL POTATO CENTER, UPPSALA, SWEDEN

NOVEMBER 23 TO NOVEMBER 27, 2009 THE RE-ENGINEERING OF THE GLOBAL GOVERNANCE SYSTEM - DR. BARRY CARIN. CENTRE FOR GLOBAL STUDIES, VICTORIA, CANADA

NOVEMBER 23 TO NOVEMBER 27, 2009 DEVELOPMENT OF ESSENTIAL PACKAGE FOR MENTAL, NEUROLOGICAL AND SUBSTANCE USE DISORDERS WITHIN WHO MENTAL HEALTH GAP ACTION PROGRAMME - DR. SHEKHAR SAXENA M.D., WORLD HEALTH ORGANIZATION, GENEVA, SWITZERLAND

2009 BELLAGIO CENTER RESIDENTS:

JON ALPERT, (UNITED STATES) DOWNTOWN COMMUNITY TELEVISION CENTER, INC., NEW YORK, NEW YORK - A SCREENPLAY, "THE KIDNAPPING OF JAMES GANDOLFINI BY THE TALIBAN"

LEE J. ALSTON, (UNITED STATES) UNIVERSITY OF COLORADO, INSTITUTE OF BEHAVIORAL SCIENCE, BOULDER, COLORADO - A COLLABORATIVE MANUSCRIPT: "ON THE ROAD TO GOOD GOVERNANCE AND ECONOMIC PERFORMANCE IN BRAZIL"

LUIS H. AREVALO, (MEXICO) COMPOSER, TEXCOCO, MEXICO - WRITING MUSICAL COMPOSITION WORK FOR FLUTE, CLARINET IN BB AND PIANO

KATE ASCHER, (UNITED STATES) VORNADO REALTY TRUST, NEW YORK, NEW YORK -A COLLABORATIVE BOOK PROJECT, "THE SUSTAINABLE SPIRE: HOW A SKYSCRAPER WORKS"

ROTIMI BABATUNDE (NIGERIA) PLAYWRIGHT, ABUJA, NIGERIA - A PLAY, "SILICON VALLEY"

RODNEY JAMES BAXTER, (AUSTRALIA) AUSTRALIAN NATIONAL UNIVERSITY, CANBERRA, AUSTRALIA - "COMPLEXITY, STATISTICAL MECHANICS AND LATTICE MODELS"

BRENDA FLORENCE BERRIAN, (UNITED STATES) UNIVERSITY OF PITTSBURGH, PITTSBURGH, PENNSYLVANIA - "THE ESSENCE OF WOMEN AND MUSIC IN SENEGALESE FILMS"

MARIANNE J. BORUCH, (UNITED STATES) PURDUE UNIVERSITY, WEST LAFAYETTE, INDIANA - A SEQUENCE OF POEMS; "BODY LIGHT AND SHADOW"

ANDREW A. BRIDGE, (UNITED STATES) THE CHILD WELFARE INITIATIVE, LOS ANGELES, CALIFORNIA - A MANUSCRIPT, "THE CHILDREN OF EUFAULA"

JOHN CANEMAKER, (UNITED STATES) NEW YORK UNIVERSITY TISCH SCHOOL OF THE ARTS, NEW YORK, NEW YORK - CONCEPTUAL ART AND STORYBOARDS FOR NEW ANIMATED FILM, "HANDS"

JOHN CARY, (UNITED STATES) NEXT AMERICAN CITY, SAN FRANCISCO, CALIFORNIA - A BOOK PROJECT ON PRO-BONO DESIGN, WHICH RESULTED IN "THE POWER OF PRO BONO: 40 STORIES ABOUT DESIGN FOR THE PUBLIC GOOD BY ARCHITECTS AND THEIR CLIENTS"

KELLY CHERRY, (UNITED STATES) UNIVERSITY OF WISCONSIN-MADISON, MADISON,

"OUARTET;" A BOOK-LENGTH POEM ABOUT J. ROBERT OPPENHEIMER

PELLEGRINO A. D'ACIERNO, (UNITED STATES) HOFSTRA UNIVERSITY, HEMPSTEAD, NEW YORK - "THE LABOR OF THE NEGATIVE: ITALIAN PHILOSOPHICAL AND THEORETICAL CULTURE FROM VICO THROUGH "WEAK THOUGHT"

SANDRA KLEIN DANZIGER, (UNITED STATES) UNIVERSITY OF MICHIGAN, ANN ARBOR, MICHIGAN - "THE DECLINE OF WELFARE AND IMPLICATIONS FOR POVERTY"

SHELDON H. DANZIGER, (UNITED STATES) UNIVERSITY OF MICHIGAN, ANN ARBOR, MICHIGAN - "FOUR DECADES OF ANTI-POVERTY POLICIES: FROM FIGHTING POVERTY TO ACCEPTING PERSISTING POVERTY"

JACQUES DIOUF, (SENEGAL) FOOD AND AGRICULTURAL ORGANIZATION OF THE UNITED NATIONS, ROME, ITALY - A BOOK PROJECT

YUN DU, (CHINA) STATE UNIVERSITY OF NEW YORK -PURCHASE, NEW YORK -MUSIC FOR THE FILM COLLECTIVES OF ALICE GUY-BLACHE

KAREN ENGLE, (UNITED STATES) UNIVERSITY OF TEXAS SCHOOL OF LAW, AUSTIN, TEXAS - "FEMINISMS, SOCIAL MOVEMENTS AND INTERNATIONAL LAW"

ALVARO ENRIGUE, (MEXICO) WRITER, MEXICO CITY, MEXICO - A NOVEL, "DECENCIA"

MOJISOLA MOBOLAJI FAJEMIROKUN, (NIGERIA) DEVELOPMENT INITIATIVES NETWORK (DIN), LAGOS, NIGERIA - A MANUSCRIPT, "OPPORTUNITIES FOR REGIONAL PEACE AND SUSTAINABLE DEVELOPMENT IN THE NIGER DELTA THROUGH COMMUNITY FOUNDATIONS AND TRUSTS"

ROMANA GLORIA FALCON, (MEXICO) EL COLEGIO DE MEXICO, MEXICO D.F., MEXICO - "PLEBEIAN STRATEGIES FOR THE NEGOTIATION OF POWER: PEASANTS, INDIANS AND JEFES POLITICOS IN MEXICO, 1857-1910"

JONATHAN F. FANTON, (UNITED STATES) THE MACARTHUR FOUNDATION, NEW YORK, NEW YORK - ELLA WALKER FELLOWSHIP

PADMASHREE GEHL SAMPATH, (GERMANY) IQSENSATO, OVERIJI, BELGIUM - A COLLABORATIVE MANUSCRIPT; "LATECOMER DEVELOPMENT: INNOVATION AND KNOWLEDGE FOR ECONOMIC CATCH-UP"

EDWARD S. GREENBERG, (UNITED STATES) UNIVERSITY OF COLORADO, BOULDER, COLORADO - COLLABORATIVE MANUSCRIPT, "TURBULENCE: WORKING THROUGH CHANGE AT BOEING"

PROF. LEON GRUNBERG, (UNITED STATES) UNIVERSITY OF PUGET SOUND, TACOMA,

WASHINGTON- COLLABORATIVE MANUSCRIPT, "TURBULENCE: WORKING THROUGH CHANGE AT BOEING"

PEDRO GUAJARDO, (SPAIN) CONSERVATORY OF MUSIC, QUENTAR, (GRANADA) SPAIN - A MUSIC COMPOSITION TRIO FOR PIANO, VIOLIN AND CELLO

KHALED HAFEZ, (EGYPT) VISUAL ARTIST, NASR CITY, EGYPT - A MULTI-MEDIA PROJECT, "THE DARK ROOM & THE PROJECT OF SENSES"

ROSANNE HAGGERTY, (UNITED STATES) COMMON GROUND, NEW YORK, NEW YORK - A BOOK PROJECT ABOUT THE EVOLUTION OF POLICY AND PRACTICE IN CONTEMPORARY HOMELESSNESS THROUGH THE WORK OF COMMON GROUND

EIJI HASHIMOTO, (UNITED STATES) UNIVERSITY OF CINCINNATI, CINCINNATI, OHIO - "KEYBOARD FINGERING OF THE BAROOUE AND POST-BAROOUE PERIODS"

DOROTHY JOHN KALOLI (TANZANIA) CAMPAIGN FOR GOOD GOVERNANCE, DAR ES SALAAM, TANZANIA - A MANUSCRIPT ON INCREASING WOMEN'S PARTICIPATION IN GOVERNANCE

SHABNAM HASHMI (INDIA) ANHAD (ACT NOW FOR HARMONY AND DEMOCRACY), NEW DELHI, INDIA - FUTURE PLANNING FOR ANHAD

MONA HATOUM, (UNITED KINGDOM) VISUAL ARTIST, BERLIN, GERMANY - BELLAGIO CREATIVE ARTS FELLOWSHIP

ROBERT C. HORNIK, (UNITED STATES) UNIVERSITY OF PENNSYLVANIA, PHILADELPHIA, PENNSYLVANIA - "SEEKING AND SCANNING FOR CANCER INFORMATION"

MATTHEW JELACIC, (UNITED STATES) UNIVERSITY OF COLORADO, BOULDER, COLORADO - "POLYBIUS AND THE CONTEMPORARY REFUGEE CAMP"

PAUL S. KELEMBA, (KENYA) STANDARD NEWSPAPER, NAIROBI, KENYA - "IT'S A MADD WORLD" COMPILATION BOOKS

JERSON KELMAN, (BRAZIL) BRAZILIAN ELECTRICITY REGULATORY AGENCY -ANEEL, BRASILIA, BRAZIL - A BOOK PROJECT ON PUBLIC SERVICES

SHARLENE KHAN, (SOUTH AFRICA) VISUAL ARTIST, JOHANNESBURG, SOUTH AFRICA - A PHOTOGRAPHIC VIDEO PROJECT

JAMAL KIDWAI, (INDIA) AMAN PUBLIC CHARITABLE TRUST, NEW DELHI, INDIA -A PLAN FOR FUTURE WORK ON VOLUNTARY CIVIC ACTION MATTERS RELATED TO VIOLENCE AND CONFLICT

JOOHYUN KIM, (SOUTH KOREA) SCULPTOR, SEOUL, SOUTH KOREA - A SCULPTURE PROJECT, "THE WEB OF LIFE -BELLAGIO"

VICTORIA KIRKHAM, (UNITED STATES) UNIVERSITY OF PENNSYLVANIA, PHILADELPHIA, PENNSYLVANIA - "ART RISING: THE MARRIAGE OF LAURA BATTIFERRA AND BARTOLOMEO AMMANNATI"

AMY BETH KIRSTEN, (UNITED STATES) COMPOSER, NEW HAVEN, CONNECTICUT - A

LIBRETTO FOR A NEW PRODUCTION. "RED"

GEORGE KOKKINIDIS, (UNITED STATES) DESIGN LANGUAGE, BROOKLYN, NEW YORK - A COLLABORATIVE BOOK PROJECT, "THE SUSTAINABLE SPIRE: HOW A SKYSCRAPER WORKS"

ORASA KOVINDHA, (THAILAND) MINISTRY OF PUBLIC HEALTH, NONTHABURI, THAILAND - A COLLABORATIVE REPORT: GENDER BASED VIOLENCE PREVENTION

THAWATCHAI KUNWONG, (THAILAND) INPENG COMMUNITY-BASE ORGANIZATION NETWORKING GROUP, SAKONNAKHON, THAILAND - A MANUSCRIPT, "NATIONAL POLICY ON COMMUNITY EMPOWERMENT"

OLIVIA ADWOA TIWAAH FRIMPONG KWAPONG, (GHANA) UNIVERSITY OF GHANA, LEGON, GHANA - "USING INFORMATION, COMMUNICATION AND TECHNOLOGY (ICT) IN THE TERTIARY DISTANCE LEARNING PROGRAMS TO PROMOTE EQUITY IN EDUCATIONAL ACCESS IN GHANA"

NAM LE, (AUSTRALIA) UNIVERSITY OF EAST ANGLIA, NORWICH, UNITED KINGDOM - A NOVEL, "SOUTH CHINA SEA"

ANA-MARIA LOPEZ SALA, (SPAIN) SPANISH COUNCIL FOR SCIENTIFIC RESEARCH, LA LAGUNA, SPAIN - A COLLABORATIVE MANUSCRIPT, "MIGRATION AND BORDERS: METHODOLOGICAL APPROACHES AND CASE STUDIES"

ADETOKUNBO O. LUCAS. (NIGERIA) HARVARD SCHOOL OF PUBLIC HEALTH. BOSTON. MASSACHUSETTS - A BOOK PROJECT, "EVOLUTION OF GLOBAL HEALTH OVER THE PAST 50 YEARS: A PERSONAL PERSPECTIVE"

CHRISTINE ELIZABETH LUCIA, (UNITED KINGDOM) UNIVERSITY OF THE WITWATERSRAND, JOHANNESBURG, SOUTH AFRICA - "MOHAPELOA CRITICAL EDITION"

OLIVE DAMIAN LUENA, (TANZANIA) TANZANIA GATSBY TRUST, DAR ES SALAAM -STRATEGIC PLANNING FOR TANZANIA GATSBY TRUST

ZACHARIAH MAMPILLY, (UNITED STATES) VASSAR COLLEGE, POUGHKEEPSIE, NEW YORK - "REBEL RULERS: INSURGENT GOVERNANCE AND CIVILIAN LIFE DURING WAR"

COURTNEY E. MARTIN, (UNITED STATES) NEW YORK, NEW YORK - A BOOK PROJECT: "DO GREATERS"

SUPATRA MASDIT, (THAILAND) THAILAND GOVERNMENT, BANGKOK, THAILAND - A COLLABORATIVE MANUSCRIPT, "NATIONAL POLICY ON COMMUNITY EMPOWERMENT"

MARCOS MELO, (BRAZIL) FEDERAL UNIVERSITY OF PERNAMBUCO, RECIFE, BRAZIL - A COLLABORATIVE MANUSCRIPT: "ON THE ROAD TO GOOD GOVERNANCE AND ECONOMIC PERFORMANCE IN BRAZIL"

NAYANIKA MOOKHERJEE, (INDIA) LANCASTER UNIVERSITY, LANCASTER, UNITED KINGDOM - "ARTS OF RECONCILIATION AND THE BANGLADESH WAR OF 1971"

BERNARDO P.M. MUELLER, (BRAZIL) UNIVERSITY OF BRASILIA, BRAZIL - A

COLLABORATIVE MANUSCRIPT: "ON THE ROAD TO GOOD GOVERNANCE AND ECONOMIC PERFORMANCE IN BRAZIL"

MARIKO NAGAI, (JAPAN) TEMPLE UNIVERSITY, SETAGAYA-KU, JAPAN -"IMAGINARY DEATH: " A COLLECTION OF PROSE POEMS

KOJI NAKANO, (JAPAN) COMPOSER, BOSTON, MASSACHUSETTS - WORKING ON "TIME SONG III: REINCARNATION BIRTH OF A SPIRIT" FOR DAEGEUM (A KOREAN LARGE BAMBOO TRANSVERSE FLUTE). VIOLIN AND VIOLONCELLO COMMISSIONED BY THE PACIFIC RIM MUSIC FESTIVAL IN CA, USA

INNA F. NARODITSKAYA, (UNITED STATES) NORTHWESTERN UNIVERSITY, EVANSTON, ILLINOIS - "RUSSIAN EMPRESSES IN HISTORY AND OPERA"

BANJI OYELARAN-OYEYINKA, (NIGERIA) UNITED NATIONS HABITAT, NAIROBI, KENYA - A COLLABORATIVE MANUSCRIPT; "LATECOMER DEVELOPMENT: INNOVATION AND KNOWLEDGE FOR ECONOMIC CATCH-UP"

CARLOS PEREIRA, (BRAZIL) MICHIGAN STATE UNIVERSITY, EAST LANSING, MICHIGAN - A COLLABORATIVE MANUSCRIPT: "ON THE ROAD TO GOOD GOVERNANCE AND ECONOMIC PERFORMANCE IN BRAZIL"

JAYNE ANNE PHILLIPS, (UNITED STATES) RUTGERS NEWARK STATE UNIVERSITY OF NEW JERSEY, RUTGERS, NEW JERSEY - A NEW NOVEL

SERI PHONGPHIT. (THAILAND) COMMUNITY ENTERPRISE INSTITUTE. BANGKOK. THAILAND - A COLLABORATIVE MANUSCRIPT, "NATIONAL POLICY ON COMMUNITY EMPOWERMENT"

DANA REITER, (CANADA) BRITISH COLUMBIA MINISTRY OF CHILDREN AND FAMILY SERVICES, LUMBY, CANADA - WORK TO DEVELOP A PROPOSAL FOR AN EDUCATIONAL ECO-WILDERNESS RETREAT

NATHANIEL RICH, (UNITED STATES) THE PARIS REVIEW, NEW ORLEANS, LOUISIANA - A NOVEL, "MR. TORNADO"

ANNA ERSHLER RICHERT, (UNITED STATES) MILLS COLLEGE, MENLO PARK, CALIFORNIA - "RESPONDING TO THE DILEMMAS OF TEACHING IN URBAN SCHOOLS: STORIES FROM THE FIELD"

PRATIM ROY, (INDIA) KEYSTONE FOUNDATION, NILGIRIS DISTRICT, INDIA - A FIVE YEAR STRATEGIC PLAN FOR KEYSTONE FOUNDATION

RICHARD E. RUBENSTEIN, (UNITED STATES) GEORGE MASON UNIVERSITY, ARLINGTON, VIRGINIA - "REASONS TO KILL: HOW WE ARE PERSUADED TO MAKE WAR"

KOFI SETORDJI, (GHANA) VISUAL ARTIST, ACCRA NORTH, GHANA - BELLAGIO CREATIVE ARTS FELLOWSHIP

ARSHIA SATTAR, (INDIA) INDEPENDENT SCHOLAR, PUNE, INDIA - "INTERPRETIVE ESSAYS IN THE RAMAYANA"

MINEKE SCHIPPER, (NETHERLANDS) LEIDEN UNIVERSITY-THE NETHERLANDS,

AMSTELVEEN, NETHERLANDS - "IMAGINING THE END IN MYTHS FROM AROUND THE WORLD"

LLOYD SEDERER, (UNITED STATES) NEW YORK STATE OFFICE OF MENTAL HEALTH, NEW YORK, NEW YORK -"MENTAL HEALTH: A GUIDE FOR THE LOVED ONES OF PEOPLE WITH MENTAL

ILLNESS"

SHAHZIA SIKANDER. (PAKISTAN) VISUAL ARTIST. NEW YORK. NEW YORK -BELLAGIO CREATIVE ARTS FELLOWSHIP

IYIOLA SOLANKE, (UNITED KINGDOM) UNIVERSITY OF EAST ANGLIA, NORWICH, UNITED KINGDOM - POLICY MANUSCRIPT: "THE MOOT CAMP"

DOMINIC ANTHONY TAYLOR, (UNITED STATES) UNIVERSITY OF MINNESOTA, ST. PAUL. MINNESOTA - CONSTRUCTION OF A NEW PLAY TENTATIVELY TITLED "GLOBAL BLACK"

MARIA-EUGENIA TELLEZ ANGUIANO, (MEXICO), EL COLEGIO DE LA FRONTERA NORTE, TIJUANA, MEXICO - A COLLABORATIVE MANUSCRIPT, "MIGRATION AND BORDERS: METHODOLOGICAL APPROACHES AND CASE STUDIES."

GOVIND PRASAD THAPA, (NEPAL) KATHMANDU UNIVERSITY, KATHMANDU, NEPAL - A MANUSCRIPT ON GENDER BASED VIOLENCE PREVENTION

SURANUCH THONGSILA. (THAILAND) SIAM CEMENT FOUNDATION. BANGKOK. THAILAND - A COLLABORATIVE MANUSCRIPT, "NATIONAL POLICY ON COMMUNITY EMPOWERMENT"

PAUL L. TRACTENBERG (UNITED STATES) RUTGERS SCHOOL OF LAW-NEWARK, NEWARK, NEW JERSEY - BOOK CHAPTERS ON LEGAL ADVOCACY

CHIKA UNIGWE, (BELGIUM) WRITER, LONDON, UNITED KINGDOM - A NOVEL, TENTATIVELY TITLED, "NIGHT DANCER"

PADMA VENKATARAMAN, (INDIA) STELLA MARIS COLLEGE, CHENNAI, INDIA -"GENDER AND THEATRE IN INDIA"

SHEN WEI, (CHINA) PHOTOGRAPHER, NEW YORK, NEW YORK - A PHOTOGRAPHIC ESSAY: "I MISS YOU ALREADY"

ACHIM RENE WENNMANN, (GERMANY) GRADUATE INSTITUTE OF INTERNATIONAL AND DEVELOPMENT STUDIES, GENEVA, SWITZERLAND - "MONEY MATTERS: THE ECONOMIC DIMENSIONS OF PEACE PROCESSES"

YURIKO FUJITA YAMAGUCHI, (UNITED STATES) THE GEORGE WASHINGTON UNIVERSITY, WASHINGTON, D.C. - DRAWINGS FOR PROJECT, "INTERCONNECTION"

ITZKUAUHTLI ZAMORA (MEXICO) UNIVERSITY PROGRAM ON URBAN STUDIES-UNAM, MEXICO CITY, MEXICO - A STUDY: "URBAN RIVER RESTORATION IN DEVELOPING COUNTRIES: MULTIDISCIPLINARY PROJECTS AND PUBLIC ENGAGEMENT"

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GENERAL EXPLANATION FORM AND LINE REFERENCES

STATEMENT

FORM/LINE IDENTIFIER

DESCRIPTION/RETURN REFERENCE

990PF, PART VII-B, LINE 5(C)

EXPENDITURE RESPONSIBILITY GRANTS AND

PRIS

GENERAL EXPLANATION

STATEMENT

THE FOLLOWING TEXT RELATES TO THE STATEMENT 18, THE EXPENDITURE RESPONSIBILITY STATEMENT, IN RESPONSE TO PART VII-B, LINE 5C.

PROSPECTIVE GRANTEES ARE ASKED TO FURNISH INFORMATION IN ORDER TO ENABLE THE FOUNDATION TO DETERMINE WHETHER THEY HAVE RECEIVED A DETERMINATION OF 501(C)(3) STATUS FROM THE U.S. INTERNAL REVENUE SERVICE OR MAY BE CONSIDERED THE EQUIVALENT OF A TAX-EXEMPT CHARITY, IF FORMED OUTSIDE THE UNITED STATES. PROSPECTIVE GRANTEES WITH RESPECT TO WHOM THE FOUNDATION IS BELIEVED TO HAVE "EXPENDITURE RESPONSIBILITY" OBLIGATIONS ARE INFORMED BY LETTER, BEFORE PAYMENT IS MADE ON A GRANT, OF THE RESPONSIBILITIES IMPOSED UPON THE FOUNDATION AND ITS GRANTEES BY THE INTERNAL REVENUE CODE OF 1986, AS AMENDED. EACH GRANTEE MUST AGREE IN WRITING TO THOSE TERMS AND CONDITIONS, INCLUDING THE OBLIGATION TO PROVIDE FINANCIAL AND SUBSTANTIVE REPORTS ON HOW FOUNDATION GRANT FUNDS ARE EXPENDED. THE REPORTS THAT ARE RECEIVED ARE REVIEWED FOR GENERAL ACCURACY, SUFFICIENCY AND COMPLIANCE WITH THE TERMS AND CONDITIONS OF THE GRANT. ADDITIONAL INFORMATION IS REQUESTED FROM GRANTEES IN INSTANCES WHERE REPORTS ARE CONSIDERED INADEQUATE. WHERE THE FOUNDATION HAS NOT RECEIVED FINANCIAL OR SUBSTANTIVE REPORTS FROM A GRANTEE WHEN EXPECTED, FOUNDATION STAFF FOLLOW UP IN SEVERAL WAYS, INCLUDING REMINDERS THAT ARE SENT AT REGULAR INTERVALS AFTER REPORTS ARE EXPECTED AND PERSONAL FOLLOW-UP BY PROGRAM STAFF THROUGH TELEPHONE, MAIL AND E-MAIL.

THE FOLLOWING STATEMENT LISTS GRANT AND PROGRAM-RELATED INVESTMENT EXPENDITURES WITH RESPECT TO WHICH THE FOUNDATION HAS EXERCISED EXPENDITURE RESPONSIBILITY. EACH GRANTEE ORGANIZATION IS EITHER A PRIVATE FOUNDATION, A PRIVATE OPERATING FOUNDATION, A FOREIGN PRIVATE-FOUNDATION EQUIVALENT; OR A NON-501(C)(3) ORGANIZATION OR AN ORGANIZATION FOR WHICH THE FOUNDATION HAS NOT MADE A DETERMINATION OF 501(C)(3) EQUIVALENCY STATUS THAT RECEIVED GRANTS FOR CHARITABLE PROJECTS AND MAINTAINED PAYMENTS IN SEGREGATED FUNDS AS REQUIRED BY TREASURY REG. 53.4945-6(C).

THE DATES OF THE REPORTS SHOWN ARE EITHER FINANCIAL REPORTS OR NARRATIVE REPORTS. IF A PARTICULAR GRANT EXPENDITURE IS SHOWN TO HAVE BEEN FULLY EXPENDED BY THE GRANTEE BUT THE FOUNDATION HAS NOT YET RECEIVED ONE OR MORE NARRATIVE REPORTS, THE FOUNDATION WILL REPORT ON THE STATUS OF THE EXPENDITURE ON OUR 2010 990-PF. UNLESS OTHERWISE NOTED, THE REPORTS RECEIVED WERE FOUND TO BE IN ORDER AND TO SHOW THAT THE FUNDS WERE FULLY EXPENDED FOR THE PURPOSE OF THE GRANT.

REPORTING STATUS IS AS OF 9/23/2010.

	XPLANATION STATEMENT 31 NE REFERENCES
FORM/LINE IDENTIFIER	DESCRIPTION/RETURN REFERENCE
990PF, PART IX-B, LINE 3	ALL OTHER PROGRAM-RELATED INVESTMENTS

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GENERAL EXPLANATION

STATEMENT

A PROGRAM RELATED INVESTMENT IN PACIFIC COMMUNITY VENTURES INVESTMENT PARTNERS III TOWARD CAPITALIZING A COMMUNITY DEVELOPMENT INVESTMENT FUND THAT WOULD PROVIDE GROWTH CAPITAL AND MGMT. ASSISTANCE TO BUSINESSES LOCATED IN OR HIRING FROM COMMUNITIES IN CA.

Form

Department of the Treasury

Internal Revenue Service

Underpayment of Estimated Tax by Corporations

See separate instructions.Attach to the corporation's tax return.

FORM 990-PF

OMB No. 1545-0142 2009

13-1659629

Name

THE ROCKEFELLER FOUNDATION

Employer identification number

Note: Generally, the corporation is not required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38 on the estimated tax

_	penalty line of the corporation's income tax return, but do n			cittor the time		74ge 2, iiile 66 611		mateu tax
F	art I Required Annual Payment							
1	Total tax (see instructions)						1	47,223.
2 8	Personal holding company tax (Schedule PH (Form 1120), line	e 26	included on line 1	2	1			
t	Look-back interest included on line 1 under section $460(b)(2)$, ,					
	contracts or section $167(g)$ for depreciation under the income	fore	cast method	21)			
(Credit for federal tax paid on fuels (see instructions)			20	;			
	Total. Add lines 2a through 2c						2d	
3	Subtract line 2d from line 1. If the result is less than \$500, ${\bf do}$	not	complete or file this form.	The corporatio	n			
	does not owe the penalty						3	47,223.
4	Enter the tax shown on the corporation's 2008 income tax retu	urn (see instructions). Caution	ı; If the tax is z	ero			
	or the tax year was for less than 12 months, skip this line ar	nd e	nter the amount from line	3 on line 5			4	775,912.
5	Required annual payment. Enter the smaller of line 3 or line	4. If	the corporation is require	d to skip line 4,				
	enter the amount from line 3						5	47,223.
F	Part II Reasons for Filing - Check the boxes belo						220	
	even if it does not owe a penalty (see instructions).							
6	The corporation is using the adjusted seasonal installr	nent	method.					
7	X The corporation is using the annualized income install	men	t method.					
8	X The corporation is a "large corporation" figuring its firs	st red	uired installment based o	n the prior year	's tax.			
F	art III Figuring the Underpayment							
			(a)	(b)		(c)		(d)
9	Installment due dates. Enter in columns (a) through		, ,			` ,		`,
	Installment due dates. Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers:							
	Ùse 5th month), 6th, 9th, and 12th months of the corporation's tax year	9	05/15/09	06/15	/09	09/15/	09	12/15/09
10	Required installments. If the box on line 6 and/or line 7		, ,	•	•	, ,		, ,
	above is checked, enter the amounts from Sch A, line 38. If							
	the box on line 8 (but not 6 or 7) is checked, see instructions							
	for the amounts to enter. If none of these boxes are checked,							
	enter 25% of line 5 above in each column.	10				35,4	17.	11,806.
11	Estimated tax paid or credited for each period (see					,		,
	instructions). For column (a) only, enter the amount							
		11	2,000,000.					
	Complete lines 12 through 18 of one column before		, ,					
	going to the next column.							
12	Enter amount, if any, from line 18 of the preceding column	12						
13	Add lines 11 and 12	13						
14	Add amounts on lines 16 and 17 of the preceding column	14						35,417.
15	Subtract line 14 from line 13. If zero or less, enter -0-	15	2,000,000.				0.	0.
16	If the amount on line 15 is zero, subtract line 13 from line	. •	_, , , ,					
	14. Otherwise, enter -0-	16						
17	Underpayment. If line 15 is less than or equal to line 10,							
''	subtract line 15 from line 10. Then go to line 12 of the next							
		17				35,4	17	11,806.
18	Overpayment. If line 10 is less than line 15, subtract line 10	17				33,=	<u> </u>	11,000.
10	from line 15. Then go to line 12 of the next column	18	2,000,000.					
	ITOTITING TO THE ITO TO THE TENTE IN THE TEN	. 10				i		

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17 - no penalty is owed.

JWA For Paperwork Reduction Act Notice, see separate instructions. Form **2220** (2009)

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Form 2220 (2009)

Page 2

Part IV Figuring the Penalty

19		(a)	(b)	(c)	(d)
19 20	fter the close of the tax year, whichever is earlier (see				
Number of days on line 20 after 4/15/2009 and before 7/1/2009 21	, ·	9			
Underpayment on line 17 x Number of days on line 21 x 4% 22 \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	·	ו			
Number of days on line 20 after 06/30/2009 and before 10/1/2009 23 24 \$	umber of days on line 20 after 4/15/2009 and before 7/1/2009 2	1			
Underpayment on line 17 x Number of days on line 23 x 4% 24 \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	nderpayment on line 17 x Number of days on line 21 x 4%	2 \$	\$	\$	\$
Number of days on line 20 after 9/30/2009 and before 1/1/2010 26 \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	umber of days on line 20 after 06/30/2009 and before 10/1/2009	3			
Underpayment on line 17 x Number of days on line 25 x 496 365 Number of days on line 20 after 12/31/2009 and before 4/1/2010 27 Underpayment on line 17 x Number of days on line 27 x 496 365 Number of days on line 20 after 3/31/2010 and before 7/1/2010 29 Underpayment on line 17 x Number of days on line 29 x '96 385 Number of days on line 20 after 6/30/2010 and before 10/01/2010 31 Underpayment on line 17 x Number of days on line 31 x '96 365 Number of days on line 20 after 9/30/2010 and before 11/1/2011 33 Underpayment on line 17 x Number of days on line 33 x '96 34 \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	nderpayment on line 17 x Number of days on line 23 x 4%	4 \$	\$	\$	\$
Number of days on line 20 after 12/31/2009 and before 4/1/2010 27 28 \$ \$ \$ \$ \$ \$ \$ \$ \$	umber of days on line 20 after 9/30/2009 and before 1/1/2010 25	5			
Underpayment on line 17 x Number of days on line 27 X 4% 29 29 29 29 29 29 29 29 29 29 29 29 29	nderpayment on line 17 x Number of days on line 25 X 4%	3 \$	\$	\$	\$
Number of days on line 20 after 3/31/2010 and before 7/1/2010 29	umber of days on line 20 after 12/31/2009 and before 4/1/2010 27	7			
Underpayment on line 17 x Number of days on line 29 x *% 365 Number of days on line 20 after 6/30/2010 and before 10/01/2010 31 Underpayment on line 17 x Number of days on line 31 x *% 32 \$ \$ \$ \$ \$ \$ Number of days on line 20 after 9/30/2010 and before 1/1/2011 33 Underpayment on line 17 x Number of days on line 33 x *% 34 \$ \$ \$ \$ \$ Number of days on line 20 after 12/31/2010 and before 2/16/2011 35 Underpayment on line 17 x Number of days on line 35 x *% 365 Underpayment on line 17 x Number of days on line 35 x *% 365 Underpayment on line 17 x Number of days on line 35 x *% 365 S \$ \$ \$ \$ \$ \$ \$ \$	nderpayment on line 17 x Number of days on line 27 X 4%	3 \$	\$	\$	\$
Number of days on line 20 after 6/30/2010 and before 10/01/2010	umber of days on line 20 after 3/31/2010 and before 7/1/2010 29	3			
Underpayment on line 17 x Number of days on line 31 x *% 32 \$ \$ \$ \$ Number of days on line 20 after 9/30/2010 and before 1/1/2011 33 Underpayment on line 17 x Number of days on line 33 x *% 34 \$ \$ \$ \$ \$ \$ \$ \$ Underpayment on line 20 after 12/31/2010 and before 2/16/2011 Underpayment on line 17 x Number of days on line 35 x *% 36 \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	nderpayment on line 17 x Number of days on line 29 x *% 365	\$	\$	\$	\$
Number of days on line 20 after 9/30/2010 and before 1/1/2011	umber of days on line 20 after 6/30/2010 and before 10/01/2010 31	1			
Underpayment on line 17 x Number of days on line 33 x *% 365 Number of days on line 20 after 12/31/2010 and before 2/16/2011 35 Underpayment on line 17 x Number of days on line 35 x *% 365 36 \$ \$ \$ \$	nderpayment on line 17 x Number of days on line 31 x *% 365	2 \$	\$	\$	\$
365 Number of days on line 20 after 12/31/2010 and before 2/16/2011 Underpayment on line 17 x Number of days on line 35 x *%	umber of days on line 20 after 9/30/2010 and before 1/1/2011 33	3			
Underpayment on line 17 x Number of days on line 35 x *%		\$ \$	\$	\$	\$
365	umber of days on line 20 after 12/31/2010 and before 2/16/2011	5			
Add lines 22, 24, 26, 28, 30, 32, 34, and 36 \$		\$ \$	\$	\$	\$
1 1	dd lines 22, 24, 26, 28, 30, 32, 34, and 36	7 \$	\$	\$	\$

^{*} Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at **www.irs.gov**. You can also call 1-800-829-4933 to get interest rate information.

JWA Form **2220** (2009)

FORM 990-PF Form 2220 (2009) Page 3

Schedule A Adjusted Seasonal Installment Method and Annualized Income Installment Method (see instructions)

Form 1120S filers: For lines 1, 2, 3, and 21, below, "taxable income" refers to excess net passive income or the amount on which tax is imposed under section 1374(a), whichever applies.

Part I - Adjusted Seasonal Installment Met					(4)
ny 6 consecutive months is at least 70%. See instructions.)		(a)	(b)	(C)	(d)
A Cohombook to the constant of the design of the constant of t		First 3	First 5	First 8	First 11
1 Enter taxable income for the following periods:	<u> </u>	months	months	months	months
a Tax year beginning in 2006	1a				
a rax year beginning in 2000	"				
b Tax year beginning in 2007	1b				
a Tay year hasinning in 0000					
c Tax year beginning in 2008 2 Enter taxable income for each period for the tax year beginning in	1c				
2008. (see instructions for the treatment of extraordinary items).	2				
2000. (See institutions for the deathern of extraordinary norms).		First 4	First 6	First 9	
3 Enter taxable income for the following periods:		months	months	months	Entire year
•					
a Tax year beginning in 2006	3a				
b Tax year beginning in 2007	3b				
c Tax year beginning in 2008	3c				
4 Divide the amount in each column on line 1a by the					
amount in column (d) on line 3a	4				
5 Divide the amount in each column on line 1b by the					
amount in column (d) on line 3b	5				
6 Divide the amount in each column on line 1c by the					
amount in column (d) on line 3c	6				
(-)					
7 Add lines 4 through 6	7				
8 Divide line 7 by 3.0	8				
9a Divide line 2 by line 8	9a				
b Extraordinary items (see instructions)	9b				
c Add lines 9a and 9b	9с				
O Figure the tax on the amt on In 9c using the instr for Form	-				
1120, Sch J, In 2 (or comparable In of corp's return)	10				
1a Divide the amount in columns (a) through (c) on line 3a					
by the amount in column (d) on line 3a	11a				
b Divide the amount in columns (a) through (c) on line 3b	-				
by the amount in column (d) on line 3b	11b				
c Divide the amount in columns (a) through (c) on line 3c					
by the amount in column (d) on line 3c	11c				
2 Add lines 11a though 11c	12				
3 Divide line 12 by 3.0	13				
4 Multiply the amount in columns (a) through (c) of line 10					
by columns (a) through (c) of line 13. In column (d), enter					
the amount from line 10, column (d)	14				
5 Enter any alternative minimum tax for each payment	T				
period (see instructions)	15				
6 Enter any other taxes for each payment period (see instr)	16				
7 Add lines 14 through 16	17				
8 For each period, enter the same type of credits as allowed					
on Form 2220, lines 1 and 2c (see instructions)	18				
9 Total tax after credits. Subtract line 18 from line 17. If					
zero or less, enter -0-	19				

912821 01-05-10 JWA

Form 2220 (2009) FORM 990-PF Page 4

Part II - Annualized Income Installment Method

		(a)	(b)	(c)	(d)
		First 2	First 3	First 6	First 9
20 Annualization periods (see instructions)	20	months	months	months	months
21 Enter taxable income for each annualization period (see					
instructions for the treatment of extraordinary items) \hdots	21			3,742,597.	9,274,121.
22 Annualization amounts (see instructions)	22	6.000000	4.000000	2.000000	1.333330
23a Annualized taxable income. Multiply line 21 by line 22	23a			7,485,194.	12365464.
b Extraordinary items (see instructions)	23b				
c Add lines 23a and 23b	23c			7,485,194.	12365464.
24 Figure the tax on the amount on line 23c using the					
instructions for Form 1120, Schedule J, line 2					
(or comparable line of corporation's return)	24			74,852.	123,655.
25 Enter any alternative minimum tax for each payment					
period (see instructions)	25				
26 Enter any other taxes for each payment period (see instr)	26				
27 Total tax. Add lines 24 through 26	27			74,852.	123,655.
28 For each period, enter the same type of credits as allowed				7170321	123,0331
on Form 2220, lines 1 and 2c (see instructions)	28				
29 Total tax after credits. Subtract line 28 from line 27. If					
zero or less, enter -0-	29			74,852.	123,655.
30 Applicable percentage	30	25%	50%	75%	100%
				F.C. 120	102 655
31 Multiply line 29 by line 30	31			56,139.	123,655.
Part III - Required Installments					
Note: Complete lines 32 through 38 of one column before		1st	2nd	3rd	4th
completing the next column.		installment	installment	installment	installment
32 If only Part I or Part II is completed, enter the amount in					
each column from line 19 or line 31. If both parts are					
completed, enter the smaller of the amounts in each		0	0	F.C. 120	100 (55
column from line 19 or line 31	32	0.	0.	56,139.	123,655.
33 Add the amounts in all preceding columns of line 38 (see instructions)	33				35,417.
34 Adjusted seasonal or annualized income installments.					
Subtract line 33 from line 32. If zero or less, enter -0	34			56,139.	88,238.
35 Enter 25% of line 5 on page 1 of Form 2220 in each					
column. Note: "Large corporations," see the instructions	25	11,806.	11,806.	11,805.	11,806.
for line 10 for the amounts to enter 36 Subtract line 38 of the preceding column from line 37 of	35	11,000.	11,000.	11,000.	11,000.
the preceding column	36		11,806.	23,612.	
97 Add lines 25 and 26	27	11,806.	23,612.	35,417.	11,806.
37 Add lines 35 and 3638 Required installments. Enter the smaller of line 34 or	37	11,000.	ZJ, UIZ•	JJ,41/•	11,000.
line 37 here and on page 1 of Form 2220, line 10					
(see instructions)	38	0.	0.	35,417.	11,806.

Form **2220** (2009)

** ANNUALIZED INCOME INSTALLMENT METHOD USING STANDARD OPTION

Form 4797

Department of the Treasury Internal Revenue Service (99)

Sales of Business Property

(Also Involuntary Conversions and Recapture Amounts Under Sections 179 and 280F(b)(2))

► Attach to your tax return.

► See separate instructions.

2009 Attachment Sequence No 27

lentifying number

THE ROCKEFELLER FOUNDATION

13-1659629

	nter the gross proceeds from sales or r substitute statement) that you are ii			2009 on Form(s) 1	099-B or 1099-S		1	
	Sales or Exchanges Other Than Casualty	of Property	Used in a Tr	ade or Busine Held More Th	ess and Involu	ntary Cor	vers	ions From
2	(a) Description of property	(b) Date acquired (mo , day, yr)	(C) Date sold (mo , day, yr)	(d) Gross sales price	(8) Depreciation allowed or allowable since acquisition	(f) Cost or basis, pl improvemen expense of	other us and	(g) Gain or (loss) Subtract (f) from the sum of (d) and (e)
								<u>-</u>
SEI	E STATEMENT 39			:				-90,566.
3	Gain, if any, from Form 4684, line 43	}			1	1	3	
4	Section 1231 gain from installment		6252, line 26 or	37			4	
5	Section 1231 gain or (loss) from like	kınd exchanges	from Form 8824	1			5	
6	Gain, if any, from line 32, from other	than casualty or	theft				6	
7	Combine lines 2 through 6 Enter th	e gain or (loss) h	ere and on the a	ppropriate line as	follows:		7	-90,566.
	Partnerships (except electing larginstructions for Form 1065, Schedubelow.	le K, line 10, or F	orm 1120S, Sch	nedule K, line 9 Sk	kip lines 8, 9, 11, ar	nd 12		
	Individuals, partners, S corporation from line 7 on line 11 below and skip 1231 losses, or they were recapture the Schedule D filed with your return	o lines 8 and 9 If d in an earlier ye	line 7 is a gain ar, enter the gai	and you did not ha in from line 7 as a	ave any prior year s	section		
8	Nonrecaptured net section 1231 los	ses from prior ve	ears (see instruc	tions)			8	
9	Subtract line 8 from line 7 If zero or		•	•	line 7 on line 12 be	elow If		
_	line 9 is more than zero, enter the ai			•				
	capital gain on the Schedule D filed	with your return	(see instruction	s)		•	9	
Pa	rt II Ordinary Gains and	Losses (see in	structions)					
10	Ordinary gains and losses not include	ded on lines 11 ti	nrough 16 (inclu	de property held :	l vear or less)			
-	Cramary game and locate not more		ii o ogii i o (iii o o	To proporty more	1			
						1		
	·					 		
11	Loss, if any, from line 7	<u> </u>	<u> </u>	<u> </u>	<u> </u>		11	-90,566
12	Gain, if any, from line 7 or amount fr	om line 8, if appl	ıcable				12	
13	Gain, if any, from line 31						13	5,270
14	Net gain or (loss) from Form 4684, li	nes 35 and 42a					14	
15	Ordinary gain from installment sales	from Form 6252	, line 25 or 36				15	
16	Ordinary gain or (loss) from like-kind	exchanges from	Form 8824				16	
17	Combine lines 10 through 16						17	-85,296
18	For all except individual returns, ent	er the amount fro	om line 17 on th	e appropriate line	of your return and	skip lines		
	a and b below. For individual returns	s, complete lines	a and b below					
а	If the loss on line 11 includes a loss	from Form 4684	, line 39, columr	n (b)(ii), enter that i	oart of the loss her	e. Enter		
	the part of the loss from income-pro			•	•			
	from property used as an employee	on Schedule A (Form 1040), line	23. Identify as fro	om *Form 4797, line	e 18a "	100	
ı.	See instructions	۱۹ - سالت باعدی ۱۳ م	halaaa daas -		oro and s-		18a	
D	Redetermine the gain or (loss) on lin Form 1040, line 14	e i / excluding ti	ne ioss, ii any, o	mille roa. Enter r	iere and on		18b	

918011 10-22-09

LHA For Paperwork Reduction Act Notice, see separate instructions.

Form 4797 (2009)

SCHEDULE D	SHORT-TER	RM CAPITA	L GAINS	S AND	LOSSES	STATEMENT 36
(A)	(B) DATE	(C) DATE	(D))	(E) COST OR	(F) GAIN (LOSS)
PROPERTY DESCRIPTION	ACQUIRED	SOLD	SALES	PRICE	OTHER BASIS	(D) MINUS (E)
CARMEL PARTNERS INVESTMENT FUND III, LP	VARIOUS	VARIOUS		0.	0.	75,984.
COMMONFUND CAPITAL NATURAL RESOURCES PARTNERS VI, LP	VARIOUS	VARIOUS		0.	0.	8,722.
COMMONFUND CAPITAL NATURAL RESOURCES	VARIOUS	VARIOUS				
PARTNERS VII, LP FORTRESS INVESTMENT	VARIOUS	VARIOUS		0.	0.	13,948.
FUND V (FUND B), LP FORUM ASIAN REALTY	VARIOUS	VARIOUS		0.	0.	244.
INCOME II, LP GREENFIELD ACQUISITION	VARIOUS	VARIOUS		0.	0.	-933.
PARTNERS IV, LP GREENFIELD ACQUISITION		VARIOUS		0.	0.	-4,117.
PARTNERS V, LP MARATHON DISTRESSED	VARIOUS	VARIOUS		0.	0.	26,954.
SUBPRIME FUND, LP SUMMIT VENTURES VI-A,	VARIOUS	VARIOUS		0.	0.	-11,612.
LP	VIIIVIOOD	VIII		0.	0.	4,962.
TOTAL TO SCHEDULE D, L	INE 1			0.	0.	114,152.
SCHEDULE D	LONG-TERM	M CAPITAL	GAINS	AND L	OSSES	STATEMENT 37
(A)	(B) DATE	(C) DATE	(D))	(E) COST OR	(F) GAIN (LOSS)
PROPERTY DESCRIPTION	ACQUIRED	SOLD	SALES	PRICE		(D) MINUS (E)
ARCLIGHT ENERGY FUND	VARIOUS	VARIOUS		0.	0.	3,661.
FORTRESS INVESTMENT FUND V (FUND B), LP	VARIOUS	VARIOUS		0.	0.	4,460.
FORUM ASIAN REALTY INCOME II, LP	VARIOUS	VARIOUS		0.	0.	-66,940.
GREENFIELD ACQUISITION PARTNERS III, LP		VARIOUS		0.	0.	20,306.
JCF II AIV K, LP MARATHON DISTRESSED	VARIOUS VARIOUS	VARIOUS VARIOUS		0.	0.	-4.
SUBPRIME FUND, LP SUMMIT VENTURES VI-A,	VARIOUS	VARIOUS		0.	0.	-5,010.
LP				0.	0.	118,188.

SCHEDULE D	C	CAPITAL LOSS CARRYOVER				
	LOSS YEAR	ORIGINAL LOSS SUSTAINED	LOSS PREVIOUSLY APPLIED	LOSS REMAINING		
	2004					
	2005 2006					
	2007					
	2008	407,251		407,	251	
CAPITAL LOSS	CARRYOVER TO	CURRENT TAXABLE YEA	R	407,	251	

THE ROCKEFELLER FOUNDAT	13-16596
THE LYME FOREST VARIOUS VARIOUS FUND LTD PSHP	26,111
VESTAR CAPITAL VARIOUS VARIOUS PARTNERS III, LP	-15,42
VESTAR CAPITAL VARIOUS VARIOUS PARTNERS IV, LP	-1,32
TOTAL TO 4797, PART I, LINE 2	-90,560

Form **8868**

(Rev. April 2009)

Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

File a separate application for each return.

LOMB No. 1545-1709

			
• If you	u are filing for an Automatic 3-Month Extension, complete only Part I and check this box u are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this complete Part II unless you have already been granted an automatic 3-month extension on a previously f		
	Automatic 3-Month Extension of Time. Only submit original (no copies needed).		
A corpo	oration required to file Form 990-T and requesting an automatic 6-month extension - check this box and con	nplete	> X
	er corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request a ncome tax returns.	n exter	sion of time
noted to (not aut you mu	onic Filing (e-file). Generally, you can electronically flie Form 8868 if you want a 3-month automatic extension below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electron tomatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or coast submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic files.	ically it	f (1) you want the additional ated Form 990-T. Instead,
Type o	Name of Exempt Organization	Emp	loyer identification number
print	THE ROCKEFELLER FOUNDATION	1	3-1659629
File by the due date t filing your	Number, street, and room or suite no. If a P.O. box, see instructions.	1=	2 2000020
return. Se Instruction	6		
Check	type of return to be filed (file a separate application for each return):		
F	orm 990 X Form 990-T (corporation) Form 4 orm 990-BL Form 990-T (sec. 401(a) or 408(a) trust) Form 5 orm 990-EZ Form 990-T (trust other than above) Form 6 orm 990-PF Form 1041-A Form 8	227 069	
Tele;	ELLEN TAUS, CFO books are in the care of 420 FIFTH AVENUE - NEW YORK, NY 10018-2 phone No. 212-852-8361 FAX No. e organization does not have an office or place of business in the United States, check this box		
	s is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If th If it is for part of the group, check this box If it is for part of the group, check this box and attach a list with the names and EINs of all		
_ is	request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time unin NOVEMBER 15, 2010, to file the exempt organization return for the organization named a for the organization's return for: X calendar year 2009 or tax year beginning, and ending		The extension
2 If	this tax year is for less than 12 months, check reason: Initial return Final return		
	this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any correfundable credits. See instructions.	3a	s 0.
_	this application is for Form 990-PF or 990-T, enter any refundable credits and estimated	76	<u> </u>
<u>te</u>	x payments made. Include any prior year overpayment allowed as a credit.	3ь	\$ 500,000.
d	alance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, eposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System).		^
	ee instructions.	3c	\$ 0.
	n. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form	00/9-	
LHA	For Privacy Act and Paperwork Reduction Act Notice, see Instructions.		Form 8868 (Rev 4-2009)

990-T, PART V, LINE 1

LIST OF FOREIGN COUNTRIES IN WHICH THE ORGANIZATION HAS A FINANCIAL ACCOUNT

Italy

Kenya

Thailand

EIN 13-1659629

NET OPERATING LOSS SCHEDULE

TAX YEAR	FEDERAL
12/31/2005	
12/31/2006 - NOL Carryback Utilized - 12/31/2007 - NOL Carryback Utilized - 12/31/2009	-
12/31/2007 - NOL Carryback Utilized - 12/31/2005 - NOL Carryback Utilized - 12/31/2006 - NOL Carryback Utilized - 12/31/2008	(1,811,167) 1,811,167
12/31/2008 - NOL Carryback Utilized - 12/31/2009	(4,053,788)
12/31/2009	(7,407,766)
NOL CARRYFORWARD to 12/31/2010	(11,461,554) FEDERAL

THE ROCKEFELLER FOUNDATION FYE 12/31/2009 EIN 13-1659629

FORM 990-T, PART II, LINE 20: CHARITABLE CONTRIBUTIONS

CHARITABLE CONTRIBUTIONS PAID DURING FYE 12/31/2007

CHARITABLE DISBURSEMENTS (FORM 990-PF PART I, LINE 25, COLUMN A)	135,585,704
PASS THROUGH FROM PARTNERSHIPS	
Abry Partners VI, LP	414
Alpine Investors III, LP	2,304
Arclight Energy Partners Fund III, LP	258
Arclight Energy Partners Fund IV LP	352
ASP IV Alternative Investments LP	565
Audax Private Equity Fund III, LP	34
Battery Ventures VIII AIV, LP	16
Cadent Energy Partners II, L P	142
Carmel Partners Investment Fund III, LP	84
Castanea Partners Fund III, LP	305
CHS Private Equity V LP	1,000
Commonfund Capital Natural Resources Partners VI, LP	113
Commonfund Capital Natural Resources Partners VII, LP	26
CP Investment Fund II, L P	99
General Catalyst Group V - Supplemental, LP	67
General Catalyst Group V, LP	65
Graham Partners II Co-Investment, LP	15
Graham Partners II, LP	192
Greenfield Acquisition Partners III, LP	8
JCF II AIV K, LP	14
Lime Rock Partners IV, LP	168
LR4 AIV, LP	161
Missionpoint HA Parallel Fund LLC	198
Quantum Energy Partners IV, LP	978
Sequoia Capital Growth Fund III, LP	269
Summit Partners Private Equity Fund VII-A, L P	118
Summit Partners Venture Capital Fund II-A, L P	2
Summit Ventures V, L P	33
Summit Ventures VI-A, L P	243
Vestar Capital Partners III, LP	75
Vestar Capital Partners IV, LP	202
Weston Presidio V, LP	23
TOTAL CHARITABLE CONTRIBUTIONS	135,594,247

CONTRIBUTIONS CARRYOVER

					
YEAR ENDING	AMOUNT GENERATED	AMOUNT UTILIZED	CONVERTED TO NOL CARRYOVER		CARRYOVER NOT UTILIZED
12/31/2005 12/31/2006 12/31/2007	110,667,568 134,447,599 123,791,430				110,667,568 134,447,599 123,791,430
12/31/2008 12/31/2009	143,871,163 135,594,247				143,871,163 135,594,247
TOTAL	648,372,007				648,372,007
	-				
	648,372,007				

FORM 990-T, LINE 19 - TAXES AND LICENSES

	Amount
Arizona	344
Arkansas	26
District of Columbia	100
Mississippi	25
Montana	50
New Mexico	50
New York	250
North Carolina	35
Rhode Island	500
West Virginia	50
State Taxes Paid Total	1,430
State Taxes Faid Total	1,400
Foreign Taxes Paid	4,436
Total Taxes - Line 19	5,866



FORM 4797	PRO	PROPERTY HELD MORE THAN ONE YEAR STA					
DESCRIPTION	DATE ACQUIRED	DATE SOLD	SALES PRICE	DEPR.	COST OR BASIS	GAIN OR LOSS	
ABRY PARTNERS VI,	VARIOUS	VARIOUS				-2,354.	
ALPINE INVESTORS III, LP	VARIOUS	VARIOUS				-11,065.	
ARCLIGHT ENERGY FUND III, LP	VARIOUS	VARIOUS				16,370.	
ARCLIGHT ENERGY FUND IV, LP	VARIOUS	VARIOUS				1.	
AUDAX PRIVATE EQUITY FUND III, LP	VARIOUS	VARIOUS				28.	
BATTERY VENTURES VIII AIV, LP	VARIOUS	VARIOUS				-2.	
CHS PRIVATE EQUITY V, LP	VARIOUS	VARIOUS				-38.	
COMMONFUND CAPITAL NATURAL	VARIOUS	VARIOUS					
RESOURCES COMMONFUND	VARIOUS	VARIOUS				10,317.	
CAPITAL NATURAL RESOURCES GRAHAM PARTNERS	VARIOUS	VARIOUS				11,244.	
II, LP GREENFIELD	VARIOUS	VARIOUS				111.	
ACQUISITION PARTNERS III, LP	VIRCIOUD	VIII(100D				-44.	
GREENFIELD ACQUISITION	VARIOUS	VARIOUS					
PARTNERS IV, LP JCF II AIV K, LP	VARIOUS	VARIOUS				53,394. -29.	
LIME ROCK PARTNERS IV, LP	VARIOUS	VARIOUS				-5,329.	
LIME ROCK PARTNERS V, LP LR4 AIV, LP	VARIOUS VARIOUS	VARIOUS VARIOUS				-12. -317.	
MISSIONPOINT SE PARALLEL FUND LLC	VARIOUS	VARIOUS				-1,595.	
SUMMIT PARTNERS PRIVATE EQUITY	VARIOUS	VARIOUS					
FUND VII-A, LP SUMMIT PARTNERS VENTURE CAPITAL	VARIOUS	VARIOUS				-1,666.	
FUND II-A, LP SUMMIT VENTURES	VARIOUS	VARIOUS				-27.	
V, LP SUMMIT VENTURES	VARIOUS	VARIOUS				-73,887.	
VI-A, LP						-95,034.	

THE ROCKEFELLER FOUNDAT				13-1659629
VESTAR CAPITAL VARIOUS PARTNERS IV, LP	VARIOUS	0.	0.	10,774.
TOTAL TO SCHEDULE D, LINE 6		0.	0.	85,435.

THE ROCKEFELLER FOUND	ATI	13-1659629			
AUDAX PRIVATE EQUITY FUR BAUPOST VALUE PARTNERS IN CARMEL PARTNERS INVESTME COMMONFUND CAPITAL RESOLUTION OF THE RESOLUTION OF THE STATE OF THE RESOLUTION OF THE SEQUOIA CAPITAL GROWTH OF SEQUOIA CAPITAL GROWTH OF SEQUOIA CAPITAL U.S. GROWTH OF THE HSH AIV I TRUST VESTAR CAPITAL PARTNERS WATERSHED CAPITAL INSTITUTE.	39,324. 24,690. 10,266. 5,899. 11,446. 9,920. 395. 49,633. 15,779. 8,381. 466. 5,437. 4. 263. 1,783,752. 10,532. 32,796. 4,910.				
TOTAL TO FORM 990-T, PAG	E 1, LINE 18	2,110,541.			
FORM 990-T	OTHER DEDUCTIONS	STATEMENT 35			
DESCRIPTION		AMOUNT			
TAX PREPARATION FEES	TOT TO DEPUGATONG EDON LED	47,000.			
PARTNERSHIP INVESTMENTS	FOLIO DEDUCTIONS FROM LTD	2,309,598.			
TOTAL TO FORM 990-T, PAG	E 1, LINE 28	2,356,598.			

	grt III Gain From Disposition of Proper	ty UTIC			Z, 12	T			
19	9 (a) Description of section 1245, 1250, 1252, 1254, or 1255 property:						red r)	(c) Date sold (mo , day, yr)	
Α	ARCLIGHT ENERGY FUND III	, LF)			(mo,day,yr	$\overline{}$	VARIOUS	
В									
C									
D	 								
	These columns relate to the properties on				-				
	lines 19A through 19D.	•	Property A	Property	В	Property	c	Property D	
20	Gross sales price (Note: See line 1 before completing)	20							
21	Cost or other basis plus expense of sale	21							
22	Depreciation (or depletion) allowed or allowable	22		i					
23	Adjusted basis. Subtract line 22 from line 21	23							
24	Total gain Subtract line 23 from line 20	24	5,270.						
25	If section 1245 property:								
а	Depreciation allowed or allowable from line 22	25a							
b	Enter the smaller of line 24 or 25a	25b							
26	If section 1250 property: If straight line depreciation was used, enter -0- on line 26g, except for a corporation subject to section 291								
а	Additional depreciation after 1975 (see instructions)	26a							
b	Applicable percentage multiplied by the smaller of line 24 or line 26a (see instructions)	26b							
c	Subtract line 26a from line 24. If residential rental property or line 24 is not more than line 26a, skip lines 26d and 26e	26c							
d	Additional depreciation after 1969 and before 1976	26d			-				
	Enter the smaller of line 26c or 26d	26е							
f	Section 291 amount (corporations only)	26f					1		
	Add lines 26b, 26e, and 26f	269	5,270.			,			
27	If section 1252 property Skip this section if you did not dispose of farmland or if this form is being completed for a partnership (other than an electing large partnership)		•						
	Soil, water, and land clearing expenses	27a							
	Line 27a multiplied by applicable percentage	27b							
	Enter the smaller of line 24 or 27b	27c			-				
	If section 1254 property: Intangible drilling and development costs, expenditures for development of mines and other natural deposits, mining exploration costs, and depletion (see instructions)	28a							
	Enter the smaller of line 24 or 28a	28ь						· · · · · · · · · · · · · · · · · · ·	
а	If section 1255 property: Applicable percentage of payments excluded from income under section 126 (see instructions)	29a							
<u>b</u>	Enter the smaller of line 24 or 29a (see instructions)	29b				l		·	
Su	mmary of Part III Gains. Complete property of	olumns	A through D through	line 29b before	going	to line 30.			
30	Total gains for all properties. Add property columns	A throu	ugh D, line 24				30	5,270	
31 32	Add property columns A through D, lines 25b, 26g, Subtract line 31 from line 30. Enter the portion from					portion	31	5,270	
	from other than casualty or theft on Form 4797, line		.,	,	J. 3.1.9	In a comment	32		
Pa	Recapture Amounts Under Section (see instructions)		9 and 280F(b)(2)	When Busin	ness	Use Drops t	io 50%	% or Less	
						(a) Section	n	(b) Section 280F(b)(2)	
33	Section 179 expense deduction or depreciation alle	owable i	n prior years		33				
34	Recomputed depreciation (see instructions)				34				
<u>35</u>	Recapture amount. Subtract line 34 from line 33. S	ee the Ir	structions for where	to report	35]		
9180	12 10-22-09							Form 4797 (20)	

Form **4797** (2009)



FORM 990-T	INCOME	(LOSS)	FROM	PARTNERSHIPS	STATEMENT	33

DESCRIPTION	TRUOMA
ORDINARY INCOME FROM LIMITED PARTNERSHIP INVESTMENTS:	
ABRY PARTNERS VI, LP	-54,015.
ACADIA CREDIT FUND III, LP	-4,805.
AHF CREDIT FUND II, LP	-2,177.
ALPINE INVESTORS III, LP	-758,898.
ALPINE INVESTORS IV, LP	-4,691.
ARCLIGHT ENERGY PARTNERS FUND III LP	-1,152,008.
ARCHLIGHT ENERGY PARTNERS FUND IV LP	-151,227.
ASP VI ALTERNATIVE INVESTMENTS, LP	-86,387.
ASP V ALTERNATIVE INVESTMENTS, LP	-23,690.
AUDAX PRIVATE EQUITY FUND II LP	-5.
AUDAX PRIVATE EQUITY FUND III LP	-46,728.
AVANTI STRATEGIC LAND INVESTORS IV (ACTIVE), LP	8,986.
BATTERY VENTURES VIII AIV II, LP	-43,883.
BATTERY VENTURES VIII AIV III, LP	-11,406.
BATTERY VENTURES VIII AIV IV, LP	9,102.
BATTERY VENTURES VIII AIV, LP	931.
BAUPOST VALUE PARTNERS LP IV	56,267.
BENCHMARK CAPITAL PARTNERS IV LP	11.
BERKSHIRE FUND VI (OS), LP	-2,334.
BERKSHIRE FUND VII (OS), LP	-1,361.
BROOKDALE INVESTORS FIVE, LP	-69,109.
CADENT ENERGY PARTNERS II LP CARMEL PARTNERS INVESTMENT FUND III LP	90,480. -92,400.
CASTENEA PARTNERS FUND III LP	173,790.
CERBERUS INSTITUTIONAL PARTNERS, LP (SERIES THREE)	-305.
CHS PRIVATE EQUITY V LP	-195,437.
CODE HENNESSY & SIMMONS IV, LP	140.
COMMONFUND CAPITAL NATURAL RESOURCES PARTNERS VI LP	15,672.
COMMONFUND CAPITAL NATURAL RESOURCES PARTNERS VII LP	-75,611.
CP INVESTMENT FUND II, LP	-31,932.
CROW HOLDINGS REALTY PARTNERS II LP	-105,455.
CYPRESS REALTY VI LTD PSHP	2,180.
GENERAL CATALYST GROUP V - SUPPLEMENTAL LP	-4,092.
GENERAL CATALYST GROUP V LP	-4,386.
GRAHAM PARTNERS II CO-INVESTMENT LP	-74,992.
GRAHAM PARTNERS II LP	-619,781.
GREENFIELD ACQUISITION PARTNERS III LP	-194,757.
GREENFIELD ACQUISITION PARTNERS IV LP	-539,604.
GREENFIELD ACQUISITION PARTNERS V LP	-770,539.
JCF II AIV K LP	28,849.
LIME ROCK PARTNERS IV LP	45,315.
LIME ROCK PARTNERS V LP	-10,376.
LR4 AIV, LP	-57,223.
MISSIONPOINT GGH PARALLEL FUND LLC	-22,037.
MISSIONPOINT HA PARALLEL FUND LLC	-135,572.
MISSIONPOINT SE PARALLEL FUND LLC	-126,354.

THE ROCKEFELLER FOUNDATI	13-1659629
QUANTUM ENERGY PARTNERS IV, LP	8,121.
QUANTUM ENERGY PARTNERS V, LP	-167,681.
SEQUOIA CAPITAL GROWTH FUND III LP	-76,210.
SUMMIT VENTURES V LP	-50,586.
SUMMIT PARTNERS VENTURE CAPITAL FUND II-A LP	2,818.
SUMMIT VENTURES VI-A LP	-151,610.
SUMMIT PARTNERS PRIVATE EQUITY FUND VII-A LP	-61,853.
THE LYME FOREST FUND LIMITED PARTNERSHIP	-299,585.
THE PRIVATVARDE FUND, LP	505.
THE VARDE FUND IX, LP	531.
THE VARDE FUND VIII, LP	19.
VESTAR CAPITAL PARTNERS III LP	201,635.
VESTAR CAPITAL PARTNERS IV LP	-5,714.
WESTON PRESIDIO V, LP	3,114.
PORTFOLIO INCOME FROM LIMITED PARTNERSHIP INVESTMENTS:	
ALPINE INVESTORS III, LP	18,254.
ARCHLIGHT ENERGY PARTNERS FUND III LP	561,103.
ARCHLIGHT ENERGY PARTNERS FUND IV LP	185,296.
BAUPOST VALUE PARTNERS LP-IV	-507,082.
BROOKDALE INVESTORS FIVE LP	28,034.
CARMEL PARTNERS INVESTMENT FUND III LP	35,950.
COMMONFUND CAPITAL NATURAL RESOURCES PARTNERS VI LP	7,968.
COMMONFUND CAPITAL NATURAL RESOURCES PARTNERS VII LP	15,402.
FORTRESS INVESTMENT FUND V (FUND B) LP	2,441.
FORTRESS INVESTMENT FUND V (FUND B) LF FORUM ASIAN REALTY INCOME II LP	232,125. 92,616.
GRAHAM PARTNERS II CO-INVESTMENT LP	-78.
GRAHAM PARTNERS II, LP	-123.
GREENFIELD ACQUISITION PARTNERS III, LP	31,146.
GREENFIELD ACQUISITION PARTNERS IV, LP	-558.
GREENFIELD ACQUISITION PARTNERS V LP,	93,851.
LIME ROCK PARTNERS IV LP	2,939.
MARATHON DISTRESSED SUBPRIME FUND, LP	13,397.
SEQUOIA CAPITAL GROWTH FUND III LP	142,622.
SUMMIT VENUTRES VI-A LP	1,802,712.
VESTAR CAPITAL PARTNERS IV LP	27,915.
WATERSHED CAPITAL INSTITUTIONAL PARTNERS LP	2,954.
TOTAL TO FORM 990-T, PAGE 1, LINE 5	-2,849,465.
EODM 000 M TNMEDECM DATE	США ПЕМЕНИ 24
FORM 990-T INTEREST PAID	STATEMENT 34
DESCRIPTION	AMOUNT
INVESTMENT INTEREST EXPENSE FROM LIMITED PARTNERSHIP	
INVESTMENTS:	
	36,970.
ARCLIGHT ENERGY PARTNERS FUND III, LP ARCLIGHT ENERGY PARTNERS FUND IV, LP	36,970. 49,565. 10,114.

Form 990-T	Exempt Organization Bus	sine	ss income T	a∡ Return	1	2008 No. 1545-0687			
Department of the Treasury Internal Revenue Service (77)	(and proxy tax under section 6033(e)) For calendar year 2009 or other tax year beginning , and ending Direction to 501(c)(3) Organizations Only								
A Check box if address changed	Name of organization (Check box if name of	(Emp	loyer identification number bloyees' trust, see instructions lock D on page 9)						
B Exempt under section	Print THE ROCKEFELLER FOUNDA	TIO	N		1	3-1659629			
X 501(C)(3)	or Number, street, and room or suite no. If a P.O. bo					lated business activity codes instructions for Block E			
408(e) 220(e)	Type 420 FIFTH AVENUE					age 9)			
408A 530(a)	City or town, state, and ZIP code	City or town, state, and ZIP code							
529(a)	NEW YORK, NY 10018-27	02		···	525	990			
	F Group exemption number (See instructions for Block F)								
at end of year	G Check organization type ► X 501(c) corporatio	n L	501(c) trust	401(a) trust	Į	Other trust			
3,317,100,678.	TNIVECOM	(ENTO	S IN LIMITE	D PARTNER	СИТ	nc			
	n's primary unrelated business activity INVESTM			D PARINER		C-5-1			
	the corporation a subsidiary in an affiliated group or a pare and identifying number of the parent corporation	กเ-รนบร	idiary controlled group?	- [Y	es A NO			
	ELLEN TAUS, CFO		Telenh	one number > 2	12-	852-8361			
	d Trade or Business Income		(A) Income	(B) Expense:		(C) Net			
1a Gross receipts or sal			<u>`</u>						
b Less returns and allo		10		_		·			
2 Cost of goods sold (2							
3 Gross profit Subtrac	·	3							
4a Capital gain net incor	ne (attach Schedule D)	4a							
(60) Net gain (loss) (Form	4797, Part II, line 17) (attach Form 4797)	4b	-85,296.			-85,296.			
Capital loss deductio		4c			·				
5 Income (loss) from p	artnerships and S corporations (attach statement)	5	-2849465.	STMT 3	3	-2849465.			
୍ରିଲି Rent :ncome (Schedi	le C)	6							
7♥ Unrelated debt-financ		7							
	yalties, and rents from controlled organizations (Sch. F)	8							
	a section 501(c)(7), (9), or (17) organization								
(Schedule G)		9							
10 Exploited exempt act		10				 			
11 Advertising income (scredule J) structions, attach schedule)	11		· · · · · · · · · · · · · · · · · · ·					
13 Total. Combine lines		12	-2934761.			-2934761.			
	ns Not Taken Elsewhere (See instructions for					2754701.			
	contributions, deductions must be directly connected			s income.)	, .				
14 Compensation of of	icers, directors, and trustees (Schedule K)				14				
15 Salaries and wages					15				
16 Repairs and mainter	RECEIVED				16				
17 Bad debts			CEE COMM	EMENTED 24	17	2 110 541			
18 Interest (attach sche	11.21	OSC	SEE STATI	EMENT 34 FEMENT 40	18	2,110,541. 5,866.			
19 Taxes and licenses		Ω		TEMENT 41	19	3,000.			
Charitable contributeDepreciation (attach	ons (See instructions to limitation rules)		21	IDIVIDIRE	20				
	aimed on Schedule A and elsewhere on return UT	ĺ	22a		22b				
23 Depletion	milled oil Schedule V and elsewhere dil lotter.	****	[220]		23				
•	erred compensation plans				24				
25 Employee benefit pr	·				25				
26 Excess exempt expe					26				
27 Excess readership c					27				
28 Other deductions (at			SEE SȚATI	EMENT 35	28	2,356,598.			
20 Total doductions	Add lines 14 through 28	-	•		29	4,473,005.			
30 Unrelated business t	exable income before net operating loss deduction. Subtractively (imited to the amount on line 30).	t line 29	from line 13	PPS (PS (m) :=	30	-7407766.			
31 Net operating loss d	duction (limited to the amount on line 30)		SEE STAT	EMENT 42	31	0.			
32 Unrelated business t	exable income before specific deduction. Subtract line 31 from	om line	30		32	-7407766.			
33 Specific deduction (Generally \$1,000, but see instructions for exceptions)				33				
	ss taxable income. Subtract line 33 from line 32. If line 3	33 is gr	eater than line 32, enter th	ne smaller		m.10			
of zero or line 32					34	-7407766.			

923701 01-08-10 LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions 424

SCHEDULE D (Form 1120)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses



► Attach to Form 1120, 1120-C, 1120-F, 1120-FSC, 1120-H, 1120-IC-DISC, 1120-L, 1120-ND, 1120-PC, 1120-POL, 1120-REIT, 1120-RIC, 1120-SF, or certain Forms 990-T. See separate instructions.

OMB No 1545-0123

Employer identification number

THE ROCKEFELLER Part I Short-Term Capit			ts Held One Year	or Less		1659629
(a) Description of property (Example 100 shares of Z Co)	(b) Date acquired (mo , day, yr)		(e) Cost or other (see instruction		(f) Gain or (loss) (Subtract (e) from (d))	
<u> </u>					_	
			···········			
			. <u> </u>			
SEE STATEMENT 36						114,152
2 Short-term capital gain from install	ment sales from Form	6252, line 26 or 37			2	
3 Short-term gain or (loss) from like-	•	Form 8824			3	
4 Unused capital loss carryover (attac	•		SEE ST	ATEMENT 38	4	(407,251.
5 Net short-term capital gain or (loss)					5	-293,099
Part II Long-Term Capita	al Gains and Lo	osses - Asset	s Held More Than	n One Year		
		-				
						
······································						
SEE STATEMENT 37						85,435
7 Enter gain from Form 4797, line 7 o	or 9				7	
B Long-term capital gain from installn	nent sales from Form	6252, line 26 or 37			8	
3 Long-term gain or (loss) from like-l	= = = = = = = = = = = = = = = = = = =	orm 8824			9	
Capital gain distributions (see instru	· ·				10	05 435
Net long-term capital gain or (loss)		ough 10	<u> </u>		11	85,435
Part III Summary of Parts			1 (I 44)		10	
2 Enter excess of net short-term capit	• • •	•	• •	. F\	12	
Net capital gain Enter excess of netAdd lines 12 and 13 Enter here and					13	·
qualified timber gain, also complete		r i, inie o, or the pit	per mie on other returns	ii tile corporation ilas	14	0
Note If losses exceed gains, see C:		structions			<u> </u>	L
Part IV Alternative Tax fo			ed Timber Gain. C	omplete Part IV only	if the co	rporation has qualified
timber gain under secti	on 1201(b). Skip thi	s part if you are fi	ling Form 1120-RIC. Se	e instructions		
5 Enter qualified timber gain (as defin	ed in section 1201(b)	(2))	15		_	
6 Enter taxable income from Form 1120, page	ge 1, line 30, or the applic	able line of your tax ret	um 16		_	
7 Enter the smallest of (a) the amount on line 15, (b) t	the amount on line 16 or (c) the	amount on Part III, line 13	17		_ ,	1
8 Multiply line 17 by 15%			1 - 1		18	
9 Subtract line 13 from line 16 if zero			19		-} .	,
Enter the tax on line 19, figured usin Schedule D (Form 1120) is being fil	-	iule (or applicable ta	ax rate) appropriate for the	return with which	20	
1 Add lines 17 and 19	ieu		21		20	
2 Subtract line 21 from line 16 If zero	orless enter-0-		22		-	
3 Multiply line 22 by 35%			L .		23	
4 Add lines 18, 20, and 23					24	
5 Enter the tax on line 16, figured using	ng the Tax Rate Sched	lule (or applicable ta	ex rate) appropriate for the	return		
with which Schedule D (Form 1120) is being filed				25	
6 Enter the smaller of line 24 or line 25 Also	enter this amount on For	m 1120, Schedule J, lir	ne 2, or the applicable line of yo	our tax return	26	
WA For Paperwork Reduction Act No 21051 2-18-09	otice, see the Instruct	ions for Form 1120			Sci	nedule D (Form 1120) (20

Schedule K-1

	WIE DOCKER		ON	12 16	50620		0
Form 990-1		ELLER FOUNDATI	LON	13-16	<u> </u>		Page
Part I							
35	Organizations Taxable as Corpora		. —				
	Controlled group members (section						
а	Enter your share of the \$50,000,\$	•		er)			
	(1) \$	(2) [\$	(3) [\$				
b	Enter organization's share of (1) A						
	(2) Additional 3% tax (not more th	• •	\$				^
_	Income tax on the amount on line			•	35c		0
36	Trusts Taxable at Trust Rates. Se	e instructions for tax computat	tion. Income tax on the amount	on line 34 from.			
	Tax rate schedule or	Schedule D (Form 1041)		>	36		
37	Proxy tax. See instructions			>	37		
38	Alternative minimum tax				38		
39	Total Add lines 37 and 38 to line 3	35c or 36, whichever applies			39		0
Part I	Tax and Payments						
40a	Foreign tax credit (corporations att	tach Form 1118, trusts attach (Form 1116)	40a			
b	Other credits (see instructions)			40b	_		
C	General business credit. Attach Foi	rm 3800		40c			
đ	Credit for prior year minimum tax ((attach Form 8801 or 8827)		40d			
	Total credits. Add lines 40a through			<u> </u>	408		
41	Subtract line 40e from line 39	•			41		0
42	Other taxes Check if from Fe	orm 4255 🔲 Form 8611 [Form 8697	66 Other (attach schedule)	42		
43	Total tax. Add lines 41 and 42				43		0
44 a	Payments A 2008 overpayment c	redited to 2009		44a 500,000			
	2009 estimated tax payments			44b	7		
	Tax deposited with Form 8868			44c	1		
	Foreign organizations. Tax paid or	withheld at source (see instruc	ctions)	440	1		
	Backup withholding (see instructio		SEE STATEMENT 44		. 1		
	Other credits and payments	Form 2439	`		1 1		
-	Form 4136	Other		441			
45	Total payments Add lines 44a thro			——————————————————————————————————————	45	500,	033
	Estimated tax penalty (see instructi	=	tached >		46		
	Tax due If line 45 is less than the t			•	47		
	Overpayment If line 45 is larger th			•	48	500,	033
	Enter the amount of line 48 you wa			033. Refunded	49		0
Part V				on (See instructions on page	je 17)		
	ry time during the 2009 calendar ye					Ye	s No
	k, securities, or other) in a foreign (<u> </u>	_	-		X	
Finai	ncial Accounts If YES, enter the na	me of the foreign country here	SEE STATEMEN	IT 39			
2 Dunn	ncial Accounts If YES, enter the na g the tax year, did the organization receiv 6, see page 5 of the instructions for other	re a distribution from, or was it the g	grantor of, or transferor to, a foreign tr	ust?			X
3 Fnte	r the amount of tax-exempt interest	received or accrued during th	ne tax vear ▶ \$				1
	ule A - Cost of Goods S					 	
			N/A	1			
1 Inve	ntory at beginning of year	T ₁ T	6 Inventory at end of year		6		 -
	hases	2	7 Cost of goods sold. S				
	of labor	3	from line 5 Enter here		7		
	tional section 263A costs	4a		263A (with respect to	<u></u>	Yes	s No
	r costs (attach schedule)	4b		acquired for resale) apply to		1.00	* 1
	I Add lines 1 through 4b	5	the organization?	acquired for result apply to		İ	$ \mathbf{x} $
5 Tota	Lindon possition of puriting I decise the	hard hours are annual this entire trade	uding accompanying pohadulas and i	statements, and to the best of my kno	wiedge and	belief, it is true.	
Sign	correct, and complete Declaration of	preparer (other than taxpayer) is bas	sed on all information of which prepa	ret has any knowledge			
Here	I Cla	Mus 111/	8/0 NOFFICER	\	•	liscuss this retui hown below (se	
	Signature of officer	Date	Title		nstructions)?		No.
	- N. II		Date	n-	eparer's SS		
Paid	Preparer's signature	The Migain	- CPA 11-4-16	, 000		543209	
reparer	S Firm's name (D- O ACON	~~	NNS & DOBBINS,		3-338		
Jse Only	yours if self- employed), 60 EA		T, 36TH FL.	Phone no			
	address, and	ODV NV 1016E		[Filolic ild	/212	1206 2	600

Form 990-T (2009)

(212)286-2600

Paid

Preparer's

Use Only

NEW YORK, NY 10165-3698

Form 990-T (2009)	 ROCKEFELLER	FOUNDATION a Section 501(c)(7), (9),	

1	3-	1	6	5	9	6

Schedule G - Investme	nt Income of a uctions on page 20)		501(c)(7), (9), or (17) O	ganizat	ion			
1 Descr	aption of income			2 Amount of income		uctions connected schedule)	4 Set-asides (attach schedule)	5 Total deductions and set-asides (col 3 plus col 4)	
(1)	<u> </u>				· ,		·		
(2)									
(3)				_					
(4)									
				Inter here and on page 1, Part I, line 9, column (A)				Enter here and on page 1, Part I, line 9, column (B)	
Totals				0.				0.	
Schedule I - Exploited	Exempt Activity ctions on page 21)	Income	, Other		ing Inco	me	*************		
		2 -		4 Net income (loss)				7 -	
1 . Description of exploited activity	2. Gross unrelated business income from trade or business	3. Expering directly con with produced of unrels business in	nected uction ated	from unrelated trade or business (column 2 minus column 3) If a gain, compute cols 5 through 7	5. Gross from acti is not ui business	vity that hrelated	5. Expenses attributable to column 5	7. Excess exempt expenses (column 6 minus column 5, but not more than column 4)	
(1)									
(2)									
(3)									
(4)									
	Enter here and on page 1, Part I, line 10, col (A)	Enter here page 1, F	Part I,				·····	Enter here and on page 1, Part II, line 26	
Totals	0.		0.					0.	
Schedule J - Advertisir	ng Income (see i	nstructions	on page 2	21)		-			
Part I Income From F	Periodicals Rep	orted on	a Cons	solidated Basis					
1 Name of periodical	2. Gross advertising income		Direct sing costs	4. Advertising gain or (loss) (col 2 minus col 3) If a gain, compu cols 5 through 7	5. Cii	culation come	6. Readership costs	Excess readership costs (column 6 minus column 5, but not more than column 4)	
(1)		_		· · · · · · · · · · · · · · · · · · ·					
(2)	· · · · ·			1					
(3)				1					
(4)				1		_			
					1				
Totals (carry to Part II, line (5))	•	0.	0.			-		0.	
Part II Income From F					each nerio	dical listed in	Part II fill in		
	7 on a line-by-line ba		и Осри	iate basis (For	sacri perio	alcai iistea iii	1 art 11, 111 111		
		ĺ		4 4	·			7. Excess readership	
1. Name of periodical	1. Name of periodical 2. Gross advertising income		Direct sing costs	4 Advertising gain or (loss) (col 2 minus col 3) If a gain, compu cols 5 through 7		tulation 6. Readership costs		Excess readership costs (column 6 minus column 5, but not more than column 4)	
(1)									
(2)									
(3)									
(4)									
(5) Totals from Part I		0.	O.					0.	
	Enter here and o page 1, Part I, line 11, col (A)	page line 1	ere and on 1, Part I, 1, col (B)					Enter here and on page 1, Part II, line 27	
Totals, Part II (lines 1-5)		0.	0.					0.	
Schedule K - Compens	sation of Office	rs, Direct	ors, an	d Trustees (see	Instructio				
1. N	ame			2. Title			ensation attributable related business		
			_				%		
							%		
			ļ				%		
			<u> </u>			<u> </u>	_%		
Total. Enter here and on page 1, P	art II, line 14						<u> </u>	0.	
								Form 990-T (2009)	

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Schedule C - Rent Incor	ne (From Real	Property	and	l Personal	Propert	y Leas	ed With Real P	rope	erty)(see instr on pg 18)	
1. Description of property								_		
(1)										
(2)										
(3)						_				
(4)	2. Rent receiv	ed or accrued				_				
(a) From personal property (if the			n mal o	nd personal proper	h. (if the new	entage	3(a) Deductions dire	ectly cor	nnected with the income in	
rent for personal property is 10% but not more than	s more than	of re	ent for pa	ersonal property ex t is based on profit	ceeds 50% o	or if	columns 2(a) and 2	(b) (attach schedule)	
(1)								<u>-</u> _		
(2)										
(3)	· - ·				<u> </u>					
(4) Total	0.	Total				0.				
							 (b) Total deductions			
(c) Total income. Add totals of columbere and on page 1, Part I, line 6, co	lumn (A)	<u> </u>	<u> </u>			0.	Enter here and on page Part I, line 6, column (B)	1.	0	
Schedule E - Unrelated	Debt-Financed	Income	(See	Instructions of	n page 19)	2 5			
				2 Gross income from				y connected with or allocable financed property		
1. Description of d	ebt-financed property			or allocable to debt- financed property		(a)	Straight line depreciation (attach schedule)	'	(b) Other deductions (attach schedule)	
(1)										
(2)										
(3)					-		•			
(4)										
4 Amount of average acquisition debt on or allocable to debt-financed property (attach schedule) 5 Average adjusted basis of or allocable to debt-financed property (attach schedule)			•	6 Column 4 divided by column 5			7. Gross income reportable (column 2 x column 6)		8 Allocable deductions (column 6 x total of columns 3(a) and 3(b))	
(1)					%	,				
(2)			-		%					
(3)					%	,				
(4)			_		%	,				
				ere and on page 1, ine 7, column (A)	Enter here and on page 1, Part I, line 7, column (B)					
Totals					l	▶		0.	0	
Total dividends-received deduction									0 .	
Schedule F - Interest, A	nnuities, Royal						nizations (See	instruc	ctions on page 20)	
		E	xemp	t Controlled O	rganizatio	ns			····	
Name of controlled organization	Employer id:	2. Identification with the control of the control o		unrelated income Total of		4. of specified ents made	Part of column 4 that is included in the controlling organization's gross income		connected with income	
(1)										
(2)										
(3)					I .					
(4)										
Nonexempt Controlled Organiza	itions									
7. Taxable Income 8. Net unrelated income (loss) (see instructions)		9 Total of specified payments made		in the cor	Part of column 9 that is included in the controlling organization's gross income		11 Deductions directly connected with income in column 10			
(1)						-		+		
(2)				·· ·· · · · · · · · · · · · · · · · ·				†		
(3)								\vdash		
(4)							 	ļ - · -		
		· · · · · · ·			ŀ	Add columns Enter here ar ine 8, colum	nd on page 1, Part I,	Enter	columns 6 and 11 here and on page 1, Part I, , column (B)	
Totals							0.		0 .	
923721 01-08-10								-	Form 990-T (2009	