Form Approved: OMB No. 3209-0001

## Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

5 C.F.R Part 2634 U.S. Office of Government Ethics Date of Appointment, Candidacy, Election Reporting Status Termination Date (If Appli-cable) (Month, Day, Year) Fee for Late Filing Calendar Year Covered by Report New Entrant, Nominee. Termination Any individual who is required to or Nomination (Month, Day, Year) (Check Incumbent X or Candidate Filer appropriate boxes) file this report and does so more than 30 days after the date the report is First Name and Middle Initial Last Name required to be filed, or, if an extension Reporting Individual's Name is granted, more than 30 days after the Holder, Jr. Fric H. last day of the filing extension period Department or Agency (If Applicable) Title of Position shall be subject to a \$200 fee. Position for Which Filing Attorney General Department of Justice Reporting Periods Address (Number: Street, City, State, and ZIP Code) Telephone No. (Include Area Code) Incumbents: The reporting period is Location of Present Office the preceding calendar year except (202) 662-6000 1201 Pennsylvania Ave. NW, Washington, DC 20004-2401 (or forwarding address) Part II of Schedule C and Part I of Schedule D where you must also Position(s) Held with the Federal Title of Position(s) and Date(s) Held include the filing year up to the date Government During the Preceding you file. Part II of Schedule D is not 12 Months (If Not Same as Above) applicable. Termination Filers: The reporting Name of Congressional Committee Considering Nomination Do You Intend to Create a Qualified Diversified Trust? period begins at the end of the period Presidential Nominees Subject to Senate Confirmation covered by your previous filing and ends Judiciary Х Yes at the date of termination. Part IIof Schedule D is not applicable. Certification Date (Month, Day, Year) Signature of Reporting Individual I CERTIFY that the statements I have Nominees, New Entrants and made on this form and all attached Candidates for President and Vice schedules are true, complete and correct President: to the best of my knowledge. Schedule A .- The reporting period for Signature of Other Reviewer Date (Month, Day, Year) income (BLOCK C) is the preceding calendar year and the current calendar Other Review year up to the date of filing. Value (If desired by assets as of any date you choose that is agency) within 31 days of the date of filing. Date (Month, Day, Year Agency Ethics Official's Opinion Signature of Designated Agency Ethics Official/Reviewing Official Schedule B-Not applicable. On the basis of information contained in this report, I conclude that the filer is Schedule C. Part I (Liabilities)in compliance with applicable laws and The reporting period is the preceding regulations (subject to any comments calendar year and the current calendar in the box below). year up to any date you choose that is within 31 days of the date of filing. Signature Date (Month, Day, Office of Government Ethics Use Only Schedule C. Part II (Agreements or Arrangements) -- Show any agreements Comments of Reviewing Officials (If additional space is required, use the everse side of this sheet or arrangements as of the date of filing. (Check box if filing extension granted & indicate number of days Schedule D-The reporting period is the preceding two calendar years and the current calendar year up to the date of filing. Agency Use Only (Check box if comments are continued on the reverse side)

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Reporting Individual's Name Eric H. Holder, Jr.												S	CI	ΙE	DΊ	L	E A	١.													Pa	age Number 2	
Assets and Income		33-			a repo	t clo	of of ose of g pe	of rioc			<i>.</i>											oun n Bl		C f		hat i			n \$2	201)	" is	checked, no	
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 in income during the reporting period, together with such income.  For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than \$1,000 (except report the actual acount of any honoraria over \$200 of your spouse).	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$509,001 \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$ 5,000,000	\$5,000,001 -\$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust		Rent and Royalties		Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000		Am	\$50,001 - \$1,00,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over 35,000,000		Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Central Airlines Common  Examples Doe Jones & Smith, Hometown, State  Kempstone Equity Fund  IRA: Heartland 500 Index Fund		_	   	<u>x</u>	 				— ·				 x x			x						x			 						ما ما	w Partnership Income \$130,000	
Covington & Burling LLP																																\$3,319,256 includes deferred comp	
Foxhall OB/GYN Associates P.C.																																spouse's P.C. income	
Smith Barney IRA Cash		х																х		х		Charles and the Control of the Contr											
PNC Bank (7 accounts, all cash)									x									х							Х								
5 Verizon stock					Х											х								x				-					
Fidelity Contratund (FCNTX)		x											Х							х													
* This category applies only if the asset/income is			at of	the	filer'	s spc	ouse	or d	epen	dent	chil	drer	n. If	the a	sset	inco	me i	is ei	ther	that	of th	he fil	ler o	rjoir	ntly	held	by t	he fi	ler v	vith t	hes	spouse or depende	ent children,

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Reporting Individual's Name									C	CI	TF	ות		R :	A c	or	tint	100	1										Pag	ge Nun	nber			
Eric H. Holder, Jr.										<u> </u>			only		1,5				•					-10+								3		
Assets and Income			v	/alı	ıatio	n o	f As	sets	 S.						Inc	om:	e: typ	e aı	nd ar	nour	nt. I	f"N	lone	(or	less	thai	1.\$20	01)"	'is c	check	ked, 1	no		
				re	porti		erio	d							othe	r en	ntry is	nee	eded	in B	lloc	k C	for t	hat	item	•								
BLOCK A	80001		2003	122	BL	OCK	В	1000000	3 7 7 7	222223		*****	L. : 18	****	-							BI	OCI										Г	
None	None (or less than \$1,001)	\$1,001 \$15,000	\$ 15,001 - \$50,000	\$50,001 - \$100,000	\$104,001 - \$250,000	\$510 (b) - \$1 000 till	Over \$1,000.000 *	\$1,000,001 - \$ 5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001+\$50,000,000	Oyer \$50,000,000	Excepted investment Fund	Excepted Trust	Qualified Trust		Rent and Royalties	Interest	Money (on long than \$201)	\$201 - \$1 (lift)	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	An 000'058': 100'518	\$50,001 - \$100,000	\$100,000 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000		Ind (Sp Ty	Other come pecify ype & actual nount)		Date (Mo., D Yr.) Only Honora	oay.
Fidelity Equity Income II (FEQTX)			x									X							×															
Fidelity Magellan (FMAGX)		x										х							×									-						
Fedelity Puritan (FPURX)			X									х							x															
4 Fidelity Blue Chip Growth (FBGRX)		х										x						,	ζ.															
Fidelity Value (FDVLX)			×									х						,	ζ.															
Fidelity Municipal Money Market (FTEXX)				х								х							x															
7 Foxhall OB/GYN Employee Benefit Plan (see Note 1)						×												)	K															
8 Covington & Burling LLP Defined Benefit Plan (cash balance plan) 9 Covington & Burling LLP Retirement								×										,	K .															
Savings Plan Dodge & Cox Stock Fund (DODGX)					,							×											×											
* This category applies only if the asset/incommark the other higher categories of value, as a			of t	the f	iler's	spou	se or	dep	ende	nt cl	nildr	en.	If the	ass	set/in	com	e is ei	her	that	of the	e file	er or	joint	ly he	eld by	y the	filer	with	h the	spou	se or	deper	ident child	iren,

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5 C.F.R Part 2634 U.S. Office of Government Ethics Page Number Reporting Individual's Name SCHEDULE A continued 4 Eric H. Holder, Jr. (Use only if needed) Assets and Income Valuation of Assets Income: type and amount. If "None (or less than \$201)" is checked, no at close of other entry is needed in Block C for that item. reporting period BLOCK A BLOCK B BLOCK C Type Amount Excepted investment Fund Other Date \$25,000,001 - \$50,000,000 Income Mo., Day. \$5,000,001 - \$25,000,000 \$1,000,001 - \$ 5,000,000 None (or less than \$201) (Specify Yr.) \$500,001 - \$1,000,000 \$100,001 - \$1,000,000 Type & \$100,001 - \$250,000 \$250,001 - \$500,000 Rent and Royalties \$50,001 - \$100,000 Over \$1,000.000 \* \$50,001-\$100,000 Only if Actual Over \$50,000,000 \$15,001 - \$50,000 Qualified Trust Over \$1,000,000\* \$15,001 - \$50,000 \$1,001 - \$15,000 Excepted Trust \$5,001 - \$15,000 Honoraria Amount) \$2,501 - \$5,000 \$1,001 - \$2,500 Capital Gains \$201 - \$1,000 Interest LEFT INTENTIONALLY BLANK 2 Fidelity Traditional IRA -- Fidelity Х Х Х Growth Discovery Fund (FDSVX) 3 Fidelity Traditional IRA - Fidelity X Blue Chip Growth Х Х (FBGRX) 4 Fidelity Traditional IRA - Fidelity Value (FDVLX) Х Х Х 5 | Fidelity Traditional IRA - Fidelity Х Χ Cash Reserves Money Market Χ (FDRXX) 6 T. Rowe Price IRA (spouse) - Equity Χ income Х Χ Х Χ T. Rowe Price IRA (spouse) - Value Х 8 T. Rowe Price IRA (spouse) -X Corporate Income Х Х 9 T. Rowe Price IRA (spouse) - U.S. Bond Index Х

This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children. mark the other higher categories of value, as appropriate.

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Reporting Individual's Name								S	CH	Œ	DU	LE	A	co	nti	nu	ed						1				Page Number	5	
Eric H. Holder, Jr.										(U	se c	only	if	need	led)	)				,									
Assets and Income				at	clos	e of	ssets	<b>S</b>					I o	ncon	ne:	type y is r	and	amo	ount n Ble	. If	"No	ne (o that	r less	tha	n \$2	01)"	is checked, n	0	
DI OCTA			1		rting		od														DI O	27.0				9.24	and the first		
BLOCK A					BLOCI	⊗ I								Т	ype		-				BLO	mou					1.24		
															) pc		.1											$\neg$	
																								00000				ľ	
None	None (or less than \$1,001)	\$1,001 - \$15,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500.001 - \$1,000,000	\$1,000,001 - \$ 5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Chulcha Links	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201-\$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	515/001 - 520/000 650 001 - 6100 000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)		Date (Mo., Day, Yr.) Only if Honoraria
T. Rowe Price IRA (spouse) – Blue Chip Growth		x									×						х												
Foxhall OB-GYN Associates P.C capital account (spouse)				x													х												
Covington & Burling LLP partner separation payment							Х										х												
Covington & Burling LLP partner capital account						x											х												
5																													
6																													
7																													
8																													
9																													
* This category applies only if the asset/income mark the other higher categories of value, as appr	-	that o	of the	filer	's spo	use c	or dep	ende	nt ch	ildre	n. Ii	f the a	isse	t/inco	me i	is eith	er th	at of	the	filer	or jo	ntly l	held b	y the	filer	with	the spouse or	depen	dent children,

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Reporting Individual's Name				No., and a second							-			Page Nu	amber		
Eric H. Holder, Jr.			SCI	HEDUL	EC							.,				6	
Part I: Liabilities	***************************************			,												w	
Report liabilities over \$10,000 owed to ar		personal residence unless it is by automobiles, household fu			2	No	ne X			** 1.	Catego	ry of A	mount	or Valu	ле (x)	0.00	60 ba
any time during the reporting period by y or dependent children. Check the highest during the reporting period. Exclude a m	amount owed ortgage on your	liabilities owed to certain rela See instructions for revolving	tives listed in inst charge accounts.		Date Incurred	Interest Rate	Term if applicable	10,001	50,000	50,001 -	,00,001	250,001 - 500,000	500,000	ver 1,000,000*	1,000,0001	25,000,000	25,000,001 - 50,000,000
Creditors (Name and Examples: First District Bank, Washington	DC	Mortgage on rental property, Del	f Liability aware		1991	8%	25 yrs.	<i>s s s</i>	S 55	ж ы	69 69 ——————————————————————————————————	69 69 	\$ \$ -	0 \$	<i>₩ ₩ ₩</i>	<i>iii iii</i>	# # O
John Jones, 123 J St., Washingt	on, DC and except .	Promissory note		A STATE OF THE STA	1999**	10.78	on demand	2017.70	٠.			X					
2								-	-								+
3																	
4								$\vdash$	-								_
5								-									
* This category applies only if the liability with the spouse or dependent children, m			n. If the liability	is that of the	filer or a j	oint liabili	ty of the file	r									
Part II: Agreements or A	and the desired and the						1					- 1,			Ñ	C. C. P.	5.
Report your agreements or arrangem employee benefit plan (e.g. 401k, de payment by a former employer (inclu	ents for: continuing p ferred compensation;	(2) continuation					4) future er r any of the					nefits			ie repo	orting	ř

"". · · ·		1,11		7 1	11	The state of the s	2.5
dina James	Status and Terms of any Agreement or Arrangement			in agai	Parties	at marer :	Date
Example:	Pursuant to partnership agreement, will receive lump sum payment of capital account & part calculated on service performed through 1/00.	4 1 1 1	i Distriction Definition		Doe Jones & Smith, Hemetown, Star		7/85
1 Pursi	uant to firm partnership agreement, will receive (i) repayment of capital	account, (ii) pay	yment of deferred		Covington & Burling LLP, Was	shirigton, DC	7/2001
comp	ensation from prior fiscal year, (iii) share of firm profits from current fiscal year	(based on work pe	erformed through date	4			4
of sep	paration), and (iv) separation payment, calculated based on standard methodo	logy applicable to	departing partners.				
2 Conti	nued participation in Covington & Burling Retirement Savings Plan; no addition	nal contributions.			Covington & Burling LLP, Was	shington, DC	7/2001
3 Conti	nued participation in Covington & Burling Defined Benefit Plan; no additional c	contributions.			Covington & Burling LLP, Was	shington, DC	7/2001
4							
5							

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Reporting Individual's Name				Page Number	
Eric H. Holder, Jr.		SCHEDULE	D	-	7
Part I: Positions Held Outs Report any positions held during the a compensated or not. Positions include director, trustee, general partner, propri	pplicable reporting period, whether but are not limited to those of an office	r. non-profit organization or e	on, firm, partnership, or other business enterprise of ducational institution. Exclude positions with religentities and those solely of an honorary nature.		e and
Organ	ization (Name and Address)	Type of Organiza	tion Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples: Nat'l Assn. of Rock Collectors Doe Jones & Smith, Hometow	, NY, NY	Non-profit education Law firm	President Partner	6/92 7/85	Present 1/00
1 Covington & Burling LLP, Wash	hington, DC	Law firm	Partner	2001	present
2 American Constitution Society,	Washington, DC	Non-profit	Board member	2003	present
3 Columbia University		Non-profit	Trustee	2007	present
4 Georgetown Day School		Non-profit	Trustee	2006	present
5 Meyer Foundation		Non-profit	Board member	2001	present
6 Morehouse School of Medicine		Non-profit	Trustee	2005	present
7 Save the Children Foundation		Non-profit	Board member	2004	present
8 Turnaround for Children		Non-profit	Board member	2004	present
9 Washington, DC Police Founda	ation	Non-profit	Chairman	2006	present
Report sources of more than \$5,000 co business affiliation for services provide	Excess Of \$5,000 Paid by One ompensation received by you or your ed directly by you during any one year or names of clients and customers of any	corporation, firm, partnersh organization when you dire	ip, or other business enterprise, or any other non-potly provided the services generating a fee or paymed not report the U.S. Government as a source,	rofit if you are a ent Terminatio Vice President	ntial Candidate
Source (Name and Address)	The Markey and the Committee of the Comm		Brief Description of Duties	11 17 10 10 10 10 10	
Examples: Doe Jones & Smith, Hometow Metro University (client of Do	n, State e Jones & Smith), Moneytown, State	Legal services  Legal services in connection			
1 Covington & Buriing LLP		Legal services			
2 Abbott Laboratories		Legal services			
3 Bank of America	**************************************	Legal services			
4 Chiquita Brands International, I	nc.	Legal services			
5 Entertainment Software Rating	Board	Legal services			
6 Hewlett Packard Co. Special Li	itigation Committee	Legal Services			
Prior Editions Cannot Be Used				_	

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Reporting Individual's Name		All Marie Control of the Control of	The state of the s	Page Number	
Eric H. Holder, Jr.		SCHEDULE D continued	<b>.</b> .	8	3
compensated or not. Positions inclu	tside U.S. Government e applicable reporting period, whether ude but are not limited to those of an offic oprietor, representative, employee, or	er. non-profit organization or educational	artnership, or other business enterprise or an i institution. <b>Exclude</b> positions with religiound those solely of an honorary nature.	ly is.	·
Ors	ganization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Nat'l Assn. of Rock Collect	ors, NY, NY	Non-profit education	President	6/92	Present
Doe Jones & Smith, Homet  Common Good, New York, N		Law firm Non-profit	Partner Advisory board member	7/85	2008
National Center for Victims c	of Crime, Washington, DC	Non-profit	Board member	2003	2007
3 District of Columbia Education	on Compact, Washington, DC	Non-profit	Board member	2006	2007
District of Columbia Commis Tenure, Washington, DC	sion on Judicial Disabilities and	Government agency	Commissioner	2002	2008
5	W				
Report sources of more than \$5,000 business affiliation for services pro-	)	corporation, firm, partnership, or othe of organization when you directly provic of more than \$5,000. You need not re	by business enterprise, or any other non-profiled the services generating a fee or payment eport the U.S. Government as a source.  Brief Description of Duties	it if you are ar Termination Vice President	ential tial Candidate
Examples: Doe Jones & Smith, Homes Metro University (client of	own, State Doe Jones & Smith), Moneytown, State	Legal services  Legal services in connection with unive  Legal services	rsity construction		
2 Merck & Co.		Legal services			
3 Merck/Schering-Plough Pha	rmaceuticals	Legal services			***
4 National Football League		Legal services			
5 Pfizer, Inc.		Legal services			
6 Public Services Enterprise G	Group Incorporated	Legal services			
Prior Editions Counct Do Hood					

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Part I: Positions Held Outside U.S. Government Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or  Organization (Name and Address)  Type of Organization  Position Held  Prom (Mo., Yr.)  To (Mo., Yr.)  Non-profit education  Pesident  Operation (Name and Address)  Law firm  Partner  Partner  Partner  Partner  Operation (Name and Address)  Type of Organization  Position Held  Prom (Mo., Yr.)  To (Mo., Yr.)  To (Mo., Yr.)  To (Mo., Yr.)  Present  Law firm  Partner  Operation (Name and Address)  Law firm  Partner  Operation (Name and Address)  Type of Organization  Position Held  Prom (Mo., Yr.)  To (M	Reporting Individual's Name				* * * * * * * * * * * * * * * * * * * *		Page Number	
Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or  Organization (Name and Address)  Type of Organization  Organization (Name and Address)  Type of Organization  Organiza	Eric H. Holder, Jr.	S	SCHEDULE D conti	inued —————				9
Organization (Name and Address) Type of Organization Position Held From (Mo., Yr.) To (Mo., Yr.) To (Mo., Yr.) To (Mo., Yr.) Present Doe Jones & Smith, Hometown, State Law firm Partner  7/85 1/00  1  2  3	Report any positions held during the a	applicable reporting period, whether e but are not limited to those of an officer,	non-profit organization or edu	cational institution.	Exclude positions with	h religious.		
Doe Jones & Smith, Hometown; State   Non-profit education.   President   6/92   Present	Orga	nization (Name and Address)	Type of Organization	n l	Position Held	- EWSA II		To (Mo., Yr.)
2 3 4 5	Examples: Nat'l Assn. of Rock Collector Doe Jones & Smith, Hometov	s, NY, NY	Non-profit education	Pr	esident		6/92	Present
5								
5	3							
	4							
6	5							
	6							
Part H: Compensation In Excess Of \$5,000 Paid by One Source  Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any the reporting period. This includes the names of clients and customers of any the reporting period. This includes the names of clients and customers of any the reporting period. This includes the names of clients and customers of any the reporting period. This includes the names of clients and customers of any the reporting period of more than \$5,000. You need not report the U.S. Government as a source.  Do not complete this particular, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.  Vice Presidential or Presidential Candidates the names of clients and customers of any the reporting period.	Report sources of more than \$5,000 c business affiliation for services provid the reporting period. This includes the	compensation received by you or your ded directly by you during any one year of the names of clients and customers of any	corporation, firm, partnership, organization when you directly	y provided the serv	ices generating a fee or	payment	if you are a Terminatio Vice Presider or Presider	n Incumbent, n Filer, or dential ntial Candidate
Source (Name and Address)  Brief Description of Duties	Source (Name and Address)				Description of Duties	grapher (		
Doe Jones & Smith, Hometown, State   Legal services	Metro University (client of D	oe Jones & Smith), Moneytown, State	Legal services in connection w	ith university construc	ation			
1 The National Geographic Society Legal services	The National Geographic Soci	lety	Legal services					
2 UBS Financial Services Inc. Legal services	2 UBS Financial Services Inc.		Legal services					
3 Yahoo Corporation Legal services	3 Yahoo Corporation		Legal services		***************************************			
4	4							
5	5							
6	6							

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## Note 1 to SF-278 of Eric H. Holder Jr.

The "Foxhall OB/GYN Employee Benefit Plan" disclosed on Page 3, Line 7, of Schedule A, has two components. Through the first component of the plan, the participant selects individual investment options for contributions. The selected investments of the filer's spouse are disclosed in Schedule A on Page 4, Lines 6 through 9 and on Page 5, Line 1. Through the second component of the plan, the participating members of the filer's spouse's medical practice pool their contributions. These pooled contributions are invested by the fund trustees through a TD Ameritrade investment account. Filer's spouse holds a 10.9% interest in the pooled component of the plan. The assets held in the TD Ameritrade Account as of December 31, 2008, are listed below. The values listed are those for the total pooled investment in each holding; income amounts for each asset are not readily ascertainable. The notation "(EIF)" indicates that a reported asset qualifies as an excepted investment fund. The plan is currently being reviewed with the intent to eliminate the pooled component and instead place all investments in an individual investment component of the plan, which will permit participating employees to select their own options.

Stock = Cash	Value	Symbol/CUSIP
AEGON N V PFD 6.375% PERP/CALL	15 – 50 K	Additional of the second of th
BAC CAPITAL TRUST VIII GTD COM		BAC PRZ
BANK OF AMERICA CORP COM	1 – 15 K	
CITIGROUP CAPITAL XIV CALLABLE PFD 6.875%	50 – 100 K	
CITIGROUP CAPVII PFD 7.125% 7/31/31 CALLABLE	15 – 50 K	
CITIGROUP INC COM	1 – 15 K	
DIAMONDS TRUST SERIES I COM (EIF)	50 – 100 K	DIA
GENERAL ELECTRIC CO COM	15 – 50 K	
ISHARES RUSSELL 1000 GROWTH FUND (EIF)	100 – 250 K	
ISHARES MSCI EMERGING MKTS (EIF)	50 – 100 K	
ISHARES RUSSELL MIDCAP INDEX (EIF)	50 – 100 K	
JPMORGAN CHASE CAP XXIV PFD SER X 6.875%	50 – 100 K	
CALLABLE	30 100 12	31 172 1 10 11
MERRILL LYNCH CAP TRUST III 7.375% CALLABLE	50 – 100 K	MER PRP
(EIF)	200 120	274202 2 4 5 5
NUVEEN EQTY PRE & GROWTH FUND CLOSED END	15 – 50 K	JPG
FUND (EIF)		
POWERSHARES QQQ (EIF)	50 - 100 K	QQQQ
PUBLIC STORAGE PFD 7.25% SER I	50 – 100 K	PSA PRI
ROYCE VALUE TR INC COM (EIF)	15 – 50 K.	RVT
S&P 400 MID-CAP DEP RECPT COM (EIF)	50-100 K	MDY
SPDR TR UNIT SER 1 (EIF)	100 - 250 K	SPY
TDX INDEPENDENCE 2010 ETF (EIF)	100 - 250 K.	TDD
TDX INDEPENDENCE 2020 ETF (EIF)	50 – 100 K	TDH
U.S. CELLULAR CORP COM	1 – 15 K	UZV
VANGUARD TOTAL STOCK MARKET ETF (EIF)	100 – 250 K	VTI
VANGUARD FUNDS EMERGING MARKETS VIPERS	15 – 50 K	VWO
(EIF)		

WELLS FARGO COMPANY COM	15 – 50 K	WEC
Fixed Income—Cash		Symbol/CUSIP
AMERICAN EXPRESS CR CORP INTE SR UNSECURED	50 – 100 K	
SERIES NOT1 (5.7% 09/15/2001)	30 - 100 R	025ddJAI'5
BANK OF AMERICA CORP CALL STEP 081523 (4.5%	15 – 50 K	06050XMG5
08/15/2023)	13 - 30 K	00030241103
BEAR STEARNS COMPANIES INC SUB GLBL 5.55% 17	50 – 100 K	073902PN2
(5.55% 01/22/2017)	30 100 11	0733021112
FANNIE MAE CALL STEP 040626 (5.5% 04/06/2026)	100 – 250 K	3135AOSV2
GNMA PASS-THRU M SINGLE FAMILY (7% 11/20/2027)	1 – 15 K	36202CYF4
GOLDMAN SACHS GROUP INC NT 6.875% 11 (6.875%	100 – 250 K	38141GAZ7
01/15/2011)	100 230 1	Jointone
HERTZ CORP SR NT 6.25% 10 (6.35% 06/15/2010)	15 – 50 K	428040BU2
HSBC FINANCE CORP FR 5.6%061511 (5.6% 06/15/2011)	50 – 100 K	40429XLN4
HSBC USA INC REVRS CV LKD 09 (10% 1/30/2009)	15 – 50 K	4042KORK3
JP MORGAN CHASE BANK CD IDX ZERO 10 (0%	50 – 100 K	48121CQ41
01/29/2010)		
JPMORGAN CHASE & CO GLOBAL MT REVRS EXCH	15 – 50 K	48123LFD1
LKD09 (10.75% 07/31/2009)		
JPMORGAN CHASE & CO GLOBAL MT RERVRS CV	15 – 50 K	481236LFF6
LKD 09 (13% 07/31/2009)		
NEW YORK TELCO DEB 6.125% 10 (6.125% 01/15/2010)	50 – 100 K	650094CD5
SUNTRUST BANK CD IDX ZERO 11 (4.93693%	50 – 100 K	86789VFJ1
09/29/2011)		
Mutual Funds – Cash	Value	Symbol/CUSIP
AIM GROWTH SER SMALL COMPANY GROWTH FD	15 – 50 K	GTSIX
INV (EIF)		
CALAMÓS INVT TR GROWTH FD CL C (EIF)	15 – 50 K	CVGCX
EATON VANCE MUT FDS TR HIGH INCOME FD CL C	50 – 100 K	ECHIX
(EIF)		
FIRST EAGLE SOGEN FDS INC GLOBAL FD CL C (EIF)	100 – 250 K	FESGX
HIGHLAND FUNDS CAPITAL FLOATING RATE ADV	50 – 100 K	XLACX
CL C (EIF)		
MATTHEWS INTL FDS MATTHEWS INDIA FD (EIF)	15 - 50 K	MINDX
MATTHEWS INTL FDS JAPAN FD (EIF)	15 - 50 K	MJFOX
PIONEER FUNDS GLOBAL HIGH YIELD CL C (EIF)	15 - 50 K	PGYCX
ROWE T PRICE SMALL CAP STK FD COM (EIF)	50 - 100 K	OTCFX
TIAA-CREF FUNDS INTERNATIONAL EQUITY INSTL	50 – 100 K	886315779
(EIF)		
UMB SCOUT FDS INTL FD (EIF)	100 - 250 K.	UMBWX
VANGUARD SMALL CAPTZTIN (EIF)	50 – 100 K.	NAESX.
Other-Cash	Value	Symbol/CUSIP:
ADVISORS DISCIPLINED PFD DIV INC PORT SER	50 – 100 K	00766N27
TDA1		
FIRST TRUST UIT 1048 (EIF)	15 – 50 K	30270A313