Investigator notes for: JULIUS M. GENACHOWSKI

OGE Form 278 Financial Disclosure, Mar. 12, 2009

Total holdings disclosed	323 (at least the name of holding)
Total holdings disclosed, without values	207 (64.3% with no value disclosed)
Total holdings disclosed, with values	116 , disclosed value = <u>\$16,904,975</u>
Total holdings valued nominally (\$0-1,001),	
yet generating dividends, interest, capital gains	83, undisclosed value = \$ unknown

Mr. Genachowski's disclosure is 38 pages of confusion and evident deception.

The value he disclosed is \$17 million. However, the undisclosed value is unknown, and apparently much higher due to the nondisclosure of the underlying basis for 83 dividends, interest and capital gains.

White Mountain misrepresentation

For example, he disclosed "White Mountain," which presumably is White Mountain Capital <u>http://www.whitemountaincapital.com/</u> This holding is marked as:

Valuation of Asset:	.X None to less than \$1,001
Income Type:	.X Dividends, X Capital Gains
Income Amount:	.X \$15,001 - \$50,000
This disclosure is nonsensical.	



White Mountain is representative of approx. 83 such nonsensical disclosures in this report.

One cannot generate \$50,000 income from a holding worth nothing. The underlying holding could generate interest, dividend or capital gain, but not more than one for a single transaction. Assuming, for argument's sake, that the holding generated a dividend. Dividends typically range between 4-20% of the underlying value. Therefore, in order to generate a \$50,000 dividend, the valuation of this asset would be between **\$250,000 and \$1,250,000**, not \$0-1,001 as Mr. Genachowski has disclosed.

Spouse fees and compensation misrepresentation & opportunity for mischief

Mr. Genachowski's spouse's holdings, salaries and fees are sporadically disclosed. For example,

"Asset and Income"	"Other (specify Type & Actual Amount)"
AOL Compensation for writing services	No fees identified
Independent Television Services	No fees identified
JWM Productions	No compensation identified
Impact Film Festival	No compensation identified

This nondisclosure could enable this family to disguise tens of millions of dollars in payments and income, since TV and film production and consulting incomes can vary wildly.

It is evident from the structure of this disclosure that Mr. Genachowski plans on claiming inadvertence and confusion with how to fill out the form. In this investigator's experience after studying over one hundred administration financial disclosures, Mr. Genachowski's is the most overtly and apparently misrepresented to date.

Given that Mr. Genachowski ran the Federal **Communication** Commission, one would have expected clear **communication**. The opposite is true. This misrepresentation begs many questions about motive and intent.

No. of Fund Entries: 323 Value/Income: ~ \$16.9 (or more) million (83 holding values & spouse fees under reported)

e.g., Fidelity ranked #3 and Vanguard #9 in fund purchases of Facebook stock after S.E.C. exemption (Source: Wall Street Journal)	Rectored States	All Contractions	1.00.000 1.00.000 0.0000 0.000000	**************************************	400001 (100 gs.)	00-00-00-00-00-00-00-00-00-00-00-00-00-	Contraction of the second seco	and the second s	1000 000 000 000 000 000 000 000 000 00	*
1 Chase Bank Account 1	1					\$ 250,000				\$ 250,000
2 Chase Bank Account 2	1		\$ 15,000							\$ 15,000
3 Chase Bank Account 3	1			\$ 50,000						\$ 50,000
4 Fidelity Cash Reserves	1		\$ 15,000			Juli	us M. Genachowski,	Secy, FCC,		\$ 15,000
5 Fidelity Cash Reserves	1		\$ 15,000			Facebook	"Dark Pools" Holding	s. OGE Form 2	78.	\$ 15,000
6 Fidelity Cash Reserves	1		\$ 15,000				-		,	\$ 15,000
7 Fidelity Diversified International	1			\$ 50,000			Financial Disclosure	, 2009		\$ 50,000
8 Fidelity Dividend Growth	1			\$ 50,000						Ś 50.000
9 Fidelity Investment Grade Bond	1			\$ 50,000						\$ 50,000
10 Fidelity Spartan Total Market Index Fund	1		\$ 15.000							\$ 15,000
11 Fidelity Spartan Total Market Index Investor Fund	1		\$ 15,000			-				\$ 15,000
12 Fidelity Spartan Total Market Index Investor Fund	1		Ş 15,000	\$ 50.000		-				\$ 50,000
13 Fidelity Spartan Total Market Index Investor Fund	1		\$ 15.000							\$ 15,000
13 Total Provide Transmission Provide Transmis			\$ 15,000			JPMorgan Chase	4			\$ 15,000
15 Goldman Sachs Group Inc.	1		15,000							\$ 15,000
16 JP Morgan Chase	1	1	15,000			-				\$ 15,000
17 Vanguard Emerging Markets Stock Index	1	1	3 13,000			-				\$ 15,000
18 Vanguard Inflation-Protected Securities Fund	1		15,000	50.000		-				\$ 50,000
18 Vanguard REIT Index Fund	1			50,000		Vanguard			14	\$ 50,000
	1		15,000	50,000						\$ 50,000
20 Vanguard REIT Index Fund 21 Vanguard Tax Exempt High Yield Fund						-				
		1	\$ 1,000	50.000		-				\$ 1,000 \$ 50,000
	1					-				
	1			50,000		- Goldman Sachs				\$ 50,000
	1			50,000		-				\$ 50,000
	1				\$ 100,000	-	_			\$ 100,000
	1			50,000		-				\$ 50,000
27 Vanguard Total Stock Market Index Fund	1			50,000		_				\$ 50,000
28 Calvert Equity Fund - 529 DC - Self, Owner, Dependent child, Beneficiary (Note 1)			\$ 15,000			Fidelity		[1]		\$ 15,000
29 Calvert Social Index Fund - 529 DC - Self, Owner, Dependent child, Beneficiary (Note 2)			\$ 15,000							\$ 15,000
30 Calvert World values FD INC - 529 DC - Self, Owner, Dependent child, Beneficiary (Note 2)			\$ 15,000			-				\$ 15,000
31 Calvert - CSIF Bond Portfolio - 529 DC - Self, Owner, Dependent child, Beneficiary (Note 2)			\$ 15,000			_	0 5	10	15	\$ 15,000
32 Calvert Capital Accululation - 529 DC - Self, Owner, Dependent child, Beneficiary (Note 2)	\vdash		\$ 15,000			-	-	-		\$ 15,000
33 NY 529 Portfolio Aggressive Growth - 529 DC - Self, Owner, Dependent child, Beneficiary (Note 3)	+			\$ 50,000						\$ 50,000
34 NY 529 Portfolio Mid-Cap Stock Index - 529 DC - Self, Owner, Dependent child, Beneficiary (Note 3)	\vdash			\$ 50,000			+ $+$ $+$			\$ 50,000
35 NY 529 Portfolio Growth Stock Index - 529 DC - Self, Owner, Dependent child, Beneficiary (Note 3)	\vdash			\$ 50,000			+ $+$ $+$			\$ 50,000
36 NY 529 Portfolio Value Stock Index - 529 DC - Self, Owner, Dependent child, Beneficiary (Note 3)				\$ 50,000			+ $+$ $+$			\$ 50,000
37 NY 529 Portfolio Moderate Age-Based Option Growth Portfolio - 529 DC - Self, Owner, Dependent child, Bene	eticiary (Note 4	\$ 15,000				+ $+$ $+$			\$ 15,000
38 CA Total Index Fund - 529 DC - Father-in-law, Owner, Dependent child, Beneficiary (Note 5)	+			\$ 50,000						\$ 50,000
39 13 Partners Private Equity, Fund (Note 14) - Brockway Moran & Partners Fund III L.P.)	\vdash	1			100,000					\$ 100,000
40 13 Partners Private Equity, Fund (Note 14) - Carousel Capital Partners III L.P.	$ \downarrow \downarrow$	1								\$ -
41 13 Partners Private Equity, Fund (Note 14) - Dune Real Estate Fund, LP	$ \downarrow \downarrow$	1								\$ -
42 13 Partners Private Equity, Fund (Note 14) - Edgewater Growth Capital Partners II L.P.	\square	1								\$ -
43 13 Partners Private Equity, Fund (Note 14) - Flexpoint Fund L.P.		1								\$ -
44 13 Partners Private Equity, Fund (Note 14) - Francisco Partners II L.P.	\square	1								\$ -
45 13 Partners Private Equity, Fund (Note 14) - KPS Special Situations Fund III LP		1								\$ -
46 13 Partners Private Equity, Fund (Note 14) - Madison Dearborn Capital Partners V		1								\$ -
47 13 Partners Private Equity, Fund (Note 14) - OCM/GFI Power Opportunities Fund II L.P.		1								\$ -
48 13 Partners Private Equity, Fund (Note 14) - Patron Capital L.P., III		1								\$ -
49 13 Partners Private Equity, Fund (Note 14) - Quadrangle Capital Partners II L.P.		1								\$ -
50 13 Partners Private Equity, Fund (Note 14) - Rutland Fund II L.P., Sageview Capital Partners (A) L.P.		1								\$ -
51 13 Partners Private Equity, Fund (Note 14) - SCF-VI L.P.	ΙĪ	1								\$ -

No. of Fund Entries: 323

No. /	e.g., Fidelity ranked #3 and Vanguard #9 in fund purchases of Facebook stock after S.E.C. exemption (Source: Wall Street Journal)	Contraction of the second	All of the second	and an and a start and a start	*. ²¹⁵ 00,000	a,	940011100 95.1	da store and sto	Condition of the second	40-004-12-0055-0	St. Standard	40-00-10-00-00-00-00-00-00-00-00-00-00-00	Condition of the second s	an and a second	\square	
52	13 Partners Private Equity, Fund (Note 14) - Summit Partners Private Equity VII L.P.		1												\$	-
53	13 Partners Private Equity, Fund (Note 14) - Summit Partners Venture Capital II L.P.		1												s	-
	13 Partners Private Equity, Fund (Note 14) - TDR Capital II Associates L.P.		1												Ś	-
55	13 Partners Private Equity, Fund (Note 14) - Trident IV, L.P.		1												Ś	-
	13 Partners Private Equity, Fund (Note 14) - Walton Street Real Estate Fund V L.P.		1												Ś	-
	13 Partners Private Equity, Fund (Note 14) - Wynnchurch Capital Partners II, L.P.		1												¢	
	3154 Highland Pl, N.W. Washington, DC 20008, mortgage on rental property		-								5,000,000				\$ 50	,000,000
	529 DC -Spouse, Owner, Dependent child, Beneficiary (\$) (Note 15) - Calvert Capital Accumulation		1								3,000,000				¢ 5,5	
	529 DC -Spouse, Owner, Dependent child, Beneficiary (\$) (Note 15) - Calvert Small Cap Value		1												e e	
	529 DC -Spouse, Owner, Dependent child, Beneficiary (\$) (Note 15) - Calvert Social Index Fund		1			50,000									e e	50,000
	529 DC -Spouse, Owner, Dependent child, Beneficiary (\$) (Note 15) - Calvert World values FD INC		1			30,000							1		ş	30,000
	529 DC -Spouse, Owner, Dependent child, Beneficiary (\$) (Note 15) - Calvert World Values PD INC 529 DC -Spouse, Owner, Dependent child, Beneficiary (\$) (Note 15) - CSIF Bond Portfolio		1										<u> </u>		ې د	-
	Allen Arbitrage L.P.		T					<u> </u>	¢ 500.000				-		ې د	-
	Allen Arbitrage L.P. Alliance Bernstein High Income Class C							ł	\$ 500,000							500,000
			+	\$ 15,000				<u> </u>							\$	15,000
	Alitel		1	\$ 5,000											ş	5,000
	Amber Trust II S.C.A.									\$ 1,000,000					\$ 1,0	,000,000
	AOL (S) (compensation for writing services)		1												\$	-
	Bandwidth.com options, 62,000 options - strike price \$12.88 - expiry date 5/15/2016		1												\$	-
	Bandwidth.com, a business communications provider located in Cary, NC							\$ 130,000								130,000
/-	Barclays PLC			\$ 15,000				-								15,000
	Beartooth Capital, an agricultural and ranch property real estate fund based in Bozeman, MT				\$	50,000									\$	50,000
	Brookfield Asset Management Inc.		1	\$ 2,500											\$	2,500
74	CA Intermediate Treasury Index - Father-in-law, Owner, Dependent child, Beneficiary (Note 5)				\$	50,000									\$	50,000
	CA Intermediate Treasury Index - Father-in-law, Owner, Dependent child, Beneficiary (Note 6)				\$	50,000									\$	50,000
	CA International Index - Father-in-law, Owner, Dependent child, Beneficiary (Note 5)				\$	50,000									\$	50,000
	CA International Index - Father-in-law, Owner, Dependent child, Beneficiary (Note 6)				\$	50,000									\$	50,000
	CA Total Index Fund - 529 DC - Father-in-law, Owner, Dependent child, Beneficiary (Note 6)				\$	50,000									\$	50,000
	Caravel Fund Onshore L.P.				\$	50,000									\$	50,000
00	CB Richard Ellis Group, Inc.				\$	15,000									\$	15,000
01	Chase Bank Cash Account			15,000											\$	15,000
	Chevy Chase Bank Account 1				\$	50,000									\$	50,000
83	Chevy Chase Bank Account 2				\$	50,000									\$	50,000
84	Citibank account 1 (S)							250,000							\$ 7	250,000
85	Citigroup Global Markets Holdings Principal Protected Equity Linked Notes Nasdaq 100 index					50,000									\$	50,000
86	Citizens Bank (Sale Escrow Cash)							\$ 250,000							\$ 7	250,000
87	Clarn Partners, LLC			\$ 15,000											\$	15,000
88	DCT Industrial Trust Inc.				\$	15,000									\$	15,000
89	Deutsche Bank escrow account from sale of Socialmedian			15,000												15,000
90	DFJ Element, L.P.							\$ 250,000								250,000
91	Diversified Mortgage Co.		1	\$ 15,000												15,000
	Dreyfus Municipal Money Market Account		T		\$	50,000										50,000
93	Escrow Account (Property Sale)		1			.,		1					1		Ś	-
94	E-Trade Cash		1		\$	50,000		1							\$	50,000
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	General Atlantic, Advisor Fees		1		~	50,000	1	\$ 200,000				1	1			200,000
	General Atlantic, Coinvestment (Note 7) - AKOA (San.Fran. online advertising)		1					÷ 200,000					1		, <u>,</u>	200,000
100	General Atlantic, Convestment (Note 7) - BM&F Bovespa		1						\$ 500,000				1		, ,	- 500,000
	General Atlantic, Convestment (Note 7) - Dice Holdings, Inc.		1					1	ş 500,000						2 5 C	000,000
102	General Atlantic, Convestment (Note 7) - Endeon Business Services (healthcare transactions, Nash. TN)		1					ł					+		>	-

No. of Fund Entries: 323

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	e.g., Fidelity ranked #3 and Vanguard #9 in fund									2	100		\$ /	
	purchases of Facebook stock after S.E.C. exemption	2	× 2		2	38	200	88 B	88 ⁶⁰	500	24 BB	220	13	
	(Source: Wall Street Journal)		in an		and	007.12	1000	33 33	and the second s	33	and a second		20 ⁵⁰	
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100.	General Atlantic, Coinvestment (Note 7) - Gavilon (Ag and energy, Omaha, Neb.)	·/ ·			÷	/ ~	4	/ ~	/ •	/ <'	/ q*	/ 🌮	Q ^r	
	General Atlantic, Coinvestment (Note 7) - Gerico (electronic trading, Chicago, IL)	1							-					ş -
105	General Atlantic, Convestment (Note 7) - Gevity HR Inc.	1												ş -
106	General Atlantic, Coinvestment (Note 7) - IBS Software Services (travel, logistics, Trivandrum, India)	1							-					ş -
107	General Atlantic, Convestment (Note 7) - InS software services (Lavel, logistics, Hivandrum, India)	1												ş -
108	General Atlantic, Coinvestment (Note 7) - Jubilant Organosys	1	-											ş -
109	General Atlantic, Convestment (Note 7) - Jubiant Organosys General Atlantic, Coinvestment (Note 7) - Mercado Libre, Netl	1							-					ş -
110	General Atlantic, Coinvestment (Note 7) - Mercado Libre, Neti General Atlantic, Coinvestment (Note 7) - Mstar Semiconductor Inc. (Trivandrum, India)	1												<u>ş</u> -
111	General Atlantic, Convestment (Note 7) - Network Solutions (domains, Herndon, VA)	1	-											ş -
112		1							-					ş -
113	General Atlantic, Coinvestment (Note 7) - NSE (national stock of exchange of India, Mumbai) General Atlantic, Coinvestment (Note 7) - Qualicorp (insurance, San. Fran, CA)	1	+						<u> </u>					<u>></u>
114	General Atlantic, Coinvestment (Note 7) - Qualicorp (insurance, san. Fran, CA) General Atlantic, Coinvestment (Note 7) - ServiceSource (global outsourcing, San Fran. CA)	1	+						<u> </u>					<u>\$</u> -
115		1	+											<u>></u> -
116	General Atlantic, Coinvestment (Note 7) - Trow Global, Inc. (engineering and consulting, Brampton, Canada) General Atlantic, Coinvestment (Note 7) - UEPS Technologies, Inc.	1	+						<u> </u>					<u>></u> -
117		1	+											<u>></u> -
118	General Atlantic, Coinvestment (Note 7) - WagnerStibbe (medical lab, Gottingen, Germany)	1	+											<u>ş</u> -
119	General Atlantic, Coinvestment (Note 7) - Wuxi Pharma Tec General Atlantic, Coinvestment (Note 7) - Zhong Sheng Group (autodealer, Dailan, China)	1												ş -
120	General Atlantic, Coinvestment (Note 7) - Zhong Sheng Group (autodealer, Dailan, China) GlobalCollect (epayment, Hoofddorp, Netherlans)	1	+											ş -
121		_	-											ş -
	Google Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Bay Area Govt Assn California Tax Alloc Cal Redev Agy			15,000										\$ 15,000
123	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Bay Area Govt Assn California Tax Alloc Cal Redev Agy Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Ca Daily Tax Free Inc Fnd-CLA	Y 1					250,000							\$ 250,000
124		1	-											ş -
125	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Calabasas California Spl Tax Rfdg-Cmnty Facs Dist No I Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - California Health Facs Fing Au Catholic 2005-A Book E	-												<u>ş</u> -
126		-	-											ş -
127	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - California Health Facs Fing Au Sutter Health Mbia Inso													<u>ş</u> -
128	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - California Mobile Home Pk fin A Union City Tropics Se	er 1												<u>ş</u> -
129	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - California St Book Entry 100% Usgv Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Carmichael California Wtr Dist Ctfs Partn Mbia Book E	1												<u>ş</u> -
130	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Cartral California Wtr Dist Cus Parth Nota Book E Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Central California Jt Pwrs HIt Auth Ctfs Parth Cmnty H	-												<u>ş</u> -
131	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Central California Redev Agy Ta Allo Chico Amended & I		-											ş -
132	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Circo California Redev Agy 13 Alio Circo Amerided & Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Cucamonga California Cnty Wtr Dist Fgic Insd Book En	-							-					ş -
155	Goslins Revocable Exemption Trust - Beneficiary (S) Note 10 - Cucanionia California City with Dist Figurisa book en Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Duarte California City Partn R Aca-Cbi Custdl Rcpts Re	-	-											ş -
134		-8 1	-											<u>ş</u> -
135	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Harmonic Drive Systems Inc.	P 1												<u>ş</u> -
136	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Inglewood California Redev Agy Tax Sub Lien Ser A-1 I Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Irvine Calif Pub Facs & Infras Auth Assmt Rev Ser C Bo	_	+						<u> </u>					<u>\$</u> -
137														<u>ş</u> -
138	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Irvine Calif Uni Sch Dist Spl Rfdg Cfd 86-1 Book Entry A Goslins Revocable Exemption Trust - Repeficiary (S) Note 16) - Korn Caty Calif Cffr Back Fire Dort Rice Reve		+											<u>ş</u> -
139	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Kern Cnty Calif Ctfs Partn Fire Dept Pris Book Entry Mi Goslins Revocable Exemption Trust - Repeticiany (S) Note 16) - Lodi Calif Elec Sys Rev Ctfs & Maha land Reek Entry	-												<u>ş</u> -
140	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Lodi Calif Elec Sys Rev Ctfs P Mbia Insd Book Entry Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Long Beach Calif Bd Fin Auth L Rfdg Civic Ctr Pi Ser A E	1	+											<u>ş</u> -
141			+						<u> </u>					<u>\$</u> -
142	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Long Beach Calif Bd Fin Auth N Ser A Book Entry Gtd N	-												<u>ş</u> -
143	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Los Angeles Calif Cmnty Redev Tax Alloc-Hollywood R Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Los Angeles California Wastewt Rfdg Ser A Fgic Insd B	-												<u>ş</u> -
144		-	-						-					ş -
145	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Los Angeles Cnty California Ct Rfdg Disney Parking Pri Coclins Revocable Exemption Trust - Repeticion (S) Note 16) - Moderte California Ct Rfdg Departic With Proj	_												<u>ş</u> -
146	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Modesto Calif Irr Dist Fing Au Rfdg Domestic Wtr Proj	_	-											ş -
147	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Mulberry Terrace Apts. 14480 Mulberry Dr, Whittier,		-						-					ş -
148	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Orange Cnty Calif Cmnty Facs D Tax No 02-1-Ladera R		+						<u> </u>					<u>ş</u> -
149	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Oxnard Calif Fing Auth Wrt Rev Ambac Insd Book Entr	ry 1	-											ş -
150	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Oxnard Calif Impt Bd Act 1915 Assmt Dist No 01	1	-									<u> </u>		<u>ş</u> -
151	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Portervill Calif Ctfs Partn C Swr Svs Refing Proj Ambac		-						-					ş -
152	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Rancho Cordova Calif Ctfs Part Operations Fac Acquisi	-												<u>ş</u> -
153	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Redwood City Calif Sch Dist Fgic Insd Book Entry	1	-						-					ş -
154	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Reedley Calif Pub Fing Auth Wa Book Entry XIca Insd	1	-						-					ş -
155	Goslins Revocable Exemption Trust - Beneficiary (S) Note 16) - Rocklin Calif Redev Agy Tax al Rocklin Redev-A Book E	Er 1												Ş -

No. of Fund Entries: 323

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	r (S) Note 16) - Sacrament Calif City Fing Aut Rev Cal Epa Bldg-Se		1												\$	-
157 Goslins Revocable Exemption Trust - Benefician	r (S) Note 16) - Sacramento California City Fin Lease Rev Cal Epa	Bldg S	1												\$	-
158 Goslins Revocable Exemption Trust - Benefician	(S) Note 16) - San Fancisco Calif City & Cnf Cnt Commn Intl Arpt	t Rev	1												\$	-
159 Goslins Revocable Exemption Trust - Benefician	(S) Note 16) - San Francisco Calif City & Cnt Affordable Hsg Mbi	ia Insc	1												\$	-
160 Goslins Revocable Exemption Trust - Benefician	(S) Note 16) - San Francisco Calif City & Cnt Pkg Meter Fgic Insd	l Book	1												\$	-
161 Goslins Revocable Exemption Trust - Benefician	(S) Note 16) - San Joaquin Cnty California Cm Dist Spl Tax Delta	Farm	1												Ś	-
162 Goslins Revocable Exemption Trust - Benefician	(S) Note 16) - San Jose Calif Redev Agy Tax A Merged Area Red	ev Pro	1												Ś	-
163 Goslins Revocable Exemption Trust - Benefician	(S) Note 16) - San Mateo Cnty California Jt P Auth Lease Rev Rfe	dg Ca	1												Ś	-
	(S) Note 16) - Studebaker Manor Apartments, 13000 Studenba	ker Ro	1												ŝ	-
	y (S) Note 16) - Tustin California Uni Sch Dist Cmnty Facs Dist #88	8-1 Fs	1												ŝ	-
166 HAS Bank Account			-	\$ 15,000	1								1		s	15,000
167 HBM, Inc.			1	10,000	s	50,000				1	İ		1		Ś	50,000
168 IAC/InterActive Corp.			1	\$ 15,000	T	23,000		\$ 100,000			1	İ	1		\$	115,000
169 Impact Film Festival (admin and communicatio	ervices, not disclosed)		1	- 13,000	1			÷ 100,000			1	1	1		¢.	
170 Independent Television Service (S) (Note 17) (sa			1		1						1		1		ć	
171 India Equity Partners Fund I, LLC		-	1		+		\$ 100,000						1		<i>у</i> с	- 100.000
	Core Software Solutions (data storage)				ć	50.000	\$ 100,000								\$	
172 Insight Venture Partners VI, L.P. (Note 8) - Data 173 Insight Venture Partners VI, L.P. (Note 8) - eVes			1		Ş	50,000					1	1	1		>	50,000
173 Insight Venture Partners VI, L.P. (Note 8) - Foot		-	1												Ş	-
		-	1												\$	-
	nt Venture Partners VI, L.P. (Note 8) - HealthcareSource HR, Inc.	(U.D. c	-												\$	-
			1												\$	-
177 Insight Venture Partners VI, L.P. (Note 8) - Karan			1												Ş	-
178 Insight Venture Partners VI, L.P. (Note 8) - PHD			1												Ş	-
	an Trading System (Russian stocks and derivatives)		1												\$	-
180 Insight Venture Partners VI, L.P. (Note 8) - Synce	ort, Inc. (data security, Woodcliff Lake, NJ)		1												\$	-
181 Interval Leisure Group, Inc.				\$ 15,000											Ş	15,000
182 IREO Fimd I, Ltd (India Real Estate fund)								250,000								250,000
183 IREO Fund N, Ltd. (India Real Estate fund)								250,000							\$	250,000
184 Ishares Cohen & Steers Realty			1					\$ 100,000							\$	100,000
185 iShares Dow Jones U.S. Financial Sector Index F	ind			\$ 15,000											\$	15,000
186 ishares Mortgage REIT			1		\$	50,000									\$	50,000
187 ishares TIPS Bond Fund								\$ 100,000							\$	100,000
188 Jana Partners LLC, private investment company	located in New York, NY														\$	-
189 Julius Genachowski Insurance Trust (Note 9)				15,000											\$	15,000
190 JWM Productions (S) (film director compensation	n not disclosed)		1												\$	-
191 Key Corp.			1	\$ 5,000									1		\$	5,000
192 Level 3			1		\$	50,000									\$	50,000
193 Longacre - Special Equities Fund, L.P. (Note 10)	- Delta Airlines		1		L										\$	-
194 Longacre - Special Equities Fund, L.P. (Note 10)	Global Power Equipment Group (gas equipment, Tulsa OK)		1												\$	-
195 Longacre - Special Equities Fund, L.P. (Note 10)	IAC/InterActive Corp.		1												\$	-
196 Longacre - Special Equities Fund, L.P. (Note 10)	Safety Kleen (cleaning products, Plano, TX)		1												\$	-
197 Longacre - Special Equities Fund, L.P. (Note 10)	Scripps Network Interactive		1					250,000							\$	250,000
198 Longacre Capital Partners (QP), L.P.									500,000						\$	500,000
199 Lookery, an online advertising service located in	San Fran, CA						100,000								\$	100,000
200 Lubrized Corp.			1	\$ 2,500											\$	2,500
201 Mark Ecko Enterprises, clothing						23,705									\$	23,705
202 Massachusetts Mutual Life Insurance Company	whole life insurance policy				1		100,000				1				Ś	100,000
203 Mindray			1	\$ 15,000	1						1				Ś	15,000
204 Money Market Account					s	50.000				1	İ		1		Ś	50.000
205 MuhlenKemp					Ś	50,000							1		Ś	50,000
206 Neoflix (S)			1	2.500	ľ	22,230							1		s	2,500
207 New Resource Bank Account 1			-	2,500	1		100,000	1			1	İ	1		¢.	100,000

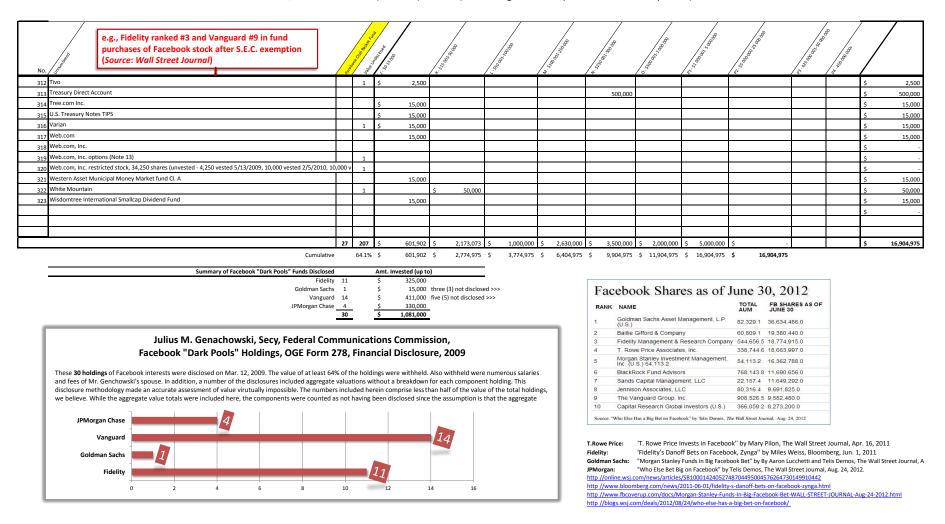
No. of Fund Entries: 323

No. ,	e.g., Fidelity ranked #3 and Vanguard #9 in fund purchases of Facebook stock after S.E.C. exemption (Source: Wall Street Journal)	Street, Carlos	relie mere	and the second	1-23-50-50 BB	ababilities.	CODE CODE CODE CODE CODE CODE CODE CODE	AND AND AND AND AND AND AND AND AND AND	arean arian ar	2. 51 000 000	Strange and Strange an	State of the state	an share and a star		/
208	New Resource Bank Account 2							500,000						\$	500,000
209	New Resource Bank CO						250,000							Ś	250,000
210	New Resource Bank equity stock			201										Ś	201
211	New Resource Bank options (Note 11)			201										Ś	201
212	Nuveen High Yield Municipal Bond a					\$ 100,000								Ś	100,000
213	Pangrowth Energy Trust			15,000										s	15,000
214	Penn West Energy Trust			15.000										ŝ	15,000
215	PIMCO Commodity Real Return Strat D			\$ 15,000										s	15,000
215	Polyone Corporation Sr. Note		1	\$ 15,000										Ś	15,000
	Prince Street Fund LP		-	ý 10,000				500,000						Ś	500,000
	Ralcorp		1	\$ 15,000				500,000						¢	15,000
	Rapt, Inc., an advertising software company located in San Francisco, CA		-	÷ 15,000	50,000						1			Ś	50,000
219	Rearden Commerce options, 30,000 options - strike price \$.17567 - expiry date 8/23/17		, †		30,000						1	1		¢	50,000
	Rebel Industries, LLC		•						1,000,000		1	1		ý ¢	- 1,000,000
221	RelianStar Life Insurance Company whole life insurance policy		+			100,000			1,000,000			1		ب د	
	Rock Creek Ventures (Note 12) - Buzzable (online content)					100,000					1			Ş ¢	100,000
	Rock Creek Ventures (Note 12) - Exent (clothing, Israel)		-											\$	
224	Rock Creek Ventures (Note 12) - Exert (clothing, Israel) Rock Creek Ventures (Note 12) - Fair Indigo (clothing, Middleton, Wisconsin)		1 1					500,000			1	1		Ş ¢	500,000
	Rock Creek Ventures (Note 12) - Fair Indigo (clothing, winddictor, wisconsin)		_									-		Ş	-
226	Rock Creek Ventures (Note 12) - Gizmoz (uigital graphics, israel)		1									-		Ş	-
227	Rock Creek Ventures (Note 12) - JamLegend (online music games)		1											Ş	-
228			1											\$	-
229	Rock Creek Ventures (Note 12) - Koofers.com (online academic info) Rock Creek Ventures (Note 12) - LaunchBox Digital (early stage financing)		1									-		Ş	
			1											Ş	-
231	Rock Creek Ventures (Note 12) - Mpowerplayer (mobile games)		1									-		Ş	
	Rock Creek Ventures (Note 12) - MyGameMug (social networking, US)		1									-		\$	-
233	Rock Creek Ventures (Note 12) - ShareMeme (contact management)		1									-		\$	-
	Rock Creek Ventures (Note 12) - Track Entertainment (marketing events, New York NY)		1											Ş	-
235	Rock Creek Ventures (Note 12) - Zadby (online marketing)		1											Ş	-
236	Roosevelt & Cross Inc. (Municipal Bond Account) - Birmingham Michigan City School District Bond)		1	2,500										Ş	2,500
237	Roosevelt & Cross Inc. (Municipal Bond Account) - California St. Water Resource Development Bond		1	1,000										Ş	1,000
238	Roosevelt & Cross Inc. (Municipal Bond Account) - Childrens TR.FD P.R. TOB. Settlement Rev		1	2,500								-		\$	2,500
255	Roosevelt & Cross Inc. (Municipal Bond Account) - Childrens TR.FD Puerto Rico TDB Settlemnt Bond		1	1,000										\$	1,000
240	Roosevelt & Cross Inc. (Municipal Bond Account) - Commonwealth of Massachusetts - 2000 Series A Bond		1	1,000										\$	1,000
	Roosevelt & Cross Inc. (Municipal Bond Account) - Commonwealth of Puerto Rico Bond		1	5,000										\$	5,000
242	Roosevelt & Cross Inc. (Municipal Bond Account) - Commonwealth of Puerto Rico Bond		1	2,500							+			\$	2,500
	Roosevelt & Cross Inc. (Municipal Bond Account) - Commonwealth of Puerto Rico Bond - Refunding Bond		-	2,500							ļ			\$	2,500
	Roosevelt & Cross Inc. (Municipal Bond Account) - Commonwealth of Puerto Rico Bond Public Impt Bond		-	2,500							+			\$	2,500
245	Roosevelt & Cross Inc. (Municipal Bond Account) - Commonwealth of Puerto Rico Bond-Series 2008A Bond		-	1,000										\$	1,000
246	Roosevelt & Cross Inc. (Municipal Bond Account) - District of Columbia Ballpark Rev Ser B-1 Bond		1	2,500							+			\$	2,500
247	Roosevelt & Cross Inc. (Municipal Bond Account) - District of Columbia Ballpark Rev Ser B-1 FDIC Insured Bond		1	2,500										\$	2,500
248	Roosevelt & Cross Inc. (Municipal Bond Account) - Eastchester U.F.S.D., NY - 2006 SERIES B Bond		1	1,000							+			\$	1,000
249	Roosevelt & Cross Inc. (Municipal Bond Account) - Eastchester U.F.S.D., NY - 2006 SERIES B Bond		1	1,000										\$	1,000
200	Roosevelt & Cross Inc. (Municipal Bond Account) - Guam Intl Arpt Auth Rev Gen-Ser A Bond		1	1,000							ļ			\$	1,000
251	Roosevelt & Cross Inc. (Municipal Bond Account) - Illinois State Sales Tax Revenue Bond		1	2,500							+			\$	2,500
	Roosevelt & Cross Inc. (Municipal Bond Account) - Las Vegas Nevada Convention & Visitors Bond		-	1,000							ļ			\$	1,000
253	Roosevelt & Cross Inc. (Municipal Bond Account) - Lewiston-Poiar C.S.D., NY "FGIC Insured" Bond		-	2,500							ļ			\$	2,500
	Roosevelt & Cross Inc. (Municipal Bond Account) - Massachusetts St. Health & EDL. FACS Authority Bond		1	2,500							ļ			\$	2,500
255	Roosevelt & Cross Inc. (Municipal Bond Account) - Massachusetts St. HSG FIN AGY HSG REV SER Bond		-	1,000							ļ			\$	1,000
256	Roosevelt & Cross Inc. (Municipal Bond Account) - Massechusetts Series A Bond		-	1,000							ļ			\$	1,000
257	Roosevelt & Cross Inc. (Municipal Bond Account) - Massechusetts ST CONS LN-SER B Bond		1	1,000										\$	1,000
258	Roosevelt & Cross Inc. (Municipal Bond Account) - Memphis Tennessee SAN SEW REV Bond		1	1,000										\$	1,000
259	Roosevelt & Cross Inc. (Municipal Bond Account) - Metropolican Transportation Authority Bond		1	1,000										\$	1,000

No. of Fund Entries: 323

No. Sufference in the second state of the seco	ese est	tele contraction of the second	1.1.21.100 0.00	400 400 400 400 400 400 400 400 400 400	and and and and and and and and and and	da la la la la la la la la la la la la la	and the second	abaabaabaabaabaabaabaabaabaabaabaabaaba	and states and states	40-00-02-02-02-02-02-02-02-02-02-02-02-02	and the second s	AD HOLDING TO AD AD AD AD AD AD AD AD AD AD AD AD AD	
260 Roosevelt & Cross Inc. (Municipal Bond Account) - Metropolitan Transportation Auth Series A Bond		1	2,500										\$ 2,500
261 Roosevelt & Cross Inc. (Municipal Bond Account) - Nassau Country Interim FIN. AUTH. SER 2004H Bond		1	1,000										\$ 1,000
262 Roosevelt & Cross Inc. (Municipal Bond Account) - New York Cith Refunding Series-G Bond				50,000									\$ 50,000
263 Roosevelt & Cross Inc. (Municipal Bond Account) - New York City - Fiscal 2009 - Series A Subseries A-1 - Series I	E Bond	1	2,500										\$ 2,500
264 Roosevelt & Cross Inc. (Municipal Bond Account) - New York City - Series C - Subseries C-1 Bond		1	2,500										\$ 2,500
265 Roosevelt & Cross Inc. (Municipal Bond Account) - New York City General Obligation Bonds Fiscal 2009 Series B	E Bond			50,000									\$ 50,000
266 Roosevelt & Cross Inc. (Municipal Bond Account) - New York City General Obligation Bonds Fiscal 2009 Series E	E Bond	1	2,500	50,000									\$ 52,500
267 Roosevelt & Cross Inc. (Municipal Bond Account) - New York City Refunding Series-A Bond		1	5,000										\$ 5,000
268 Roosevelt & Cross Inc. (Municipal Bond Account) - New York City Series-J Bond				50,000									\$ 50,000
269 Roosevelt & Cross Inc. (Municipal Bond Account) - New York City Transitional Fin Authority Bond				50,000									\$ 50,000
270 Roosevelt & Cross Inc. (Municipal Bond Account) - New York City Transn Fin Aith ESC Maty Bond		1	2,500										\$ 2,500
271 Roosevelt & Cross Inc. (Municipal Bond Account) - New York State Dorm Auth. Rev. St Pers Inc bond		1	1,000										\$ 1,000
272 Roosevelt & Cross Inc. (Municipal Bond Account) - New York State Thruway AuthSer.2001A Bond		1	2,500										\$ 2,500
273 Roosevelt & Cross Inc. (Municipal Bond Account) - NJ Health Care Facs. Fing. Auth. Rev. Hackensack Univ Med	Ctr 200	1	1,000										\$ 1,000
274 Roosevelt & Cross Inc. (Municipal Bond Account) - NJ Health Care FACS. FING. AUTH. SER. 2008 Bond				50,000									\$ 50,000
275 Roosevelt & Cross Inc. (Municipal Bond Account) - North Babylon Union Free School Dist., NY Bond		1	2,500										\$ 2,500
276 Roosevelt & Cross Inc. (Municipal Bond Account) - North Babylon UNION Free School District Bond		1	1,000				1	1	1				\$ 1,000
277 Roosevelt & Cross Inc. (Municipal Bond Account) - NYC Industrial Dev. Agy-Ser.2006A-Pilot Bond				50,000							1		\$ 50,000
278 Roosevelt & Cross Inc. (Municipal Bond Account) - NYC Transitional Finace Authority Bldg. Bond		1	1,000										\$ 1,000
279 Roosevelt & Cross Inc. (Municipal Bond Account) - NYC Transitional Finance Authority - Building Aid Revenue B	Bonds		,	50,000									\$ 50,000
280 Roosevelt & Cross Inc. (Municipal Bond Account) - NYS Dormitory Authority-Secured Hospital Bond	1	1	1,000										\$ 1,000
281 Roosevelt & Cross Inc. (Municipal Bond Account) - NYS Dormitory Authority-Secured Hospital Bond		1	1,000										\$ 1,000
282 Roosevelt & Cross Inc. (Municipal Bond Account) - NYS Dormitory Authority-Secured Hospital Bond		1	2,500										\$ 2,500
283 Roosevelt & Cross Inc. (Municipal Bond Account) - NYS Dormitory Authority-Secured Hospital Bond		1	2,500					1					\$ 2,500
283 284 Roosevelt & Cross Inc. (Municipal Bond Account) - NYS Dormitory Authority-Secured Hospital Bond		1	2,500					1					\$ 2,500
284 285 Roosevelt & Cross Inc. (Municipal Bond Account) - NYS Dormitory Authority-Secured Hospital Bond		1	1,000					1					\$ 1,000
286 Roosevelt & Cross Inc. (Municipal Bond Account) - NYS Loc.Govt.Assistance Corp.Ser2003A-2 Bond		1	1,000					1					\$ 1,000
280 Roosevelt & Cross Inc. (Municipal Bond Account) - NYS Thruway Authority Local Highway Bond		1	2,500										\$ 1,000 \$ 2,500
288 Roosevelt & Cross Inc. (Municipal Bond Account) - Nr 9 millionaly Addiointy Edual Ingitially Bond 288 Roosevelt & Cross Inc. (Municipal Bond Account) - PR Public Building Authority-Series M-1 Bond		1											
288 Roosevelt & Cross Inc. (Municipal Bond Account) - Puerto Rico Commonwealth Infrastructure Fing auth Spl Tay	(Roy R	1	2,500	50.000									\$ 2,500
		1	1,000	50,000				1					\$ 51,000
	one mp			50,000				1					\$ 50,000
231		1	5,000					-					\$ 5,000
		1	2,500					-					\$ 2,500
		1	1,000										\$ 1,000
294 Roosevelt & Cross Inc. (Municipal Bond Account) - Puerto Rico Highways & Trans Auth Ser-M Bond		1	2,500										\$ 2,500
295 Roosevelt & Cross Inc. (Municipal Bond Account) - Puerto Rico Pub Bridge Auth Rev Gld RFDG Bond		1	5,000					+			+		\$ 5,000
296 Roosevelt & Cross Inc. (Municipal Bond Account) - Rhode Is St Economic Dev Corp Rev Grant Bond		1	1,000								+		\$ 1,000
297 Roosevelt & Cross Inc. (Municipal Bond Account) - Richardson Texas Refunding & IMPT Bond		1	1,000								+		\$ 1,000
298 Roosevelt & Cross Inc. (Municipal Bond Account) - S/O New York Mortgage Agency-Series 141 Bond	$\left \right $	1	1,000								+		\$ 1,000
299 Roosevelt & Cross Inc. (Municipal Bond Account) - Tobacco Settlement Auth Iowa TOB Bond		1	1,000					+			+		\$ 1,000
300 Roosevelt & Cross Inc. (Municipal Bond Account) - Triborough Bridge & Tunnel Authority Bond		1	2,500										\$ 2,500
301 Roosevelt & Cross Inc. (Municipal Bond Account) - University North Florida Fing Corp CAP Bond		1	2,500										\$ 2,500
302 Roosevelt & Cross Inc. (Municipal Bond Account) - Virgin Islands Pub Fin Auth Rev Gross Bond		1	2,500								<u> </u>		\$ 2,500
303 Seligman tri-Continental	<u> </u>			50,000			+		+				\$ 50,000
304 Socialmedian, a social news network company located in New York, NY and Puna, India				30,868							<u> </u>		\$ 30,868
305 Stifel Nicolaus		1	\$ 15,000								<u> </u>		\$ 15,000
306 The Motley Fool, an investment advice and research company located in Alexandria, VA (director fees)				48,500							<u> </u>		\$ 48,500
307 Thornburg International Value Fund					100,000								\$ 100,000
308 Thummit stock, a social recommendation service located in Washington, D.C.		1						ļ					\$-
309 Ticketmaster Entertainment Inc.				\$ 50,000									\$ 50,000
310 Ticketmaster Entertainment Inc. (director fees)				40,000									\$ 40,000
311 Time Warner		1	\$ 1,000										\$ 1,000

No. of Fund Entries: 323



SF278 (Rev. 03/2000) 5 C.F.R Part 2634 U.S. Office of Government Ethics	Executive Branch Personnel PUBL	IC FINANCIAL		Form A • OMB No. 32
Date of Appointment, Candidacy, Election- or Nomination (Month, Day, Tear)	Reporting Status Calendar Year (Chrot Incumbent Covered by Report	New Entrant, Nominee,	Termination Date (If Appli- coble) (Month, Day, Year)	Ree for Late Riling Any individual who is required to
	upproprinte-boxes)	X or Candidate	Filer	file this report and does so more than
a serve in the second second	Last Name	First Name and Middle In	itial	30 days after the date the report is required to be filed, or, if an extension
Reporting Individual's Name	Genachowski	Julius M.		is granted, more than 30 days after the last day of the filing extension period
	Title of Position	Department or Agency (//	Applicable)	shall be subject to a \$200 fee.
Position for Which Filing	Chairman	Federal Communicat	ions Commission	Reporting Periods
Transfer of Descent Off	Address (Number, Street, City, State, and ZJP Code)		Felephone No. (Include Area Code)	Incumbents: The reporting period i
Location of Present Office (or forwarding address)	445 12th Street SW, Washington, DC 20554		(202) 418-1000	the preceding calendar year except Part IF of Schedule C and Part I of Schedule D, where you must also
Position(s) Held with the Federal Government During the Preceding	Title of Position(s) and Date(s) Held			include the filing year up to the date you file. Part II of Schedule D is not
12 Months (If Not Same as Above)	N/A			applicable.
An and the second	1.782 1.002	24		Termination Filers: The reporting
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination	Do You Intend to Create a	Qualified Diversified Trust?	period begins at the end of the period
Senate Confirmation	Committee on Commerce, Science and Transportation	Yes	XNe	covered by your previous filing and en at the date of termination. Part II
Certification			Date (Month, Day, Year)	of Schedule D is not applicable.
I CERTIFY that the statements I have	Signature of Reporting Individual	1	3	Nominees, New Entrants and
made on this form and all attached schedules are true; complete and correct	TIC /	1	3/12/09	Candidates for President and V President:
to the best of my knowledge.			0/19 1	Schedule A-The reporting period for
	Signature of Other Reviewer		Date (Month, Day, Year)	 income (BLOCK C) is the preceding calendar year and the current calendar
Other Review (If desired by		1.6	Dlasha	year up to the date of filing Value
agency)	Vanglus fands	yen !	\$ 23/09	essets as of any date you choose that i within 31 days of the date of filing.
Agency Ethics Official's Opinion	Signalure of Designaled Agency Ethics Official/Reviewing Offic	a	Date (Month, Day, Year)	Schedule B-Not applicable
On the basis of information contained in this report, I conclude that the filer is	T. DINKI.		, /	Schedule C, Part I (Liabilities)-
in compliance with applicable laws and	1 AU HK, 11-		3/25/09	The reporting period is the preceding calendar year and the current calendar
regulations (subject to any comments in the box below).	Signature	-	Date (Month. Day: Year)	year up to any date you choose that is within 31 days of the date of filing.
Office of Government Ethics	Sites and the second se	1	1 -	111、11日時時時時期時間。11日日
Use Only	1 14TA Aluce	ill	3/27/09	Schedule C, Part II (Agreements of Arrangements) Show any agreement
Comments of Reviewing Officials (If addition	onal space is gequired use the reverse side of this sheet)			or arrangements as of the date of filing.
	(Check box if	filing extension granted & inc	licate number of days]	
	A 4 4			Schedule D-The reporting period is the preceding two calendar years and
	~			the current calendar year up to the date of filing
				Agency Use Only
				3-12-09
				OGE Use Only

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SCHEDULE A Julius M. Genachowski

Page Number 2

	Assets and Income BLOCK A		-	2		at o	ing p	of	1			-		In	her o	me: t entry	ype is r	and	amou ad in l	int, Bloo	ckCf	one (or the	at iter	s than n.	1 \$20	01)"	is checked, no	
rep proval ing in i with For and that rep inc act	r you, your spouse, and dependent children, ort each asset held for investment or the duction of income which had a fair market ue exceeding \$1,000 at the close of the report, period, or which generated more than \$200 income during the reporting period, together h such income. r yourself, also report the source and actual ount of earned income exceeding \$200 (other n from the U.S. Government). For your spouse ort the source but not the amount of earnec ont of more than \$1,000 (except report the ual acount of any honoraria over \$200 of m spouse).	None(or/csethan \$1,001)	10 B		SSUJ001 - S100,000		2000001-25002001-2000000	Over \$1,000.000 *	S. 100,001 - S. 5,000,000	\$5,000,001 - \$25,000,000	International Control of the Second Control of Second Second Second Second Second Second Second Second Second S	and the second start estimation of the second start and the second start of the	Excepted Trust	Division of the second s		Interest	and the contact carry and the second second second	None (or less than \$201)	21 001 - 22 500			Amo	550,001 + 5100,000	Over S1,000,000*	111 34 000 00 1 3 5 000 000 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
E	Central Airlines Common Amples Doe Jones & Smith, Hometown, State Kempstone Equity Fund IRA: Heartland 500 Index Fund				X I I I I I																						Law Partnermije Income \$130,00	9
4	529 DC - Self, Owner, Dependent child, Beneficiary (Note 1)		X		The second second	語語語語	E Contraction of the contraction			建制的标识		mxmi mxmi				25827655268128		X					No.					
2	529 DC - Self, Owner, Dependent child, Beneficiary (Note 2)		X		The second second second second second second second second second second second second second second second s					記録高額は			and a standard of the					X										
3	529 NY - Self, Owner, Dependent child, Beneficiary (Note 3)			ili x	Contraction of the second second								2 Startmittette					X										
4	529 NY - Self, Owner, Dependent child, Beneficiary (Note 4)		X		Statements					i Shan Sin			1 004930203955			1		X		THE REAL PROPERTY OF			The second second second second second second second second second second second second second second second se					
5	529 CA - Father-in-law, Owner, Dependent child, Beneficiary (Note 5)		0.000000000	×	a resident a								Alfeeten Section 201					X		S HERE								
6	529 CA - Father-in-Jaw, Owner, Dependent child, Beneficiary (Note 6)		1 obstation to	X	1) TANGAR SALES	a maintaille						X	10 (11 (11 (11 (11 (11 (11 (11 (11 (11 (X										

SF278 (Rev. 03/2000) 5 C.F.R Part 2634 U.S. Office of Governm

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	Assets and Income BLOCK A			1		at por	ion close ting	se o g pe	f		1 I			-									c C f		nat ite		than	\$20	01)"	is checked, nc	10
in al al al al al al al al al al al al al	r you, your spouse, and dependent children, ort each asset held for investment or the duction of income which had a fair market us exceeding \$1,000 at the close of the report- period, or which generated more than \$200 income during the reporting period, together th such income. r yourself, also report the source and actual oount of earned income exceeding \$200 (other in from the U.S. Government). For your spouse, ort the source but not the amount of earned iome of more than \$1,000 (except report the ual acount of any honoraria over \$200 of ar spouse).	HILL WORKS OT NESS TO BOOT WAY SHEET SHEET	S1,001 - S15,000	212,000,5350,000 Provide and a second s	\$\$50,001 + \$100,000	210030014325010003865751515115151	\$259,001 - \$500,000	HISSOCOL SLODCOOL HERE HERE	Over S1,000,000 *	Sr. 50.000.001 - S. 5.000.000	\$5,000,001 - \$25,000,000	No. 5253000,001,15550,000,000,000,000,000,000	Over souvourse investments in the second	Excepted Trust	Cupilities which is a second second		Representation and Royal Designation and Advertising	Charles and an and a state of the state of t	aligninality	None (or Jess than \$201)	\$1,001 - \$2,500			_	ount	21 00 001-22 000 000 000 000 IS		21 (000 0001 - 22 000 000 - 1 1 1 1 1 1 1 1	Over \$5,000,000	Other- Income (Specify Type & Actual Amoun()	Date (Ado., Da Yr.) Only if Honorari
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7	Hillcrest Laboratories, Inc. options - 150,000 options, strike price \$0.11, expiry date 12/1/2017			alue	noti	eadi	lý as	cer	lain	able						TTO TTO TTO TTO TTO		x		S HOUSING							
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2	*Birmingham Michigan City School District Bond						Token Press					Π					x			×										
3	*California St. Water Resource Development Bond					連続数			and the second s					HINE	1250162162269		x		THE REAL PROPERTY IN			aunan			COLD BERGE		-			
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	*Childrens TR FD Puerto Rico TOB Settimnt Bond						and showing and a								-		x			Net Contraction		Statistics.								
5	*District of Columbie Ballpark Rev Ser B-1 FD)C Insured Bond			X			deres et 212		1210			Π		Representation of	-		x		THE REAL PROPERTY IN CONTRACT OF CONTRACT.	x		- HEIER					ITTYING			
	*District Columbia Ballpark Rev Ser 8-1 Bond	1 X					1 Statistics							C C C C C C C C C C C C C C C C C C C	-		x			x		E HERRICE			_					
5	*Eastchester U.F.S.D., NY - 2006 SERIES B Bond	HIX I					a particular las		Statistics of					THEFT	Transfer to the second		x			11		C SHEEK					Tall Shriting			1.3
n i	*Eastchester U.F.S.D., NY - 2005 SERIES B Bond	IIIXIII					a faile lastar	in the second	建物的批定性	Contraction of					Stateste		x					100000						-		
0	*Guam Intl Arpt Auth Rev Gen-Ser A Bond	X							の時間に			Π		- the lat	Seattle seat		x		- Contraction of the local data											

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	*Lewiston-Porter C.S.D., NY *FGIC Insured* Bond				L'Antreatorat		THE REAL							a internet		X			11 11 11 11 11 11 11 11 11 11 11 11 11	×		A CONTRACTOR OF A CONTRACT OF		at Has been a				
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6	*New York City Refunding Series-G Bond	in X															x		2001 C			CHARGE IN		DETEN					
	*New York City - Fiscal 2009 - Series A Subseries A-1 - Series E Bond			t X															×			Sederate							
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	*New York City - Series C - Subseries C-1 Bond																x		1012101228		×		Constant (11)						
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3	*NJ Health Care FACS. FING.AUTH. SER. 2008 Bond		12 12 12 12 12 12 12 12 12 12 12 12 12 1			192012121	15111111111					の理論			x		THE DESIGNATION OF					1 Statestate					
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5	*North Babylon Union Free School Dist., NY Bond	X	ALL DESCRIPTION OF	STREET,			and and and and and and and and and and		WHITE OF	the local sector					x		THE STATE	X				attack to be					
5	*NYC Industrial Dev. AgySer.2006A-Pitot Bond	X	- HARA	14114121			and the local de la la								x		_	×		1 and the second			Harrison and				5.5
r	*NYC Transitional Finance Authority - Building Ald Revenue Bonds - Fiscal Series S-1 Bond			相に正知						P.C. Richelster		HINE STATE			and the first factor of the		X						10000				
3	*NYC Transitional Finance Authority - Building Aid Revenue Bonds		「日田田田田田田田田田田田田田田田田田田田田田田田田田田田田田田田田田田田田			THE REAL PROPERTY.				(ell stilles						出版	X		THE REAL PROPERTY IN COMPANY	100000					12-4-14-11-		
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	*NYS Dormitory Authority-Secured Hospital Bond			CH IN CH		Reversion of									x											
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Ĩ	*S/O New York Mortgage Agency-Series 141 Bond		- HEREITE			Contractor of							STREES STREET		×			#								
	*New York State Dorm Auth Rev St Pers Inc Bond	TX:				1 Longer drowt 1						A STATE OF	1111111111111		x			uno se								
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	*Puerto Rico Commonwealth Infrastructure Fing Auth Spl Tax Rev RFDG - Series C Bond					In the second state of the						1 Historica			x						1		and and a full and a f				
	*Puerto Rico Commonwealth Series A General Obligation Public Improvement Bond					C Chargertertert		使把封約制廠				States a					×						and addition of the				

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2	*Puerto Rico Highways & Trans Auth Ser-M Bond		and and a set of a set					THE BELLEVILLE							X		W SHIELD				THE SECOND		電力開始	1000		-	
3	*Puerto Rico Comwith Infrastructure Fing Bond		THE PARTY IS NOT												X		ill links	11 II			新聞都羅			10000000000000000000000000000000000000			
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6	*Puerto Rico Elec Pwr Auth Pwr Rev Rfdg Bond		100000000000000000000000000000000000000			Clickless with the									X												
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8	*Richardson Texas Refunding & IMPT Bond		an and the second									「日本語」			X							「「「「「「「」」」」			-		
9	*Rockland County, New York - Series A Bond	X	10 004040403										stroutes be		X			第二 第			ET INTERNE						

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*Tobacco Settlement Auth Iowa TOB Bond		210		Caller of the local data						ACCENTRAL IN			0.44		X						<i>朝時</i>				vales de		anne an th
*Triborough Bridge & Tunnel Authority Band				and the state of the				I HARRISON I		There are a second as					×			×					STATES AND IS		T		
*University North Florida Fing Corp CAP Bond				of the relation of the	A STATE OF A STATE OF					a second of the second					x			×		Statistics.			a colesion				
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Socialmedian, a social news network company located in New York, NY and Pune, India	X					All and a second	HERE BER			and months		THE REAL			ATTIC AND	and the second second										ncome from sale of company (\$30,688)	

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Julius M. Genachowski		規範の影響		教育学校					S	17.50	35.2	ULE only		11	2.3.5	ueo	d.								A CALL OF A CALL	age Number 21	
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The Motley Fool, an investment advice and research company located in Alexandria, VA						Statistics and statistics						1722年1月1日	1 WEIGHT				a margine	Contraction of the second seco					Cruck report		T	Director Fees (\$46,500)	
The Mottey Foot options, 50,000 (75% vested) - strike price \$3.50 - expiry date 12/21/2015; 3,000 (25% vested) - strike price \$5.40 - expiry date 12/21/2017			X			101520125									TI STUDIE	·***	X	to intractoria					Checkstate in				
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Ticketmaster Entertainment, Inc.						Contraction of the local division of the loc						Contraction of the second seco			Contraction of the second			Contraction of					Constitute of		1	Director Fees (\$40,000)	

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8	Web.com, Inc. restricted stock, 34,250 shares (unvested - 4,250 vest 5/13/2009, 10,000 vest 2/5/2010, 10,000 vest 2/5/2011, and 10,000 vest 2/5/2012)	El Sacrada	A HOUSE A	X	21 STREET											THE PROPERTY OF		×	おおの日本		and a second sec		10 2020 01000				1		

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Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

U.S. Office of Government Ethics					_													
Reporting Individual's Name Julius M. Genachowski SCHEDULE B					B									Page Number 27				
Part I: Transaction	IS						None											
Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any rea property, stocks, bonds, commodity futures, and other securifies when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.					insaction		新版			Amoun	nsaction (x)	n (x)						
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Part I: Liabilities Report liabilities over \$10,000 own my time during the reporting peri		personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and		No	ne				Catego	ry of A	unount	or Val	ue (x)		
or dependent children. Check the luring the reporting period. Exclu	highest amount owed de a mortgage on your	liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.	Date Incurred	Interest Rate	Term if appli- cable	\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	000,000,	\$5,000,001 - \$25,000,000 -	5,000,001 -
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 calculated on service of Pursuant to an agreement f time of resignation as a me Pursuant to an agreement f time of resignation as a me Pursuant to an agreement f time of resignation as a me Pursuant to an agreement f 	or service as a member of mber of the Board will be or service as a member of mber of the Board will be or service as a member of mber of the Board will be	forfeited. If the Board of Directors, hold 1,112 unvested stock opti- forfeited. If the Board of Directors, hold 14,750 unvested stock op	ions. Unv	ested opti	ions at M	otley F	ool, Al	exand	_	-			_		12
 calculated on service of estimated on service of the present of the	or service as a member of mber of the Board will be or service as a member of mber of the Board will be or service as a member of mber of the Board will be or service as an advisor,	forfeited. If the Board of Directors, hold 1,112 unvested stock option forfeited. If the Board of Directors, hold 14,750 unvested stock op- forfeited.	ions. Unv	ested opti	ions at M	otley F earden	ool, Al	exano nerce	, Fost	er Cit		_			12
 cslculated on service of the service o	or service as a member of mber of the Board will be or service as a member of mber of the Board will be or service as a member of mber of the Board will be or service as an advisor,	forfelted. If the Board of Directors, hold 1,112 unvested stock option forfelted. If the Board of Directors, hold 14,750 unvested stock op forfeited. hold 18,750 unvested stock options. Unvested options a	ions. Unv	ested opti	ions at M	otley F	ool, Al	exano nerce	, Fost	er Cit					12

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SF 278 (Rev. 03/2000) 5 C.F.R Part 2634 U.S. Office of Government Ethics																
Reporting Individual's Name Julius M. Genachowski		SCHEDUI	æс									Page N	umber	29		
Part I: Liabilities Report liabilities over \$10,000 owe any time during the reporting perio		personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and		No	me				Catego	ory of A	mount	or Val	uc (x)			
or dependent children. Check the h during the reporting period. Exclusion	ighest amount owed le a mortgage on your	liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.	Date Incurred	Interest Rate	Term if appli- cable	\$10,001 - \$15,000	\$15,001 - \$50,000	0,001 - 00,000	\$100,001- \$250,000	\$260,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 -	\$5,000,001 -	\$25,000,001 - \$50,000,000	rer 0,000,000
Creditors (Nam Examples: First District Bank, Wash John Jones, 123 J St., Wa	ington, DC	Type of Liability Mortgage on rontal property. Delaware Promissory note	<u>1991</u> 1999	<u>8%</u> 10-%	25 yrs. on deman	R Base	1 55	1 55 X	5	x \$2	52 ST	63	1 \$1	\$5	1 \$5	55 55
2						-	-	-	-	-	-		-			-
3																
5																
* This category applies only if the l with the spouse or dependent child Part II: Agreements of Report your agreements or arran employee benefit plan (e.g. 401)	ren, mark the other higher on Arrangements for: continuing	participation in an	ofabsen	ce; and (bility of th 4) future to or any of t	mploy						ling tl	ne rep	orting		
payment by a former employer (including severance pay	ments); (3) leaves									1	None				
Example: Pursuant to partnership calculated on service pe	agreement, will receive lump a	y Agreement or Arrangement ium payment of capital account & partnership share			D	oe Jones	& Smil	th, Hon	Partice					構成に		Date 1/85
	or service as an advisor,	hold 62,000 vested stock options.	_		В	andwid	th.cor	n, Ca	ry, NC	;						/06
	or service as a member o	f the Board of Directors, hold 71,888 vested stock optio	ns.		M	/eb.con	n, Jac	ksonv	/ille, F	L		_			1.	8, 5/06, 7, 5/08
³ Pursuant to an agreement fo	or service as an advisor,	hold 5,000 vested stock options.			N	ew Res	source	e Banl	k, San	Fran	cisco,	CA			1	/06
⁴ Pursuant to an agreement fo	or service as a member o	f the Board of Directors, hold 38,250 vested stock optio	ns.		N	lotley F	ool, A	lexan	dria, \	VA	-				1.000	2/05, 2/07
⁶ Pursuant to an agreement for 6	or service as an advisor,	hold 11,250 vested stock options.			R	earden	Com	merce	e, Fos	ter Cil	ty, CA				08	8/07
									-	-		_	_	-		

e.

eporting Individual	's Name	REFERENCES AND AND AND AND AND AND AND AND AND AND			Page Number				
Julius M. Genad	chowski		SCHEDULE D		30				
leport any positio ompensated or no	ns held during the t. Positions inclu	tside U.S. Government e applicable reporting period, whether ide but are not limited to those of an officer, oprietor, representative, employee, or	non-profit organization or educatio	, partnership, or other business enterprise or any nal institution. Exclude positions with religious s and those solely of an honorary nature:	None				
		ganization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.			
Examples: Doe Jon	sn. of Rock Collect es & Smith, Home	tors, NY, NY	Non-profit education Law firm	President Partner	6/92 7/85	Present 1/00			
1 Alan Genachd	wski 2007 Insura	nce Trust (Family Trust)	Trust	Co-Trustee	5/07	Present			
2 Beliefnet		No.	Private Company	Stockholder representative	11/05	1/09			
3 Common Sen	se Media		Non-profit	Director	11/05	Present			
4 Genachowski	Family Insurance	Trust (Family Trust)	Trust	Co-Trustee	7/02	Present			
5 JackBe			Private Company	Director	01/06	11/08			
6 Julius Genach	owski Insurance 1	Trust (Family Trust)	Trust	Co-Trustee	07/02	Present			
Report sources of usiness affiliation he reporting perio	more than \$5,000 for services prov	Excess Of \$5,000 Paid by One So compensation received by you or your vided directly by you during any one year of the names of clients and customers of any	corporation, firm, partnership, or o organization when you directly pro	ther business enterprise, or any other non-profit wided the services generating a fee or payment it report the U.S. Government as a source.	Do not compl if you are an I Termination F Vice Presider or Presidentia None	ncumbent, filer, or itial al Candidate			
Doe Jor	ies & Smith, Home	town, State	Legal services	Brief Description of Duties		enter de la composition El composition de la composition			
1 Bandwidth.cor		Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction Advisory board services						
2 General Atlant	ic		Advisory board services						
³ Jana Partners	LLC		Consulting services						
4 Rock Creek V	entures		Managing Director						
5 Mark Ecko En	terprises		Advisory Director and Consulting So	ervices					

	orting Individual's Name Ilius M. Genachowski	SCHEDULE D		Page Number 31	
Ret	art I: Positions Held Outside U.S. Government port any positions held during the applicable reporting period, whether mensated or not. Positions include but are not limited to those of an of ector, trustee, general partner, proprietor, representative, employee, or	ficer. non-profit organization or educati	n, partnership, or other business enterprise or ional institution. Exclude positions with relig es and those solely of an honorary nature.	any lous, None	
離し	Organization (Name and Address)	Type of Organization Non-profit education	Position Held President	From (Mo., Yr.) 6/92	To (Mo., Yr.) Present
Ex	amples: Nat'l Assn. of Rock Collectors, NY, NY.	Law firm	Partner	7/85	1/00
1	Launchbox Digital	Private Company	Manager	12/07	Present
2	Mark Ecko Enterprises	Private Company	Director; Consultant	07/06	02/09
3	New Resource Bank	Private Company	Advisor	09/06	09/08
4	Rock Creek Ventures	Private Company	Managing Director	03/06	Present
5	SGL1 Coral Trust (Family Trust)	Trust	Co-Trustee	07/06	Present
6	The Motley Fool	Private Company	Director	03/06	Present
7	Thummit (and predecessor)	Private Company	Chairman and Director	12/07	Present
8	Ticketmaster Entertainment, Inc.	Public Company	Director	08/08	03/09
9	Web.com	Public Company	Director	01/06	Present
10	WebLoyalty	Private Company	Director	06/08	11/08
11	E2 (Environmental Entrepreneurs)	Non-profit	Advisory Board	06/06	09/07
12	Bandwith.com	Private Company	Advisory Board	08/06	Present
13	Gizmoz	Private Company	Consultant (through Rock Creek Ventures)	06/06	07/07
14	Jagen	Private Company	Consultant (through Rock Creek Ventures)	03/07	12/07
15	Jana Partners LLC	Private Company	Consultant	01/08	05/08
16	General Atlantic	Private Company	Special Advisor	05/06	Present

Rep	Office of Government Ethics porting Individual's Name				Page Number				
Ju	Ilus M. Genachowski		SCHEDULE D		32	32			
	art I: Positions Held Outside U.S. Governn				nen sen Selektre konstant	en de portente de Researce			
er	port any positions held during the applicable reporting perio npensated or not. Positions include but are not limited to th ector, trustee, general partner, proprietor, representative, em	d, whether ose of an officer.	consultant of any corporation, firm, partne non-profit organization or educational inst social, fraternal, or political entities and th	itution. Exclude positions with reli	r any gious, None				
潮	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.			
Ex	amples: Nat'l Assn. of Rock Collectors; NY, NY Doe Jones & Smith, Hometown, State		Non-profit education Law firm	President	6/92	Present 1/00			
1	Fora.tv		Private Company	Advisor	5/06	Present			
2	Hillcrest Labs		Private Company	Advisor	11/07	Present			
3	Rearden Commerce		Private Company	Advisor	8/07	Present			
4	Rapt, Inc.		Private Company	Advisor	1/06	7/08			
5	Social Median		Private Company	Advisor	2/08	2/09			
6	Track Entertainment		Private Company	Advisor	9/06	11/07			
7	Viewpoints Network		Private Company	Advisor	6/07	Present			
8	Brennan Center for Justice		Non-profit, non-partisan public policy and law institute	Program Advisory Board	2/02	Present			
9									
10					* ~				
11									
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SC.	278 (Rev. 03/2000) F.R. Part 2634 . Office of Government Ethics						
Rep	porting Individual's Name		- 2019 - 2019	age Number			
J	ulius M. Genachowski		SCHEDULE D	33			
	art II: Compensation I	n Excess Of \$5,000 Paid by One So 0 compensation received by you or your ovided directly by you during any one year of s the names of clients and customers of any	corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.	Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate None			
28%	Source (Name and Addres	22.)	Brief Description of Duties				
Exa	amples: Doe Jones & Smith, Hom Metro University (client of	etown, State f Doe Jones & Smith), Moneytown, State	Legal services Legal services in connection with university construction				
1	Ticketmaster Entertainment	t	Director services				
2	Web.com		Director services				
3	Rapt Inc.		Advisory board services				
4							
5							
6							
	Contraction and a second second second						

ATTACHMENT TO SCHEDULE A

Note 1

529 DC - Self, Owner, Dependent child, Beneficiary- this 529 investment account is invested in the following public fund: Calvert Equity Fund - DC529.

Note 2

529 DC - Self, Owner, Dependent child, Beneficiary – this 529 investment account has investments in the following excepted investment funds: Calvert Social Index Fund, Calvert World values FD INC, CSIF Bond Portfolio, Calvert Small Cap Value, and Calvert Capital Accumulation.

Note 3

529 NY - Self, Owner, Dependent child, Beneficiary – this 529 investment account has investments in the following excepted investment funds: Aggressive Growth NY 529 Portfolio, Mid-Cap Stock Index NY 529 Portfolio, Growth Stock Index NY 529 Portfolio, and Value Stock Index NY 529 Portfolio.

Note 4

529 NY - Self, Owner, Dependent child, Beneficiary – this 529 has investments in the following excepted investment fund: Moderate Age-Based Option: Growth Portfolio.

Note 5

529 CA - Father-in-law, Owner, Dependent child, Beneficiary – this 529 investment account holds investments in the following excepted investment funds: CA Total Index Fund, CA Intermediate Treasury Index, and CA International Index.

Note 6

529 CA - Father-in-law, Owner, Dependent child, Beneficiary – this 529 investment account holds investments in the following excepted investment funds: CA Total Index Fund, CA Intermediate Treasury Index, and CA International Index.

Note 7

General Atlantic, Coinvestment. - As of September 30, 2008, this account held investments in the following publicly traded companies: <u>BM&F Bovespa</u>, <u>Dice Holdings</u>, Inc., <u>Gevity HR Inc.</u>, <u>Infotech Enterprises</u>, <u>Jubilant Organosys Ltd</u>, <u>Mercado Libre</u>, <u>Net1 UEPS Technologies</u>, Inc., <u>Wuxi Pharma Tec.</u>

As of June 30, 2008, this account held an investment in the following private companies: (a) AKOA, an online advertising agency located in San Francisco, California; (b) Emdeon Business Services, a healthcare transaction services company located in Nashville, Tennessee; (c) Gavilon, a trading and merchandising operation for agriculture and energy markets located in Omaha, Nebraska; (d) GETCO, an electronic trading firm located in Chicago, Illinois; (e) GlobalCollect, an international e-payment service provider located in Hoofddorp, Netherlands; (f) IBS Software Services, a software company for travel, transportation, and logistics located in Trivandrum, India; (g) MStar Semiconductor Inc., an integrated circuit design company located in Trivandrum, India; (h) Network Solutions, a company involved in domain names, webhosting, and other online solutions for consumers and small business located in Herndon, Virginia; (i) NSE, the National Stock Exchange of India located in Mumbai, India; (j) Qualicorp, a diversified insurance brokerage and provider of benefits administration services located in Sao Paulo, Brazil; (k) ServiceSource, a global outsourcing provider to hardware, software, and healthcare companies located in San Francisco, California; (1) Torex, a provider of international software and services for the retail sector located in Oxfordshire, United Kingdom; (m) Trow Global, Inc., a global infrastructure engineering and consulting company located in Brampton, Ontario; (n) WagnerStibbe, a medical laboratory services company located in Gottingen, Germany; (o) Zhong Sheng Group, an auto dealership company located in Dailan, China.

Note 8

Insight Venture Partners VI, L.P.. – As of August 14, 2008 this account held an investment in the following private companies: (a) DataCore Software Solutions, a data storage virtualization software company located in Fort Lauderdale, Florida; (b) eVestment Alliance, Web-based provider of investment information and analytic technology, Atlanta, GA; (c) Football Fanatics, Inc., an online sports merchandise seller located Jacksonville, FL; (d) GenArts, Inc., a visual special effects software provider located in Cambridge, Massachusetts; (e) HealthcareSource HR, Inc., a provider of HR software for healthcare providers (hospitals) located in Winchester, Massachusetts; (f) Karmaloop, a web-based community and eCommerce site focused on underground streetwear culture located in Boston, MA; (g) PHD Technologies, a data management and warehousing software company located in Mt. Arlington, NJ; (h) Russian Trading System, a group of entities primarily composed of a stock and derivatives exchange located in Russia; and (i) Syncsort, Inc., a data management and data protection software company located in Woodcliff Lake, NJ.

Note 9

Julius Genachowski Insurance Trust – As of December 1, 2008, this trust had cash holdings sufficient to pay a life insurance policy.

Note 10

Longacre Special Equities Fund, L.P. - As of November 30, 2008, this fund's top five holdings were the following:

publicly traded companies: (a) <u>Scripps Network Interactive</u>; (b) <u>IAC/InterActiveCrop.</u>; and
 (c) <u>Delta Airlines;</u>

and (2) private companies: (d) <u>Safety Kleen</u>, an environmental cleaning products, Plano, TX; (e) <u>Global Power Equipment Group</u>, a gas equipment manufacturer, Tulsa, OK.

This fund as a matter of general policy does not release further information regarding its holdings to investors or third parties.

Note 11

New Resource Bank options – As of December 31, 2008, there is an underwater option. The option has a strike price of \$10.00; an expiration date of 9/19/2016; and contains 5,000 vested shares.

Note 12

Rock Creek Ventures – Invested as a partner in this private venture company, located in Washington, DC. Rock Creek Ventures is invested the following private companies: Exent, a software company, located in Israel and in the United States; Fair Indigo, a fair trade clothing company located in Middleton, Wisconsin; Gizmoz, a digital graphics company, located in Israel and in the United States; Track Entertainment, a marketing and event company located in New York, NY; and LaunchBox Digital, an early stage investment firm and incubator, located in Washington, DC.

LaunchBox Digital, is invested in the following private companies: Buzzable, an online content technology company, located in the United States; Heekya, an online content technology company, located in the United States; JamLegend, an online music game company, located in San Francisco, CA; Koofers.com, an online academic information sharing company, located in the United States; Mpowerplayer, a mobile game company, located in Ashburn, VA; MyGameMug, an online social networking company, located in the United States; Razumé, an online resume review company, located in Washington, DC; ShareMeme, an online contact managing company, located in the United States; and Zadby, an online marketing company, located in the United States.

Note 13

Web.com, Inc. options – As of December 31, 2008, there are four underwater options. The first option has a strike price of \$10.90; an expiration date of 1/17/2016; and contains 38,888 vested shares and 1,112 unvested shares. The second option has a strike price of \$11.64; an expiration

date of 5/8/2016; and contains 10,000 vested shares. The third option has a strike price of \$8.70; an expiration date of 5/8/2017; and contains 11,500 vested shares. The fourth option has a strike price of \$8.74; an expiration date of 5/13/2018; and contains 11,500 unvested shares.

Note 14

13 Partners Private Equity, LP – As of December 9, 2008, this fund of funds holds investments in the following other private funds: Brockway Moran & Partners Fund III L.P., Carousel Capital Partners III L.P., Dune Real Estate Fund, LP, Edgewater Growth Capital Partners II L.P., Flexpoint Fund L.P., Francisco Partners II L.P., KPS Special Situations Fund III L.P., Madison Dearborn Capital Partners V, OCM/GFI Power Opportunities Fund II L.P., Patron Capital L.P. III, Quadrangle Capital Partners II L.P., Rutland Fund II L.P., Sageview Capital Partners (A) L.P., SCF-VI L.P., Summit Partners Private Equity VII L.P., Summit Partners Venture Capital II L.P., TDR Capital II Associates L.P., Trident IV, L.P., Walton Street Real Estate Fund V L.P., and Wynnchurch Capital Partners II, L.P.

This fund as a matter of general policy does not release further information regarding its holdings to investors or third parties.

Note 15

529 DC - Spouse, Owner, Dependent child, Beneficiary – this 529 investment account has investments in the following excepted investment funds: Calvert Social Index Fund, Calvert World values FD INC, CSIF Bond Portfolio, Calvert Small Cap Value, and Calvert Capital Accumulation.

Note 16

Trust Fund, Martin Goslins and Elizabeth Sandler Trustees for benefit of I and H Goslins, Revocable – the following investments are held within the trust:

M.L. Stern and Co. LLC, a bond brokerage account that as of November 30, 2008 held municipal bond investments in the following: Bay Area Govt Assn California Tax Alloc Cal Redev Agy Pool-A; Calabasas California Spl Tax Rfdg-Cmnty Facs Dist No 01-1b; California Health Facs Fing Au Sutter Health Mbia Insd; California Health Facs Fing Au Catholic 2005-A Book Entry Mbi; California Mobile Home Pk Fin A Union City Tropics Ser A Book; California St Book Entry 100% Usgv; Carmichael California Wtr Dist Ctfs Partn Mbia Book Entry Ext; Central California Jt Pwrs Hlt Auth Ctfs Partn Cmnty Hosp; Chico California Redev Agy Tax Allo Chico Amended & Merged Redev A; Cucamonga California Cnty Wtr Dist Fgic Insd Book Entry; Duarte California Ctfs Partn R Aca-Cbi Custdl Rcpts Registered; Inglewood California Redev Agy Tax Sub Lien Ser A-1 Book Entry Am; Irvine Calif Pub Facs & Infras Auth Assmt Rev Ser C Book Entr; Irvine Calif Uni Sch Dist Spl Rfdg Cfd 86-1 Book Entry Ambac; Kern Cnty Calif Ctfs Partn Fire Dept Prjs Book Entry Mbia; Lodi Calif Elec Sys Rev Ctfs P Mbia Insd Book Entry; Long Beach Calif Bd Fin Auth L Rfdg Civic Ctr Pj Ser A Book E; Long Beach

Calif Bd Fin Auth N Ser A Book Entry Gtd Merrill L; Los Angeles Calif Cmnty Redev Tax Alloc-Hollywood Redev Pj-C; Los Angeles California Wastewt Rfdg Ser A Fgic Insd Book Entr; Los Angeles Cnty California Ct Rfdg Disney Parking Pri Ambac; Modesto Calif Irr Dist Fing Au Rfdg Domestic Wtr Proj Ser D B; Orange Cnty Calif Cmnty Facs D Tax No 02-1-Ladera Ranch-Ser A; Oxnard Calif Fing Auth Wtr Rev Ambac Insd Book Entry; Oxnard Calif Impt Bd Act 1915 Assmt Dist No 01-1-Rice Ave Bo; Porterville Calif Ctfs Partn C Swr Sys Refing Proj Ambac Insd; Rancho Cordova Calif Ctfs Part Operations Fac Acquisition Pi; Redwood City Calif Sch Dist Fgic Insd Book Entry; Reedley Calif Pub Fing Auth Wa Book Entry Xlca Insd; Rocklin Calif Redev Agy Tax Al Rocklin Redev-A Book Entry 100; Sacramento California City Fin Lease Rev Cal Epa Bldg Ser A A; Sacramento Calif City Fing Aut Rev Cal Epa Bldg-Ser A Ambac I; San Francisco Calif City & Cnt Affordable Hsg Mbia Insd Book; San Francisco Calif City & Cnt Commn Intl Arpt Rev Rfdg-Secon; San Francisco Calif City & Cnt Pkg Meter Fgic Insd Book E; San Joaquin Cnty California Cm Dist Spl Tax Delta Farms Recla; San Jose Calif Redev Agy Tax A Merged Area Redev Proj Book En; San Mateo Cnty California Jt P Auth Lease Rev Rfdg Cap Projs; Tustin California Uni Sch Dist Cmnty Facs Dist #88-1 Fsa Book; Y S Sch Facs Fing Auth Calif S Chula Vista Elem Sch Mbia Ins.

As of November 30, 2008, this trust fund also had money in the following money market fund: Ca Daily Tax Free Inc Fnd-Cl.A.

As of November 30, 2008, this trust fund held a TD Ameritrade Brokerage Account, with the following investment in a *publicly traded company*: <u>Harmonic Drive Systems Inc.</u>

As of November 30, 2008, this trust fund also held a *real estate investment* interest in two California apartment buildings: (1) <u>Mulberry Terrace Apts. 14480 Mulberry Dr. Whittier, CA</u> 90605, and (2) <u>Studebaker Manor Apartments, 13000 Studebaker Rd., Norwalk, CA, 90650</u>.

Note 17

Independent Television Service – Income from spouse's part-time consulting position for ITVS as the Director of the Independent Digital Distribution Lab, a joint project of ITVS and PBS focusing on the online distribution of certain public television programs.

P. Michele Ellison
Acting General Counsel and Designated Agency Ethics Official
Federal Communications Commission
445 12th Street, SW
Washington, DC 20554

March 25, 2009

Dear Ms. Ellison:

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The purpose of this letter is to describe the steps that I will take to avoid any actual or apparent conflict of interest in the event that I am confirmed for the position of Member, Federal Communications Commission (FCC or Commission).

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest or in which I know that a person whose interests are imputed to me has a financial interest, if the particular matter has a direct and predictable effect on that interest, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: my spouse and minor children; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

As required by Section 4(b) of the Communications Act, 47 U.S.C. § 154(b), I will not knowingly hold a financial interest in any entity that has a significant interest in activities that are the subject of regulation by the Commission. Nor will I hold any official relation to any entity significantly regulated by the Commission.

Within 90 days of my confirmation, I will divest my interests in Longacre Capital Partners (QP), L.P., 13 Partners Private Equity, L.P, and Bandwith.com. With regard to all of these entities, I will not participate personally and substantially in any particular matter that has a direct and predictable effect on the financial interests of the entity until I have divested it, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the regulatory exemptions set forth in 5 CFR §§ 2640.201-2640.203 do not apply to financial interests in entities significantly regulated by the Commission.

I have disclosed in my financial disclosure report a financial interest in Longacre Special Equities Fund, L.P. However, the manager of this fund declined to provide me with sufficient information to enable me to fully disclose the fund's underlying assets in my financial disclosure report. Therefore, I will divest my financial interest in Longacre Special Equities Fund, L.P., within 90 days of my confirmation. Until I have divested this interest, I will not participate personally and substantially in any particular matter in which, to my knowledge, I have a financial interest, if the particular matter has a direct and predictable effect on the financial interests of Longacre Special Equities Fund, L.P., or its underlying assets, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2).

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> Within 90 days of my confirmation, my spouse will divest her interest in Citigroup Global Markets Holdings Principal – Protected Equity Linked Notes Nasdaq-100 Index. Until she has divested this interest, I will not participate personally and substantially in any particular matter that has a direct and predictable effect on the ability or willingness of the issuer to honor its contractual obligation or that has a direct and predictable effect on any financial interest listed on the Nasdaq-100 Index, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2).

> Upon confirmation, I will resign from my positions with the following entities: Thummit; Launchbox Digital; Rock Creek Ventures; Brennan Center for Justice; Common Sense Media; The Motley Fool; Web.com; Bandwidth.com; General Atlantic; Fora.tv; Hillcrest Labs; Rearden Commerce; Viewpoints Network; the Alan Genachowski 2007 Insurance Trust; the Genachowski Family Insurance Trust; and the SGL1 Coral Trust. For a period of one year after my resignation from each of these entities, I will not participate personally and substantially in any particular matter involving specific parties in which Common Sense Media or Bandwidth.com is a party or represents a party, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).

I will retain my position as Co-Trustee of the Julius Genachowski Insurance Trust. During the period of my appointment as a Member of the Federal Communications Commission, I will not receive any fees for services that I provide as a Co-Trustee of this trust. I will not participate personally and substantially in any particular matter that has a direct and predictable effect on the financial interests of this trust, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2).

So long as I rely on a *de minimis* exemption under 5 C.F.R. § 2640.202 with regard to my spouse's financial interest in Google, Inc., I will monitor the value of that interest. If the aggregate value of that interest increases and exceeds the *de minimis* threshold, I will not participate in any particular matter with a direct and predictable effect on that interest, unless I first obtain a written waiver under 18 U.S.C. § 208(b)(1).

My spouse provides services on a part-time basis, for compensation, for JWM Productions and Independent Television Services. As part of her part-time work for Independent Television Services, she works with PBS as a result of an arrangement between PBS and Independent Television Services. I will not participate personally and substantially in any particular matter that has a direct and predictable effect on my spouse's employment or compensation. I also will not participate personally and substantially in any particular matters involving specific parties in which JWM Productions, Independent Television Services, or PBS is or represents a party, unless I am first authorized to participate, pursuant to 5 CFR § 2635.502(d).

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Sincerely. Julius Genachowski

Funds that do not qualify under the

"safe harbor" mutual fund exemption

from disclosure rule include:

- (1) most IRAs;
- (2) funds that issue regular reports where the judge knows or should know the stocks in his portfolio;
- (3) funds with notoriously public activity (e.g., <u>T. Rowe</u>
 <u>Price</u> and <u>Fidelity</u> pre-IPO Facebook investing);
- (4) undisclosed purchases of "dark" instruments which conceal activity subject to transparency laws;
- (5) law firm 401(k) retirement accounts;
- (6) funds where stocks are held in the judge's (or spouse) name —"even one share"; and
- (7) funds where there is an appearance of impropriety.

Sources:

Guide to Judiciary Policy, Ethics & Judicial Conduct, Vol. 2B, Ch. 2, see esp. Section 106, U.S. Courts , United States Department of Justice <<u>http://www.uscourts.gov/uscourts/RulesAndPolicies/conduct/Vol02B-</u> <u>Ch02.pdf</u>>;

See also

<<u>https://docs.google.com/file/d/0B2SfG2nEsMfqSVQ4dFUyWGNHS0E/edit</u>> and <<u>http://www.scribd.com/doc/199638078/Guide-to-Judiciary-Policy-Vol-</u> 02-Ethics-and-Judicial-Conduct-Part-B-Ethics-Advisory-Opinions-Ch-02-Published-Advisory-Opinions-acesssed-Jan>.



Business

Fidelity Invests \$74 Million in Facebook & \$82 Million in Zynga

<u>Gregory Gomer</u> Jun 2nd 2011 at 2:31 pm <u>Facebook</u>, <u>Zynga</u>, <u>Fidelity</u>



According to the <u>Boston Globe this morning</u>, Fidelity Investments made a few large investments in social media during the first quarter of this year. It is reported that William Danoff, the manager of Fidelity's Contrafund - it's biggest and very popular fund - has invested \$74 million in Facebook Class B common shares and \$82 million in Zynga

+1

convertible preferred stock. Danoff has managed the \$80 billion Fidelity Contrafund since September 1990.

In the <u>article</u>, "Vincent Loporchio, a spokesman for Fidelity, said more than 30 of its funds held Facebook shares as of April 30. No fund had more than 0.15 percent of its assets invested in Facebook, said Loporchio, who declined to comment further."

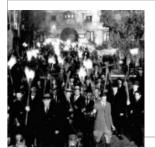
This is certainly no surprise as large funds have been dying to get their hands on the red hot shares of these social media companies, but it does mark a scary trend.

These secondary markets are not regulated at all by the SEC, and most funds have avoided these privately traded stocks for years. These markets can be extremely volatile and guess what is going to the be first to crash, once this said "bubble" we are in either pops or deflates?

I certainly like this move, historically these markets have proven to be extremely valuable leading up to the company's IPO's but again can be very risky. The fund also has a \$5.3 billion stake in Apple Inc. and \$3.8 billion in Google Inc.

Having that said, being a Fidelity alum I have money in the Contrafund and dig the investment.

Related Posts



Fidelity Demands Resolution to Nasdaq Trading Glitch

<u>Sam Dwyer</u>

Cloudant Raises \$12 Million Series B for Database-as-a-Service

Walter Frick

Bloomberg

Fidelity's Danoff Bets on Facebook, Zynga

By Miles Weiss - Jun 1, 2011

William Danoff, the manager of Fidelity Investment's largest stock fund, established a toehold in the social-networking industry during the first quarter by acquiring shares of <u>Facebook</u> Inc. and Zynga Inc.

Danoff's Fidelity Contrafund invested \$74 million in Facebook Class B common shares and \$82 million in Zynga convertible preferred stock, according to a quarterly report the fund filed yesterday with the U.S. Securities and Exchange Commission. Danoff, 50, has managed the \$80 billion Fidelity Contrafund since September 1990.

Fidelity and rivals T. Rowe Price Group Inc. and Capital Group Cos. are snapping up stakes in socialnetworking companies before they go public, after the mutual-fund industry avoided privately traded stocks for years. Boston-based Fidelity and Baltimore's T. Rowe Price may recognize an opportunity as a growing percentage of clients access their fund holdings through Facebook, said <u>Geoff Bobroff</u>, a fund consultant in <u>East Greenwich</u>, <u>Rhode Island</u>.

"We are seeing more of these fund companies embrace and adopt social media as something they are providing to their shareholders," Bobroff said today in an interview. "It's somewhat logical they would think there is value."

<u>Vincent Loporchio</u>, a spokesman for Fidelity, said more than 30 of its funds held Facebook shares as of April 30. No fund had more than 0.15 percent of its assets invested in Facebook, according to Loporchio, who declined to comment further.

T. Rowe, American

T. Rowe Price reported in April that 19 of its mutual funds invested at least \$191 million during the first quarter in Facebook, the <u>Palo Alto</u>, California-based owner of the world's most popular social-networking website. American Funds Growth Fund of America, a \$168 billion stock fund overseen by Los Angeles-based Capital Group, invested \$66.5 million on Feb. 18 in Zynga, the largest maker of games on Facebook, according to an April 29 filing.

Fidelity Contrafund (FCNTX) averaged annual gains of 7 percent over the past 10 years to beat 99

percent of its large-capitalization growth stock peers, according to Chicago-based research firm Morningstar Inc.

Danoff's fund aims to invest in stocks whose value hasn't been fully recognized by the public. At the end of last year, it had about 33 percent of net assets in information technology shares, including a \$5.3 billion stake in <u>Apple Inc. (AAPL)</u> and \$3.8 billion in Google Inc.

The fund acquired 2.97 million Facebook shares during the first quarter for about \$25 each, the same price T. Rowe Price reported paying, according to yesterday's filing. Facebook in January said it had raised \$1.5 billion from investors led by <u>Goldman Sachs Group Inc. (GS)</u>, placing a \$50 billion valuation on the closely held business at the time.

Convertible Preferred Shares

Fidelity Contrafund also bought its Zynga convertible preferred stock on Feb. 18, according to yesterday's filing. Zynga held talks in February with T. Rowe Price and Fidelity about selling shares at a price that implied the company's market value was close to \$10 billion, two people familiar with the situation said at the time.

Facebook and Zynga last year laid the groundwork for initial public offerings by imposing fees on employees who sell their shares. Zynga may file for an IPO by the end of June, a person familiar with the plans said last week.

To contact the reporter on this story: Miles Weiss in Washington at mweiss@bloomberg.net

To contact the editor responsible for this story: Christian Baumgaertel at cbaumgaertel@bloomberg.net

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Print

Mutual Funds and Taxes

Distributions from mutual funds occur for several different reasons and are subject to differing tax rates. Many mutual funds bundle most of their payouts into single, net distributions at the end of each year.

Whenever a mutual fund company passes earnings and other payouts to shareholders, it's known as a distribution. The major distribution for most funds comes at the end of each year, when net amounts are calculated—capital gains and other earnings minus the expenses of running the funds.

It's up to you to report mutual fund transactions on your tax return, as well as pay the appropriate taxes on each type of fund income.

Distributions and your taxes

If you hold shares in a taxable account, you are required to pay taxes on mutual fund dist*ribu*tions, whether the distributions are paid out in cash or reinvested in additional shares. The funds report distributions to shareholders on IRS Form 1099-DIV after the end of each calendar year.

For any time during the year you bought or sold shares in a mutual fund, you must report the transaction on your tax return and pay tax on any gains and dividends. Additionally, as an owner of the shares in the fund, you must report and potentially pay taxes on transactions conducted by the fund, that is, whenever the fund sells securities.

If you move between mutual funds at the same company, it may not feel like you received your money back and then reinvested it; however, the transactions are treated like any other sales and purchases, and so you must report them and pay taxes on any gains.

For federal tax purposes, ordinary income is generally taxed at higher rates than qualified dividends and long-term capital gains. The chart below illustrates how each type of mutual fund income is taxed.

Mutual funds in retirement and college savings accounts

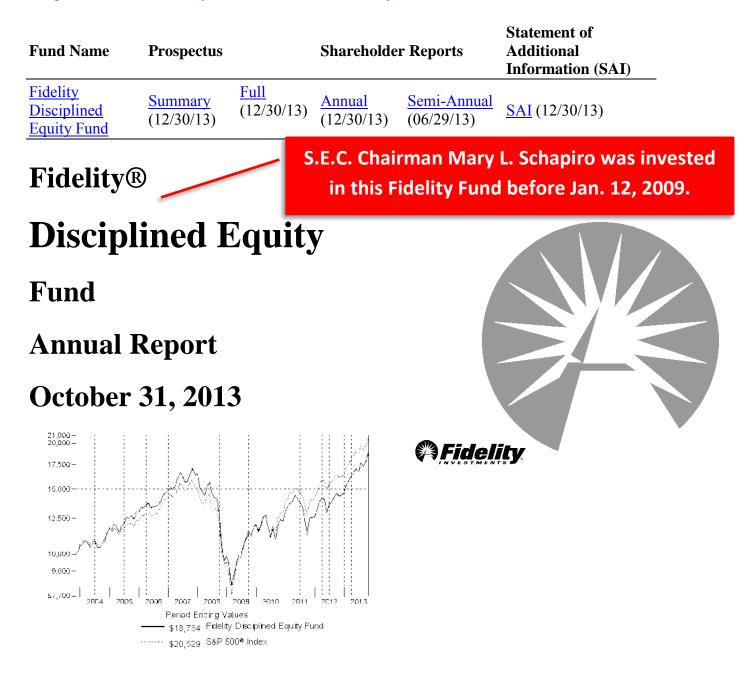
Certain accounts, such as individual retirement and college savings accounts, are tax-advantaged. If you have mutual funds in these types of accounts, you pay taxes only when earnings or pre-tax contributions are withdrawn. This information will usually be reported on Form 1099-R.

Type of distribution	Definition	Federal income tax treatment
Long-term capital gains	Net gains from the sale of shares held for more than one year; may include some distributions received from investments held by the fund	Subject to the capital gains rates, usually lower than the ordinary income tax rates
Short-term capital gains	Net gains from the sale of shares held for one year or less	May be treated as ordinary dividends, thus taxable at ordinary income tax rates
Qualified dividends	Dividends from common stock of domestic corporations and qualifying foreign corporations	Normally taxed as long-term capital gains (subject to certain holding period and hedging restrictions)
Ordinary or non-qualified dividends	Investment income earned by the fund from interest and non-qualified dividends minus expenses; often used as a blanket term that includes all taxable income except long-term capital gains.	Taxable at ordinary income tax rates
Tax-exempt interest	Some or all interest on certain bonds, usually state or local municipal bonds, designated as tax-exempt	Not taxable for federal tax purposes; may be subject to state and/or local taxes, depending on your resident state and the type of bonds purchased
Taxable interest	Interest on fixed-income securities	Taxable at ordinary income tax rates
Federal interest	Interest on federal debt instruments	Taxable at ordinary federal income tax rates, but exempt from state income tax
Required distributions	Non-investment income required to be distributed by the fund (such as foreign currency gains that are taxed as ordinary income when distributed)	Taxed as ordinary income
Return of capital	A portion of your invested principal returned to you	Not taxable

When there is no distribution

If a mutual fund does not have any capital gains, dividends, or other payouts, no distribution may occur. There may also be a non-taxable distribution. Shareholders will not be required to pay taxes if the fund

"My funds are doing great—I must owe a lot in taxes." *Source*: Fidelity® Disciplined Equity Fund (FDEQX) Annual Report, October 31, 2013, p. 5 <<u>http://fundresearch.fidelity.com/mutual-funds/summary/316066208</u>>.



Management's Discussion of Fund Performance

Market Recap: The bull run in U.S. stocks settled into a fifth year, as equity benchmarks ripped through records during the 12 months ending October 31, 2013, despite volatility at either end of the period. Advances were fueled by strengthening U.S. economic data, as well as generally improving economies and accommodative monetary policies worldwide. The broad S&P 500® Index set a series of new highs in rising 27.18% for the period, while the blue-chip Dow Jones

Industrial AverageSM also hit major milestones en route to a 21.82% gain. The Nasdaq Composite Index® had an even hotter run, up 33.54% amid a resurgence in growth-oriented stocks. Markets slipped on early-period anxiety around the U.S. presidential election and federal debt-ceiling deadline, but quickly rebounded, steadily rising through late May. News that the U.S. Federal Reserve was considering tapering its stimulative bond-buying program kept stocks in flux over the summer. By September, the Fed had put aside any imminent tapering, but markets remained skittish over a potential U.S. military strike in Syria and, later, a U.S. budget impasse that briefly shuttered government in October. Resolution of these issues saw markets homing in on all-time peaks at period end. Elsewhere, non-U.S. developed-markets equities continued to rebound, with the MSCI® EAFE® Index adding 27.02%.

Comments from Keith Quinton and Alex Devereaux, who joined Quinton as Co-Portfolio Manager of Fidelity® Disciplined Equity Fund on January 1, 2013: For the year, the fund's Retail Class shares returned 30.80%, solidly outperforming the S&P 500®. Both our quant and fundamental research models fared well, with value stocks leading the way and market momentum adding a nice boost. Beginning in January, we made some beneficial changes to the portfolio, paring its total number of holdings, shrinking the fund's international exposure to reduce country and currency risk, and tightening the degree of our stock overweightings against the index, while adding some flexibility around sector weightings. The fund's top relative contributor was an out-of-benchmark stake in social media leader Facebook.¹ Stock picks in consumer staples helped, especially a sizable overweighting in food & staples retailer Kroger, and a stake in alcohol distributor Constellation Brands, which we sold by period end. Conversely, results were crimped by weak stock picking in diversified financial services, including poor timing in Bank of America and credit card company Discover Financial Services. The fund also was hurt by a small cash position.

S.E.C. Chairman Mary L. Schapiro was invested in this Fidelity Fund before Jan. 12, 2009.

Note to shareholders: On January 1, 2014, Alex Devereaux will become sole Portfolio Manager of the fund.

The views expressed above reflect those of the portfolio manager(s) only through the end of the period as stated on the cover of this report and do not necessarily represent the views of Fidelity or any other person in the Fidelity organization. Any such views are subject to change at any time based upon market or other conditions and Fidelity disclaims any responsibility to update such views. These views may not be relied on as investment advice and, because investment decisions for a Fidelity fund are based on numerous factors, may not be relied on as an indication of trading intent on behalf of any Fidelity fund.

The following page is a snapshot of the official PDF— Fidelity® Disciplined Equity Fund (FDEQX) Annual Report, October 31, 2013, p. 5. (Note: We have re-rendered the page and re-OCR-ed it [Optical Character Recognition] so that it is now fully searchable.)

¹ This disclosure about Facebook, while it appears on the official Fidelity PDF file, has been scrambled and rendered unsearchable. The source of this cut and paste text is the Fidelity online site at <u>http://fundresearch.fidelity.com/prospectus/funds-retail</u> with the text rendered as HTML. However, this text is displayed as a database insertion that maintains this text out of the cloud and inaccessible to direct public searches. One would have to go specifically looking inside this particular report, as we have done, to find the reference to Facebook. This is a clever way to <u>mask references to Facebook</u>, or any other stock for that matter, from public searches. This reference is masked about as well as it can be and still be a legal public disclosure.

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Fidelity® Disciplined Equity Fund

Annual Report, October 31, 2013

The following excerpts show the direct conflicts of interest regarding Facebook for any judge or government official who has invested in this fund.

- 1. JPMorgan is one of Facebook's investment bankers.
- IBM sold Facebook 750 patents during the pendency of the *Leader v. Facebook* patent infringement trial. Further, US Patent Office Director, **David J. Kappos**, is former chief intellectual property counsel for IBM, and is therefore conflicted regarding matters related to Leader Technologies and Facebook.

172,592

33,000

149,584

127,570

105,509

87 500

129,765

Fidelity

4.009

42.683

13.003

6,281

5,144

15,681

40,109

2,29

3. Microsoft is one of Facebook's largest shareholders.

S.E.C. Chairman Mary L. Schapiro had an umistakable duty to recuse herself from all matters related to the Facebook exemption and IPO.

See accompanying notes which are an integral pay of the financial statements.

Internet Software & Services - 3.2%

IT Services - 3.1%

Facebook, Inc. Class A (a)

Computer Sciences Corp.

Fidelity National Information Services, In

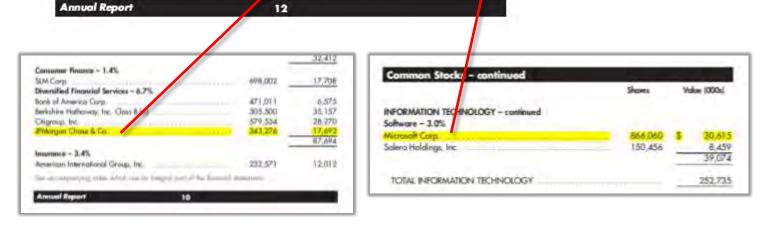
IBM Corp.

Cognizant Technology Solutions Corp. Class A (a)

Semiconductors & Semiconductor Equipment - 0.2%

Micron Technology, Inc. (a)

Google, Inc. Class A (a)



Report also available at: <u>http://www.scribd.com/doc/199687410/Fidelity-Disciplined-Equity-Fund-</u> FDEQX-Annual-Report-October-31-2013