#### UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION REPORTS Last Name First Name and Middle Initial Annual Report Senate Office / Agency in which Employed Calendar Year Covered by Report: **CLINTON** HILLARY RODHAM U.S. SENATE 2002 Senate Office Address (Number, Street, City, State, and ZIP Code) Senate Office Telephone Number Termination Report Prior Office / Agency in which Employed 476 RUSSELL OFFICE BUILDING., WASHINGTON, DC (Include Area Code) Termination Date (mm/dd/yy): 20510 202-224-4451 AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART YES NO Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, Complete and Attach PART I. Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, Complete and Attach PART II. Did you, your spouse, or dependent child receive unearned or investment income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the If Yes, Complete & Attach PART IIIA and/or IIIB. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, Complete and Attach PART IV. Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$285 and not otherwise exempt)? If Yes, Complete and Attach PART V. Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$285 from one source)? If Yes, Complete and Attach PART VI Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, Complete and Attach PART VII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, Complete and Attach PART VIII. Do you have any reportable agreement or arrangement with an outside entity? If Yes, Complete and Attach PART IX. If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, Complete and Attach PART X. File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, DC 20510. \$200 Penalty for filing more than 30 days after due date. This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the FOR OFFICIAL USE ONLY Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any Do Not Write Below this Line individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 6, 104, and 18 U.S.C. 1001.) SECRETARY $\mathbb{S}$ Certification Signature of Reporting Individual Date (Month, Day, Year) I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief. 유

For Official Use Only - Do Not Write Below This Line

Signature of Reviewing Official



It is the Opinion of the reviewer that the statements

made in this form are in compliance with Title I of

the Ethics in Government Act.



Date (Month, Day, Year)

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Reporting Individual's Name

HILLARY RODHAM CLINTON

Page Number

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Report the source (name and address), type, and amount of earned income to you from any spouse aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

### Individuals not covered by the Honoraria Ban:

	Name of Income Source	Address (City, State)	Type of Income	Amount
Exar	mples: JP Computers	Wash., DC Example	Salary Example	\$15,000
	MCI (Spouse)	Arlington, VA Example	Salary Example	Over \$1,000
1	University of Judaism (Spouse)	Universal City, CA.	Speech 1/14/02	\$125,000
2	The Dabbagh Group on behalf of STARS (Spouse)	Dubai, United Arab Emirates	Speech 1/17/02	\$300,000
3	Future Generation Foundation (Spouse)	Cairo, Egypt	Speech 1/18/02	\$175,000
4	The Dabbagh Group on behalf of the Jeddah Economic Forum (Spouse)	Jeddah, Saudi Arabia	Speech 1/20/02	\$300,000
5	Ness Technologies, Inc. (Spouse)	Tel Aviv, Israel	Speech 1/21/02	\$150,000
6	Stree - Global Investments in Women (Spouse)	Palo Alto, CA	Speech 1/29/02	\$125,000
7	Educational Institute of The AHLA (Spouse)	Santa Barbara, CA	Speech 1/31/02	\$125,000
8	WIZO (Spouse)	Miami Beach, FL	Speech 2/7/02	\$125,000
9	Group Vivendi Universal (Spouse)	Sundance, UT	Speech 2/11/02	\$150,000
10	Long Island Association, Inc. (Spouse)	Woodbury, NY	Speech 2/15/02	\$125,000
11	ORT Montreal (Spouse)	Montreal, Quebec Canada	Speech 2/18/02	
12	Austrailian Council for the Promotion of Peaceful Reunification of China (Spouse)	Sydney, Australia	Speech 2/22/02	\$125,000
13	Markson Sparks on behalf of Princess Margaret Children's Hospital, Perth (Spouse)	Perth, Australia	Speech 2/23/02	\$125,000



Reporting Individual's Name IIILLARY RODHAM CLINTON	Page Number 🖾 3

Report the source (name and address), type, and amount of earned income to you from any spouse aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

#### Individuals not covered by the Honoraria Ban:

	Name of Income Source	Address (City, State)	Type of Income	Amount
Exa	mples: JP Computers	Wash., DC Example	Salary Example	\$15,000
	MCI (Spouse)	Arlington, VA Example	Salary Example	Over \$1,000
1	Markson Sparks on behalf of The Women and Children's Hospital, Adelaide (Spouse)	Adelaide, Australia	Speech 2/25/02	\$125,000
2	Australian Information Industry Association (Spouse)	Adelaide, Australia	Speech 2/26/02	\$250,000
3	Markson Sparks on behalf of The Microsurgery Foundation, Melbourne (Spouse)	Melbourne, Australia	Speech 2/27/02	\$125,000
4	Markson Sparks on behalf of The Royal Children's Hospital Foundation, Brisbane (Spouse)	Brisbane, Australia	Speech 3/1/02	\$125,000
5	Markson Sparks on behalf of The Prince of Wales Medical Research Institute, Sydney (Spouse)	Sydney, Australia	Speech 3/2/02	\$125,000
6	Tufts University (Spouse)	Medford, MA	Speech 3/13/02	\$125,000
7	One Family - Israel Emergency Solidarity Fund (Spouse)	New York, NY	Speech 3/14/02	\$125,000
8	Maruri Communications Group (Spouse)	Guayaquil, Equador	Speech 3/16/02	\$200,000
9	Listin Diario (Spouse)	LaRomana, Dominican Republic	Speech 3/18/02	\$250,000
10	Personal Dynamics on behalf of Provente.com (Spouse)	Montreal, Quebec Canada	Speech 4/5/02	\$125,000
11	EPC International on behalf of Workshop Ischgl-Club of the Alps (Spouse)	Ischgl, Austria	Speech 4/13/02	\$245,000
12	Warburg Pincus (Spouse)	New York, NY	Speech 4/15/02	\$125,000
13	Hunter College Foundation (Spouse)	New York, NY	Speech 5/6/02	\$ 35,000



Reporting Individual's Name 🖼 HILLARY RODHAM CLINTON Page Number 📮 4

Report the source (name and address), type, and amount of earned income to you from any spouse aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

### Individuals not covered by the Honoraria Ban:

	Name of Income Source	Address (City, State)	Type of Income	Amount
Examp	ples: JP Computers	Wash., DC Example	Salary Example	de la companya de la
	MCI (Spouse)	Arlington, VA Example	Salary Example	Over \$1,000
	Compuware Corporation (Spouse)	Dana Point, CA	Speech 5/10/02	\$125,000
2 (	Gruner & Jahr Publishing USA on behalf of The American Jewish Committee (Spouse)	New York, NY	Speech 5/15/02	\$125,000
3 (	Global Artists on behalf of Nihon University (Spouse)	Tokyo, Japan	Speech 5/21/02	\$200,000
4 (	CLSA Ltd (Spouse)	Hong Kong, PRC	Speech 5/22/02	\$250,000
<b>5</b> d	dnmStrategies on behalf of JingJi Real Estate Development Group (Spouse)	Shenzhen, PRC	Speech 5/23/02	\$230,000
6 S	Success Resources Pte Ltd. (Spouse)	Singapore	Speech 5/24/02	\$250,000
7 F	BMW Group of New Zealand (Spouse)	Auckland, New Zealand	Speech 5/27/02	\$137,500
	Protocol Resource and Operation Services (Spouse)	Dublin, Ireland	Speech 6/6/02	<del> </del>
	Aripaeva Kirjastus (Spouse)	Tallin, Estonia	Speech 6/10/02	\$200,000
	The American University in Dubai (Spouse)	Dubai, United Arab Emirates		\$150,000
11 N	Nordstrom International APS on behalf of World Celebrity Golf (Spouse)	Stockholm, Sweeden	Speech 6/11/02 Speech 7/6/02	\$150,000
<b>12</b> A	Ahmet San Productions on behalf of TUSIAD (Spouse)	Istanbul, Turkey	Speech 7/6/02	\$250,000
<b>13</b> T	Toranto Hadassah - WIZO (Spouse)	Toranto, Ontario Canada	Speech 7/29/02	\$125,000



Reporting Individual's Name	HILLARY RODHAM CLINTON	
		Page Number 🖼 5

Report the source (name and address), type, and amount of earned income to you from any spouse aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

# Individuals not covered by the Honoraria Ban:

	Name of Income Source	Address (City, State)	Type of Income	Amarica
Exar	mples: JP Computers	Wash., DC Example		Amount
	MCI (Spouse)	Arlington, VA Example	Salary Example Salary Example	\$15,000
1	PeopleSoft, Inc. (Spouse)	New Orleans, LA	Speech 8/29/02	Over \$1,000
2	The German Union of Small and Medium-Sized Compaines (Spouse)	Munich, Germany	Speech 10/4/02	\$125,000
3	Media Control GmbH (Spouse)	Baden Baden, Germany	Speech 10/05/02	\$100,000
4	American Friends of the Rabin Medical Center (Spouse)	New York, NY	Speech 10/27/02	<del></del>
5	London Drugs (Spouse)	Mississauga, Ontario Canada	Speech 11/4/02	\$125,000
6	The Abraham Fund (Spouse)	New York, NY	Speech 11/6/02	\$125,000
7	Celebrity Forum II (Spouse)	San Jose, CA		\$125,000
	MPSF, Inc. (Spouse)	Oakland, CA	Speech 11/11/02	\$100,000
-	MPSF, Inc. (Spouse)		Speech 11/12/02	\$100,000
		San Mateo, CA	Speech 11/13/02	\$100,000
	MPSF, Inc. (Spouse)	San Rafael, CA	Speech 11/14/02	\$100,000
	Celebrity Forum II (Spouse)	Cupertino, CA	Speech 11/15/02	\$100,000
12	University of Califormia - Davis (Spouse)	Davis, CA		\$100,000
13	Mito City Political Research Group (Spouse)	Mito City, Japan		\$400,000



Reporting Individual's Name	HILLARY RODHAM CLINTON		Page Number 🖺	\$ 6

Report the source (name and address), type, and amount of earned income to you from any spouse aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

#### Individuals not covered by the Honoraria Ban:

		Name of Income Source	Address	(City, State)	Type of Inc	ome	Amount
Exam	JP Comput	ers	Wash., DC	Example	Salary	Example	\$15,000
L/UI.	MCI (Spou	se)	Arlington, VA	Example	Salary	Example	Over \$1,000
1	Global Artists (	(Spouse)	Tokyo, Japan		Speech 11/21/02		\$100,000`
2	Value Grupo F	inanciero (Spouse)	Monterrey, Mexico		Speech 12/2/02		\$175,000
3	National Societ (Spouse)	ty for the Prevention of Cruelty to Children	Lancashire, England	-	Speech 12/7/02		\$100,000
4	Temple Beth A	vodah (Spouse)	Newton, MA		Speech 12/9/02		\$125,000
5	GBD Group (S	pouse)	Rotterdam, The Neth	erlands	Speech 12/13/02		\$250,000
6	United Israel A	ppeal of Geneva (Spouse)	Geneva, Switzerland		Speech 12/14/02	•	\$150,000
7	RDM Group (S	pouse)	Rotterdam, The Neth	erlands	Speech 12/15/02	·	\$125,000
8	European Trave	el Commission (Spouse)	New York, NY		Speech 12/18/02		\$125,000
9	Info USA, Inc.	(Spouse)	Omaha, NE		Nonemployee Compe	nsation	Over \$1,000
10	Simon & Schus	ster, Inc literary work	Parsippany, NJ		Book Royalties		\$1,149,621
	and owing at 12	ster, Inc It Takes a Village (no royalties due 2/31/02. Future value not ascertainable)*	Parsippany, NJ		Book Royalties		\$1,237
12	*Senator Clinto charity.	on donates the royalties from this book to					
13							



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Rep pub spo p.3, of Ir	BLOCK A entity of Publicly Traded Assets and Unearned Income Sources out the complete name of each licly traded asset held by you, your use, or your dependent child, (See CONTENTS OF REPORTS Part B instructions) for production of income evestment which:	l.	Nor	At t	he c	l <b>ua</b> l lose s th	t <b>ion</b> of r	CK I of A epo 31,00 imn.	Asserting 11, (	ı per	iod.	ne fir	st	If		at it	em.		s inc	\$20 <sup>.</sup> lude	ype and  1)" is Chesincome	cke	our d, n eive	o of	ther r ac	er Coru	ntry ued	to 1	the		efit	lock C for of the
iden mut inter bank blind	(1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period.  Inde on this PART IIIA a complete effication of each public bond, ual fund, publicly traded partnership rest, excepted investment funds, is accounts, excepted and qualified of trusts, and publicly traded assets retirement plan.	None (or less than \$1,000)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Expected Investment Fund	Expected Trust	Qualified Blind Trust	Other (Specify Type)	None (ore less than \$201)	LA I		\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*** \$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amoun Require if "Other" Specifie
Ex.	S, IBM Corp. (stock)				х									Х				1		10.17	Example		x									Example
	or J (S) Keystone Fund					Х												Х	11,411		Example				X							Example
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2	US Bank NA - CD (formerly First Star) (DC)			$\boxtimes$												$\boxtimes$						Ц	×	d	Ц	d	Ц	d	$\Box$		[	
3	U.S. Savings Bonds (DC)		$\boxtimes$																			Ø		口	₫			₫	口		1	
4	Verizon - Common (DC)		$\boxtimes$											$\boxtimes$									図	П	廿	₫			₫			
5	Bellsouth - Common (DC)		$\boxtimes$											$\boxtimes$									Ø			◁	口		₫			
6	Northwest Mutual Life Insurance (cash value) (S)			$\boxtimes$												$\boxtimes$						П	₫	q	Ø	d	d	d	q			
7	Northwest Mutual Life Insurance (cash value)			$\boxtimes$												$\boxtimes$						d	Д	d	Ø	Д	П		d			
8	Senate Qualified Blind Trust (J and DC)									$\boxtimes$										$\boxtimes$		Ø	q	d	d	d	Ц		┫			Net Loss
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	Arkansas Public Employees		$\boxtimes$																			$\rightarrow$	1				7		$\exists$		] _	

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Rep pub spo p.3,	oort the licly trause, o	BLOCK A of Publicly Traded Assets nearned Income Sources e complete name of each aded asset held by you, your r your dependent child, (See TENTS OF REPORTS Part B ions) for production of income	BLOCK B  Valuation of Assets  At the close of reporting period. (See Part B ncome  BLOCK B  Type and A  If "None (or less than \$201)" is Check that item. This includes income recommend in the column.						cke	ou d, r	n <b>t o</b> l no ot ed o	he	r entr	y is	nec o the	ede e b∈	d in	Bk fit o	ock C for														
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ider mut	\$1 rep (2) "ur rep ude or utificati ual fur	had a value exceeding 000 at the close of the corting period; and/or generated over \$200 in learned" income during the corting period.  this PART IIIA a complete on of each public bond, ad, publicly traded partnership excepted investment funds,	None (or less than \$1,000)	\$15,000	- \$50,000	\$100,000	- \$250,000	\$250,001 - \$500,000	-\$1,000,000	Over \$1,000,000***	01 - \$5,000,000	01 - \$25,000,000	001 - \$50,000,000	Over \$50,000,000				ains	Expected Investment Fund	Expected Trust	Blind Trust	Other (Specify Type)	(ore less than \$201)	\$1,000	\$2,500	nnn'cd	\$5,001 - \$15,000 \$15,001 - \$50,000	- \$100,000	- \$1,000,000	8	11 - \$5,000,000	000	Actual Amount Required if "Other" Specified
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		s, and publicly traded assets nent plan.	None	\$1,001	\$15,001	\$50,001	\$100,001	\$250	\$500,001	Over	\$1,000,001	\$5,000,001 -	\$25,000,001	Over	Dividends	Rent	Interest	Capital Gains	Expe	Expe	Qualified I		None	\$201	\$1,001	\$2,50	\$5,00	\$50,001	\$100,001	Over	\$1,000,000 -	Over	
Ex.	S, DC,	IBM Corp. (stock)		133		Х		100							Х							Example		х	11-11								Example
	or J	(S) Keystone Fund					Х												Х			Example				X							Example
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										Cate	jory	of A	mou	nt o	f Val	ue (x	()	
(See credi owed resid furnit	p.3 ( tor at I duri ence ure c	CONTENTS OF REF any time during the ng the reporting per s unless rented; (2) r appliances; and (3	PORTS Part B of Instrements of Porting Period. Che iod. Exclude: (1) Mort loans secured by auto	eck the highest amount ligages on your personal probles, household in grain relatives listed in grain charge accounts.	Date Incurred	Interest Rate	Term if Applicable	\$10,001 - \$15,000	15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000		
	Nan	ne of Creditor	Address	Type of Liability				<del>(A)</del>	\$1		↔	9	\$500,001	Over \$1,000,000***		Edinos	₩	Pilipine P
Ex.	S	First District Bank	Wash., DC	Mortgage on undeveloped land	1981	13%	25yrs			X	1	Ε	X	а	m	р		E
	or J	(J) John Jones	Wash., DC	Promissory Note	1989	10%	On dmd				X	Ε	X	а	т	p	1	E
1	Wil	liams & Connolly - Was	shington., DC (S)	Legal Fees	1999 to 2002										$\boxtimes$			
2	Ska	dden Arps - Washington	ı, DC (S)	Legal Fees	1998								$\boxtimes$					
3	Wri	ght Lindsey & Jennings,	, Little Rock, AR (J)	Legal Fees	1998*						$\boxtimes$							
4	Mag	er Brown & Platt (S)		Legal Fees	1998						$\boxtimes$							
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***This	s categ	TEST (see instructions before applies only if the obligations appropriate	fore marking box): If you omitte tion was solely that of the spo	ed any liability because it meets the thre use or dependent child. If the obligation	ee-part test n was the fi	for exemption ler's or a join	on described nt obligation	d in the	Instru ne spo	ctions, use or	please depen	e check dent c	k here. hild, us	<b>I</b> ₩	other			



#### PART IX. AGREEMENTS OR ARRANGEMENTS

Reporting Individual's Name

HILLARY RODHAM CLINTON

Page Number 🖙

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Report your agreements or arrangements for future employment (including agreements with a publisher for writing a book or sale of other intellectual property), leaves of absence, continuation of payment by a former employer (including severance payments), or continuing participation in an employee benefit plan. See Instructions regarding the reporting of negotiations for any of these arrangements or benefits.

	Status and Terms of any Agreement or Arrangement	Parties	Date
Ever	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on services performed through 11/0X and retained pension benefits (diversified, independently managed, fully funded, defined contribution plan)	Jones & Smith, Hometown, USA Example	1/83
⊏Xai	Employment agreement with XYZ Co. to become Vice President of Government Relations. Terms of agreement include salary between \$50,001-\$100,000, signing bonus between \$2,501-\$5,000 and stock options	XYZ Co., Bethesda, MD Example	1/03
1	Publishing agreement regarding the literary work "It Takes A Village" (will receive royalty payments pursuant to usual and customary terms of the trade)	Simon & Shuster, Inc.	1/4/96
2	Publishing agreement for autobiographical literary work approved by Select Committee on Ethics (will receive royalty payments pursuant to usual and customary terms of the trade)	Simon & Shuster, Inc.	1/2/01
3	Publishing agreement regarding the work "Dear Socks, Dear Buddy" (royalty payments assigned to National Parks Foundation)	Simon & Shuster, Inc.	9/98
4	Charitable gift assignment regarding the work "Dear Socks, Dear Buddy" (royalty payments assigned to National Parks Foundation and paid directly by the publisher)	National Parks Foundation	9/98
5	Publishing agreement regarding the literary work "Invitation to the White House" (royalty payments assigned to the White House Historical Society)	Simon & Shuster, Inc.	12/99
6	Charitable gift assignment regarding the literary work "Invitation to the White House" (royalty payments assigned to the White House Historical Society and paid directly by the publisher)	White House Historical Society	12/99
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