### Report for Filing

**Fee for Late Filing**

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period shall be subject to a $200 fee.

### Reporting Periods

**Incumbents:** The reporting period is the proceeding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

**Termination Filing:** The reporting period begins at the end of the period covered by the previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

### Nominees, New Entrants and Candidates for President and Vice President:

- **Schedule A:** The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.
- **Schedule B:** Not applicable.
- **Schedule C, Part I:** Not applicable.
- **Schedule C, Part II (Agreements or Arrangements):** Show any agreements or arrangements as of the date of filing.
- **Schedule D:** The preceding two calendar years and the current calendar year up to the date of filing.

### Certification

I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.

### Other Review (If desired by agency)

Signature of Designated Agency Ethics Official/Reviewing Official

### Comments of Reviewing Official(s) (If additional space is required, use the reverse side of this sheet)

(If filing extension granted & indicate number of days)

(Check box if comments are continued on the reverse side)
### Assets and Income

**For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.**

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse).

<table>
<thead>
<tr>
<th>BLOCK A</th>
<th>BLOCK B</th>
<th>BLOCK C</th>
</tr>
</thead>
</table>
| **For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.**

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse). |

**Central Airlines Common**

**Examples**

- Doe Jones & Smith, Home town, State
- Kempstone Equity Fund ( IRA: Heartland 500 Index Fund)

1. **Office of the Bronx Borough President**
   **City of New York**

2. **NYC Deferred Comp Plan**
   - Stable Income Fund

3. **NYCERS Pension Plan**
   (defined benefit plan)

4. **NYS United Court System**
   **Office of the Court Administration**

5. **401K (spouse)**
   - Stable Value Fund
   - PIMCO Total Return Fund

6. **- American Beacon Lg Cap Value Fd**
   - Northern Trust Sel Indexd Equity Fd
   - Thornburg Value Fund

**Note**

- If "None (or less than $200)" is checked, no other entry is needed in Block C for that item.  

**Income: type and amount.**

**Salary**

**$160,000.00**

**Spouse Salary**

**Prior Editions Cannot be Used.**
## SCHEDULE A continued

(Use only if needed)

### Assets and Income

**BLOCK A**

### Valuation of Assets at close of reporting period

**BLOCK B**

### Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

**BLOCK C**

<table>
<thead>
<tr>
<th>Type</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Note:**

- **401K (Spouse) (Cont'd)**
  - American Fds Grth Fnd America
  - OFI Inst Pmm Discovr Val Fd
- **2** — T Rowe Price Sel Ml Cq Gwth Eq II
  - W&Fgo Adv SmMld Cq Value Fd
  - T. Rowe Price New Horizons Fund
- **3** — AmerFunds EuroPacific Gp Fund
  - MFS Emerging Markets Eq Fund

*This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.*
Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

Reporting Individual's Name
Adolfo Carrion, Jr.

SCHEDULE B

Part I: Transactions
Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the “Certificate of divestiture” block to indicate sales made pursuant to a certificate of divestiture from OGE.

<table>
<thead>
<tr>
<th>Transaction Type(s)</th>
<th>Purchase</th>
<th>Sale</th>
<th>Exchange</th>
<th>Date (Mo., Day, Yr.)</th>
<th>Amount of Transaction (x)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>2/2/99</td>
<td>None</td>
</tr>
</tbody>
</table>

Identification of Assets
Example: Central Airlines Common

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses
For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $260; and (2) travel-related cash reimbursements received from one source totaling more than $260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $104 or less. See instructions for other exclusions.

<table>
<thead>
<tr>
<th>Source (Name and Address)</th>
<th>Brief Description</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Example</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Central Airlines</td>
<td>Airline ticket,</td>
<td>$500</td>
</tr>
<tr>
<td></td>
<td>hotel room &amp; meals according to national conference 6/15/99 (personal activity unrelated to duty)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Leather briefcase (personal friend)</td>
<td>$300</td>
</tr>
<tr>
<td>Frank Jones, San Francisco, CA</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Prior Editions Cannot Be Used.
Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

<table>
<thead>
<tr>
<th>Creditors (Name and Address)</th>
<th>Type of Liability</th>
<th>Date Incurred</th>
<th>Interest Rate</th>
<th>Term if applicable</th>
<th>Category of Amount or Value (x)</th>
</tr>
</thead>
<tbody>
<tr>
<td>First District Bank, Washington, DC</td>
<td>Mortgage on rental property, Delaware</td>
<td>1991</td>
<td>8%</td>
<td>25 yrs.</td>
<td>$10,000 - $15,000</td>
</tr>
<tr>
<td>John Jones, 123 J St, Washington, DC</td>
<td>Promissory note</td>
<td>1999</td>
<td>10%</td>
<td>on demand</td>
<td>$15,000 - $20,000</td>
</tr>
</tbody>
</table>

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g. 401k, deferred compensation; (2) continuation payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.
Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

<table>
<thead>
<tr>
<th>Organization (Name and Address)</th>
<th>Type of Organization</th>
<th>Position Held</th>
<th>From (Mo., Yr.)</th>
<th>To (Mo., Yr.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>National Association of Latino Elected/Appointed Officials</td>
<td>Non-Profit</td>
<td>President</td>
<td>06/06</td>
<td>2/09</td>
</tr>
<tr>
<td>City of New York</td>
<td>City government</td>
<td>Bronx Borough Pres.</td>
<td>1/02</td>
<td>2/09</td>
</tr>
</tbody>
</table>

Report sources of more than $5,000 compensation received by you or your corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

<table>
<thead>
<tr>
<th>Source (Name and Address)</th>
<th>Brief Description of Duties</th>
</tr>
</thead>
<tbody>
<tr>
<td>Doe Jones &amp; Smith, Hometown, State</td>
<td>Legal services</td>
</tr>
<tr>
<td>Metro University (client of Doe Jones &amp; Smith), Moneytown, State</td>
<td>Legal services in connection with university construction</td>
</tr>
<tr>
<td>City of New York</td>
<td>Office of the Bronx Borough President</td>
</tr>
</tbody>
</table>