



Filing ID #10026982

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Nancy Pelosi**Status:** Member**State/District:** CA12

## FILING INFORMATION

**Filing Type:** Annual Report**Filing Year:** 2018**Filing Date:** 05/15/2019

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
11 Zinfandel Lane - Home & Vineyard [RP]  LOCATION: St. Helena/Napa, CA, US	JT	\$5,000,001 - \$25,000,000	Grape Sales	\$100,001 - \$1,000,000	<input type="checkbox"/>
25 Point Lobos - Commercial Property [RP]  LOCATION: San Francisco/San Francisco, CA, US	SP	\$5,000,001 - \$25,000,000	Rent	\$100,001 - \$1,000,000	<input type="checkbox"/>
45 Belden Place - Four Story Commercial Building [RP]  LOCATION: San Francisco/San Francisco, CA, US	SP	\$5,000,001 - \$25,000,000	Rent	\$100,001 - \$1,000,000	<input type="checkbox"/>
Amazon.com, Inc. (AMZN) [OP]  DESCRIPTION: 20 call options with a strike price of \$1700 with an expiration date of 1/17/20 and 30 call options with a strike price of \$1600 with an expiration date of 1/17/20.	SP	\$500,001 - \$1,000,000	None		<input checked="" type="checkbox"/>
Apple Inc. [OP]  DESCRIPTION: 100 call options with a strike price of \$145 with an expiration date of 6/19/20.	SP	\$250,001 - \$500,000	None		<input checked="" type="checkbox"/>
Apple Inc. (AAPL) [ST]	SP	\$5,000,001 - \$25,000,000	Dividends	\$50,001 - \$100,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Aristotle LLC [OL]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: San Francisco/San Francisco, CA, US DESCRIPTION: Ltd. Partnership Investment in Aristotle Publishing, Inc.					
AT&T Inc. (T) [ST]	SP	\$250,001 - \$500,000	None		<input checked="" type="checkbox"/>
Auberge du Soleil [OL]	SP	\$5,000,001 - \$25,000,000	Partnership Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Rutherford/Napa, CA, US DESCRIPTION: Ltd. Partnership Investment in Resort Hotel					
Bank of America - Checking Account [BA]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: San Francisco, CA					
Bank of America - Checking Account [BA]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
DESCRIPTION: San Francisco, CA					
Bank of America - Checking Account [BA]	SP	\$1 - \$1,000	None		<input type="checkbox"/>
DESCRIPTION: Washington, DC					
BF Enterprises Common Stock New (BFET) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Asset name change during 2015 to BFE Corporation					
Borel Real Estate Company [OL]	SP	\$500,001 - \$1,000,000	Partnership Income, Rent	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: San Mateo/San Mateo, CA, US DESCRIPTION: Ltd. Partnership Interest in Shopping Center					
City Car Services LLC [OL]	SP	\$500,001 - \$1,000,000	None		<input type="checkbox"/>
LOCATION: Hingham/Plymouth, MA, US DESCRIPTION: Ltd. Partnership Investment in Limousine Company					
City National Bank - Checking Account [BA]	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: San Francisco, CA					
City National Securities - Brokerage Money Market Account [BA]	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Clean Energy Fuels Corp. (CLNE) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Comcast Corporation - Class A (CMCSA) [ST]	SP	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Congressional Credit Union - Checking Account [BA] DESCRIPTION: Washington, DC		\$15,001 - \$50,000	None		<input type="checkbox"/>
Dropbox, Inc. - Class A (DBX) [ST]	SP	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
EDI Associates [OL] LOCATION: Sonoma/Sonoma, CA, US DESCRIPTION: Ltd. Partnership Investment in El Dorado Hotel	SP	\$250,001 - \$500,000	Partnership Income	\$50,001 - \$100,000	<input type="checkbox"/>
Facebook, Inc. - Class A (FB) [ST]	SP	\$500,001 - \$1,000,000	None		<input type="checkbox"/>
Facebook, Inc. - Class A (FB) [OP] DESCRIPTION: 20 call options with a strike price of \$150 with an expiration date of 1/17/20 and 30 call options with a strike price of \$140 with an expiration date of 1/17/20.	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
Financial Leasing Services [OL] LOCATION: San Francisco/San Francisco, CA, US DESCRIPTION: Investment Consulting Company	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
Forty-Five Belden Corporation [OL] LOCATION: San Francisco/San Francisco, CA, US DESCRIPTION: Investment Management Company	SP	\$1,000,001 - \$5,000,000	Partnership Loss	\$50,001 - \$100,000	<input type="checkbox"/>
Global Ambassador Concierge LLC [OL] LOCATION: Washington, DC, US DESCRIPTION: Ltd. Partnership Interest in Concierge Services for International Travelers	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Interactive Brokers Group, Inc. (IBKR) [ST]	SP	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Matthews International Mutual Fund [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Mojo Networks, Inc. [PS]	SP	None	Capital Loss	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Mojo Networks, Inc. develops and delivers cloud managed WiFi platform and WiFi access points for enterprises worldwide.					
Morningstar, Inc. (MORN) [ST]	SP	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Mosher Partners LP [OL]	SP	\$250,001 - \$500,000	Partnership Income	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Sacramento/Sacramento, CA, US DESCRIPTION: Undeveloped Residential Real Estate Investment					
Nautilus Leasing Services [OL]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
LOCATION: San Francisco/San Francisco, CA, US DESCRIPTION: Container Leasing Company					
Nine Forty Five Battery LLC [OL]	SP	\$5,000,001 - \$25,000,000	Partnership Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: San Francisco/San Francisco, CA, US DESCRIPTION: General Partner in 945 Battery Street Office Building					
Odyssey LLC [OL]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: San Francisco/San Francisco, CA, US DESCRIPTION: Ltd. Partnership Interest in Venture Capital Investment Firm					
Piatti Restaurant Company [OL]	SP	\$1,000,001 - \$5,000,000	Partnership Income	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Tiburon/Marin, CA, US DESCRIPTION: Ltd. Partnership Investment in Restaurant Company					
QUALCOMM Incorporated (QCOM) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Russell Ranch LLC [OL]	SP	\$5,000,001 - \$25,000,000	Partnership Income	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: Sacramento/Sacramento, CA, US DESCRIPTION: Ltd. Partner in Undeveloped Residential Real Estate Investment					
Salesforce.com Inc (CRM) [ST]	SP	\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
Sierra Vista Baseline Investors LP [OL]	SP	\$250,001 - \$500,000	Partnership Income	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: Sacramento/Sacramento, CA, US DESCRIPTION: Ltd. Partnership in Undeveloped Real Estate Investment					



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Square, Inc. Class A (SQ) [ST]	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>
Stoneridge LLC [OL]	SP	\$250,001 - \$500,000	Partnership Loss	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: Sacramento/Sacramento, CA, US DESCRIPTION: Ltd. Partner in Undeveloped Residential Real Estate Investment					
SunEdison, Inc. (SUNE) [ST]	SP	\$1 - \$1,000	None		<input type="checkbox"/>
Thirteen Hundred One Sansome LLC [OL]	SP	\$5,000,001 - \$25,000,000	Partnership Loss, Rent	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: San Francisco/San Francisco, CA, US DESCRIPTION: General Partner in 1301 Sansome Street Office Building					
Tripath Technology Inc. Common Stock [PS]	SP	\$1 - \$1,000	None		<input type="checkbox"/>
DESCRIPTION: Common Stock Not Actively Traded					
Trivium LLC [OL]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: San Francisco/San Francisco, CA, US DESCRIPTION: Ltd. Partnership Investment in E-Business Software Solutions Company					
Union Bank of California - Checking Account [BA]	SP	\$1 - \$1,000	None		<input type="checkbox"/>
DESCRIPTION: San Francisco, CA					
Union Bank of California - Money Market Account [BA]	SP	\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: San Francisco, CA					
Union Bank of California - Pelosi Trust Checking Account [BA]	SP	\$1 - \$1,000	None		<input type="checkbox"/>
DESCRIPTION: San Francisco, CA					
Visa Inc. (V) [ST]	SP	\$5,000,001 - \$25,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Walt Disney Company (DIS) [ST]	SP	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo Bank - Checking Account [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: San Francisco, CA					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Amazon.com, Inc. (AMZN) [ST]	SP	07/27/2018	P	\$500,001 - \$1,000,000	
DESCRIPTION: Purchase of 20 call options with a strike price of \$1700 and an expiration date of 1/17/20.					
Amazon.com, Inc. (AMZN) [ST]	SP	10/12/2018	P	\$1,000,001 - \$5,000,000	
DESCRIPTION: Purchased 30 call options with a strike price of \$1600 and an expiration date of 1/17/20.					
Apple Inc. (AAPL) [ST]	SP	02/2/2018	P	\$100,001 - \$250,000	
DESCRIPTION: Purchase of 50 call options with a strike price of \$140 and an expiration date of 9/21/18.					
Apple Inc. (AAPL) [ST]	SP	02/2/2018	P	\$100,001 - \$250,000	
DESCRIPTION: Purchase of 50 call options with a strike price of \$130 and an expiration date of 9/21/18.					
Apple Inc. (AAPL) [ST]	SP	09/11/2018	P	\$500,001 - \$1,000,000	
DESCRIPTION: Purchased 100 call options with a strike price of \$145 and an expiration date of 6/19/20.					
Apple Inc. (AAPL) [ST]	SP	09/20/2018	P	\$500,001 - \$1,000,000	
DESCRIPTION: Exercised 50 call options (5,000 shares) expiring 9/21/18 at a strike price of \$130.					
Apple Inc. (AAPL) [ST]	SP	09/20/2018	P	\$500,001 - \$1,000,000	
DESCRIPTION: Exercised 50 call options (5,000 shares) expiring 9/21/18 at a strike price of \$140.					
AT&T Inc. (T) [ST]	SP	10/24/2018	P	\$250,001 - \$500,000	
DESCRIPTION: Purchased 10,000 shares.					
Dropbox, Inc. - Class A (DBX) [ST]	SP	03/27/2018	P	\$250,001 - \$500,000	
DESCRIPTION: Purchase of 10,000 Shares					
Facebook, Inc. - Class A (FB) [ST]	SP	07/27/2018	P	\$50,001 - \$100,000	
DESCRIPTION: Purchase of 20 call options with a strike price of \$150 and an expiration date of 1/17/20.					
Facebook, Inc. - Class A (FB) [ST]	SP	10/9/2018	P	\$100,001 - \$250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Purchased 30 call options with a strike price of \$140 and an expiration date of 1/17/20.					
Mojo Networks, Inc. [PS]	SP	08/23/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US					
DESCRIPTION: Final cash distribution for preferred shares held resulting from Arista Networks, Inc. acquisition of Mojo Networks, Inc.					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Financial Leasing Services	Spouse Salary	N/A
Fairfield Associates	Spouse Management Fee	N/A
45 Belden Corporation	Spouse Management Fee	N/A

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Union Bank of California	September 2016	Mortgage on 11 Zinfandel Lane, St. Helena, CA	\$5,000,001 - \$25,000,000
SP	Union Bank of California	December 2017	Mortgage on 45 Belden Place, San Francisco, CA	\$1,000,001 - \$5,000,000
SP	Wells Fargo Bank	June 2017	Mortgage on 25 Point Lobos Avenue, San Francisco, CA	\$1,000,001 - \$5,000,000
SP	Union Bank of California	July 2002	Equity Line of Credit on 11 Zinfandel Lane, St. Helena, CA	\$500,001 - \$1,000,000
SP	City National Bank	July 2011	Brokerage Collateral Loan	\$1,000,001 - \$5,000,000
JT	Union Bank of California	September 2007	Mortgage on 2640 Broadway, San Francisco, CA	\$5,000,001 - \$25,000,000
SP	Bank of America	November 2006	Mortgage on 3030 K Street, Washington, DC	\$1,000,001 - \$5,000,000
SP	Bank of America	January 2003	Equity Line of Credit on 3030 K Street, Washington, DC	\$100,001 - \$250,000
JT	Union Bank of California	December 2012	Equity Line of Credit on 2640 Broadway, San Francisco, CA	\$500,001 - \$1,000,000



Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	City National Securities	Ongoing	Brokerage Margin Account	\$5,000,001 - \$25,000,000
SP	Barclays Bank	October 2014	US Airways MasterCard Revolving Line	\$15,001 - \$50,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
CFO & Secretary	The Paul & Nancy Pelosi Charitable Foundation
Honorary Advisory Board	The Women & Politics Institute at American University
Congressional Advisory Board	International Women's Democracy Center
Trustee	United States Botanic Garden
Board of Trustees Member Ex Officio	Kennedy Center
Honorary Board of Advisors	Lead America
Honorary Board of Advisors	National Student Leadership Foundation
Honorary Member	Wheelchair Foundation
Honorary Board Member	National Women's History Museum
Distinguished Board Member	National Organization of Italian American Women
Board of Advisors	Close Up Foundation
Member of Board of Directors	National First Ladies' Library

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION



**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Nancy Pelosi , 05/15/2019