

OGE Form 278e (Updated Jan. 2019) (Expires 12/31/21)

U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001)

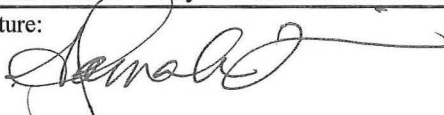
Report Type:	Candidate
Year (Annual Report only):	
Date of Appointment/Termination:	

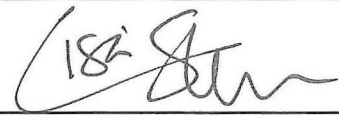

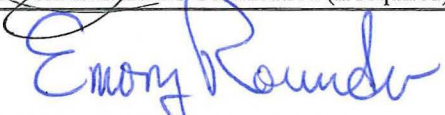
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UNITED STATES OFFICE OF  
GOVERNMENT ETHICS

★  
Preventing Conflicts of Interest  
in the Executive Branch

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information				
Last Name	First Name	MI	Position	Agency
Harris	Kamala	D.	Candidate for President	
Other Federal Government Positions Held During the Preceding 12 Months: United States Senator				
Name of Congressional Committee Considering Nomination (Nominees only):				
Filer's Certification - I certify that the statements I have made in this report are true, complete and correct to the best of my knowledge:				
Signature: 			Date: May 9, 2019	

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below)	
Signature: 	Date: 5/15/2019
Other Review Conducted By:	
Signature: 	Date: 5/15/2019
U.S. Office of Government Ethics Certification (if required):	
Signature: 	Date: 6-21-2019

Comments of Reviewing Officials:
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**Reviewed for Apparent Compliance  
with the Federal Election Campaign Act**



Instructions for Part 1

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

Filer's Name					Page Number	
Kamala D. Harris					2 of 12	
<b>Part 1: Filer's Positions Held Outside United States Government</b>						
#	Organization Name	City/State	Organization Type	Position Held	From	To
1.	State of California	Sacramento, CA	State government	Attorney General	1/2011	1/2017
2.	The KDH/DCE Family Trust (Trust assets are not reportable.)	Los Angeles, CA	Trust	Trustee	10/2017	Present
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KDH



## Instructions for Part 2

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

Filer's Name Kamala D. Harris					Page Number 3 of 12
<b>Part 2: Filer's Employment Assets &amp; Income and Retirement Accounts</b>					
#	Description	EIF	Value	Income Type	Income Amount
1.	State of California Savings Plan 457(b) Plan, deferred compensation:	No			
1.1	Target Date Fund 2025	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.	City & County of San Francisco 457(b), deferred compensation plan:	No			
2.1	SFDCP Target Date 2025	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.2	SFDCP Large Cap Growth Eq	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.3	SFDCP Lg Cap Eq - S&P 500	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.4	SFDCP Active Equity Fund (previously known as Mid Cap Core)	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.5	SFDCP International Equity	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.6	SFDCP Real Estate	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.	San Francisco Employees Retirement System (SFERS) Plan, defined benefit plan	N/A	\$250,001 - \$500,000		None (or less than \$201)
4.	Legislators' Retirement System Plan, defined benefit plan	N/A	\$50,001 - \$100,000		None (or less than \$201)
5.	"The Truths We Hold," Penguin Press (an imprint of Penguin Publishing Group, a division of Penguin Random House LLC)	N/A		advance	\$446,875
6.	"Smart on Crime: A Prosecutor's Solution for Making the Streets Safer," Chronicle Books LLC (Value not readily ascertainable)	N/A			None (or less than \$201)
7.	"The Truths We Hold," The Bodley Head, London, United Kingdom (division of The Random House Group Ltd.) (Value not readily ascertainable)	N/A			None (or less than \$201)
8.	"Superheroes Are Everywhere," Philomel Books (an imprint of Penguin Young Readers Group, a division of Penguin Random House LLC) (Value not readily ascertainable)	N/A		advance	\$60,125
9.	"The Truths We Hold," Philomel Books, an abridged young reader's edition, (an imprint of Penguin Young Readers Group, a division of	N/A		advance	\$49,900
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## Instructions for Part 3

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

Filer's Name			Page Number	
Kamala D. Harris			4 of 12	
<b>Part 3: Filer's Employment Agreements and Arrangements</b>				
#	Employer or Party	City/State	Status and Terms	Date
1.	San Francisco Employees' Retirement System	San Francisco, CA	I will continue to participate in this defined benefit plan.	12/2011
2.	City & County of San Francisco 457(b) Deferred Compensation Plan	San Francisco, CA	There is no set monthly amount under the terms of the 457(b) deferred compensation plan. I am eligible to withdraw from this plan upon retirement. The value of the funds are recorded on Part 2.	12/2011
3.	State of California Savings Plus 457(b) Plan	Sacramento, CA	Under the terms of the 457(b) deferred compensation plan, I will receive a \$4,500 monthly payment at age 60.	1/2017
4.	Legislators' Retirement System	Sacramento, CA	Under the terms of the defined benefit plan, I will receive a \$3,981 monthly payment at age 60.	1/2017
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Instructions for Part 4

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

Filer's Name			Page Number
Kamala D. Harris			5 of 12
<b>Part 4: Filer's Sources of Compensation Exceeding \$5,000 in a Year</b>			
#	Source Name	City/State	Brief Description of Duties
1.	N/A		
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**Instructions for Part 5**

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

Filer's Name					Page Number
Kamala D. Harris					6 of 12
<b>Part 5: Spouse's Employment Assets &amp; Income and Retirement Accounts</b>					
#	Description	EIF	Value	Income Type	Income Amount
1.	Venable LLP, capital account	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
2.	Merrill Lynch - IRRA:	No			
2.1	Merrill Lynch - Bank of America N/A - Money Market (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.2	iShares iBoxx \$ Invmt Grade Corp Bd ETF (LQD)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.3	iShares TIPS Bond ETF (TIP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	iShares 3-7 Year Treasury Bond ETF (IEI)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.5	iShares MBS ETF (MBB)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.6	iShares iBoxx \$ High Yield Corp Bd ETF (HYG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.7	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.8	PowerShares Preferred ETF (PGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.9	Vanguard Small-Cap Value ETF (VBR)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.10	Vanguard Small-Cap Growth ETF (VBK)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.11	Vanguard Value ETF (VTV)	Yes	\$250,001 - \$500,000		None (or less than \$201)
2.12	Vanguard Growth ETF (VUG)	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.13	Vanguard Interm-Term Bond ETF (BIV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.14	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.15	Vanguard Short-Term Corporate Bond (VCSH)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.16	Vanguard Interm-Term Corporate Bond (VCIT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.17	Xtrackers USD High Yield Corporate Bond ETF (HYLB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.	Venable LLP	N/A		partnership distributions	

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**Part 5: Spouse's Employment Assets & Income and Retirement Accounts**

#	Description	EIF	Value	Income Type	Income Amount
4.	DLA Piper LLP	N/A		salary	
5.	Whitwell Jacoby Emhoff LLP SEP:	No			
5.1	Vanguard Intermediate - Term Bond ETF (BIV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.2	iShares Nasdaq Biotechnology (IBB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.3	iShares Core MSCI Emerging Markets (IEMG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.4	Merrill Lynch - Bank of America N/A - Money Market (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.5	iShares iBoxx \$ Invst Grade Crp Bond (LQD)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.6	iShares MBS (MBB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.7	Vanguard Materials ETF (VAW)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.8	Vanguard Consumer Staples ETF (VDC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.9	Vanguard Information Technology ETF (VGT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.10	Vanguard Industrials ETF (VIS)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.11	Financial Select Sector SPDR ETF (XLF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.12	Health Care Select Sector SPDR ETF (XLV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.13	Consumer Discret Sel Sect SPDR ETF (XLY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.14	iShares Trust - iShares TIPS Bond ETF (TIP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.15	Real Estate Select Sector SPDR (XLRE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.16	First Trust Dow Jones Internet ETF (FDN)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.17	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.18	Energy Select Sector SPDR Fund (XLE)	Yes	\$1,001 - \$15,000		None (or less than \$201)



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Filer's Name	Page Number
Kamala D. Harris	8 of 12

**Part 5: Spouse's Employment Assets & Income and Retirement Accounts**

#	Description	EIF	Value	Income Type	Income Amount
5.19	Comm Services Select Sector (XLC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.20	Vanguard Short-Term Corporate Bond (VCSH)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.21	Vanguard Intermediate-Term Corporate Bond (VCIT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.	DLA Piper LLP - Profit Sharing & 401(k) Savings Plan:	No			
6.1	Vanguard Target Retirement 2030 Trust II	Yes	\$50,001 - \$100,000		None (or less than \$201)
7.	DLA Piper LLP, capital account	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
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Instructions for Part 6

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Filer's Name					Page Number
Kamala D. Harris					9 of 12
<b>Part 6: Other Assets and Income</b>					
#	Description	EIF	Value	Income Type	Income Amount
1.	(Self) Wells Fargo - Checking (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.	(Self) Wells Fargo - Savings (cash)	N/A	\$500,001 - \$1,000,000	Interest	\$201 - \$1,000
3.	(Spouse) First Republic Bank - Checking (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.	(Spouse) Wells Fargo - Money Market (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
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Instructions for Part 7

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Filer's Name			Page Number	
Kamala D. Harris			10 of 12	
<b>Part 7: Transactions</b>				
#	Description	Type	Date	Amount
1.	N/A			
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Instructions for Part 8

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

Filer's Name						Page Number
Kamala D. Harris						11 of 12
<b>Part 8: Liabilities</b>						
#	Creditor Name	Type	Amount	Year Incurred	Rate	Term
1.	(Spouse) Wells Fargo	Commercial Loan- Partner Capital Loan for DLA Piper LLP	\$500,001 - \$1,000,000	2018	Libor + 1.3%	8 years
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Instructions for Part 9

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Filer's Name				Page Number
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<b>Part 9: Gifts and Travel Reimbursements</b>				
#	Source Name	City/State	Brief Description	Value
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