

UBS Group AG UBS |  Morningstar Rating

Major Shareholders UBS

Funds		Institutions						
Name	Shares Held	% Total Shares Held	Shares Change	% Chg from Pr or Port	% Total Assets	Date of Portfolio	Star Rating	
Vanguard Total Intl Stock Index Inv	45,020,116	1.21	351,146	0.79	0.24	11/30/2017	★★★	
VA CollegeAmerica Cap World G/I 529E	43,316,000	1.16	0	0	0.83	09/30/2017	★★★★	
Artisan International Value Investor	38,841,443	1.04	2,051,893	5.58	4.45	09/30/2017	★★★★★	
Harbor International Institutional	27,815,664	0.75	-2,410,156	-7.97	1.46	09/30/2017	★★	
Vanguard Developed Markets Idx Admiral	18,290,585	0.49	142,536	0.79	0.30	11/30/2017	★★★★	
MFS International Value A	17,426,192	0.47	0	0	1.01	11/30/2017	★★★★★	
Thornburg Investment Income Builder A	17,237,325	0.46	0	0	1.84	10/31/2017	★★★★	
Vanguard International Growth Inv	13,464,648	0.36	4,197,511	45.29	0.72	09/30/2017	★★★★	
MFS Instl International Equity	12,629,878	0.34	-30,901	-0.24	2.29	11/30/2017	★★★★	
Fidelity® Series International Value	12,739,271	0.34	-408,700	-3.11	1.47	10/31/2017	★★★	
Vanguard Wellington™ Inv	12,187,056	0.33	0	0	0.20	09/30/2017	★★★★★	
Templeton Growth A	10,959,181	0.29	2,070,638	23.30	1.32	09/30/2017	★★	
Oppenheimer Global A	9,741,558	0.26	0	0	1.51	11/30/2017	★★★★	
Oppenheimer International Growth A	9,441,540	0.25	0	0	0.60	11/30/2017	★★★★	
VA CollegeAmerica Cap Inc Bldr 529E	9,308,000	0.25	0	0	0.15	09/30/2017	★★	
iShares Core MSCI EAFE	8,625,901	0.25	0	0	0.38	12/28/2017	★★★★	
VA CollegeAmerica Invmt Co of Amer 529E	9,165,000	0.25	0	0	0.18	09/30/2017	★★★	
MFS Research International A	8,426,115	0.23	33,444	0.40	1.83	11/30/2017	★★★	
VA CollegeAmerica EuroPacific Gr 529F	8,249,026	0.22	0	0	0.10	09/30/2017	★★★★	
Vanguard European Stock Index Inv	8,059,067	0.22	146,303	1.85	0.56	11/30/2017	★★★	

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MFS Investment Management KK	159,802,996	4.31	159,802,996	New	1.19	09/30/2017
UBS Securities LLC	138,563,924	3.74	-2,499,515	-1.77	1.10	09/30/2017
Wellington Management Company LLP	95,884,100	2.58	13,788,071	16.80	0.38	09/30/2017
Credit Suisse First Boston (CSFB)	93,820,236	2.53	-5,514,093	-5.55	1.56	09/30/2017
Vanguard Group Inc	88,405,736	2.38	2,206,149	2.56	0.07	09/30/2017
Capital Research Global Investors	86,812,944	2.33	14	0	0.45	09/30/2017
Dodge & Cox	75,798,027	2.04	75,798,027	New	1.04	09/30/2017
UBS Asset Mgmt, Basel and Zurich	49,393,996	1.33	-483,116	-0.97	3.23	10/31/2017
Artisan Partners Limited Partnership	46,810,932	1.26	2,102,422	4.70	3.95	09/30/2017
Credit Suisse AG	43,735,497	1.18	227,489	0.52	4.24	11/30/2017
Fisher Asset Management, LLC	41,303,205	1.11	1,136,180	2.83	0.99	09/30/2017
Zürcher Kantonalbank	38,442,142	1.03	4,761,632	14.14	6.12	09/30/2017
Templeton Global Advisors Limited	35,891,686	0.96	6,020,703	20.16	3.58	09/30/2017
Zürcher Kantonalbank	35,484,476	0.95	648,830	1.86	3.03	10/31/2017
Fidelity Management and Research Company	32,836,031	0.88	-397,627	-1.20	0.07	09/30/2017
Harbor Capital Advisors Inc	27,874,253	0.75	-2,401,013	-7.93	1.45	09/30/2017
OFI Global Asset Management, Inc.	25,237,843	0.68	-607,020	-2.35	0.79	11/30/2017
Allianz Global Investors GmbH - Frankfurt	20,739,285	0.56	668,165	3.33	1.35	09/30/2017
Templeton Investment Counsel LLC	20,062,862	0.54	-456,625	-2.23	6.01	09/30/2017
State Street Corp	16,283,411	0.44	770,386	4.97	0.02	09/30/2017

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