United States Senate
Financial Disclosures

Annual Report for Calendar 2016 (Amendment 1)

Ms. Kamala D Harris (Harris, Kamala)

Filed 07/13/2017 @ 9:30 AM

The following statements were checked before filing:

- [x] I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- [x] I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

- [ ] I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than $200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Salary	State of California Sacramento, California	$156,497.96
2	Spouse	Salary	Venable LLP Los Angeles, California	> $1,000

Part 3. Assets ✱ Amended

Did you, your spouse, or dependent child own any asset worth more than $1000, have a deposit account with a balance over $5,000, or receive income of more than $200 from an asset? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	**Wells Fargo** (Los Angeles, California) *Type:* Checking,	Bank Deposit	Self	$1,001 - $15,000	Interest,	None (or less than $201)

eFD: Home

URL:
eFD: Home
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	Asset	Asset Type	Owner	Value	Income Type	Income
2	**Wells Fargo** (Los Angeles, California) *Type:* Savings,	Bank Deposit	Self	$250,001 - $500,000	Interest,	None (or less than $201)
3	**First Republic Bank** (San Francisco, California) *Type:* Checking,	Bank Deposit	Spouse	$1,001 - $15,000	Interest,	None (or less than $201)
4	**Wells Fargo** (Los Angeles, California) *Type:* Money Market Account,	Bank Deposit	Spouse	$15,001 - $50,000	Interest,	None (or less than $201)
5	**State of California Savings Plus 457(b) Plan**	Retirement Plans Deferred Compensation	Self			
5.1	**Target Date Fund 2025**	Mutual Funds Mutual Fund	Self	$50,001 - $100,000	Excepted Investment Fund,	None (or less than $201)
6	**City & County of San Francisco 457(b) Deferred Compensation Plan**	Retirement Plans Deferred Compensation	Self			
6.1	**SFDCP Target Date 2025**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
6.2	**SFDCP Large Cap Growth Eq**	Mutual Funds Mutual Fund	Self	$50,001 - $100,000	Excepted Investment Fund,	None (or less than $201)
6.3	**SFDCP Lg Cap Eq - S&P 500**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)

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	Asset	Asset Type	Owner	Value	Income Type	Income
6.4	**SFDCP Mid Cap Core**	Mutual Funds Mutual Fund	Self	$50,001 - $100,000	Excepted Investment Fund,	None (or less than $201)
6.5	**SFDCP International Equity**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
6.6	**SFDCP Real Estate**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
7	**Venable LLP Capital Account** *Description*: Partner Capital Account (Los Angeles, California)	Other Securities	Spouse	$500,001 - $1,000,000	Interest,	$15,001 - $50,000
8	**Venable LLP Retirement Plan**	Retirement Plans Defined Contribution Pension Plan	Spouse			
8.1	**DODGX - Dodge & Cox Stock (NASDAQ)**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
8.2	**TPLGX - T. Rowe Price Instl Large Cap Core Gr (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
8.3	**VINIX - Vanguard Institutional Index I (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)

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	Asset	Asset Type	Owner	Value	Income Type	Income
8.4	**CRISX - CRM Small Cap Value Instl (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
8.5	**VEXAX - Vanguard Extended Market Idx Adm (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
8.6	**VMGRX - Vanguard Mid Cap Growth Inv (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
8.7	**WEMIX - Wells Fargo Advantage Emerging Gr Instl (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
8.8	**SSgA Target Retirement 2025 Fund NL**	Mutual Funds Mutual Fund	Spouse	$100,001 - $250,000	Excepted Investment Fund,	None (or less than $201)
8.9	**SSgA Target Retirement 2030 Fund NL**	Mutual Funds Mutual Fund	Spouse	$100,001 - $250,000	Excepted Investment Fund,	None (or less than $201)
8.10	**SSgA Target Retirement 2035 Fund NL**	Mutual Funds Mutual Fund	Spouse	$100,001 - $250,000	Excepted Investment Fund,	None (or less than $201)
8.11	**SSgA Target Retirement 2040 Fund NL**	Mutual Funds Mutual Fund	Spouse	$100,001 - $250,000	Excepted Investment Fund,	None (or less than $201)

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	Asset	Asset Type	Owner	Value	Income Type	Income
8.12	**NRFYX - Natixis AEW Real Estate Y**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
8.13	**VBMFX - Vanguard Total Bond Market Index Inv**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
9	**Merrill Lynch - Emhoff Family Trust**	Brokerage/Managed Account	Spouse			
9.1	**ASVIX - American Century Small Cap Value Inv (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
9.2	**ANOIX - American Century Small Cap Growth Inv (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$1,001 - $15,000	Excepted Investment Fund,	$201 - $1,000
9.3	**AEPFX-American Funds Europacific Growth F2 (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund,	$201 - $1,000
9.4	**DUSIX - Delaware Tax-Free USA Intermediate Instl (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$50,001 - $100,000	Excepted Investment Fund,	$1,001 - $2,500
9.5	**DGAGX - Dreyfus Appreciation Investor (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund,	$5,001 - $15,000
9.6	**EILVX - Eaton Vance Large-Cap Value I (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund,	$201 - $1,000

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	Asset	Asset Type	Owner	Value	Income Type	Income
9.7	**HHDTX - Hancock Horizon Diversified Intl Instl (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
9.8	**HGOIX - Hartford Growth Opportunities I (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund,	$1,001 - $2,500
9.9	**IWD - iShares Russell 1000 Value (NYSEArca)**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$15,001 - $50,000	Excepted Investment Fund,	$1,001 - $2,500
9.10	**UBVSX - Undiscovered Mgrs Behavioral Value Sel (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
9.11	**MTLIX - MFS Municipal Limited Maturity I (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$50,001 - $100,000	Excepted Investment Fund,	$1,001 - $2,500
9.12	**MEIIX - MFS Value I (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund,	$1,001 - $2,500
9.13	**ML Bank Deposit Program** (Century City, California) *Type:* Money Market Account,	Bank Deposit	Spouse	$1,001 - $15,000	None,	None (or less than $201)
9.14	**TWWIX - Thomas White International I (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund,	$201 - $1,000
9.15	**WEMIX - Wells Fargo Advantage Emerging Gr Instl (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$1,001 - $15,000	Excepted Investment Fund,	$201 - $1,000

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	Asset	Asset Type	Owner	Value	Income Type	Income
9.16	**IWF - iShares Russell 1000 Growth (NYSEArca)**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$15,001 - $50,000	Excepted Investment Fund,	$1,001 - $2,500
10	**Merrill Lynch - IRRA**	Retirement Plans IRA	Spouse			
10.1	**AVEDX - Ave Maria Rising Dividend (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
10.2	**CIEYX - Calvert Equity Y (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
10.3	**CSDYX - Calvert Short Duration Income Y (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
10.4	**DOMIX - Domini International Social Equity Inv (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
10.5	**Merrill Lynch-Bank of America N/A** (Century City, California) *Type:* Money Market Account,	Bank Deposit	Spouse	$1,001 - $15,000	None,	None (or less than $201)
10.6	**PARMX - Parnassus Mid-Cap (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
10.7	**PARNX - Parnassus (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)

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	Asset	Asset Type	Owner	Value	Income Type	Income
10.8	**PARWX - Parnassus Endeavor Fund (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund,	None (or less than $201)
10.9	**PRILX - Parnassus Core Equity Institutional (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
10.10	**PXSIX - Pax World Small Cap Institutional (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
10.11	**CBAYX - Calvert Balanced Y**	Mutual Funds Mutual Fund	Spouse	$50,001 - $100,000	Excepted Investment Fund,	None (or less than $201)
11	**Whitwell Jacoby Emhoff LLP SEP**	Retirement Plans Defined Contribution Pension Plan	Spouse			
11.1	**BIV - Vanguard Intermediate-Term Bond ETF (NYSEArca)**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
11.2	**HEDJ - WisdomTree Europe Hedged Equity ETF (NYSEArca)**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
11.3	**IBB - iShares Nasdaq Biotechnology (NASDAQ)**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)

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	Asset	Asset Type	Owner	Value	Income Type	Income
11.4	**IEI - iShares 3-7 Year Treasury Bond (NYSEArca)**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
11.5	**IEMG - iShares Core MSCI Emerging Markets (NYSEArca)**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
11.6	**Merrill Lynch-Bank of America N/A** (Century City, California) *Type:* Money Market Account,	Bank Deposit	Spouse	$1,001 - $15,000	None,	None (or less than $201)
11.7	**LQD - iShares iBoxx $ Invst Grade Crp Bond (NYSEArca)**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
11.8	**MBB - iShares MBS (NYSEArca)**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
11.9	**VAW - Vanguard Materials ETF (NYSEArca)**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
11.10	**VDC - Vanguard Consumer Staples ETF (NYSEArca)**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
11.11	**VGT - Vanguard Information Technology ETF (NYSEArca)**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)

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	Asset	Asset Type	Owner	Value	Income Type	Income
11.12	**VIS - Vanguard Industrials ETF (NYSEArca)**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
11.13	**XLF - Financial Select Sector SPDR ETF (NYSEArca)**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
11.14	**XLV - Health Care Select Sector SPDR ETF (NYSEArca)**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
11.15	**XLY - Consumer Discret Sel Sect SPDR ETF (NYSEArca)**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
11.16	**EWU - iShares MSCI United Kingdom (NYSEArca)**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
11.17	**EWJ - iShares, Inc. - iShares MSCI Japan ETF**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
11.18	**PCY - PowerShares Exchange-Traded Fund Trust II - PowerS**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
11.19	**TIP - iShares Trust - iShares TIPS Bond ETF**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)

	Asset	Asset Type	Owner	Value	Income Type	Income
11.20	**XLE - The Select Sector SPDR Trust - The Energy Select S**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
11.21	**EPP - iShares MSCI Pacific ex Japan**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
11.22	**XLRE - Real Estate Select Sector SPDR**	Mutual Funds Exchange Traded Funds/Notes	Spouse	$1,001 - $15,000	Excepted Investment Fund,	None (or less than $201)
12	**San Francisco Employees Retirement System (SFERS) Plan**	Retirement Plans Defined Benefit Pension Plan	Self	$250,001 - $500,000	Excepted Investment Fund,	None (or less than $201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded $1,000? **No**

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

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Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than $10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2014	Spouse	Other (Unsecured loan)	-	3.5% (5 years)	$100,001 - $250,000	City National Bank Los Angeles, California	Loan has been paid off.
2	2014	Spouse	Line of Credit	-	3.5% (1 year)	$100,001 - $250,000	City National Bank Los Angeles, California	-
3	2013	Self	Mortgage	0	3.75% (30 years)	$100,001 - $250,000	Wells Fargo Los Angeles, California	-
4	2013	Self	Home Equity Line of Credit	-	4.75% (20 years)	$15,001 - $50,000	Wells Fargo Los Angeles, California	-
5	2016	Spouse	Mortgage	0	2.625% (30 years)	Over $1,000,000 (asset held independently by spouse or dependent child)	Wells Fargo Los Angeles, California	-
6	2015	Spouse	Home Equity Line of Credit	-	4.50% (20 years)	$100,001 - $250,000	Wells Fargo Los Angeles, California	Paid off 09/12/2016
7	2016	Spouse	Home Equity Line of Credit	-	4.25% (30 years)	$250,001 - $500,000	Wells Fargo Los Angeles, California	-

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

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#	Position Dates	Position Held	Entity	Entity Type	Comments

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Jan 2011 to Jan 2017	Other (Attorney General (California))	State of California Sacramento, California	Other (State government)	

Part 9. Agreements ✱ Amended

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Oct 2008	Chronicle Books LLC San Francisco, California	Royalty Agreement	Royalty for book, "Smart on Crime: A Prosecutor's Solution for Making the Streets Safer" (no royalties received in 2016).
2	Dec 2011	San Francisco Employees' Retirement System San Francisco, California	Continuing participation in an employee benefit plan	Defined benefit plan that provides service retirement benefits.
3	Dec 2011	City & County of San Francisco 457(b) Deferred Compensation Plan San Francisco, California	Continuing participation in an employee benefit plan	457(b) deferred comp plan that provides retirement benefits.
4	Jan 2017	State of California Savings Plus 457(b) Plan Sacramento, California	Continuing participation in an employee benefit plan	457(b) deferred comp plan that provides retirement benefits.

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than $5,000 from a single source in the **two** prior years? **Yes**

#	Source	Duties
1	State of California Sacramento, California	I served as the Attorney General of California.

Attachments & Comments

No attachments added.
No comments added.

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