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Secretary of the Senate  
Office of Public Records  
Room 232  
Hart Senate Building  
U. S. Senate  
Washington, DC 20510

Enclosed is the United States Senate Financial Disclosure Report for Senator Dianne Feinstein of California.

The report has been prepared based on assets and liabilities as of December 31, 2015, and includes income for the period January 1, 2015 through December 31, 2015. Valuations of assets are presented as of December 31, 2015.

The report covers assets and related income owned by the Senator and her spouse either directly or through a living trust.

Senator Feinstein's assets, which are held directly or through the Bertram Feinstein Trust as separate property, consist of her interest in Carlton Hotel Properties, LP, a pension from the City of San Francisco, deposit accounts at Bank of America, Federated US Treasury Fund (liquidated in 2015), and Vanguard Prime Money Market Fund. In addition, Senator Feinstein has a Qualified Blind Trust which was established in 1991 and qualified in 1993, and she is a beneficiary of the Richard C. Blum Marital Trusts of 1994 and 1996. (For details of Senator Feinstein's income and assets, see pages 2, 4, 5, 22 and 23 in the report.)

The remaining assets listed herein are the separate property of Senator Feinstein's spouse, Richard C. Blum.

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Assets and liabilities as listed in Sections III A, III B, IV, and VII have been identified as follows:

- "M" owned directly or indirectly by the Senator, Dianne Feinstein
- "S" owned directly or indirectly by the Senator's spouse, Richard C. Blum
- "J" owned jointly by the Senator and her spouse (directly or indirectly)

The "J" (jointly owned) designation is used for all assets owned by both the Senator and her spouse. These include assets held in formal tenancy in common or joint tenancy.

#### **Investment Partnerships Managed By Blum Capital Partners, L.P. (Separate Property Of Richard C. Blum)**

The spouse of the Senator, Richard C. Blum, is the Chairman of an investment management firm, Blum Capital Partners, L.P. (BCP L.P.). The general partner of BCP L.P. is Richard C. Blum & Associates, Inc. (RCBA Inc.). Richard C. Blum's ownership interest in BCP L.P. is held through RCBA Inc. BCP L.P. is the general partner of several investment partnerships. Mr. Blum has an ownership interest in RCBA Inc. and in several of the investment partnerships. Additionally, the investment management firm has ownership interests in the investment partnerships and some of the partnerships have investments in other partnerships. This results in a tiered ownership structure.

During 2001, 2005 and 2007, Blum Strategic Partners II, L.P., Blum Strategic Partners III, L.P. and Blum Strategic Partners IV, L.P. were formed to invest in public and private companies. Blum Strategic GP II, L.L.C. manages and is the general partner of Blum Strategic Partners II, L.P., Blum Strategic GP III, L.L.C. manages and is the general partner of Blum Strategic Partners III, L.P., and Blum Strategic GP IV, L.L.C. manages and is the general partner of Blum Strategic Partners IV, L.P. Richard C. Blum is a managing member of Blum Strategic GP II, L.L.C., Blum Strategic GP III, L.L.C. and Blum Strategic GP IV, L.L.C. He is also a member of Blum Strategic Equity II, L.L.C., Blum Strategic Equity III, L.L.C., and Blum Strategic Equity IV, L.L.C. which are limited partners of Blum Strategic Partners II, L.P. Blum Strategic Partners III, L.P., and Blum Strategic Partners IV, L.P., respectively.

During 2002 Blum Strategic Partners II GmbH & Co. KG was formed as a parallel vehicle to Blum Strategic Partners II, L.P. Blum Strategic Partners Verwaltungs GmbH is the General Partner and Blum Strategic GP II, L.L.C. is the Managing Limited Partner.

In addition, during 2005 and 2007 Blum Strategic GP III, L.P. and Blum Strategic GP IV, L.P., respectively were formed as the General Partner of Blum Strategic Partners III, L.P. and Blum Strategic Partners IV, L.P. Blum Strategic GP III, L.L.C. and Blum Strategic GP IV, L.L.C. are the General Partners of Blum Strategic GP III, L.P. and Blum Strategic GP IV, L.P., respectively.

During 2015, Blum Strategic Partners III, LP and Blum Strategic Partners IV, LP were

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restructured whereby certain assets of the partnerships were transferred to the newly formed Blum Strategic Partners V, LP. Blum Strategic GP V, LLC is the general partner of Blum Strategic Partners V, LP.

The Blum Strategic funds described above hold public as well as private investments. The private investments are reported under Part IIIB. Blum Strategic Partners II, L.P.'s only investment is an escrow in Current Media, L.L.C., and indirectly through BCP AIV, Inc. as a result of the sale of the investment in 2013. Current Media is located in San Francisco, CA and provides media content through the internet and cable television. (See pages 33 and 34).

Blum Strategic III, L.P. holds only public securities as of December 31, 2015. (See pages 7 and 8).

Blum Strategic IV, L.P. private investments include Xtralis, a Bahamian Company that sells fire and security prevention equipment. Collective Brands, Inc., a publicly traded corporation was acquired in a leverage buyout in 2012. Payless Holdings, LLC, the surviving entity is now reported as a non-publicly traded asset (See pages 36 through 37).

Blum Strategic V, L.L.C., holds public and private investments distributed during 2015 from Blum Strategic III, L.P. and Blum Strategic IV, L.P. Private investments include Xtralis, a Bahamian Company that sells fire and security prevention equipment. Collective Brands, Inc., a publicly traded corporation was acquired in a leverage buyout in 2012. Payless Holdings, LLC, the surviving entity is now reported as a non-publicly traded asset (See pages 15 and 38).

In 2012, Blum Strategic Holdings, LP was formed to hold shares of CBRE distributed from Blum Strategic II, LP (See page 16).

Blum GA III Partners, L.L.C. and Blum GA III Equity Partners, L.L.C. invests in Newbridge Asia III, L.P. (See pages 40-41).

A separate page of Part III A & III B information has been included for RCBA Inc., BCP L.P. and for each investment partnership managed by BCP L.P. The estimated valuation and income for BCP L.P. have been reported separately in Section III B starting on page 25 at Mr. Blum's ownership percentage, which has been indicated. The value and income of Mr. Blum's direct and indirect holdings in the investment partnerships are reported based on his direct and indirect ownership percentages. These percentages may change from year to year depending on the activities (contributions by new or existing partners and distributions to withdrawing partners) of the partners. A separate reporting of value and income of BCP L.P.'s interest in the investment partnerships has not been reported, as this would cause Mr. Blum's interests to be double reported. Where applicable, BCP L.P.'s holdings of the investment partnerships have been referenced to the applicable schedules in sections III A and III B where the investment partnerships are reported. BCP L.P. and the investment partnerships it manages incidental to their operations also hold cash and cash equivalents, accounts receivable, pre-pays and other assets as well as accounts payable, margin borrowings and other liabilities that have not been listed. A pro rata share of the value of these assets and liabilities has been incorporated in the



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determination of value reported for Mr. Blum's direct holdings in RCBA Inc. and in his direct and indirect (through RCBA Inc. and BCP L.P.) ownership of the investment partnerships managed by BCP L.P.

In the normal course of business, amounts due to or from Mr. Blum arise at RCBA Inc. and BCP L.P., e.g., reimbursable business expenses. The valuation of his investment in RCBA Inc. is stated net of these amounts.

**Investment Partnerships not Managed by Richard C. Blum, RCBA Inc. or BCP L.P.**

Mr. Blum, RCBA Inc., and his investment management firm, BCP L.P. own investments in a number of investment partnerships that are not managed by Mr. Blum, RCBA Inc. or BCP L.P. They are composed of the following:

**TPG Associates III, L.P. (Page 28)**

Address: 301 Commerce Street, Suite 3300  
Fort Worth, TX 76102

Business Description: The partnership was formed to invest in various business ventures which may provide significant opportunities for capital appreciation. The partnership investments include, Quintiles Transactional Holdings, Inc., Iasis Healthcare Corp, SunGard Capital Corp. and LifeSync Corporation.

**TPG Equity IV, L.P. (Page 29)**

Address: 301 Commerce Street, Suite 3300  
Fort Worth, TX 76102

Business Description: The partnership was formed to invest in various business ventures which may provide significant opportunities for capital appreciation. The partnership investments include several private and public investments including Freescale Semiconductor, Inc., Univision (formerly Broadcast Media Partners, Inc.), Sabre Holdings, Biomet, Inc., Petco Holdings, Inc., Iasis Healthcare, LLC, Isola AG, Vita Gro formerly British Vita, LPL Financial Holding, Inc., SunGard Capital Corp., Energy Future Holdings, Mey Icki and Media Broadcast (formerly TDF).

**TPG LAF Equity Partners, L.P. (Page 28)**

Address: 301 Commerce Street, Suite 3300  
Fort Worth, TX 76102

Business Description: TPG LAF Equity, L.P. is a limited partner of LatAm G.P., L.P.

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**LatAm G.P., L.P. (Page 28)**

Address: 301 Commerce Street, Suite 3300  
Fort Worth, TX 76102

Business Description: Latam G.P., L.P. is the general partner of Newbridge Latin America, L.P. (NLA). NLA was formed to invest in business ventures throughout Latin America, which may provide significant opportunities for capital appreciation.

**Tarrant Venture Partners, L.P. (Page 28)**

Address: 301 Commerce Street, Suite 3300  
Fort Worth, TX 76102

Business Description: This partnership was formed to invest in various public and private debt or equity securities, and venture investments.

**TPG Management V, L.P. (Page 27)**

Address: 301 Commerce Street, Suite 3300  
Fort Worth, TX 76102

Business Description: The partnership was formed to invest in various business ventures which may provide significant opportunities for capital appreciation. The partnership has several private investments including Freescale Semiconductor, Petco Animal Supplies, Inc., Sabre, Univision, Direct General, Surgical Care Affiliates, Biomet, Energy Future Holdings, Avaya, Norwegian Cruise Line, Quintiles Transnational Corporation, IMS Health, Lenta, ST Residential, Aptina Imaging, TPG CW, LP, American Tire Distributors Holdings, Inc., Vertafore Holding Corp. VTB, Ceasars, Media Broadcast (formerly TDF), Axiom Energy Services (formerly Valerus Compression Services), and Strauss Coffee BV

American Beacon, was sold during 2015.

**TPG Asia Equity V, L.P. (Page 27)**

Address: 301 Commerce Street, Suite 3300  
Fort Worth, TX 76102

Business Description: The partnership was formed to invest in various business ventures which may provide significant opportunities for capital appreciation. The partnership invests in several private investments including Global AT&T Holdings, Ltd. (formerly United Test and Assembly Center), NorthStar Equity Partners II Limited, Shriram City, Daphne International Holdings Limited, China International Capital Corporation Limited, Avon Japan, Delta Dunia, Vishal Megamart, Shriram Capital Ltd., Shriram Properties Ltd., Comtec Solar Systems, PT BFI Finance, HCP Global Limited, Ingham Holdings I Pty, Ltd., Wumart Stores, Inc., Li Ning Company Limited, Alinta Energy, and Ingham Enterprises.

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Healthscope Hospital Holdings Pty Ltd., Corporation was sold during 2015.

**Faber Street, L.L.C.** (Page 52)

Address: 909 Montgomery Street  
San Francisco, CA 94133

Business Description: The limited liability company was formed to invest in rental real estate of a commercial building in Union City, CA.

The above investment was sold during 2015.

**Investment in RCBA Inc.** (Pages 21, 31-32)

Mr. Blum's investment in RCBA Inc. is valued at his pro rata share of the business' equity as of December 31, 2015.

BCP L.P. is in some cases, entitled to receive performance fees based on income including realized and unrealized capital gains on investments under its management. These fees are generally recorded as of the date the firm is entitled to bill its clients. The firm is also entitled to a disproportionate allocation of income and gain in the partnerships that it manages. As a limited partner of BCP L.P., Mr. Blum may be allocated a portion of such amounts, as reflected on Part III B.

Investment income earned by Mr. Blum on his investment in RCBA Inc. has been reported based on dividends received.

**Richard C. Blum Marital Trust of 1994** (Page 23)

Dianne Feinstein is a beneficiary of The Richard C. Blum Marital Trust of 1994. The Trust holds a life insurance policy on the life of Richard C. Blum. The insurance policy was restructured in 2012 to a universal life policy. The value of the trust is stated at the cash surrender value of the life insurance policy. No distributions have been made from the Trust.

**Richard C. Blum Marital Trust of 1996** (Page 23)

Dianne Feinstein is a beneficiary of The Richard C. Blum Marital Trust of 1996. The Trust holds a life insurance policy on the life of Richard C. Blum. The insurance policy was restructured in 2012 to a universal life policy. The value of the trust is stated at the cash surrender value of the life insurance policy. No distributions have been made from the Trust.

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**Investment in The Carlton Hotel (Pages 24, 45 and 55)**

The Senator and her spouse own interests in a partnership, Carlton Hotel Properties, LP which owns a hotel in San Francisco. The ownership of the partnership is as follows:

Bertram Feinstein Trust (Dianne Feinstein beneficiary)	50.05%
Richard C. Blum through Blum Family Partners, LP (spouse)	47.95%
Richard C. Blum through Blum Investment Partners, Inc. (spouse)	1.00%
Dianne Feinstein through DF Investment, LLC	1.00%

The value of each of (1) the combined interest of Dianne Feinstein and her spouse, and (2) Dianne Feinstein's interest through the Bertram Feinstein Trust in the Carlton Hotel exceeds \$1,000,000. Income from the investment has been reported based on partnership distributions received

**Investment in Blum Family Partners, L.P. (BFP) (Pages 46 through 56)**

BFP was formed to invest in various business ventures which provide opportunities for capital appreciation

**Dianne Feinstein 1991 Trust (Page 5)**

In September 1991, the Senator transferred her securities to a blind trust. The trust became qualified by the Senate Ethics Committee on December 29, 1993. The Trust's value as of December 31, 2015, is reported on a separate schedule in Part IIIA.

**Information on Non-Publicly Traded Assets**

Several of the investments listed in Part III are not publicly traded and which are not managed or controlled by Richard C. Blum, RCBA, Inc. or BCP, L.P. The following provides additional information regarding these investments:

**Second City Capital Partners II, L.P. (f/k/a Second City Capital Partners I, L.P.) (Page 52)**

Address: 1075 West Georgia Street Suite 2600  
Vancouver, BC V6E 3C9

Business Description: The partnership is a provider of mezzanine debt and equity financing to mid-market companies. The partnership investments were sold in 2015.

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**New Astar Holdings, LLC (formerly Astar USA, L.L.C.) (Page 59)**

Address: Two South Biscayne Blvd. Suite 3663  
Miami, FL 33131

Business Description: Airline cargo service.

**Francisco Partners, L.P. (Page 48)**

Address: 2882 Sand Hill Road, Suite 280  
Menlo Park, CA 94025

Business Description: A limited partnership formed to invest in technology companies, both domestically and internationally for long-term capital appreciation using a wide range of transaction structures. The partnership has over 400 limited partners and holds private and public investments. The investments include Barracuda, FrontRange Solutions, GXS, Attachmate and WebTrends.

During 2015 C-MAC, Foundation 9 and Electrical Components International were sold.

**Lucky Bluff, LLC (Page 50)**

Address: 909 Montgomery Street, Suite 400  
San Francisco, CA 94133

Business Description: Raw land in Coachella Valley and a single family residence in Lake Tahoe, CA.

**Central Station Land, L.L.C. (Page 47)**

Address: 1500 Park Avenue # 200  
Emeryville, CA 94608

Business Description: Real estate development in Oakland, California.

**Greycroft Partners, LP (Page 48)**

Address: New York, NY

Business Description: A limited partnership that invests and trades in securities in business ventures which may provide opportunities for capital appreciation. The partnership has invested in 33 Across, Inc., Collective Media, Inc., Ceros Inc., Extreme Reach, Inc., Instant.ly Inc., Joy.net, Inc, ShoreTell, MDX Medical, Inc., Mode Media Corporation (formerly Glam Media), QBC Holdings, Inc. (formerly OnePIN, Inc.), and WideOrbit, Inc.

During 2015 Ad.ly, Inc., was sold.



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**Coral Growth Investments Ltd. (Page 48)**

Address: St. Peter Port, Guernsey

Business Description: A limited partnership that invests and trades in securities in business ventures which may provide opportunities for capital appreciation. The partnership has invested in Egyptian Treasury Notes, Arab Republic of Egypt Bond, and Lecico Egypt SAE.

**Information on Non-Publicly Traded Assets**

Several of the investments listed in Part III which were made or are owned by Richard C. Blum, Blum Family Partners, L.P., RCBA, Inc. or BCP, L.P. are not publicly traded. The following provides additional information about these investments:

**Blum Investment Partners, Inc. (Page 42 to 45)**

Address: 909 Montgomery Street, Suite 400  
San Francisco, CA 94133

Business Description: Blum Investment Partners, Inc. is a corporation whose business is to invest in investment partnerships which invest funds in various countries. The entity owns a 1% or less interest in Blum G.C., L.P., Blum G.A., L.L.C., Blum GA Equity III Partners, L.L.C., Blum GA III, L.L.C., Blum GA IV, LP, Richard C. Blum Family Partnership, L.P., Carlton Hotel Properties, LP and BCP Investment, L.P. Richard C. Blum does not hold a capital investment interest in any investment made in the People's Republic of China by this entity.

**Blum Investment Partners II, Inc. (Page 35)**

Address: 909 Montgomery Street, Suite 400  
San Francisco, CA 94133

Business Description: Blum Investment Partners II, Inc. is a corporation whose business is to act as a management entity on behalf of Richard C. Blum. The entity owns a 1% or less interest Lucky Bluff, L.L.C. and Blum Family Partners, LP

**Blum G.C., L.P. (Page 39)**

Address: 909 Montgomery Street, Suite 400  
San Francisco, CA 94133

Business Description: Blum G.C. is a limited partnership whose business is to invest in investment partnerships which invest funds in various Asian countries. Blum G.C., L.P. holds interests directly or indirectly in Newbridge Genpar Partnership, L.P., and Golden BCP, Inc. The Newbridge entities and Golden BCP were formed to manage, advise and invest in securities or entities conducting business in China and other countries or territories of Asia. Richard C. Blum

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does not hold a capital investment interest in any investment made in the People's Republic of China by this entity. Newbridge Genpar Partnership, L.P. was liquidated during 2013. Blum G.C., L.P. does not hold any investments as of December 31, 2015.

**Blum G.A., L.L.C. (Page 30)**

Address: 909 Montgomery Street, Suite 400  
San Francisco, CA 94133

Business Description: Blum G.A., LLC is a limited partnership whose business is to invest in investment partnerships which invest funds in various countries. Blum G.A., LLC does not currently hold any investments.

**Blum GA III, L.L.C. (Page 40)**

Address: 909 Montgomery Street, Suite 400  
San Francisco, CA 94133

Business Description: Blum GA III, L.L.C. is a limited liability company whose business is to invest in investment partnerships through Newbridge Asia Genpar III, L.P. Newbridge Advisors III, Inc. and Newbridge Asia Genpar AIV III, L.P. were formed to manage the investment in Newbridge Asia III, L.P. (NBA III). NBA III was formed to invest in securities or entities conducting business in the countries and territories of Asia. Richard C Blum does not hold a capital investment interest in any investment made in the People's Republic of China by this entity.

**Blum GA III Equity Partners, LLC (Page 41)**

Address: 909 Montgomery Street, Suite 400  
San Francisco, CA 94133

Business Description: Blum GA III Equity Partners, LLC is a limited liability company whose business is to invest in investment partnerships through Newbridge Asia Equity III, L.P. (NBAE III LP). NBAE III LP was formed to invest in Newbridge Asia III, L.P. (NBA III). NBA III was formed to invest in securities or entities conducting business in the countries and territories of Asia. Richard C. Blum does not hold a capital investment interest in any investment made in the People's Republic of China by this entity.

**Blum GA IV, L.P. (Page 48)**

Address: 909 Montgomery Street, Suite 400  
San Francisco, CA 94133

Business Description: Blum GA IV, LP is a limited partnership whose business is to invest in investment partnerships through Newbridge Asia Equity IV, L.P. (NBAE IV LP), TPG/NB Associates, LLC, TPG 2006 VSP, LP, TPG 2007 VSP, LP, TPG 2008 VSP, LP, TPG 2009 VSP, LP and TPG Holdings, LP. NBAE IV LP was formed to invest in Newbridge Asia IV, L.P.

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(NBA IV). NBA IV and TPG Asia V, LP were formed to invest in securities or entities conducting business in the countries and territories of Asia. Richard C. Blum does not hold a capital investment interest in any investment made in the People's Republic of China by this entity.

## RCBA LAF ADVISORS L.L.C. (Page 60)

Address: 909 Montgomery Street, Suite 400  
San Francisco, CA 94133

**Business Description:** RCBA LAF Advisors L.L.C. is a limited liability company whose business is to invest in investment partnerships which invest funds in various countries. Its only investment is in LAF Advisors LLC whose only investment is in LATAM GP, L.P. (which serves as general partner of Newbridge Latin America, L.P.).

RCBA Newbridge LAF, L.L.C. (Page 30)

Address: 909 Montgomery Street, Suite 400  
San Francisco, CA 94133

**Business Description:** RCBA Newbridge LAF, L.L.C. is a limited liability company whose business is to invest in entities which invest funds in various Latin American countries. RCBA Newbridge LAF, LLC holds interests directly or indirectly in LATAM GP, L.P., Newbridge Latin America, L.P. and Newbridge Andean Partners, L.P.

## RCBA LAF Equity Partners L.L.C. (Page 29)

Address: 909 Montgomery Street, Suite 400  
San Francisco, CA 94133

**Business Description:** RCBA LAF Equity Partners L.L.C. is a limited liability company whose business is to invest in investment partnerships which invest funds in various countries. It holds an interest directly in Newbridge Latin American L.P. (NLA) and indirectly in Newbridge Andean Partners, L.P. (NAP). NLA and NAP were formed to invest in various business ventures in Latin American which provide opportunities for capital appreciation.

## BBA Foresight, L.L.C. (Page 46)

Address: 909 Montgomery Street, Suite 400  
San Francisco, CA 94133

**Business Description:** Real estate investment in undeveloped land in Arizona.

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**BBA Foresight II, L.L.C. (Page 46)**

Address: 909 Montgomery Street, Suite 400  
San Francisco, CA 94133

Business Description: Real estate investment in undeveloped land in New Mexico.

**Bill Press Partners, L.L.C. (Page 47)**

Address: 217 – 8<sup>th</sup> Street SE  
Washington, D.C. 2003

Business Description: Investment in Bill Press' syndicated radio program.

**CB Richard Ellis Partners U.S. IV, L.L.C. (Page 47)**

Address: 865 South Figueroa Street, Suite 3500  
Los Angeles, CA 90017

Business Description: Investment in real estate, loans and other securities.

**Elevance Renewable Sciences, Inc. (Page 46)**

Address: 175 E Crossroads Parkway, Suite F  
Bolingbrook, IL

Business Description: Creates a range of valued specialty chemicals from natural oils using technology called olefin metathesis. This investment is held by BCP Investments, LP through Blum Family Partners, LP

**Montgomery Street Partners (Page 51)**

Address: 909 Montgomery Street, Suite 400  
San Francisco, CA 94133

Business Description: During 2014, Montgomery Street Partners investment entities were formed to invest in various real estate investments. As the investment advisor of the investment entities, the General Partner may receive investment and performance fees. In 2014 Montgomery Street Housing I, LP was formed to invest in student housing projects in Texas and North Carolina.

**Filmyard Holdings, LLC (Page 51)**

Address: 2450 Broadway, 6<sup>th</sup> Floor  
Santa Monica, CA 90404

Business Description: Motion picture film and film rights library.



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**RST, Inc. (Page 51)**

Address: 3791 Rocky Knoll Way  
Santa Rosa, CA

Business Description: Premier internet source of fine wine and related services.

**BDK Holdings, LLC (Page 54)**

Address: Two South Biscayne Blvd. Suite 3663  
Miami, FL 33131

Business Description: To explore investments in airline cargo services.

**Tensile Capital Management (Page 51)**

Address: Two Embarcadero Center  
San Francisco, CA

Business Description: Value oriented investment hedge fund.

**Colony American Homes Holdings (Page 49)**

Address: 100 Pine Street  
San Francisco, CA

Business Description: Leading owner and provider of high quality single family residences for rental across the United States.

**Walker Street Brooklyn SP I, LLC (Page 49)**

Address: 909 Montgomery Street  
San Francisco, CA

Business Description: Storage facility located in Brooklyn, NY

**Colony American Homes War I, LLC (Page 55)**

Address: 100 Pine Street  
San Francisco, CA

Business Description: Leading owner and provider of high quality single family residences for rental across the United States.

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**Bell Mountain Capital Partners, LLC (Page 53)**

Address: 2638 Watcher Way  
Alberta, Canada

Business Description: Value oriented investment hedge fund.

**Gobi Investment Partners, LP (Page 53)**

Address: 10951 Pico Blvd., Suite 201  
Los Angeles, CA

Business Description: Value oriented investment hedge fund.

**Petits Pains and Co, LP (Page 53)**

Address: 1730 Gilbreth Road  
Burlingame, CA

Business Description: The production and distribution of artisan breads and baked goods.

**BFP Tideline, LLC (Page 56)**

Address: 909 Montgomery Street  
San Francisco, CA

Business Description: On demand water taxi and commuter shuttle service in the San Francisco Bay Area.

**Blum Family Partners GDM, LLC (Page 56)**

Address: 909 Montgomery Street  
San Francisco, CA

Business Description: Investment in the Grand Del Mar, a luxury hotel located in San Diego, CA.

**Campanile Impact Fund, LP (Page 56)**

Address: 909 Montgomery Street  
San Francisco, CA

Business Description: Investment fund formed to invest in socially and environmentally friendly companies. The fund currently holds investments in Gram Power and Tarana Wireless.

**Latitude Capital Management** (Page 56)

**Business Description:** Investment fund to invest in expanding electricity in developing markets including Africa and India.

**Business Description:** Was formed to invest in various real estate investments including Walker Street Investment Partners, LLC.

The Senator receives a pension from the City of San Francisco. The pension has been valued in the category of \$500,000 to \$1,000,000 based on a valuation provided by the Retirement Division of the City of San Francisco.

Mr. Blum receives a salary from BCP L.P. He also receives dividend income from RCBA Inc. The category indicated under "Amount of Income" is based on dividends received. The column "Actual Amount Only if 'Other' Specified" indicates salary compensation.

### Valuation Methods

Publicly traded securities are valued at quoted market value or at fair market value.

Non-publicly traded securities are valued at fair market value based on factors such as cost, projected profits, projected cash flows and comparisons with similar businesses.

Real estate investments are valued at cost or at estimated fair market value.

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Partnership interests are valued based on a computation of pro rata share of the estimated net fair market value of the partnership as recorded for financial statement reporting purposes.

Assets held as nominee for others are excluded from the report.

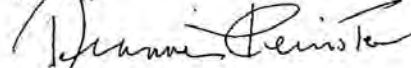
#### Income Determination Methods

Dividends, interest and salary are reported in the period received. In the case of interest earned on notes receivable, interest is reported as the amount is accrued.

Capital gains are reported in the period recognized. For individual securities, capital gains are reported net of offsetting losses realized during the reporting period. Capital losses are not otherwise offset against other sources of income. For instance, income related to an investment that produced dividend income and capital losses during the period is reported at the amount of the dividend income only. Changes in unrealized capital gains and losses are not included.

Income from S-Corporations is reported as the amount of dividends received, which differs from taxable income reportable on the Internal Revenue Service Schedule K-1. Income from partnerships is reported as the amount of distributions received from the partnership, which differs from taxable income reportable on Internal Revenue Service Schedule K-1.

Sincerely,



Dianne Feinstein

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<input type="checkbox"/> Amendment			
Last Name		First Name and Middle Initial	
FEINSTEIN		DIANNE	
		Annual Report	
		Calendar Year Covered by Report	Senate Office / Agency in Which Employed
		2015	UNITED STATES SENATE
Senate Office Address (Number, Street, City, State, and ZIP Code)		Senate Office Telephone Number (include Area Code)	
331 Hart, Senate Bldg. Washington DC 20519		(202) 224-3841	
		Termination Report	
		Termination Date (mm/dd/yy)	Prior Office / Agency in Which Employed

		YES	NO			YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, complete and attach PART I.		<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$350 from one source)? If Yes, complete and attach PART VI.		<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, complete and attach PART II.		<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, complete and attach PART VII.		<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, complete & attach PART IIIA and/or IIIB.		<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, complete and attach PART VIII.		<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, complete and attach PART IV.		<input checked="" type="checkbox"/>	<input type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, complete and attach PART IX.		<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If Yes, complete and attach PART V.		<input type="checkbox"/>	<input checked="" type="checkbox"/>	If this is your <u>FIRST</u> Report: Did you receive compensation of more than \$5,000 from a single source in the <u>two</u> prior years? If Yes, complete and attach PART X.		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

For you and/or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

**Individuals not covered by the Honoraria Ban:**

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

Name of Income Source		Address (City, State)	Type of Income	Amount
Example:	JP Computers	Wash., DC	Salary	\$15,000
	MCI (Spouse)	Arlington, VA	Salary	Over \$1,000
1	Blum Capital Partners, L.P. (Spouse)	San Francisco, CA	Salary	Over \$1,000
2	Pacific Life Insurance Company (Spouse)	Newport Beach, CA	Retirement Plan	Over \$1,000
3	CDP – Concord Egyptian Fund (Spouse)	St. Peter Port, Guernsey	Director's Fees	Over \$1,000
4	CBRE Group, Inc. (Spouse)	El Segundo, CA	Director's Fees	Over \$1,000
5	Coral Growth Investments Limited (Spouse)	St. Peter Port, Guernsey	Director's Fees	Over \$1,000
6	Fidelity Investments (Spouse)	San Francisco, CA	Retirement Plan	Over \$1,000
7				
8				
9				
10				
11				
12				
13				
14				



**BLOCK C**  
**Type and Amount of Income**

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

[illegible]



DIANNE FEINSTEIN

## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

(Assets owned by Dianne Feinstein)

5

BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																				
Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.		At the close of reporting period. If None, or less than \$1,001, Check the first column.										If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																				
												Type of Income					Amount of Income					Actual Amount Required if "Other" Specified										
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)		None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000
S, Example: DC, or J	IBM Corp. (stock)				X									X						Example		X										Example
	(S) Keystone Fund					X									X		X			Example	X											Example
M	The Dianne Feinstein 1991 Trust																															
	Dated 09/23/1991																															
	Qualified Blind Trust										X			X	X	X			X									X				
M	Bertram Feinstein Trust:																															
	(Dianne Feinstein Beneficiary)																															
M	Vanguard Prime Money Market Fund									X							X				X											
M	Federated US Treasury Fund	X															X				X											

Common Stock

Actual Amount
Require d if “Other” Specified

**Examp**

**Examp**

10

---

1

100

10

ident

(Assets owned by spouse through Blum Strategic GP III, L.L.C.)

[illegible]



BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources		BLOCK B Valuation of Assets  At the close of reporting period. If None, or less than \$1,001, Check the first column.								BLOCK C Type and Amount of Income																							
										Type of Income						Amount of Income																	
										None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000
S. Example: DC, or J	IBM Corp. (stock)			X										X							Example	X											Example
	(S) Keystone Fund				X											X		X		Example	X												Example
S	Career Education Corporation																																
	Common Stock	X														X						X											
S	Avid Technology, Inc.																																
	Common Stock			X																		X											
S	Moneygram International, Inc.																																
	Common Stock	X														X					X												





BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources		BLOCK B Valuation of Assets								BLOCK C Type and Amount of Income																			
		At the close of reporting period. If None, or less than \$1,001, Check the first column.								If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																			
										Type of Income						Amount of Income													
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S, Example: DC, or J	IBM Corp. (stock)			X						X							Example	X											Example
	(S) Keystone Fund				X						X		X				Example	X											Example
S	Career Education Corporation																												
	Common Stock	X										X						X											
S	Avid Technology, Inc.																												
	Common Stock		X															X											
S	Moneygram International, Inc.																												
	Common Stock	X										X						X											



Reporting Individual's Name <b>DIANNE FEINSTEIN</b>		<b>PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES</b> (Assets owned by spouse through Blum Strategic GP IV, L.L.C.)											Page Number <b>11</b>																				
BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																					
Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.		At the close of reporting period. If None, or less than \$1,001, Check the first column.										If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																					
												Type of Income					Amount of Income																
												Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified		
S, Example: DC, or J	IBM Corp. (stock)																	Example	X														Example
	(S) Keystone Fund													X		X		Example	X														Example
S	Blum Strategic GP IV, L.L.C.																																
	(23.03% owned by Richard C. Blum)																																
	Blum Strategic Partners IV, L.P. :																																
	(.32% owned by Blum Strategic GP IV, L.L.C.)																																
	(.09% owned by Blum Capital Partners, L.P.)																																
S	CBRE Group, Inc.																																
	Common Stock	X													X												X						
S	ITT Educational Services, Inc.																																
	Common Stock	X													X			X															

BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																			
Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.		At the close of reporting period. If None, or less than \$1,001, Check the first column.										If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																			
												Type of Income							Amount of Income												
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000
S, Example: DC, or J	IBM Corp. (stock)				X							X							Example	X											Example
	(S) Keystone Fund					X								X		X			Example	X											Example
S	Career Education Corporation																														
	Common Stock	X													X					X											
S	Avid Technology, Inc.																														
	Common Stock			X																X											
S	Moneygram International, Inc.																														
	Common Stock	X													X					X											



BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income												Actual Amount Required if "Other" Specified									
		At the close of reporting period. If None, or less than \$1,001, Check the first column.										If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																					
												Type of Income						Amount of Income															
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	Over \$5,000,000	
S,	IBM Corp. (stock)				X									X						Example		X											Example
Example:	(S) Keystone Fund					X									X		X			Example	X											Example	
S	Blum Strategic Equity IV, L.L.C.																																
	(11.13% owned by Richard C. Blum)																																
	Blum Strategic Partners IV, L.P.																																
	(.46% owned by Blum Strategic Equity IV, L.L.C.)																																
	(.03% owned by Blum Capital Partners, L.P.)																																
S	CBRE Group, Inc.																																
	Common Stock	X														X													X				
S	ITT Educational Services, Inc.																																
	Common Stock	X														X					X												

**BLOCK B**  
**Valuation of Assets**

At the close of reporting period,  
If None, or less than \$1,001,  
Check the first column.

**BLOCK C**  
**Type and Amount of Income**

If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p.3, **CONTENTS OF REPORTS Part B of Instructions**) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this **PART IIIA** a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

S.  
Example.  
OC,  
I

IBM Corp. (stock)

(S) *Keystone Fund*

S	Career Education Corporation
---	------------------------------

Common Stock

S Avid Technology, Inc.

Common Stock

S	Moneygram International, Inc.
---	-------------------------------

Common Stock

**BLOCK B**  
**Valuation of Assets**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p.3, **CONTENTS OF REPORTS Part B of Instructions**) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this **PART IIIA** a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

**BLOCK C**  
**Type and Amount of Income**

If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

[illegible]



**BLOCK C**  
**Type and Amount of Income**

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

[illegible]



DIANNE FEINSTEIN

**PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**  
 (Assets owned by spouse through Blum Family Partners, L.P.)

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BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																					
		At the close of reporting period. If None, or less than \$1,001, Check the first column.										If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																					
												Type of Income						Amount of Income															
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S, Example: DC, or J	IBM Corp. (stock)			X									X							Example	X											Example	
	(S) Keystone Fund				X									X			X			Example	X											Example	
S	Blum Family Partners, L.P.:																																
	(87.44% owned by Richard C. Blum)																																
	(1% owned by Blum Investment Partners II, Inc.)																																
S	CV Holdings Inc. (formerly Realty Finance Corporation)																																
	Real Estate Investment Trust	X															X				X												
S	CBRE Group, Inc.																																
	Common Stock	X														X											X						
S	ITT Educational Services, Inc.																																
	Common Stock	X														X					X												

BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources		BLOCK B Valuation of Assets  At the close of reporting period. If None, or less than \$1,001, Check the first column.										BLOCK C Type and Amount of Income  If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																				
												Type of Income						Amount of Income														
												None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)
S, Example: DC, or J	IBM Corp. (stock)			X									X							Example	X											Example
	(S) Keystone Fund				X										X		X			Example	X											Example
S	Avid Technology, Inc.																															
	Common Stock			X																	X											
S	Career Education Corporation																															
	Common Stock	X														X					X											
S	Moneygram International, Inc.																															
	Common Stock	X														X					X											
S	Kite Pharma, Inc.																															
	Common Stock							X							X														X			
S	Barracuda																															
	Common Stock	X													X										X							



DIANNE FEINSTEIN

000000001

**PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

(Assets owned by spouse Richard C. Blum)

Page Number

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BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																			
<p>Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, <i>CONTENTS OF REPORTS Part B of Instructions</i>) for production of income or investment which:</p> <p>(1) had a value exceeding \$1,000 at the close of the reporting period; and/or</p> <p>(2) generated over \$200 in "unearned" income during the reporting period.</p> <p>Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.</p>		At the close of reporting period, If None, or less than \$1,001, Check the first column.										If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																			
												Type of Income							Amount of Income												
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000
S, Example; DC, or J	IBM Corp. (stock)			X								X							Example	X											Example
	(S) Keystone Fund				X									X	X			Example	X											Example	
S	CBRE Group, Inc.																														
	Common Stock and Unvested Options						X								X												X				
S	Amyris, Inc.																														
	Common Stock					X														X											
S	Avid Technology, Inc.																														
	Common Stock		X																		X										
S	Career Education Corporation																														
	Common Stock	X													X					X											

BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources		BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, Check the first column.										BLOCK C Type and Amount of Income																				
												If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																				
												Type of Income							Amount of Income													
Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.												Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified	
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000																			
S, Example: DC, or J	IBM Corp. (stock)				X								X					Example	X													Example
	(S) Keystone Fund					X									X	X		Example	X													Example
S	ITT Educational Services, Inc.																															
	Common Stock	X													X				X													
S	Moneygram International, Inc.																															
	Common Stock	X													X				X													
S	Kite Pharma																															
	Common Stock							X							X													X				



BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																					
Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.		At the close of reporting period, If None, or less than \$1,001, Check the first column.										If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																					
												Type of Income					Amount of Income																
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S, Example: DC, or J	IBM Corp. (stock)				X								X							Example	X											Example	
	(S) Keystone Fund				X										X		X			Example	X											Example	
S	CBRE Group, Inc.																																
	Common Stock	X														X												X					
S	Avid Technology, Inc.																																
	Common Stock					X															X												
S	Career Education Corporation																																
	Common Stock	X														X										X							
S	ITT Educational Services, Inc.																																
	Common Stock	X														X					X												

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, Check the first column.										BLOCK C Type and Amount of Income													
												Type of Income							Amount of Income						
												Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000
Example: DC, or J	JP Computer, Wash DC																					Example			
	Undeveloped land, Dubuque, Iowa																					Example			
M	Bertram Feinstein Trust																								
	(Dianne Feinstein is primary beneficiary)																								
	Credit Suisse Deposit Account	X																							
	Vanguard Deposit Account								X																
M	Bank of America Deposit Account																								
	San Francisco, CA																								

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

☐

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																					
Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a <u>non-public</u> trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.		At the close of reporting period. If None, or less than \$1,001, Check the first column.										If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																					
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Type of Income							Amount of Income												
														Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
	Example: S. DC, or J	JP Computer, Wash DC			X									X							Example	X											Example
		Undeveloped land, Dubuque, Iowa				X															Example	X											Example
M		Richard C. Blum Marital Trust of 1994																															
		(Dianne Feinstein is primary beneficiary)																															
		Indexed Universal Life Policy																															
		John Hancock - (Cash Value)					X															X											
M		Richard C. Blum Marital Trust of 1996																															
		(Dianne Feinstein is primary beneficiary)																															
		Indexed Universal Life Policy																															
		John Hancock - (Cash Value)					X															X											

**EXEMPTION TEST** (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

☐



**BLOCK A**

### Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

(1) had a value exceeding \$1,000 at the close of the reporting period; and/or

(2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**  
**Valuation of Assets**

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

**BLOCK C**

### Type and Amount of Income

If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

CONTENTS OF REPORTS PART B (or Instructions) for the production of income or investment in a <u>non-public</u> trade or business which:		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount	Required if "Other" Specified
Example: S. DC, or J	JP Computer, Wash DC Undeveloped land, Dubuque, Iowa			X										X							Example	X											Example	
					X																Example	X											Example	
J	Carlton Hotel Properties, L.P.																																	
	San Francisco, CA										X										Ordinary Income												\$1,368,000	
J	Bank of America																																	
	Checking Account - San Francisco, CA			X												X					X													
J	Bank of America																																	
	Checking Account - San Francisco, CA		X																		X													
J	Princeville, Kauai - Hawaii																																	
	Condominium								X					X												X								

**EXEMPTION TEST** (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.





BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																							
Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a <u>non-public</u> trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.		At the close of reporting period. If None, or less than \$1,001, Check the first column.										If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																							
												Type of Income							Amount of Income																
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified		
S. Example: DC, or	JP Computer, Wash DC											x								Example	x												Example		
	Undeveloped land, Dubuque, Iowa																				Example	x												Example	
S	Blum Strategic GP II, L.L.C.																																		
	San Francisco, CA																																		
	(See page 33 for non-publicly traded assets)																																		
S	Blum Strategic Equity II, L.L.C.																																		
	San Francisco, CA																																		
	(See page 34 for non-publicly traded assets)																																		
S	Blum Capital Partners, L.P.																																		
	San Francisco, CA																																		
	(See page 6 for publicly traded assets)																																		

**EXEMPTION TEST** (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset (s) was held independently by the spouse or dependent child. If the asset (s) was held either held by the filer or jointly held, use the other categories of value, as appropriate.

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BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets											BLOCK C Type and Amount of Income																				
		At the close of reporting period. If None, or less than \$1,001, Check the first column.											If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																				
													Type of Income						Amount of Income														
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S.	Example: JP Computer, Wash DC				x								x							Example		x										Example	
DC.	or Undeveloped land, Dubuque, Iowa					x														Example	x											Example	
S	TPG Management V, L.P.																																
	Fort Worth, TX																																
	(owned through Blum Family Partners, L.P.)																																
	(See page 46 for non-publicly traded assets)																																
S	TPG Asia Equity V, L.P.																																
	Fort Worth, TX																																
	(owned through Blum Family Partners, L.P.)																																
	(See page 46 for non-publicly traded assets)																																

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

☐



BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																							
Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a <u>non-public</u> trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.		At the close of reporting period. If None, or less than \$1,001, Check the first column,										If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																							
												Type of Income							Amount of Income																
												Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount				
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000																						
S.	JP Computer, Wash DC				x									x																			Example		
DC.																																			
J	Undeveloped land, Dubuque, Iowa					x																												Example	
S	TPG Associates III, L.P.																																		
	Fort Worth, TX				X																														
S	TPG LAF Equity Partners, L.P.																																		
	Fort Worth, TX				X																														
S	LatAm GP, L.P.																																		
	Fort Worth, TX				X																														
S	Tarrant Venture Partners, L.P.																																		
	Fort Worth, TX				X																														

**EXEMPTION TEST** (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, Check the first column.										BLOCK C Type and Amount of Income																					
												If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																					
												Type of Income							Amount of Income														
Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S	Example: JP Computer, Wash DC				X									X								Example	X										Example
J	Example: Undeveloped land, Dubuque, Iowa					X																Example	X										Example
S	TPG Equity IV, L.P.																																
	Fort Worth, TX (see Blum Family Partners L.P. page 46)																																
S	RCBA LAF Equity Partners, L.L.C.																																
	San Francisco, CA			X																		X											
S	Blum G.A. III Equity Partners, L.L.C.																																
	San Francisco, CA																																
	(See page 43 for non-publicly traded assets)																																

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

DIANNE FEINSTEIN

**PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**  
**(Assets owned by Spouse through Blum Capital Partners T, L.P. (continuation))**

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**BLOCK A**  
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or  
 (2) generated over \$200 in "unearned" income during the reporting period.  
 Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**  
**Valuation of Assets**

At the close of reporting period.  
 If None, or less than \$1,001,  
 Check the first column.

**BLOCK C**  
**Type and Amount of Income**

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																					
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Type of Income					Amount of Income														
														Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
Example:	JP Computer, Wash DC			X										X								Example	X										Example
Example:	Undeveloped land, Dubuque, Iowa				X																	Example	X										Example
S	RCBA Newbridge LAF, L.L.C.																																
	San Francisco, CA						X																X										
S	Blum G.A., L.L.C.																																
	San Francisco, CA	X																					X										
S	Blum G.C., L.P.																																
	San Francisco, CA																																
	(See page 39 for non-publicly traded assets)																																

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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DIANNE FEINSTEIN

**PART IIB. - NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**  
 (Assets owned by Spouse through Richard C. Blum & Associates, Inc.)

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BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																					
Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.		At the close of reporting period. If None, or less than \$1,001, Check the first column.										If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																					
												Type of Income						Amount of Income															
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted (or Excepted Fund)	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S	Example: JP Computer, Wash DC			X									X							Example	X											Example	
J	Example: Undeveloped land, Dubuque, Iowa				X															Example	X											Example	
S	Richard C. Blum & Associates, Inc.																																
	San Francisco, CA																																
S	BBA Foresight II, L.L.C.																																
	San Francisco, CA	X																			X												
S	FWHY-88 Investors, L.P.																																
	Fort Worth, TX	X																			X												
S	Blum GA IV, L.P.																																
	San Francisco, CA		X										X	X	X										X								

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right:

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

☐

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets  At the close of reporting period. If None, or less than \$1,001, Check the first column.										BLOCK C Type and Amount of Income																						
												If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																						
												Type of Income							Amount of Income															
Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified	
														Example	Example	Example	Example	Example	Example	Example	Example	Example	Example	Example	Example	Example	Example	Example	Example	Example	Example	Example	Example	Example
S	JP Computer, Wash DC				X									X								Example	X											Example
J	Undeveloped land, Dubuque, Iowa					X																Example	X											Example
S	Blum Capital Partners, L.P.																																	
	San Francisco, CA									X												X												
S	Blum Capital Partners T, L.P.																																	
	San Francisco, CA					X																X												

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

☐



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**BLOCK C**  
**Type and Amount of Income**

If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



34

Page Number  
34



Reporting Individual's Name <b>DIANNE FEINSTEIN</b>		<b>PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES</b> <b>(Assets owned by Spouse through Blum Strategic GP IV, L.L.C.)</b>															Page Number <b>36</b>																	
<b>BLOCK A</b> <b>Identity of Non-Publicly Traded Assets and Unearned Income Sources</b>  Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.		<b>BLOCK B</b> <b>Valuation of Assets</b>  At the close of reporting period. If None, or less than \$1,001, Check the first column.										<b>BLOCK C</b> <b>Type and Amount of Income</b>  If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																						
												Type of Income						Amount of Income																
												Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Actual Amount Required if "Other" Specified	
Example: S. JP Computer, Wash DC DC, or Undeveloped land Dubuque, Iowa J												X						Example	X															Example
S Blum Strategic GP IV, L.L.C.																																		
San Francisco, CA																																		
(10.07% owned by Richard C. Blum)																																		
Blum Strategic Partners IV, L.P.																																		
(20% owned by Blum Strategic GP IV, L.L.C.) (0.009% owned by Blum Capital Partners, L.P.)																																		
S Payless Holdings LLC																																		
Preferred Stock																				X														
S Xtralis Group Holdings Ltd.																																		
Preferred Stock																				X														

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐  
 \*\*\* This category applies only if the asset was held independently by the spouse or dependent child. If the asset was either held by the filer or jointly held, use the other categories of value, as appropriate.



DIANNE FEINSTEIN

**PART III B. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**  
(Assets owned by Spouse through Blum Strategic Equity IV, L.L.C.)

37

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																					
Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.		At the close of reporting period. If None, or less than \$1,001, Check the first column.										If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																					
												Type of Income					Amount of Income																
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S	JP Computer, Wash DC			X										X							Example	X										Example	
	Undeveloped land, Dubuque, Iowa				X																Example	X										Example	
S	Blum Strategic Equity IV, L.L.C.																																
	San Francisco, CA																																
	(10.28% owned by Richard C. Blum) (.02% owned by Blum Capital Partners, L.P.)																																
	Blum Strategic Partners IV, L.P.																																
	(.11% owned by Blum Strategic Equity IV, L.L.C.)																																
S	Payless Holdings LLC																																
	Preferred Stock	X																			X												
S	Xtralis Group Holdings Ltd																																
	Preferred Stock	X																			X												

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

DIANNE FEINSTEIN

**PART HB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**  
**(Assets owned by Spouse through Blum Strategic GP V, L.L.C.)**

38

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																			
												If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																			
		At the close of reporting period. If None, or less than \$1,001, Check the first column.										Type of Income							Amount of Income												
Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.												Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000**	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified	
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000																		
S	Example: JP Computer, West DC				X									X					Example	X										Example	
J	Example: Undeveloped land, Dubuque, Iowa				X														Example	X										Example	
S	Blum Strategic GP V, L.L.C.																														
	San Francisco, CA																														
	(90.47% owned by Richard C. Blum)																														
	Blum Strategic Partners V, L.L.C.																														
	(2.0 % owned by Blum Strategic GP V, L.P.)																														
S	Payless Holdings LLC																														
	Preferred Stock						X												X												
S	Xtralis Group Holdings Ltd																														
	Preferred Stock								X										X												

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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39

1







[illegible]



Reporting Individual's Name <b>DIANNE FEINSTEIN</b>		<b>PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES</b> <b>(Assets owned by Spouse through Blum Investment Partners, Inc. (continuation))</b>															Page Number <b>43</b>																								
<b>BLOCK A</b> <b>Identity of Non-Publicly Traded Assets and Unearned Income Sources</b>  Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period, and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.		<b>BLOCK B</b> <b>Valuation of Assets</b>  At the close of reporting period. If None, or less than \$1,001. Check the first column.										<b>BLOCK C</b> <b>Type and Amount of Income</b>  If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																													
												<b>Type of Income</b>										<b>Amount of Income</b>																			
												Dividends Rent Interest Capital Gains Excepted Investment Fund Excepted Trust Qualified Blind Trust Other (Specify Type)										None (or less than \$201) \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000*** \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 \$25,000,001 - \$50,000,000 Over \$50,000,000										Actual Amount Required if "Other" Specified									
Example: S: JP Computer, Wash DC DC, or J: Undeveloped land, Dubuque, Iowa												Example Example										Example Example																			
S Blum G.A. III Equity Partners, L.L.C.  San Francisco, CA  (See page 41 for non-publicly traded assets)																																									
S Blum G.A. III, L.L.C.  San Francisco, CA  (See page 40 for non-publicly traded assets)																																									

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.  
 \*\*\* This category applies only if the asset (s) was held independently by the spouse or dependent child. If the asset (s) was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name

**PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**  
(Assets owned by Spouse through Blum Investment Partners, Inc. (continuation))

Page Number

44

**DIANNE FEINSTEIN**

**BLOCK A**  
**Identity of Non-Publicly Traded**  
**Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

(1) had a value exceeding \$1,000 at the close of the reporting period; and/or

(2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**  
**Valuation of Assets**

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

**BLOCK C**  
**Type and Amount of Income**

If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

[illegible]

**EXEMPTION TEST** (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

DIANNE FEINSTEIN

**PART IIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**  
 (Assets owned by Spouse through Blum Investment Partners, Inc. (continuation))

45

**BLOCK A**  
**Identity of Non-Publicly Traded Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or  
 (2) generated over \$200 in "unearned" income during the reporting period.  
 Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

**BLOCK B**  
**Valuation of Assets**

At the close of reporting period.  
 If None, or less than \$1,001,  
 Check the first column.

**BLOCK C**  
**Type and Amount of Income**

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

S, Example: JP Computer, Wash DC  
 OC,  
 or  
 J Undeveloped land, Dubuque, Iowa

S Carlton Hotel Properties, L.P.

San Francisco, CA

S Richard C. Blum Family Partnership

San Francisco, CA

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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DIANNE FEINSTEIN

**PART II B. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**  
 (Assets owned by Spouse through Blum Family Partners, L.P.)

46

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																					
Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:		At the close of reporting period. If None, or less than \$1,001, Check the first column.										If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																					
												Type of Income						Amount of Income															
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S.	JP Computer, Wash DC			X										X							Example	X										Example	
DC,	Undeveloped land, Dubuque, Iowa				X																Example	X										Example	
J																																	
S	Blum Family Partners, L.P.																																
	San Francisco, CA																																
S	Elevance Renewable Sciences, Inc.																																
	Bolingbrook, IL							X													X												
S	BBA Foresight, L.L.C.																																
	Tucson, AZ								X					X									X										
S	BBA Foresight II, L.L.C.																																
	Albuquerque, New Mexico							X													X												

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

☐

**BLOCK A**  
**Identity of Non-Publicly Traded**  
**Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

(1) had a value exceeding \$1,000 at the close of the reporting period; and/or

(2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

### Valuation of Assets

At the close of reporting period.  
If None, or less than \$1.001,  
Check the first column.

**BLOCK C**  
**Type and Amount of Income**

If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

[illegible]

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset was held independently by the spouse or dependent child. If the asset was either held by the filer or jointly held, use the other categories of value, as appropriate.

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, Check the first column.										BLOCK C Type and Amount of Income																						
												If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																						
												Type of Income								Amount of Income														
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified	
Example: DC or J	JP Computer, Wash DC				X									X							Example	X											Example	
	Undeveloped land, Dubuque, Iowa				X																Example	X											Example	
S	Blum G.A. IV, L.P.																																	
	San Francisco, CA								X					X	X	X	X													X				
S	Coral Growth Investments, Ltd.																																	
	St. Peter Port, Guernsey								X					X		X														X				
S	Francisco Partners, L.P.																																	
	San Francisco, CA					X								X		X	X												X					
S	Greycroft Partners, L.P.																																	
	New York, NY								X							X	X											X						

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.  
\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.





DIANNE FEINSTEIN

**PART II-B. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**  
(Assets owned by Spouse through Blum Family Partners, L.P. (continuation))

Page Number

49

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																					
												Type of Income																					
												Amount of Income																					
Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S	Example: JP Computer, Wash DC				X									X							Example	X										Example	
J	Undeveloped land, Dubuque, Iowa					X															Example	X										Example	
S	Colony American Homes Holdings																																
	New York, NY									X					X	X													X				
S	Current Media, LLC																																
	San Francisco, CA					X															X												
S	Walker Street SP Brooklyn I, L.L.C.																																
	San Francisco, CA								X					X											X								
S	Montgomery Street Partners II, L.P.																																
	San Francisco, CA								X												X												

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.  
\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

☐

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets  At the close of reporting period, If None, or less than \$1,001, Check the first column.										BLOCK C Type and Amount of Income																					
												If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																					
												Type of Income								Amount of Income													
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
Example:	DC, or																				Example												Example
	J/P Computer, Wash DC				X									X																			
	Undeveloped land, Dubuque, Iowa					X															Example	X											Example
S	Lucky Bluff, L.L.C.																																
	San Francisco, CA									X												X											
S	BMGH																																
	Sacramento, CA									X												X											
S	Greycroft Partners Annex Fund, LP																																
	New York, NY					X																X											

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.  
 \*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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DIANNE FEINSTEIN

**PART IIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**  
**(Assets owned by Spouse through Blum Family Partners, L.P. (continuation))**

51

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																						
												If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																						
		At the close of reporting period. If None, or less than \$1,001, Check the first column.										Type of Income						Amount of Income																
Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.												Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Actual Amount Required if "Other" Specified	
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000																					
Example:	JP Computer, Wash DC				X									X						Example	X													Example
or	Undeveloped land, Dubuque, Iowa					X														Example	X													Example
S	Tensile Capital Management, LLC																																	
	San Francisco, CA									X										X														
S	Filmyard Holdings, LLC																																	
	Santa Monica, CA								X						X			Ordinary Income									X						\$1,478,703	
S	RST, Inc.																																	
	San Francisco, CA							X											X															
S	Montgomery Street Housing I, L.P.																																	
	San Francisco, CA								X					X													X							

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



DIANNE FEINSTEIN

**PART II B. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**  
**(Assets owned by Spouse through Blum Family Partners, L.P. (continuation))**

Page Number

52

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																					
Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.		At the close of reporting period. If None, or less than \$1,001, Check the first column.										If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																					
												Type of Income							Amount of Income														
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S	Example: JP Computer, Wash DC			X									X								Example	X										Example	
DC	Example: Underdeveloped land, Dubuque, Iowa				X																Example	X										Example	
S	Second City Capital Partners II, L.P. (Formerly Second City Capital Partners I, L.P.)																																
	Vancouver, B.C.	X															X																
S	Faber Street Associates, L.L.C.																																
	Union City	X											X	X																			
S	Blum Capital Partners, L.P.																																
	San Francisco, CA																																
	(See page 6 for publicly traded assets)																																

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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DIANNE FEINSTEIN

**PART III. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**  
(Assets owned by Spouse through Blum Family Partners, L.P. (continuation))

53

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets  At the close of reporting period. If None, or less than \$1,001, Check the first column.										BLOCK C Type and Amount of Income																					
												If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																					
												Type of Income							Amount of Income						Actual Amount Required if "Other" Specified								
Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500		\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000
		Example:	JP Computer, Wash DC			X										X								Example	X								
or	Undeveloped land, Dubuque, Iowa				X																	Example	X										Example
S	Blum Capital Partners T, L.P.																																
	San Francisco, CA																																
	(See pages 27-30 for non-publicly traded assets)								X					X			X													X			
S	Bell Mountain Capital Partners, L.L.C.																																
	Edmonton, Canada							X														X											
S	Gobi Investment Partners, L.P.																																
	Los Angeles, CA								X													X											
S	Petits Pains & Co., L.P.																																
	Burlingame, CA								X													X											

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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**BLOCK C**  
**Type and Amount of Income**

If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income		Amount of Income	
Dividends			
Rent			
Interest			
Capital Gains			
Excepted Investment Fund			
Excepted Trust			
Qualified Blind Trust			
Other			
	(Specify Type)		
	None (or less than \$201)		
	\$201 - \$1,000		
	\$1,001 - \$2,500		
	\$2,501 - \$5,000		
	\$5,001 - \$15,000		
	\$15,001 - \$50,000		
	\$50,001 - \$100,000		
	\$100,001 - \$1,000,000		
	Over \$1,000,000***		
	\$1,000,001 - \$5,000,000		
	Over \$5,000,000		
	Actual Amount Required if "Other" Specified		

[illegible][illegible][illegible][illegible][illegible][illegible][illegible][illegible][illegible][illegible]

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



DIANNE FEINSTEIN

**PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**  
(Assets owned by Spouse through Blum Family Partners, L.P. (continuation))

55

B LOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																					
		At the close of reporting period. If None, or less than \$1,001, Check the first column.										If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																					
												Type of Income							Amount of Income														
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
Example:	JP Computer, Wash DC				X								X								Example	X										Example	
or	Undeveloped land, Dubuque, Iowa					X															Example	X										Example	
S	Colony American Homes War I, L.L.C.																																
	Santa Monica, CA				X																X												
S	TPG Chinos Co-Invest, L.P.																																
	Fort Worth, TX					X															X												
S	Carlton Hotel Properties, L.P.																																
	San Francisco, CA																																
(See page 24 for non-publicly traded assets)																																	

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.  
\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

☐

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																					
		At the close of reporting period. If None, or less than \$1,001, Check the first column.										If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																					
												Type of Income						Amount of Income															
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
Example:	JP Computer, Wash DC				X								X							Example	X											Example	
or:	Undeveloped land, Dubuque, Iowa					X														Example	X											Example	
S	BFP Tideline, L.L.C.																																
	San Francisco, CA								X												X												
S	Blum Family Partners GDM, L.L.C.																																
	San Francisco, CA								X												X												
S	Campanile Impact Fund, L.P.																																
	San Francisco, CA								X												X												
S	Latitude Capital Management, L.P.																																
	Washington, D.C.								X												X												

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

DIANNE FEINSTEIN

**PART IIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**  
 (Assets owned by Spouse)

57

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																		
												If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																		
												Type of Income							Amount of Income											
Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3. CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.		At the close of reporting period. If None, or less than \$1,001, Check the first column.										Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000	Actual Amount Required if "Other" Specified
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000																	
S	Example: JP Computer, West DC				X									X					Example	X										Example
J	Example: Undeveloped land, Dubuque, Iowa					X													Example	X										Example
S	Blum Strategic Holdings, LP																													
	San Francisco, CA																													
	(see page 16 for publicly traded assets)																													
S	Fidelity Retirement																													
	FMM Retire GOV II Fund				X										X				X											
S	Fidelity Retirement																													
	FID Focused Stock Fund				X										X				X											

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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DIANNE FEINSTEIN

**PART IIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**  
 (Assets owned by Spouse (continuation))

58

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																			
												If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																			
		At the close of reporting period. If None, or less than \$1,001, Check the first column.										Type of Income						Amount of Income													
Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.		None (or less than \$1,001)										Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)						Actual Amount Required if "Other" Specified					
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000							\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000		
Example: S	JP Computer, Wash DC				X									X						Example	X										Example
DC	Undeveloped land, Dubuque, Iowa					X														Example	X										Example
J																															
S	LAF Management, Inc.																														
	Fort Worth, TX	X																		X											
S	BTIG, L.L.C.																														
	Brokerage Account - Cash								X											X											
S	TRP Retire Balance Advance																														
	Money Market			X																X											
S	Bank of America, Inc.																														
	Deposit & Checking Account																														
	San Francisco, CA				X															X											

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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DIANNE FEINSTEIN

**PART IIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**  
**(Assets owned by Spouse (continuation))**

59

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																				
												Type of Income							Amount of Income													
		At the close of reporting period. If None, or less than \$1,001, Check the first column.										If *None (or less than \$201)* is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																				
Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.																																
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000
Example: DC	JP Computer, West DC				X									X							Example	X										Example
J	Undeveloped land, Dubuque, Iowa					X															Example	X										Example
S	Bank of America																															
	Deposit Account - San Francisco, CA	X																				X										
S	First Republic Bank																															
	Deposit Account - San Francisco, CA							X							X							X										
S	New ASTAR Holdings, L.L.C. (formerly ASTAR USA, L.L.C.)																															
	Miami, FL						X															X										
S	BTIG, L.L.C.																															
	Brokerage Account - Cash	X																				X										
	San Francisco, CA																															

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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DIANNE FEINSTEIN

**PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**  
**(Assets owned by Spouse (continuation))**

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BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																						
												If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																						
		At the close of reporting period. If None, or less than \$1,001, Check the first column.										Type of Income						Amount of Income																
Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.												Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other Ordinary Income	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Actual Amount Required if "Other" Specified	
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000																					
S	Example: JP Computer, Wash DC				X									X					Example	X													Example	
DC	or Undeveloped land, Dubuque, Iowa					X													Example	X													Example	
S	RCBA LAF Advisors. L.L.C. San Francisco, CA	X																	X															
S	JP Morgan - Savings Account New York, NY				X										X				X															
S	JP Morgan - Brokerage Account New York, NY		X												X				X															
S	BTIG San Francisco, CA	X																	X															
S	BTIG - (IRA) (Cash) San Francisco, CA							X											X															
S	Tenzing Asian Art (Accounts Receivable) San Francisco, CA				X														X															

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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Reporting Individual's Name <b>DIANNE FEINSTEIN</b>				<b>PART VII. LIABILITIES</b> (Liabilities of Dianne Feinstein & Richard C. Blum)										Page Number <b>62</b>				
Report liabilities over \$10,000 owed by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) Mortgages on your personal residences unless rented; (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.				Date Incurred	Interest Rate	Term if Applicable	Category of Amount of Value (x)											
							\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	
Name of Creditor		Address	Type of Liability															
S. Example: DC, or		First District Bank	Wash., DC	Mortgage on undeveloped land	1991	13%	25yrs			X		F	X	A	M	P	L	E
J		(J) John Jones	Wash., DC	Promissory Note	1999	10%	On dmd.				X	F	X	A	M	P	L	E
S	Northern Trust	Chicago, IL	Security Loan	2015	1.875	1								X				
S	First Republic Bank	San Francisco, CA	LOC	2015	3.75	On dmd								X				

(Liabilities of Dianne Feinstein & Richard C. Blum)