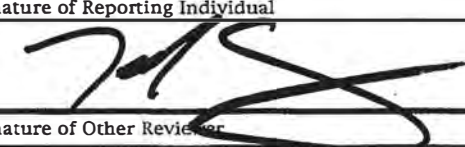




# Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Date of Appointment, Candidacy, Election, or Nomination (Month, Day, Year) <b>11/08/2016</b>	Reporting Status (Check Appropriate Boxes)	Incumbent <input type="checkbox"/>	Calendar Year Covered by Report	New Entrant, Nominee, or Candidate <input checked="" type="checkbox"/>	Termination Filer <input type="checkbox"/>	Termination Date (If Applicable) (Month, Day, Year)	<b>Fee for Late Filing</b> Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a \$200 fee.
Reporting Individual's Name	Last Name <b>Cruz</b>		First Name and Middle Initial <b>Rafael E. (Ted)</b>				
	Title of Position <b>Candidate for President</b>		Department or Agency (If Applicable)				
Position for Which Filing							
Location of Present Office (or forwarding address)	Address (Number, Street, City, State, and ZIP Code) <b>404 Russell Senate Office Building, Washington, DC 20510</b>				Telephone No. (Include Area Code) <b>202-224-5922</b>		<b>Reporting Periods</b> <b>Incumbents:</b> The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.  <b>Termination Filers:</b> The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.  <b>Nominees, New Entrants and Candidates for President and Vice President:</b>  <b>Schedule A</b> —The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.  <b>Schedule B</b> —Not applicable.  <b>Schedule C, Part I (Liabilities)</b> —The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.  <b>Schedule C, Part II (Agreements or Arrangements)</b> —Show any agreements or arrangements as of the date of filing.  <b>Schedule D</b> —The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.
	Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)	Title of Position(s) and Date(s) Held <b>United States Senator January 2013 to present</b>					
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination <b>Not Applicable</b>			Do You Intend to Create a Qualified Diversified Trust? <input type="checkbox"/> Yes <input type="checkbox"/> No			
Certification	Signature of Reporting Individual 				Date (Month, Day, Year) <b>7/30/15</b>		
Other Review (If desired by agency)	Signature of Other Reviewer 				Date (Month, Day, Year) <b>7/31/2015</b>		
	Signature of Designated Agency Ethics Official/Reviewing Official 				Date (Month, Day, Year) <b>7/31/15</b>		
Agency Ethics Official's Opinion	On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).						
Office of Government Ethics Use Only	Signature				Date (Month, Day, Year)		
Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)							
(Check box if filing extension granted & indicate number of days <u>60</u> , <input checked="" type="checkbox"/> <b>Reviewed for Apparent Compliance with the Federal Election Campaign Act</b> (Check box if comments are continued on the reverse side) <input type="checkbox"/>							
						Agency Use Only	
						OGE Use Only	

Reporting Individual's Name Rafael E. (Ted) Cruz						<b>SCHEDULE A</b>								Page Number  2 of 10																							
Assets and Income			Valuation of Assets at close of reporting period							Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																											
BLOCK A			BLOCK B							BLOCK C																											
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 in income during the reporting period, together with such income.  For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than \$1,000 (except report the actual amount of any honoraria over \$200 of your spouse).  None <input type="checkbox"/>				None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type	Amount										Date (Mo., Day, Yr.)  Only if Honoraria							
																			Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000		\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)		
Examples	Central Airlines Common					x																															
	Doe Jones & Smith, Hometown, State				x																															Law Partnership Income \$130,000	
	Kempstone Equity Fund						x										x																				
	IRA: Heartland 500 Index Fund									x							x																				
1	GS High Yield Fund Institutional				X												X																				
2	GS High Yield Municipal Fund				X												X																				
3	GS Local Emerging Markets Fund			X													X																				
4																																					
5	GS High Yield Floating Fund				X												X																				
6	GS Small Cap Value Fund Institutional					X											X																				
* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.																																					

Reporting Individual's Name  Rafael E. (Ted) Cruz	<b>SCHEDULE A continued</b> (Use only if needed)	Page Number  3 of 10
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Assets and Income		Valuation of Assets at close of reporting period													Income: type and amount. If “None (or less than \$201)” is checked, no other entry is needed in Block C for that item.																			
															BLOCK C																			
BLOCK A		BLOCK B																																
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount												Date (Mo., Day, Yr.)  Only if Honoraria	
																	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)		
1	GS Strategic Growth Fund Institutional			X										X				X							X									
2	Blackrock Muni Income TR Mutual Shares					X								X				X		X						X								
3	Oneok, Inc				X													X								X								
4	Chevron Corporation				X													X								X								
5	Exxon Mobil Corporation					X												X								X								
6	Goldman Sachs Group Inc				X													X						X										
7	GS large Cap Value Fund Institutional Shares				X									X				X						X										
8	One Gas, Inc			X														X					X											
9	GS Asia Equity Fund Institutional		X											X				X					X											

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name Rafael E. (Ted) Cruz	<b>SCHEDULE A continued</b> (Use only if needed)	Page Number 4 of 10
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Assets and Income		Valuation of Assets at close of reporting period												Income: type and amount. If “None (or less than \$201)” is checked, no other entry is needed in Block C for that item.																	
BLOCK A		BLOCK B												BLOCK C																	
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount										Date (Mo., Day, Yr.)  Only if Honoraria
																	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	
1	GS Dynamic Municipal Inc Fund Institutional			X										X							X										
2	Enterprise Products Partners LP					X												X													
3	Plains GP Holdings LP				X													X				X									
4	USAA 529 Plan FBO Child 2 Aged Base Aggressive Growth Portfolio			X														X					X								
5	USAA 529 Plan FBO Child 2 Aggressive Growth Portfolio			X														X					X								
6	USAA 529 Plan FBO Child 2 Moderately Aggressive Portfolio			X														X					X								
7	USAA 529 Plan FBO Child 2 Moderate Portfolio			X														X					X								
8	Fidelity 529 Plan - FBO Child 1 MA Portfolio 2027					X												X								X					
9	Goldman Sachs Group Inc - Vested and Restricted stock through employer plan - HC					X												X													

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name Rafael E. (Ted) Cruz	<b>SCHEDULE A continued</b> (Use only if needed)	Page Number 5 of 10
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Assets and Income		Valuation of Assets at close of reporting period												Income: type and amount. If “None (or less than \$201)” is checked, no other entry is needed in Block C for that item.																			
														BLOCK C																			
BLOCK A		BLOCK B																															
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount										Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.)  Only if Honoraria	
																	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000			Over \$5,000,000
1	Texas ERS Retirement Account				X												X		X				X										
2	Bank of America Checking		X																	X													
3	GS Enhanced Dividend Global - (Roth IRA - HC)			X													X					X											
4	American Express Savings Account		X																X		X												
5	Goldman Sachs Group Inc																															Salary - HC	
6	HarperCollins - Value not readily ascertainable																	X									X					Royalties	
7	Loan to Cruz for Senate Campaign							X													X												
8	Bank of America Savings						X												X		X												
9	JP Morgan Cash Account		X																X		X												

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name Rafael E. (Ted) Cruz	<b>SCHEDULE A continued</b> (Use only if needed)	Page Number  6 of 10
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Assets and Income		Valuation of Assets at close of reporting period												Income: type and amount. If “None (or less than \$201)” is checked, no other entry is needed in Block C for that item.																			
														BLOCK C																			
BLOCK A		BLOCK B																															
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount											Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.)  Only if Honoraria
																	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000		
1	Morgan Lewis Bockius Tax-Saver Retirement Wilm Blair International Growth I			X														X				X											
2	Capital One 360 Savings Account		X																	X		X											
3	Morgan Lewis Bockius Tax-Saver Retirement GS Growth Opps			X														X				X											
4	Morgan Lewis Bockius Tax-Saver Retirement AMG Sys Mid Cap Value Ins			X														X				X											
5	Morgan Lewis Bockius Tax-Saver Retirement Vanguard Target Retire 2040 Tr II			X														X				X											
6	Morgan Lewis Bockius Tax-Saver Retirement Vanguard REIT Index Fund Inst			X														X				X											
7	Goldman Sachs 401(k) - HC Blackrock Lifepath Portfolio, Target 2035					X								X				X				X											
8																																	
9																																	

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name <b>Rafael E. (Ted) Cruz</b>	<b>SCHEDULE B</b>	Page Number <b>7 of 10</b>
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## Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None ☐

by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss.

property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

Identification of Assets		Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture
Example	Central Air lines Common	x			2/1/99			x									
1	N/A																
2																	
3																	
4																	
5																	

\*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

## Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$350 and (2) travel-related cash reimbursements received from one source totaling more than \$350. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by

the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$140 or less. See instructions for other exclusions.

None ☐

Source (Name and Address)		Brief Description	Value
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	\$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	\$385
1	N/A		
2			
3			
4			
5			

**Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate**

Reporting Individual's Name <b>Rafael E. (Ted) Cruz</b>		<b>SCHEDULE B continued</b> (Use only if needed)										Page Number 8 of 10					
<b>Part I: Transactions</b>																	
	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture
1	N/A																
2																	
3																	
4																	
5																	
6																	
7																	
8																	
9																	
10																	
11																	
12																	
13																	
14																	
15																	
16																	

\*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Reporting Individual's Name <b>Rafael E. (Ted) Cruz</b>	<b>SCHEDULE C</b>	Page Number <b>9 of 10</b>
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## Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude

a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

Creditors (Name and Address)		Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x)									
						\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	Over \$25,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x							
	John Jones, Washington, DC	Promissory note	1999	10%	on demand					x					
1	Goldman Sachs, New York, NY	Margin loan	2012	floating	on demand			X							
2	American Express, Dallas, TX	Line of credit	2014	15.24%			X								
3	Citi Cards, Des Moines, Iowa	Line of credit	2014	17.99%		X									
4															
5															

\*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

## Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves

of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☐

Status and Terms of any Agreement or Arrangement		Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	Morgan Lewis Bockius LLP Cash Balance Plan - continued participation in former employer Retirement Cash Balance Plan; No further contributions from employer	Morgan Lewis Bockius Cash Balance Plan, Houston, TX	05/08
2	Promissory note from Caribbean Equity Partners Investment Holdings LTD - promissory note of \$75,000 plus reasonable rate of interest	CEP Investments Holdings, Ltd, Kingston, Jamaica	11/02
3	HarperCollins book contract providing for royalty payments as follows (\$318,750 guaranteed, payable June 2016): Hardcover:15%; Trade-paperback: 7.5%; Mass market paperback: 8% to 150,000 copies; 10% thereafter; Large-print hardcover:10%;	HarperCollins, New York, NY	6/14
4	HarperCollins book contract (cont'd) - Large-print paperback: 7.5%; Digital Versions, 25% of amounts received	HarperCollins, New York, NY	6/14
5	HarperCollins book contract (cont'd) - (To fully comply with prior FEC opinions, Senator Cruz will reimburse expenses of political committee(s) for book promotions that result in additional royalty payments.)	HarperCollins, New York, NY	6/14
6	Literary agent agreement - 15% of all sums received and usual and customary terms	Javelin Group, LLC, Alexandria, VA	6/14

Reporting Individual's Name Rafael E. (Ted) Cruz	<b>SCHEDULE D</b>	Page Number 10 of 10
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## Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	The Free Enterprise Institute, Houston, TX	Non-profit education	Trustee	10/2011	present
2					
3					
4					
5					
6					

## Part II: Compensation in Excess of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other

non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

None ☐

	Source (Name and Address)	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	N/A	
2		
3		
4		
5		
6		