

Filed on behalf of Dr. Lakshmi Arunachalam

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UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

SAP AMERICA, INC.

Petitioner

v.

PI-NET INTERNATIONAL, INC.

Patent Owner

CASE IPR2013-00195

Patent 5,987,500

PATENT OWNER'S REQUEST FOR RELIEF FROM JUDGE'S

FINANCIAL CONFLICTS OF INTEREST

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I. INTRODUCTION

Dr. Lakshmi Arunachalam (“Patent Owner” or “PO”) hereby files a request for relief from Judge’s financial conflicts of interest, voiding Judge McNamara’s/PTAB’s final decision after PO’s Request for Re-Hearing and to start the IPR Process again with an impartial tribunal.

I. **JUDGE BRIAN J. McNAMARA’S MUTUAL FUND DISCLOSURE PRACTICES CIRCUMVENT FUNDAMENTAL ETHICAL PRINCIPLES OF IMPARTIALITY:**

Administrative Patent Judge Brian J. McNamara (“McNamara”) practices concealment of his financial holdings in litigants and is therefore engaged in insider trading and influence peddling, whether the nondisclosure is advertent or inadvertent.

A. **Judge Brian J. McNamara bias:** Judge McNamara presides over this IPR and 5 other IPR/CBM proceedings between PO and SAP, but has failed to disclose his financial holdings and relationships to **SAP**, JPMorgan and other Pi-Net/PO litigants and **holds stock in Microsoft** (Microsoft is involved in three CRU re-exams of PO’s 3 parent patents in the same priority chain as this patent in this proceeding), that bias his ability to preside over this case. These pecuniary interests render his decisions voidable.

McNamara and other USPTO (“Office”) Judges involved in this matter with similar conflicts must disqualify themselves, and this matter must be remanded to

an impartial tribunal pursuant to a great body of law and court precedent promising PO the constitutional right to a fair and impartial hearing. For example,

“A fair trial in a fair tribunal is a basic requirement of due process.”¹

“This applies to administrative agencies which adjudicate as well as to courts.”²

“[T]he administrative process was so defective and inadequate as to deprive the plaintiffs of due process of law.”³

McNamara has a substantial pecuniary interest in decisions favorable to SAP, JPMorgan and other litigants in Pi-Net/PO. His duty is to recuse himself not only for such holdings, but even if the questions surrounding his holdings and relationships create the appearance of impropriety according to his Code of Conduct. Canon 1:

“A JUDGE SHALL UPHOLD AND PROMOTE THE INDEPENDENCE, INTEGRITY, AND IMPARTIALITY OF THE JUDICIARY, AND SHALL AVOID IMPROPRIETY AND THE APPEARANCE OF IMPROPRIETY.”

The Supreme Court is crystal clear on this topic:

“[I]t is very clear that the slightest pecuniary interest of any officer, judicial or quasi-judicial, in the resolving of the subject matter which he was to decide, rendered the decision voidable. *Tumey v. Ohio*, 273 US 510 (Supreme Court 1927) at 524.

¹ *Withrow v. Larkin*, 421 US 35 (Supreme Court 1975) at 46 citing *In re Murchison*, 349 U. S. 133, 136 (1955)(internal quotes omitted).

² *Withrow* at 46-47 citing *Gibson v. Berryhill*, 47*47 411 U. S. 564, 579 (Supreme Court 1973).

³ *Gibson* at 570.

1. **McNamara's holdings do not qualify under the so-called safe harbor advisory.**

McNamara's conflicts of interests incorporate a bundle of disqualification provisions of 28 U.S. Code § 455 - Disqualification of justice, judge, or magistrate judge (disqualify if impartiality might be questioned where a personal bias, financial interest or fiduciary relationship, no matter how small, could or even might be affected by the outcome).

Remarkably, McNamara is not even arguing that he holds the financial interests, but rather, SAP argues that he is *permitted* to hold them under the so-called "safe harbor" mutual fund advisory opinion.⁴ We shall show that this interpretation is spurious and self-serving. The fact that "everybody does it" is not an excuse before the law. SAP's external counsel, Lori Gordon, Mike Lee and others working on the PTAB case, are not absolved of their duties under Rule 8.3 to report, in a self-policing profession.

Judges and other public employees appear to have carved out for themselves a unique notion of "financial interest" that is wholly inconsistent with a great body of ethics law requiring that officials flee even the appearance of impropriety. Judge McNamara not only does not fulfill this mandate, but he violates it flagrantly, as if he is above the law.

⁴ Guide to Judiciary Policy: Ethics & Judicial Conduct, Vol. 2B, Ch. 2, See esp. Section 106 U.S. Courts: United States Department of Justice
<http://www.uscourts.gov/uscourts/RulesAndPolicies/conduct/Vol02B-Ch02.pdf>

2. **The definition of “financial interest” is not normally vague and ambiguous.**

The statute at 28 U.S.C. § 455(d)(4) states: “‘financial interest’ means ownership of a legal or equitable interest, however, small, or a relationship as director, adviser, or other active participant in the affairs of a party.” This definition is consistent with general use.

However, the statute then carves out an exception—**just for judges and judicial employees**—that injects profound ambiguity into the law, stating at (d)(4)(i): “Ownership in a mutual or common investment fund that holds securities is not a ‘financial interest’ in such securities unless the judge participates in the management of the fund.”

The devil is in this detail. This definition is inconsistent with both financial law and the admonition in Canon 1 for judges to flee even the appearance of impropriety. It blew open a hole in judicial ethics big enough to drive a Mack truck through. That truck has become a convoy.

3. **Legislators never intended mutual fund disclosure to be excused *carte blanche*.**

Exemptions in a law are the devil’s playground. Logic says that legislators never intended the mutual fund exemption to apply beyond holdings that were “too remote or too inconsequential to affect the integrity of the employee's services”

(the *de minimus* consequence principle, i.e., too small to worry about) 18 U.S.C. 208.

Nonetheless, it appears that the Office of Government Ethics (“OGE”) and the Judicial Conference have acted in concert to open a floodgate of improper conduct among judges and federal employees. It appears that Congress assigned the fox to guard the hen house when giving sweeping authority to the OGE (“Exemptions . . . [u]nder 18 U.S.C. § 208(b)(2), however, **OGE has the authority to establish blanket exemptions** for financial interests considered too remote or too inconsequential to affect the integrity of the employee's services.”) *Id.* (emphasis added).

It also appears that outgoing Chief Counsel and Deputy Director F. Gary Davis supported these Mack truck exceptions in 2000 by doubling down on this ambiguity in one of his last opinions before retiring, discussed below.

While OGE may have the authority to issue “blanket exemptions” for mutual funds, that authority does not permit those exemptions to violate other laws.

By comparison to the too-small-to-worry-about principle (lawyers like the word *de minimus* because laypeople have no idea what they are talking about), a recent tally of the financial holdings of Chief Judge Leonard P. Stark of the District Court in Delaware reveals that he holds more than **5,516 financial interests** in the *Pi-Net* and *Leader v. Facebook* cases alone, not counting the stock of numerous

JPMorgan and Facebook direct business collaborators that could also be on that list. *See* Fig. 4, *Pi-Net International, Inc., v. JPMorgan Chase & Co*, Doc. No. 49-1, Case No. 2014-1495 (Fed. Cir. 2014). Judge Stark’s 5,516 financial holdings in litigants in two cases does not pass any reasonable smell test for impropriety.

4. **Blanket Exemptions: Public employees getting rich on taxpayer’s dime.**

Blanket exemptions from financial disclosure of the stock holdings inside mutual funds do not square with a great body of law on the fundamental principles governing the impartiality. This redefinition of “financial interest” is an evident excuse to enable public employees to get rich with insider tips while in government service. It does not square with standard practices anywhere else in public service.

5. **The meaning of “financial interest” is not ambiguous in accounting, tax and the Business Judgment Rule.**

a. **AICPA** (“American Institute of Certified Public Accountants”) states that a financial interest is essentially any direct or indirect holding where the holder has tax liability.⁵

b. **FINRA** (“Financial Industry Regulatory Authority”) states that a financial interest in a mutual fund is a proportional slice of the fund’s underlying investments.⁶ For example, a 1/10th percent holding in the fund gives a 1/10th

⁵ 17 101-5—Financial Relationships. AICPA, accessed Nov. 21, 2014, http://www.aicpa.org/Research/Standards/CodeofConduct/Pages/et_101.aspx#et_101.02

⁶ Mutual Funds. FINRA, accessed Nov. 21, 2014, <http://www.finra.org/Investors/SmartInvesting/ChoosingInvestments/MutualFunds>

percent interest in an underlying IBM share held by the fund. Irrespective of the name on the actual IBM share, the fund holder has the tax liability for 1/10th percent of the IBM share income.

c. **IRS** (“Internal Revenue Service”) defines a “financial interest” as “[a]n ownership or investment interest in any entity with which the Organization has transaction or arrangement, b. [a] compensation arrangement with the Organization or with any entity or individual with which the Organization has a transaction or arrangement, or c, [a] potential ownership or investment interest in, or compensation arrangement with, any entity or individual with which the Organization is negotiating a transaction or arrangement.”⁷

d. **The Business Judgment Rule** defines “financial interest” as when one member of a class of interests personally benefits to the exclusion of others in the same class: “A director is considered interested where he or she will receive a personal financial benefit from a transaction that is not equally shared by the stockholders.”⁸ In a legal context, when a judge benefits personally from decisions made in favor of one of the parties in the litigation then he is not a disinterested, honest broker.

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⁷ Financial Interest, IRS Form 1023, Art. II, Sec. 2a-2c, <http://www.irs.gov/instructions/i1023/ar03.html>

⁸ *Orman v. Cullman*, 794 A. 2d 5 (Del: Court of Chancery 2002) at 29; *See also Rales v. Blasband*, 634 A. 2d 927 (Del: Supreme Court 1993) at 935.

The IRS definition then defers to “the appropriate governing board” to determine whether a financial interest is a conflict of interest.⁹ Herein is the authority given to the OGE and Judicial Conference regarding mutual fund disclosure.

6. **The mutual fund exemption in 28 U.S. Code § 455 was meant for holdings that were “too remote or too inconsequential” to be of concern regarding impartiality.**

According to 18 U.S.C. § 208(a), the principle behind mutual fund reporting exemptions is to waive the need to report *small* financial interests that are “too remote or too inconsequential to affect the integrity of the services of the Government officer or employees to which such regulations applies.”¹⁰

The OGE and Judicial Conference have expanded their authority unconstitutionally—well beyond the bounds of 28 U.S. Code § 455 and 18 U.S.C. § 208 where *avoiding the appearance of impropriety is the unequivocal principle*. “Any justice, judge, or magistrate judge of the United States shall disqualify himself in any proceeding in which his impartiality might reasonably be questioned.” § 455(a). The test is reasonableness, not what the judge can get away with by citing this or that OGE or Judicial Conference opinion, memorandum or

⁹ Financial Interest, IRS, *Id.*

¹⁰ 18 U.S. Code § 208 - Acts affecting a personal financial interest, see (b)(2), <http://www.law.cornell.edu/uscode/text/18/208>

guide. Those guides should comply with the law. As we show below, they do not.

In fact, they have become profoundly ambiguous and self-serving.

7. **The overarching principle in the Code of Conduct is to “avoid impropriety and the appearance of impropriety.”**

In ethics recusal questions, if it walks like a duck, and talks like a duck, it is a duck for ethics purposes, even if it is not a duck in the final tally. No further proof is needed. In such circumstances, recusal is required of that judge. If the judge has no dog in the hunt, then why should he care in this age of bloated dockets? The fact that Judge McNamara is so belligerent in his denial of his conflicts tells the reasonable person that he does, as shown, have a dog in this hunt.

The OGE’s examiner’s guide rightly states “[t]he public financial disclosure system is designed to reveal actual and potential conflicts of interest.”¹¹

According to *U.S. v. Gorman*, guidelines, rules and opinions on the ethics take a back seat to the **overarching** ethical principle as to whether “there is a real possibility of gain or loss as a result of developments in or resolution of a matter.”¹² In the case of ambiguities, the response can never be to attempt to interpret contradictory issues further. The response must be to refer back to the

¹¹ Public Financial Disclosure: A Reviewer’s Reference, 2nd Ed., Rev. 11/04, Sec. 5.0, Resolving Conflicts of Interest, p. 5-1, , U.S. Office of Government Ethics <http://www.oge.gov/Financial-Disclosure/Docs/Financial-Disclosure-Guide/>

¹² *United States v. Gorman*, 807 F. 2d 1299 - Court of Appeals, 6th Circuit 1986 at 1303.

higher authority, even if the resulting analysis may find instructive clues in the conflicting opinions.

The OGE’s examiner’s guide emphasizes this when stating “[a]ssets and other interests may also be disqualifying under the Employee Standards of Ethical Conduct . . . The Standards of Conduct attribute to an employee certain interests which are beyond the scope of the ban in 18 U.S.C. §208, but may require recusal because of the appearance of losing impartiality.”¹³

The OGE guide alludes to the current confusion over whether or not to disclose the stock and bond holdings in a mutual fund when stating “One common point of confusion is distinguishing between an asset or other interest and a financial interest in a particular matter under 18 U.S.C. §208. **The guide rightly states that the principle is not the size of the holding, but rather whether there is a financial interest in the particular matter.**”¹⁴

Despite the clarity in the OGE examiner’s guide regarding the hierarchy of interpretation authorities, the OGE published a very confusing and ambiguous advisory opinion in the Fall of 2000.

This 2000 OGE opinion appears to have become the *raison d’être* fueling the flagrant non-reporting of fund holdings occurring today, including Judge

¹³ Public Financial Disclosure, p. 5-1.

¹⁴ Public Financial Disclosure, p. 5-1.

McNamara's lack of disclosure—even when those holdings involve financial interests in a particular matter coming before his tribunal.

8. **Tortured 13-page OGE advisory by F. Gary Davis, General Counsel**

In the Summer of 1996, F. Gary Davis was appointed Deputy Director of OGE and remained General Counsel (from 1987) as well.¹⁵ He retired from the OGE in the Fall of 2000. Just months before his retirement after 21 years with the agency,¹⁶ Mr. Davis published a tortured 13-page advisory memorandum on exemptions to mutual fund reporting titled “Diversified and Sector Mutual Funds.”¹⁷ A careful study of this document shows it to be out of synch with Mr. Davis' normally crisp writing style for such opinions. This one is rambling.

Davis: “Tentative,” “incomplete” and subject to fund managers

Mr. Davis' preamble takes great pains to qualify the memorandum as “tentative,” “incomplete” and subject to what fund managers and the economy *might* do in the future. In short, fund managers control Mr. Davis' definition of a

¹⁵ “Organization Changes Within OGE” by Office of Government Ethics, *Government Ethics Newsgram*, Vol. 13 No. 2, Summer 1996 (“Gary Davis became Deputy Director and will retain his position as General Counsel . . . Marilyn Glynn became Deputy General Counsel”), http://webapp1.dlib.indiana.edu/virtual_disk_library/index.cgi/4248912/FID265/PROGAIDS/newsgram/96SUNNEWS.PDF

¹⁶ “F. Gary Davis Retires,” *Government Ethics Newsgram*, Office of Government Ethics for the Executive Branch, Vol. 17 No. 3, Fall 2000, http://webapp1.dlib.indiana.edu/virtual_disk_library/index.cgi/4248912/FID265/PROGAIDS/NEWSGRAM/00FLNEWS.PDF

¹⁷ 00x8: Diversified and Sector Mutual Funds, U.S. Office of Government Ethics, from F. Gary Davis, Acting Director, to Designated Agency Ethics Officials, Aug. 25, 2000, <http://www.oge.gov/DisplayTemplates/ModelSub.aspx?id=2309>

disclose-able financial interest, not the OGE, according to this opinion. This Davis preamble is so waffling as to bring attention to his discomfort with the opinion.

After a distinguished 21-year career, one wonders why Mr. Davis turned over the rule making on mutual fund exemptions to fund managers, especially after he made so many ambiguous statements that only muddled the waters further, such as:

- “turns on whether the fund has an express policy”
- “differs somewhat from other rules”
- “any guidance herein should not be confused with guidance pertaining to those other standards”
- “necessarily involve the exercise of some judgment”
- “taking into account the stated policy of the fund”
- “any common features in which it specializes”
- “no universally accept criterion for what constitutes an ‘industry’ or ‘business’”
- “involve numerous judgments about what degree of similarity... would be sufficient”
- “one can distinguish among companies on so many different levels”
- “virtually infinite number of classes and subclasses”
- “need for some measure of common sense”
- “May need to consult investment services guides”

One can just imagine taking this set of excuses to the IRS at tax time to explain why one of your holdings is not a financial interest. The only people laughing are the insiders who have duped the American public with this mutual fund scam.

9. **OGE Fall 2000 Davis advisory on mutual fund disclosure exemptions created an ethics hole big enough to drive a Mack truck through—in confusion here is profit.**

This Davis “advisory” created ethical holes big enough to drive a Mack truck through. It became a license for insider trading for mutual fund managers. No doubt countless thousands of real American investors have been cheated by the ethical quagmire that this opinion created for the benefit of insider mutual funds and their crony public officials and lawyers.

This ambiguity empowered fund managers to stack the deck in favor of their stock companies. These companies have a constant need of favorable regulation from agencies, politicians and judges. This excuse to conceal deep-pocketed stocks inside mutual funds made it possible to offer those funds to public officials, in advance of the need for favorable treatment. Case in point, Judge McNamara claims he is exempt from having to report his many SAP, JPMorgan, Wells Fargo, Citigroup, Citizen’s, and other litigant relationships that, in his mind at least, were excused by the Davis advisory and the subsequent Judicial Conference advisory four months later.

Curiously, on Mar. 14, 2001, just four months after the tortured 13-page Davis OGE advisory on mutual fund disclosure, the Judicial Conference made **sweeping changes** to the Code of Conduct for Judicial Employees regarding financial interests. This unspoken mutual fund stock concealment agenda was

buried in sophistry.¹⁸ No unsuspecting person could possibly know that the intention of these changes ostensibly to “conform the ‘duty of inquiry’ provisions”¹⁹ were actually intended to *shield* public employees from their ethical duties to fully disclose their holdings inside mutual funds pursuant to 18 U.S.C. §208 and 28 U.S.C. § 455 when a matter coming before them involves those companies. In this case, what they don’t know hurts them every time.

10. **Monitoring and disclosure is not burdensome.** The argument has been floated that it is burdensome for a public employee to have to continually monitor his mutual fund investments for potential stocks in conflict. **Burdensome or not, it is the law:**

“(c) A judge should inform himself about his personal and fiduciary financial interests, and make a reasonable effort to inform himself about the personal financial interests of his spouse and minor children residing in his household.” 28 U.S.C. § 455(c).

Contrary to the popular knee-jerk notion that monitoring a mutual fund portfolio is hard, it is actually very simple. In fact, one can check any mutual fund’s portfolio of holdings in less than a minute. For example:

- (1) Go to
<http://www.sec.gov/edgar/searchedgar/companysearch.html>;
- (2) In “Fast Search” type the ticker symbol

¹⁸ Report of the Proceedings of the Judicial Conference of the United States, Mar. 14, 2001,
<http://www.uscourts.gov/FederalCourts/JudicialConference/Proceedings/Proceedings.aspx?doc=/uscourts/FederalCourts/judconf/proceedings/2001-03.pdf>

¹⁹ *Id.* p. 11.

- (for Fidelity Contrafund that is “FCNTX”), click Search;
- (3) Find the most recent quarterly report (Form N-Q), click the Documents button;
- (4) Click the Document name to the left of the N-Q Type (in this example “main.htm”);
- (5) Use your browser Find feature to locate the company keywords you seek.

This last Find step works for most funds except T. Rowe Price which obscures the numbers as *unsearchable* images, forcing the reader to scroll to each table.

Notably, T. Rowe Price also has massive holdings in JPMorgan, Citigroup, Wells Fargo, FedEx and other litigants in this matter.

11. **Judge Sue L. Robinson and Jan Horbaly participated in the sweeping policy changes that opened the mutual fund buying frenzy by public employees.**

Even more telling are the participants in that seminal Mar. 14, 2001 Judicial Conference. They included Judge Sue L. Robinson, District of Delaware,²⁰ and Jan Horbaly, Executive and Clerk of Court, Federal Circuit.²¹ These two individuals figure prominently in this litigation.

Judge Robinson took over the litigation in this patent matter from Judge Richard G. Andrews who had presided over the case for over two years, just a week before the Markman Hearing. Like Judge McNamara’s conflict holdings, Judges Stark’s and Andrew’s are equally legion. Since we have now shown that Judge Robinson helped facilitate the sweeping changes to the Judicial Conference

²⁰ *Id.* p. 1.

²¹ *Id.* p. 3.

advisory that created these conflicting holdings, Judge Robinson is tainted by direct relationship. In addition, JPMorgan is fixated on arguments against Dr. Arunachalam related to her amicus curiae Federal Circuit briefs filed in the *Leader v. Facebook* case. Clerk of Court Jan Horbaly participated in extensive censorship of the docket surrounding those filings, then subsequently resigned in a cloud of suspicion.

This proceeding is hopelessly tainted on multiple fronts.

Since the officials of both the Delaware and Federal Circuit courts are now implicated in the judge bias in these proceedings, this proceeding must be vacated.

12. **Mutual fund portfolios with magical powers like Fidelity Contrafund were created after fabricating the “safe harbor” Wild West anything goes excuse for public employee investing.**

This OGE and Judicial Conference mutual fund disclosure ambiguities have been exploited by fund managers to structure fund portfolios with disproportionately high percentages of stock companies that they are assured by this scheme will have higher than normal returns.

McNamara’s Fidelity Contrafund FCNTX is a prime example of the stacked deck. Most funds have multiple managers while Contrafund has only one, William Danoff. Danoff is a Harvard graduate who manages Fidelity Contrafund as vice president and portfolio manager. Contrafund is the second largest single-manager (Danoff) fund in the U.S with approximately \$110 billion in holdings and has

averaged 13.4% annual returns since 1990. Either the guy has an intellect for probabilities greater than Albert Einstein's, or he stacks his decks, there are only two options.

Danoff invested heavily with JPMorgan in Facebook's private shares prior to the Facebook IPO facilitated by unregulated JPMorgan "dark pools." Danoff's Contrafund is the largest mutual fund holder of Facebook stock, underwritten by JPMorgan, among others. Why didn't the SEC stop this wanton "dark pools" misconduct? Perhaps the SEC turned a blind eye because SEC Chairman Mary L. Schapiro, had herself already invested in 51 holdings in Facebook / JPMorgan-related funds who were all heavily invested in Facebook private shares.²² All these officials benefited handsomely from decisions favorable to Facebook and JPMorgan—the very definition of a conflict of interest.

Exploiting the ambiguity of the 2000 Davis advisory, fund managers targets selected cronies, agency heads (current and prospective), politicians, bureaucrats and judges whose favor would increase the stock value of their fund holdings. The crony public officials were then called upon as needed to clear the way for their favorite companies.

²² Mary L. Schapiro, Financial Disclosure, 2008, submitted Jan. 12, 2009, available at the Office of Government Ethics, <http://www.oge.gov/Open-Government/Access-Records/Current-Executive-Branch-Nominations-and-Appointments/>; Also online at http://www.fbcoverup.com/docs/executive/Schapiro_Mary_L_SEC_278-financial-disclosure-Jan-12-2009.pdf

If needed, the target was given a suitable legal opinion that he had no obligation to disclose any of the stock companies holdings, even if those companies came before him on a matter. This favorable treatment is very valuable to these companies. This is exactly the excuse that Judge McNamara voiced in his recent rulings in the IPRs, CBMs involving PO vs SAP.

When this pattern of corruption is repeated 10-60 times over several hundred judges, politicians and bureaucrats, the probability that one's funds will be disproportionately rewarded is virtually assured.

13. **When mutual funds focus on sectors, those sector investments must be disclosed when decisions in the matter affect those sector values**

The tortured Davis advisory language aside, even Davis could not escape supporting a principle he had espoused for 21 years—the principle that if a mutual fund specialized investments in a sector like financials, banking or technology, then the disclosure exemption does not apply since **“Employees whose duties affect companies in a discrete industry, business, etc., can have an appreciable conflict of interest if they invest heavily in mutual funds that specialize in that very sector.”**²³ (emphasis added).

²³ 00x8: Diversified and Sector Mutual Funds, U.S. Office of Government Ethics, from F. Gary Davis, Acting Director, to Designated Agency Ethics Officials, Sec. A, p. 3, ¶2, Aug. 25, 2000
<http://www.oge.gov/DisplayTemplates/ModelSub.aspx?id=2309>

McNamara's holdings are comprised mostly of mutual funds that focus on certain sectors that are **directly related to this matter**, and therefore are not exempt from disclosure, as he claims. *See* Brian J. McNamara, OGE Financial Disclosure, 2013.

Financial holdings of Brian J. McNamara in Pi-Net litigants:

<i>N o.</i>	<i>Mutual Fund held by Judge McNamara</i>	<i>Amount invested (up to \$)</i>	<i>Self- described Type</i>	<i>Sector</i>	<i>Pi-Net litigant in this Sector</i>
1	Fidelity Contrafund, FCNTX ²⁴	\$500,000	Sector	Financials	<ul style="list-style-type: none"> • Bank of America • JPMorgan Chase & Co. • Wells Fargo & Co. • Credit Suisse • Barclays • Citigroup
				Capital Markets	<ul style="list-style-type: none"> • Morgan Stanley
				Air Freight & Logistics	<ul style="list-style-type: none"> • Fedex
2	Vanguard Institutional Index Fund, VINIX ²⁵	\$250,000	Sector	Financials	<ul style="list-style-type: none"> • Bank of America • JPMorgan Chase & Co. • Wells Fargo & Co. • Credit Suisse • Barclays • Citigroup • Morgan Stanley
				Industrials	<ul style="list-style-type: none"> • Fedex

²⁴ Fidelity Contrafund / New Insights Fund, FCNTX. SEC FORM N-CSR, Jun. 30, 2014, <http://www.sec.gov/Archives/edgar/data/24238/000002423814000041/Main.htm#ifi1886732>

²⁵ Vanguard Institutional Index Fund, VINIX, SEC N-CSRS, Jun. 30, 2014, http://www.sec.gov/Archives/edgar/data/862084/000093247114006498/institutionalindex_final.htm

3	Morgan Stanley Liquid Asset Fund, DWLXX ²⁶	\$50,000	Ambiguous (uses both Sector and Diversified language)	Financials	<ul style="list-style-type: none"> • Bank of America • JPMorgan Chase & Co. • Wells Fargo & Co. • Morgan Stanley
4	Morgan Stanley Active Asset Money Trust, AAMXX ²⁷	\$250,000	Ambiguous (uses both Sector and Diversified language)	Financials	<ul style="list-style-type: none"> • Bank of America • JPMorgan Chase & Co. • Wells Fargo & Co. • Morgan Stanley
5	RPC (Retirement Portfolio Completion) Fund, GRPOX ²⁸	\$1,000,000	Sector	Financials	<ul style="list-style-type: none"> • Bank of America • Wells Fargo & Co. • Citigroup
6	Laudus Mindrian Int'l Fund, LIEFX ²⁹	\$100,000	Sector	Software & Services	• SAP AG
				Financials	• JPMorgan Chase Bank

²⁶ Morgan Stanley Liquid Asset Fund, DWLXX, SEC N-CSR, Aug. 31, 2014, <http://www.sec.gov/Archives/edgar/data/93285/000119312514398727/d792154dncsr.htm>

²⁷ Morgan Stanley Active Asset Money Trust, AAMXX, SEC N-CSR, Jun. 30, 2014, <http://www.sec.gov/Archives/edgar/data/93285/000119312514398727/d792154dncsr.htm>

²⁸ RPC (Retirement Portfolio Completion) Fund (Goldman Sachs), GRPOX, SEC N-CSRSQ, Apr. 30, 2014, <http://www.sec.gov/Archives/edgar/data/822977/000119312514255433/d704688dnscrs.htm>

²⁹ Laudus Mondrian Int'l Fund, LIEFX, SEC N-CSR, Mar. 31, 2014, <http://www.sec.gov/Archives/edgar/data/832545/000095012314006638/f30206nvsr.htm>

					Co. • Wells Fargo & Co. • Citigroup
11	LVIP Delaware Growth & Income Fund ³⁴	\$100,000	Sector	Banks	• JPMorgan Chase & Co. • Wells Fargo & Co. • Citigroup
				Air Freight & Logistics	• FedEx
12	LVIP Delaware Social Awareness ³⁵	\$100,000	Sector	Air Freight & Logistics	• Fedex
13	Valic Growth & Income Fund ³⁶	\$50,000	Sector	Banks-Super Regional	• Wells Fargo & Co.
				Diversified Banking Institutions	• Bank of America • Citigroup • Barclays • Credit Suisse • JPMorgan • Morgan Stanley • Deutsche Bank AG • UBS AG
				Enterprise Software/Ser	• SAP SE

³⁴ LVIP (Lincoln Variable Insurance Products) Delaware Growth and Income Fund, LVIP, SEC N-CSRS, Jun. 30, 2014,
<http://www.sec.gov/Archives/edgar/data/914036/000119312514323474/d752952dncsrs.htm>

³⁵ LVIP (Lincoln Variable Insurance Products) Delaware Social Awareness, SEC N-CSRS, Jun. 30, 2014,
<http://www.sec.gov/Archives/edgar/data/914036/000119312514323474/d752952dncsrs.htm>

³⁶ Valic Growth & Income Fund,
<http://www.sec.gov/Archives/edgar/data/719423/000119312514386725/d780146dnq.htm>

				vices	
				Banks- Commercial	• Credit Suisse

Table 1: Judge Brian J. McNamara’s mutual fund financial holdings involving litigants in PO vs. SAP, major banks.

McNamara holds approximately 129 financial interests in 10 defendant litigants in this case: **SAP AG (2)**, Bank of America Merrill Lynch, (27), Wells Fargo (21), Citigroup (19), JPMorgan Chase & Co. (17), Barclays (13), Credit Suisse (12), Morgan Stanley (10), FedEx (5), Fidelity (3), Deutsche Bank (1). McNamara holds stock in Microsoft, which is involved in 3 ex-parte and inter-partes re-exams of three parent patents in the same priority chain as the patent(s) involved in this IPR. McNamara is conflicted out from multiple angles.

14. **Former JPMorgan Vice President and Treasurer, STEPHANIE J. DORSEY, is Vice Treasurer of McNamara’s up to \$500,000 Fidelity Contrafund FCNTX holding.**

Even if an impartial tribunal were to determine that McNamara’s financial holdings are “too remote and to inconsequential to affect the integrity of the services” (18 U.S.C. § 208)(which common sense says is unimaginable), then the “appearance of impropriety” is still not avoided because the treasurer of his Fidelity Contrafund FCNTX investment, Stephanie J. Dorsey, Vice Treasurer, was formerly treasurer and vice president of numerous JPMorgan funds. Clearly, any McNamara decision favorable to the litigants will benefit Dorsey and JPMorgan.

Stephanie J. Dorsey (1969)
Year of Election or Appointment: 2010
Assistant Treasurer
Ms. Dorsey also serves as an officer of other funds. She is an employee of Fidelity Investments (2008-present) and has served in other fund officer roles. Prior to joining Fidelity Investments, Ms. Dorsey served as Treasurer (2004-2008) of the JPMorgan Mutual Funds and Vice President (2004-2008) of JPMorgan Chase Bank.

Figure 1: Stephanie J. Dorsey is a Fidelity Contrafund FCNTX Vice Treasurer. She is also Treasurer and President of many Fidelity Funds. Until 2008 she was President and Treasurer of numerous JPMorgan Funds. See Trustees and Officers, Advisory Board Member and Officers, Fidelity Contrafund, SEC FORM N-CSR, Dec. 31, 2013, <http://www.sec.gov/Archives/edgar/data/24238/000087846714000111/contrafund.htm>

B. REQUEST FOR RELIEF

For at least the preceding reasons, this tribunal has a sworn duty to recuse itself and turn this matter over to an impartial tribunal. PO hereby requests relief from Judge's/PTAB's financial conflicts of interest, voiding Judge

McNamara's/PTAB's final decision after PO's Request for Re-Hearing and to re-start the IPR Process again with an impartial tribunal.

Respectfully submitted,

Date: November 24, 2014

DR. LAKSHMI ARUNACHALAM

Lakshmi Arunachalam

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650.690.0995
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/Lakshmi Arunachalam/
Dr. Lakshmi Arunachalam
Pro Se Patent Owner

Brian J. McNamara, OGE Financial Disclosure, 2013

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Date of Appointment, Candidacy, Election, or Nomination (Month, Day, Year)		Reporting Status (Check Appropriate Boxes)	Incumbent <input checked="" type="checkbox"/>	Calendar Year Covered by Report 2013	New Entrant, Nominee, or Candidate <input type="checkbox"/>	Termination Filer <input type="checkbox"/>	Termination Date (If Applicable) (Month, Day, Year)	Fee for Late Filing Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a \$200 fee.
Reporting Individual's Name		Last Name McNAMARA			First Name and Middle Initial BRIAN			
Position for Which Filing		Title of Position Administrative Patent Judge			Department or Agency (If Applicable) USPTO			
Location of Present Office (or forwarding address)		Address (Number, Street, City, State, and ZIP Code) 600 Dulany St. MDE 9465 Alexandria VA 22314			Telephone No. (Include Area Code) 517-272-8334			
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)		Title of Position(s) and Date(s) Held						
Presidential Nominees Subject to Senate Confirmation		Name of Congressional Committee Considering Nomination			Do You Intend to Create a Qualified Diversified Trust? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Certification		Signature of Reporting Individual Brend J. McNamara			Date (Month, Day, Year) 5/5/2014			
Other Review (If desired by agency)		Signature of Other Reviewer			Date (Month, Day, Year)			
Agency Ethics Official's Opinion		Signature of Designated Agency Ethics Official/Reviewing Official Stephanie J. Spier			Date (Month, Day, Year) 5/22/14			
Office of Government Ethics Use Only		Signature			Date (Month, Day, Year)			
Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)								
(Check box if filing extension granted & indicate number of days _____) <input type="checkbox"/>								
(Check box if comments are continued on the reverse side) <input type="checkbox"/>								
Agency Use Only MAY - 8 2014 OGE Use Only								

Reporting Periods
Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B--Not applicable.

Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.

Schedule D--The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

Reporting Individual's Name		SCHEDULE A										Page Number																						
McNAMARA, BRIAN J.												1																						
Assets and Income		Valuation of Assets at close of reporting period								Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																								
BLOCK A		BLOCK B								BLOCK C																								
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 in income during the reporting period, together with such income. For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than \$1,000 (except report the actual amount of any honoraria over \$200 of your spouse).										Type	Amount							Date (Mo., Day, Yr.) Only if Honoraria																
None <input type="checkbox"/>		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)		
Examples	Central Airlines Common			x													x						x											
	Doe Jones & Smith, Hometown, State			x																													Law Partnership Income \$130,000	
	Kempstone Equity Fund				x									x									x											
	IRA: Heartland 500 Index Fund						x							x										x										
1	BAX Baxter Int'l (stock)	X															X				X													
2	CSCO Cisco (stock)	X															X				X													
3	EMR Emerson Electric Co. (stock)	X															X				X													
4	XOM Exxon Mobil Corp (stock)	X															X				X													
5	GE Electric Company (stock)	X															X				X													
6	Halliburton Company (stock)	X															X				X													

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name

McNAMARA, BRIAN J.

[illegible]

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

SCHEDULE A continued

(Use only if needed)

Page Number

3

Reporting Individual's Name

McNamara, Brian J.

Assets and Income		Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																					
BLOCK A		BLOCK B										BLOCK C																					
												Type		Amount												Date (Mo., Day Yr.) Only if Honoraria							
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	
1	Manufacturers & Traders Trust Co. Arnold MD - Checking (Cash)				X														X														
2	Manufacturers & Traders Trust Co. Arnold MD (Cash/Savings)	X																	X		X												
3	Severn Savings Bank, Annapolis MD (Cash)				X														X		X												
4	Manufacturers & Traders Trust Co. Arnold MD - Money Mkt Advntg	X																	X		X												
5	Morgan Stanley Active Asset Money Trust, Bethesda MD				X												X	X		X													
6	Morgan Stanley Liquid Asset Fund, Bethesda MD		X														X				X												
7	FCM TX Fidelity Contra Fund (Mutual Fund)					X								X							X												
8	Viaix Vanguard Institutional Index (Mutual Fund)				X									X							X												
9	Manufactures & Traders Trust CO Arnold MD Money Mkt		X																X		X												

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

SCHEDULE A continued

(Use only if needed)

Reporting Individual's Name

McNamara, Brian J.

Page Number

4

Assets and Income		Valuation of Assets at close of reporting period												Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																					
BLOCK A		BLOCK B												BLOCK C																					
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount										Date (Mo., Day, Yr.) Only if Honoraria				
																	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000		Over \$5,000,000	Other Income (Specify Type & Actual Amount)		
1	Vipix Vanguard Inflation Prot. Sec. Fd Inst. Shs (Mutual Fund)			X										X							X														
2	AMOX Morgan Stanley Focus Growth Fnd Class A (Mutual Fund)	X												X							X														
3	N/A Invesco UK American Franchise A (Mutual Fund)	X												X							X														
4	N/A PVT Money Market Trust (Mutual Fund)		X											X							X														
5	N/A PVT Growth & Income Fnd (Mutual Fund)				X									X							X														
6	N/A PVT Voyager Fund (Mutual Fund)			X										X							X														
7	N/A LVIP Delaware Growth Fund (Mutual Fund)			X										X							X														
8	N/A LVIP Social Awareness (Mutual Fund)			X										X							X														
9	N/A LVIP Special Opportunities (Mutual Fund)			X										X							X														

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

SCHEDULE A continued
(Use only if needed)

Reporting Individual's Name

McNAMARA, BRIAN J.

Page Number

5

Assets and Income		Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.												
BLOCK A		BLOCK B										BLOCK C												

Reporting Individual's Name McNAMARA, BRIAN J.	SCHEDULE C	Page Number 7
--	-------------------	-------------------------

Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude

a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

Creditors (Name and Address)		Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x)										
						\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, Washington, DC	Promissory note	1999	10%	on demand					x						
1	Manufacturer's & Traders Trust Co.	Mortgage on Rental Property, Maryland DC	2013	4.375	30 yrs				X							
2																
3																
4																
5																

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves

of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☐

Status and Terms of any Agreement or Arrangement		Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	Continued Participation in Retirement	Foley & Laidner LLP Milwaukee Wis	2/2009
2			
3			
4			
5			
6			

Stephanie J. Dorsey, SEC Signatory Search Results,

***SEC Info*, accessed Nov. 23, 2014**

(Conflicts as a Signatory Officer)

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“Stephanie J. Dorsey”

a.k.a. “Stephanie J/ Dorsey”

Latest Filing: 11/20/14 as Signatory

As: Registrant

• [Dorsey Stephanie J](#)

As: Signatory *(Director, Officer, Attorney, Accountant, Banker, Agent, etc.)*

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[Search Recent Filings \(as Signatory\) for “Stephanie J. Dorsey”](#)

“Stephanie J. Dorsey” has been a Signatory for/with the following First 20 of 57 Registrants:

- [Amg Funds III](#) [formerly Managers Funds]
- [Fidelity Aberdeen Street Trust](#) [formerly Fidelity Institutional Investors Trust]
- [Fidelity Advisor Series II](#) [formerly Fidelity Diversified Trust]
- [Fidelity Advisor Series IV](#) [formerly Fidelity Income Trust]
- [Fidelity Boylston Street Trust](#) [formerly Fidelity Institutional Tax Exempt Cash Portfolios]
- [Fidelity California Municipal Trust](#)
- [Fidelity California Municipal Trust II](#)
- [Fidelity Central Investment Portfolios II LLC](#)
- [Fidelity Charles Street Trust](#)
- [Fidelity Colchester Street Trust](#) [formerly Colchester Street Trust]
- [Fidelity Commonwealth Trust II](#)
- [Fidelity Court Street Trust](#)
- [Fidelity Court Street Trust II](#)
- [Fidelity Garrison Street Trust](#) [formerly Fidelity Investment Series]
- [Fidelity Hereford Street Trust](#)
- [Fidelity Income Fund/MA](#)
- [Fidelity Massachusetts Municipal Trust](#)
- [Fidelity Merrimack Street Trust](#)
- [Fidelity Money Market Trust](#)
- [Fidelity Municipal Trust](#)

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“Stephanie J. Dorsey” has/had a Signatory interest in the following 18 Registrants:

- [Growth & Income Portfolio](#)
- [JP Morgan Fleming Mutual Fund Group Inc](#) [formerly Fleming Mutual Fund Group Inc]

- [JP Morgan Fleming Series Trust](#)
- [JP Morgan Funds](#) [formerly JPM Pierpont Funds]
- [JP Morgan Institutional Funds](#) [formerly JPM Institutional Funds]
- [JP Morgan Mutual Fund Group/MA](#) [formerly Mutual Fund Group/MA]
- [JP Morgan Mutual Fund Investment Trust](#) [formerly Mutual Fund Investment Trust]
- [JP Morgan Mutual Fund Select Group](#) [formerly Mutual Fund Select Group]
- [JP Morgan Mutual Fund Select Trust](#) [formerly Mutual Fund Select Trust]
- [JP Morgan Mutual Fund Trust](#) [formerly Mutual Fund Trust]
- [JP Morgan Series Trust](#) [formerly JPM Series Trust]
- [JP Morgan Series Trust II](#) [formerly JPM Series Trust II]
- [JPMorgan Institutional Trust](#)
- [JPMorgan Insurance Trust](#) [formerly JPMorgan Investment Trust]
- [JPMorgan Trust I](#) [formerly JP Morgan Mutual Fund Series]
- [JPMorgan Trust II](#) [formerly One Group Mutual Funds]
- [Pacholder High Yield Fund Inc](#) [formerly Pacholder Fund Inc]
- [Undiscovered Managers Funds](#)



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<u>As Of</u>	<u>Filer</u>	<u>Filing</u> ⁴	<u>For On As</u>	<u>Docs:Size</u>	<u>Issuer</u>	<u>AGENT</u> ⁵
11/20/14	Fidelity Colchester Street Trust	N-CSRS	9/30/14	3:2.9M		Fidelity Salem Street Tr
11/20/14	Fidelity Salem Street Trust	N-CSRS	9/30/14	3:1.5M		
11/18/14	Fidelity Advisor Series II	485BPOS	11/18/14	29:8.1M		
11/18/14	Fidelity Advisor Series II					
11/18/14	Fidelity Income Fund/MA	485BPOS	11/18/14	27:7.0M		Fidelity Covington Trust
11/18/14	Fidelity Income Fund/MA					
11/18/14	Fidelity Money Market Trust	485BPOS	11/18/14	17:1.8M		Fidelity Inv Trust
11/18/14	Fidelity Money Market Trust					
11/18/14	Fidelity Salem Street Trust	485BPOS	11/18/14	45:14M		Empire Fidelity Invs...A
11/18/14	Fidelity Salem Street Trust					
11/18/14	Fidelity Union Street Trust	485BPOS	11/18/14	17:2.0M		Fidelity Adv Series I
11/18/14	Fidelity Union Street Trust					
11/18/14	Fidelity Union Street Trust II	485BPOS	11/18/14	19:2.7M		Fidelity Covington Trust
11/18/14	Fidelity Union Street Trust II					
10/30/14	Fidelity Advisor Series IV	N-Q	8/31/14	2:170K		Fidelity Adv Series I
10/30/14	Fidelity Court Street Trust	N-Q	8/31/14	2:238K		Empire Fidelity Invs...A
10/30/14	Fidelity Court Street Trust II	N-Q	8/31/14	2:275K		Fidelity Central In..LLC
10/30/14	Fidelity Phillips Street Trust	N-Q	8/31/14	2:146K		Fidelity Central In..LLC
10/30/14	Fidelity Revere Street Trust	N-Q	8/31/14	2:436K		Empire Fidelity Invs...A
10/30/14	Fidelity Salem Street Trust	N-Q	8/31/14	2:99K		Fidelity Aberdeen St..Tr
10/30/14	Fidelity Salem Street Trust	N-Q	8/31/14	2:481K		Fidelity MT Vernon S..Tr
10/29/14	Fidelity Advisor Series II	485BPOS	10/30/14	4:2.7M		
10/29/14	Fidelity Advisor Series II					
10/29/14	Fidelity Income Fund/MA	485BPOS	10/30/14	3:2.7M		Fidelity Destiny Ports
10/29/14	Fidelity Income Fund/MA					
10/29/14	Fidelity Money Market Trust	485BPOS	10/30/14	3:495K		Fidelity Income Fund/MA
10/29/14	Fidelity Money Market Trust					
10/29/14	Fidelity Salem Street Trust	485BPOS	10/30/14	4:5.9M		Empire Fidelity Invs...A
10/29/14	Fidelity Salem Street Trust					
10/29/14	Fidelity Union Street Trust	485BPOS	10/30/14	3:1.0M		Fidelity Income Fund/MA
10/29/14	Fidelity Union Street Trust					
10/29/14	Fidelity Union Street Trust II	485BPOS	10/30/14	4:1.2M		Empire Fidelity Invs...A
10/29/14	Fidelity Union Street Trust II					
10/28/14	Fidelity Aberdeen Street Trust	N-CSRS	8/31/14	3:155K		Fidelity Financial Trust
10/28/14	Fidelity Advisor Series II	N-CSR	8/31/14	4:7.2M		Fidelity Money Market Tr
10/28/14	Fidelity Central Inv Ports II LLC	N-CSR	8/31/14	4:628K		Fidelity Money Market Tr
10/28/14	Fidelity Income Fund/MA	N-CSR	8/31/14	4:8.1M		Fidelity Adv Series I
10/28/14	Fidelity Money Market Trust	N-CSR	8/31/14	4:469K		
10/28/14	Fidelity Salem Street Trust	N-CSR	8/31/14	4:13M		Empire Fidelity Invs...A
10/28/14	Fidelity Union Street Trust	N-CSR	8/31/14	4:1.0M		Fidelity Money Market Tr
10/28/14	Fidelity Union Street Trust II	N-CSR	8/31/14	4:1.8M		Fidelity Money Market Tr
10/27/14	Fidelity Commonwealth Trust II	N-CSRS	8/31/14	3:1.2M		Fidelity Income Fund/MA
10/24/14	Fidelity Cal Municipal Trust	N-CSRS	8/31/14	3:2.0M		Fidelity Salem Street Tr
10/24/14	Fidelity Cal Municipal Trust II	N-CSRS	8/31/14	3:621K		Fidelity Summer Stre..Tr
10/24/14	Fidelity Salem Street Trust	N-CSRS	8/31/14	3:728K		Fidelity Summer Stre..Tr
10/20/14	Fidelity Income Fund/MA	485AFOS		2:1.0M		
10/15/14	Fidelity Income Fund/MA					
10/15/14	Fidelity Income Fund/MA	485BPOS	10/15/14	99:40M		Fidelity Inv Trust
10/15/14	Fidelity Merrimack Street Trust	485BPOS	10/15/14	19:2.0M		Empire Fidelity Invs...A
10/15/14	Fidelity Merrimack Street Trust					
10/15/14	Fidelity Oxford Street Trust	485BPOS	10/15/14	17:2.0M		Fidelity Salem Street Tr
10/15/14	Fidelity Oxford Street Trust					
10/15/14	Fidelity Salem Street Trust	485BPOS	10/15/14	17:1.8M		
10/15/14	Fidelity Salem Street Trust					
9/29/14	Fidelity Advisor Series II	N-Q	7/31/14	2:386K		Fidelity Adv Series VII
9/29/14	Fidelity Charles Street Trust	N-Q	7/31/14	2:609K		Fidelity Securities Fund
9/29/14	Fidelity Hereford Street Trust	N-Q	7/31/14	2:161K		Fidelity Adv Series I
9/29/14	Fidelity Income Fund/MA	485BPOS	9/29/14	8:9.2M		Empire Fidelity Invs...A
9/29/14	Fidelity Income Fund/MA					
9/29/14	Fidelity Newbury Street Trust	N-Q	7/31/14	2:1.0M		Fidelity Adv Series VII
9/29/14	Fidelity Oxford Street Trust	485BPOS	9/29/14	8:938K		Fidelity Select Ports
9/29/14	Fidelity Oxford Street Trust					
9/29/14	Fidelity Salem Street Trust	485BPOS	9/29/14	3:1.0M		
9/29/14	Fidelity Salem Street Trust					
9/29/14	Fidelity Salem Street Trust	N-Q	7/31/14	2:1.8M		Fidelity Select Ports
9/29/14	Fidelity Salem Street Trust	N-Q	7/31/14	2:1.1M		Fidelity Select Ports
9/25/14	Fidelity Income Fund/MA	N-CSR	7/31/14	4:19M		Empire Fidelity Invs...A
9/25/14	Fidelity Income Fund/MA	N-CSR	7/31/14	4:535K		Empire Fidelity Invs...A
9/25/14	Fidelity Merrimack Street Trust	8-A12B		1:6K		
9/25/14	Fidelity Merrimack Street Trust	N-1A/A	9/24/14	22:1.8M		Empire Fidelity Invs...A
9/25/14	Fidelity Merrimack Street Trust					
9/25/14	Fidelity Oxford Street Trust	N-CSR	7/31/14	4:526K		Fidelity Adv Series VII
9/25/14	Fidelity Oxford Street Trust II	N-CSR	7/31/14	4:498K		Fidelity Adv Series VII

9/25/14	Fidelity Salem Street Trust	N-CSR	7/31/14	4:889K	Fidelity Adv Series VII
9/22/14	Fidelity Salem Street Trust	N-CSRS	7/31/14	3:1.0M	Fidelity Rutland Squ..II
9/19/14	Fidelity Mass Municipal Trust	N-CSRS	7/31/14	3:896K	
9/19/14	Fidelity New York Municipal Trust	N-CSRS	7/31/14	3:1.2M	
9/19/14	Fidelity New York Muni Trust II	N-CSRS	7/31/14	3:622K	Fidelity Aberdeen St..Tr
9/17/14	Fidelity Salem Street Trust	485BPOS	9/17/14	43:11M	
	Fidelity Salem Street Trust				
9/17/14	North Carolina Capital Mgmt Trust	485BPOS	9/17/14	17:1.8M	Fidelity Money Market Tr
	North Carolina Capital Management Trust				
9/10/14	Fidelity Merrimack Street Trust	N-1A/A		1:1.5M	
	Fidelity Merrimack Street Trust				
8/29/14	Fidelity Aberdeen Street Trust	N-Q	6/30/14	2:1.5M	
8/29/14	Fidelity Boylston Street Trust	N-Q	6/30/14	2:589K	
8/29/14	Fidelity Central Inv Ports II LLC	N-Q	6/30/14	2:402K	Empire Fidelity Invs...A
8/29/14	Fidelity Charles Street Trust	N-Q	6/30/14	2:665K	Empire Fidelity Invs...A
8/29/14	Fidelity Colchester Street Trust	N-Q	6/30/14	2:477K	Empire Fidelity Invs...A
8/29/14	Fidelity Garrison Street Trust	N-Q	6/30/14	2:111K	Fidelity Aberdeen St..Tr
8/29/14	Fidelity Salem Street Trust	N-Q	6/30/14	2:514K	Empire Fidelity Invs...A
8/29/14	Fidelity Salem Street Trust	N-Q	6/30/14	2:80K	Empire Fidelity Invs...A
8/28/14	Fidelity Salem Street Trust	485BPOS	8/29/14	4:1.9M	
	Fidelity Salem Street Trust				
8/28/14	North Carolina Capital Mgmt Trust	485BPOS	8/29/14	5:1.0M	Fidelity Central In..LLC
	North Carolina Capital Management Trust				
8/27/14	Fidelity Salem Street Trust	N-CSR	6/30/14	4:5.6M	Fidelity Aberdeen St..Tr
8/27/14	North Carolina Capital Mgmt Trust	N-CSR	6/30/14	4:660K	
8/26/14	Fidelity Advisor Series II	N-CSRS	6/30/14	3:2.0M	Empire Fidelity Invs...A
8/26/14	Fidelity Salem Street Trust	N-CSRS	6/30/14	3:506K	Empire Fidelity Invs...A
8/26/14	Fidelity School Street Trust	N-CSRS	6/30/14	3:6.9M	
8/25/14	Variable Ins Products Fund V	N-CSRS	6/30/14	3:7.9M	Fidelity Salem Street Tr
8/22/14	Fidelity Garrison Street Trust	N-CSRS	6/30/14	3:657K	Fidelity Adv Series VIII
8/22/14	Fidelity Municipal Trust	N-CSRS	6/30/14	3:5.0M	Fidelity Contrafund
8/22/14	Fidelity Municipal Trust II	N-CSRS	6/30/14	3:1.2M	Fidelity Contrafund
8/22/14	Variable Ins Products Fund V	N-CSRS	6/30/14	3:314K	Fidelity Contrafund
8/20/14	Fidelity Aberdeen Street Trust	N-PX	6/30/14	1:52K	
8/20/14	Fidelity Advisor Series II	N-PX	6/30/14	1:36K	Fidelity Salem Street Tr
8/20/14	Fidelity Advisor Series IV	N-PX	6/30/14	1:7K	Fidelity Puritan Trust
8/20/14	Fidelity Boylston Street Trust	N-PX	6/30/14	1:19K	Empire Fidelity Invs...A
8/20/14	Fidelity Cal Municipal Trust	N-PX	6/30/14	1:9K	Fidelity Aberdeen St..Tr
8/20/14	Fidelity Cal Municipal Trust II	N-PX	6/30/14	1:8K	Fidelity Puritan Trust
8/20/14	Fidelity Central Inv Ports II LLC	N-PX	6/30/14	1:9K	Fidelity Adv Series I
8/20/14	Fidelity Charles Street Trust	N-PX	6/30/14	1:1.5M	Fidelity Adv Series I
8/20/14	Fidelity Colchester Street Trust	N-PX	6/30/14	1:14K	Fidelity Aberdeen St..Tr
8/20/14	Fidelity Court Street Trust	N-PX	6/30/14	1:8K	Fidelity Puritan Trust
8/20/14	Fidelity Court Street Trust II	N-PX	6/30/14	1:9K	Fidelity Adv Series I
8/20/14	Fidelity Garrison Street Trust	N-PX	6/30/14	1:8K	Fidelity Puritan Trust
8/20/14	Fidelity Hereford Street Trust	N-PX	6/30/14	1:9K	Var Ins Products Fund V
8/20/14	Fidelity Income Fund/MA	N-PX	6/30/14	1:35K	Fidelity Puritan Trust
8/20/14	Fidelity Mass Municipal Trust	N-PX	6/30/14	1:9K	Empire Fidelity Invs...A
8/20/14	Fidelity Money Market Trust	N-PX	6/30/14	1:7K	Fidelity Puritan Trust
8/20/14	Fidelity Municipal Trust	N-PX	6/30/14	1:13K	Fidelity Aberdeen St..Tr
8/20/14	Fidelity Municipal Trust II	N-PX	6/30/14	1:8K	Fidelity Aberdeen St..Tr
8/20/14	Fidelity Newbury Street Trust	N-PX	6/30/14	1:10K	Fidelity Puritan Trust
8/20/14	Fidelity New York Municipal Trust	N-PX	6/30/14	1:8K	Fidelity Salem Street Tr
8/20/14	Fidelity New York Muni Trust II	N-PX	6/30/14	1:8K	Fidelity Aberdeen St..Tr
8/20/14	Fidelity Oxford Street Trust	N-PX	6/30/14	1:8K	Empire Fidelity Invs...A
8/20/14	Fidelity Oxford Street Trust II	N-PX	6/30/14	1:8K	Fidelity Puritan Trust
8/20/14	Fidelity Phillips Street Trust	N-PX	6/30/14	1:7K	Fidelity Aberdeen St..Tr
8/20/14	Fidelity Revere Street Trust	N-PX	6/30/14	1:9K	Empire Fidelity Invs...A
8/20/14	Fidelity Salem Street Trust	N-PX	6/30/14	1:32M	Var Ins Products Fund V
8/20/14	Fidelity School Street Trust	N-PX	6/30/14	1:34K	Fidelity Adv Series I
8/20/14	Fidelity Union Street Trust	N-PX	6/30/14	1:7K	Fidelity Puritan Trust
8/20/14	Fidelity Union Street Trust II	N-PX	6/30/14	1:8K	Var Ins Products Fund V
8/20/14	North Carolina Capital Mgmt Trust	N-PX	6/30/14	1:6K	Empire Fidelity Invs...A
8/20/14	Variable Ins Products Fund V	N-PX	6/30/14	1:1.0M	
8/15/14	Fidelity Aberdeen Street Trust	485BPOS	8/15/14	23:3.3M	
	Fidelity Aberdeen Street Trust				
8/15/14	Fidelity Boylston Street Trust	485BPOS	8/15/14	17:1.5M	Fidelity Aberdeen St..Tr
	Fidelity Boylston Street Trust				
8/15/14	Fidelity Salem Street Trust	485BPOS	8/15/14	19:3.2M	Fidelity Aberdeen St..Tr
	Fidelity Salem Street Trust				
7/30/14	Fidelity Aberdeen Street Trust	N-Q	5/31/14	2:29K	Empire Fidelity Invs...A
7/30/14	Fidelity Advisor Series II	N-Q	5/31/14	2:1.7M	Fidelity Boylston St..Tr
7/30/14	Fidelity Cal Municipal Trust	N-Q	5/31/14	2:883K	Empire Fidelity Invs...A
7/30/14	Fidelity Cal Municipal Trust II	N-Q	5/31/14	2:338K	Var Ins Products Fund V
7/30/14	Fidelity Central Inv Ports II LLC	N-Q	5/31/14	2:214K	Fidelity Boylston St..Tr
7/30/14	Fidelity Income Fund/MA	N-Q	5/31/14	2:2.8M	Fidelity Boylston St..Tr
7/30/14	Fidelity Money Market Trust	N-Q	5/31/14	2:140K	Fidelity Boylston St..Tr
7/30/14	Fidelity Salem Street Trust	N-Q	5/31/14	2:3.6M	Var Ins Products Fund V
7/30/14	Fidelity Salem Street Trust	N-Q	5/31/14	2:260K	Fidelity Adv Series I
7/30/14	Fidelity Union Street Trust	N-Q	5/31/14	2:161K	Var Ins Products Fund V
7/30/14	Fidelity Union Street Trust II	N-Q	5/31/14	2:1.0M	Var Ins Products Fund V
7/29/14	Fidelity Aberdeen Street Trust	485BPOS	7/30/14	23:3.3M	Empire Fidelity Invs...A
	Fidelity Aberdeen Street Trust				
7/29/14	Fidelity Boylston Street Trust	485BPOS	7/30/14	9:1.5M	
	Fidelity Boylston Street Trust				
7/29/14	Fidelity Court Street Trust	NSAR-A	5/31/14	4:103K	
7/29/14	Fidelity Court Street Trust II	NSAR-A	5/31/14	5:119K	
7/29/14	Fidelity Phillips Street Trust	NSAR-A	5/31/14	5:154K	
7/29/14	Fidelity Salem Street Trust	485BPOS	7/30/14	4:1.5M	
	Fidelity Salem Street Trust				
7/29/14	Fidelity Salem Street Trust	N-CSRS	5/31/14	3:1.4M	Var Ins Products Fund V
7/29/14	Fidelity Salem Street Trust	NSAR-A	5/31/14	3:74K	
7/28/14	Fidelity Revere Street Trust	N-CSR	5/31/14	4:996K	Fidelity Aberdeen St..Tr
7/28/14	Fidelity Salem Street Trust	N-CSR	5/31/14	4:1.7M	
7/24/14	Fidelity Advisor Series IV	N-CSRS	5/31/14	3:304K	Fidelity Adv Series I

7/24/14	Fidelity Court Street Trust	N-CSRS	5/31/14	3:835K	Fidelity Aberdeen St..Tr
7/24/14	Fidelity Court Street Trust II	N-CSRS	5/31/14	3:1.0M	Fidelity Aberdeen St..Tr
7/24/14	Fidelity Phillips Street Trust	N-CSRS	5/31/14	3:375K	Fidelity Aberdeen St..Tr
7/16/14	Fidelity Hereford Street Trust	485BPOS	7/16/14	21:3.2M	Empire Fidelity Invs...A
	Fidelity Hereford Street Trust				
7/16/14	Fidelity Salem Street Trust	485BPOS	7/16/14	21:3.6M	Empire Fidelity Invs...A
	Fidelity Salem Street Trust				
6/27/14	Fidelity Advisor Series II	NSAR-A	4/30/14	3:72K	
6/27/14	Fidelity Charles Street Trust	NSAR-A	4/30/14	3:78K	Fidelity Commonwealth Tr
6/27/14	Fidelity Hereford Street Trust	485BPOS	6/28/14	4:841K	
	Fidelity Hereford Street Trust				
6/27/14	Fidelity Hereford Street Trust	NSAR-B	4/30/14	7:134K	
6/27/14	Fidelity Income Fund/MA	N-Q	4/30/14	2:953K	Fidelity Salem Street Tr
6/27/14	Fidelity Mass Municipal Trust	N-Q	4/30/14	2:526K	Empire Fidelity Invs...A
6/27/14	Fidelity Newbury Street Trust	NSAR-A	4/30/14	5:124K	
6/27/14	Fidelity New York Municipal Trust	N-Q	4/30/14	2:375K	Empire Fidelity Invs...A
6/27/14	Fidelity New York Muni Trust II	N-Q	4/30/14	2:330K	Fidelity Oxford Stre..Tr
6/27/14	Fidelity Oxford Street Trust	N-Q	4/30/14	2:80K	
6/27/14	Fidelity Oxford Street Trust II	N-Q	4/30/14	2:69K	Fidelity Hereford St..Tr
6/27/14	Fidelity Salem Street Trust	485BPOS	6/28/14	3:1.1M	
	Fidelity Salem Street Trust				
6/27/14	Fidelity Salem Street Trust	N-Q	4/30/14	2:72K	Fidelity Hereford St..Tr
6/27/14	Fidelity Salem Street Trust	N-Q	4/30/14	2:855K	Empire Fidelity Invs...A
6/26/14	Fidelity Hereford Street Trust	N-CSR	4/30/14	4:743K	
6/26/14	Fidelity Salem Street Trust	N-CSR	4/30/14	4:3.6M	Fidelity Adv Series I
6/25/14	Fidelity Charles Street Trust	N-CSRS	4/30/14	3:1.7M	Fidelity Hereford St..Tr
6/25/14	Fidelity Salem Street Trust	N-CSRS	4/30/14	3:4.0M	Fidelity Hereford St..Tr
6/23/14	Fidelity Advisor Series II	N-CSRS	4/30/14	3:1.1M	Fidelity Salem Street Tr
6/23/14	Fidelity Colchester Street Trust	485BPOS	6/23/14	19:2.8M	
	Fidelity Colchester Street Trust				
6/23/14	Fidelity Newbury Street Trust	N-CSRS	4/30/14	3:1.6M	Empire Fidelity Invs...A
6/17/14	Fidelity Aberdeen Street Trust	485BPOS	6/17/14	139:58M	Empire Fidelity Invs...A
	Fidelity Aberdeen Street Trust				
6/17/14	Fidelity Boylston Street Trust	485BPOS	6/17/14	61:20M	Empire Fidelity Invs...A
	Fidelity Boylston Street Trust				
6/17/14	Fidelity Colchester Street Trust	485BPOS	6/17/14	73:24M	Empire Fidelity Invs...A
	Fidelity Colchester Street Trust				
6/17/14	Fidelity Salem Street Trust	485BPOS	6/17/14	19:3.1M	Fidelity Magellan Fund
	Fidelity Salem Street Trust				
6/06/14	Fidelity Colchester Street Trust	485BPOS	6/06/14	3:512K	
	Fidelity Colchester Street Trust				
6/06/14	TransDigM Group Inc	8-K:1,2,7,9	6/04/14	7:8.0M	RR Donnelley/FA
5/30/14	Fidelity Advisor Series II	N-Q	3/31/14	2:787K	Var Ins Products Fund
5/30/14	Fidelity Garrison Street Trust	N-Q	3/31/14	2:473K	Fidelity Aberdeen St..Tr
5/30/14	Fidelity Municipal Trust	N-Q	3/31/14	2:2.4M	Fidelity Aberdeen St..Tr
5/30/14	Fidelity Municipal Trust II	N-Q	3/31/14	2:261K	Fidelity Aberdeen St..Tr
5/30/14	Fidelity Salem Street Trust	N-Q	3/31/14	2:61K	Var Ins Products Fund
5/30/14	Fidelity Salem Street Trust	N-Q	3/31/14	2:622K	Var Ins Products Fund
5/30/14	Fidelity School Street Trust	N-Q	3/31/14	2:3.3M	
5/30/14	North Carolina Capital Mgmt Trust	N-Q	3/31/14	2:114K	Fidelity Aberdeen St..Tr
5/30/14	Variable Ins Products Fund V	N-Q	3/31/14	2:2.2M	Fidelity Aberdeen St..Tr
5/29/14	Fidelity Aberdeen Street Trust	485BPOS	5/30/14	4:14M	
	Fidelity Aberdeen Street Trust				
5/29/14	Fidelity Boylston Street Trust	485BPOS	5/30/14	3:4.4M	Var Ins Products Fund II
	Fidelity Boylston Street Trust				
5/29/14	Fidelity Charles Street Trust	NSAR-A	3/31/14	11:308K	Fidelity Destiny Ports
5/29/14	Fidelity Colchester Street Trust	485BPOS	5/29/14	19:2.8M	Fidelity Aberdeen St..Tr
	Fidelity Colchester Street Trust				
5/29/14	Fidelity Colchester Street Trust	485BPOS	5/30/14	3:2.7M	
	Fidelity Colchester Street Trust				
5/29/14	Fidelity Salem Street Trust	485BPOS	5/30/14	4:1.3M	Empire Fidelity Invs...A
	Fidelity Salem Street Trust				
5/29/14	Fidelity Salem Street Trust	NSAR-A	3/31/14	3:70K	Fidelity Destiny Ports
5/29/14	Fidelity Salem Street Trust	NSAR-B	3/31/14	4:78K	
5/28/14	Fidelity Aberdeen Street Trust	N-CSR	3/31/14	4:24M	Empire Fidelity Invs...A
5/28/14	Fidelity Boylston Street Trust	N-CSR	3/31/14	4:7.7M	Fidelity Aberdeen St..Tr
5/28/14	Fidelity Colchester Street Trust	N-CSR	3/31/14	4:1.6M	Fidelity Boylston St..Tr
5/28/14	Fidelity Salem Street Trust	N-CSR	3/31/14	4:1.7M	Fidelity Boylston St..Tr
5/23/14	Fidelity Central Inv Ports II LLC	N-CSRS	3/31/14	3:693K	Empire Fidelity Invs...A
5/23/14	Fidelity Charles Street Trust	N-CSRS	3/31/14	3:7.0M	Fidelity Aberdeen St..Tr
5/23/14	Fidelity Garrison Street Trust	N-CSRS	3/31/14	3:217K	Empire Fidelity Invs...A
5/23/14	Fidelity Salem Street Trust	N-CSRS	3/31/14	3:1.6M	Fidelity Boylston St..Tr
5/20/14	Variable Ins Products Fund V	485BPOS	5/20/14	97:36M	Fidelity Colchester...Tr
	Variable Insurance Products Fund V				
5/19/14	Fidelity Aberdeen Street Trust	485BPOS	5/19/14	15:1.2M	
	Fidelity Aberdeen Street Trust				
5/19/14	Fidelity Cal Municipal Trust	485BPOS	5/19/14	21:4.0M	Fidelity Colchester...Tr
	Fidelity California Municipal Trust				
5/19/14	Fidelity Cal Municipal Trust II	485BPOS	5/19/14	21:3.4M	Fidelity Colchester...Tr
	Fidelity California Municipal Trust II				
5/19/14	Fidelity Salem Street Trust	485BPOS	5/19/14	21:3.8M	
	Fidelity Salem Street Trust				
5/16/14	Fidelity Aberdeen Street Trust	485APOS		2:2.9M	Empire Fidelity Invs...A
	Fidelity Aberdeen Street Trust				
5/16/14	Fidelity Boylston Street Trust	485APOS		2:1.5M	Fidelity Rutland Squ..II
	Fidelity Boylston Street Trust				
5/12/14	Fidelity Colchester Street Trust	485BPOS	5/13/14	3:507K	Empire Fidelity Invs...A
	Fidelity Colchester Street Trust				
4/29/14	Fidelity Advisor Series IV	N-Q	2/28/14	2:169K	Fidelity Aberdeen St..Tr
4/29/14	Fidelity Court Street Trust	N-Q	2/28/14	2:232K	Fidelity Invs Var Ann..I
4/29/14	Fidelity Court Street Trust II	N-Q	2/28/14	2:279K	Fidelity Cal Muni Trust
4/29/14	Fidelity Phillips Street Trust	N-Q	2/28/14	2:150K	Fidelity Cal Muni Trust
4/29/14	Fidelity Revere Street Trust	N-Q	2/28/14	2:432K	Empire Fidelity Invs...A
4/29/14	Fidelity Salem Street Trust	N-Q	2/28/14	2:70K	Fidelity Aberdeen St..Tr
4/29/14	Fidelity Salem Street Trust	N-Q	2/28/14	2:485K	Fidelity Cal Muni Trust

4/28/14	Fidelity Aberdeen Street Trust	485BPOS	4/29/14	3:778K	
	Fidelity Aberdeen Street Trust				
4/28/14	Fidelity Advisor Series II	NSAR-A	2/28/14	6:124K	Fidelity Aberdeen St..Tr
4/28/14	Fidelity Cal Municipal Trust	485BPOS	4/29/14	5:1.9M	Fidelity Concord Str..Tr
	Fidelity California Municipal Trust				
4/28/14	Fidelity Cal Municipal Trust	NSAR-B	2/28/14	6:106K	
4/28/14	Fidelity Cal Municipal Trust II	485BPOS	4/29/14	4:1.1M	Fidelity Concord Str..Tr
	Fidelity California Municipal Trust II				
4/28/14	Fidelity Income Fund/MA	NSAR-A	2/28/14	6:155K	Fidelity Aberdeen St..Tr
4/28/14	Fidelity Salem Street Trust	485BPOS	4/29/14	3:1.1M	Empire Fidelity Invs...A
	Fidelity Salem Street Trust				
4/28/14	Fidelity Salem Street Trust	NSAR-A	2/28/14	7:281K	Fidelity Aberdeen St..Tr
4/28/14	Fidelity Salem Street Trust	NSAR-B	2/28/14	5:93K	
4/28/14	Fidelity Union Street Trust II	NSAR-A	2/28/14	4:75K	
4/25/14	Fidelity Aberdeen Street Trust	N-CSR	2/28/14	4:725K	
4/25/14	Fidelity Advisor Series II	N-CSRS	2/28/14	3:6.7M	Fidelity Salem Street Tr
4/25/14	Fidelity Cal Municipal Trust	N-CSR	2/28/14	4:4.1M	Fidelity Aberdeen St..Tr
4/25/14	Fidelity Cal Municipal Trust II	N-CSR	2/28/14	4:1.1M	Fidelity Aberdeen St..Tr
4/25/14	Fidelity Central Inv Ports II LLC	N-CSRS	2/28/14	3:333K	Empire Fidelity Invs...A
4/25/14	Fidelity Income Fund/MA	N-CSRS	2/28/14	3:7.7M	Var Ins Products Fund
4/25/14	Fidelity Salem Street Trust	N-CSR	2/28/14	4:2.1M	
4/25/14	Fidelity Salem Street Trust	N-CSRS	2/28/14	3:12M	Fidelity Aberdeen St..Tr
4/21/14	Fidelity Money Market Trust	N-CSRS	2/28/14	3:804K	
4/21/14	Fidelity Union Street Trust	N-CSRS	2/28/14	3:1.2M	Fidelity Summer Stre..Tr
4/21/14	Fidelity Union Street Trust II	N-CSRS	2/28/14	3:2.4M	Fidelity Summer Stre..Tr
4/21/14	Variable Ins Products Fund V	485BPOS	4/30/14	11:10M	Empire Fidelity Invs...A
	Variable Insurance Products Fund V				
4/17/14	Fidelity Mass Municipal Trust	485BPOS	4/17/14	23:4.3M	Fidelity Select Ports
	Fidelity Massachusetts Municipal Trust				
4/17/14	Fidelity Merrimack Street Trust	N-1A/A		1:1.5M	
	Fidelity Merrimack Street Trust				
4/17/14	Fidelity New York Municipal Trust	485BPOS	4/17/14	19:3.1M	Empire Fidelity Invs...A
	Fidelity New York Municipal Trust				
4/17/14	Fidelity New York Muni Trust II	485BPOS	4/17/14	21:3.4M	Fidelity Adv Series I
	Fidelity New York Municipal Trust II				
4/17/14	Fidelity Salem Street Trust	485BPOS	4/17/14	19:2.2M	Fidelity Aberdeen St..Tr
	Fidelity Salem Street Trust				
4/01/14	Fidelity Advisor Series II	N-Q	1/31/14	2:383K	Empire Fidelity Invs...A
4/01/14	Fidelity Charles Street Trust	N-Q	1/31/14	2:589K	Empire Fidelity Invs...A
4/01/14	Fidelity Hereford Street Trust	N-Q	1/31/14	2:182K	Fidelity Salem Street Tr
4/01/14	Fidelity Newbury Street Trust	N-Q	1/31/14	2:1.0M	Var Ins Products Fund V
4/01/14	Fidelity Salem Street Trust	N-Q	1/31/14	2:1.8M	
4/01/14	Fidelity Salem Street Trust	N-Q	1/31/14	2:1.1M	
3/31/14	Fidelity Income Fund/MA	NSAR-A	1/31/14	6:234K	Fidelity Mass Muni Trust
3/31/14	Fidelity Mass Municipal Trust	485BPOS	4/01/14	5:1.3M	Fidelity Aberdeen St..Tr
	Fidelity Massachusetts Municipal Trust				
3/31/14	Fidelity Mass Municipal Trust	NSAR-B	1/31/14	10:139K	
3/31/14	Fidelity New York Municipal Trust	485BPOS	4/01/14	3:1.3M	Empire Fidelity Invs...A
	Fidelity New York Municipal Trust				
3/31/14	Fidelity New York Muni Trust II	485BPOS	4/01/14	4:1.1M	Fidelity Summer Stre..Tr
	Fidelity New York Municipal Trust II				
3/31/14	Fidelity New York Muni Trust II	NSAR-B	1/31/14	7:93K	Fidelity Mass Muni Trust
3/31/14	Fidelity Oxford Street Trust	NSAR-A	1/31/14	3:126K	
3/31/14	Fidelity Oxford Street Trust II	NSAR-A	1/31/14	4:201K	
3/31/14	Fidelity Salem Street Trust	485BPOS	4/01/14	6:1.4M	Fidelity Aberdeen St..Tr
	Fidelity Salem Street Trust				
3/31/14	Fidelity Salem Street Trust	NSAR-B	1/31/14	9:164K	
3/28/14	Fidelity Income Fund/MA	N-CSRS	1/31/14	3:17M	Empire Fidelity Invs...A
3/28/14	Fidelity Mass Municipal Trust	N-CSR	1/31/14	4:1.8M	Fidelity Salem Street Tr
3/28/14	Fidelity New York Municipal Trust	N-CSR	1/31/14	4:2.8M	Fidelity Salem Street Tr
3/28/14	Fidelity New York Muni Trust II	N-CSR	1/31/14	4:1.1M	Fidelity Salem Street Tr
3/28/14	Fidelity Oxford Street Trust	N-CSRS	1/31/14	3:271K	Fidelity Aberdeen St..Tr
3/28/14	Fidelity Oxford Street Trust II	N-CSRS	1/31/14	3:215K	Fidelity Aberdeen St..Tr
3/28/14	Fidelity Salem Street Trust	N-CSR	1/31/14	4:1.6M	
3/27/14	Fidelity Salem Street Trust	N-CSRS	1/31/14	3:746K	Fidelity Hereford St..Tr
3/18/14	Fidelity Advisor Series II	485BPOS	3/18/14	17:2.2M	Fidelity Central In..LLC
	Fidelity Advisor Series II				
3/18/14	Fidelity Municipal Trust	485BPOS	3/18/14	33:8.8M	Fidelity Central In..LLC
	Fidelity Municipal Trust				
3/18/14	Fidelity Municipal Trust II	485BPOS	3/18/14	19:2.6M	Empire Fidelity Invs...A
	Fidelity Municipal Trust II				
3/18/14	Fidelity Salem Street Trust	485BPOS	3/18/14	21:3.4M	Empire Fidelity Invs...A
	Fidelity Salem Street Trust				
3/18/14	Fidelity School Street Trust	485BPOS	3/18/14	33:9.1M	Empire Fidelity Invs...A
	Fidelity School Street Trust				
3/14/14	Fidelity Colchester Street Trust	485APOS		6:546K	Fidelity Aberdeen St..Tr
	Fidelity Colchester Street Trust				
3/03/14	Fidelity Municipal Trust	NSAR-B	12/31/13	18:268K	
3/03/14	Fidelity School Street Trust	NSAR-B	12/31/13	11:278K	
3/03/14	Variable Ins Products Fund V	NSAR-B	12/31/13	17:516K	Fidelity Summer Stre..Tr
2/28/14	Fidelity Aberdeen Street Trust	N-Q	12/31/13	2:1.7M	Empire Fidelity Invs...A
2/28/14	Fidelity Advisor Series II	NSAR-B	12/31/13	4:79K	Fidelity Systemat..Plans
2/28/14	Fidelity Boylston Street Trust	N-Q	12/31/13	2:630K	Empire Fidelity Invs...A
2/28/14	Fidelity Central Inv Ports II LLC	N-Q	12/31/13	2:462K	Fidelity Salem Street Tr
2/28/14	Fidelity Charles Street Trust	N-Q	12/31/13	2:672K	Empire Fidelity Invs...A
2/28/14	Fidelity Colchester Street Trust	N-Q	12/31/13	2:498K	Fidelity Salem Street Tr
2/28/14	Fidelity Garrison Street Trust	N-Q	12/31/13	2:108K	Empire Fidelity Invs...A
2/28/14	Fidelity Municipal Trust II	NSAR-B	12/31/13	6:104K	
2/28/14	Fidelity Salem Street Trust	N-Q	12/31/13	2:509K	Empire Fidelity Invs...A
2/28/14	Fidelity Salem Street Trust	N-Q	12/31/13	2:88K	
2/27/14	Fidelity Advisor Series II	485BPOS	3/01/14	3:954K	Fidelity Salem Street Tr
	Fidelity Advisor Series II				
2/27/14	Fidelity Municipal Trust	485BPOS	3/01/14	9:4.7M	Fidelity Adv Series I
	Fidelity Municipal Trust				
2/27/14	Fidelity Municipal Trust II	485BPOS	3/01/14	5:1.9M	Fidelity Salem Street Tr

2/27/14	Fidelity Municipal Trust II						
	Fidelity Salem Street Trust	485BPOS	3/01/14	10:1.9M		Empire Fidelity Invs...A	
	Fidelity Salem Street Trust						
2/27/14	Fidelity School Street Trust	485BPOS	3/01/14	6:4.3M		Fidelity Salem Street Tr	
	Fidelity School Street Trust						
2/26/14	Fidelity Advisor Series II	N-CSR	12/31/13	4:3.2M		Fidelity Garrison St..Tr	
2/26/14	Fidelity Garrison Street Trust	N-CSR	12/31/13	4:931K			
2/26/14	Fidelity Municipal Trust	N-CSR	12/31/13	4:9.4M		Fidelity Hanover Str..Tr	
2/26/14	Fidelity Municipal Trust II	N-CSR	12/31/13	4:3.1M		Fidelity Garrison St..Tr	
2/26/14	Fidelity Salem Street Trust	N-CSR	12/31/13	4:1.6M		Fidelity Garrison St..Tr	
2/26/14	Fidelity School Street Trust	N-CSR	12/31/13	4:11M		Empire Fidelity Invs...A	
2/26/14	Variable Ins Products Fund V	N-CSR	12/31/13	4:19M		Fidelity Devonshire Tr	
2/21/14	Variable Ins Products Fund V	N-CSR	12/31/13	4:584K		Empire Fidelity Invs...A	
2/20/14	Fidelity Salem Street Trust	N-CSRS	12/31/13	3:4.4M		Empire Fidelity Invs...A	
2/20/14	North Carolina Capital Mgmt Trust	N-CSRS	12/31/13	3:1.0M		Fidelity Rutland Squ..II	
2/14/14	Fidelity Advisor Series IV	485BPOS	2/14/14	15:1.1M			
	Fidelity Advisor Series IV						
2/14/14	Fidelity Court Street Trust	485BPOS	2/14/14	17:2.0M		Fidelity Adv Series IV	
	Fidelity Court Street Trust						
2/14/14	Fidelity Court Street Trust II	485BPOS	2/14/14	23:4.2M		Fidelity Salem Street Tr	
	Fidelity Court Street Trust II						
2/14/14	Fidelity Oxford Street Trust II	POS AMI		4:367K		Fidelity Inv Trust	
2/14/14	Fidelity Phillips Street Trust	485BPOS	2/14/14	17:1.8M		Fidelity Adv Series IV	
	Fidelity Phillips Street Trust						
2/14/14	Fidelity Salem Street Trust	485BPOS	2/14/14	19:3.1M			
	Fidelity Salem Street Trust						
1/29/14	Fidelity Aberdeen Street Trust	N-Q	11/30/13	2:23K		Fidelity Adv Series I	
1/29/14	Fidelity Advisor Series II	N-Q	11/30/13	2:1.2M		Fidelity Central In..LLC	
1/29/14	Fidelity Cal Municipal Trust	N-Q	11/30/13	2:890K		Fidelity Adv Series I	
1/29/14	Fidelity Cal Municipal Trust II	N-Q	11/30/13	2:339K		Fidelity Adv Series I	
1/29/14	Fidelity Central Inv Ports II LLC	N-Q	11/30/13	2:216K		Empire Fidelity Invs...A	
1/29/14	Fidelity Income Fund/MA	N-Q	11/30/13	2:2.9M		Fidelity Court Stree..II	
1/29/14	Fidelity Money Market Trust	N-Q	11/30/13	2:166K		Fidelity Central In..LLC	
1/29/14	Fidelity Salem Street Trust	N-Q	11/30/13	2:3.9M		Empire Fidelity Invs...A	
1/29/14	Fidelity Salem Street Trust	N-Q	11/30/13	2:259K		Fidelity Adv Series I	
1/29/14	Fidelity Union Street Trust	N-Q	11/30/13	2:172K		Empire Fidelity Invs...A	
1/29/14	Fidelity Union Street Trust II	N-Q	11/30/13	2:1.0M		Fidelity Court Stree..II	
1/28/14	Fidelity Advisor Series IV	485BPOS	1/29/14	3:474K		Empire Fidelity Invs...A	
	Fidelity Advisor Series IV						
1/28/14	Fidelity Court Street Trust	485BPOS	1/29/14	5:1.3M		Fidelity Securities Fund	
	Fidelity Court Street Trust						
1/28/14	Fidelity Court Street Trust II	485BPOS	1/29/14	5:1.8M		Fidelity Securities Fund	
	Fidelity Court Street Trust II						
1/28/14	Fidelity Phillips Street Trust	485BPOS	1/29/14	5:749K		Fidelity Securities Fund	
	Fidelity Phillips Street Trust						
1/28/14	Fidelity Salem Street Trust	485BPOS	1/29/14	3:1.3M		Empire Fidelity Invs...A	
	Fidelity Salem Street Trust						
1/27/14	Fidelity Advisor Series IV	N-CSR	11/30/13	4:847K			
1/27/14	Fidelity Court Street Trust	N-CSR	11/30/13	4:2.3M		Fidelity Adv Series IV	
1/27/14	Fidelity Court Street Trust II	N-CSR	11/30/13	4:2.8M		Fidelity Commonwealth Tr	
1/27/14	Fidelity Phillips Street Trust	N-CSR	11/30/13	4:875K		Fidelity Court Street Tr	
1/27/14	Fidelity Salem Street Trust	N-CSR	11/30/13	4:2.9M		Fidelity Court Street Tr	
1/24/14	Fidelity Revere Street Trust	N-CSRS	11/30/13	3:872K		Fidelity Inv Trust	
1/24/14	Fidelity Salem Street Trust	N-CSRS	11/30/13	3:1.6M		Fidelity Financial Trust	
1/16/14	Fidelity Advisor Series II	485BPOS	1/16/14	17:2.2M		Fidelity Adv Series I	
	Fidelity Advisor Series II						
1/16/14	Fidelity Charles Street Trust	485BPOS	1/16/14	19:2.9M		Fidelity Inv Trust	
	Fidelity Charles Street Trust						
1/16/14	Fidelity Newbury Street Trust	485BPOS	1/16/14	33:7.9M		Var Ins Products Fund V	
	Fidelity Newbury Street Trust						
1/16/14	Fidelity Salem Street Trust	485BPOS	1/16/14	23:4.2M		Empire Fidelity Invs...A	
	Fidelity Salem Street Trust						
12/30/13	Fidelity Advisor Series II	485BPOS	12/30/13	3:793K		Empire Fidelity Invs...A	
	Fidelity Advisor Series II						
12/30/13	Fidelity Advisor Series II	N-CSR	10/31/13	4:2.2M		Empire Fidelity Invs...A	
12/30/13	Fidelity Charles Street Trust	485BPOS	12/30/13	3:1.4M		Empire Fidelity Invs...A	
	Fidelity Charles Street Trust						
12/30/13	Fidelity Charles Street Trust	N-CSR	10/31/13	4:3.3M		Empire Fidelity Invs...A	
12/30/13	Fidelity Income Fund/MA	N-Q	10/31/13	2:1.2M		Fidelity Inv Trust	
12/30/13	Fidelity Mass Municipal Trust	N-Q	10/31/13	2:531K		Empire Fidelity Invs...A	
12/30/13	Fidelity Newbury Street Trust	485BPOS	12/30/13	9:1.4M		Fidelity Salem Street Tr	
	Fidelity Newbury Street Trust						
12/30/13	Fidelity Newbury Street Trust	N-CSR	10/31/13	4:2.6M		Empire Fidelity Invs...A	
12/30/13	Fidelity New York Municipal Trust	N-Q	10/31/13	2:391K		Empire Fidelity Invs...A	
12/30/13	Fidelity New York Muni Trust II	N-Q	10/31/13	2:336K		Empire Fidelity Invs...A	
12/30/13	Fidelity Oxford Street Trust	N-Q	10/31/13	2:131K		Empire Fidelity Invs...A	
12/30/13	Fidelity Oxford Street Trust II	N-Q	10/31/13	2:67K		Empire Fidelity Invs...A	
12/30/13	Fidelity Salem Street Trust	485BPOS	12/30/13	3:1.8M			
	Fidelity Salem Street Trust						
12/30/13	Fidelity Salem Street Trust	N-CSR	10/31/13	4:5.7M		Fidelity Devonshire Tr	
12/30/13	Fidelity Salem Street Trust	N-Q	10/31/13	2:74K		Empire Fidelity Invs...A	
12/30/13	Fidelity Salem Street Trust	N-Q	10/31/13	2:571K		Fidelity Inv Trust	
12/20/13	Fidelity Hereford Street Trust	N-CSRS	10/31/13	3:1.2M		Empire Fidelity Invs...A	
12/20/13	Fidelity Salem Street Trust	N-CSRS	10/31/13	3:3.4M		Empire Fidelity Invs...A	
12/17/13	Fidelity Boylston Street Trust	485BPOS	12/17/13	37:8.4M		Fidelity Commonwealth Tr	
	Fidelity Boylston Street Trust						
12/16/13	Fidelity Charles Street Trust	485BPOS	12/16/13	57:20M			
	Fidelity Charles Street Trust						
12/16/13	Fidelity Salem Street Trust	485BPOS	12/16/13	19:3.2M			
	Fidelity Salem Street Trust						
11/29/13	Fidelity Advisor Series II	N-Q	9/30/13	2:768K		North Carolina Cap M..Tr	
11/29/13	Fidelity Boylston Street Trust	485BPOS	12/02/13	29:2.5M		Fidelity Destiny Ports	
	Fidelity Boylston Street Trust						
11/29/13	Fidelity Garrison Street Trust	N-Q	9/30/13	2:467K		North Carolina Cap M..Tr	
11/29/13	Fidelity Municipal Trust	N-Q	9/30/13	2:2.3M		Fidelity Aberdeen St..Tr	

11/29/13	Fidelity Municipal Trust II	N-Q	9/30/13	2:267K	Fidelity Central In..LLC
11/29/13	Fidelity Salem Street Trust	N-Q	9/30/13	2:532K	Fidelity Destiny Ports
11/29/13	Fidelity Salem Street Trust	N-Q	9/30/13	2:59K	Fidelity Destiny Ports
11/29/13	Fidelity School Street Trust	N-Q	9/30/13	2:3.2M	Fidelity Central In..LLC
11/29/13	North Carolina Capital Mgmt Trust	N-Q	9/30/13	2:117K	
11/29/13	Variable Ins Products Fund V	N-Q	9/30/13	2:2.2M	Fidelity Central..II LLC
11/27/13	Fidelity Charles Street Trust	485BPOS	11/29/13	13:5.3M	
	Fidelity Charles Street Trust				
11/27/13	Fidelity Salem Street Trust	485BPOS	11/29/13	5:1.6M	Empire Fidelity Invs...A
	Fidelity Salem Street Trust				
11/26/13	Fidelity Central Inv Ports II LLC	N-CSR	9/30/13	4:1.2M	Empire Fidelity Invs...A
11/26/13	Fidelity Charles Street Trust	N-CSR	9/30/13	4:16M	Fidelity Central In..LLC
11/26/13	Fidelity Garrison Street Trust	N-CSR	9/30/13	4:298K	Empire Fidelity Invs...A
11/26/13	Fidelity Salem Street Trust	N-CSR	9/30/13	4:3.2M	Fidelity Hastings St..Tr
11/25/13	Fidelity Aberdeen Street Trust	N-CSRS	9/30/13	3:22M	Empire Fidelity Invs...A
11/25/13	Fidelity Boylston Street Trust	N-CSRS	9/30/13	3:1.7M	Empire Fidelity Invs...A
11/20/13	Fidelity Colchester Street Trust	N-CSRS	9/30/13	3:2.8M	Fidelity Adv Series II
11/20/13	Fidelity Salem Street Trust	N-CSRS	9/30/13	3:1.4M	Fidelity Adv Series II
11/15/13	Fidelity Advisor Series II	485BPOS	11/15/13	29:8.0M	Empire Fidelity Invs...A
	Fidelity Advisor Series II				
11/15/13	Fidelity Income Fund/MA	485BPOS	11/15/13	27:6.8M	Empire Fidelity Invs...A
	Fidelity Income Fund/MA				
11/15/13	Fidelity Money Market Trust	485BPOS	11/15/13	17:1.8M	Fidelity Rutland Squ..II
	Fidelity Money Market Trust				
11/15/13	Fidelity Salem Street Trust	485BPOS	11/15/13	45:14M	
	Fidelity Salem Street Trust				
11/15/13	Fidelity Union Street Trust	485BPOS	11/15/13	17:2.0M	Fidelity Aberdeen St..Tr
	Fidelity Union Street Trust				
11/15/13	Fidelity Union Street Trust II	485BPOS	11/15/13	19:2.6M	Empire Fidelity Invs...A
	Fidelity Union Street Trust II				
11/12/13	Fidelity Salem Street Trust	485BPOS	11/12/13	17:1.4M	
	Fidelity Salem Street Trust				
10/30/13	Fidelity Advisor Series IV	N-Q	8/31/13	2:157K	Fidelity Adv Series II
10/30/13	Fidelity Court Street Trust	N-Q	8/31/13	2:260K	Fidelity Salem Street Tr
10/30/13	Fidelity Court Street Trust II	N-Q	8/31/13	2:292K	Fidelity Covington Trust
10/30/13	Fidelity Phillips Street Trust	N-Q	8/31/13	2:163K	Fidelity Adv Series II
10/30/13	Fidelity Revere Street Trust	N-Q	8/31/13	2:489K	Empire Fidelity Invs...A
10/30/13	Fidelity Salem Street Trust	N-Q	8/31/13	2:92K	Fidelity Covington Trust
10/30/13	Fidelity Salem Street Trust	N-Q	8/31/13	2:463K	
10/29/13	Fidelity Advisor Series II	485BPOS	10/30/13	10:3.0M	Fidelity Aberdeen St..Tr
	Fidelity Advisor Series II				
10/29/13	Fidelity Income Fund/MA	485BPOS	10/30/13	9:2.9M	Fidelity Rutland Squ..II
	Fidelity Income Fund/MA				
10/29/13	Fidelity Money Market Trust	485BPOS	10/30/13	3:502K	Fidelity Summer Stre..Tr
	Fidelity Money Market Trust				
10/29/13	Fidelity Salem Street Trust	485BPOS	10/30/13	4:5.9M	
	Fidelity Salem Street Trust				
10/29/13	Fidelity Union Street Trust	485BPOS	10/30/13	3:1.1M	Fidelity Adv Series II
	Fidelity Union Street Trust				
10/29/13	Fidelity Union Street Trust II	485BPOS	10/30/13	3:1.2M	Fidelity Summer Stre..Tr
	Fidelity Union Street Trust II				
10/28/13	Fidelity Advisor Series II	N-CSR	8/31/13	4:6.2M	Fidelity Financial Trust
10/28/13	Fidelity Central Inv Ports II LLC	N-CSR	8/31/13	4:612K	Fidelity Adv Series II
10/28/13	Fidelity Income Fund/MA	N-CSR	8/31/13	4:7.7M	Fidelity Adv Series IV
10/28/13	Fidelity Money Market Trust	N-CSR	8/31/13	4:485K	Empire Fidelity Invs...A
10/28/13	Fidelity Salem Street Trust	N-CSR	8/31/13	4:13M	Fidelity Adv Series II
10/28/13	Fidelity Union Street Trust	N-CSR	8/31/13	4:1.0M	Fidelity Financial Trust
10/28/13	Fidelity Union Street Trust II	N-CSR	8/31/13	4:1.9M	Empire Fidelity Invs...A
10/24/13	Fidelity Aberdeen Street Trust	N-CSRS	8/31/13	3:152K	Fidelity Union Street Tr
10/24/13	Fidelity Cal Municipal Trust	N-CSRS	8/31/13	3:2.0M	Empire Fidelity Invs...A
10/24/13	Fidelity Cal Municipal Trust II	N-CSRS	8/31/13	3:605K	
10/24/13	Fidelity Municipal Trust	485BPOS	10/24/13	17:1.4M	Fidelity Union Street Tr
	Fidelity Municipal Trust				
10/24/13	Fidelity Salem Street Trust	N-CSRS	8/31/13	3:728K	Fidelity Cal Muni Tr II
10/22/13	Fidelity Salem Street Trust	485BPOS	10/23/13	21:1.2M	Fidelity Aberdeen St..Tr
	Fidelity Salem Street Trust				
10/18/13	Fidelity Income Fund/MA	485BPOS	10/18/13	105:42M	Fidelity Boylston St..Tr
	Fidelity Income Fund/MA				
10/15/13	Fidelity Oxford Street Trust	485BPOS	10/15/13	17:1.9M	Fidelity Capital Trust
	Fidelity Oxford Street Trust				
10/15/13	Fidelity Salem Street Trust	485BPOS	10/15/13	17:1.8M	Fidelity Aberdeen St..Tr
	Fidelity Salem Street Trust				
10/07/13	Fidelity Municipal Trust	485BPOS	10/08/13	9:765K	Empire Fidelity Invs...A
	Fidelity Municipal Trust				
10/03/13	Fidelity Boylston Street Trust	485APOS		14:2.5M	Empire Fidelity Invs...A
	Fidelity Boylston Street Trust				
9/27/13	Fidelity Advisor Series II	N-Q	7/31/13	2:449K	Fidelity Income Fund/MA
9/27/13	Fidelity Charles Street Trust	N-Q	7/31/13	2:586K	Fidelity Aberdeen St..Tr
9/27/13	Fidelity Hereford Street Trust	N-Q	7/31/13	2:169K	Fidelity Income Fund/MA
9/27/13	Fidelity Income Fund/MA	485BPOS	9/28/13	4:11M	
	Fidelity Income Fund/MA				
9/27/13	Fidelity Newbury Street Trust	N-Q	7/31/13	2:1.1M	Fidelity Concord Str..Tr
9/27/13	Fidelity Oxford Street Trust	485BPOS	9/27/13	4:852K	
	Fidelity Oxford Street Trust				
9/27/13	Fidelity Salem Street Trust	N-Q	7/31/13	2:1.8M	Fidelity Aberdeen St..Tr
9/27/13	Fidelity Salem Street Trust	N-Q	7/31/13	2:1.1M	Fidelity Oxford Stre..Tr
9/26/13	Fidelity Garrison Street Trust	N-CSR	7/31/13	4:476K	Empire Fidelity Invs...A
9/26/13	Fidelity Income Fund/MA	N-CSR	7/31/13	4:19M	Empire Fidelity Invs...A
9/26/13	Fidelity Income Fund/MA	N-CSR	7/31/13	4:2.6M	Fidelity Capital Trust
9/26/13	Fidelity Salem Street Trust	485BPOS	9/28/13	3:1.0M	Empire Fidelity Invs...A
	Fidelity Salem Street Trust				
9/26/13	Fidelity Salem Street Trust	N-CSR	7/31/13	4:1.4M	Fidelity Aberdeen St..Tr
9/26/13	Fidelity Securities Fund	485BPOS	9/28/13	15:8.3M	Fidelity Aberdeen St..Tr
	Fidelity Securities Fund				
9/23/13	Fidelity Mass Municipal Trust	N-CSRS	7/31/13	3:895K	Fidelity Adv Series VII

9/23/13	Fidelity New York Municipal Trust	N-CSRS	7/31/13	3:1.3M	
9/23/13	Fidelity New York Muni Trust II	N-CSRS	7/31/13	3:618K	
9/23/13	Fidelity Salem Street Trust	N-CSRS	7/31/13	3:716K	Fidelity Select Ports
9/17/13	Fidelity Salem Street Trust	485BPOS	9/17/13	74:16M	
	Fidelity Salem Street Trust				
9/17/13	North Carolina Capital Mgmt Trust	485BPOS	9/17/13	22:2.5M	
	North Carolina Capital Management Trust				
8/29/13	Fidelity Aberdeen Street Trust	N-Q	6/30/13	2:913K	
8/29/13	Fidelity Boylston Street Trust	N-Q	6/30/13	2:196K	Empire Fidelity Invs...A
8/29/13	Fidelity Central Inv Ports II LLC	N-Q	6/30/13	2:463K	Empire Fidelity Invs...A
8/29/13	Fidelity Charles Street Trust	N-Q	6/30/13	2:680K	
8/29/13	Fidelity Colchester Street Trust	N-Q	6/30/13	2:530K	Empire Fidelity Invs...A
8/29/13	Fidelity Garrison Street Trust	N-Q	6/30/13	2:108K	Empire Fidelity Invs...A
8/29/13	Fidelity Salem Street Trust	N-Q	6/30/13	2:89K	Empire Fidelity Invs...A
8/29/13	Fidelity Salem Street Trust	N-Q	6/30/13	2:509K	Fidelity Charles Str..Tr
8/28/13	Fidelity Salem Street Trust	485BPOS	8/29/13	6:2.0M	
	Fidelity Salem Street Trust				
8/28/13	North Carolina Capital Mgmt Trust	485BPOS	8/29/13	4:829K	Fidelity Boylston St..Tr
	North Carolina Capital Management Trust				
8/27/13	Fidelity Advisor Series II	N-CSRS	6/30/13	3:2.1M	Fidelity Inv Trust
8/27/13	Fidelity Salem Street Trust	N-CSR	6/30/13	4:4.7M	Fidelity Aberdeen St..Tr
8/27/13	Fidelity Salem Street Trust	N-CSRS	6/30/13	3:465K	Fidelity Inv Trust
8/27/13	Fidelity School Street Trust	N-CSRS	6/30/13	3:4.5M	Fidelity Aberdeen St..Tr
8/27/13	North Carolina Capital Mgmt Trust	N-CSR	6/30/13	4:668K	Empire Fidelity Invs...A
8/23/13	Fidelity Garrison Street Trust	N-CSRS	6/30/13	3:598K	Fidelity Adv Series I
8/23/13	Fidelity School Street Trust	N-CSRS	6/30/13	3:2.8M	Fidelity Contrafund
8/23/13	Variable Ins Products Fund V	N-CSRS	6/30/13	3:8.0M	Fidelity Adv Series VIII
8/21/13	Fidelity Aberdeen Street Trust	N-PX	6/30/13	1:52K	
8/21/13	Fidelity Advisor Series II	N-PX	6/30/13	1:54K	
8/21/13	Fidelity Advisor Series IV	N-PX	6/30/13	1:7K	Empire Fidelity Invs...A
8/21/13	Fidelity Boylston Street Trust	N-PX	6/30/13	1:16K	Empire Fidelity Invs...A
8/21/13	Fidelity Cal Municipal Trust	N-PX	6/30/13	1:8K	Fidelity Aberdeen St..Tr
8/21/13	Fidelity Cal Municipal Trust II	N-PX	6/30/13	1:8K	Empire Fidelity Invs...A
8/21/13	Fidelity Central Inv Ports II LLC	N-PX	6/30/13	1:9K	Fidelity Aberdeen St..Tr
8/21/13	Fidelity Charles Street Trust	N-PX	6/30/13	1:1.3M	Empire Fidelity Invs...A
8/21/13	Fidelity Colchester Street Trust	N-PX	6/30/13	1:14K	Fidelity Adv Series II
8/21/13	Fidelity Court Street Trust	N-PX	6/30/13	1:8K	Empire Fidelity Invs...A
8/21/13	Fidelity Court Street Trust II	N-PX	6/30/13	1:8K	Fidelity Aberdeen St..Tr
8/21/13	Fidelity Garrison Street Trust	N-PX	6/30/13	1:9K	Fidelity Aberdeen St..Tr
8/21/13	Fidelity Hereford Street Trust	N-PX	6/30/13	1:8K	Empire Fidelity Invs...A
8/21/13	Fidelity Income Fund/MA	N-PX	6/30/13	1:33K	Fidelity Aberdeen St..Tr
8/21/13	Fidelity Mass Municipal Trust	N-PX	6/30/13	1:9K	Empire Fidelity Invs...A
8/21/13	Fidelity Money Market Trust	N-PX	6/30/13	1:7K	Fidelity Aberdeen St..Tr
8/21/13	Fidelity Municipal Trust	N-CSRS	6/30/13	3:4.7M	
8/21/13	Fidelity Municipal Trust	N-PX	6/30/13	1:12K	Fidelity Adv Series II
8/21/13	Fidelity Municipal Trust II	N-CSRS	6/30/13	3:1.2M	Empire Fidelity Invs...A
8/21/13	Fidelity Municipal Trust II	N-PX	6/30/13	1:8K	Empire Fidelity Invs...A
8/21/13	Fidelity Newbury Street Trust	N-PX	6/30/13	1:9K	Fidelity Adv Series II
8/21/13	Fidelity New York Municipal Trust	N-PX	6/30/13	1:8K	Fidelity Aberdeen St..Tr
8/21/13	Fidelity New York Muni Trust II	N-PX	6/30/13	1:8K	Empire Fidelity Invs...A
8/21/13	Fidelity Phillips Street Trust	N-PX	6/30/13	1:7K	Empire Fidelity Invs...A
8/21/13	Fidelity Revere Street Trust	N-PX	6/30/13	1:9K	Empire Fidelity Invs...A
8/21/13	Fidelity Salem Street Trust	N-PX	6/30/13	1:28M	Empire Fidelity Invs...A
8/21/13	Fidelity School Street Trust	N-PX	6/30/13	1:53K	Fidelity Aberdeen St..Tr
8/21/13	Fidelity Union Street Trust	N-PX	6/30/13	1:7K	Empire Fidelity Invs...A
8/21/13	Fidelity Union Street Trust II	N-PX	6/30/13	1:8K	Fidelity Adv Series II
8/21/13	North Carolina Capital Mgmt Trust	N-PX	6/30/13	1:6K	Empire Fidelity Invs...A
8/21/13	Variable Ins Products Fund V	N-CSRS	6/30/13	3:331K	
8/21/13	Variable Ins Products Fund V	N-PX	6/30/13	1:1.5M	Fidelity Aberdeen St..Tr
8/14/13	Fidelity Salem Street Trust	485BPOS	8/14/13	26:4.8M	
	Fidelity Salem Street Trust				
8/12/13	Fidelity Advisor Series II	485APOS		2:1.3M	Empire Fidelity Invs...A
	Fidelity Advisor Series II				
7/31/13	Fidelity Salem Street Trust	485APOS		1:759K	Fidelity Hastings St..Tr
	Fidelity Salem Street Trust				
7/30/13	Fidelity Aberdeen Street Trust	N-Q	5/31/13	2:23K	Fidelity Rutland Squ..II
7/30/13	Fidelity Advisor Series II	N-Q	5/31/13	2:1.4M	Fidelity Adv Series I
7/30/13	Fidelity Cal Municipal Trust	N-Q	5/31/13	2:986K	Fidelity Aberdeen St..Tr
7/30/13	Fidelity Cal Municipal Trust II	N-Q	5/31/13	2:343K	Fidelity Securities Fund
7/30/13	Fidelity Central Inv Ports II LLC	N-Q	5/31/13	2:226K	Fidelity Securities Fund
7/30/13	Fidelity Income Fund/MA	N-Q	5/31/13	2:2.7M	
7/30/13	Fidelity Money Market Trust	N-Q	5/31/13	2:168K	Fidelity Rutland Squ..II
7/30/13	Fidelity Salem Street Trust	N-Q	5/31/13	2:3.9M	Fidelity Securities Fund
7/30/13	Fidelity Salem Street Trust	N-Q	5/31/13	2:263K	Fidelity Securities Fund
7/30/13	Fidelity Union Street Trust	N-Q	5/31/13	2:195K	
7/30/13	Fidelity Union Street Trust II	N-Q	5/31/13	2:1.1M	Fidelity Aberdeen St..Tr
7/29/13	Fidelity Salem Street Trust	485BPOS	7/30/13	4:1.5M	Empire Fidelity Invs...A
	Fidelity Salem Street Trust				
7/26/13	Fidelity Merrimack Street Trust	N-1A/A		1:1.1M	Fidelity Central..II LLC
	Fidelity Merrimack Street Trust				
7/26/13	Fidelity Revere Street Trust	N-CSR	5/31/13	4:971K	
7/26/13	Fidelity Salem Street Trust	N-CSR	5/31/13	4:1.7M	
7/25/13	Fidelity Municipal Trust	485APOS		1:688K	Empire Fidelity Invs...A
	Fidelity Municipal Trust				
7/24/13	Fidelity Advisor Series IV	N-CSRS	5/31/13	3:274K	Empire Fidelity Invs...A
7/24/13	Fidelity Court Street Trust	N-CSRS	5/31/13	3:908K	Fidelity MT Vernon S..Tr
7/24/13	Fidelity Court Street Trust II	N-CSRS	5/31/13	3:1.1M	Var Ins Products Fund IV
7/24/13	Fidelity Phillips Street Trust	N-CSRS	5/31/13	3:408K	
7/24/13	Fidelity Salem Street Trust	N-CSRS	5/31/13	3:1.5M	Empire Fidelity Invs...A
7/17/13	Fidelity Hereford Street Trust	485BPOS	7/17/13	26:3.5M	
	Fidelity Hereford Street Trust				
7/17/13	Fidelity Salem Street Trust	485BPOS	7/17/13	30:5.0M	Fidelity Adv Series I
	Fidelity Salem Street Trust				
7/12/13	Fidelity Hereford Street Trust	485BPOS	7/12/13	18:965K	Fidelity Rutland Squ..II
	Fidelity Hereford Street Trust				

7/12/13	Fidelity Newbury Street Trust	485BPOS	7/12/13	22:1.7M	Fidelity Hereford St..Tr
	Fidelity Newbury Street Trust				
7/12/13	Fidelity Oxford Street Trust	485APOS		1:796K	Fidelity Salem Street Tr
	Fidelity Oxford Street Trust				
6/28/13	Fidelity Garrison Street Trust	N-Q	4/30/13	2:70K	
6/28/13	Fidelity Income Fund/MA	N-Q	4/30/13	2:973K	Fidelity NY Muni Tr II
6/28/13	Fidelity Mass Municipal Trust	N-Q	4/30/13	2:536K	
6/28/13	Fidelity New York Municipal Trust	N-Q	4/30/13	2:429K	Empire Fidelity Invs...A
6/28/13	Fidelity New York Muni Trust II	N-Q	4/30/13	2:339K	
6/28/13	Fidelity Salem Street Trust	N-Q	4/30/13	2:267K	Empire Fidelity Invs...A
6/28/13	Fidelity Salem Street Trust	N-Q	4/30/13	2:610K	
6/27/13	Fidelity Hereford Street Trust	485BPOS	6/29/13	3:791K	
	Fidelity Hereford Street Trust				
6/27/13	Fidelity Salem Street Trust	485BPOS	6/29/13	3:1.1M	
	Fidelity Salem Street Trust				
6/26/13	Fidelity Hereford Street Trust	N-CSR	4/30/13	4:632K	Fidelity Devonshire Tr
6/26/13	Fidelity Salem Street Trust	N-CSR	4/30/13	4:3.4M	Empire Fidelity Invs...A
6/25/13	Fidelity Hereford Street Trust	485BPOS	6/26/13	6:386K	Fidelity Income Fund/MA
	Fidelity Hereford Street Trust				
6/25/13	Fidelity Newbury Street Trust	485BPOS	6/26/13	7:459K	Fidelity Income Fund/MA
	Fidelity Newbury Street Trust				
6/21/13	Fidelity Advisor Series II	N-CSRS	4/30/13	3:1.3M	Fidelity Beacon Stre..Tr
6/21/13	Fidelity Charles Street Trust	N-CSRS	4/30/13	3:1.7M	Fidelity Beacon Stre..Tr
6/21/13	Fidelity Newbury Street Trust	N-CSRS	4/30/13	3:1.7M	Fidelity Rutland Squ..II
6/21/13	Fidelity Salem Street Trust	N-CSRS	4/30/13	3:3.8M	Fidelity Beacon Stre..Tr
6/17/13	Fidelity Aberdeen Street Trust	485BPOS	6/17/13	138:55M	Empire Fidelity Invs...A
	Fidelity Aberdeen Street Trust				
6/17/13	Fidelity Boylston Street Trust	485BPOS	6/17/13	36:7.7M	
	Fidelity Boylston Street Trust				
6/17/13	Fidelity Colchester Street Trust	485BPOS	6/17/13	72:22M	Fidelity Rutland Squ..II
	Fidelity Colchester Street Trust				
6/17/13	Fidelity Salem Street Trust	485BPOS	6/17/13	18:2.9M	Empire Fidelity Invs...A
	Fidelity Salem Street Trust				
5/30/13	Fidelity Advisor Series II	N-Q	3/31/13	2:835K	
5/30/13	Fidelity Garrison Street Trust	N-Q	3/31/13	2:456K	Fidelity Hereford St..Tr
5/30/13	Fidelity Municipal Trust	N-Q	3/31/13	2:2.4M	Fidelity Commonwealth Tr
5/30/13	Fidelity Municipal Trust II	N-Q	3/31/13	2:269K	Fidelity Mass Muni Trust
5/30/13	Fidelity Salem Street Trust	N-Q	3/31/13	2:60K	Fidelity Mass Muni Trust
5/30/13	Fidelity Salem Street Trust	N-Q	3/31/13	2:434K	Fidelity Hastings St..Tr
5/30/13	Fidelity School Street Trust	N-Q	3/31/13	2:3.5M	Fidelity Hereford St..Tr
5/30/13	North Carolina Capital Mgmt Trust	N-Q	3/31/13	2:117K	Fidelity Hereford St..Tr
5/30/13	Variable Ins Products Fund V	N-Q	3/31/13	2:1.7M	Fidelity Commonwealth Tr
5/29/13	Fidelity Aberdeen Street Trust	485BPOS	5/30/13	4:18M	Fidelity Rutland Squ..II
	Fidelity Aberdeen Street Trust				
5/29/13	Fidelity Boylston Street Trust	485BPOS	5/30/13	27:2.7M	Empire Fidelity Invs...A
	Fidelity Boylston Street Trust				
5/29/13	Fidelity Colchester Street Trust	485BPOS	5/30/13	3:2.7M	
	Fidelity Colchester Street Trust				
5/29/13	Fidelity Merrimack Street Trust	N-1A/A		1:435K	Fidelity Colchester...Tr
	Fidelity Merrimack Street Trust				
5/29/13	Fidelity Salem Street Trust	485BPOS	5/30/13	3:1.3M	Fidelity Aberdeen St..Tr
	Fidelity Salem Street Trust				
5/28/13	Fidelity Aberdeen Street Trust	N-CSR	3/31/13	4:26M	Fidelity Salem Street Tr
5/28/13	Fidelity Boylston Street Trust	N-CSR	3/31/13	4:1.6M	Fidelity Colchester...Tr
5/28/13	Fidelity Colchester Street Trust	N-CSR	3/31/13	4:1.5M	Empire Fidelity Invs...A
5/28/13	Fidelity Salem Street Trust	N-CSR	3/31/13	4:1.7M	Empire Fidelity Invs...A
5/24/13	Fidelity Central Inv Ports II LLC	N-CSRS	3/31/13	3:714K	Fidelity Colchester...Tr
5/24/13	Fidelity Charles Street Trust	N-CSRS	3/31/13	3:7.1M	
5/24/13	Fidelity Garrison Street Trust	N-CSRS	3/31/13	3:229K	
5/24/13	Fidelity Salem Street Trust	N-CSRS	3/31/13	3:1.6M	Empire Fidelity Invs...A
5/17/13	Fidelity Aberdeen Street Trust	485BPOS	5/17/13	14:1.1M	
	Fidelity Aberdeen Street Trust				
5/17/13	Fidelity Cal Municipal Trust	485BPOS	5/17/13	20:3.8M	Fidelity Inv Trust
	Fidelity California Municipal Trust				
5/17/13	Fidelity Cal Municipal Trust II	485BPOS	5/17/13	20:3.3M	Fidelity Inv Trust
	Fidelity California Municipal Trust II				
5/17/13	Fidelity Salem Street Trust	485BPOS	5/17/13	20:3.5M	
	Fidelity Salem Street Trust				
5/17/13	Variable Ins Products Fund V	485BPOS	5/17/13	94:34M	
	Variable Insurance Products Fund V				
5/02/13	Fidelity Salem Street Trust	485BPOS	5/02/13	18:2.0M	
	Fidelity Salem Street Trust				
5/01/13	Fidelity Salem Street Trust	N-CSRS	2/28/13	3:14M	
4/29/13	Fidelity Aberdeen Street Trust	485BPOS	4/29/13	7:815K	
	Fidelity Aberdeen Street Trust				
4/29/13	Fidelity Advisor Series IV	N-Q	2/28/13	2:161K	Empire Fidelity Invs...A
4/29/13	Fidelity Court Street Trust	N-Q	2/28/13	2:285K	Fidelity Select Ports
4/29/13	Fidelity Court Street Trust II	N-Q	2/28/13	2:285K	
4/29/13	Fidelity Phillips Street Trust	N-Q	2/28/13	2:171K	Fidelity Invs Var Ann..I
4/29/13	Fidelity Revere Street Trust	N-Q	2/28/13	2:436K	Fidelity Select Ports
4/29/13	Fidelity Salem Street Trust	N-Q	2/28/13	2:522K	Empire Fidelity Invs...A
4/29/13	Fidelity Salem Street Trust	N-Q	2/28/13	2:115K	Fidelity Select Ports
4/26/13	Fidelity Cal Municipal Trust	485BPOS	4/29/13	3:1.9M	Fidelity Rutland Squ..II
	Fidelity California Municipal Trust				
4/26/13	Fidelity Cal Municipal Trust II	485BPOS	4/29/13	4:1.1M	
	Fidelity California Municipal Trust II				
4/26/13	Fidelity Salem Street Trust	485BPOS	4/29/13	4:1.1M	Fidelity Rutland Squ..II
	Fidelity Salem Street Trust				
4/25/13	Fidelity Cal Municipal Trust	N-CSR	2/28/13	4:4.6M	Fidelity Capital Trust
4/25/13	Fidelity Cal Municipal Trust II	N-CSR	2/28/13	4:1.3M	
4/25/13	Fidelity Oxford Street Trust	N-CSR	2/28/13	4:796K	Fidelity Select Ports
4/25/13	Fidelity Salem Street Trust	N-CSR	2/28/13	4:2.4M	Empire Fidelity Invs...A
4/24/13	Fidelity Advisor Series II	N-CSRS	2/28/13	3:6.9M	Empire Fidelity Invs...A
4/24/13	Fidelity Central Inv Ports II LLC	N-CSRS	2/28/13	3:375K	Fidelity Commonwealt..II
4/24/13	Fidelity Income Fund/MA	N-CSRS	2/28/13	3:8.3M	

4/24/13	Fidelity Money Market Trust	N-CSRS	2/28/13	3:1.0M	Empire Fidelity Invs...A
4/24/13	Fidelity Union Street Trust	N-CSRS	2/28/13	3:1.5M	Fidelity Income Fund/MA
4/24/13	Fidelity Union Street Trust II	N-CSRS	2/28/13	3:2.9M	Fidelity Commonwealth...II
4/19/13	Variable Ins Products Fund V	485BPOS	4/30/13	11:10M	Fidelity Select Ports
4/17/13	Variable Insurance Products Fund V				
4/17/13	Fidelity Mass Municipal Trust	485BPOS	4/17/13	22:4.1M	
4/17/13	Fidelity Massachusetts Municipal Trust				
4/17/13	Fidelity New York Municipal Trust	485BPOS	4/17/13	18:3.0M	
4/17/13	Fidelity New York Municipal Trust				
4/17/13	Fidelity New York Muni Trust II	485BPOS	4/17/13	20:3.2M	
4/17/13	Fidelity New York Municipal Trust II				
4/17/13	Fidelity Salem Street Trust	485BPOS	4/17/13	14:1.0M	Var Ins Products Fund II
4/15/13	Fidelity Salem Street Trust	485BPOS	4/16/13	2:966K	
4/12/13	Fidelity Salem Street Trust				
4/12/13	Fidelity Hereford Street Trust	485APOS		1:332K	Fidelity Newbury Str..Tr
4/12/13	Fidelity Hereford Street Trust				
4/12/13	Fidelity Newbury Street Trust	485APOS		1:393K	
4/01/13	Fidelity Newbury Street Trust				
4/01/13	Fidelity Advisor Series II	N-Q	1/31/13	2:478K	Fidelity NY Muni Trust
4/01/13	Fidelity Charles Street Trust	N-Q	1/31/13	2:592K	Fidelity Select Ports
4/01/13	Fidelity Hereford Street Trust	N-Q	1/31/13	2:166K	Fidelity Select Ports
4/01/13	Fidelity Newbury Street Trust	N-Q	1/31/13	2:1.1M	Fidelity Select Ports
4/01/13	Fidelity Salem Street Trust	N-Q	1/31/13	2:1.8M	Fidelity NY Muni Trust
4/01/13	Fidelity Salem Street Trust	N-Q	1/31/13	2:1.1M	Fidelity NY Muni Trust
3/28/13	Fidelity Mass Municipal Trust	485BPOS	4/01/13	3:1.3M	Empire Fidelity Invs...A
3/28/13	Fidelity Massachusetts Municipal Trust				
3/28/13	Fidelity New York Municipal Trust	485BPOS	4/01/13	3:1.3M	
3/28/13	Fidelity New York Municipal Trust				
3/28/13	Fidelity New York Muni Trust II	485BPOS	4/01/13	3:1.1M	Empire Fidelity Invs...A
3/28/13	Fidelity New York Municipal Trust II				
3/28/13	Fidelity Salem Street Trust	485BPOS	4/01/13	8:679K	Fidelity Beacon Stre..Tr
3/27/13	Fidelity Salem Street Trust				
3/27/13	Fidelity Mass Municipal Trust	N-CSR	1/31/13	4:2.2M	Fidelity Rutland Squ..II
3/27/13	Fidelity New York Municipal Trust	N-CSR	1/31/13	4:3.3M	
3/27/13	Fidelity New York Muni Trust II	N-CSR	1/31/13	4:1.3M	Fidelity Charles Str..Tr
3/27/13	Fidelity Salem Street Trust	N-CSR	1/31/13	4:1.3M	Fidelity Devonshire Tr
3/26/13	Fidelity Garrison Street Trust	N-CSRS	1/31/13	3:230K	Fidelity Securities Fund
3/26/13	Fidelity Income Fund/MA	N-CSRS	1/31/13	3:25M	Fidelity NY Muni Tr II
3/26/13	Fidelity Salem Street Trust	N-CSRS	1/31/13	3:1.7M	Fidelity Puritan Trust
3/19/13	Fidelity Advisor Series II	485BPOS	3/19/13	16:2.1M	
3/19/13	Fidelity Advisor Series II				
3/19/13	Fidelity Municipal Trust	485BPOS	3/19/13	28:7.1M	Empire Fidelity Invs...A
3/19/13	Fidelity Municipal Trust				
3/19/13	Fidelity Municipal Trust II	485BPOS	3/19/13	18:2.5M	Empire Fidelity Invs...A
3/19/13	Fidelity Municipal Trust II				
3/19/13	Fidelity Salem Street Trust	485BPOS	3/19/13	20:3.0M	Fidelity Rutland Squ..II
3/19/13	Fidelity Salem Street Trust				
3/19/13	Fidelity School Street Trust	485BPOS	3/19/13	32:7.7M	
3/04/13	Fidelity School Street Trust				
3/01/13	Variable Ins Products Fund V	N-CSR	12/31/12	4:1.7M	Fidelity Adv Series I
3/01/13	Fidelity Aberdeen Street Trust	N-Q	12/31/12	2:909K	Var Ins Products Fund V
3/01/13	Fidelity Advisor Series II	485BPOS	3/01/13	3:934K	Empire Fidelity Invs...A
3/01/13	Fidelity Advisor Series II				
3/01/13	Fidelity Advisor Series II	N-CSR	12/31/12	4:3.4M	
3/01/13	Fidelity Boylston Street Trust	N-Q	12/31/12	2:190K	
3/01/13	Fidelity Central Inv Ports II LLC	N-Q	12/31/12	2:453K	
3/01/13	Fidelity Charles Street Trust	N-Q	12/31/12	2:709K	Empire Fidelity Invs...A
3/01/13	Fidelity Colchester Street Trust	N-Q	12/31/12	2:523K	Empire Fidelity Invs...A
3/01/13	Fidelity Garrison Street Trust	N-Q	12/31/12	2:116K	
3/01/13	Fidelity Salem Street Trust	N-Q	12/31/12	2:486K	Fidelity Municipal Trust
3/01/13	Fidelity Salem Street Trust	N-Q	12/31/12	2:95K	
3/01/13	Fidelity School Street Trust	485BPOS	3/01/13	11:4.0M	Fidelity Adv Series I
3/01/13	Fidelity School Street Trust				
2/28/13	Fidelity School Street Trust	N-CSR	12/31/12	4:10M	Empire Fidelity Invs...A
2/28/13	Fidelity Municipal Trust	485BPOS	3/01/13	3:3.8M	Fidelity Adv Series VIII
2/28/13	Fidelity Municipal Trust				
2/28/13	Fidelity Municipal Trust II	485BPOS	3/01/13	3:1.9M	
2/28/13	Fidelity Municipal Trust II				
2/28/13	Fidelity Salem Street Trust	485BPOS	3/01/13	5:1.5M	Empire Fidelity Invs...A
2/28/13	Fidelity Salem Street Trust				
2/28/13	Variable Ins Products Fund V	485BPOS	2/28/13	14:890K	
2/27/13	Variable Insurance Products Fund V				
2/27/13	Fidelity Garrison Street Trust	N-CSR	12/31/12	4:885K	
2/27/13	Fidelity Municipal Trust	N-CSR	12/31/12	4:10M	Empire Fidelity Invs...A
2/27/13	Fidelity Municipal Trust II	N-CSR	12/31/12	4:4.1M	Fidelity Adv Series VIII
2/27/13	Fidelity Salem Street Trust	N-CSR	12/31/12	4:1.3M	
2/25/13	Variable Ins Products Fund V	N-CSR	12/31/12	4:21M	Fidelity Garrison St..Tr
2/21/13	Fidelity Salem Street Trust	N-CSRS	12/31/12	3:3.6M	
2/20/13	Variable Ins Products Fund V	N-CSR	12/31/12	4:738K	
2/14/13	Fidelity Advisor Series IV	485BPOS	2/14/13	14:1.0M	North Carolina Cap M..Tr
2/14/13	Fidelity Advisor Series IV				Empire Fidelity Invs...A
2/14/13	Fidelity Court Street Trust	485BPOS	2/14/13	16:1.9M	
2/14/13	Fidelity Court Street Trust				
2/14/13	Fidelity Court Street Trust II	485BPOS	2/14/13	22:4.0M	
2/14/13	Fidelity Court Street Trust II				
2/14/13	Fidelity Phillips Street Trust	485BPOS	2/14/13	16:1.7M	Empire Fidelity Invs...A
2/14/13	Fidelity Phillips Street Trust				
2/14/13	Fidelity Salem Street Trust	485BPOS	2/14/13	18:3.0M	Empire Fidelity Invs...A
2/13/13	Fidelity Salem Street Trust				
2/13/13	Fidelity Aberdeen Street Trust	485APOS		1:731K	
2/11/13	Fidelity Aberdeen Street Trust				
2/11/13	Variable Ins Products Fund V	485BPOS	2/12/13	8:709K	
12/19/08	Variable Insurance Products Fund V				
12/19/08	Undiscovered Managers Funds	485BPOS	12/19/08	27:5.9M	Digital Publishi..Inc/FA

	Undiscovered Managers Funds					
<u>10/28/08</u>	<u>JP Morgan Mutual Fund Inv Trust</u>	<u>485BPOS</u>	<u>11/01/08</u>	28:11M		<u>Digital Publishi...Inc/FA</u>
	<u>JP Morgan Mutual Fund Investment Trust</u>					
<u>8/28/08</u>	<u>JP Morgan Fleming Mutual Fd...Inc</u>	<u>485APOS</u>		24:8.7M		<u>Digital Publishi...Inc/FA</u>
	<u>JP Morgan Fleming Mutual Fund Group Inc</u>					
<u>6/27/08</u>	<u>JPMorgan Institutional Trust</u>	<u>POS AMI</u>		10:1.6M		<u>Digital Publishi...Inc/FA</u>
<u>6/27/08</u>	<u>JP Morgan Mutual Fund Group/MA</u>	<u>485BPOS</u>	<u>7/01/08</u>	24:12M		<u>Digital Publishi...Inc/FA</u>
	<u>JP Morgan Mutual Fund Group/MA</u>					
<u>5/28/08</u>	<u>JPMorgan Trust I</u>	<u>485APOS</u>		20:4.5M		<u>Digital Publishi...Inc/FA</u>
	<u>JPMorgan Trust I</u>					
<u>5/05/08</u>	<u>JPMorgan Trust I</u>	<u>485APOS</u>		2:2.3M		<u>Digital Publishi...Inc/FA</u>
	<u>JPMorgan Trust I</u>					
<u>4/24/08</u>	<u>JPMorgan Insurance Trust</u>	<u>485BPOS</u>	<u>5/01/08</u>	19:4.7M		<u>Digital Publishi...Inc/FA</u>
	<u>JPMorgan Insurance Trust</u>					
<u>4/24/08</u>	<u>JP Morgan Series Trust II</u>	<u>485BPOS</u>	<u>5/01/08</u>	15:3.4M		<u>Digital Publishi...Inc/FA</u>
	<u>JP Morgan Series Trust II</u>					
<u>4/24/08</u>	<u>JPMorgan Trust II</u>	<u>485BPOS</u>	<u>5/01/08</u>	9:2.6M		<u>Digital Publishi...Inc/FA</u>
	<u>JPMorgan Trust II</u>					
<u>3/28/08</u>	<u>Amg Funds III</u>	<u>485BPOS</u>	<u>4/01/08</u>	16:6.0M		<u>RR Donnelley/FA</u>
	<u>Managers Funds</u>					
<u>3/10/08</u>	<u>Pacholder High Yield Fund Inc</u>	<u>N-CSR</u>	<u>12/31/07</u>	5:854K		<u>RR Donnelley/FA</u>
<u>2/27/08</u>	<u>JPMorgan Trust II</u>	<u>485BPOS</u>	<u>2/29/08</u>	18:6.0M		<u>Digital Publishi...Inc/FA</u>
	<u>JPMorgan Trust II</u>					
<u>1/18/08</u>	<u>JPMorgan Institutional Trust</u>	<u>POS AMI</u>		5:1.8M		<u>Digital Publishi...Inc/FA</u>
<u>12/27/07</u>	<u>JPMorgan Trust I</u>	<u>485APOS</u>		4:2.6M		<u>Digital Publishi...Inc/FA</u>
	<u>JPMorgan Trust I</u>					
<u>12/21/07</u>	<u>Undiscovered Managers Funds</u>	<u>485BPOS</u>	<u>12/31/07</u>	21:4.3M		<u>Digital Publishi...Inc/FA</u>
	<u>Undiscovered Managers Funds</u>					
<u>12/06/07</u>	<u>Pacholder High Yield Fund Inc</u>	<u>40-17F2</u>	<u>12/06/07</u>	1:43K	<u>Pacholder High Yield Fund Inc</u>	<u>Digital Publishi...Inc/FA</u>
<u>12/06/07</u>	<u>Pacholder High Yield Fund Inc</u>	<u>40-17F2</u>	<u>12/06/07</u>	1:43K	<u>Pacholder High Yield Fund Inc</u>	<u>Digital Publishi...Inc/FA</u>
<u>11/29/07</u>	<u>Pacholder High Yield Fund Inc</u>	<u>N-Q</u>	<u>9/30/07</u>	2:430K		<u>RR Donnelley/FA</u>
<u>10/26/07</u>	<u>JP Morgan Fleming Mutual Fd...Inc</u>	<u>485BPOS</u>	<u>11/01/07</u>	27:10M		<u>Digital Publishi...Inc/FA</u>
	<u>JP Morgan Fleming Mutual Fund Group Inc</u>					
<u>10/26/07</u>	<u>JP Morgan Mutual Fund Inv Trust</u>	<u>485BPOS</u>	<u>11/01/07</u>	27:10M		<u>Digital Publishi...Inc/FA</u>
	<u>JP Morgan Mutual Fund Investment Trust</u>					
<u>9/28/07</u>	<u>JPMorgan Trust I</u>	<u>N-Q</u>	<u>6/30/07</u>	2:4.8M		<u>Digital Publishi...Inc/FA</u>
<u>9/21/07</u>	<u>JPMorgan Trust II</u>	<u>N-Q</u>	<u>6/30/07</u>	2:782K		<u>Digital Publishi...Inc/FA</u>
<u>9/07/07</u>	<u>Pacholder High Yield Fund Inc</u>	<u>N-CSRS</u>	<u>6/30/07</u>	3:686K		<u>RR Donnelley/FA</u>
<u>8/13/07</u>	<u>JPMorgan Trust I</u>	<u>N-Q/A</u>	<u>5/31/07</u>	2:5.9M		<u>Digital Publishi...Inc/FA</u>
<u>7/30/07</u>	<u>JPMorgan Institutional Trust</u>	<u>N-Q</u>	<u>5/31/07</u>	2:1.9M		<u>Digital Publishi...Inc/FA</u>
<u>7/30/07</u>	<u>JPMorgan Trust I</u>	<u>N-Q</u>	<u>5/31/07</u>	2:5.9M		<u>Digital Publishi...Inc/FA</u>
<u>7/30/07</u>	<u>JPMorgan Trust II</u>	<u>N-Q</u>	<u>5/31/07</u>	2:6.1M		<u>Digital Publishi...Inc/FA</u>
<u>7/27/07</u>	<u>JP Morgan Mutual Fund Group/MA</u>	<u>N-Q</u>	<u>5/31/07</u>	2:408K		<u>Digital Publishi...Inc/FA</u>
<u>7/27/07</u>	<u>Undiscovered Managers Funds</u>	<u>N-Q</u>	<u>5/31/07</u>	2:393K		<u>Digital Publishi...Inc/FA</u>
<u>7/06/07</u>	<u>JP Morgan Fleming Mutual Fd...Inc</u>	<u>40-17F2</u>	<u>7/06/07</u>	1:45K	<u>JP Morgan Fleming Mutual Fd...Inc</u>	<u>Digital Publishi...Inc/FA</u>
<u>7/06/07</u>	<u>JP Morgan Fleming Series Trust</u>	<u>40-17F2</u>	<u>7/06/07</u>	1:46K	<u>JP Morgan Fleming Series Trust</u>	<u>Digital Publishi...Inc/FA</u>
<u>7/06/07</u>	<u>JPMorgan Institutional Trust</u>	<u>40-17F2</u>	<u>7/06/07</u>	1:46K	<u>JPMorgan Institutional Trust</u>	<u>Digital Publishi...Inc/FA</u>
<u>7/06/07</u>	<u>JP Morgan Mutual Fund Group/MA</u>	<u>40-17F2</u>	<u>7/06/07</u>	1:47K	<u>JP Morgan Mutual Fund Group/MA</u>	<u>Digital Publishi...Inc/FA</u>
<u>7/06/07</u>	<u>JP Morgan Mutual Fund Inv Trust</u>	<u>40-17F2</u>	<u>7/06/07</u>	1:47K	<u>JP Morgan Mutual Fund Inv Trust</u>	<u>Digital Publishi...Inc/FA</u>
<u>7/06/07</u>	<u>JP Morgan Series Trust II</u>	<u>40-17F2</u>	<u>7/06/07</u>	1:46K	<u>JP Morgan Series Trust II</u>	<u>Digital Publishi...Inc/FA</u>
<u>7/06/07</u>	<u>JPMorgan Trust I</u>	<u>40-17F2</u>	<u>7/06/07</u>	1:47K	<u>JPMorgan Trust I</u>	<u>Digital Publishi...Inc/FA</u>
<u>7/06/07</u>	<u>JPMorgan Trust II</u>	<u>40-17F2</u>	<u>7/06/07</u>	1:47K	<u>JPMorgan Trust II</u>	<u>Digital Publishi...Inc/FA</u>
<u>7/06/07</u>	<u>Um Investment Trust</u>	<u>40-17F2</u>	<u>7/06/07</u>	1:47K	<u>JPMorgan Insurance Trust</u>	<u>Digital Publishi...Inc/FA</u>
<u>7/06/07</u>	<u>Undiscovered Managers Funds</u>	<u>40-17F2</u>	<u>7/06/07</u>	1:47K	<u>Undiscovered Managers Funds</u>	<u>Digital Publishi...Inc/FA</u>
<u>6/28/07</u>	<u>JPMorgan Institutional Trust</u>	<u>POS AMI</u>		8:1.5M		<u>Digital Publishi...Inc/FA</u>
<u>3/30/07</u>	<u>Amg Funds III</u>	<u>485BPOS</u>	<u>4/01/07</u>	12:1.8M		<u>RR Donnelley/FA</u>
	<u>Managers Funds</u>					
<u>2/27/07</u>	<u>JPMorgan Trust II</u>	<u>485BPOS</u>	<u>2/28/07</u>	21:6.7M		<u>Digital Publishi...Inc/FA</u>
	<u>JPMorgan Trust II</u>					
<u>2/14/07</u>	<u>JP Morgan Fleming Mutual Fd...Inc</u>	<u>N-Q/A</u>	<u>9/30/06</u>	2:182K		<u>Digital Publishi...Inc/FA</u>
<u>2/14/07</u>	<u>JP Morgan Series Trust II</u>	<u>N-Q/A</u>	<u>9/30/06</u>	2:1.5M		<u>Digital Publishi...Inc/FA</u>
<u>12/20/06</u>	<u>JP Morgan Mutual Fund Group/MA</u>	<u>485BPOS</u>	<u>12/20/06</u>	23:6.0M		<u>Digital Publishi...Inc/FA</u>
	<u>JP Morgan Mutual Fund Group/MA</u>					
<u>11/29/06</u>	<u>JP Morgan Fleming Mutual Fd...Inc</u>	<u>N-Q</u>	<u>9/30/06</u>	2:173K		<u>Digital Publishi...Inc/FA</u>
<u>11/29/06</u>	<u>JP Morgan Fleming Series Trust</u>	<u>N-Q</u>	<u>9/30/06</u>	2:798K		<u>Digital Publishi...Inc/FA</u>
<u>11/29/06</u>	<u>JPMorgan Insurance Trust</u>	<u>N-Q</u>	<u>9/30/06</u>	2:2.5M		<u>Digital Publishi...Inc/FA</u>
<u>11/29/06</u>	<u>JP Morgan Mutual Fund Inv Trust</u>	<u>N-Q</u>	<u>9/30/06</u>	2:177K		<u>Digital Publishi...Inc/FA</u>
<u>11/29/06</u>	<u>JPMorgan Trust I</u>	<u>N-Q</u>	<u>9/30/06</u>	2:5.2M		<u>Digital Publishi...Inc/FA</u>
<u>11/29/06</u>	<u>JPMorgan Trust II</u>	<u>N-Q</u>	<u>9/30/06</u>	2:3.9M		<u>Digital Publishi...Inc/FA</u>
<u>11/29/06</u>	<u>JPMorgan Trust II</u>	<u>N-Q</u>	<u>9/30/06</u>	2:76K		<u>Digital Publishi...Inc/FA</u>
<u>11/29/06</u>	<u>Um Investment Trust</u>	<u>N-Q</u>	<u>12/31/05</u>	2:80K		<u>Digital Publishi...Inc/FA</u>
<u>11/09/06</u>	<u>JPMorgan Institutional Trust</u>	<u>N-CSRS</u>	<u>8/31/06</u>	3:3.1M		<u>Digital Publishi...Inc/FA</u>
<u>11/07/06</u>	<u>JPMorgan Institutional Trust</u>	<u>POS AMI</u>		7:179K		<u>RR Donnelley/FA</u>
<u>10/27/06</u>	<u>JP Morgan Fleming Mutual Fd...Inc</u>	<u>485BPOS</u>	<u>11/01/06</u>	16:9.4M		<u>Digital Publishi...Inc/FA</u>
	<u>JP Morgan Fleming Mutual Fund Group Inc</u>					
<u>10/27/06</u>	<u>JP Morgan Fleming Series Trust</u>	<u>485BPOS</u>	<u>11/01/06</u>	14:2.2M		<u>Digital Publishi...Inc/FA</u>
	<u>JP Morgan Fleming Series Trust</u>					
<u>10/27/06</u>	<u>JP Morgan Mutual Fund Inv Trust</u>	<u>485BPOS</u>	<u>11/01/06</u>	16:9.7M		<u>Digital Publishi...Inc/FA</u>
	<u>JP Morgan Mutual Fund Investment Trust</u>					
<u>10/27/06</u>	<u>JPMorgan Trust II</u>	<u>485BPOS</u>	<u>11/01/06</u>	18:22M		<u>Digital Publishi...Inc/FA</u>
	<u>JPMorgan Trust II</u>					
<u>10/25/06</u>	<u>JPMorgan Trust I</u>	<u>485BPOS</u>	<u>10/25/06</u>	22:3.5M		<u>Digital Publishi...Inc/FA</u>
	<u>JPMorgan Trust I</u>					
<u>10/16/06</u>	<u>JPMorgan Insurance Trust</u>	<u>485BXT</u>		8:3.2M		<u>RR Donnelley/FA</u>
	<u>JPMorgan Insurance Trust</u>					
<u>8/30/06</u>	<u>JP Morgan Fleming Mutual Fd...Inc</u>	<u>485APOS</u>		19:5.5M		<u>Digital Publishi...Inc/FA</u>
	<u>JP Morgan Fleming Mutual Fund Group Inc</u>					
<u>8/30/06</u>	<u>JP Morgan Fleming Series Trust</u>	<u>485APOS</u>		15:2.0M		<u>Digital Publishi...Inc/FA</u>
	<u>JP Morgan Fleming Series Trust</u>					
<u>8/30/06</u>	<u>JP Morgan Mutual Fund Inv Trust</u>	<u>485APOS</u>		18:6.5M		<u>Digital Publishi...Inc/FA</u>
	<u>JP Morgan Mutual Fund Investment Trust</u>					
<u>8/30/06</u>	<u>JPMorgan Trust II</u>	<u>485APOS</u>		15:10M		<u>Digital Publishi...Inc/FA</u>
	<u>JPMorgan Trust II</u>					
<u>8/16/06</u>	<u>JPMorgan Insurance Trust</u>	<u>485BPOS</u>	<u>8/16/06</u>	17:2.6M		<u>RR Donnelley/FA</u>
	<u>JPMorgan Insurance Trust</u>					

8/11/06	JPMorgan Trust I	485APOS		19:2.3M	Digital Publishi..Inc/FA
	JPMorgan Trust I				
6/01/06	JPMorgan Insurance Trust	485APOS		10:2.1M	RR Donnelley/FA
	JPMorgan Insurance Trust				
5/05/06	JPMorgan Trust II	485BPOS	5/05/06	4:3.2M	RR Donnelley/FA
	JPMorgan Trust II				
5/05/06	Undiscovered Managers Funds	485BPOS	5/05/06	14:564K	Merrill Corp/New/FA
	Undiscovered Managers Funds				
5/03/06	JPMorgan Trust I	485BXT		6:5.1M	RR Donnelley/FA
	JPMorgan Trust I				
4/28/06	JP Morgan Fleming Mutual Fd...Inc	485BPOS	5/01/06	15:1.7M	Merrill Corp/New/FA
	JP Morgan Fleming Mutual Fund Group Inc				
4/28/06	JP Morgan Fleming Series Trust	485BPOS	5/01/06	7:509K	Merrill Corp/New/FA
	JP Morgan Fleming Series Trust				
4/28/06	JPMorgan Insurance Trust	485BPOS	5/01/06	10:3.2M	RR Donnelley/FA
	JPMorgan Investment Trust				
4/28/06	JP Morgan Mutual Fund Inv Trust	485BPOS	5/01/06	9:1.1M	Merrill Corp/New/FA
	JP Morgan Mutual Fund Investment Trust				
4/28/06	JP Morgan Series Trust II	485BPOS	5/01/06	8:603K	Merrill Corp/New/FA
	JP Morgan Series Trust II				
4/28/06	JPMorgan Trust I	485BPOS	5/01/06	16:2.5M	Merrill Corp/New/FA
	JPMorgan Trust I				
4/28/06	JPMorgan Trust II	485BPOS	5/01/06	12:3.8M	RR Donnelley/FA
	JPMorgan Trust II				
3/31/06	Amg Funds III	485BPOS	4/01/06	22:1.1M	RR Donnelley/FA
	Managers Funds				
3/28/06	JPMorgan Trust I	N-Q/A	1/31/06	2:8.7M	Merrill Corp-MD/FA
3/24/06	JPMorgan Trust I	N-Q	1/31/06	2:8.7M	Merrill Corp-MD/FA
3/10/06	JPMorgan Institutional Trust	N-CSRS	12/31/05	3:317K	Bowne BCL/FA
3/10/06	JPMorgan Insurance Trust	N-CSR	12/31/05	4:992K	Bowne BCL/FA
3/10/06	JPMorgan Trust II	N-CSR	12/31/05	4:140K	Bowne BCL/FA
3/10/06	JPMorgan Trust II	N-CSRS	12/31/05	3:2.5M	Bowne BCL/FA
3/10/06	Um Investment Trust	N-CSR	12/31/05	5:971K	Merrill Corp-MD/FA
3/09/06	JP Morgan Fleming Mutual Fd...Inc	N-CSR	12/31/05	4:6.6M	Merrill Corp-MD/FA
3/09/06	JP Morgan Fleming Series Trust	N-CSR	12/31/05	4:1.6M	Merrill Corp-MD/FA
3/09/06	JP Morgan Mutual Fund Inv Trust	N-CSR	12/31/05	4:6.6M	Merrill Corp-MD/FA
3/09/06	JP Morgan Series Trust II	N-CSR	12/31/05	4:3.9M	Merrill Corp-MD/FA
3/09/06	JPMorgan Trust I	N-CSR	12/31/05	3:13M	Merrill Corp-MD/FA
3/01/06	JP Morgan Mutual Fund Inv Trust	485APOS		11:1.0M	Merrill Corp/New/FA
	JP Morgan Mutual Fund Investment Trust				
2/28/06	JP Morgan Fleming Series Trust	485APOS		6:586K	Merrill Corp/New/FA
	JP Morgan Fleming Series Trust				
2/28/06	JP Morgan Series Trust II	485APOS		2:357K	Merrill Corp/New/FA
	JP Morgan Series Trust II				
2/24/06	JPMorgan Trust I	485BPOS	2/28/06	7:3.8M	Merrill Corp/New/FA
	JPMorgan Trust I				
2/17/06	JPMorgan Trust I	485APOS		1:4.7M	RR Donnelley/FA
	JPMorgan Trust I				
1/30/06	JPMorgan Trust I	485BPOS	1/31/06	3:480K	Merrill Corp/New/FA
	JPMorgan Trust I				
12/30/05	JPMorgan Trust II	485BPOS	1/13/06	13:2.6M	Digital Publishi..Inc/FA
	JPMorgan Trust II				
12/29/05	JP Morgan Series Trust	N-CSR	10/30/05	4:11M	Merrill Corp-MD/FA
12/29/05	JPMorgan Trust I	485APOS		4:890K	Merrill Corp/New/FA
	JPMorgan Trust I				
12/29/05	JPMorgan Trust I	485BPOS	1/03/06	5:606K	Merrill Corp/New/FA
	JPMorgan Trust I				
12/29/05	JPMorgan Trust I	N-CSR	10/31/05	4:11M	Merrill Corp-MD/FA
12/28/05	Undiscovered Managers Funds	485BPOS	12/31/05	22:1.1M	Merrill Corp/New/FA
	Undiscovered Managers Funds				
12/20/05	JP Morgan Mutual Fund Group/MA	485BPOS	12/31/05	24:1.4M	Merrill Corp/New/FA
	JP Morgan Mutual Fund Group/MA				
12/20/05	JPMorgan Trust I	485BPOS	12/31/05	7:2.1M	Merrill Corp/New/FA
	JPMorgan Trust I				
12/15/05	JPMorgan Trust I	485APOS		14:638K	Merrill Corp/New/FA
	JPMorgan Trust I				
12/09/05	UM Investment Trust II	N-CSRS	9/30/05	3:548K	Merrill Corp-MD/FA
12/02/05	JPMorgan Trust I	485BPOS	12/13/05	8:8.1M	Digital Publishi..Inc/FA
	JPMorgan Trust I				
12/02/05	JPMorgan Trust II	485BPOS	12/13/05	5:8.6M	Digital Publishi..Inc/FA
	JPMorgan Trust II				
11/30/05	JPMorgan Trust I	485BXT		4:46K	Digital Publishi..Inc/FA
	JPMorgan Trust I				
11/30/05	JPMorgan Trust II	485BXT		1:46K	Digital Publishi..Inc/FA
	JPMorgan Trust II				
11/18/05	JPMorgan Trust I	485BPOS	11/18/05	7:608K	Merrill Corp/New/FA
	JPMorgan Trust I				
11/15/05	JPMorgan Trust I	485APOS		1:468K	Merrill Corp/New/FA
	JPMorgan Trust I				
11/14/05	JPMorgan Trust I	485APOS		5:1.2M	Digital Publishi..Inc/FA
	JPMorgan Trust I				
11/14/05	JPMorgan Trust II	485APOS		3:1.5M	Digital Publishi..Inc/FA
	JPMorgan Trust II				
11/08/05	JP Morgan Mutual Fund Group/MA	N-CSR	8/31/05	4:5.8M	Merrill Corp-MD/FA
11/08/05	JPMorgan Trust I	N-CSR	8/31/05	4:14M	Merrill Corp-MD/FA
11/08/05	Undiscovered Managers Funds	N-CSR	8/31/05	4:2.3M	Merrill Corp-MD/FA
10/28/05	JPMorgan Institutional Trust	POS AMI		7:2.2M	RR Donnelley/FA
10/28/05	JPMorgan Trust I	485APOS		4:1.1M	Merrill Corp/New/FA
	JPMorgan Trust I				
10/27/05	JPMorgan Trust I	485BPOS	10/31/05	14:667K	Merrill Corp/New/FA
	JPMorgan Trust I				
9/30/05	JPMorgan Trust I	485APOS		4:7.9M	Digital Publishi..Inc/FA
	JPMorgan Trust I				
9/30/05	JPMorgan Trust II	485APOS		1:8.5M	Digital Publishi..Inc/FA
	JPMorgan Trust II				

9/29/05	JPMorgan Trust I	485BPOS	9/30/05	16:969K	Merrill Corp/New/FA
	JPMorgan Trust I				
9/08/05	JPMorgan Institutional Trust	N-CSR	6/30/05	4:320K	Bowne BCL/FA
9/08/05	JPMorgan Trust II	N-CSR	6/30/05	4:2.3M	Bowne BCL/FA
9/08/05	Um Investment Trust	N-CSRS	6/30/05	3:684K	Merrill Corp-MD/FA
9/07/05	JP Morgan Fleming Mutual Fd...Inc	N-CSRS	6/30/05	3:6.6M	Merrill Corp-MD/FA
9/07/05	JP Morgan Fleming Series Trust	N-CSRS	6/30/05	3:1.6M	Merrill Corp-MD/FA
9/07/05	JPMorgan Insurance Trust	N-CSRS	6/30/05	3:764K	Bowne BCL/FA
9/07/05	JP Morgan Mutual Fund Inv Trust	N-CSRS	6/30/05	3:6.6M	Merrill Corp-MD/FA
9/07/05	JPMorgan Secs Lending Collate..Tr	N-CSRS	6/30/05	3:317K	Merrill Corp-MD/FA
9/07/05	JP Morgan Series Trust II	N-CSRS	6/30/05	3:3.9M	Merrill Corp-MD/FA
9/07/05	JPMorgan Trust I	N-CSRS	6/30/05	3:14M	Merrill Corp-MD/FA
9/07/05	JPMorgan Trust II	N-CSRS	6/30/05	3:95K	Bowne BCL/FA
9/06/05	UM Investment Trust II	N-Q	6/30/05	2:92K	Merrill Corp-MD/FA
8/15/05	JPMorgan Trust I	485APOS		1:547K	Merrill Corp/New/FA
	JPMorgan Trust I				
8/03/05	JP Morgan Mutual Fund Inv Trust	485APOS		11:555K	Merrill Corp/New/FA
	JP Morgan Mutual Fund Investment Trust				
5/09/05	JP Morgan Mutual Fund Group/MA	N-CSRS	2/28/05	3:396K	Merrill Corp/New/FA
5/09/05	JPMorgan Trust I	N-CSRS	2/28/05	3:1.1M	Merrill Corp/New/FA
5/09/05	Undiscovered Managers Funds	N-CSRS	2/28/05	3:169K	Merrill Corp/New/FA
4/29/05	JP Morgan Fleming Mutual Fd...Inc	485BPOS	4/29/05	9:1.7M	Merrill Corp/New/FA
	JP Morgan Fleming Mutual Fund Group Inc				
4/29/05	JP Morgan Fleming Series Trust	485BPOS	5/01/05	9:760K	Merrill Corp/New/FA
	JP Morgan Fleming Series Trust				
4/29/05	JP Morgan Mutual Fund Inv Trust	485BPOS	4/29/05	9:1.2M	Merrill Corp/New/FA
	JP Morgan Mutual Fund Investment Trust				
4/29/05	JPMorgan Trust I	485BPOS	4/29/05	9:1.9M	Merrill Corp/New/FA
	JPMorgan Trust I				
4/27/05	JPMorgan Trust II	485BPOS	5/01/05	15:4.8M	RR Donnelley/FA
	JPMorgan Trust II				
4/26/05	JPMorgan Insurance Trust	485BPOS	5/01/05	17:4.5M	RR Donnelley/FA
	One Group Investment Trust				
4/20/05	JP Morgan Series Trust II	485BPOS	5/01/05	8:836K	Merrill Corp/New/FA
	JP Morgan Series Trust II				
3/30/05	JP Morgan Institutional Funds	N-Q	1/31/05	2:69K	Merrill Corp/New/FA
3/30/05	JP Morgan Mutual Fund Group/MA	N-Q	1/31/05	2:61K	Merrill Corp/New/FA
3/30/05	JP Morgan Mutual Fund Select Gp	N-Q	1/31/05	2:38K	Merrill Corp/New/FA
3/30/05	JP Morgan Series Trust	N-Q	1/31/05	2:79K	Merrill Corp/New/FA
3/11/05	Growth & Income Portfolio	N-CSR	12/31/04	3:139K	Merrill Corp/New/FA
3/11/05	JP Morgan Fleming Mutual Fd...Inc	N-CSR	12/31/04	3:456K	Merrill Corp/New/FA
3/11/05	JP Morgan Fleming Series Trust	N-CSR	12/31/04	3:141K	Merrill Corp/New/FA
3/11/05	JP Morgan Funds	N-CSR	12/31/04	3:456K	Merrill Corp/New/FA
3/11/05	JP Morgan Institutional Funds	N-CSR	12/31/04	3:802K	Merrill Corp/New/FA
3/11/05	JPMorgan Insurance Trust	N-CSR	12/31/04	4:392K	Bowne BCL/FA
3/11/05	JP Morgan Mutual Fund Group/MA	N-CSR	12/31/04	3:665K	Merrill Corp/New/FA
3/11/05	JP Morgan Mutual Fund Inv Trust	N-CSR	12/31/04	3:802K	Merrill Corp/New/FA
3/11/05	JP Morgan Mutual Fund Select Gp	N-CSR	12/31/04	3:456K	Merrill Corp/New/FA
3/11/05	JPMorgan Secs Lending Collate..Tr	N-CSR	12/31/04	3:73K	Merrill Corp/New/FA
3/11/05	JP Morgan Series Trust II	N-CSR	12/31/04	3:439K	Merrill Corp/New/FA
3/11/05	JPMorgan Trust I	N-CSR	12/31/04	3:168K	Merrill Corp/New/FA
3/11/05	JPMorgan Trust II	N-CSRS	12/31/04	3:2.1M	Bowne BCL/FA
3/11/05	Um Investment Trust	N-CSR	12/31/04	3:105K	Merrill Corp/New/FA
3/09/05	JPMorgan Insurance Trust	N-CSR/A	12/31/03	4:346K	Bowne BCL/FA
2/28/05	JP Morgan Fleming Series Trust	485APOS		8:558K	Merrill Corp/New/FA
	JP Morgan Fleming Series Trust				
2/25/05	JP Morgan Series Trust II	485APOS		4:599K	Merrill Corp/New/FA
	JP Morgan Series Trust II				
2/25/05	JPMorgan Trust I	485BPOS	2/25/05	1:504K	Merrill Corp/New/FA
	JPMorgan Trust I				
2/24/05	JPMorgan Trust II	497J	2/24/05	1:6K	RR Donnelley/FA
2/18/05	JP Morgan Fleming Mutual Fd...Inc	485BPOS	2/19/05	2:1.1M	Merrill Corp/New/FA
	JP Morgan Fleming Mutual Fund Group Inc				
2/18/05	JP Morgan Institutional Funds	485BPOS	2/18/05	6:800K	Merrill Corp/New/FA
	JP Morgan Institutional Funds				
2/18/05	JP Morgan Mutual Fund Inv Trust	485BPOS	2/19/05	3:768K	Merrill Corp/New/FA
	JP Morgan Mutual Fund Investment Trust				
2/18/05	JP Morgan Mutual Fund Trust	485BPOS	2/18/05	6:1.0M	Merrill Corp/New/FA
	JP Morgan Mutual Fund Trust				
2/18/05	JP Morgan Series Trust	485BPOS	2/18/05	6:610K	Merrill Corp/New/FA
	JP Morgan Series Trust				
2/18/05	JPMorgan Trust I	485BPOS	2/19/05	7:185K	Merrill Corp/New/FA
	JPMorgan Trust I				
2/18/05	JPMorgan Trust I	485BPOS	2/19/05	13:8.5M	Merrill Corp/New/FA
	JP Morgan Mutual Fund Series				
2/18/05	JPMorgan Trust I	POS AMI		1:289K	Merrill Corp/New/FA
2/15/05	Growth & Income Portfolio	40-17F2	2/15/05	1:10K	Growth & Income Portfolio
2/15/05	JP Morgan Fleming Series Trust	40-17F2	2/15/05	1:10K	JP Morgan Fleming Series Trust
2/15/05	JP Morgan Funds	40-17F2	2/15/05	1:10K	JP Morgan Funds
2/15/05	JP Morgan Institutional Funds	40-17F2	2/15/05	1:10K	JP Morgan Institutional Funds
2/15/05	JP Morgan Mutual Fund Inv Trust	40-17F2	2/15/05	1:11K	JP Morgan Mutual Fund Inv Trust
2/15/05	JP Morgan Mutual Fund Select Gp	40-17F2	2/15/05	1:10K	JP Morgan Mutual Fund Select Gp
2/15/05	JP Morgan Mutual Fund Select Tr	40-17F2	2/15/05	1:10K	JP Morgan Mutual Fund Select Tr
2/15/05	JP Morgan Mutual Fund Trust	40-17F2	2/15/05	1:10K	JP Morgan Mutual Fund Trust
2/15/05	JP Morgan Series Trust	40-17F2	2/15/05	1:10K	JP Morgan Series Trust
2/15/05	JP Morgan Series Trust II	40-17F2	2/15/05	1:10K	JP Morgan Series Trust II
2/15/05	JPMorgan Trust I	40-17F2	2/15/05	1:10K	JPMorgan Trust I
2/15/05	Undiscovered Managers Funds	40-17F2	2/15/05	1:10K	Undiscovered Managers Funds
2/09/05	JP Morgan Fleming Mutual Fd...Inc	485APOS		10:1.5M	Merrill Corp/New/FA
	JP Morgan Fleming Mutual Fund Group Inc				
2/09/05	JP Morgan Mutual Fund Inv Trust	485APOS		11:1.1M	Merrill Corp/New/FA
	JP Morgan Mutual Fund Investment Trust				
1/28/05	JP Morgan Funds	N-Q	11/30/04	2:20K	Merrill Corp/New/FA
1/28/05	JP Morgan Institutional Funds	N-Q	11/30/04	2:100K	Merrill Corp/New/FA
1/28/05	JP Morgan Mutual Fund Group/MA	N-Q	11/30/04	2:74K	Merrill Corp/New/FA

1/28/05	JP Morgan Mutual Fund Select Gp	N-Q	11/30/04	2:31K	Merrill Corp/New/FA
1/28/05	JP Morgan Mutual Fund Select Tr	N-Q	11/30/04	2:124K	Merrill Corp/New/FA
1/28/05	JP Morgan Mutual Fund Trust	N-Q	11/30/04	2:254K	Merrill Corp/New/FA
1/28/05	JP Morgan Series Trust	N-Q	11/30/04	2:47K	Merrill Corp/New/FA
1/28/05	Undiscovered Managers Funds	N-Q	11/30/04	2:42K	Merrill Corp/New/FA
1/24/05	JP Morgan Institutional Funds	485BXT		1:6K	Merrill Corp/New/FA
	JP Morgan Institutional Funds				
1/24/05	JP Morgan Mutual Fund Select Gp	485BXT		1:6K	Merrill Corp/New/FA
	JP Morgan Mutual Fund Select Group				
1/24/05	JP Morgan Mutual Fund Select Tr	485BXT		1:6K	Merrill Corp/New/FA
	JP Morgan Mutual Fund Select Trust				
1/24/05	JP Morgan Mutual Fund Trust	485BXT		1:6K	Merrill Corp/New/FA
	JP Morgan Mutual Fund Trust				
1/24/05	JP Morgan Series Trust	485BXT		1:6K	Merrill Corp/New/FA
	JP Morgan Series Trust				
12/29/04	JP Morgan Institutional Funds	N-CSR	10/31/04	3:685K	Merrill Corp/New/FA
12/29/04	JP Morgan Mutual Fund Group/MA	N-CSR	10/31/04	3:685K	Merrill Corp/New/FA
12/29/04	JP Morgan Mutual Fund Select Gp	N-CSR	10/31/04	3:684K	Merrill Corp/New/FA
12/29/04	JP Morgan Series Trust	N-CSR	10/31/04	3:503K	Merrill Corp/New/FA
12/28/04	JP Morgan Funds	485BPOS	12/28/04	7:675K	Merrill Corp/New/FA
	JP Morgan Funds				
12/28/04	JP Morgan Institutional Funds	485BPOS	12/28/04	3:1.1M	Merrill Corp/New/FA
	JP Morgan Institutional Funds				
12/28/04	JP Morgan Institutional Funds	485BXT		1:6K	Merrill Corp/New/FA
	JP Morgan Institutional Funds				
12/28/04	JP Morgan Mutual Fund Group/MA	485BPOS	12/28/04	3:1.1M	Merrill Corp/New/FA
	JP Morgan Mutual Fund Group/MA				
12/28/04	JP Morgan Mutual Fund Select Gp	485BPOS	12/28/04	2:892K	Merrill Corp/New/FA
	JP Morgan Mutual Fund Select Group				
12/28/04	JP Morgan Mutual Fund Select Gp	485BXT		1:6K	Merrill Corp/New/FA
	JP Morgan Mutual Fund Select Group				
12/28/04	JP Morgan Mutual Fund Select Tr	485BPOS	12/28/04	7:1.2M	Merrill Corp/New/FA
	JP Morgan Mutual Fund Select Trust				
12/28/04	JP Morgan Mutual Fund Select Tr	485BXT		1:6K	Merrill Corp/New/FA
	JP Morgan Mutual Fund Select Trust				
12/28/04	JP Morgan Mutual Fund Trust	485BPOS	12/28/04	6:1.7M	Merrill Corp/New/FA
	JP Morgan Mutual Fund Trust				
12/28/04	JP Morgan Mutual Fund Trust	485BXT		1:6K	Merrill Corp/New/FA
	JP Morgan Mutual Fund Trust				
12/28/04	JP Morgan Series Trust	485BPOS	12/28/04	3:1.9M	Merrill Corp/New/FA
	JP Morgan Series Trust				
12/28/04	JP Morgan Series Trust	485BXT		1:6K	Merrill Corp/New/FA
	JP Morgan Series Trust				
12/28/04	Undiscovered Managers Funds	485BPOS	12/28/04	6:790K	Merrill Corp/New/FA
	Undiscovered Managers Funds				
12/17/04	JP Morgan Institutional Funds	485APOS		5:1.5M	Merrill Corp/New/FA
	JP Morgan Institutional Funds				
12/17/04	JP Morgan Mutual Fund Group/MA	485APOS		5:1.9M	Merrill Corp/New/FA
	JP Morgan Mutual Fund Group/MA				
12/17/04	JP Morgan Mutual Fund Select Gp	485APOS		6:1.3M	Merrill Corp/New/FA
	JP Morgan Mutual Fund Select Group				
12/17/04	JP Morgan Series Trust	485APOS		5:1.3M	Merrill Corp/New/FA
	JP Morgan Series Trust				
12/15/04	JPMorgan Trust I	485APOS		7:568K	Merrill Corp/New/FA
	JP Morgan Mutual Fund Series				
12/13/04	JPMorgan Trust I	485APOS		1:18K	Merrill Corp/New/FA
	JP Morgan Mutual Fund Series				
11/29/04	Growth & Income Portfolio	N-Q	9/30/04	2:20K	Merrill Corp/New/FA
11/29/04	JP Morgan Fleming Mutual Fd...Inc	N-Q	9/30/04	2:31K	Merrill Corp/New/FA
11/29/04	JP Morgan Fleming Series Trust	N-Q	9/30/04	2:54K	Merrill Corp/New/FA
11/29/04	JP Morgan Funds	N-Q	9/30/04	2:20K	Merrill Corp/New/FA
11/29/04	JP Morgan Institutional Funds	N-Q	9/30/04	2:133K	Merrill Corp/New/FA
11/29/04	JPMorgan Insurance Trust	N-Q	9/30/04	2:172K	Bowne BCL/FA
11/29/04	JP Morgan Mutual Fund Group/MA	N-Q	9/30/04	2:62K	Merrill Corp/New/FA
11/29/04	JP Morgan Mutual Fund Inv Trust	N-Q	9/30/04	2:36K	Merrill Corp/New/FA
11/29/04	JP Morgan Mutual Fund Select Gp	N-Q	9/30/04	2:56K	Merrill Corp/New/FA
11/29/04	JPMorgan Secs Lending Collate..Tr	N-Q	9/30/04	2:15K	Merrill Corp/New/FA
11/29/04	JP Morgan Series Trust II	N-Q	9/30/04	2:81K	Merrill Corp/New/FA
11/29/04	JPMorgan Trust I	N-Q	9/30/04	2:53K	Merrill Corp/New/FA
11/29/04	JPMorgan Trust II	N-Q	9/30/04	2:989K	Bowne BCL/FA
11/29/04	Um Investment Trust	N-Q	9/30/04	2:17K	Merrill Corp/New/FA
11/10/04	JP Morgan Funds	N-CSR	8/31/04	3:509K	Merrill Corp/New/FA
11/10/04	JP Morgan Institutional Funds	N-CSR	8/31/04	3:509K	Merrill Corp/New/FA
11/10/04	JP Morgan Mutual Fund Group/MA	N-CSR	8/31/04	3:509K	Merrill Corp/New/FA
11/10/04	JP Morgan Mutual Fund Select Gp	N-CSR	8/31/04	3:509K	Merrill Corp/New/FA
11/10/04	JP Morgan Mutual Fund Select Tr	N-CSR	8/31/04	3:322K	Merrill Corp/New/FA
11/10/04	JP Morgan Mutual Fund Trust	N-CSR	8/31/04	3:487K	Merrill Corp/New/FA
11/10/04	JP Morgan Series Trust	N-CSR	8/31/04	3:804K	Merrill Corp/New/FA
11/10/04	Undiscovered Managers Funds	N-CSR	8/31/04	3:186K	Merrill Corp/New/FA
10/29/04	JP Morgan Institutional Funds	485APOS		1:992K	Merrill Corp/New/FA
	JP Morgan Institutional Funds				
10/29/04	JP Morgan Mutual Fund Select Gp	485APOS		1:402K	Merrill Corp/New/FA
	JP Morgan Mutual Fund Select Group				
10/29/04	JP Morgan Mutual Fund Select Tr	485APOS		1:920K	Merrill Corp/New/FA
	JP Morgan Mutual Fund Select Trust				
10/29/04	JP Morgan Mutual Fund Trust	485APOS		1:854K	Merrill Corp/New/FA
	JP Morgan Mutual Fund Trust				
10/29/04	JP Morgan Series Trust	485APOS		1:1.2M	Merrill Corp/New/FA
	JP Morgan Series Trust				
10/29/04	JPMorgan Trust I	485APOS		2:8.0M	Merrill Corp/New/FA
	JP Morgan Mutual Fund Series				
10/27/04	JPMorgan Trust II	485BPOS	10/27/04	15:40M	RR Donnelley/FA
	One Group Mutual Funds				
9/30/04	JPMorgan Insurance Trust	N-14AE	9/29/04	18:35M	Merrill Corp-MD/FA
9/30/04	JP Morgan Mutual Fund Select Gp	N-14AE		13:19M	Merrill Corp-MD/FA

9/30/04	JP Morgan Series Trust	N-14AE		13:19M	Merrill Corp-MD/FA
9/30/04	JPMorgan Trust II	N-14AE	9/29/04	18:9.1M	Merrill Corp-MD/FA
9/29/04	JP Morgan Institutional Funds	N-14AE		12:7.0M	Merrill Corp-MD/FA
9/29/04	JP Morgan Institutional Funds	N-Q	7/31/04	2:68K	Merrill Corp/New/FA
9/29/04	JP Morgan Mutual Fund Group/MA	N-Q	7/31/04	2:60K	Merrill Corp/New/FA
9/29/04	JP Morgan Mutual Fund Select Gp	N-Q	7/31/04	2:37K	Merrill Corp/New/FA
9/29/04	JP Morgan Series Trust	N-Q	7/31/04	2:96K	Merrill Corp/New/FA
9/09/04	JPMorgan Secs Lending Collate..Tr	N-CSRS	6/30/04	3:50K	Merrill Corp/New/FA
9/09/04	JPMorgan Trust II	N-CSR	6/30/04	4:2.4M	Bowne BCL/FA
9/08/04	JPMorgan Insurance Trust	N-CSRS	6/30/04	3:287K	Bowne BCL/FA
9/02/04	Growth & Income Portfolio	N-CSRS	6/30/04	3:107K	Merrill Corp/New/FA
9/02/04	JP Morgan Fleming Mutual Fd...Inc	N-CSRS	6/30/04	3:388K	Merrill Corp/New/FA
9/02/04	JP Morgan Fleming Series Trust	N-CSRS	6/30/04	1:120K	Merrill Corp/New/FA
9/02/04	JP Morgan Funds	N-CSRS	6/30/04	3:387K	Merrill Corp/New/FA
9/02/04	JP Morgan Institutional Funds	N-CSRS	6/30/04	3:747K	Merrill Corp/New/FA
9/02/04	JP Morgan Mutual Fund Group/MA	N-CSRS	6/30/04	3:606K	Merrill Corp/New/FA
9/02/04	JP Morgan Mutual Fund Inv Trust	N-CSRS	6/30/04	3:580K	Merrill Corp/New/FA
9/02/04	JP Morgan Mutual Fund Select Gp	N-CSRS	6/30/04	3:387K	Merrill Corp/New/FA
9/02/04	JP Morgan Series Trust II	N-CSRS	6/30/04	3:309K	Merrill Corp/New/FA
9/02/04	JPMorgan Trust I	N-CSRS	6/30/04	3:137K	Merrill Corp/New/FA
9/02/04	Um Investment Trust	N-CSRS	6/30/04	3:122K	Merrill Corp/New/FA

¹ Filing Types:	
8-A12B	Registration of a Class of Securities — Form 8-A.
8-K	Current Report — Form 8-K { Section 1: Business & Operations; 2: Financial Information; 3: Securities & Trading; 4: Accountants & Accounting; 5: Governance & Management; 6: Asset-Backed Securities; 7: Fair Disclosure; 8: Events; 9: Financials — <i>Pre-8/23/04...</i> Item 1: Control; 2: Assets; 3: Bankruptcy; 4: Accountants; 5: Events; 6: Resignations; 7: Financials; 8: FY; 9: Regs FD & S; 10: Ethics; 11: Suspensions; 12: Results; 13: Notices }.
40-17F2	Certificate of Accounting of Securities in the Custody of a Management Investment Company — Form N-17F-2.
485APOS	Post-Effective Amendment.
485BPOS	Post-Effective Amendment.
485BXT	Post-Effective Amendment to Designate a New Effective Date.
497J	Certification of No Change in Definitive Material — Rule 497(j).
N-1A	Registration Statement of an Open-End Management Investment Company — Form N-1A.
N-14AE	Registration Statement of an Open-End Investment Company (Business Combination) with Automatic Effectiveness — Form N-14.
N-CSR	Certified Annual Shareholder Report of a Management Investment Company — Form N-CSR.
N-CSRS	Certified Semi-Annual Shareholder Report of a Management Investment Company — Form N-CSR.
N-PX	Proxy Voting Record Annual Report by a Management Investment Company — Form N-PX.
N-Q	Quarterly Schedule of Portfolio Holdings of a Management Investment Company — Form N-Q.
NSAR-A	Semi-Annual Report of a Money-Management Company — Form N-SAR.
NSAR-B	Annual Report of a Money-Management Company — Form N-SAR.
POS AMI	Post-Effective Amendment.
/A	Amendment to or Amended version of a previous filing of this type.





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CERTIFICATION OF SERVICE

The undersigned hereby certifies that the above-captioned "PATENT OWNER'S REQUEST FOR RELIEF FROM JUDGE'S FINANCIAL CONFLICTS OF INTEREST" in Inter Partes Review of U.S. Patent No. 5,987,500 in IPR2013-00195 was served in its entirety on November 24, 2014, upon the following parties via e-mail:

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Date: November 24, 2014

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UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT TRIAL AND APPEAL BOARD

Party Filing Notice

Please note the following filing:

Case Number: IPR2013-00194
Filer: Lakshmi Arunachalam of Menlo Park, CA
Filing Date: 11/24/2014

Document List

Paper Name	Type	Paper / Exhibit Number
PO's Request For Relief From Judge's Financial Conflicts of Interest	Reply	70

Questions regarding this receipt should be directed to the Patent Trial and Appeal Board at 571-272-7822.