

## Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

|  |   |   |  |  |   |  |
|--|---|---|--|--|---|--|
| Date of Appointment, Candidacy, Election, or Nomination (Month, Day, Year)<br><b>7/27/11*</b>  | Reporting Status (Check Appropriate Boxes)<br><input checked="" type="checkbox"/> Incumbent | Calendar Year Covered by Report<br><b>2011</b>  | New Entrant, Nominee, or Candidate<br><input type="checkbox"/> | Termination Filer<br><input type="checkbox"/>  | Termination Date (If Applicable) (Month, Day, Year) | <p><b>Fee for Late Filing</b><br/>Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a \$200 fee.</p> <p><b>Reporting Periods</b><br/><b>Incumbents:</b> The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.</p> <p><b>Termination Filers:</b> The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.</p> <p><b>Nominees, New Entrants and Candidates for President and Vice President:</b><br/> <b>Schedule A--</b>The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.<br/> <b>Schedule B--</b>Not applicable.<br/> <b>Schedule C, Part I (Liabilities)--</b>The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.<br/> <b>Schedule C, Part II (Agreements or Arrangements)--</b>Show any agreements or arrangements as of the date of filing.<br/> <b>Schedule D--</b>The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.</p> |
| Reporting Individual's Name  |   | Last Name<br><b>Mueller</b>   |  | First Name and Middle Initial<br><b>Robert S., III</b>   |   |  |
| Position for Which Filing  |   | Title of Position<br><b>Director</b>  |  | Department or Agency (If Applicable)<br><b>Federal Bureau of Investigation</b>                                     |   |  |
| Location of Present Office (or forwarding address)   |   | Address (Number, Street, City, State, and ZIP Code)<br><b>935 Pennsylvania Avenue, NW, Washington, DC 20535</b> |  | Telephone No. (Include Area Code)<br><b>202-324-3000</b>   |   |  |
| Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)   |   | Title of Position(s) and Date(s) Held   |  |  |   |  |
| Presidential Nominees Subject to Senate Confirmation   |   | Name of Congressional Committee Considering Nomination  |  | Do You Intend to Create a Qualified Diversified Trust?<br><input type="checkbox"/> Yes <input type="checkbox"/> No |   |  |
| <b>Certification</b>   |   | Signature of Reporting Individual   |  | Date (Month, Day, Year)  |   |  |
| I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.  |   | <i>Robert S. Mueller III</i>  |  | <b>6/7/12</b>  |   |  |
| <b>Other Review (If desired by agency)</b>   |   | Signature of Other Reviewer   |  | Date (Month, Day, Year)  |   |  |
|  |   | <i>Patrick W. Kelley, FBI DDAEO</i>   |  | <b>6/7/2012</b>  |   |  |
| <b>Agency Ethics Official's Opinion</b>  |   | Signature of Designated Agency Ethics Official/Reviewing Official   |  | Date (Month, Day, Year)  |   |  |
| On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).  |   | <i>James Mangel</i>   |  | <b>6-15-12</b>   |   |  |
| <b>Office of Government Ethics Use Only</b>  |   | Signature   |  | Date (Month, Day, Year)  |   |  |
| <i>RSS 7/11/12</i>   |   | <i>Michelle Stein</i>   |  | <b>7-25-12</b>   |   |  |
| Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)  |   |   |  |  |   |  |
| <p><i>JME 6/5/2012</i><br/>                 Liabilities confirmed.<br/>                 *per FDTS RSS 7/9/12</p> <p style="text-align: center;">(Check box if filing extension granted &amp; indicate number of days _____) <input checked="" type="checkbox"/><br/>                 45 day extension granted. Due date June 30, 2012.</p> |   |   |  |  |   |  |
| (Check box if comments are continued on the reverse side) <input type="checkbox"/>   |   |   |  |  |   |  |
| Agency Use Only<br><b>JUN - 8 2012</b>   |   |   |  |  |   |  |
| OGE Use Only<br><b>JUN 20 2012</b>   |   |   |  |  |   |  |

|  |                   |                         |
|--|-------------------|-------------------------|
| Reporting Individual's Name<br><b>Robert S. Mueller, III</b> | <b>SCHEDULE A</b> | Page Number<br><b>2</b> |
|--|-------------------|-------------------------|

| Assets and Income  |                                    | Valuation of Assets<br>at close of reporting period   |  |   |   |  |  |  |   |  |  | Income: type and amount. If "None (or less than \$201)" is checked,<br>no other entry is needed in Block C for that item. |                    |                     |                      |                       |                       |                         |                                     |                           |                            |                             |                    |                          |                      |                         |                   |                           |                  |               |                           |                 |                   |                   |                    |                     |                      |                         |                   |                           |                  |   |  |  |  |  |  |  |  |  |  |      |  |        |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|--|------------------------------------|---|--|---|---|--|--|--|---|--|--|---|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------------------------|---------------------------|----------------------------|-----------------------------|--------------------|--------------------------|----------------------|-------------------------|-------------------|---------------------------|------------------|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---------------------|----------------------|-------------------------|-------------------|---------------------------|------------------|---|--|--|--|--|--|--|--|--|--|------|--|--------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| BLOCK A  |                                    | BLOCK B   |  |   |   |  |  |  |   |  |  | BLOCK C   |                    |                     |                      |                       |                       |                         |                                     |                           |                            |                             |                    |                          |                      |                         |                   |                           |                  |               |                           |                 |                   |                   |                    |                     |                      |                         |                   |                           |                  |   |  |  |  |  |  |  |  |  |  |      |  |        |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 in income during the reporting period, together with such income.<br><br>For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than \$1,000 (except report the actual account of any honoraria over \$200 of your spouse).<br><br>None <input type="checkbox"/> |                                    | <table style="width:100%; border-collapse: collapse;"> <tr> <td style="width: 10%; text-align: center;">None (or less than \$1,000)</td> <td style="width: 10%; text-align: center;">\$1,001 - \$15,000</td> <td style="width: 10%; text-align: center;">\$15,001 - \$50,000</td> <td style="width: 10%; text-align: center;">\$50,001 - \$100,000</td> <td style="width: 10%; text-align: center;">\$100,001 - \$250,000</td> <td style="width: 10%; text-align: center;">\$250,001 - \$500,000</td> <td style="width: 10%; text-align: center;">\$500,001 - \$1,000,000</td> <td style="width: 10%; text-align: center;">Over \$1,000,000*</td> <td style="width: 10%; text-align: center;">\$1,000,001 - \$5,000,000</td> <td style="width: 10%; text-align: center;">\$5,000,001 - \$25,000,000</td> <td style="width: 10%; text-align: center;">\$25,000,001 - \$50,000,000</td> <td style="width: 10%; text-align: center;">Over \$50,000,000</td> </tr> <tr> <td style="text-align: center;">Excepted Investment Fund</td> <td style="text-align: center;">Excepted Trust</td> <td style="text-align: center;">Qualified Trust</td> <td style="text-align: center;">Dividends</td> <td style="text-align: center;">Rent and Royalties</td> <td style="text-align: center;">Interest</td> <td style="text-align: center;">Capital Gains</td> <td style="text-align: center;">None (or less than \$201)</td> <td style="text-align: center;">\$201 - \$1,000</td> <td style="text-align: center;">\$1,001 - \$2,500</td> <td style="text-align: center;">\$2,501 - \$5,000</td> <td style="text-align: center;">\$5,001 - \$15,000</td> <td style="text-align: center;">\$15,001 - \$50,000</td> <td style="text-align: center;">\$50,001 - \$100,000</td> <td style="text-align: center;">\$100,001 - \$1,000,000</td> <td style="text-align: center;">Over \$1,000,000*</td> <td style="text-align: center;">\$1,000,001 - \$5,000,000</td> <td style="text-align: center;">Over \$5,000,000</td> </tr> </table> |  |   |   |  |  |  |   |  |  | None (or less than \$1,000)   | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000*                   | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000  | Excepted Investment Fund | Excepted Trust       | Qualified Trust         | Dividends         | Rent and Royalties        | Interest         | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | <table style="width:100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: left;">Type</th> <th colspan="8" style="text-align: left;">Amount</th> <th rowspan="2" style="text-align: center;">Other Income<br/>(Specify Type &amp; Actual Amount)</th> <th rowspan="2" style="text-align: center;">Date<br/>(Mo., Day, Yr.)<br/><br/>Only if Honoraria</th> </tr> <tr> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> </tr> </table> |  |  |  |  |  |  |  |  |  | Type |  | Amount |  |  |  |  |  |  |  | Other Income<br>(Specify Type & Actual Amount) | Date<br>(Mo., Day, Yr.)<br><br>Only if Honoraria |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |                                    |   |  |   |   |  |  |  |   |  |  | None (or less than \$1,000)   | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000*                   | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000  |                          |                      |                         |                   |                           |                  |               |                           |                 |                   |                   |                    |                     |                      |                         |                   |                           |                  |   |  |  |  |  |  |  |  |  |  |      |  |        |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |                                    |   |  |   |   |  |  |  |   |  |  | Excepted Investment Fund  | Excepted Trust     | Qualified Trust     | Dividends            | Rent and Royalties    | Interest              | Capital Gains           | None (or less than \$201)           | \$201 - \$1,000           | \$1,001 - \$2,500          | \$2,501 - \$5,000           | \$5,001 - \$15,000 | \$15,001 - \$50,000      | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 |               |                           |                 |                   |                   |                    |                     |                      |                         |                   |                           |                  |   |  |  |  |  |  |  |  |  |  |      |  |        |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Type   |                                    | Amount  |  |   |   |  |  |  |   | Other Income<br>(Specify Type & Actual Amount) | Date<br>(Mo., Day, Yr.)<br><br>Only if Honoraria |   |                    |                     |                      |                       |                       |                         |                                     |                           |                            |                             |                    |                          |                      |                         |                   |                           |                  |               |                           |                 |                   |                   |                    |                     |                      |                         |                   |                           |                  |   |  |  |  |  |  |  |  |  |  |      |  |        |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |                                    |   |  |   |   |  |  |  |   |  |  |   |                    |                     |                      |                       |                       |                         |                                     |                           |                            |                             |                    |                          |                      |                         |                   |                           |                  |               |                           |                 |                   |                   |                    |                     |                      |                         |                   |                           |                  |   |  |  |  |  |  |  |  |  |  |      |  |        |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Examples   |                                    |   |  |   |   |  |  |  |   |  |  |   |                    |                     |                      |                       |                       |                         |                                     |                           |                            |                             |                    |                          |                      |                         |                   |                           |                  |               |                           |                 |                   |                   |                    |                     |                      |                         |                   |                           |                  |   |  |  |  |  |  |  |  |  |  |      |  |        |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | Central Airlines Common            |   |  | x |   |  |  |  |   |  |  |   |                    |                     |                      |                       |                       |                         |                                     |                           |                            |                             |                    |                          |                      |                         |                   |                           |                  |               |                           |                 |                   |                   |                    |                     |                      |                         |                   |                           |                  |   |  |  |  |  |  |  |  |  |  |      |  |        |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | Doe Jones & Smith, Hometown, State | x   |  |   |   |  |  |  |   |  |  |   |                    |                     |                      |                       |                       |                         | Law Partnership<br>Income \$120,000 |                           |                            |                             |                    |                          |                      |                         |                   |                           |                  |               |                           |                 |                   |                   |                    |                     |                      |                         |                   |                           |                  |   |  |  |  |  |  |  |  |  |  |      |  |        |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | Kempstone Equity Fund              |   |  | x |   |  |  |  | x |  |  |   |                    |                     |                      |                       |                       |                         |                                     |                           |                            |                             |                    |                          |                      |                         |                   |                           |                  |               |                           |                 |                   |                   |                    |                     |                      |                         |                   |                           |                  |   |  |  |  |  |  |  |  |  |  |      |  |        |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | IRA: Heartland 500 Index Fund      |   |  |   | x |  |  |  |   |  |  |   |                    |                     |                      |                       |                       |                         |                                     |                           |                            |                             |                    |                          |                      |                         |                   |                           |                  |               |                           |                 |                   |                   |                    |                     |                      |                         |                   |                           |                  |   |  |  |  |  |  |  |  |  |  |      |  |        |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 1  | See Attachment                     |   |  |   |   |  |  |  |   |  |  |   |                    |                     |                      |                       |                       |                         |                                     |                           |                            |                             |                    |                          |                      |                         |                   |                           |                  |               |                           |                 |                   |                   |                    |                     |                      |                         |                   |                           |                  |   |  |  |  |  |  |  |  |  |  |      |  |        |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 2  |                                    |   |  |   |   |  |  |  |   |  |  |   |                    |                     |                      |                       |                       |                         |                                     |                           |                            |                             |                    |                          |                      |                         |                   |                           |                  |               |                           |                 |                   |                   |                    |                     |                      |                         |                   |                           |                  |   |  |  |  |  |  |  |  |  |  |      |  |        |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 3  |                                    |   |  |   |   |  |  |  |   |  |  |   |                    |                     |                      |                       |                       |                         |                                     |                           |                            |                             |                    |                          |                      |                         |                   |                           |                  |               |                           |                 |                   |                   |                    |                     |                      |                         |                   |                           |                  |   |  |  |  |  |  |  |  |  |  |      |  |        |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 4  |                                    |   |  |   |   |  |  |  |   |  |  |   |                    |                     |                      |                       |                       |                         |                                     |                           |                            |                             |                    |                          |                      |                         |                   |                           |                  |               |                           |                 |                   |                   |                    |                     |                      |                         |                   |                           |                  |   |  |  |  |  |  |  |  |  |  |      |  |        |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 5  |                                    |   |  |   |   |  |  |  |   |  |  |   |                    |                     |                      |                       |                       |                         |                                     |                           |                            |                             |                    |                          |                      |                         |                   |                           |                  |               |                           |                 |                   |                   |                    |                     |                      |                         |                   |                           |                  |   |  |  |  |  |  |  |  |  |  |      |  |        |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 6  |                                    |   |  |   |   |  |  |  |   |  |  |   |                    |                     |                      |                       |                       |                         |                                     |                           |                            |                             |                    |                          |                      |                         |                   |                           |                  |               |                           |                 |                   |                   |                    |                     |                      |                         |                   |                           |                  |   |  |  |  |  |  |  |  |  |  |      |  |        |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

| Assets and Income<br>Block A   | Valuation of Assets<br>Block B |                  |                   |                    |                     |                     |                       |                  |                         |                          | Type | Income (Block C)<br>Amount |                   |                    |                |                 |           |                    |          | Date |               |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
|--|--------------------------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|------------------|-------------------------|--------------------------|------|----------------------------|-------------------|--------------------|----------------|-----------------|-----------|--------------------|----------|------|---------------|-------------------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|------------------|-------------------------|------------------|--------------|--|--|--|--|--|
|  | None (or <\$1,001)             | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | Over \$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 |      | \$25,000,001-\$50,000,000  | Over \$50,000,000 | Excepted Inv. Fund | Excepted Trust | Qualified Trust | Dividends | Rent and Royalties | Interest |      | Capital Gains | None (or < \$201) | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | Over \$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Other Income |  |  |  |  |  |
| <b>Personal Accounts</b>   |                                |                  |                   |                    |                     |                     |                       |                  |                         |                          |      |                            |                   |                    |                |                 |           |                    |          |      |               |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| Virtus Capital Growth (formerly Phoenix Cap Gr.)   |                                |                  | x                 |                    |                     |                     |                       |                  |                         |                          |      |                            |                   |                    | x              |                 |           |                    |          |      |               |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| Wanger Intl. Fund  |                                |                  |                   | x                  |                     |                     |                       |                  |                         |                          |      |                            |                   | x                  |                |                 |           |                    |          |      |               |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| TIAA Traditional   |                                |                  | x                 |                    |                     |                     |                       |                  |                         |                          |      |                            |                   |                    |                |                 |           |                    |          |      |               |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| CREF Stock   |                                |                  | x                 |                    |                     |                     |                       |                  |                         |                          |      |                            |                   | x                  |                |                 |           |                    |          |      |               |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| CREF Global Equities   |                                |                  | x                 |                    |                     |                     |                       |                  |                         |                          |      |                            |                   | x                  |                |                 |           |                    |          |      |               |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| Methodist Hill Limited Partnership<br>Lebanon, NH (Real Estate<br>development - Land for<br>Residential Development) |                                |                  | x                 |                    |                     |                     |                       |                  |                         |                          |      |                            |                   |                    |                |                 |           |                    |          |      |               |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| AT&T   |                                |                  |                   | x                  |                     |                     |                       |                  |                         |                          |      |                            |                   |                    |                |                 |           |                    |          |      |               |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| Amgen  |                                |                  | x                 |                    |                     |                     |                       |                  |                         |                          |      |                            |                   |                    |                |                 |           |                    |          |      |               |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| Automatic Data Processing  |                                |                  |                   | x                  |                     |                     |                       |                  |                         |                          |      |                            |                   |                    |                |                 |           |                    |          |      |               |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| Brown Forman Class B   |                                |                  |                   | x                  |                     |                     |                       |                  |                         |                          |      |                            |                   |                    |                |                 |           |                    |          |      |               |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| Chevron  |                                |                  |                   |                    | x                   |                     |                       |                  |                         |                          |      |                            |                   |                    |                |                 |           |                    |          |      |               |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| Cisco Systems  |                                |                  | x                 |                    |                     |                     |                       |                  |                         |                          |      |                            |                   |                    |                |                 |           |                    |          |      |               |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| Comcast Class A Special  |                                |                  | x                 |                    |                     |                     |                       |                  |                         |                          |      |                            |                   |                    |                |                 |           |                    |          |      |               |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |

| Assets and Income               | Valuation of Assets |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   | Type               | Income (Block C) |                 |           |                    |          |               |                   |               |                 |                 |                  |                   | Date               |                       |                  |                         |                  |              |  |  |  |  |  |
|---------------------------------|---------------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|------------------|-------------------------|--------------------------|---------------------------|-------------------|--------------------|------------------|-----------------|-----------|--------------------|----------|---------------|-------------------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|------------------|-------------------------|------------------|--------------|--|--|--|--|--|
|                                 | Block B             |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    | Amount           |                 |           |                    |          |               |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| Block A                         | None (or <\$1,001)  | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | Over \$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Excepted Inv. Fund | Excepted Trust   | Qualified Trust | Dividends | Rent and Royalties | Interest | Capital Gains | None (or < \$201) | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | Over \$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Other Income |  |  |  |  |  |
| CVS Caremark Corp               |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  | x               |           |                    |          | x             |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| Exxon Mobil                     |                     |                  | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |                 | x         |                    |          |               | x                 |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| General Electric                |                     |                  |                   | x                  |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |                 | x         |                    |          |               |                   | x             |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| International Business Machines |                     |                  |                   |                    | x                   |                     |                       |                  |                         |                          |                           |                   |                    |                  |                 | x         |                    |          |               |                   | x             |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| Johnson & Johnson               |                     |                  | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |                 | x         |                    |          |               |                   | x             |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| Kraft Foods Inc.                |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |                 | x         |                    |          |               | x                 |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| Medco Health Solutions          |                     |                  | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |                 | x         |                    |          |               | x                 |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| Microsoft                       |                     |                  | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |                 | x         |                    |          |               | x                 |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| Minnesota Mining                |                     |                  |                   | x                  |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |                 | x         |                    |          |               |                   | x             |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| Pepsico                         |                     |                  | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |                 | x         |                    |          |               | x                 |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| Pfizer                          |                     |                  | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |                 | x         |                    |          |               | x                 |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| Procter & Gamble                |                     |                  |                   | x                  |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |                 | x         |                    |          |               |                   | x             |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| State Street Corp.              |                     |                  | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |                 | x         |                    |          |               | x                 |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| Sysco                           |                     |                  | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |                 | x         |                    |          |               | x                 |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |
| Walmart                         |                     |                  | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |                 | x         |                    |          |               | x                 |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |  |  |  |  |  |

| Assets and Income                | Valuation of Assets |                  |                   |                    |                     |                     |                       |                  |                         |                          | Type                      | Income (Block C)  |                   |                    |                |                 |           |                    |          |               |                   | Date          |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |
|----------------------------------|---------------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|------------------|-------------------------|--------------------------|---------------------------|-------------------|-------------------|--------------------|----------------|-----------------|-----------|--------------------|----------|---------------|-------------------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|------------------|-------------------------|------------------|--------------|
|                                  | Block B             |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           | None (or < \$201) | Amount            |                    |                |                 |           |                    |          |               |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |
| Block A                          | None (or <\$1,001)  | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | Over \$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 |                   | Over \$50,000,000 | Excepted Inv. Fund | Excepted Trust | Qualified Trust | Dividends | Rent and Royalties | Interest | Capital Gains | None (or < \$201) | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | Over \$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Other Income |
| Wells Fargo                      |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                   |                    |                | x               |           |                    |          | x             |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |
| Metropolitan West Total Rtn Bond |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   | x                 |                    |                |                 |           |                    |          | x             |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |
| Mathews Asian Growth & Income    |                     |                  | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   | x                 |                    |                |                 |           |                    |          |               |                   | x             |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |
| Mathews Pacific Tiger Fund       |                     |                  | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   | x                 |                    |                |                 |           |                    |          | x             |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |
| Ishares Russell 1000 Index Fd.   |                     |                  |                   | x                  |                     |                     |                       |                  |                         |                          |                           |                   | x                 |                    |                |                 |           |                    |          |               |                   | x             |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |
| JP Morgan Tax Free Bond Fund     |                     |                  |                   | x                  |                     |                     |                       |                  |                         |                          |                           |                   | x                 |                    |                |                 |           |                    |          |               |                   | x             |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |
| Vanguard Muni TE Bond Intermed   |                     |                  |                   | x                  |                     |                     |                       |                  |                         |                          |                           |                   | x                 |                    |                |                 |           |                    |          |               |                   | x             |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |
| Vanguard FTSE All World ex-US B  |                     |                  | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   | x                 |                    |                |                 |           |                    |          |               | x                 |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |
| Vanguard Intern Term Invest Gra  |                     |                  |                   | x                  |                     |                     |                       |                  |                         |                          |                           |                   | x                 |                    |                |                 |           |                    |          |               |                   | x             |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |
| Vanguard LT Tax Exempt Fund      |                     |                  |                   | x                  |                     |                     |                       |                  |                         |                          |                           |                   | x                 |                    |                |                 |           |                    |          |               |                   | x             |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |
| TCW Emerging Mkts Income Fund    |                     |                  | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   | x                 |                    |                |                 |           |                    |          |               |                   | x             |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |
| Schwab Adv. Cash Res.            |                     |                  |                   | x                  |                     |                     |                       |                  |                         |                          |                           |                   | x                 |                    |                |                 |           |                    |          |               | x                 |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |
| Schwab Govt MM                   |                     |                  |                   | x                  |                     |                     |                       |                  |                         |                          |                           |                   | x                 |                    |                |                 |           |                    |          |               | x                 |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |
| Schwab Muni Money Fund           |                     |                  |                   | x                  |                     |                     |                       |                  |                         |                          |                           |                   | x                 |                    |                |                 |           |                    |          |               | x                 |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |

| Assets and Income<br>Block A   | Valuation of Assets |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   | Type               | Income (Block C) |           |                    |          |               |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |
|--|---------------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|------------------|-------------------------|--------------------------|---------------------------|-------------------|--------------------|------------------|-----------|--------------------|----------|---------------|-------------------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|------------------|-------------------------|------------------|--------------|------|--|--|
|  | Block B             |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    | Amount           |           |                    |          |               |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |
|  | None (or <\$1,001)  | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | Over \$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Excepted Inv. Fund | Qualified Trust  | Dividends | Rent and Royalties | Interest | Capital Gains | None (or < \$201) | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | Over \$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Other Income | Date |  |  |
| <b>Trust Assets and Income</b>   |                     |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |           |                    |          |               |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |
| (Wife is a beneficiary of four trusts. The aggregate amount of her share of the value and income of each asset in these trusts is listed below.) |                     |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |           |                    |          |               |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |
| s ADVANTAGE GLOBAL ALPHA FUND  |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   | x                  |                  |           |                    |          |               | x                 |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |
| s ALLIANCE DATA SYS CORP   |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |           |                    |          | x             |                   | x             |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |
| s ALLSCRIPTS HEALTHCARE SOLUTIONS INC  |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |           |                    |          | x             |                   | x             |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |
| s AMAZON.COM INC   |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |           |                    |          | x             |                   | x             |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |
| s AMERICAN EXPRESS COMPANY   |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |           | x                  |          | x             |                   | x             |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |
| s AMERIPRISE FINANCIAL INC   |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |           | x                  |          | x             |                   |               |                 | x               |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |
| s AMYLIN PHARMACEUTICALS INC   |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |           |                    |          | x             |                   |               |                 | x               |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |
| s ANADARKO PETROLEUM CORP  |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |           | x                  |          | x             |                   |               |                 | x               |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |
| s APACHE CORP  |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |           | x                  |          | x             |                   |               |                 |                 | x                |                   |                    |                       |                  |                         |                  |              |      |  |  |
| s APPLE INC  |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |           |                    |          | x             |                   |               |                 |                 |                  | x                 |                    |                       |                  |                         |                  |              |      |  |  |
| s ASG GLOBAL ALTERNATIVES FUND-Y   |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    | x                |           |                    |          |               |                   |               | x               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |
| s AT&T INC   |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |           | x                  |          | x             |                   |               |                 | x               |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |
| s AUTODESK INC   |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |           |                    |          | x             |                   |               | x               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |
| s AUTOLIV INC  |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |           | x                  |          | x             |                   |               |                 |                 | x                |                   |                    |                       |                  |                         |                  |              |      |  |  |
| s B M C SOFTWARE INC   |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |           |                    |          | x             |                   |               | x               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |
| s BANK OF AMERICA CORPORATION  |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |           |                    |          | x             |                   |               | x               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |
| s BAXTER INTERNATIONAL INC   |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                  |           |                    |          | x             |                   |               | x               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |
| s BNY MELLON EMERGING MARKETS FUND   |                     |                  |                   |                    | x                   |                     |                       |                  |                         |                          |                           |                   | x                  |                  |           |                    |          |               |                   |               |                 |                 |                  |                   |                    |                       | x                |                         |                  |              |      |  |  |
| s BNY MELLON INCOME STOCK-M  |                     |                  | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   | x                  |                  |           |                    |          |               |                   | x             |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |
| s BNY MELLON INTERMEDIATE BOND FUND  |                     |                  | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   | x                  |                  |           |                    |          |               |                   |               | x               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |
| s BNY MELLON INTERNATIONAL FUND  |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   | x                  |                  |           |                    |          |               |                   |               |                 |                 |                  |                   |                    | x                     |                  |                         |                  |              |      |  |  |
| s BNY MELLON LARGE CAP MARKET OPPORTUNITY FU   |                     |                  | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   | x                  |                  |           |                    |          |               |                   |               |                 |                 |                  | x                 |                    |                       |                  |                         |                  |              |      |  |  |
| s BNY MELLON MID CAP STOCK FUND  |                     |                  | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   | x                  |                  |           |                    |          |               |                   |               |                 |                 |                  | x                 |                    |                       |                  |                         |                  |              |      |  |  |

SCHEDULE A

| Assets and Income<br>Block A              | Valuation of Assets |                  |                   |                    |                     |                     |                       |                  |                         |                          | Income (Block C)  |                   |               |                 |                 |                  |                   |                    |                       |                  | Date |                         |                  |              |  |
|---|---------------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|------------------|-------------------------|--------------------------|-------------------|-------------------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|------------------|------|-------------------------|------------------|--------------|--|
|   | Block B             |                  |                   |                    |                     |                     |                       |                  |                         |                          | Type              |                   |               |                 |                 |                  |                   |                    |                       |                  |      |                         |                  |              |  |
|   | None (or <\$1,001)  | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | Over \$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | Over \$25,000,000 | None (or < \$201) | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | Over \$1,000,000 |      | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Other Income |  |
| s BNY MELLON MONEY MARKET FUND            |                     |                  |                   |                    |                     | x                   |                       |                  |                         |                          | x                 |                   |               |                 |                 |                  |                   |                    |                       |                  |      |                         |                  |              |  |
| s BNY MELLON MUNICIPAL OPPORTUNITIES FUND |                     |                  |                   | x                  |                     |                     |                       |                  |                         |                          | x                 |                   |               | x               |                 |                  |                   |                    |                       |                  |      |                         |                  |              |  |
| s BNY MELLON NATL S/T MUN BD FD           |                     |                  |                   |                    | x                   |                     |                       |                  |                         |                          | x                 |                   |               | x               |                 |                  |                   |                    |                       |                  |      |                         |                  |              |  |
| s BNY MELLON NTL INTER MUN BD FD          |                     |                  |                   |                    | x                   |                     |                       |                  |                         |                          | x                 |                   |               |                 |                 |                  | x                 |                    |                       |                  |      |                         |                  |              |  |
| s BNY MELLON PA INTER MUNI-M              |                     |                  |                   | x                  |                     |                     |                       |                  |                         |                          | x                 |                   |               |                 |                 |                  |                   |                    |                       |                  |      |                         |                  |              |  |
| s BNY MELLON SMALL CAP STOCK FUND         | x                   |                  |                   |                    |                     |                     |                       |                  |                         |                          | x                 |                   |               | x               |                 |                  |                   |                    |                       |                  |      |                         |                  |              |  |
| s BNY MELLON SMALLMID CAP FUND            |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          | x                 |                   |               |                 | x               |                  |                   |                    |                       |                  |      |                         |                  |              |  |
| s BNY MELLON TAX-SENSITIVE LARGE CAP-M    |                     |                  |                   |                    | x                   |                     |                       |                  |                         |                          | x                 |                   |               |                 | x               |                  |                   |                    |                       |                  |      |                         |                  |              |  |
| s BNY MELLON US CORE EQUITY 130/30 FUND   | x                   |                  |                   |                    |                     |                     |                       |                  |                         |                          | x                 |                   |               | x               |                 |                  |                   |                    |                       |                  |      |                         |                  |              |  |
| s CAPITAL ONE FINANCIAL CORP              | x                   |                  |                   |                    |                     |                     |                       |                  |                         |                          |                   |                   |               |                 | x               |                  |                   |                    |                       |                  |      |                         |                  |              |  |
| s CARNIVAL CORP                           |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                   |                   |               |                 | x               |                  |                   |                    |                       |                  |      |                         |                  |              |  |
| s CATERPILLAR INC                         |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                   |                   |               |                 | x               |                  |                   |                    |                       |                  |      |                         |                  |              |  |
| s CBS CORP CLASS B                        |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                   |                   |               |                 | x               |                  |                   |                    |                       |                  |      |                         |                  |              |  |
| s CHUBB CORP                              |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                   |                   |               |                 | x               |                  |                   |                    |                       |                  |      |                         |                  |              |  |
| s CIGNA CORP                              |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                   |                   |               |                 | x               |                  |                   |                    |                       |                  |      |                         |                  |              |  |
| s CISCO SYSTEMS INC                       | x                   |                  |                   |                    |                     |                     |                       |                  |                         |                          |                   |                   |               |                 | x               |                  |                   |                    |                       |                  |      |                         |                  |              |  |
| s COGNIZANT TECHNOLOGY SOLUTIONS CORP     |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                   |                   |               |                 | x               |                  |                   |                    |                       |                  |      |                         |                  |              |  |
| s COMERICA INC                            | x                   |                  |                   |                    |                     |                     |                       |                  |                         |                          |                   |                   |               |                 | x               |                  |                   |                    |                       |                  |      |                         |                  |              |  |
| s CONOCOPHILIPS                           |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                   |                   |               |                 | x               |                  |                   |                    |                       |                  |      |                         |                  |              |  |
| s COWIEN PLC                              |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                   |                   |               |                 | x               |                  |                   |                    |                       |                  |      |                         |                  |              |  |
| s CUMMINS INC                             |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                   |                   |               |                 | x               |                  |                   |                    |                       |                  |      |                         |                  |              |  |
| s DANAHER CORP                            |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                   |                   |               |                 | x               |                  |                   |                    |                       |                  |      |                         |                  |              |  |
| s DEFENDERS MULTI-STRATEGY HEDGE FUND LLC |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          | x                 |                   |               | x               |                 |                  |                   |                    |                       |                  |      |                         |                  |              |  |
| s DREYFUS DIVERSIFIED INTL-I              |                     |                  | x                 |                    |                     |                     |                       |                  |                         |                          | x                 |                   |               |                 | x               |                  |                   |                    |                       |                  |      |                         |                  |              |  |

SCHEDULE A

| Assets and income<br>Block A               | Valuation of Assets<br>Block B |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           | Income (Block C)  |                    |                |                 |           |                    |          |               |                   |               |                 |              |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
|--|--------------------------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|------------------|-------------------------|--------------------------|---------------------------|-------------------|--------------------|----------------|-----------------|-----------|--------------------|----------|---------------|-------------------|---------------|-----------------|--------------|------|-----------------|------------------|-------------------|--------------------|-----------------------|------------------|-------------------------|------------------|--|
|  |                                |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           | Type              |                    |                |                 | Amount    |                    |          |               |                   |               |                 | Other Income | Date |                 |                  |                   |                    |                       |                  |                         |                  |  |
|  | None (or <\$1,001)             | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | Over \$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Excepted Inv. Fund | Excepted Trust | Qualified Trust | Dividends | Rent and Royalties | Interest | Capital Gains | None (or < \$201) | \$201-\$1,000 | \$1,001-\$2,500 |              |      | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | Over \$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 |  |
| DREYFUS EMERGING MARKETS - I               | X                              |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           | X                 |                    |                |                 |           |                    |          |               | X                 |               |                 |              |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
| DREYFUS EMG MKT DEBT LOC C-I               |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           | X                 |                    |                |                 |           |                    |          |               | X                 |               |                 |              |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
| DREYFUS HIGH YIELD FUND                    | X                              |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           | X                 |                    |                |                 |           |                    |          |               | X                 |               |                 |              |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
| DREYFUS INFLATION ADJUSTED SEC6 FD         |                                |                  | X                 |                    |                     |                     |                       |                  |                         |                          |                           | X                 |                    |                |                 |           |                    |          |               |                   |               | X               |              |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
| DREYFUS MIDCAP INDEX FUND                  |                                |                  | X                 |                    |                     |                     |                       |                  |                         |                          |                           | X                 |                    |                |                 |           |                    |          |               |                   |               |                 | X            |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
| DREYFUS OPPORTUNISTIC SMALL CAP FUND       |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           | X                 |                    |                |                 |           |                    |          |               |                   |               | X               |              |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
| DREYFUS PRE STRAT INTER ST-I               |                                |                  | X                 |                    |                     |                     |                       |                  |                         |                          |                           | X                 |                    |                |                 |           |                    |          |               |                   | X             |                 |              |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
| DREYFUS PREM STRAT GLBL ST-I               |                                |                  | X                 |                    |                     |                     |                       |                  |                         |                          |                           | X                 |                    |                |                 |           |                    |          |               |                   |               |                 | X            |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
| DREYFUS SELECT MANAGERS SMALL CAP GROWTH   |                                |                  | X                 |                    |                     |                     |                       |                  |                         |                          |                           | X                 |                    |                |                 |           |                    |          |               | X                 |               |                 |              |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
| DREYFUS SELECT MANAGERS SMALL CAP VALUE FU |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           | X                 |                    |                |                 |           |                    |          |               |                   | X             |                 |              |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
| DREYFUS/NEWTON INTERNATIONAL EQUITY FUND   |                                |                  | X                 |                    |                     |                     |                       |                  |                         |                          |                           | X                 |                    |                |                 |           |                    |          |               |                   |               | X               |              |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
| DU PONT (E I) DE NEMOURS AND COMPANY       |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | X               |           |                    | X        |               |                   |               | X               |              |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
| EMC CORP MASS                              |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                |                 |           |                    | X        |               |                   |               | X               |              |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
| ELECTRONIC ARTS INC                        |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                |                 |           |                    | X        |               | X                 |               |                 |              |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
| ENERGIZER HLDGS INC                        |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                |                 |           |                    | X        |               | X                 |               |                 |              |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
| ENSCO INTERNATIONAL PLC                    |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | X               |           |                    | X        |               | X                 |               |                 |              |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
| EOG RES INC                                |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                |                 |           |                    |          | X             |                   |               |                 |              |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
| EXXON MOBIL CORP                           |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | X               |           |                    | X        |               |                   |               |                 | X            |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
| FORD MOTOR COMPANY                         |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                |                 |           |                    | X        |               | X                 |               |                 |              |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
| FRANKLIN RES INC                           | X                              |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | X               |           |                    | X        |               |                   |               | X               |              |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
| GENERAL ELECTRIC COMPANY                   |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | X               |           |                    | X        |               |                   |               |                 | X            |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
| GOOGLE INC                                 | X                              |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                |                 |           |                    | X        |               |                   |               | X               |              |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
| HALLIBURTON CO                             | X                              |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | X               |           |                    | X        |               | X                 |               |                 |              |      |                 |                  |                   |                    |                       |                  |                         |                  |  |
| HESS CORPORATION                           |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | X               |           |                    | X        |               |                   |               | X               |              |      |                 |                  |                   |                    |                       |                  |                         |                  |  |



SCHEDULE A

| Assets and Income<br>Block A                 | Valuation of Assets |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           | Income (Block C)  |                    |                |                 |           |                    |          |               |                  |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
|--|---------------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|------------------|-------------------------|--------------------------|---------------------------|-------------------|--------------------|----------------|-----------------|-----------|--------------------|----------|---------------|------------------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|------------------|-------------------------|------------------|--------------|------|
|  | Block B             |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           | Type              |                    |                |                 | Amount    |                    |          |               |                  |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
|  | None (or <\$1,001)  | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | Over \$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Exempted Inv. Fund | Exempted Trust | Qualified Trust | Dividends | Rent and Royalties | Interest | Capital Gains | None (or <\$201) | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | Over \$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Other Income | Date |
| s HIGHBRIDGE DYNAMIC COMM STRAT FUND-E       |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           | x                 |                    |                |                 |           |                    |          |               | x                |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
| s HOME DEPOT INC                             | x                   |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | x               |           |                    |          | x             |                  |               | x               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
| s HONEYWELL INTL INC                         |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | x               |           |                    |          | x             |                  |               | x               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
| s HOSPIRA INC                                | x                   |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                |                 |           |                    |          | x             |                  |               | x               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
| s HUMAN GENOME SCIENCES INC                  | x                   |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                |                 |           |                    |          | x             |                  |               | x               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
| s INFORMATICA CORP                           |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                |                 |           |                    |          | x             |                  |               | x               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
| s INTERCONTINENTALEXCHANGE INC               |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                |                 |           |                    |          |               | x                |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
| s INTERNATIONAL BUSINESS MACHINES CORPORATIO |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | x               |           |                    |          | x             |                  |               | x               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
| s INTUIT                                     |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                |                 |           |                    |          | x             |                  |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
| s ISHARES MSCI EMERGING MKTS INDEX FD        |                     |                  | x                 |                    |                     |                     |                       |                  |                         |                          |                           | x                 |                    |                |                 |           |                    |          |               |                  | x             |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
| s ISHARES S&P GSCI COMMODITY- INDEXED TRUST  |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           | x                 |                    |                |                 |           |                    |          |               |                  | x             |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
| s JPMORGAN CHASE & CO                        |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | x               |           |                    |          | x             |                  |               | x               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
| s LIMITED BRANDS INC                         |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | x               |           |                    |          |               |                  |               |                 | x               |                  |                   |                    |                       |                  |                         |                  |              |      |
| s LINCOLN NATL CORP IND                      |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | x               |           |                    |          | x             |                  |               | x               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
| s LORILLARD INC                              |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | x               |           |                    |          | x             |                  |               | x               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
| s MCKESSON CORPORATION                       |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | x               |           |                    |          | x             |                  |               | x               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
| s MEDTRONIC INC                              | x                   |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | x               |           |                    |          | x             |                  |               | x               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
| s MELLON OPTIMA I/S STRATEGY FD LLC          |                     |                  |                   |                    | x                   |                     |                       |                  |                         |                          |                           | x                 |                    |                |                 |           |                    |          |               | x                |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
| s MERCK & CO INC                             |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | x               |           |                    |          | x             |                  |               | x               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
| s MORGAN STANLEY                             | x                   |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | x               |           |                    |          | x             |                  |               | x               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
| s MOTOROLA INC                               | x                   |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                |                 |           |                    |          | x             |                  |               | x               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
| s NATIONAL OILWELL VARCO INC.                |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | x               |           |                    |          | x             |                  |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
| s NETAPP INC                                 |                     | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                |                 |           |                    |          |               | x                |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |
| s NEWELL RUBBERMAID INC                      | x                   |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | x               |           |                    |          | x             |                  |               | x               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |

SCHEDULE A

| Assets and Income<br>Block A |                                     | Valuation of Assets<br>Block B |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           | Income (Block C)  |                    |                 |           |                    |          |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
|------------------------------|-------------------------------------|--------------------------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|------------------|-------------------------|--------------------------|---------------------------|-------------------|--------------------|-----------------|-----------|--------------------|----------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|------------------|-------------------------|------------------|--------------|------|--|--|--|--|--|--|--|--|--|--|--|
|                              |                                     | None (or <\$1,001)             | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | Over \$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Excepted Inv. Fund | Qualified Trust | Dividends | Rent and Royalties | Interest | Capital Gains | Amount          |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| None (or < \$201)            | \$201-\$1,000                       |                                |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                 |           |                    |          |               | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | Over \$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Other Income | Date |  |  |  |  |  |  |  |  |  |  |  |
| S                            | NEWS CORP INC CLASS B               |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                 |           |                    | X        | X             |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| S                            | NEXTERA ENERGY INC                  |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                 |           |                    |          | X             | X               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| S                            | NORDSTROM INC                       | X                              |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                 |           |                    |          | X             | X               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| S                            | NORFOLK SOUTHERN CORPORATION        |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                 |           |                    |          | X             | X               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| S                            | OCCIDENTAL PETROLEUM CORP           |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                 |           |                    |          | X             | X               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| S                            | OMNICOM GROUP INC                   |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                 |           |                    |          | X             | X               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| S                            | ORACLE CORP                         |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                 |           |                    |          | X             | X               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| S                            | P V H CORP                          |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                 |           |                    |          | X             | X               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| S                            | PEPSICO INC                         |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                 |           |                    |          | X             | X               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| S                            | PFIZER INC                          |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                 |           |                    |          | X             | X               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| S                            | PHILIP MORRIS INTERNATIONAL INC     |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                 |           |                    |          | X             | X               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| S                            | PPL CORP                            |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                 |           |                    |          | X             | X               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| S                            | PRAXAIR INC                         |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                 |           |                    |          | X             | X               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| S                            | PUBLIC SVC ENTERPRISE GROUP INC     | X                              |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                 |           |                    |          | X             | X               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| S                            | QUALCOMM INC                        |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                 |           |                    |          | X             | X               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| S                            | ROBERT HALF INTL INC                |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                 |           |                    |          | X             | X               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| S                            | RYDEX MANAGED FUTURES STRATEGY FD-Y |                                |                  | X                 |                    |                     |                     |                       |                  |                         |                          |                           | X                 |                    |                 |           |                    |          |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| S                            | ST JUDE MEDICAL INC                 |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                 |           |                    |          | X             | X               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| S                            | TARGET CORP                         |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                 |           |                    |          | X             | X               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| S                            | TCW EMERGING MARKETS INCOME FUND-I  |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           | X                 |                    |                 |           |                    |          | X             | X               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| S                            | TERADATA CORPORATION                |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                 |           |                    |          | X             | X               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| S                            | TEXTRON INC                         | X                              |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                 |           |                    |          | X             | X               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| S                            | THE PROCTER & GAMBLE COMPANY        |                                | X                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                 |           |                    |          | X             | X               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |
| S                            | THERMO FISHER SCIENTIFIC INC.       | X                              |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                 |           |                    |          | X             | X               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |  |  |  |  |  |  |  |  |  |  |

SCHEDULE A

| Assets and Income<br>Block A  | Valuation of Assets<br>Block B |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           | Income (Block C)  |                    |                |                 |           |                    |          |               |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |
|-------------------------------|--------------------------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|------------------|-------------------------|--------------------------|---------------------------|-------------------|--------------------|----------------|-----------------|-----------|--------------------|----------|---------------|-------------------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|------------------|-------------------------|------------------|--------------|------|--|
|                               | Block B                        |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           | Type              |                    |                | Amount          |           |                    |          |               |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |
|                               | None (or <\$1,001)             | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | Over \$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Excepted Inv. Fund | Excepted Trust | Qualified Trust | Dividends | Rent and Royalties | Interest | Capital Gains | None (or < \$201) | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | Over \$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Other Income | Date |  |
| s TIME WARNER INC             | x                              |                  |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | x               |           |                    | x        |               |                   | x             |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |
| s TRANSCANADA CORP            |                                | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                |                 |           |                    |          | x             |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |
| s TYCO INTERNATIONAL LTD      |                                | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | x               |           |                    | x        |               |                   | x             |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |
| s UNILEVER PLC SPNSRD ADR NEW |                                | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | x               |           |                    | x        |               | x                 |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |
| s VALE SA-SP ADR              |                                | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | x               |           |                    | x        |               |                   |               | x               |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |
| s VMWARE INC-CLASS A          |                                | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                |                 |           |                    |          | x             |                   |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |
| s WELLS FARGO & COMPANY       |                                | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                | x               |           |                    | x        |               |                   | x             |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |
| s ZIMMER HOLDINGS INC         |                                | x                |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                    |                |                 |           |                    | x        |               | x                 |               |                 |                 |                  |                   |                    |                       |                  |                         |                  |              |      |  |

**Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate**

|  |                   |                          |
|--|-------------------|--------------------------|
| Reporting Individual's Name<br><b>Robert S. Mueller, III</b> | <b>SCHEDULE B</b> | Page Number<br><b>12</b> |
|--|-------------------|--------------------------|

**Part I: Transactions**

None

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

|   | Identification of Assets        | Transaction Type (x) |      |          | Date (Mo., Day, Yr.) | Amount of Transaction (x) |                     |                      |                       |                       |                         |                   |                           |                            |                             |                   |                            |  |
|---|---------------------------------|----------------------|------|----------|----------------------|---------------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|-------------------|----------------------------|--|
|   |                                 | Purchase             | Sale | Exchange |                      | \$1,001 - \$15,000        | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Certificate of divestiture |  |
|   | Example Central Airlines Common | x                    |      |          | 2/1/99               |                           |                     | x                    |                       |                       |                         |                   |                           |                            |                             |                   |                            |  |
| 1 | See Pages 13-M18.               |                      |      |          |                      |                           |                     |                      |                       |                       |                         |                   |                           |                            |                             |                   |                            |  |
| 2 |                                 |                      |      |          |                      |                           |                     |                      |                       |                       |                         |                   |                           |                            |                             |                   |                            |  |
| 3 |                                 |                      |      |          |                      |                           |                     |                      |                       |                       |                         |                   |                           |                            |                             |                   |                            |  |
| 4 |                                 |                      |      |          |                      |                           |                     |                      |                       |                       |                         |                   |                           |                            |                             |                   |                            |  |
| 5 |                                 |                      |      |          |                      |                           |                     |                      |                       |                       |                         |                   |                           |                            |                             |                   |                            |  |

\* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

**Part II: Gifts, Reimbursements, and Travel Expenses**

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$350 and (2) travel-related cash reimbursements received from one source totaling more than \$350. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by

the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$140 or less. See instructions for other exclusions.

None

|   | Source (Name and Address)   | Brief Description   | Value          |
|---|---|---|----------------|
|   | Examples Nat'l Assn. of Rock Collectors, NY, NY<br>Frank Jones, San Francisco, CA | Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)<br>Leather briefcase (personal friend) | \$500<br>\$385 |
| 1 |   |   |                |
| 2 |   |   |                |
| 3 |   |   |                |
| 4 |   |   |                |
| 5 |   |   |                |

| Part I: Transactions                           |                  |      |          |           |                       |                   |                    |                     |                     |                       |                  |                            |                         |                          |                           |                   |                            |
|--|------------------|------|----------|-----------|-----------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|------------------|----------------------------|-------------------------|--------------------------|---------------------------|-------------------|----------------------------|
| Part I: Transactions                           | Transaction Type |      |          | Date      | Amount of Transaction |                   |                    |                     |                     |                       |                  |                            |                         |                          |                           |                   |                            |
|  | Purchase         | Sale | Exchange |           | \$1,001-\$15,000      | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,000-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | Over \$1,000,000 | Certificate of Divestiture | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Certificate of Divestiture |
| <b>Combined Personal Accounts</b>              |                  |      |          |           |                       |                   |                    |                     |                     |                       |                  |                            |                         |                          |                           |                   |                            |
| iShares Russell 1000 Index ETF                 | x                |      |          | 2/14/2011 |                       | x                 |                    |                     |                     |                       |                  |                            |                         |                          |                           |                   |                            |
| Market Vectors Brazil Small-Cap ETF            | x                |      |          | 2/14/2011 | x                     |                   |                    |                     |                     |                       |                  |                            |                         |                          |                           |                   |                            |
| Mathews Asian Growth & Income Fund             | x                |      |          | 2/14/2011 | x                     |                   |                    |                     |                     |                       |                  |                            |                         |                          |                           |                   |                            |
| Metropolitan West Funds Total Return Bond Fund | x                |      |          | 2/14/2011 | x                     |                   |                    |                     |                     |                       |                  |                            |                         |                          |                           |                   |                            |
| Vanguard Long Term Tax Exempt Fund             | x                |      |          | 2/14/2011 |                       | x                 |                    |                     |                     |                       |                  |                            |                         |                          |                           |                   |                            |
| PIMCO Total Return Fund                        |                  | x    |          | 8/11/2011 |                       |                   |                    | x                   |                     |                       |                  |                            |                         |                          |                           |                   |                            |
| Vanguard Intermediate Term Muni TE Fund        |                  | x    |          | 4/29/2011 | x                     |                   |                    |                     |                     |                       |                  |                            |                         |                          |                           |                   |                            |
| Vanguard Intermediate Term Muni TE Fund        |                  | x    |          | 11/7/2011 | x                     |                   |                    |                     |                     |                       |                  |                            |                         |                          |                           |                   |                            |
| Market Vectors Brazil Small-Cap ETF            |                  | x    |          | 7/15/2011 | x                     |                   |                    |                     |                     |                       |                  |                            |                         |                          |                           |                   |                            |

SCHEDULE B

| Part I: Transactions                                 |                  |      |          |           |                       |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
|--|------------------|------|----------|-----------|-----------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|------------------|-------------------------|--------------------------|---------------------------|-------------------|----------------------------|--|
| Identification of Assets                             | Transaction Type |      |          | Date      | Amount of Transaction |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
|  | Purchase         | Sale | Exchange |           | \$1,001-\$15,000      | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,000-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | Over \$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Certificate of Divestiture |  |
|  |                  |      |          |           |                       |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| <b>Trust No. 1</b>                                   |                  |      |          |           |                       |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| COVIDIEN PLC   | x                |      |          | 1/6/2011  | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| TARGET CORP  |                  | x    |          | 1/11/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| DREYFUS HIGH YIELD FUND                              | x                |      |          | 1/18/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| BNY MELLON BOND FUND                                 | x                |      |          | 1/18/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| BNY MELLON SHORT-TERM U S GOVERNMENT SECURITIES FUND | x                |      |          | 1/18/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| DREYFUS OPPORTUNISTIC SMALL CAP FUND                 | x                |      |          | 1/18/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| DREYFUS SELECT MANAGERS SMALL CAP GROWTH FUND        | x                |      |          | 1/18/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| DREYFUS SELECT MANAGERS SMALL CAP VALUE FUND         | x                |      |          | 1/18/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| ASG GLOBAL ALTERNATIVES FUND-Y                       | x                |      |          | 1/18/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| BNY MELLON SMALL/MID CAP FUND                        | x                |      |          | 1/18/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| BNY MELLON EMERGING MARKETS FUND                     | x                |      |          | 1/18/2011 |                       | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| DREYFUS MIDCAP INDEX FUND                            |                  | x    |          | 1/18/2011 |                       | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| BNY MELLON SMALL CAP STOCK FUND                      |                  | x    |          | 1/18/2011 |                       | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| BNY MELLON NATL S/T MUN BD FD                        |                  | x    |          | 1/18/2011 |                       | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| BNY MELLON NTL INTER MUN BD FD                       |                  | x    |          | 1/18/2011 |                       | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| BNY MELLON US CORE EQUITY 130/30 FUND                |                  | x    |          | 1/18/2011 |                       | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| BNY MELLON LARGE CAP MARKET OPPORTUNITY FUND         | x                |      |          | 1/18/2011 |                       |                   | x                  |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| ADVANTAGE GLOBAL ALPHA FUND                          | x                |      |          | 1/19/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| VALE SA-SP ADR                                       |                  | x    |          | 1/20/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| OMNICOM GROUP INC                                    |                  | x    |          | 1/20/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| FORD MOTOR COMPANY                                   |                  | x    |          | 1/20/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| MCKESSON CORPORATION                                 |                  | x    |          | 1/20/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| THERMO FISHER SCIENTIFIC INC.                        |                  | x    |          | 1/20/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| PHILIP MORRIS INTERNATIONAL INC                      |                  | x    |          | 1/20/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| PEPSICO INC  |                  | x    |          | 1/20/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| AT&T INC   |                  | x    |          | 1/20/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| NEWS CORP INC CLASS B                                |                  | x    |          | 1/20/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| GENERAL ELECTRIC COMPANY                             |                  | x    |          | 1/20/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| CONOCOPHILLIPS                                       |                  | x    |          | 1/20/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| QUALCOMM INC   |                  | x    |          | 1/20/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| CAPITAL ONE FINANCIAL CORP                           |                  | x    |          | 1/20/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| CUMMINS INC  |                  | x    |          | 1/20/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| PUBLIC SVC ENTERPRISE GROUP INC                      |                  | x    |          | 1/20/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| MICROSOFT CORPORATION                                |                  | x    |          | 1/20/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| HESS CORPORATION                                     |                  | x    |          | 1/20/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| INTERNATIONAL BUSINESS MACHINES CORPORATION          |                  | x    |          | 1/20/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |
| APACHE CORP  |                  | x    |          | 1/20/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |  |

SCHEDULE B

| Identification of Assets                             | Transaction Type |      |          | Date       | Amount of Transaction |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
|--|------------------|------|----------|------------|-----------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|------------------|-------------------------|--------------------------|---------------------------|-------------------|----------------------------|
|  | Purchase         | Sale | Exchange |            | \$1,001-\$15,000      | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,000-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | Over \$1,000,000 | \$1,000,001-\$6,000,000 | \$6,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Certificate of Divestiture |
| JPMORGAN CHASE & CO                                  |                  | X    |          | 1/20/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BANK OF AMERICA CORPORATION                          |                  | X    |          | 1/20/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| ORACLE CORP  |                  | X    |          | 1/20/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| WELLS FARGO & COMPANY                                |                  | X    |          | 1/20/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| GOOGLE INC   |                  | X    |          | 1/20/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| PFIZER INC   |                  | X    |          | 1/20/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| OCCIDENTAL PETROLEUM CORP                            |                  | X    |          | 1/20/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| EXXON MOBIL CORP                                     |                  | X    |          | 1/20/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| APPLE INC  |                  | X    |          | 1/20/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON INTERMEDIATE BOND FUND                    | X                |      |          | 1/20/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS INFLATION ADJUSTED SECS FD                   | X                |      |          | 1/20/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| RYDEX MANAGED FUTURES STRATEGY FD-Y                  | X                |      |          | 1/20/2011  |                       | X                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON MUNICIPAL OPPORTUNITIES FUND              | X                |      |          | 1/20/2011  |                       | X                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DEFENDERS MULTI-STRATEGY HEDGE FUND LLC              |                  |      | X        | 3/14/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| ENSCO INTERNATIONAL PLC                              | X                |      |          | 3/29/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| AMAZON.COM INC                                       | X                |      |          | 5/5/2011   | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS HIGH YIELD FUND                              |                  | X    |          | 9/30/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| RYDEX MANAGED FUTURES STRATEGY FD-Y                  |                  | X    |          | 9/30/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON MUNICIPAL OPPORTUNITIES FUND              |                  | X    |          | 9/30/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON NTL INTER MUN BD FD                       | X                |      |          | 9/30/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS INFLATION ADJUSTED SECS FD                   |                  | X    |          | 9/30/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| ASG GLOBAL ALTERNATIVES FUND-Y                       | X                |      |          | 9/30/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON SHORT-TERM U S GOVERNMENT SECURITIES FUND |                  | X    |          | 9/30/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON MID CAP STOCK FUND                        | X                |      |          | 9/30/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON BOND FUND                                 |                  | X    |          | 9/30/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS MIDCAP INDEX FUND                            |                  | X    |          | 9/30/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON NATL S/T MUN BD FD                        |                  | X    |          | 9/30/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| HIGHBRIDGE DYNAMIC COMM STRAT FUND-E                 | X                |      |          | 9/30/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON INTERMEDIATE BOND FUND                    |                  | X    |          | 9/30/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS EMG MKT DEBT LOC C-I                         | X                |      |          | 9/30/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| TCW EMERGING MARKETS INCOME FUND-I                   | X                |      |          | 9/30/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS/NEWTON INTERNATIONAL EQUITY FUND             |                  | X    |          | 9/30/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS PREM STRAT GLBL ST-I                         |                  | X    |          | 9/30/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS PRE STRAT INTER ST-I                         | X                |      |          | 9/30/2011  |                       | X                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON EMERGING MARKETS FUND                     |                  | X    |          | 9/30/2011  |                       | X                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS EMERGING MARKETS - I                         | X                |      |          | 9/30/2011  |                       |                   | X                  |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| APPLE INC  |                  | X    |          | 10/4/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| ISHARES S&P GSCI COMMODITY- INDEXED TRUST            |                  | X    |          | 10/4/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON INCOME STOCK-M                            | X                |      |          | 10/4/2011  |                       | X                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON EMERGING MARKETS FUND                     | X                |      |          | 12/22/2011 |                       |                   | X                  |                     |                     |                       |                  |                         |                          |                           |                   |                            |

SCHEDULE B

| Identification of Assets                      | Transaction Type |      |          | Date       | Amount of Transaction |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
|---|------------------|------|----------|------------|-----------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|------------------|-------------------------|--------------------------|---------------------------|-------------------|----------------------------|
|   | Purchase         | Sale | Exchange |            | \$1,001-\$15,000      | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,000-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | Over \$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Certificate of Divestiture |
| DREYFUS EMERGING MARKETS - I                  |                  | X    |          | 12/22/2011 |                       |                   | X                  |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS OPPORTUNISTIC SMALL CAP FUND          |                  | X    |          | 12/30/2011 | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| HIGHBRIDGE DYNAMIC COMM STRAT FUND-E          |                  | X    |          | 12/30/2011 | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS SELECT MANAGERS SMALL CAP VALUE FUND  |                  | X    |          | 12/30/2011 | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON MID CAP STOCK FUND                 |                  | X    |          | 12/30/2011 | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON SMALL/MID CAP FUND                 |                  | X    |          | 12/30/2011 | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| ASG GLOBAL ALTERNATIVES FUND-Y                |                  | X    |          | 12/30/2011 | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| RYDEX MANAGED FUTURES STRATEGY FD-Y           |                  | X    |          | 12/30/2011 |                       | X                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON INTERNATIONAL FUND                 |                  | X    |          | 12/30/2011 |                       | X                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON NATL S/T MUN BD FD                 | X                |      |          | 12/30/2011 |                       | X                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON LARGE CAP MARKET OPPORTUNITY FUND  |                  | X    |          | 12/30/2011 |                       |                   | X                  |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| <b>Trust No. 2</b>                            |                  |      |          |            |                       |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| ADVANTAGE GLOBAL ALPHA FUND                   | X                |      |          | 1/18/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON INTERMEDIATE BOND FUND             | X                |      |          | 1/18/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS OPPORTUNISTIC SMALL CAP FUND          | X                |      |          | 1/18/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS SELECT MANAGERS SMALL CAP GROWTH FUND | X                |      |          | 1/18/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS SELECT MANAGERS SMALL CAP VALUE FUND  | X                |      |          | 1/18/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| ASG GLOBAL ALTERNATIVES FUND-Y                | X                |      |          | 1/18/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON SMALL/MID CAP FUND                 | X                |      |          | 1/18/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS INFLATION ADJUSTED SECS FD            | X                |      |          | 1/18/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS MIDCAP INDEX FUND                     |                  | X    |          | 1/18/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON EMERGING MARKETS FUND              | X                |      |          | 1/18/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| RYDEX MANAGED FUTURES STRATEGY FD-Y           | X                |      |          | 1/18/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON US CORE EQUITY 130/30 FUND         |                  | X    |          | 1/18/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON SMALL CAP STOCK FUND               |                  | X    |          | 1/18/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON MUNICIPAL OPPORTUNITIES FUND       | X                |      |          | 1/18/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON NATL S/T MUN BD FD                 |                  | X    |          | 1/18/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON NTL INTER MUN BD FD                |                  | X    |          | 1/18/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| JPMORGAN CHASE & CO                           |                  | X    |          | 1/20/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| OCCIDENTAL PETROLEUM CORP                     |                  | X    |          | 1/20/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| PFIZER INC                                    |                  | X    |          | 1/20/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| EXXON MOBIL CORP                              |                  | X    |          | 1/20/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| APPLE INC                                     |                  | X    |          | 1/20/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON LARGE CAP MARKET OPPORTUNITY FUND  | X                |      |          | 1/20/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON LARGE CAP MARKET OPPORTUNITY FUND  | X                |      |          | 1/20/2011  |                       | X                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| <b>Trust No. 3</b>                            |                  |      |          |            |                       |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS OPPORTUNISTIC SMALL CAP FUND          | X                |      |          | 1/19/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS SELECT MANAGERS SMALL CAP GROWTH FUND | X                |      |          | 1/19/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS SELECT MANAGERS SMALL CAP VALUE FUND  | X                |      |          | 1/19/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |



SCHEDULE B

| Identification of Assets                     | Transaction Type |      |          | Date       | Amount of Transaction |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
|--|------------------|------|----------|------------|-----------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|------------------|-------------------------|--------------------------|---------------------------|-------------------|----------------------------|
|  | Purchase         | Sale | Exchange |            | \$1,001-\$15,000      | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,000-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | Over \$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Certificate of Divestiture |
| BNY MELLON SMALL/MID CAP FUND                | X                |      |          | 1/19/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON INTERMEDIATE BOND FUND            | X                |      |          | 1/19/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| ASG GLOBAL ALTERNATIVES FUND-Y               | X                |      |          | 1/19/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON EMERGING MARKETS FUND             | X                |      |          | 1/19/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON MID CAP STOCK FUND                |                  | X    |          | 1/19/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON MUNICIPAL OPPORTUNITIES FUND      | X                |      |          | 1/19/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON SMALL CAP STOCK FUND              |                  | X    |          | 1/19/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| RYDEX MANAGED FUTURES STRATEGY FD-Y          | X                |      |          | 1/19/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON NATL S/T MUN-BD FD                |                  | X    |          | 1/19/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON NTL INTER MUN BD FD               |                  | X    |          | 1/19/2011  |                       | X                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| CUMMINS INC                                  |                  | X    |          | 1/21/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| HESS CORPORATION                             |                  | X    |          | 1/21/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| MICROSOFT CORPORATION                        |                  | X    |          | 1/21/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| INTERNATIONAL BUSINESS MACHINES CORPORATION  |                  | X    |          | 1/21/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BANK OF AMERICA CORPORATION                  |                  | X    |          | 1/21/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| JPMORGAN CHASE & CO                          |                  | X    |          | 1/21/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| APACHE CORP                                  |                  | X    |          | 1/21/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| WELLS FARGO & COMPANY                        |                  | X    |          | 1/21/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| ORACLE CORP                                  |                  | X    |          | 1/21/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| PFIZER INC                                   |                  | X    |          | 1/21/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| OCCIDENTAL PETROLEUM CORP                    |                  | X    |          | 1/21/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| GOOGLE INC                                   |                  | X    |          | 1/21/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| EXXON MOBIL CORP                             |                  | X    |          | 1/21/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| APPLE INC                                    |                  | X    |          | 1/21/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS INFLATION ADJUSTED SECS FD           | X                |      |          | 1/21/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON LARGE CAP MARKET OPPORTUNITY FUND | X                |      |          | 1/21/2011  |                       | X                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON TAX-SENSITIVE LARGE CAP-M         |                  | X    |          | 2/22/2011  | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS INFLATION ADJUSTED SECS FD           | X                |      |          | 12/14/2011 | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS/NEWTON INTERNATIONAL EQUITY FUND     |                  | X    |          | 12/14/2011 | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| ASG GLOBAL ALTERNATIVES FUND-Y               | X                |      |          | 12/14/2011 | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON INTERNATIONAL FUND                |                  | X    |          | 12/14/2011 | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON TAX-SENSITIVE LARGE CAP-M         |                  | X    |          | 12/14/2011 | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS PREM STRAT GLBL ST-I                 |                  | X    |          | 12/14/2011 | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON EMERGING MARKETS FUND             |                  | X    |          | 12/14/2011 | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON NATL S/T MUN BD FD                |                  | X    |          | 12/14/2011 | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS DIVERSIFIED INTL-I                   | X                |      |          | 12/14/2011 | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON PA INTER MUNI-M                   | X                |      |          | 12/14/2011 | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON NTL INTER MUN BD FD               |                  | X    |          | 12/14/2011 | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| ISHARES MSCI EMERGING MKTS INDEX FD          | X                |      |          | 12/16/2011 | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS OPPORTUNISTIC SMALL CAP FUND         |                  | X    |          | 12/29/2011 | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS SELECT MANAGERS SMALL CAP VALUE FUND |                  | X    |          | 12/29/2011 | X                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |

| Identification of Assets                     | Transaction Type |      |          | Date       | Amount of Transaction |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
|--|------------------|------|----------|------------|-----------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|------------------|-------------------------|--------------------------|---------------------------|-------------------|----------------------------|
|  | Purchase         | Sale | Exchange |            | \$1,001-\$15,000      | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,000-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | Over \$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Certificate of Divestiture |
| BNY MELLON SMALL/MID CAP FUND                |                  | x    |          | 12/29/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON EMERGING MARKETS FUND             |                  | x    |          | 12/29/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| ASG GLOBAL ALTERNATIVES FUND-Y               |                  | x    |          | 12/29/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON EMERGING MARKETS FUND             |                  | x    |          | 12/29/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON INTERNATIONAL FUND                |                  | x    |          | 12/29/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| RYDEX MANAGED FUTURES STRATEGY FD-Y          |                  | x    |          | 12/29/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS/NEWTON INTERNATIONAL EQUITY FUND     |                  | x    |          | 12/29/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON NATL S/T MUN BD FD                | x                |      |          | 12/29/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON LARGE CAP MARKET OPPORTUNITY FUND |                  | x    |          | 12/29/2011 |                       | x                 |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| <b>Trust No. 4</b>                           |                  |      |          |            |                       |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON TAX-SENSITIVE LARGE CAP-M         |                  | x    |          | 2/22/2011  | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS INFLATION ADJUSTED SECS FD           | x                |      |          | 12/14/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS/NEWTON INTERNATIONAL EQUITY FUND     |                  | x    |          | 12/14/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| ASG GLOBAL ALTERNATIVES FUND-Y               | x                |      |          | 12/14/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON INTERNATIONAL FUND                |                  | x    |          | 12/14/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON TAX-SENSITIVE LARGE CAP-M         |                  | x    |          | 12/14/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS PREM STRAT GLBL ST-I                 |                  | x    |          | 12/14/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON EMERGING MARKETS FUND             |                  | x    |          | 12/14/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON NATL S/T MUN BD FD                |                  | x    |          | 12/14/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| DREYFUS DIVERSIFIED INTL-I                   | x                |      |          | 12/14/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON PA INTER MUNI-M                   | x                |      |          | 12/14/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| BNY MELLON NTL INTER MUN BD FD               |                  | x    |          | 12/14/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |
| ISHARES MSCI EMERGING MKTS INDEX FD          | x                |      |          | 12/16/2011 | x                     |                   |                    |                     |                     |                       |                  |                         |                          |                           |                   |                            |

|  |                   |                          |
|--|-------------------|--------------------------|
| Reporting Individual's Name<br><b>Robert S. Mueller, III</b> | <b>SCHEDULE C</b> | Page Number<br><b>19</b> |
|--|-------------------|--------------------------|

**Part I: Liabilities**

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your

personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None

Category of Amount or Value (x)

| Examples | Creditors (Name and Address)                                      | Type of Liability  | Date Incurred | Interest Rate | Term if applicable   | \$10,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 |
|----------|---|--|---------------|---------------|----------------------|---------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|-------------------|
|          |   |  |               |               |                      |                     |                     |                      |                       |                       |                         |                   |                           |                            |                             |                   |
|          | First District Bank, Washington, DC<br>John Jones, Washington, DC | Mortgage on rental property, Delaware<br>Promissory note | 1991<br>1999  | 8%<br>10%     | 25 yrs.<br>on demand |                     |                     | x                    |                       | x                     |                         |                   |                           |                            |                             |                   |
| 1        |   |  |               |               |                      |                     |                     |                      |                       |                       |                         |                   |                           |                            |                             |                   |
| 2        |   |  |               |               |                      |                     |                     |                      |                       |                       |                         |                   |                           |                            |                             |                   |
| 3        |   |  |               |               |                      |                     |                     |                      |                       |                       |                         |                   |                           |                            |                             |                   |
| 4        |   |  |               |               |                      |                     |                     |                      |                       |                       |                         |                   |                           |                            |                             |                   |
| 5        |   |  |               |               |                      |                     |                     |                      |                       |                       |                         |                   |                           |                            |                             |                   |

\* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

**Part II: Agreements or Arrangements**

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves

of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None

| Example | Status and Terms of any Agreement or Arrangement  | Parties                            | Date |
|---------|---|------------------------------------|------|
|         | Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00. | Doe Jones & Smith, Hometown, State | 7/85 |
| 1       |   |                                    |      |
| 2       |   |                                    |      |
| 3       |   |                                    |      |
| 4       |   |                                    |      |
| 5       |   |                                    |      |
| 6       |   |                                    |      |

|  |                   |                          |
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| Reporting Individual's Name<br><b>Robert S. Mueller, III</b> | <b>SCHEDULE D</b> | Page Number<br><b>20</b> |
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**Part I: Positions Held Outside U.S. Government**

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None

|          | Organization (Name and Address)  | Type of Organization             | Position Held        | From (Mo., Yr.) | To (Mo., Yr.)   |
|----------|--|----------------------------------|----------------------|-----------------|-----------------|
| Examples | Nat'l Assn. of Rock Collectors, NY, NY<br>Doe Jones & Smith, Hometown, State | Non-profit education<br>Law firm | President<br>Partner | 6/92<br>7/85    | Present<br>1/00 |
| 1        |  |                                  |                      |                 |                 |
| 2        |  |                                  |                      |                 |                 |
| 3        |  |                                  |                      |                 |                 |
| 4        |  |                                  |                      |                 |                 |
| 5        |  |                                  |                      |                 |                 |
| 6        |  |                                  |                      |                 |                 |

**Part II: Compensation in Excess of \$5,000 Paid by One Source**

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other

non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

**Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.**

None

|          | Source (Name and Address)  | Brief Description of Duties   |
|----------|--|---|
| Examples | Doe Jones & Smith, Hometown, State<br>Metro University (client of Doe Jones & Smith), Moneytown, State | Legal services<br>Legal services in connection with university construction |
| 1        |  |   |
| 2        |  |   |
| 3        |  |   |
| 4        |  |   |
| 5        |  |   |
| 6        |  |   |