

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Ellis, III, Thomas S.	2. Court or Organization U.S. District Court, E.D. Va.	3. Date of Report 08/10/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 401 Courthouse Square 9th Floor Alexandria, VA 22314-5799	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Advisory Board Member

Dean's Intellectual Property Law Advisory Board, George Washington University

2. Member

Judicial Advisory Council on International Family Abduction

3.

4.

5.

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.

2.

3.

Ellis , III , Thomas S.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Sensor		None	J	T					
2. Wachovia Bank Accts	A	Interest	K	T					
3. IRA #1									
4. Cambiar Opp Fd		None	J	T	Sold (part)	08/24/10	J	A	
5. Cambiar Opp Fd		None	J	T	Sold (part)	11/02/10	J	A	
6. Goldman Sachs Tr Finl Sq Mmkt I	A	Dividend	J	T	Sold (part)	07/09/10	J	A	
7. Goldman Sachs Tr Finl Sq Mmkt I	A	Dividend	J	T	Buy (add'l)	08/24/10	J		
8. Goldman Sachs Tr Finl Sq Mmkt I	A	Dividend	J	T	Sold (part)	10/08/10	J	A	
9. Goldman Sachs Tr Finl Sq Mmkt I	A	Dividend	J	T	Sold (part)	11/02/10	J	A	
10. Hotchkiss & Wiley Mid Cap Value I	A	Dividend	J	T	Sold (part)	08/24/10	J	A	
11. Hotchkiss & Wiley Mid Cap Value I	A	Dividend	J	T	Sold (part)	11/02/10	J	A	
12. Legg Mason Value Trust		None	J	T	Sold (part)	08/24/10	J	A	
13. Legg Mason Value Trust		None	J	T	Sold (part)	11/02/10	J	A	
14. Blackrock Lg Cap Core Fd		None	J	T	Sold (part)	08/24/10	J	A	
15. Blackrock Lg Cap Core Fd		None			Sold	08/26/10	J	A	
16. DWS Dreman Sm Cap Value CLS	A	Dividend	J	T	Buy (add'l)	08/24/10	J		
17. DWS Dreman Sm Cap Value CLS	A	Dividend	J	T	Sold (part)	11/02/10	J	A	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Esti

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Ellis, III, Thomas S.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Quantitative Grp Fds Foreign Value Fd Ord. SHS		None	J	T	Sold (part)	08/24/10	J	A	
19. Quantitative Grp Fds Foreign Value Fd Ord. SHS		None			Sold	08/26/10	J	A	
20. Artio Int'l Equity Fd CL I		None	J	T	Buy (add'l)	08/24/10	J		
21. Artio Int'l Equity Fd CL I		None	J	T	Sold (part)	11/02/10	J	A	
22. Security EQ Fd Mid Cap Value Ser CLA	A	Dividend	J	T	Buy (add'l)	08/24/10	J		
23. Security EQ Fd Mid Cap Value Ser CLA	A	Dividend	J	T	Sold (part)	11/02/10	J	A	
24. T Rowe Price Mid Cap Growth Fd	A	Dividend	J	T	Sold (part)	08/24/10	J	A	
25. T Rowe Price Mid Cap Growth Fd	A	Dividend	J	T	Sold (part)	11/02/10	J	A	
26. Touchstone Large Cap Growth Fd CL I	A	Dividend	J	T	Sold (part)	08/24/10	J	A	
27. Touchstone Large Cap Growth Fd CL I	A	Dividend	J	T	Sold (part)	11/02/10	J	A	
28. Wells Fargo Fds Tr Small Cap Growth Fd Admin CL	A	Dividend	J	T	Sold (part)	11/02/10	J	A	
29. Delaware Grp Advisor Fds Inc US Growth Port Instl CL	A	Dividend	J	T	Sold (part)	08/24/10	J	A	
30. Delaware Grp Advisor Fds Inc US Growth Port Instl CL	A	Dividend	J	T	Sold (part)	11/02/10	J	A	
31. John Hancock Classic Value Fd CL I	A	Dividend	J	T	Sold (part)	08/24/10	J	A	
32. John Hancock Classic Value Fd CL I	A	Dividend	J	T	Sold (part)	11/02/10	J	A	
33. Harbor Int'l Instl CL	A	Dividend	J	T	Buy (add'l)	08/24/10	J		
34. Harbor Int'l Instl CL	A	Dividend	J	T	Sold (part)	11/02/10	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Oppenheimer Int'l Growth Fd CL Y	A	Dividend	J	T	Sold (part)	08/24/10	J	A	
36. Oppenheimer Int'l Growth Fd CL Y	A	Dividend			Sold	08/26/10	J	A	
37. Oppenheimer Dev Mkts CL Y	A	Dividend	J	T	Buy	08/24/10	J		
38. Oppenheimer Dev Mkts CL Y	A	Dividend	J	T	Sold (part)	11/02/10	J	A	
39. Morgan Stanley Instl Fd Tr Mid Cap Growth Port I	A	Dividend	J	T	Sold (part)	08/24/10	J	A	
40. Morgan Stanley Instl Fd Tr Mid Cap Growth Port I	A	Dividend	J	T	Sold (part)	11/02/10	J	A	
41. Pimco Fds PAC Int Mgmt Ser Comm Real Ret Strdt Fd Instl CL	A	Dividend	J	T	Sold (part)	08/24/10	J	A	
42. Pimco Fds PAC Int Mgmt Ser Comm Real Ret Strdt Fd Instl CL	A	Dividend	J	T	Sold (part)	11/02/10	J	A	
43. AIM Global Rea Est Fd CL Y	A	Dividend	J	T	Sold (part)	11/02/10	J	A	
44. Park Ave Portfolio RS Emerg Mkts Fd CLA		None			Sold	07/09/10	J	A	
45. Blair William FDS Intl Growth Fd CL I	A	Dividend	J	T	Buy	08/24/10	J		
46. Blair William FDS Intl Growth Fd CL I	A	Dividend	J	T	Sold (part)	11/02/10	J	A	
47. Dreyfus Appreciation FD Inc	A	Dividend	J	T	Buy	08/24/10	J		
48. Dreyfus Appreciation FD Inc	A	Dividend	J	T	Buy (add'l)	08/27/10	J		
49. Dreyfus Appreciation FD Inc	A	Dividend	J	T	Sold (part)	11/02/10	J	A	
50. RS Invt TR Emerging Mkts FD CL Y	A	Dividend	J	T	Buy	07/09/10	J		
51. RS Invt TR Emerging Mkts FD CL Y	A	Dividend	J	T	Sold (part)	08/24/10	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. RS Invt TR Emerging Mkts FD CL Y	A	Dividend	J	T	Sold (part)	11/02/10	J	A	
53. Sentinel Mut. Fds Small Co CL I	A	Dividend	J	T	Buy	08/24/10	J		
54. Sentinel Mut. Fds Small Co CL I	A	Dividend	J	T	Sold (part)	11/02/10	J	A	
55. IRA #2									
56. Diamond Hill Sm Cap Fd A	A	Dividend			Sold	09/21/10	J	A	
57. Wells Fargo Adv End SL-I	A	Dividend	J	T	Sold (part)	09/17/10	J	A	
58. Wells Fargo Adv End SL-I	A	Dividend			Sold	09/21/10	J	A	
59. GS Finl Sq Mmkt Inst'l	A	Dividend	J	T	Sold (part)	07/09/10	J	A	
60. GS Finl Sq Mmkt Inst'l	A	Dividend	J	T	Buy (add'l)	09/17/10	J		
61. GS Finl Sq Mmkt Inst'l	A	Dividend	J	T	Sold (part)	10/08/10	J	A	
62. Touchstone Large Cap Grwth Fd CL I	A	Dividend	J	T	Sold (part)	09/17/10	J	A	
63. Managers Times Sq M/C GRW-PR	A	Dividend	J	T	Sold (part)	09/17/10	J	A	
64. American Centy Mut Fds Amer Centy Vista P	A	Dividend			Sold	07/09/10	J	A	
65. Blackrock Fds Int'l Opp Instl CL B	A	Dividend	J	T	Sold (part)	09/17/10	J	A	
66. Blackrock Fds Int'l Opp Instl CL B	A	Dividend			Sold	09/21/10	J	A	
67. Cambiar Opp Fd	A	Dividend			Sold	09/17/10	J	A	
68. John Hancock Classic Value Fd CL I	A	Dividend	J	T	Sold (part)	09/17/10	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Harbor Int'l Inst'l CL	A	Dividend	J	T	Buy (add'l)	09/17/10	J		
70. Hotchkiss & Wiley Fds Mid Cap Value Fd CL I	A	Dividend	J	T	Sold (part)	09/17/10	J	A	
71. Legg Mason Value Tr Inc Nav Value Trust	A	Dividend	J	T	Sold (part)	09/17/10	J	A	
72. Security Equity Fd Mid Cap Value Ser CLA	A	Dividend	J	T	Buy (add'l)	09/17/10	J		
73. Wells Fargo FDS TR Small Cap Growth Fd Adm	A	Dividend	J	T	Sold (part)	09/17/10	J	A	
74. AIM Global Real Estate FD CL Y	A	Dividend	J	T	Sold (part)	09/17/10	J	A	
75. Blair Williams Fds Intl Growth Fd CL I	A	Dividend	J	T	Buy (add'l)	09/17/10	J		
76. Blackrock Large Cap Ser Fds Core Fd	A	Dividend	J	T	Sold (part)	09/17/10	J	A	
77. Blackrock Large Cap Ser Fds Core Fd	A	Dividend			Sold	09/21/10	J	A	
78. Park Ave Portfolio RS Emerging Mkts Fd CLA	A	Dividend	J	T	Sold (part)	09/17/10	J	A	
79. Artio Global Invt Fds Int'l Equity Fd II CL I	A	Dividend	J	T	Buy (add'l)	09/17/10	J		
80. PIMCO Fds PAC Invt Mgmt Ser-Comm	A	Dividend	J	T	Sold (part)	09/17/10	J	A	
81. Brandywine Blue Fd Inc	A	Dividend	J	T	Buy	09/17/10	J		
82. Dreyfus Appreciation Fd Inc	A	Dividend	J	T	Buy	09/17/10	J		
83. Dreyfus Appreciation Fd Inc	A	Dividend	J	T	Buy (add'l)	09/22/10	J		
84. DWS Value Ser Inc Small Cap Value Fd CL S	A	Dividend	J	T	Buy	09/17/10	J		
85. Morgan Stanley Instl Fd Trust Mid Cap Grwth Port CL I	A	Dividend	J	T	Buy	07/09/10	J		

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
(See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
(See Column C2) Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
U = Book Value V = Other W = Estimated

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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86. Morgan Stanley Instl Fd Trust Mid Cap Grwth Port CL I	A	Dividend	J	T	Sold (part)	09/17/10	J	A	
87. Oppenheimer Dev Mkts CL Y	A	Dividend	J	T	Buy	09/17/10	J		
88. Sentinel Mut Fds Small Co CL I	A	Dividend	J	T	Buy	09/17/10	J		
89.									
90.									
91.									
92.									
93.									
94.									
95.									
96.									
97.									
98.									
99.									
100.									
101.									
102.									

- | | | | | | |
|--|--|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |
| 3. Value Method Codes
(See Column C2) | | | | | |

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103.									
104.									
105.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas S. Ellis, III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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