## Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

U.S. Office of Government Ethics

| Date of Appointment, Candidacy, Election   | Reporting Status     |                      | Calendar Year         |             |                        |                        | Termination Date (If Appli-<br>cable ) (Month, Day, Year) | Fee for Late Filing   |
|--|----------------------|----------------------|-----------------------|-------------|------------------------|------------------------|---|---|
| or Nomination (Month, Day, Year)   | (Check               | Incumbent            | Covered by Report     |             | lew Entrant, Nominee,  | Termination<br>Filer   | Cuole) (Month, Day, Tear)                                 | Any individual who is required to   |
|  | appropriate boxes)   |                      | 1                     |             | r Candidate            | L Priter               |   | file this report and does so more than  30 days after the date the report is  |
|  | Last Name            |                      |                       | F           | irst Name and Middle   | Initial                |   | required to be filed, or, if an extension   |
| Reporting Individual's Name  | Rose                 |                      |                       | 0           | Charles P.             |                        |   | is granted, more than 30 days after the   |
|  | ,                    |                      |                       |             |                        | (K. I the able)        |   | last day of the filing extension period shall be subject to a \$200 fee.  |
| Position for Which Filing  | Title of Position    |                      |                       |             | Department or Agency   |                        | !!  | shall be subject to a \$200 fee.  |
| r conton for which I ming  | General Couns        |                      |                       |             | United States Depa     |                        |   | Reporting Periods   |
|  | Address (Number,     | Street, City, State, | and ZIP Code )        |             |                        | Telephone No. (Inc     | clude Area Code)  | Incumbents: The reporting period is   |
| Location of Present Office (or forwarding address)   | Franczek Rad         | elet & Rose 300      | S. Wacker #3400       | Chicag      | go, IL 60606           | 312-786-6161           |   | the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also   |
| Position(s) Held with the Federal  | Title of Position(s  | and Date(s) Held     |                       |             |                        |                        |   | include the filing year up to the date  |
| Government During the Preceding<br>12 Months (If Not Same as Above)  | None                 |                      |                       |             |                        |                        |   | you file. Part II of Schedule D is not applicable.  |
| -  |                      |                      |                       |             |                        |                        |   | Termination Filers: The reporting   |
| Presidential Nominees Subject to   | Name of Congress     | sional Committee C   | onsidering Nomination | , Ir        | Do You Intend to Creat | te a Qualified Diversi | fied Trust7   | period begins at the end of the period  |
| Senate Confirmation  | Senate HELP          | Committee            |                       |             | Yes                    | X <sub>No</sub>        |   | covered by your previous filing and ends at the date of termination. Part Il  |
|  |                      |                      |                       |             | <del></del>            | Date (Month, Day,      | Years   | of Schedule D is not applicable.  |
| Certification  [CERTIFY that the statements I have   | Signature of Repo    | rting Indryiqual     | <u> </u>              | _           |                        | Date (Month, Day,      | , rear )  | Nominees, New Entrants and  |
| made on this form and all attached<br>schedules are true, complete and correct<br>to the best of my knowledge.   |                      |                      | J. M                  |             | ~                      | 03-16                  | ,-2009  | Candidates for President and Vice<br>President:   |
|  | Signature of Othe    | r Reviewer           |                       |             |                        | Date (Month, Day,      | Year  | Schedule A-The reporting period for income (BLOCK C) is the preceding   |
|  |                      |                      | -                     |             | V-A                    | Date (Month, 2007)     | 1,400   | calendar year and the current calendar  |
| Other Review<br>(If desired by<br>agency)  |                      |                      |                       |             | -                      |                        |   | year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.                                   |
| Agency Ethics Official's Opinion   | Signature of Desi    | gnated Agency Ethi   | es Official/Reviewing | Official    |                        | Date (Month, Day       | , Year)   | Schedule B-Not applicable.  |
| On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).  | De                   | I de la              |                       |             |                        | 3/20/                  | log   | Schedule C. Part I (Liabilities)— The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is |
| 007 00 .711  | Signature            | WAL                  |                       |             |                        | Date (Month, Day       | , Year)   | within 31 days of the date of filing.   |
| Office of Government Ethics Use Only  Comments of Reviewing Officials (If additional add | /                    | July 3               | + Camel               |             |                        | 3/24                   | 109   | Schedule C. Part II (Agreements or Arrangements) Show any agreements or arrangements as of the date of  |
| Comments of Reviewing Officials (1) data   | tonal space is requi | rea, use the reverse | side of this sheet)   |             |                        |                        |   | filing.   |
|  |                      |                      | (Check b              | ox if filin | ng extension granted & | indicate number of t   | days)   | Galadala D. m.  |
|  |                      |                      |                       |             |                        |                        |   | Schedule DThe reporting period is<br>the preceding two calendar years and<br>the current calendar year up to the                                      |
| 1  |                      |                      |                       |             |                        |                        |   | date of filing.  Agency Use Only  |
|  |                      |                      |                       |             |                        |                        |   | MAR 1 7 7009  |
| <b>1</b>   |                      |                      |                       |             | (Chack how if          | outs are continued a   | n the reverse side)                                       | OGE Use Only  |
|  |                      |                      |                       |             | (спеск вох у сотт      | enis are continued of  | i the reverse state)                                      | MAR 2 0 2009  |

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|-----|-----------|--------|----------|--------|
| CH  | arles     | P. Ro  | se       |        |
| Re  | porting l | ndivi  | dual's l | lame   |
| U.S | . Office  | of Gov | emment   | Ethics |
| 5 C | F.R Part  | 2634   |          |        |
| SF  | 78 (Rev.  | 03/20  | 00)      |        |
|     |           |        |          |        |

| Rep   | porting Individual's Name   |               |          |                  |           |                      |             |   |                           |                |                           |            |                |              |           |                    |  |              |                     |                    |                 |                    |                   |   |                         |              |                        | T I              | Page Number                      |                    |
|-------|---|---------------|----------|------------------|-----------|----------------------|-------------|---|---------------------------|----------------|---------------------------|------------|----------------|--------------|-----------|--------------------|--|--------------|---------------------|--------------------|-----------------|--------------------|-------------------|---|-------------------------|--------------|------------------------|------------------|----------------------------------|--------------------|
| Ch    | narles P. Rose  | A             |          |                  |           |                      |             |   |                           |                |                           | SC         | HI             | EDI          | UL        | E A                | 4  |              |                     |                    |                 |                    |                   |   |                         |              |                        |                  | 2                                |                    |
|       |   |               |          |                  |           |                      |             |   |                           |                |                           |            |                |              |           |                    |  |              |                     |                    |                 |                    |                   |   |                         |              |                        |                  |                                  |                    |
|       | Assets and Income   |               |          |                  | Val       | uati                 | on (        | of As                                     | sets                      | ;              |                           | 1          |                |              | Inc       | ome                | e: ty  | pe a         | nd a                | ımou               | ınt.            | If "I              | Vone              | e (or   | less                    | than         | \$20                   | 01)"             | is checked, no                   |                    |
|       |   |               |          |                  |           |                      |             | e of                                      |                           |                |                           | -          |                |              |           |                    |  |              |                     | d in 1             |                 |                    |                   |   |                         |              |                        |                  | ,                                |                    |
| 1     |   |               |          |                  | r         | _                    |             | perio                                     | d                         |                |                           |            |                |              |           |                    |  |              |                     |                    |                 |                    |                   |   |                         |              |                        |                  |                                  |                    |
| _     | BLOCK A   |               |          | un sicharda      |           | B                    | LOC         | KΒ  | - Domeston                |                |                           |            | ~!             | 12000000     |           |                    |  |              |                     |                    |                 | BI                 | LOCI              | K C   |                         |              |                        |                  |                                  |                    |
| For   | r you, your spouse, and dependent children,   | , 臘           | 1        |                  |           |                      | 1           |   |                           |                |                           | 簫          |                |              | L         | Tyl                | )e   |              |                     |                    |                 |                    | Am                | oun   | t                       |              |                        |                  |                                  |                    |
| rep   | oort each asset held for investment or the oduction of income which had a fair market lue exceeding \$1,000 at the close of the repet period, or which generated more than \$200 income during the reporting period, together |               | 322.00   |                  |           |                      |             |   |                           |                |                           |            |                |              |           |                    | 422  |              | NXC.                |                    |                 | 200                |                   |   |                         |              |                        |                  |                                  |                    |
| val   | lue exceeding \$1,000 at the close of the repe  | ort-          |          |                  |           |                      |             |   |                           |                |                           |            |                |              |           |                    | 2000   |              |                     |                    |                 |                    |                   |   |                         |              |                        |                  |                                  |                    |
| ing   | period, or which generated more than \$200  | C B           |          | 語は               |           |                      |             |   |                           |                | 攤                         |            |                |              |           |                    | SACAR  |              |                     |                    |                 | STATE              |                   |   |                         |              |                        |                  | Other                            | Date               |
| wit   | income during the reporting period, togethe<br>th such income.  |               |          |                  |           |                      |             |   |                           | 8              |                           | 815<br>815 |                |              |           |                    | 200  |              |                     |                    |                 | 2                  |                   |   |                         |              |                        |                  | Income<br>(Specify               | (Mo., Day,<br>Yr.) |
|       |   | 5             |          |                  |           | 8                    | 0           |   | 0.0                       | 9              |                           |            | d<br>g         |              |           |                    |  |              | \$201)              |                    |                 | 2000               |                   |   | 00                      |              | 0                      |                  | Type &                           | 11.9               |
|       | r yourself, also report the source and actual   | 150.00        | ے ا      |                  | 00        |                      | <u>ş</u> [  | E *                                       |                           | 9,0            |                           | 3          |                |              |           |                    | 325  |              |                     |                    |                 |                    |                   | 8   | 00                      | *            | 000                    |                  | Actual                           | Only if            |
| tha   | nount of earned income exceeding \$200 (other<br>an from the U.S. Government). For your sp  | ouse.         | -815.000 |                  | \$100,000 |                      | - \$500,000 | S1,000.000                                |                           | - \$25,000,000 |                           | 2          |                | E            |           |                    |  | 2            | (or less than       | 8                  |                 | 8                  | HĐ,               | - \$100,000   | 9                       | \$1,000,000* | 7                      | 8                | Amount)                          | Honoraria          |
| ren   | port the source but not the amount of earned  |               | 513      | Ç.               | .\$1      |                      | \$          |   |                           | 2              |                           | 350,000,   |                |              | 35        |                    |  | 3            | les                 | S                  |                 | 35,                | E.                | \$10  |                         | 8            |                        | 8                |                                  |                    |
|       | come of more than \$1,000 (except report the tual account of any honoraria over \$200 of  | e K           | <u>'</u> |                  | 10        |                      | 9           | SI.                                       | 0                         | 9              |                           | Z I        | a a            |              | епс       |                    | est  |              | اق                  |                    |                 |                    |                   | =   | ē                       | \$1,         | 00                     | \$5,0            |                                  |                    |
| *     | ur spouse).   | nei<br>louse. | \$10013  | 515,001 \$50,000 | \$50,001  | \$100,001 -\$250,000 | \$250,001   | SS00;00E=S3;000;000<br>Over \$1,000.000 * | \$1,000,000 \$ \$,000,000 | \$5,000,001    | \$25,000,001 -\$50,000,00 | over C     | Excepted Trust | Onslifted    | Dividends | Rent and Royalties | Interest   | Capital Gams | None (              | \$2.00 - \$7.50 PM | \$2.501-\$5,000 | \$5,001 - \$15,000 | \$15,001 \$50,000 | \$50,001  | \$100,000 - \$1,000,000 | Over         | 815000,001 = 85000,000 | Over \$5,000,000 |                                  |                    |
| 1     |   | No.           |          |                  | 8         |                      | 8           |   |                           | %              |                           |            |                |              | ٩         | ×                  | Į.   | 0            | ž                   | 2                  | 5               | \$5                | \$1               | \$5   |                         | 6            |                        | 6                |                                  |                    |
| ł     | None  | 툩             |          |                  |           |                      |             |   |                           |                |                           |            |                |              |           |                    | 1000   |              |                     | 遥                  |                 |                    |                   | 9   |                         |              |                        |                  |                                  |                    |
| _     | Central Airlines Common   | 97            | # T      | 148              | x         |                      | 27<br>27    |   |                           |                |                           |            |                | THE STATE OF | х         | \$1100<br>\$1100   |  |              | 3                   | 数 x                |                 |                    |                   |   | 翻                       |              |                        | -                |                                  | <del></del>        |
| E     | xamples Doe Jones & Smith, Hometown, State  |               | -        |                  | -         |                      |             | <b>M</b>                                  | ·                         |                | -                         |            | <b>H-</b> :    |              | _         | 齛                  |  | 1            |                     | 1                  |                 | 計                  | TH                | <b>-</b> -  |                         |              |                        |                  | Law Partnership Income \$130,000 |                    |
|       | Kempstone Equity Fund   |               |          |                  | _         |                      |             |   |                           |                |                           |            |                |              | -         |                    | -  |              |                     |                    |                 | <b>#</b>           | 撊                 | Γ-  |                         |              |                        |                  | i                                |                    |
|       | IRA: Heartland 500 Index Fund   |               | T.       |                  | _         |                      | 2848        |   |                           |                |                           |            |                | 羅            | _         | 翮                  | -  |              |                     |                    |                 |                    | 齫                 |   |                         |              |                        | _                |                                  |                    |
| 1     | Franczek Radelet & Rose, P.C.   |               |          |                  |           |                      | 200         |   |                           |                |                           |            |                |              |           |                    | STATE OF THE PARTY |              | 250                 |                    | 翻               |                    |                   |   |                         |              |                        |                  |                                  |                    |
|       | Shareholder in law firm   |               |          |                  |           |                      | 100         |   |                           |                |                           |            |                | 龖            |           |                    | 4  |              |                     |                    |                 |                    |                   |   |                         |              |                        |                  | Salary                           |                    |
| _     | Chicago, IL   |               | 1        |                  | Х         |                      | 1           |   |                           |                |                           |            |                |              | _         |                    | 4  | 幽            | 1                   |                    |                 |                    |                   |   |                         |              |                        |                  | \$493,086.00                     |                    |
| 2     | Banc of America Investment Services   | 82            |          | 髓                |           |                      |             |   |                           |                |                           | 2          |                |              |           |                    |  |              | 3504                |                    |                 | 31                 |                   |   |                         | 1            |                        |                  |                                  |                    |
|       | American Europacific Growth Mutual<br>Trade Symbol AEPGX  | ra 🧱          |          |                  |           |                      | No.         |   |                           |                |                           |            |                | 報            | U         |                    | T.   | 쮏            |                     | 囊                  |                 |                    |                   | THE COLUMN TWO IS NOT |                         |              |                        |                  |                                  |                    |
| 3     |   |               |          | EA2              |           | <b>新闻</b>            | 1           | 1111<br>1211                              |                           |                | HERE .                    | 製          | 36             | は辞           | ^         | 1985               |  | 翻            | 1                   |                    | 100             | <u> </u>           | 3531              | <b>建</b>  | RES.                    | ├—           |                        | -                |                                  |                    |
| ľ     | AT&T Inc Com  |               |          | 扫描               |           |                      | 3           |   |                           |                |                           |            |                |              |           |                    | C Party C  |              | ST.                 |                    |                 | 20                 |                   |   |                         |              |                        |                  |                                  |                    |
|       | Trade Symbol T  |               |          |                  |           |                      | 200         |   |                           |                |                           |            |                |              | x         |                    | Continue   |              |                     | 뻷,                 |                 |                    |                   |   |                         |              |                        |                  |                                  |                    |
| 4     | Banc of America Investment Services   | - 0           |          | 911              |           |                      | 1           |   | V6167 6                   |                |                           | - E        |                |              | Ė         |                    | - 1  |              |                     | - A                | - 1             |                    |                   | 5   |                         |              |                        |                  |                                  |                    |
|       | Powershares Bldrs Index FDS TR Asi  | 1377          |          |                  |           |                      |             | 展   |                           |                |                           |            |                |              |           |                    | 2000   |              |                     |                    |                 |                    |                   |   |                         |              |                        |                  |                                  |                    |
| L     | 50 ADR Trade Symbol ADRA  |               | 摄<br>X   |                  |           |                      |             |   |                           |                |                           |            | É              |              |           |                    |  |              | x                   |                    |                 |                    |                   |   |                         |              |                        |                  | }                                |                    |
| 5     | Banc of America Investment Services   | -             |          |                  |           |                      | 200         |   |                           |                | 翻讀                        | 1          |                | 111          |           |                    |  |              | 1000                |                    |                 |                    |                   |   |                         |              | lië i                  |                  |                                  |                    |
| 1     | Bunge Limited Com   |               |          |                  |           |                      | a constant  |   |                           |                |                           | 100 m      |                |              |           |                    | 1  |              | 1                   |                    | 1               |                    |                   |   |                         | 1            |                        |                  |                                  |                    |
| _     | Trade Symbol BG   |               |          | X                |           |                      |             | 臘   |                           |                | 翻                         |            |                |              | Х         | 纖                  | or many  |              | X                   | 纖                  |                 | ė.                 | 38H               |   |                         |              |                        |                  |                                  |                    |
| 6     |   | -             |          |                  |           |                      |             |   |                           |                | 龖                         |            |                |              |           |                    | P. C.  |              | 1                   | 融                  |                 | -                  |                   | 2   |                         |              |                        |                  |                                  |                    |
|       | Chevron Corp New  |               |          |                  |           |                      | 1           |   |                           |                |                           | 3          |                |              |           |                    | - Control  |              | THE PERSON NAMED IN |                    |                 |                    |                   |   |                         |              |                        |                  |                                  | i .                |
| -     | Trade Symbol CVX This category applies only if the asset/inco   | ma in a       | 涵 X      | · 翻翻             | of the    | Mil                  | 10.00       | AND A                                     | 強機                        | nor d          | HEET .                    | :1d=       | <b>樹</b>       | T the        | X         | 神野                 | - I  | 100          | har i               | X                  | E AL            | <u> </u>           | 1111              | §   |                         | h '          | HIM                    | los              | sieh eho an                      | non don'           |
|       | ildren, mark the other higher categories of   |               |          |                  |           | . 11161              | s sp        | ouse (                                    | or de                     | henn           | ent Cf                    | more       | .ii. 11        | i ille i     | 498C(     | HICO               | une 1  | 2 CIU        | iict (              | nal O              | ı ine           | mer                | or Jo             | muy   | nel                     | ı oy t       | 116 11                 | HOL W            | vim the spouse of de             | pendent            |
| Same. | manufacture of the control of the control of  | , 40          | · "PP    | · Aby Ye         |           |                      |             |   |                           |                |                           |            |                |              |           |                    |  |              |                     |                    |                 |                    |                   |   |                         |              |                        |                  |                                  |                    |

| Reporting Individual's Name  Charles P. Rose  | SCHEDULE A continued (Use only if needed)   | Page Number 3   |
|---|---|---|
|   |   | 1   |
| Assets and Income   | Valuation of Assets at close of  Income: type and amount. If "None (or less than \$201)" other entry is needed in Block C for that item.                | is checked, no  |
| BLOCK A   | reporting period BLOCK B BLOCK C  |   |
| DBOOK!!   | Type Amount   |   |
| None  | None (price than \$1/00)  | Other Income (Mo. Date (Mo. Day, Yr.) Type & Actual Amount) Honoraria |
| Banc of America Investment Services -     Columbia Tax Exempt Reserves MM     Trade Symbol - NEDXX                        |   |   |
| Banc of America Investment Services -     Desarrolladora Homex SA DE C V     Trade Symbol HXM                             |   |   |
| Banc of America Investment Services - Disney Walt Co Del (Holding Company) Trade Symbol DIS                               |   |   |
| 4 Banc of America Investment Services -<br>Ishares Silver TR Ishares<br>Trade Symbol SLV                                  |   |   |
| 5 Banc of America Investment Services -<br>Ishares TR FTSE Xinhua HK China<br>Trade Symbol FXI                            |   |   |
| 6 Banc of America Investment Services -<br>Ishares TR NASDAQ Biotechnology<br>Trade Symbol IBB                            |   |   |
| 7 Banc of America Investment Services -<br>Kinder Morgan Energy Partship<br>Trade Symbol KMP                              |   |   |
| Banc of America Investment Services -     Medtronic Inc     Trade Symbol MDT  |   |   |
| Banc of America Investment Services -     Mosaic Co     Trade Symbol MOS  |   |   |
| <ul> <li>This category applies only if the asset/income<br/>mark the other higher categories of value, as appr</li> </ul> | s solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with<br>priate. | th the spouse or dependent children                                   |

| SF278 | (Rev. | 03/2000) |
|-------|-------|----------|
| FART  | n n   | 2021     |

| J C. | F, A F MIL 2004             |
|------|-----------------------------|
| U.S. | Office of Government Ethics |

|   | porting Individual's Name  |                                       |                    |                   |                      |                      |                       |                         |                    |   | SC  |                           |                  |                          | LE<br>nly                     |  |        |                    |        | ue            | d                         |               |  |   |  |  |  |                            |                   |                         |                  | Page   | Number   | 4    |  |
|---|--|---------------------------------------|--------------------|-------------------|----------------------|----------------------|-----------------------|-------------------------|--------------------|---|---|---------------------------|------------------|--------------------------|-------------------------------|--|--------|--------------------|--------|---------------|---------------------------|---------------|--|---|--|--|--|----------------------------|-------------------|-------------------------|------------------|--------|--|------|--|
|   | Assets and Income  |                                       |                    | ,                 |                      | luat<br>at           | clo                   | se o                    | f                  |   |   |                           | T                |                          |                               |  |        |                    | e: typ |               |                           |               |  |   |  | •  |  |                            | an S              | \$20                    | 1)"              | is ch  | necked, no   |      |  |
|   | BLOCK A  |                                       |                    |                   |                      |                      |                       | K B                     |                    |   |   |                           | $\perp$          |                          |                               |  |        |                    |        |               |                           |               |  |   | BLO  | CK C   | :  |                            |                   |                         |                  |        |  |      |  |
|   |  | None (or less than \$1,001)           | \$1,001 - \$15,000 | \$15,001-\$50,000 | \$50,001 - \$100,000 | \$100,001 -\$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000.000 * | \$1,000,001 - \$ 5,000,000              | \$5,000,001 - \$25,000,000  | \$25,000,001 \$50,000,000 | Over 350,000,000 | Excepted investment fund | Excepted Trust One Hind Trust | Cuaminan Transfer                      |        | Rent and Royalties |        | Capital Gains | None (or less than \$201) | \$201 \$1,000 |  | \$2,501 @\$5,000 \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\ |  | more than the second of the se | 100 m                                    | STOCKET STOOM, OUT STOCKET | Over \$1,000,000* | \$1,000,001_\$5,000,000 | Over \$5,000,000 |        | Other<br>Income<br>(Specify<br>Type &<br>Actual<br>Amount) |      | Date<br>(Mo., Day,<br>Yr.)<br>Only if<br>Honoraria |
|   | None   | . (A)                                 |                    |                   |                      |                      |                       |                         |                    |   | 9   |                           | 100              |                          | 30                            | y<br>Y                                 | ,      |                    | ,      |               |                           |               |  | 2.18<br>1   |  | 1  |  |                            |                   |                         |                  |        |  |      |  |
| 1 | Banc of America Investment Services -<br>Nuveen Select Quality Mun FD Inc<br>Trade Symbol NQS  |                                       | x                  |                   |                      | 遊戲                   |                       |                         |                    |   |   |                           | 意意               | X                        |                               |  |        |                    | x      |               | x                         | Size of       |  |   |  | A.   | 1,000,000                                | \$<br>\$<br>\$             | 2.000             |                         |                  |        |  |      |  |
| 2 | Banc of America Investment Services -<br>Schlumberger Ltd<br>Trade Symbol SLB  | · · · · · · · · · · · · · · · · · · · | x                  |                   |                      |                      |                       |                         |                    |   | \$ 100<br>100<br>100<br>100<br>100<br>100<br>100<br>100<br>100<br>100 |                           | DONNESS.         |                          |                               |  | x      |                    | .:     |               |                           | x             | 2  |   | ,  |  | 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1 |                            | 0.00              |                         |                  |        |  |      |  |
| 3 |  | x                                     |                    | 100 N             |                      |                      |                       | 2.5<br>2.5<br>3.5       |                    |   |   |                           | 3                | X                        |                               |  |        | 1                  |        |               | x                         |               | 2  |   | 130<br>130<br>130<br>130<br>130<br>130<br>130<br>130<br>130<br>130 | (10)   | Ac. 153 9                                |                            | 400               |                         |                  |        | ***************************************                    |      |  |
| 4 |  |                                       |                    | ×                 |                      |                      |                       |                         | -                  |   | 27<br>27<br>27  |                           |                  |                          |                               |  | .f.    |                    | :.     | Ä             | X                         |               | 100                                      |   |  |  | 1  |                            |                   |                         |                  |        |  |      |  |
| 5 | Banc of America Investment Serv. IRA-<br>American Fundamental Inv Class A<br>Trade Symbol ANCFX  |                                       | x                  |                   |                      |                      |                       |                         |                    |   |   |                           | 2000年            | x                        | \$ 50 m                       |  | 7      |                    | x      |               | x                         | 強勢            | 10000000                                 |   |  |  | V 63                                     |                            | 33.4              | 266                     |                  |        |  |      |  |
| 6 | Federated Funds Federated Kaufmann Fund Trade Symbol KAUFX   |                                       |                    | ×                 |                      |                      |                       |                         |                    | 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - | S Tr  |                           | 20.25            | X                        | - W                           | 7.7.7.7.7.7.7.7.7.7.7.7.7.7.7.7.7.7.7. |        |                    |        | S 3           | x                         |               | 30 30 30 30 30 30 30 30 30 30 30 30 30 3 |   |  |  | 2 A                                      |                            |                   |                         |                  |        |  | +    |  |
| 7 | Janus Janus Contrarian Fund Trade Symbol JSVAX   |                                       | ×                  |                   |                      |                      |                       |                         |                    |   | 7 La 1/3 0  |                           | - 3              | X                        | 4                             |  | x      |                    |        | 10            |                           | x             | 3 (1.1.1)                                |   |  | -N.  |  | VI.                        |                   |                         |                  |        |  |      |  |
| 8 |  |                                       |                    | x                 |                      |                      |                       |                         |                    |   | 6   |                           |                  | X                        |                               |  | X      |                    |        |               | T                         |               |  | 5   |  | 35   | 1  |                            |                   |                         |                  |        |  | 1    |  |
| 9 | Intentionally Left Blank   |                                       |                    | 100               |                      |                      |                       | ではない。                   | - 1                |   | 120.63  | 33<br>33<br>34            | 200              |                          |                               | 1 To W 1 To W                          |        |                    |        |               |                           |               |  |   | 10.00  | Å.   |  | 3 1                        |                   |                         |                  |        |  |      |  |
|   | This category applies only if the asset/income ark the other higher categories of value, as applied to the categories of value, as applied to the categories of value, as applied to the categories of the categories of value, as applied to the categories of the cate |                                       |                    | hat c             | of the               | e file               | r's s                 | pous                    | e or               | dep                                     | ender   | nt ch                     | ildre            | n.                       | If the                        | ass                                    | set/ir | nco                | me is  | eitl          | ner i                     | that o        | f th                                     | e file  | r or   | joint  | ly h                                     | eld b                      | y the             | e fil                   | er w             | ith ti | he spouse or   | depe | ndent child  |

| Reporting Individual's Name   | SCHI  | DULE A continued   | Page Number   |
|---|---|--|---|
| Charles P. Rose   |   | Use only if needed)  | 5   |
|   |   | The contract of the contract o |   |
| Assets and Income   | Valuation of Assets<br>at close of<br>reporting period  | Income: type and amount. If "None (or less than \$201)" other entry is needed in Block C for that item.  | is checked, no  |
| BLOCK A   | BLOCK B   | BLOCK C  Type Amount   |   |
| None  | \$1,001 - \$15,000<br>\$1,001 - \$15,000<br>\$50,001 - \$10,000<br>\$100,001 - \$100,000<br>\$250,001 - \$100,000<br>\$250,001 - \$500,000<br>\$500,001 - \$500,000<br>\$100,001 - \$25,000,000<br>\$5,000,001 - \$25,000,000<br>\$250,001 - \$25,000,000 | \$201)   | Other Income (Mo., Day, Yr.) Type & Actual Amount)  Only if Honoraria |
| Janus     Janus Money Market     Trade Symbol JAMXX   |   | X X X  |   |
| MetLife, Inc. Trade Symbol MET  | ×   |  |   |
| Prudential Financial, Inc. Trade Symbol PRU   | X   | x  |   |
| Prudential Financial, Inc. CSV Whole Life Insurance   |   | ×  |   |
| 5 ING Fixed Universal Life CSV Life Insurance - Spouse  |   | x  |   |
| 6 Citi Smith Barney IRA - Spouse Citibank NA South Dakota - Cash  | ×   | x  |   |
| 7 Fidelity Investments - Profit Sharing Pln<br>FID Contrafund<br>Trade Symbol FCNTX                         |   | x x  |   |
| Fidelity Investments - Profit Sharing Pln     FID Cap Appreciation     Trade Symbol FDCAX                   | X   |  |   |
| Fidelity Investments - Profit Sharing Pin<br>Spartan US EQ Index<br>Trade Symbol FUSEX                      | ix ii   | x x x  |   |
| * This category applies only if the asset/income is<br>mark the other higher categories of value, as approp |   | tren. If the asset/income is either that of the filer or jointly held by the filer wit   | h the spouse or dependent children                                    |

| U.S. Office of Government Ethics                               |   |   |  |
|--|---|---|--|
| Reporting Individual's Name                                    | SCUE  | DULE A continued  | Page Number  |
| Charles P. Rose  |   |   | 6  |
|  |   | se only if needed)  |  |
|  |   |   |  |
| Assets and Income  | Valuation of Assets at close of   | Income: type and amount. If "None (or less than \$201)" i                             | s checked, no  |
|  | reporting period  | other entry is needed in Block C for that item.                                       |  |
| BLOCK A  | BLOCK B   | BLOCK C   | •  |
|  |   | Type Amount   |  |
| None   | None-tor less than \$17001.  \$1,001 - \$15,000  \$15,001 - \$10,000  \$250,001 - \$10,000  \$250,001 - \$500,000  \$250,001 - \$250,000  \$500,001 - \$25,000,000  \$5,000,001 - \$25,000,000  \$25,000,001 - \$25,000,000  \$25,000,001 - \$25,000,000  \$25,000,001 - \$25,000,000 | Decepted Trust   Decepted Trust   | Other Income (Mo., Day, Yr.) Type & Actual Amount)  Date (Mo., Day, Yr.) Yr.) Type & Only if Honoraria |
| 1  Fidelity Investments - Profit Sharing Pln                   |   |   |  |
| Rainler Sm/Mid Cap   | TX.   | X X X X X X X X X X X X X X X X X X X   |  |
| Trade Symbol RIMSX 2 Fidelity Investments - Profit Sharing Pln |   |   |  |
| FID Diversified Inti   |   |   |  |
| Trade Symbol FDIVX 3 Fidelity Investments - Profit Sharing Pln |   | X X X X X X X X X X X X X X X X X X X   |  |
| FID Freedom 2020   | X   |   |  |
| Trade Symbol FFFDX   |   | X X X   |  |
| Franczek Radelet & Rose, P.C.                                  | X   |   | Monthly pension<br>of \$8,050 upon   |
| Defined Benefit Plan   | X X   |   | age 65   |
| 5 Bucl, Inc. dba City Olive                                    |   |   |  |
| Olive oll store owned by spouse<br>Chicago, IL                 |   |   | Salary   |
| 8 Buci, Inc. dba City Olive                                    |   |   | S-Corp   |
| Olive oil store owned by spouse                                |   |   | Gross Income   |
| Chicago, IL  |   |   | \$259,750  |
| Exxon Mobil Corp   | X   | X 7 X X   |  |
| Trade Symbol XOM   | 護×職 鑾 鬣 馴 퐮   |   |  |
| 8  |   |   |  |
| Chase - Cash   |   |   |  |
| 9  |   |   |  |
| North Community Bank - Cash                                    | X X   | X X X X   |  |
| * This category applies only if the asset/income i             |   | en. If the asset/income is either that of the filer or jointly held by the filer with | the spouse or dependent children   |

| U.S. Office of Government Ethics  |  |  |
|---|--|--|
| Reporting Individual's Name   | SCHEDULE A continued   | Page Number  |
| Charles P. Rose   | (Use only if needed)   | 7  |
|   | (Ose only if needed)   | 1  |
| Assets and Income   | Valuation of Assets at close of reporting period  Income: type and amount. If "None (or less than \$201)" other entry is needed in Block C for that item.  | ' is checked, no   |
| BLOCK A   | BLOCK B BLOCK C  |  |
| None  | \$1,001 - \$15,000  \$1,001 - \$15,000  \$50,001 - \$10,000  \$250,001 - \$100,000  \$250,001 - \$500,000  \$250,001 - \$500,000  \$250,001 - \$500,000  \$25,000,001 - \$500,000  \$25,000,001 - \$500,000  \$25,000,001 - \$500,000  \$25,000,001 - \$500,000  \$25,000,001 - \$500,000  \$25,000,001 - \$500,000  \$25,000,001 - \$500,000  \$25,000,001 - \$500,000  \$25,000,001 - \$500,000  \$25,000,001 - \$500,000  \$25,001 - \$15,000  \$25,000 - \$1000,000* | Other Income (Mo., Day, Yr.) Type & Actual Amount) Honoraria |
| US Bank - Cash  |  |  |
| 2 LaSalle Financial Services<br>Helmerich & Payne<br>Trad Symbol HP       |  |  |
| Franczek Sullivan & Rose, P.C.<br>Separation Payment                      |  |  |
| 4   |  |  |
| 5   |  |  |
| 6   |  |  |
| 7   |  |  |
| 8   |  |  |
| 9   |  |  |
| * This category applies only if the asset/incomark the other higher categ | ome is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with  | ith the spouse or dependent children                         |

| SF 278 (Rev. 03/2000) 5 C.F.R Part 2634 U.S. Office of Government Ethics   | Do not Complete S   | chedule B if you are a new entrant, nomine   | , Vic                      | e Pr                   | esid                              | ential or   | Pres                          | side           | ntial      | Can                | dida                     | te                         |                      |                          |                                       |                                |                                |                |
|--|---|--|----------------------------|------------------------|-----------------------------------|---|-------------------------------|----------------|------------|--------------------|--------------------------|----------------------------|----------------------|--------------------------|---------------------------------------|--------------------------------|--------------------------------|----------------|
| Reporting Individual's Name  |   |  |                            |                        |                                   |   |                               |                |            |                    |                          |                            | Page N               | lumber                   |                                       | -                              |                                |                |
| Charles P. Rose  |   | SCHEDULE 1   | 3                          |                        |                                   |   |                               |                |            |                    |                          |                            |                      |                          |                                       | 8                              |                                |                |
| Part I: Transactions   |   | A CONTRACTOR OF THE PROPERTY O |                            | The state of the       |                                   | 41  | None                          | -              |            |                    | 100                      |                            | 2.30000              | <u> </u>                 | THE REAL PROPERTY.                    |                                | A STATE OF THE PERSON NAMED IN | Janiero,       |
| Report any purchase, sale, or exchan   | ge by you, your spouse.   | report a transaction involving property used solely as your  | Tr                         | ansacti                | ion                               | T   |                               |                |            | An                 | nount                    | of Trans                   | saction              | (x)                      |                                       |                                | -                              |                |
| or dependent children during the rep   | orting period of any rea  | personal residence, or a transaction solely between you  | 7                          | уре (х                 | ()                                |   |                               |                |            |                    |                          |                            |                      |                          |                                       |                                |                                |                |
| property, stocks, bonds, commodity<br>securities when the amount of the tra<br>\$1,000. Include transactions that resu   | ansaction exceeded  | your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.  | Purchase                   | <u>1</u>               | Exchange                          | Date (Mo.,<br>Day, Yr.)   | \$1,001 -                     | 5,001 -        | \$50,001 - | 30,001 -<br>30,000 | 50,001 -                 | \$500,001 -                | Over<br>\$1,000,000* | \$1,000,001 -            | \$5,000,001 -<br>\$25,000,000         | \$25,000,001 -<br>\$50,000,000 | er<br>0,000,000                | Certificate of |
|  |   | ation of Assets  | 로                          | Sale                   | ŭ                                 |   | 53                            | \$50           | \$50       | \$10               | \$25                     | \$1,                       | Over<br>\$1,00       | \$3,                     | \$5,                                  | \$25                           | Over<br>\$50,0                 | ঠ              |
| Example: Central Airlines Commo  | חס  |  | х                          |                        |                                   | 2/1/99  |                               |                | X          |                    | 100                      | _                          |                      | <u> </u>                 | <u> </u>                              |                                | -                              | +              |
| 1  |   |  |                            |                        |                                   |   |                               |                |            |                    |                          |                            |                      |                          |                                       | l                              |                                |                |
| 2  |   |  |                            |                        |                                   |   |                               |                |            |                    |                          |                            |                      |                          |                                       |                                |                                | T              |
| 3  |   |  | <b>†</b>                   |                        |                                   |   |                               |                |            |                    |                          |                            |                      |                          |                                       |                                | -                              | $\top$         |
| 4  |   |  | $\top$                     |                        |                                   |   |                               |                |            |                    |                          |                            |                      |                          |                                       | $\vdash$                       |                                | T              |
| 5  |   |  | -                          |                        |                                   |   |                               |                |            | -                  |                          |                            |                      |                          | $\vdash$                              | -                              |                                | $\dagger$      |
| * This category applies only if the m  | nderlying seest is colely that  | t of the filer's spouse or dependent children. If the underlying a   | coet ic e                  | ither                  | hald                              | 1   | <u> </u>                      |                | L          | 1                  | ļ                        |                            |                      |                          |                                       |                                |                                |                |
|  | , ,   | ent children, use the other higher categories of value, as approp  |                            | tuiei i                | neid                              |   |                               |                |            |                    |                          |                            |                      |                          |                                       |                                |                                |                |
| Part II: Gifts, Reimbu   |   |  | 1010.                      |                        |                                   |   |                               |                |            |                    |                          |                            |                      |                          |                                       |                                |                                | -              |
| For you, your spouse and dependition, and the value of: (1) gifts (stood, or entertainment) received (2) travel-related cash reimburse than \$260. For conflicts analysis as personal friend, agency approauthority, etc. For travel-related dates, and the nature of expenses | dent children, report the s<br>such as tangible items, tra<br>from one source totaling<br>ments received from one<br>i, it is helpful to indicate<br>val under 5 U.S.C. § 411<br>gifts and reimbursements | source, a brief descrip-<br>ansportation, lodging,<br>more than \$260; and<br>source totaling more<br>a basis for receipt, such<br>1 or other statutory<br>s, include travel itinerary.  | receinde<br>the c<br>total | pende<br>lonor<br>valu | from<br>ent of<br>s res<br>e from | rnment; gir<br>relatives; if<br>their relatidence. Al<br>m one sour<br>sions. | receive<br>tionshi<br>so, for | d by<br>p to y | your s     | spous<br>r prov    | e or d<br>vided<br>regat | lepend<br>as per<br>ing gi | lent conal<br>fts to | hild to<br>hosp<br>deten | otally<br>itality<br>nine t<br>struct | at<br>the                      |                                | ]              |
| Source (Name a   |   |  |                            | ief De                 |                                   |   |                               |                |            |                    |                          |                            |                      |                          |                                       |                                | Valu                           | ,e             |
| Examples: Nat'l Assn. of Rock Co<br>Frank Jones, San Franc   |   | Airline ticket, hotel room & meals incident to national conference 6/<br>Leather briefcase (personal friend)   | 15/99 (p                   | ersona                 | I activ                           | ity unrelated   | to duty                       | )              |            |                    |                          |                            |                      |                          |                                       |                                | \$500<br>\$300                 |                |
|  |   |  |                            | -                      |                                   |   |                               |                |            |                    |                          |                            |                      |                          |                                       | 1                              |                                |                |

| porting Individual's N | ame  |                                       |              |  |        |          | en for a   |  |              |       |                |                |          | Page         | Numbe    | er             |                  |                      |           |
|------------------------|--|---------------------------------------|--------------|--|--------|----------|------------|--|--------------|-------|----------------|----------------|----------|--------------|----------|----------------|------------------|----------------------|-----------|
| narles P. Rose         |  |                                       | SCHI         |  |        |          | continue   | ed   |              |       |                |                |          |              |          | 5              | 3                |                      |           |
|                        |  |                                       |              | (Use   | only   | if ne    | eded)      |  |              |       |                |                |          | L            |          |                |                  |                      |           |
| art I: Trans           | actions  |                                       |              |  |        |          |            |  |              |       |                |                |          |              |          |                |                  |                      |           |
|                        |  |                                       |              |  | ansact |          |            |  |              |       | Am             | ount o         | f Tran   | saction      | 1 (X)    |                |                  |                      |           |
|                        |  |                                       |              | H  | Type ( | x)       | Date (Mo., | -  | ·            |       |                |                |          |              |          |                | , _              | _                    | T         |
|                        |  |                                       |              | Purchase   |        | Exchange | Day, Yr.)  | \$1,001 -  | , 90         | .000  | .000           | , 00°<br>- 00° | - 000'0  | 000'00       | 00,000   | 00,001         | 000,000          | Over<br>\$50,000,000 | icate o   |
|                        | Identific  | cation of Assets                      |              | <b>-</b> H                                       | Sale   | Excl     |            | 11,00  | 5,05         | 50,02 | \$100<br>\$250 | \$250          | 5500     | 7. če        | 9,50     | 55,00<br>525,0 | \$25,0<br>\$50,0 | 50,0g                | E         |
| Exam                   | - Alleria de la companya del la companya de la comp |                                       |              | х  |        |          | 2/1/99     |  | 37 47        | x     |                | 0, 0,          |          | <u> </u>     | 07 00    | 47 00          | <b>VV</b> 00     | <u> </u>             | Ĭ         |
| 1                      |  |                                       |              |  |        |          |            |  |              |       |                |                |          |              |          |                |                  | l                    |           |
| 2                      | A Military W   |                                       | <del>(</del> |  |        |          |            |  |              |       |                |                |          |              |          |                |                  |                      | T         |
| 3                      |  |                                       |              |  |        |          |            |  |              |       |                |                |          |              |          |                |                  |                      | t         |
| 4                      |  | · · · · · · · · · · · · · · · · · · · |              |  |        |          |            |  |              |       |                |                |          |              |          |                |                  |                      | t         |
| 5                      |  |                                       |              |  |        |          |            | 1  |              |       |                |                |          |              |          | -              |                  |                      | t         |
| 6                      |  |                                       |              | <del>                                     </del> |        |          |            |  |              |       |                |                |          | 1            |          |                | i                |                      | t         |
| 7                      |  | · · · · · · · · · · · · · · · · · · · | ·            | 1  |        |          |            | T  |              |       |                |                |          |              |          |                |                  | $\vdash$             | t         |
| 8                      |  | <u> </u>                              |              | +-   |        |          |            | <del> </del>                                     |              |       |                |                | ļ —      | <del> </del> |          |                | -                |                      | t         |
| 9                      |  |                                       |              | ╁  |        |          |            | ┼  | _            |       |                |                |          | -            |          | -              | $\vdash$         |                      | t         |
| 10                     |  |                                       |              | ╁  |        |          |            | <del>                                     </del> | <del> </del> |       |                |                |          |              | -        | ļ              |                  | -                    | $\dagger$ |
| 11                     | 4.44.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4   |                                       |              | +  |        |          |            | +  |              |       | -              |                | -        |              | _        | <del> </del>   | -                | -                    | +         |
| 12                     |  |                                       |              | ╁  |        |          |            | -  | -            |       | -              |                |          | -            | ļ        | <u> </u>       |                  |                      | +         |
| 13                     |  |                                       |              | +-   |        |          |            |  | _            |       | -              | -              |          |              |          |                |                  | _                    | +         |
| 14                     |  |                                       |              | +  |        |          |            | +  |              |       |                |                | _        | -            |          |                |                  | -                    | +         |
| 15                     | -  |                                       |              | +-   | -      |          |            | +-   |              |       |                |                | L        | -            |          | -              |                  | H                    | +         |
| 16                     |  |                                       |              | -  |        |          |            | ↓_   | ļ            | -     | ļ              | <u> </u>       | <u> </u> |              | <u> </u> |                |                  |                      | 1         |
| 10                     |  |                                       |              |  |        |          |            |  | 1            |       | 1              |                |          |              |          |                |                  | 1                    |           |

|   | SF 278 (Rev. 03/2000)                    |
|---|--|
|   | 5 C.F.R. Part 2634                       |
|   | U.S. Office of Government Ethics         |
| - | Reporting Individual's Name              |
| ı | Charles P. Rose                          |
|   | Part I: Liabilities                      |
| 1 | Report liabilities over \$10,000 owed to |
| ١ | any time during the reporting period b   |
|   | or dependent children. Check the high    |
| 1 | during the reporting period. Exclude     |

| Charles F  | dividual's Name<br>. Rose  | R+k                    | SCHEDULE C   |                  |            |                   |   |       | Page Number |              |              |             |   |                |                               |                                      |
|--|--|------------------------|--|------------------|------------|-------------------|---|-------|-------------|--------------|--------------|-------------|---|----------------|-------------------------------|--------------------------------------|
| Part I:  | Liabilities  |                        |  |                  | 1          |                   |   |       |             |              |              |             |   |                |                               |                                      |
|  |  |                        | personal residence unless it is rented out; loans secured  |                  | No         | ne                | Category of Amount                      |       |             |              |              |             |   | ne (v)         | -                             |                                      |
|  | luring the reporting period b  |                        | by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. |                  |            |                   | Category of Amoun                       |       |             |              |              |             | <del>1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 </del> |                |                               |                                      |
| or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your   |  |                        | See instructions for revolving charge accounts.  | Date<br>Incurred | 1          |                   | \$10,001 -                              | .000  | .001 -      | 0,001 -      | 0,000        | \$500,001 - | Over<br>\$1,000,000*                              | 000,000        | \$5,000,001 -<br>\$25,000,000 | \$25,000,001<br>\$50,000,000<br>Over |
|  | Creditors (Name ar   |                        | Type of Liability  |                  |            | Catic             | \$10                                    | \$15  | \$50        | \$10<br>\$25 | \$25<br>\$50 | \$50        | 97,0<br>\$1,0                                     | \$1,0<br>\$5,0 | \$5,0                         | \$25,<br>\$50,<br>Ove                |
| Examples:  | First District Bank, Washing<br>John Jones, 123 J St., Washing   | ton, DC                | Mortgage on rental property, Delaware Promissory note  | 1991             | 8%<br>10 % | 25 yrs.           |   |       | _X          |              |              |             |   |                |                               |                                      |
|  | ark Venture, LLC<br>lerchandise Mart, P.O. Bo  |                        | Lease on Buci, Inc. dba Olive Shop at 5254 N. Magnolia, Chicago, IL  | 10/06            | None       | on demand 5 years |   |       |             |              | X            |             |   | х              |                               |                                      |
| 2 Bank   | of America   | ok oczo, omougo, iz    | \$100,000 Line of credit; proceeds   | 10,00            | 140116     | O youro           | +                                       | -     |             |              |              |             |   |                |                               | $\overline{}$                        |
|  | Box 660576, Dallas, TX   |                        | used to fund start-up costs for Buci, Inc.   | 03/08            | 3%         | 1 year            | X                                       |       |             |              |              |             |   |                |                               |                                      |
| 3  |  |                        |  |                  |            | 1                 |   |       |             |              |              |             |   |                |                               |                                      |
| 4  |  |                        |  |                  |            |                   | Later Service                           |       |             |              |              |             |   |                |                               |                                      |
| 5  |  |                        |  | <del></del>      |            |                   | t                                       | -     |             | -            |              |             | -   | -              |                               |                                      |
| with the s   | gory applies only it the had<br>pouse or dependent children<br>I: Agreements or<br>our agreements or arrange                                       | Arrangements           |  | <del></del>      |            | ) future er       |   | ment. | See ir      | astruc       | tions        | regard      | ling th   | ne rep         | orting                        | ·                                    |
| employee   | benefit plan (e.g. 401k, oby a former employer (in   | deferred compensation; | (2) continuation   |                  |            | r any of th       |   |       |             |              |              |             |   |                | 1                             | ,                                    |
|  |  |                        |  |                  |            |                   |   |       |             |              |              | 1           | Vone  | لــــا         |                               |                                      |
| Status and Terms of any Agreement or Arrangement  Example: Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share  Doe Jones & Smith, Hometown, State |  |                        |  |                  |            |                   |   |       | 7/85        |              |              |             |   |                |                               |                                      |
|  | calculated on service perfo  | rmed through 1/00.     |  |                  |            |                   |   |       |             |              |              |             |   |                |                               | //65                                 |
|  | Franczek Radelet & Rose, P.C Defined benefit pension plan; upon retirement age 65, participant receives a projected  Franczek Radelet & Rose, P.C. |                        |  |                  |            |                   |   |       | 04/0/       |              |              |             |   |                |                               |                                      |
| monthly benefit of \$8,050  Chicago, IL  Franczek Radelet & Rose, P.C Defined Contribution and Profit Sharing and Savings Plan; filer will receive a final  Franczek Radelet & Rose, P.C.              |  |                        |  |                  |            |                   |   |       | 01/02       |              |              |             |   |                |                               |                                      |
| contribution equal to 3% of compensation for 2009  |  |                        |  |                  |            |                   |   |       | 02/94       |              |              |             |   |                |                               |                                      |
| Franczek Radelet & Rose, P.C.  |  |                        |  |                  |            |                   | *************************************** |       |             |              |              |             |   |                |                               |                                      |
| 4 Franc  | zek Radelet & Rose, P.C  | Will receive repaym    | ent of capital account and separation payment prior to   | appointme        | nl         | Ch                | icago                                   | HL    |             | -            |              |             | · · ·   |                |                               | 02/94                                |
|  | Allow a  |                        |  |                  |            |                   |   | -     |             |              |              |             |   |                |                               |                                      |
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| Reporting Individual's Name  |  |   |  | Page Number     |               |  |  |  |
|--|--|---|--|-----------------|---------------|--|--|--|
| Charles P. Rose  | 11   |   |  |                 |               |  |  |  |
| Part I: Positions Held Outs Report any positions held during the a compensated or not. Positions include director, trustee, general partner, propri                          | oplicable reporting period, whether but are not limited to those of an officer,  | consultant of any corporation, firm, par<br>non-profit organization or educational is<br>social, fraternal, or political entities and   | rtnership, or other business enterprise or any<br>institution. Exclude positions with religious<br>d those solely of an honorary nature. | , s. None       |               |  |  |  |
| Organ  | ization (Name and Address)   | Type of Organization  | Position Held  | From (Mo., Yr.) | To (Mo., Yr.) |  |  |  |
| Examples: Nat'l Assn. of Rock Collectors   |  | Non-profit education  | President  | 6/92            | Present       |  |  |  |
| Doe Jones & Smith, Hometow   | n, State   | Law firm  | Partner  | 7/85            | 1/00          |  |  |  |
| Advance Illinois     So East Washington, Suite 401   | , Chicago, IL 60602  | Non-profit education advocacy   | Member, Board of Directors   | 02/08           | Present       |  |  |  |
| 2 Facing History and Ourselves<br>200 East Randolph, Suite 2100  |  | Non-profit education  | Member, Chicago Advisory Bd  | 09/99           | Present       |  |  |  |
| 122 S. Michigan Avenue, Chica  | Lewis University Chicago Campus<br>ago, IL 60603   | Education Institution   | Member, Advisory Board   | 10/08           | Present       |  |  |  |
| 4 Franczek Radelet & Rose, P.C<br>300 South Wacker Drive, Suite  |  | Law firm  | Partner  | 02/94           | Present       |  |  |  |
| 5  |  |   |  |                 |               |  |  |  |
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| Report sources of more than \$5,000 co<br>business affiliation for services provid   | Excess Of \$5,000 Paid by One So ompensation received by you or your ed directly by you during any one year of a names of clients and customers of any | corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.  Do not complete the if you are an incum Termination Filer, Vice Presidential or Presidential Call None |  |                 |               |  |  |  |
| Source (Name and Address )   |  |   |  |                 |               |  |  |  |
| Doe Jones & Smith, Hometown, State Legal services Metro University (client of Doe Jones & Smith), Moneytown, State Legal services in connection with university construction |  |   |  |                 |               |  |  |  |
| Arlington Heights School District 214 Legal services   |  |   |  |                 |               |  |  |  |
| 2   Champaign Community Unit School District 4   Legal services  |  |   |  |                 |               |  |  |  |
| Chicago Board of Education   |  |   |  |                 |               |  |  |  |
| 4 Chicago Park District  |  |   |  |                 |               |  |  |  |
| 5<br>City of Chicago   |  | Legal services  |  |                 |               |  |  |  |
|  | 6 Des Plaines Community Consolidated School District 62 Legal services   |   |  |                 |               |  |  |  |
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| Reporting Individual's Name   |   | SCHEDULE D   |  | Page Number  |                 |  |  |  |
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| Charles P. Rose   |   | 12   |  |  |                 |  |  |  |
| Part I: Positions Held Outs Report any positions held during the ap compensated or not. Positions include director, trustee, general partner, propr | oplicable reporting period, whether but are not limited to those of an officer,   |  | rtnership, or other business enterprise or any<br>institution. Exclude positions with religious<br>d those solely of an honorary nature.   |  |                 |  |  |  |
| Organ   | ization (Name and Address)  | Type of Organization   | Position Held  | From (Mo., Yr.) To (Mo.,   |                 |  |  |  |
| Examples: Nat'l Assn. of Rock Collectors Doe Jones & Smith, Hometow   |   | Non-profit education  Law firm   | President Partner  | 6/92<br>7/85   | Present<br>1/00 |  |  |  |
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| Report sources of more than \$5,000 co<br>business affiliation for services provid  | Excess Of \$5,000 Paid by One South<br>compensation received by you or your<br>ed directly by you during any one year of<br>the names of clients and customers of any | corporation, firm, partnership, or other organization when you directly provide of more than \$5,000. You need not rep | if you are an<br>Termination<br>Vice Preside<br>or President   | Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate |                 |  |  |  |
| Source (Name and Address )  Brief Description of Duties   |   |  |  |  |                 |  |  |  |
| Examples: Doe Jones & Smith, Hometow<br>Metro University (client of Do  | m, State<br>be Jones & Smith), Moneytown, State   | Legal services  Legal services in connection with university construction  |  |  |                 |  |  |  |
| Illinois Resource Center  |   | Legal services   | and the second s |  |                 |  |  |  |
| 2<br>Lincolnwood School District 74   |   | Legal services   |  |  |                 |  |  |  |
| 3 North Chicago Unit School Dis   | trict 187   | Legal services   |  |  |                 |  |  |  |
| 4 Schaumburg Community Cons   |   | Legal services   |  |  |                 |  |  |  |
| 5<br>School District 102 (LaGrange  |   | Legal services   |  |  |                 |  |  |  |
| 6 Thornton Township High Scho   |   | Legal services   |  |  |                 |  |  |  |
| Prior Editions Cannot Re Used   |   |  |  |  |                 |  |  |  |

| Reporting Individual's Name   |  |  |  | Page Number     |               |  |  |
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| Charles P. Rose   |  | 13   |  |                 |               |  |  |
| Part I: Positions Held Outsi Report any positions held during the ap compensated or not. Positions include director, trustee, general partner, propri | pplicable reporting period, whether but are not limited to those of an officer,  | consultant of any corporation, firm, pa<br>non-profit organization or educational<br>social, fraternal, or political entities ar | artnership, or other business enterprise or any l institution. Exclude positions with religious and those solely of an honorary nature.  | ,<br>s.<br>None |               |  |  |
| Organi  | ization (Name and Address)   | Type of Organization   | Position Held  | From (Mo., Yr.) | To (Mo., Yr.) |  |  |
| Not'l Agen of Pook Collectors   |  | Non-profit education   | President  | 6/92            | Present       |  |  |
| Examples: Doe Jones & Smith, Hometown   |  | Law firm   | Partner  | 7/85            | 1/00          |  |  |
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| Report sources of more than \$5,000 co.<br>business affiliation for services provide  | Excess Of \$5,000 Paid by One Sou<br>ompensation received by you or your<br>led directly by you during any one year of<br>enames of clients and customers of any | corporation, firm, partnership, or othe organization when you directly provide of more than \$5,000. You need not re-            | Do not complete this part If you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate None  |                 |               |  |  |
| Source (Name and Address)   |  |  | Brief Description of Duties  |                 |               |  |  |
| Doe Jones & Smith, Hometow  | Doe Jones & Smith Hometourn State  Legal services  |  |  |                 |               |  |  |
| 1 Tri-State Fire Protection District  | t  | Legal services   |  |                 |               |  |  |
| Franczek Radlet & Rose, P.C.  |  | Legal services   |  |                 |               |  |  |
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