SF278 (Rev. 03/2000) 5 C.F.R. Pan 2634

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:

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OMB No. 3209-0001

U.S. Office of Government Ethics			
Dale of Appointment, Candidacy, Election or Nomination (Month, Day, Year)	Reporting Status Calendar Year (Creet Incumbent Covered by Report	New Enurant, Nominece, Termination Date (1/ Appli- Cable) (Month, Day, Year)	Fee for Late Filing Any individual who is required to
5/18/09 (88.5/15/4)		or Candidate Filer	file this report and does so more than
	Last Namo	First Name and Middle Initial	30 days after the date the report is required to be filed, or, if an extension
Reporting Individual's Name	Newell	Richard G.	Is granted, more than 30 days after the last day of the filing extension period
	Title of Position	Department or Agency (If Applicable)	shall be subject to a \$200 fee.
Position for Which Filing	Administrator, Energy Information Administration	Department of Energy	Reporting Periods
Location of Present Office	Address (Number, Street, City, State, and ZIP Code)	Telephone No. (Include Area Code)	Incumbenter The removing region is
(or forwarding address)	Duke U., Box 90227, Durham, NC 27708	919-681-8865	the preceding calendar year except Part 11 of Schedule C and Part 1 of Schedule D where you must also
Position(s) Held with the Federal	Title of Position(s) and Date(s) Held		include the filing year up to the date
Government During the Preceding 12 Months (If Not Same as Above)			you file. Part II of Schedule D is not applicable.
Presidential Nominees Subject to	Name of Congressional Committee Considering Normation	Do You Intend to Create a Qualified Diversified Trust?	Termination Filers: The reporting
Senate Confirmation	Committee on Energy and Natural Resources		covered by your provious filing and ends of the date of termination. Part II
			of Schedule D is not applicable.
Certification I CERTIFY that the statements 1 have	Signature of Reporting Individual	Uale (Month, Day, Tear)	New Reports and
made on this form and all attached schedules are true, complete and correct to the best of my knowledge,	Gichelit	May 5, 2009	Nominees, New Entrants and Candidates for President and Vice President:
	Signature of Other Reviewer	Date (Month, Day, Year)	Schedule A - The recogning period for income (BLOCK C) is the preceding
Other Review (If desired by agency)	Stu Ellere	5/18/09	calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.
Agency Ethics Official's Opinion	Signature of Designated Agency Ethics Official/Reviewing Officia	Date (Month. Day Year)	Scherhule B-Not applicable
On the basis of information contained in this report. I conclude that the filer is in compliance with applicable laws and regulations (subject to any commonis in the box below).	Juan F Bearl	5/18/03	Scheelule C. Part I (I inhibited). The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is
Office of Government Ethics	Signature	Dale (Monik Day Year)	within 31 days of the date of filing.
Use Only	Rept & hunt "	5/20/09	Amangements) - Show any agreements
Comments of Reviewine Officials Al addit	ional space is required, use the reverse side of this sheet)		or arrangements as of the date of filing.
	(Check bax If fi	ling extension granted & Indicate number of days) 🛄	(1200 gc.
			Schedule D-The revealed period is the proceeding two calendar years and
			the current calcular year up to the date of filing.
			Agency Use Option
			MA 0 6 COL
		(Check bax if comments are continued on the reverse side)	OGE Use Only
			MAY 1 9 2009
Supersedes Prior Editions, Which Camot 1	Be Used.	278-112 Form Designed in Microsoft Exce) 20	00 · NSN 7540-01-070-844-

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Rich	ard G. Newell												20	RISI	UL	6 A														2	
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	ou, your spouse, and dependent children,	1					T	<u>-</u>	1000				(dec.)	1		T	ype							Amo		-			-		
cool arodi aluc ag p n in vstb	to control associated for investment or the action of income which had a fair market exceeding \$1,000 at the close of the report- cried, or which generated more than \$200 come during the reporting period, together such income. Hourself, also report the source and actual int of earned income exceeding \$200 (other from the U.S. Government). For your spous t the source but not the amount of earned me of more than \$1,000 (except report the source). None	(100.15 nati)	\$1,001 - \$15,000	\$15,000 \$250,000 \$	\$50,001 - \$100,000	5100,001 - 3,250,000 6460,001 - 5500,000	Scote 001 - 51 006400	Over S1,000.000 *	\$1,000/001-\$ 5,000,000	\$5,000,001 - \$25,000,000	525,000,001 - \$50,000,000	Over \$50,000,000	ACCEPTED ADVISED ADVID	Excepted Trust	Dhvídends	Royalties		Capital Came	None (or tess than \$201)	\$281.51.000	\$1,001 - \$2,500	\$2,501, \$5,900				5100,001-51,000,000	Over \$1,000,000*	000,000,55,000,000 12	Qiver \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day Yr.) Outv if Honoraria
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Prior Editions Cannot he Used.

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Richard G. Newell		SCHEDULE	B													6		
Part I: Transactions							None	;		]								
Report any purchase, sale, or exchan or dependent children during the rep		report a transaction involving property used solely as your personal residence, or a transaction solely between you,		2152c()						Ar	റായി ര	ייעבייב איני	saction	(x)				
property, stocks, bonds, commodity securities when the amount of the in \$1,000, include transactions that res	futures, and other ansaction exceeded	your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.	Purchesc	Sale	Exchange	Dale (Mo Da <u>v</u> , Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	0.001 - 00.000	\$100,001 - \$250,000	50,001 - 00,000	00,000 - 000,000	Over \$1,000,000*	\$1.000.001 - \$5.000.000	\$5,000,001 - \$25,000,000	- 100,000,428 50,000,000	Civer \$50,000,000	Certificate of divestime
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		at of the filer's spouse or dependent children. If the underlying		cither	pciq													
	er with the spouse or deper	ndem children, use the other higher categories of value, as appro	nriale															
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Part II: Gifts, Reimbu	•	Fravel Expenses				_	-											
For you, your spouse and depend	dent children, report the	Fravel Expenses	the l			mment; gi										el;		
For you, your spouse and depend tion, and the value of: (1) gifts (1) food, or entertainment) received	dent children, report the such as tangible items, a from one source totalin	Fravel Expenses source, a brief descrip- ransportation, lodging, g more than \$260; and	the l	lved (	rom	mment: gi relatives: i f their rela	receivo	d by	YOUT S	spouse	e or de	epend	ent cl	ານີດີ ເດ	ally			
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Reporting Indivi													Page N	lumber			
Richard G. N	ewell		SCHEDUI	LE C											7		
Part I: Lia	hilifies																•
	cs over \$10,000 owed to any	y one creditor at	personal residence unless it is rented out; loans secured		No	ne X				Calor		unoun!	or Val	100 /23			
	ng the reporting period by ve bildren. Check the highest s		by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions.							Calcgi		T.				(	
	orting period. Exclude a me		Sea Instructions for revolving charge accounts.	Date Incurred	loteresi Rate	Term if appli- cable	\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	5250.001 - 5500.000	5500,001 - 51,000,000	Dver \$ 1,000.000*	\$1,000,001 \$6,000,000	100,000,255	125,000,001 550,000,000	Over \$50,000,000
	Credilors (Name and A		Type of Liability			Cable	\$10,	\$15. \$50,	\$50°		525	51.0	200	51,0	S. 18	22	53
Examples: Jo	Ist District Bank, Washington,	DC	Mongage on rental property, Delaware	1991		25 yrs.	<u>a</u>	<u> </u>	<u>×</u>		- <u>.</u> -						<b></b>
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	y applies only if the liability se or dependent children, ma		rs spouse or dependent children. If the liability is that of the	filcr or a jo	l int liabilst	y of the file	 ੜ										┶╾┥
-	Agreements or A		Econos, as appropriato.				_										
Report your a	agreements or arrangeme	nts for: continuing p				4) future o							ing th	io rep	withy	3	
	nefit plan (e.g. 401k, defi a former employer (inc)ud			of negot	iations fo	or eny of 1	iesc an	алдеп	nênîs	or be	nefits						
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			Agreement or Arrangement			ľ				Partie	s						late
	Pursuant to partnership agreen calculated on service performed		m payment of capital account & partnership share			ם	oo Jones	& Smid	η Ηοπ	nctown	State					7	185
			a of absence from my position as Professor at Duke Un			C	uke Un	iversit	y, Du	rham,	NČ				_	1/2	2007
2		rsity's retirement plan, however no contributions will be made during my Federal service.															
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SF 278 (Rev. 03/2000)

SC F.R Part 2634

U.S. Office of Government Ethics Reporting Individual's Name

Richard G. Newell

## SCHEDULE D

8

## Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer. director, mistor, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-north prognization or educational institution. Exclude positions with religious social, fratemal, or political entities and those solely of an honorary nature.

	social internation bortical children a		None	
Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Franklar, Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
Examples: Nat'l Assn. of Rock Collectors, NY, NY Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1 Richard G Newell, Chapel Hill, NC	Sole proprietorship	Sole Propietor	1/1998	Present
2 Duke University, Durham, NC	University	Professor	1/2007	Present
3 Resources for the Future, Washington, DC	Nonprofit research institution	University Fellow	1/2007	Present
<ul> <li>National Bureau of Economic Research Cambridge, MA</li> </ul>	Nonprofit research institution	Research Associate	4/2008	Present
5 Association of Environmental and Resource Economists Washington, DC	Professional association	Board Member	1/2008	Present
s Princeton Carbon Mitigation Initiative Princeton, NJ	University	Advisory Board Member	10/2007	Present

### Part II: Compensation In Excess Of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any

corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part If you are an incumbent, Termination Filer, or Vice Presidential or Presidential Candidate None

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	Source (Name and Address)	Brief Description of Duties
E	Doe Jones & Smith, Hometown, State	Legal services
		Legal services in connection with university construction
1	Duke University, Durham, NC	Research and leaching
2	Resources for the Future, Washington, DC	Research
	<b>0</b>	
3	Brookings Institution, Washington, DC	Commissioned paper
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U.S. Office of Government Ethics Reporting Individual's Name

Reporting Individual's Name Richard G. Nawell		SCHEDULE D		Pagé Number 9
Part I: Positions Held Outs	ide U.S. Government			
Report any positions held during the at	plicable reporting period, whether	consultant of any corporation, firm, partnership, or other business	s enterprise or any	1

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious social, fratemal, or political entities and those solely of an honorary partner.

				None	
	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	Το (Μο., Υι.)
¥ 1	Nall Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
123	amples: Nail Assn. of Rock Collectors. NY. NY	Law firm	Partner	7/85	1/00
1	Progressive Automotive X Prize Development Advisory Committee Playa Vista, CA	Non-Profit	Advisory Committee Member	1/2006	Present
2	Journal of Energy Economics SL Louis, MO	Journal Publication	Editorial Board Member	1/2006	Present
3	Journal of Environmental Economics and Management St. Louis, MO	Journal Publication	Editorial Counsel Member	1/2007	Present
4	ExonMobil	Corporation	External Assessment Panel Member	1/2009	4/2009
5					
6					

## Part II: Compensation In Excess Of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

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Do not complete this part If you are an incumbant, Termination Filer, or Vice Presidential or Presidential Candidate None

	Source (Name and Address)	Brief Description of Duties
European Laure	Doe Jones & Smith, Homelown, State	
e samples.	Doe Jones & Smith, Hometown, State Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
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