AO 10 Rev. 1/2011	**FINANCIAL DISCLOSURE REPORT** **FOR CALENDAR YEAR 2010**	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial)	**2. Court or Organization**	**3. Date of Report**
Arcara, Richard J.	U.S. District Court W.D.N.Y.	5/12/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge	☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2010 to 12/31/2010
7. Chambers or Office Address	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.**	
68 Court Street, Room 609 Buffalo, New York 14202	**Reviewing Officer**________ **Date**________	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Arcara, Richard J.	5/12/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2010	AAA Western and Central New York Board Member - Stipend
2.	2010	Executive Dimensions - Salary
3.	2010	Health Now Board Member - Stipend
4.		

IV. REIMBURSEMENTS *– transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	New York Intellectual Property Law Association	3/26 - 3/27/2010	New York, NY	Attendance at Dinner	Reimbursement for lodging, meals, transportation for attendance at Annual Dinner in Honor of Federal Judiciary, N.Y. March 26 and 27, 2010
2.					
3.					
4.					
5.					

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Arcara, Richard J.	5/12/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Arcara, Richard J.	5/12/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Cash		None	J	T					
2. Tamarack Invt. Fds. Prime	A	Dividend	K	T					
3. Aim Basic Value Fund C "(Y)"									
4. AllianceBern Large Cap Growth A		None			Sold	01/22/10	J	A	
5. Allianz NFJ Dividend Val. Cl. C	A	Dividend	K	T					
6. Davis NY Venture Fund		None			Sold	01/22/10	J	A	
7. DWS Dreman High Rtn Cl.C		None			Sold	01/22/10	J	A	
8. Fidelity Adv. New Insights Cl A	A	Dividend	K	T					
9. Fidelity Adv New Insight C		None			Sold	01/22/10	J	A	
10. GS Core U.S. Equity-C		None			Sold	01/22/10	K	A	
11. Jennison-Growth Cl.A (Prudential Inv)		None	K	T					
12. Kinetics Paradigm Fund Cl C		None	J	T					
13. Royce Fund - PA		None	K	T					
14. Royce Fund - Total Return Con		None			Sold	01/22/10	J	A	
15. Europacific Growth Cl. A	A	Dividend	J	T					
16. Putnam Intl Equity C		None			Sold	01/22/10	J	A	
17. Templeton Foreign A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Arcara, Richard J.	5/12/2011

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Templeton Growth Cl. A		None			Sold	01/22/10	K	A	
19. Templeton Growth Cl. C		None			Sold	01/22/10	J	A	
20. Thornburg Intl Value Cl. A	A	Dividend	K	T					
21. Eaton Vance High Income	B	Dividend	K	T					
22. Goldman Sachs HY Cl. A	C	Dividend	K	T					
23. Nuveen Multi-Strategy I & G	A	Dividend	J	T					
24. Pimco High Income Fd.	B	Dividend	J	T					
25. Ivy Asset Strat A	A	Dividend	K	T					
26. Inesco Basic Value CL C		None	J	T	Buy	12/14/10	J		
27. Legg Mason Capital Management		None	K	T	Buy	01/22/10	K		
28. Goldman Sachs Broup Inc. 5.125%		None	J	T	Buy	10/26/10	J		
29. Western Asseet High Yield		None	K	T	Buy	10/26/10	K		
30. SLM Corp Ednotes	B	Int./Div.	K	T	Buy	02/04/10	K		
31. General Electric Cap SR	A	Int./Div.	K	T	Buy	02/05/10	K		
32. Bank Amer Corp	A	Int./Div.	K	T	Buy	02/09/10	K		
33. General Motors Accep,. Corp		None	J	T	Buy	12/17/10	J		
34. Countrywide Home LN Class A11	A	Int./Div.	J	T	Buy	02/09/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. CWALT Inc Class 1-A-10	A	Int./Div.	J	T	Buy	01/26/10	J		
36. CHL Mortgage Pass Through	A	Int./Div.	K	T	Buy	08/23/10	K		
37. Bank of America Fund Corp CL TA1B	A	Int./Div.	J	T	Buy	02/02/10	J		
38. JP Morgan Trust	A	Int./Div.	J	T	Buy	09/14/10	J		
39. Government National MTG Assn	A	Int./Div.	K	T	Buy	03/28/10	K		
40. Ford Motor Credit Corp Co.	A	Int./Div.			Sold	09/20/10	J	A	
41. Wynn Las Vegas, LLC	B	Int./Div.			Sold	09/03/10	K	A	
42. Tamarack Invt. Fds Prime		None	J	T					
43. AIM Charter - A "(Y)"									
44. AllianceBern Large Cap Growth A		None			Sold	01/08/10	J	A	
45. DWS Dreman High Rtn. Fnd.A		None			Sold	01/07/10	J	A	
46. Fidelity Adv New Insight A	A	Dividend	J	T					
47. Royce Fund - Total Return Con	A	Dividend	J	T					
48. Royce Fund - PA		None	J	T					
49. New Perspective CL. A	A	Dividend	J	T					
50. Templeton Growth A		None			Sold	01/07/10	J	A	
51. Invesco Charter Fund	A	Distribution	J	T	Buy	12/14/10	J		

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52.	IVY FDS Inc. Growth		None	J	T	Buy	11/14/10	J		
53.	Legg Mason Capital		None	J	T	Buy	01/07/10	J		
54.	Black Rock Global CL C	A	Dividend	J	T	Buy	01/07/10	J		
55.	IVY Asset Strategy CL C		None	J	T	Buy	01/07/10	J		
56.	Tamarack Invt. Fds. Prime		None	L	T					
57.	Abbott Laboratories	A	Dividend			Sold	01/22/10	J	B	
58.	Cisco Systems		None			Sold	01/22/10	J	A	
59.	Citigroup, Inc.		None	J	T					
60.	Computer Task Group Inc.		None			Sold	01/22/10	J	C	
61.	DuPont E I Nemours & Co	A	Dividend			Sold	01/22/10	J	A	
62.	Eastman Kodak		None			Sold	01/22/10	J	A	
63.	Ebay Inc		None			Sold	01/22/10	J	A	
64.	Fidelity Adv New Insight C	A	Dividend	K	T					
65.	Home Depot Inc.	A	Dividend	J	T					
66.	HSBC Holdings PLC	B	Dividend	J	T					
67.	JP Morgan US Large Ca; Core Plus C		None	J	T					
68.	KeyCorp New	A	Dividend	J	T					

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69. Kinetics Mut Fnds Inc.		None	J	T					
70. Liberty Global Inc. A		None			Sold	01/22/10	J	A	
71. Liberty Global Inc. C		None			Sold	01/22/10	J	A	
72. Liberty Media Interactive A		None			Sold	01/22/10	J	A	
73. Liberty Media Hold - Cap Ser A		None			Sold	01/22/10	J	A	
74. M & T Bank Corp	B	Dividend	L	T					
75. Paychex Inc.		None			Sold	01/22/10	J	A	
76. Proctor & Gamble	A	Dividend	J	T					
77. Prudential Finl Inc	B	Interest	K	T					
78. Royce Fund - PA		None	K	T					
79. Tenet Healthcare Corp.		None			Sold	01/22/10	J	B	
80. Time Warner Inc.		None			Sold	01/22/10	J	A	
81. AlpineTotal Dynamic Div Fund	B	Dividend	J	T					
82. Blackrock Intl Growth & Income Fund	B	Dividend	K	T					
83. Cameco Corp.	A	Dividend			Sold	01/22/10	J	A	
84. Eaton Vance Tax-Managed Global	B	Dividend	K	T					
85. Templeton Growth C Franklin	A	Dividend	J	T					

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86. Blackrock Enhanced Gov't	A	Dividend	J	T					
87. GMNA REMIC 2003-110-PC	A	Interest	J	T					
88. Goldman Sachs Group, Inc.	A	Interest			Sold	02/17/10	K	B	
89. FHLMC REMIC2864-A4 Lottery Bond	A	Interest	J	T					
90. Residential Asset Sec 20 RAAC	A	Interest	J	T					
91. FHLMC REMIC 3024-CD	A	Interest			Sold	12/15/10	J	A	
92. Chase Mortgage FIN Tr 20	B	Interest	K	T					
93. CSMC Mtg-Bkd Pass Thru	A	Interest	K	T					
94. Banc of America FDG Corp	A	Interest	J	T					
95. Countrywide Capital V	B	Interest	J	T					
96. Keycorp Capital IX	B	Interest	K	T					
97. CBTCS for JC Penney Co	B	Int./Div.	J	T					
98. Ivy Asset Strategy C		None	K	T					
99. Wells Fargo Mortgage Backed	A	Interest	J	T					
100. Direct TV Class A		None			Sold	01/22/10	J	B	
101. DWS Strategic Fund Class C		None			Sold	01/22/10	J	C	
102. J Morgan MTG Trust	A	Interest	J	T					

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103. United States National Gas Fund		None	J	T					
104. CTS Treasury Cash (x)		None	J	T					
105. Countrywide Alt. Ln. Trust		None	J	T					
106. Legg Mason Capital Management		None	K	T	Buy	01/22/10	K		
107. Euro Pac Growth Fund	A	Dividend	J	T	Buy	01/22/10	J		
108. General Motors Accep Corp	A	Int./Div.	J	T	Buy	04/21/10	J		
109. San Bernardino Cnty CA		None	K	T	Buy	02/16/10	K		
110. SLM Corp	A	Int./Div.	J	T	Buy	05/03/10	J		
111. Lehman Mortgage Trust	A	Int./Div.	K	T	Buy	04/22/10	K		
112. Credit Suisse First Boston Mtg.	A	Int./Div.	J	T	Buy	01/22/10	J		
113. CitiMortgage Alt. Loan	A	Int./Div.	J	T	Buy	04/26/10	J		
114. BlackRock Global Allocation	A	Dividend	K	T	Buy	01/22/10	K		
115. Ford Motor Credit Co.	A	Int./Div.			Buy	01/22/10	J		
116. Ford Motor Credit Co.	A	Int./Div.			Sold	12/20/10	J	A	
117. Tamarack Invt. Fds Prime	A	Dividend	J	T					
118. Legg Mason Capital		None	J	T	Buy	03/17/10	J		
119. Bank of America Corp	B	Dividend	J	T					

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Arcara, Richard J.	5/12/2011

VII. INVESTMENTS and TRUSTS *– income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Dominion Res. Inc. VA	A	Int./Div.	J	T					
121. Citicorp Mortgage Securities	A	Int./Div.	J	T	Buy	01/25/10	J		
122. JP Morgan Mtg. Trust	A	Int./Div.	J	T					
123. Wells Fargo Mtg Backed Securities	A	Int./Div.	J	T			J		
124. Black Rock NY Munl Income	B	Dividend	L	T					
125. Invasco Van Kampen Tr Invt	A	Dividend	K	T	Buy	06/30/10	K		
126. Black rock Global Allocation	A	Dividend	J	T	Buy	12/10/10	J		
127. Ivy Asset Strategy Cl C		None	J	T	Buy	12/10/10	J		
128. Pimco High Income Fund	B	Dividend			Sold	03/31/10	K	D	
129. Rochester Funds Municipal CL C	B	Dividend			Sold	12/17/10	K	C	
130. Van Kempen Trust	A	Dividend			Sold	06/01/10	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Arcara, Richard J.	5/12/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. The assets listed in part VII, on lines 119, 121, 122, 124, 125, 128, 130, 131 and 132 were inadvertently omitted on the 2008 and 2009 Financial Disclosure Reports.

Name of Person Reporting	Date of Report
Arcara, Richard J.	5/12/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Richard J. Arcara

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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