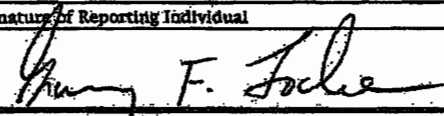
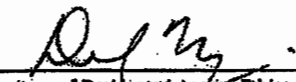
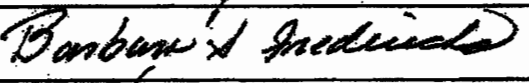
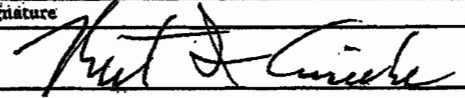


Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

| | | | | | | | |
|--|---|--|---|--|--|--|---|
| Date of Appointment, Candidacy, Election, or Nomination (Month, Day, Year) | Reporting Status (Check Appropriate Boxes) | Incumbent <input type="checkbox"/> | Calendar Year Covered by Report | New Entrant, Nominee, or Candidate <input checked="" type="checkbox"/> | Termination Filer <input type="checkbox"/> | Termination Date (If Applicable) (Month, Day, Year) | <p>Fee for Late Filing Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a \$200 fee.</p> <p>Reporting Periods Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.</p> <p>Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.</p> <p>Nominees, New Entrants and Candidates for President and Vice President: Schedule A—The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.</p> <p>Schedule B—Not applicable.</p> <p>Schedule C, Part I (Liabilities)—The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.</p> <p>Schedule C, Part II (Agreements or Arrangements)—Show any agreements or arrangements as of the date of filing.</p> <p>Schedule D—The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.</p> |
| Reporting Individual's Name | Last Name Locke | | First Name and Middle Initial Gary F. | | | | |
| Position for Which Filing | Title of Position Secretary | | Department or Agency (If Applicable) Department of Commerce | | | | |
| Location of Present Office (or forwarding address) | Address (Number, Street, City, State, and ZIP Code) 1201 3rd Avenue, #2200, Seattle, WA 98101 | | | Telephone No. (Include Area Code) 206 757 8088 | | | |
| Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above) | Title of Position(s) and Date(s) Held None | | | | | | |
| Presidential Nominees Subject to Senate Confirmation | Name of Congressional Committee Considering Nomination Committee on Commerce, Science and Transportation | | | Do You Intend to Create a Qualified Diversified Trust? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| Certification | Signature of Reporting Individual  | | | | Date (Month, Day, Year) 03/12/09 | | |
| Other Review (If desired by agency) | Signature of Other Reviewer  | | | | Date (Month, Day, Year) 3/12/2009 | | |
| Agency Ethics Official's Opinion | Signature of Designated Agency Ethics Official/Reviewing Official  | | | | Date (Month, Day, Year) 3/12/09 | | |
| Office of Government Ethics Use Only | Signature  | | | | Date (Month, Day, Year) 3/16/09 | | |
| Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet) | | | | | | | |
| (Check box if filing extension granted & indicate number of days _____) <input type="checkbox"/> | | | | | | | |
| (Check box if comments are continued on the reverse side) <input type="checkbox"/> | | | | | | | |
| Agency Use Only | | | | | | | |
| OGE Use Only | | | | | | | |
| MAR 16 2009 | | | | | | | |

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| Reporting Individual's Name Locke, Gary F. | SCHEDULE A continued (Use only if needed) | Page Number 3 of 34 |
|---|---|------------------------|

| Assets and Income BLOCK A | Valuation of Assets at close of reporting period. BLOCK B | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|---|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|--|-------------------|--------------------------|----------------|-----------------|-----------|--------------------|----------|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---------------------|----------------------|--|-------------------------|-------------------|---------------------------|------------------|--|--|--|--|--|--|--|
| | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type | | | | Amount | | | | | | | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | Other Income (Specify Type & Actual Amount) | | | | | | |
| 1 U.S. Bank, Seattle, WA cash account | | | X | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| 2 Washington Mutual Savings Bank, Seattle, WA cash account | | | | | | X | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| 3 WA State Employee's Credit Union Olympia, WA cash account | | | X | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| 4 Qwest Savings & Investment Plan Jacksonville, FL | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5 a. U.S. Stock Fund | | | | X | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | |
| 6 b. Qwest Common | X | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7 WA State Deferred Compensation Olympia, WA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 8 a. U.S. Stock Fund | | | | X | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | |
| 9 b. U.S. Stock Market Index Fund | | | | X | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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| Reporting Individual's Name Locke, Gary F. | SCHEDULE A continued (Use only if needed) | Page Number 5 of 34 |
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| Assets and Income BLOCK A | Valuation of Assets at close of reporting period BLOCK B | | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C | | | | | | | | | | | | | | | | | | | | | | | |
|---|--|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|--|--------------------------|----------------|-----------------|-----------|--------------------|----------|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---------------------|----------------------|--|-------------------------|-------------------|---------------------------|------------------|--|--|---------------------------|---------------------------|
| | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type | | | | Amount | | | | | | | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | | |
| | | | | | | | | | | | | | | | | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | Other Income (Specify Type & Actual Amount) | | | |
| 1 - 25,000 unvested shares; vest 1/1/10 | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | See Note 1. on page 27 | |
| 2 Blue Marble, Seattle, WA Stock options exp. 6/30/18 @ 24 cents; 5,125 vested | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | See Note 1. on page 27 | |
| 3 - 625 shares vesting 2/1/09 and every 3 mos. thereafter; Max. stock options 10,000 shares | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | See Note 1. on page 27 | |
| 4 General Biotech, Seattle, WA 5,000 vested options @ \$1/share | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5 exp 90 days after resignation | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | See Note 1. on page 27 |
| 6 mInfo, Seattle, WA common and Series A-1 Preference | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7 mInfo, Seattle, WA stock options - 25,000 options vested @ 8.6 cents/share | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | See Note 1 on page 27 |
| 8 - 25,000 options vested @ 21 cents/share | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | See Note 1. on page 27 |
| 9 - 25,000 options @ 21 cents vest 11/1/09 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | See Note 1. on page 27 |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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| Reporting Individual's Name Locke, Gary F. | SCHEDULE A continued (Use only if needed) | Page Number 7 of 34 |
|---|---|------------------------|

| Assets and Income BLOCK A | Valuation of Assets at close of reporting period BLOCK B | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|--|--|--|--|--|--|--|--|--|--|--|--------------------|-------------------------------------|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---------------------|--|----------------------|-------------------------|-------------------|---|---|--|--|--|--|--|--|--|--|--|--|
| | | | | | | | | | | | Type | | | | | Amount | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 | Over \$1,000,000* | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | | | | |
| 1 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| iShares Dow Jones US Technology Sector Index Fund | | | | | | | | | | | | | <input checked="" type="checkbox"/> | | | | | | | | | | | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Microsoft Corp. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| PowerShares Global ETF Trust Global | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Starbucks Corp. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Templeton Funds, World Fund CI A | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Wells Fargo Advantage Money Market Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Apple Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Barrick Gold Corp. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Ace Ltd | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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| Reporting Individual's Name Locke, Gary F. | SCHEDULE A continued (Use only if needed) | Page Number 8 of 34 |
|---|---|------------------------|

| Assets and Income BLOCK A | Valuation of Assets at close of reporting period BLOCK B | | | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|----------------------------------|--|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|-------------------|--|----------------|-----------------|-----------|--------------------|----------|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---------------------|--|--|----------------------|-------------------------|-------------------|---------------------------|------------------|--|--|--|--|--|--|--|--|--|--|--|--|--|
| | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type | | | | Amount | | | | | | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | | | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | | | | | | | | | | | | | |
| 1 | Affiliated Computer SVC A | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2 | Ameren Corp. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3 | Aegon NV NY res. shares | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4 | Amer. Electric Power Co. Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5 | Allergan Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 6 | Akamai Technologies Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7 | Alcatel Lucent | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 8 | Amgen Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 9 | Amazon.com Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

| | | |
|---|---|------------------------|
| Reporting Individual's Name Locke, Gary F. | SCHEDULE A continued (Use only if needed) | Page Number 9 of 34 |
|---|---|------------------------|

| Assets and Income BLOCK A | Valuation of Assets at close of reporting period | | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | | | | | | |
|----------------------------------|--|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|--|---|-------------------|--------------------------|----------------|-----------------|---------------|---------------------------|-----------------|-------------------|---|-------------------|--------------------|---------------------|
| | BLOCK B | | | | | | | | | | | | | BLOCK C | | | | | | | | | | | |
| | None for less than \$1,001 | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | | | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type | Amount | | | Other Income (Specify Type & Actual Amount) | | | |
| Dividends | | | | | | | | | | | | Rent and Royalties | Interest | | | | | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 |
| 1 Acn Corp. | | X | | | | | | | | | | | | | | X | X | | | | | | | | |
| 2 Anadarko Petroleum Corp. | | X | | | | | | | | | | | | | | | X | X | | | | | | | |
| 3 Air Products & Chemicals Inc. | X | | | | | | | | | | | | | | | X | X | | | | | | | | |
| 4 AngloGold Ashanti ADR | | X | | | | | | | | | | | | | | | X | | | | | | | | |
| 5 Avon Products | X | | | | | | | | | | | | | | | | X | X | | | | | | | |
| 6 Alumina Ltd Spons-ADR | | X | | | | | | | | | | | | | X | | X | | | | | | | | |
| 7 CR Bard Inc. | X | | | | | | | | | | | | | | | X | X | | | | | | | | |
| 8 Brandywine Rity Tr | | X | | | | | | | | | | | | | X | | X | | | | | | | | |
| 9 Bristol Myers Squibb | | X | | | | | | | | | | | | | | | X | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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| Reporting Individual's Name Locke, Gary F. | SCHEDULE A continued (Use only if needed) | Page Number 10 of 34 |
|---|---|-------------------------|

| Assets and Income | Valuation of Assets at close of reporting period | | | | | | | | | | | Income; type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. | | | | | | | | | | | | | | | | | | | | | | |
|--------------------------|--|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|--|--------------------------|----------------|-----------------|-----------|--------------------|----------|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---------------------|----------------------|---|-------------------------|-------------------|---------------------------|------------------|---|--|--|
| BLOCK A | BLOCK B | | | | | | | | | | | BLOCK C | | | | | | | | | | | | | | | | | | | | | | |
| | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type | | | | Amount | | | | | | | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | |
| | | | | | | | | | | | | | | | | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | Other Income (Specify Type & Actual Amount) | | |
| 1 BP Plc Spond ADR | | X | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | | | | | |
| 2 Broadridge Financial | | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | |
| 3 Berkshire Hathaway | | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | |
| 4 Boston scientific Corp | | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | |
| 5 Cemtec Corp | | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | |
| 6 Cephalon Inc. | | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | |
| 7 Oemier Corp | | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | |
| 8 CH Robinson Worldwide | | X | | | | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | |
| 9 Chungwa Telecom Co Ltd | X | | | | | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | |

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| Reporting Individual's Name Locke, Gary F. | SCHEDULE A continued (Use only if needed) | Page Number 11 of 34 |
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| Assets and Income | Valuation of Assets at close of reporting period | | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. | | | | | | | | | | | | | | | | | | | | | | | |
|-------------------|--|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|--|--------------------------|----------------|-----------------|-----------|--------------------|----------|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---------------------|----------------------|---|-------------------------|-------------------|---------------------------|------------------|---|--|--|--|
| BLOCK A | BLOCK B | | | | | | | | | | | BLOCK C | | | | | | | | | | | | | | | | | | | | | | | |
| | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type | | | | Amount | | | | | | | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | | |
| | | | | | | | | | | | | | | | | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | Other Income (Specify Type & Actual Amount) | | | |
| 1 | X | | | | | | | | | | | | | | | X | X | | | | | | | | | | | | | | | | | | |
| 2 | | X | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | |
| 3 | | X | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | |
| 4 | | X | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | |
| 5 | | X | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | |
| 6 | | X | | | | | | | | | | | | | | | X | X | | | | | | | | | | | | | | | | | |
| 7 | | X | | | | | | | | | | | | | | | X | X | | | | | | | | | | | | | | | | | |
| 8 | X | | | | | | | | | | | | | | | | X | X | | | | | | | | | | | | | | | | | |
| 9 | | X | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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| Reporting Individual's Name Locke, Gary F. | SCHEDULE A continued (Use only if needed) | Page Number 12 of 34 |
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| Assets and Income BLOCK A | Valuation of Assets at close of reporting period BLOCK B | | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|----------------------------------|--|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|--|--------------------------|----------------|-----------------|-----------|--------------------|----------|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---------------------|----------------------|--|-------------------------|-------------------|---------------------------|------------------|--|--|--|--|--|--|--|--|
| | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type | | | | Amount | | | | | | | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | Other Income (Specify Type & Actual Amount) | | | | | | | |
| 1 Cognizant Tech Sof CIA | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| 2 Gabtelevision NY GRP A | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| 3 OVS Caremark Corp. | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| 4 Chevron Corp. | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| 5 Dell Inc. | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| 6 Dean Foods Company | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| 7 Genentech Inc. | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| 8 Dai Nippon Prtg Ltd. | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| 9 Daiwa House Ind. Ltd ADR | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

| | | |
|---|---|-------------------------|
| Reporting Individual's Name Locke, Gary F. | SCHEDULE A continued (Use only if needed) | Page Number 13 of 34 |
|---|---|-------------------------|

| Assets and Income | Valuation of Assets at close of reporting period | | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. | | | | | | | | | | | | | | | | | | | | | | |
|---------------------------------------|--|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|--|--------------------------|----------------|-----------------|-----------|--------------------|----------|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---------------------|----------------------|---|---|-------------------------|-------------------|---------------------------|------------------|--|--|
| BLOCK A | BLOCK B | | | | | | | | | | | BLOCK C | | | | | | | | | | | | | | | | | | | | | | |
| | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type | | | | Amount | | | | | | | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | |
| | | | | | | | | | | | | | | | | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | | | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | | |
| 1 Ent Spa Spors ADR | X | | | | | | | | | | | | | | | X | X | | | | | | | | | | | | | | | | | |
| 2 Cent. Elec. Brae PFD Cl B | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | |
| 3 Consolidated Edison Inc. | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | |
| 4 Endurance Specialty | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | |
| 5 Entergy Corp | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | |
| 6 Expeditors International Wash. Inc. | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | |
| 7 Fujifilm Holdings Corp. ADR | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | |
| 8 Currency Japanese Yen | | X | | | | | | | | | | | | | | | | | | X | X | | | | | | | | | | | | | |
| 9 General Electric Company | | X | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

| Reporting Individual's Name Locke, Gary F. | | SCHEDULE A continued (Use only if needed) | | | | | | | | | | | | | Page Number 14 of 34 | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|--------------------------|--|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|--|--------------------------|-------------------------|-----------------|---------------------------|-----------------|-------------------|-------------------|--------------------|--|--|---------------------|----------------------|-------------------------|-------------------|---------------------------|------------------|--|--|--|--|--|--|--|--|--|--|--|
| Assets and Income BLOCK A | | Valuation of Assets at close of reporting period BLOCK B | | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type | Amount | | | | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | | | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | | | | | | | | | | | |
| 1 | Genzyme Corp. | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2 | Gold Fields Ltd:ADR | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3 | Gilead Sciences Inc. | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4 | SPDR Gold Trust:Gold:ETF | | X | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5 | Google Inc. | | X | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | |
| 6 | Génuine Paris Company | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7 | Gap Inc. | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 8 | Hachijuni Bank Ltd:ADR | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 9 | Hasbro Inc. | X | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | |

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| Reporting Individual's Name Locke, Gary F. | | SCHEDULE A continued (Use only if needed) | | | | | | | | | | | | Page Number 15 of 34 | | | | | | | | | | | | | | | | | | | | | | |
|---|-------------------------|---|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---|----------------------------|-----------------------------|-------------------|--------------------------|----------------|-----------------|-----------|--------------------|----------|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---|---------------------|----------------------|-------------------------|-------------------|---------------------------|------------------|--|--|--|--|
| Assets and Income | | Valuation of Assets at close of reporting period | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. | | | | | | | | | | | | | | | | | | | | | | | | | | |
| BLOCK A | | BLOCK B | | | | | | | | BLOCK C | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type | Amount | | | | | | | | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | Other Income (Specify Type & Actual Amount) | | | |
| 1 | Health Care REIT Inc. | | X | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | |
| 2 | HCP Inc. | | X | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | |
| 3 | Home Depot Inc. | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4 | Hercules Offshore Inc. | X | | | | | | | | | | | | | | | X | X | | | | | | | | | | | | | | | | | | |
| 5 | Hologic Inc. | | X | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | |
| 6 | Honeywell Int'l Inc. | | X | | | | | | | | | | | | | | | X | X | | | | | | | | | | | | | | | | | |
| 7 | Hewlett Packard Company | | X | | | | | | | | | | | | | | | X | X | | | | | | | | | | | | | | | | | |
| 8 | Schein Henry Inc. | | X | | | | | | | | | | | | | | | X | X | | | | | | | | | | | | | | | | | |
| 9 | IBM Corp. | | X | | | | | | | | | | | | | | | X | X | | | | | | | | | | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

| Reporting Individual's Name Locke, Gary F. | | SCHEDULE A continued (Use only if needed) | | | | | | | | | | | Page Number 16 of 34 | | | | | | | | | | | | | | | | | | | | | | |
|---|--------------------------------|--|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|--|--------------------------|----------------|-----------------|-----------|--------------------|----------|---------------|---------------------------|-----------------|-------------------|-------------------|---|--------------------|---------------------|----------------------|-------------------------|-------------------|---------------------------|------------------|---|--|--|
| Assets and Income | | Valuation of Assets at close of reporting period | | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. | | | | | | | | | | | | | | | | | | | | | | |
| BLOCK A | | BLOCK B | | | | | | | | | | | BLOCK C | | | | | | | | | | | | | | | | | | | | | | |
| | | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type | | | | Amount | | | | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | Other Income (Specify Type & Actual Amount) | | |
| 1 | Intercontinental Exch. | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | |
| 2 | Impala Platinum ADR | | X | | | | | | | | | | | | | | X | | | X | | | X | | | | | | | | | | | | |
| 3 | Infel Corp. | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | |
| 4 | Intuitive Surgical | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | |
| 5 | Ivanhoe Mines Ltd | | X | | | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | | |
| 6 | JP Morgan Chase & Co. | | X | | | | | | | | | | | | | | X | | | X | | X | | | | | | | | | | | | | |
| 7 | Korea Electric Power Spons ADR | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | |
| 8 | Kraft Foods | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | |
| 9 | Kinross Gold Corp. | X | | | | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | | |

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| Reporting Individual's Name Locke, Gary F. | SCHEDULE A continued (Use only if needed) | Page Number 17 of 34 |
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| BLOCK A | BLOCK B | | | | | | | | | | BLOCK C | | | | | | | | | | | | | | | | | | | | | |
|----------------------------------|--|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|--|-------------------|--------------------------|----------------|-----------------|-----------|--------------------|----------|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---------------------|----------------------|---|-------------------------|-------------------|---------------------------|------------------|---|
| | Valuation of Assets at close of reporting period | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. | | | | | | | | | | | | | | | | | | | | | |
| | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type | Amount | | | | | | | | | | Date (Mo., Day, Yr.) Only if Honoraria | | | | | |
| | | | | | | | | | | | | | | | | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | Other Income (Specify Type & Actual Amount) |
| 1 Kimberly-Clark Corp. | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | |
| 2 Kirin Holdings Company Ltd ADR | X | | | | | | | | | | | | | | | X | | | X | | X | | | | | | | | | | | |
| 3 KT Corp. Spons ADR | X | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | | | |
| 4 Lihir Gold Ltd Spons ADR | X | | | | | | | | | | | | | | | | | | X | X | | | | | | | | | | | | |
| 5 Lonmin Plc Spons ADR | X | | | | | | | | | | | | | | | | | | X | X | | | | | | | | | | | | |
| 6 LSI Corporation | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | |
| 7 Mattel Inc. | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | |
| 8 McDermott Int'l Inc. | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | |
| 9 Magna Int'l Inc. | X | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | |

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|---|---|-------------------------|
| Reporting Individual's Name Locke, Gary F. | SCHEDULE A continued (Use only if needed) | Page Number 18 of 34 |
|---|---|-------------------------|

| Assets and Income | Valuation of Assets at close of reporting period | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. | | | | | | | | | | | | | | | | | | | | | | | |
|-----------------------------------|--|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|--|-------------------|--------------------------|----------------|-----------------|-----------|--------------------|----------|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---------------------|---|----------------------|-------------------------|-------------------|---------------------------|------------------|---|--|--|
| BLOCK A | BLOCK B | | | | | | | | | | BLOCK C | | | | | | | | | | | | | | | | | | | | | | | |
| | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type | | | | Amount | | | | | | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | | |
| | | | | | | | | | | | | | | | | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | Other Income (Specify Type & Actual Amount) | | |
| 1 Millicom International | X | | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | | | | |
| 2 Monsanto Company | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 3 Mitsui Sumitomo Insurance Group | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 4 Meadwestvaco Corp. | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 5 Noble Energy Inc. | X | | | | | | | | | | | | | | | | | X | X | | | | | | | | | | | | | | | |
| 6 Newcrest Mining Ltd | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 7 Newmont Mining Corp. Hldg | X | | | | | | | | | | | | | | | | | X | X | | | | | | | | | | | | | | | |
| 8 Nisource Inc. | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 9 Annaly Cap Management Inc. | X | | | | | | | | | | | | X | | | | | | X | | | | | | | | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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| Reporting Individual's Name Locke, Gary F. | SCHEDULE A continued (Use only if needed) | Page Number 19 of 34 |
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| Assets and Income BLOCK A | Valuation of Assets at close of reporting period BLOCK B | | | | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C | | | | | | | | | | | | | | | | | | | | | | | | |
|----------------------------------|--|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|-------------------|--------------------------|--|-----------------|-----------|--------------------|----------|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---------------------|--|--|----------------------|-------------------------|-------------------|---------------------------|------------------|--|--|--|--|--|--|
| | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type | | | | Amount | | | | | | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | | | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | | | | | | |
| 1 Nokia Corp. Spons ADR | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | |
| 2 Nippon Tel & Tel Spons ADR | | X | | | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | | | | | | |
| 3 Nexen Inc. | | X | | | | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | | | | | |
| 4 Oceaneering Intl Inc. | | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | |
| 5 Old Republic Intl Corp. | | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | |
| 6 Panasonic Corp. ADR | | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | |
| 7 Pfizer Inc. | | X | | | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | | | | | | |
| 8 Principal Financial Corp. | X | | | | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | | | | | | |
| 9 Proctor & Gamble Company | | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

| Reporting Individual's Name Locke, Gary F. | | SCHEDULE A continued (Use only if needed) | | | | | | | | | | | Page Number 20 of 34 | | | | | | | | | | | | | | | | | | | | | | |
|---|-----------------------------------|--|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|--|--------------------------|----------------|-----------------|--------------------|----------|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---------------------|----------------------|-------------------------|---|---------------------------|------------------|---|--|--|--|--|
| Assets and Income | | Valuation of Assets at close of reporting period | | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. | | | | | | | | | | | | | | | | | | | | | | |
| BLOCK A | | BLOCK B | | | | | | | | | | | BLOCK C | | | | | | | | | | | | | | | | | | | | | | |
| | | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type | Amount | | | | | | | | | | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | |
| | | | | | | | | | | | | | | | | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | Other Income (Specify Type & Actual Amount) | | | | |
| 1 | Progress Energy Inc. | | X | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 2 | Promise Company Ltd ADR | | | X | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 3 | Pentair Inc. | | | X | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 4 | Pioneer Natural Resources Company | X | | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | | | | |
| 5 | Qwest Communications Intl Inc. | | X | | | | | | | | | | | | | X | | | | X | | | | | | | | | | | | | | | |
| 6 | QUALCOMM Inc. | | X | | | | | | | | | | | | | X | | X | | X | | | | | | | | | | | | | | | |
| 7 | Rogers Communications Inc. | X | | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | | | | |
| 8 | Rowan Companies Inc. | | X | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 9 | Royal Dutch Shell ADR | | X | | | | | | | | | | | | | X | | | | X | | | | | | | | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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| Reporting Individual's Name Lotke, Gary F. | SCHEDULE A continued (Use only if needed) | Page Number 21 of 34 |
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| Assets and Income BLOCK A | Valuation of Assets at close of reporting period BLOCK B | | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C | | | | | | | | | | | | | | | | | | | | | | | | | | |
|----------------------------------|---|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|---|--------------------------|-----------------|-----------|--------------------|----------|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---------------------|----------------------|-------------------------|-------------------|---------------------------|------------------|---|---|------|--------|--|--|--|--|--|
| | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Qualified Trust | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Type | Amount | | | | | |
| 1 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Research in Motion Ltd | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Rohn Company Ltd ADR | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Range Resources Corp. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Scania Corp. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Societe General France ADR | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Swisscom Spons ADR | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Stora Enso Corp. ADR | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Sega Sammy Holdings ADR | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Siemens A G Spons ADR | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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|---|---|-------------------------|
| Reporting Individual's Name Locke, Gary F. | SCHEDULE A continued (Use only if needed) | Page Number 22 of 34 |
|---|---|-------------------------|

| Assets and Income BLOCK A | Valuation of Assets at close of reporting period BLOCK B | | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C | | | | | | | | | | | | | | | | | | | | | | | | |
|----------------------------------|--|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|--|--------------------------|----------------|-----------------|-----------|--------------------|----------|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---------------------|----------------------|---|---|-------------------------|-------------------|---------------------------|------------------|--|--|--|--|
| | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type | | | | Amount | | | | | | | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | | |
| | | | | | | | | | | | | | | | | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | | | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | | | | |
| 1 Selsul House Ltd ADR | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 2 SK Telecom Ltd Spons ADR | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 3 Schlumberger Ltd | X | | | | | | | | | | | | | | | | | | | X | | | X | | | | | | | | | | | | | |
| 4 Sara Lee Corp. | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 5 Sony Corp. | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 6 Sanofi Aventis Spons ADR | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 7 Sempra Energy | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 8 Shiseido Ltd Spons ADR | X | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 9 Silver Standard Res. Inc. | X | | | | | | | | | | | | | | | | | | | X | X | | | | | | | | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

| Reporting Individual's Name Locke, Gary F. | | SCHEDULE A continued (Use only if needed) | | | | | | | | | | Page Number 24 of 34 | | | | | | | | | | | | | | | | | | | | | | | | |
|---|--------------------------|--|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|--|-------------------|--------------------------|----------------|-----------------|-----------|--------------------|----------|---------------|---------------------------|-----------------|-------------------|-------------------|---|--------------------|---------------------|----------------------|-------------------------|-------------------|---------------------------|------------------|---|--|--|--|
| Assets and Income | | Valuation of Assets at close of reporting period | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. | | | | | | | | | | | | | | | | | | | | | | | | |
| BLOCK A | | BLOCK B | | | | | | | | | | BLOCK C | | | | | | | | | | | | | | | | | | | | | | | | |
| | | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type | | | | Amount | | | | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | Other Income (Specify Type & Actual Amount) | | | |
| 1 | Tyco Electronics Ltd | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 2 | Telecom Italia ADR | | X | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | | | | | | |
| 3 | Technip Spons ADR | | X | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | | | | | | |
| 4 | Tomkins PLC Spons ADR | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 5 | Toppan Printing Ltd ADR | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 6 | Total S A Spons ADR | X | | | | | | | | | | | | | | | | X | | | X | | | | | | | | | | | | | | | |
| 7 | Travelers Companies Inc. | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 8 | Time Warner Cable Inc. | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 9 | Time Warner Inc. New | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

| Reporting Individual's Name Locke, Gary F. | | SCHEDULE A continued (Use only if needed) | | | | | | | | | | | Page Number 25 of 34 | | | | | | | | | | | | | | | | | | |
|---|----------------------------------|--|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|---|---------------------------|-----------------|-------------------|--------------------|--------------------|---------------------|---|-----------------|---|-------------------|--------------------|---------------------|----------------------|-------------------------|-------------------|---------------------------|------------------|--|
| Assets and Income BLOCK A | | Valuation of Assets at close of reporting period BLOCK B | | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | Type | Amount | | | | | | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | | | | | |
| | | | | | | | | | | | | | Dividends | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | Over \$100,000 | Other Income (Specify Type & Actual Amount) | | | | | | | | | |
| | | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | |
| 1 | Tyco International Ltd | X | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | |
| 2 | UBS AG | X | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | |
| 3 | UDR Inc. | X | | | | | | | | | | | | | | X | | X | X | | | | | | | | | | | | |
| 4 | United States Cellular | X | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | |
| 5 | United Utilities Group Spots ADR | X | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | |
| 6 | Visa Inc. | X | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | |
| 7 | Varian Medical Systems Inc. | X | | | | | | | | | | | | | | | | X | X | | | | | | | | | | | | |
| 8 | Valero Energy Corp. | X | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | |
| 9 | Vodafone Group Plc New ADR | X | | | | | | | | | | | | | | X | | | X | | | | | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

| Assets and Income BLOCK A | Valuation of Assets at close of reporting period BLOCK B | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C | | | | | | | | | | | | | | | | | | | | | | | | |
|-----------------------------------|---|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|---|-------------------|--------------------------|----------------|-----------------|-----------|--------------------|----------|---------------|---------------------------|-----------------|-------------------|-------------------|---|--------------------|---------------------|----------------------|-------------------------|-------------------|---------------------------|------------------|---|--|--|--|
| | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type | | | | Amount | | | | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | Other Income (Specify Type & Actual Amount) | | | |
| 1 Verisign Inc. | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 2 Wacoal Holdings Corp. ADR | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 3 Weatherford Int'l Ltd | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 4 Waste Management Inc. Del | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 5 Watson Pharmaceuticals | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 6 Xcel Energy Inc. | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 7 Yum Brands Inc. | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | |
| 8 Streettracks Gold Trust | X | | | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | | | | |
| 9 Millennium Pharmaceuticals Inc. | X | | | | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name
Locke, Gary F.

SCHEDULE A continued
(Use only if needed)

Page Number
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| BLOCK A Assets and Income | BLOCK B Valuation of Assets at close of reporting period | | | | | | | | | | | BLOCK C Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. | | | | | | | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | | | | | | | | | | | |
|------------------------------|---|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|---|--------------------------|----------------|-----------------|-----------|--------------------|----------|---|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---------------------|----------------------|-------------------------|-------------------|---------------------------|------------------|---|--|--|--|--|
| | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type | | | | Amount | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | Dividends | Rent and Royalties | Interest | | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | Other Income (Specify Type & Actual Amount) | | | | |
| 1 | United Utilities Plc Sponsor | X | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | |
| 2 | Centrais Elec Bras Spns | X | | | | | | | | | | | | | | | | | X | X | | | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4 | Note 1 -- value of asset not readily ascertainable | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

| | | |
|---|-------------------|-------------------------|
| Reporting Individual's Name Locke, Gary F. | SCHEDULE B | Page Number 28 of 34 |
|---|-------------------|-------------------------|

Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None

| | Identification of Assets | Transaction Type (x) | | | Date (Mo., Day, Yr.) | Amount of Transaction (x) | | | | | | | | | | | | |
|---------|--------------------------|----------------------|------|----------|----------------------|---------------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|----------------------------|--|--|--|--|--|
| | | Purchase | Sale | Exchange | | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | Certificate of divestiture | | | | | |
| Example | Central Airlines Common | x | | | 2/1/99 | | | x | | | | | | | | | | |
| 1 | | | | | | | | | | | | | | | | | | |
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*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$260; and (2) travel-related cash reimbursements received from one source totaling more than \$260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by

the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$104 or less. See instructions for other exclusions.

None

| | Source (Name and Address) | Brief Description | Value |
|---------|--|--|-------|
| Example | Nat'l Assn. of Rock Collectors, NY, NY | Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty) | \$500 |
| | Frank Jones, San Francisco, CA | Leather briefcase (personal friend) | \$300 |
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|---|-------------------|-------------------------|
| Reporting Individual's Name Locke, Gary F. | SCHEDULE C | Page Number 29 of 34 |
|---|-------------------|-------------------------|

| Part I: Liabilities | | None <input checked="" type="checkbox"/> | | Category of Amount or Value (x) | | | | | | | | | | | | | |
|--|---------------------------------------|---|---------------|---------------------------------|---------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-----------------------|---------------------------|----------------------------|-----------------------------|----------------------------|-----------------------------|-------------------|
| Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude | | a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts. | | Date Incurred | Interest Rate | Term if applicable | \$10,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 |
| Creditor (Name and Address) | Type of Liability | Date Incurred | Interest Rate | Term if applicable | \$10,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | | |
| Examples First District Bank, Washington, DC | Mortgage on rental property, Delaware | 1991 | 8% | 25 yrs | | | x | | | | | | | | | | |
| John Jones, 123 J St., Washington, DC | Promissory note | 1999 | 10% | on demand | | | | | x | | | | | | | | |
| 1 | | | | | | | | | | | | | | | | | |
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| 4 | | | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | | | |

*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

| Part II: Agreements or Arrangements | | None <input type="checkbox"/> | |
|---|--|--|--|
| Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves | | of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits. | |
| Status and Terms of any Agreement or Arrangement | Parties | Date | |
| Example Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00. | Doe Jones & Smith, Hometown, State | 7/85 | |
| 1 WA State deferred compensation savings plan | WA State, Olympia, WA | 01/94 | |
| 2 WA State Retirement System, defined benefit plan, monthly payments | WA State, Olympia, WA | 3/76 | |
| 3 Davis Wright Tremaine 401(k): I will continue to maintain my account in the firm's 401(k) retirement plan; however, | Davis Wright Tremaine LLP, Seattle, WA | 3/06 | |
| 4 neither the firm nor I will contribute any funds to my account following my resignation. | | | |
| 5 Key Technology Corp. restricted stock plan: I hold unvested restricted stock. | Key Technology Inc., Walla Walla, WA | | |
| 6 Eden Rock restricted stock plan: I will divest vested stock and forfeit unvested stock. | Eden Rock Communications, LLC, Seattle, WA | | |

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|---|-------------------|-------------------------|
| Reporting Individual's Name Locke, Gary F. | SCHEDULE C | Page Number 30 of 34 |
|---|-------------------|-------------------------|

Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude

a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None

| | Creditors (Name and Address) | Type of Liability | Date Incurred | Interest Rate | Term if applicable | Category of Amount or Value (x) | | | | | | | | | | | | | | | |
|----------|--|--|---------------|---------------|----------------------|---------------------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|-------------------|--|--|--|--|--|
| | | | | | | \$10,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | | | | | |
| Examples | First District Bank, Washington, DC John Jones, 123 J St., Washington, DC | Mortgage on rental property, Delaware Promissory note | 1991 1999 | 8% 10% | 25 yrs. on demand | | | x | | | | | | | | | | | | | |
| 1 | | | | | | | | | | | | | | | | | | | | | |
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* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves

of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None

| | Status and Terms of any Agreement or Arrangement | Parties | Date |
|---------|---|--------------------------------------|------|
| Example | Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00. | Doe Jones & Smith, Hometown, State | 7/85 |
| 1 | Blue Marble Energy: I will retain stock options | Blue Marble Energy Corp, Seattle, WA | |
| 2 | General Biodiesel stock option plan: I will exercise vested stock options. For shares that are "in the money" I will | General Biodiesel Inc., Seattle, WA | |
| 3 | then divest; for shares that are "underwater" I will then forfeit/divest the vested stock options. | | |
| 4 | mlinfo stock options: I will exercise vested stock options and divest the stock, and I will forfeit unvested stock options. | mlinfo, Inc. Seattle, WA | |
| 5 | Q-tires stock options: I will exercise vested stock options. | Q-tires, Greenville, SC | |
| 6 | | | |

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| Reporting Individual's Name Locke, Gary F. | SCHEDULE D | Page Number 31 of 34 |
|---|-------------------|-------------------------|

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None

| | Organization (Name and Address) | Type of Organization | Position Held | From (Mo., Yr.) | To (Mo., Yr.) |
|----------|--|-----------------------------|--------------------|-----------------|---------------|
| Examples | Natl Assn. of Rock Collectors, NY, NY | Non-profit education | President | 6/92 | Present |
| | Doe Jones & Smith, Hometown, State | Law firm | Partner | 7/85 | 1/00 |
| 1 | Davis-Wright Tremaine LLP, Seattle, WA | Law Firm | Partner | 03/2005 | Present |
| 2 | Safeco Insurance Company, Seattle, WA | Insurance Company | Board of Directors | 05/2005 | 09/2008 |
| 3 | Key Technology, Walla Walla, WA | Manufacturing Company | Board of Directors | 09/2008 | Present |
| 4 | mInfo, Seattle, WA | Telecommunications Software | Board of Directors | 10/2005 | Present |
| 5 | Mountains to Sound Greenway Trust, Seattle, WA | non profit environmental | Trustee | 01/2005 | Present |
| 6 | Digital Learning Commons, Seattle, WA | non profit educational | Trustee | 01/2005 | Present |

Part II: Compensation in Excess of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other

non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

None

| | Source (Name and Address) | Brief Description of Duties |
|----------|--|---|
| Examples | Doe Jones & Smith, Hometown, State | Legal services |
| | Metro University (client of Doe Jones & Smith), Moneytown, State | Legal services in connection with university construction |
| 1 | Davis Wright Tremaine LLP, Seattle, WA | Legal Services, Non-Equity Partner |
| 2 | Safeco Insurance Company, Seattle, WA | Director's Fees |
| 3 | Key Technology, Walla Walla, WA | Director's Fees |
| 4 | National Electronic Commerce, Sacramento, CA | Speech Honorarium |
| 5 | Waggener Edstrom Public Affairs, Bellevue, WA | Consulting |
| 6 | Airbiquity, Seattle, WA | Legal Services |

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| Reporting Individual's Name Locke, Gary F. | SCHEDULE D | Page Number 32 of 34 |
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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature. None

| | Organization (Name and Address) | Type of Organization | Position Held | From (Mo., Yr.) | To (Mo., Yr.) |
|----------|---|-----------------------------|---------------|-----------------|---------------|
| Examples | Natl Assn. of Rock Collectors, NY, NY | Non-profit education | President | 6/92 | Present |
| | Doe Jones & Smith, Hometown, State | Law firm | Partner | 7/85 | 1/00 |
| 1 | Fred Hutchinson Cancer Research Center, Seattle, WA | Non profit medical research | Trustee | 03/2005 | Present |
| 2 | Seattle Art Museum, Seattle, WA | Non profit art | Trustee | 06/2007 | Present |
| 3 | 5th Avenue Theatre, Seattle, WA | Non profit art | Trustee | 10/2005 | Present |
| 4 | College Success Foundation, Issaquah, WA | Non-profit educational | Trustee | 09/2005 | Present |
| 5 | Blue Marble Energy, Seattle, WA | Alternative energy | Advisor | 06/2008 | Present |
| 6 | General Biodiesel, Seattle, WA | Energy | Advisor | 09/2008 | Present |

Part II: Compensation in Excess of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source. Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate. None

| | Source (Name and Address) | Brief Description of Duties |
|----------|--|---|
| Examples | Doe Jones & Smith, Hometown, State | Legal services |
| | Metro University (client of Doe Jones & Smith), Moneytown, State | Legal services in connection with university construction |
| 1 | American Pacific International Capital, Portland, OR | Legal Services |
| 2 | American Piledriving Equipment, Kent, WA | Legal Services |
| 3 | Centaur International LLC, Lake Oswego, OR | Legal Services |
| 4 | Festival Media, Seattle, WA | Legal Services |
| 5 | Microsoft, Redmond, WA | Legal Services |
| 6 | Pacific Prestige, Seattle, WA | Legal Services |

Prior Editions Cannot Be Used.

| | | |
|---|-------------------|-------------------------|
| Reporting Individual's Name Locke, Gary F. | SCHEDULE D | Page Number 33 of 34 |
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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature. None

| | Organization (Name and Address) | Type of Organization | Position Held | From (Mo., Yr.) | To (Mo., Yr.) |
|----------|--|----------------------|---------------|-----------------|---------------|
| Examples | Natl. Assn. of Rock Collectors, NY, NY | Non-profit education | President | 6/92 | Present |
| | Doe Jones & Smith, Hometown, State | Law firm | Partner | 7/85 | 1/00 |
| 1 | Waggener Edstrom, Bellevue, WA | Public affairs | Advisor | 03/2007 | Present |
| 2 | Q-tires, Greenville, SC | manufacturing | Advisor | 06/2008 | Present |
| 3 | Edan Rock, Seattle, WA | Technology | Advisor | 05/2008 | Present |
| 4 | WA State Life Sciences Discovery Fund, Seattle, WA | Government | Trustee | 10/2005 | Present |
| 5 | | | | | |
| 6 | | | | | |

Part II: Compensation in Excess of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source. None

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

| | Source (Name and Address) | Brief Description of Duties |
|----------|--|---|
| Examples | Doe Jones & Smith, Hometown, State | Legal services |
| | Metro University (client of Doe Jones & Smith), Moinytown, State | Legal services in connection with university construction |
| 1 | Public Insight, Los Angeles, CA | Legal Services |
| 2 | Russell Investment Group, Tacoma, WA | Legal Services |
| 3 | Verdjem Corp., Seattle, WA | Legal Services |
| 4 | Washington Harbor Management Group, Bellevue, WA | Legal Services |
| 5 | Western Pension Benefits Conference, Seattle, WA | Legal Services |
| 6 | Weyerhaeuser Real Estate Development, Federal Way, WA | Legal Services |

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|---|-------------------|-------------------------|
| Reporting Individual's Name Locke, Gary F. | SCHEDULE D | Page Number 34 of 34 |
|---|-------------------|-------------------------|

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature. None

| | Organization (Name and Address) | Type of Organization | Position Held | From (Mo., Yr.) | To (Mo., Yr.) |
|----------|---------------------------------------|----------------------|---------------|-----------------|---------------|
| Examples | Natl Assn. of Rock Collectors, NY, NY | Non-profit education | President | 6/92 | Present |
| | Doe Jones & Smith, Hometown, State | Law firm | Partner | 7/85 | 1/00 |
| 1 | | | | | |
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| 4 | | | | | |
| 5 | | | | | |
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Part II: Compensation in Excess of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source. None

| | Source (Name and Address) | Brief Description of Duties |
|----------|--|---|
| Examples | Doe Jones & Smith, Hometown, State | Legal services |
| | Metro University (client of Doe Jones & Smith), Moneytown, State | Legal services in connection with university construction |
| 1 | WPMI LLC, Lynnwood, WA | Legal Services |
| 2 | Gerson Lehman Group, New York, NY | Legal Services |
| 3 | Halnan Airlines, Seattle, WA | Legal Services |
| 4 | Okaya, USA, Seattle, WA | Legal Services |
| 5 | | |
| 6 | | |