

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

U.S. Office of Government Ethics

Date of Appointment, Candidacy, Election or Nomination (Month, Day, Year) 3/26/09 (878 3/31/09)		Reporting Status (Check appropriate boxes) <input type="checkbox"/> Incumbent	Calendar Year Covered by Report	<input checked="" type="checkbox"/> New Entrant, Nominee, or Candidate	<input type="checkbox"/> Termination Filer	Termination Date (If Applicable) (Month, Day, Year)	Fee for Late Filing Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period shall be subject to a \$200 fee.
Reporting Individual's Name Last Name: Koonin First Name and Middle Initial: Steven E.		Position for Which Filing Title of Position: Under Secretary for Science Department or Agency (If Applicable): Department of Energy		Location of Present Office (or forwarding address) Address (Number, Street, City, State, and ZIP Code): 1000 Independence Avenue, SW 20585 Telephone No. (Include Area Code): (202) 586-5000		Reporting Periods Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable. Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.	
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above) NONE		Presidential Nominees Subject to Senate Confirmation Name of Congressional Committee Considering Nomination: Senate Energy and Natural Resources Committee		Do You Intend to Create a Qualified Diversified Trust? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Nominees, New Entrants and Candidates for President and Vice President: Schedule A-- The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing. Schedule B-- Not applicable. Schedule C, Part I (Liabilities)-- The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing. Schedule C, Part II (Agreements or Arrangements)-- Show any agreements or arrangements as of the date of filing. Schedule D-- The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.	
Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.		Signature of Reporting Individual <i>Steven Elliot Koonin</i>		Date (Month, Day, Year) 3/27/09		Agency Use Only	
Other Review (If desired by agency)		Signature of Other Reviewer		Date (Month, Day, Year)		OGE Use Only	
Agency Ethics Official's Opinion On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).		Signature of Designated Agency Ethics Official/Reviewing Official <i>Suzanne F Beard</i>		Date (Month, Day, Year) 3/30/09			
Office of Government Ethics Use Only		Signature <i>Theresa J. Gorman</i>		Date (Month, Day, Year) 3/31/09			
Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)							
(Check box if filing extension granted & indicate number of days) <input type="checkbox"/>							
(Check box if comments are continued on the reverse side) <input type="checkbox"/>							

Reporting Individual's Name Koonin, Steven	SCHEDULE A continued (Use only if needed)	Page Number 7
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Assets and Income- BLOCK A	Valuation of Assets at close of reporting period BLOCK B										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C																				
											Type		Amount																		
											Dividends Rent and Royalties	Interest	Capital Gains																		
										None (or less than \$201) \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 Over \$1,000,000*																					
										None (or less than \$1,000) \$1,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$250,000 \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000,000 Excepted Investment Fund Excepted Trust Qualified Trust																				Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if: Honoraria
None <input type="checkbox"/>																															
1 Toyota Motors Corp TM	X											X																			
2 United Technologies Corp UTX	X											X																			
3 TIAA Traditional				X									X																		
4 CREF Stock				X																											
5 CREF Growth				X																											
6 CREF Equity Index				X																											
7 CREF Global Equities				X																											
8 CREF Bond Market			X																												
9 CREF Inflation Linked Bond		X																													

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher category.

Reporting Individual's Name
 Koonin, Steven

SCHEDULE A continued
 (Use only if needed)

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BLOCK A	BLOCK B										BLOCK C				Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria									
	Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.														
	None (or less than \$1,000)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	Over \$5,000,000	Over \$25,000,000	Over \$50,000,000	Excluded Investment Fund	Excepted Trust	Qualified Trust			Type	Amount							
None <input type="checkbox"/>															Dividends										
1 Fidelity Blue Chip Growth FBGRX Children's UTMA			X								X														
2 CALSTRS Defined benefit plan (Spouse unvested)			X								X														
3 MITRE Corporation																								Consulting fees/expenses 53,056	
4 Stanford University Drell Lecture																								Honorarium 5,000	Feb 4 2008
5 Brown University A.O. Williams Lecture																								Honorarium 1,000	Feb 9 2009
6 Yale University Zucker Lecture																								Honorarium 5,000	Feb 19 2008
7 UC Berkeley Regents' Lecture																								Honorarium 4,800	Mar 3 2008
8 MIT Lincoln Labs Fubini Lecture																								Honorarium 5,000	May 29 2008
9 Saudi Aramco																								Honorarium 400 (in kind)	June 5 2008

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher category.

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Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C																
None <input type="checkbox"/>	None (or less than \$2,100)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type	Amount										Date (Mo., Day, Yr.) Only if Honoraria
	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)											
1 King Abdullah University of S&T Presidential and Academic Search Committees																					Consulting \$00,000						
2 KAUST Global Research Partnership Technical Advisory Panel Investigator Selection Panel																						Consulting \$30,000					
3 Novim Group Study Group Leader																						Consulting \$6,000					
4 Tri Alpha Energy Corp. Scientific Advisory Board																						Consulting \$6,000					
5 BP plc																						Compensation/ Bonus \$1,855,713					
6 BP plc common shares				X										X													
7 BP Bonus Calendar Year 2008 BP Bonus Calendar Year 2009				X																		\$244,600 Receivable					
8 BP 10,167 unvested shares; vest 12/2009					X																						
9 BP 11,000 unvested shares; vest 12/2010.					X																						

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

Reporting Individual's Name Koonin, Steven	SCHEDULE B	Page Number 11
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Part I: Transactions

None

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not

report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)												
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture	
	Example: Central Airlines Common	x			2/1/99													
1																		
2																		
3																		
4																		
5																		

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$260; and (2) travel-related cash reimbursements received from one source totaling more than \$260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by

the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$104 or less. See instructions for other exclusions.

None

	Source (Name and Address)	Brief Description	Value
	Examples: Nat'l Assn. of Rock Collectors, NY, NY Frank Jones, San Francisco, CA	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty) Leather briefcase (personal friend)	\$500 \$300
1			
2			
3			
4			
5			

Reporting Individual's Name Koonin, Steven	SCHEDULE D	Page Number 13
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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY Doe Jones & Smith, Hometown, State	Non-profit education Law firm	President Partner	6/92 7/85	Present 1/00
1	BP, plc, London, UK	Corporation	Chief Scientist	3/04	Present
2	MITRE Corporation, McLean, VA	Not-for-Profit Organization	Consultant	7/88	Present
3	Physics Department Visiting Committee Massachusetts Institute of Technology	University	Visiting Committee Member	1989	Present
4	Imperial College, London, UK	University	Commercialization Advisory Board Member	2005	Present
5	King Abdullah University of Science and Technology (KAUST) Jeddah, Saudi Arabia	University	Search Committee member	2007	2008
6	KAUST Global Research Partnership, Washington DC	Research Foundation	Technical Review Panel member	2007	Present
7	Royal Society of Chemistry, London, UK	Professional Society	Editorial Board member	2008	Present
8	Tri Alpha Energy Rancho Santa Margarita, CA	Corporation	Scientific Advisory Board member	2007	Present
9	Novim Group Santa Barbara, CA	Foundation	Scientific Advisory Board Study Group Leader	2008	Present

Part II: Compensation In Excess Of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any

corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None

	Source (Name and Address)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services Legal services in connection with university construction
1	BP, plc; London, UK	Chief Scientist
2	Queen's University, Belfast, Northern Ireland	Chair of Innovation (lecture, seminar)
3	King Abdullah University of Science and Technology Jeddah, Saudi Arabia	Presidential and Academic Leadership Search Committee member
4	KAUST Global Research Partnership Washington, DC	Review Committee Member
5	Massachusetts Institute of Technology Cambridge, MA	Lincoln Lab Fubini Lecturer

Reporting Individual's Name Koonin, Steven	SCHEDULE D	Page Number 14
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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY Doe Jones & Smith, Hometown, State	Non-profit education Law firm	President Partner	6/92 7/85	Present 1/00
1	Direct Solar Energy Study Advisory Committee Massachusetts Institute of Technology, Cambridge, MA	University	Committee Member	05/08	Present
2	Fast Radioactive Ion Beam Presidential Program Adv. Committee Michigan State University, East Lansing, MI	University	Committee Member	12/08	Present
3	Clare Hall College, Cambridge, UK	University	Associate	3/06	Present
4	California Institute of Technology (Caltech), Pasadena, CA	University	Visiting Associate	7/06	Present
5	Strengthening the US Energy Innovation System Advisory Board Massachusetts Institute of Technology, Cambridge, MA	University	Committee Member	12/08	Present
6	Sponsored Research Visting Committee Massachusetts Institute of Technology, Cambridge, MA	University	Committee Member	10/05	Present
7					
8					
9					

Part II: Compensation In Excess Of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any

corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None

	Source (Name and Address)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services Legal services in connection with university construction
1	Stanford University Stanford, CA	Drell Lecturer
2	Yale University New Haven, CT	Zucker Lecturer
3	Tri Alpha Energy Rancho Santa Margarita, CA	
4	MITRE Corporation McLean, VA	Consultant
5	Novim Group Santa Barbara, CA	Study Group leader