SF278 (Rev. 03/2000) 5 C.F.R Part 2634

U.S. Office of Government Ethics

## Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved: OMB No. 3209-0001

Date of Appointment, Candidacy, Election or Nomination (Month, Day, Year)	Reporting Status Calendar Year (Check Incumbent Covered by Report	New Entrant, Nomines, Termination Cable (If Appli-	Fee for Late Filing Any individual who is required to												
2/21/19 (8/8 3/3//09)	The distance of the port	or Candidate Filer	file this report and does so more than												
2/20/01	Lot Name	Tring Name and Middle (wife)	30 days after the date the report is required to be filed, or, if an extension												
Reporting Individual's Name	Lasi Name	First Name and Middle Initial	is granted, more than 30 days after the												
	Koonin	Steven E.	last day of the filing extension period												
Position for Which Filing	Title of Position														
Posmon for which fitting	Under Secretary for Science	Reporting Periods													
Location of Present Office	Address (Number, Street, City, State, and ZIP Code)	Telephone No. (Include Area Code)	Incumbents: The reporting period is the preceding calendar year except												
(or forwarding address)	1000 Independence Avenue, SW 20585		Part II of Schedule C and Part I of												
S. S. C. SVEID AND D. P. J. J.	Till Sp. March AD. (AMA)	(202) 586-5000	Schedule D where you must also												
Position(s) Held with the Federal Government During the Preceding	Title of Position(s) and Date(s) Held		include the filing year up to the date you file. Part II of Schedule D is not												
12 Months (If Not Same as Above)	NONE		applicable.												
			Termination Filers: The reporting												
Presidential Nominees Subject to	Name of Congressional Committee Considering Nomination	Do You Intend to Create a Qualified Diversified Trust?	period begins at the end of the period												
Senate Confirmation	Senate Energy and Natural Resources Committee	Yes X No	covered by your previous filing and ends												
			of Schedule D is not applicable.												
Certification	Signature of Reporting Individual	Date (Month, Day, Year)													
I CERTIFY that the statements I have made on this form and all attached	1/6 21/		Nominces, New Entrants and Candidates for President and Vice												
schedules are true, complete and correct to the best of my knowledge.	Steven Ellet / Lun	~ 3/27/09	President:												
the desired my knowledge.	Signature of Other Reviewer		Schedule A-The reporting period for												
	Signature of Other Reviewer	Date (Month, Day, Year)	income (BLOCK C) is the preceding calendar year and the current calendar												
Other Review (If desired by		ŀ	year up to the date of filing. Value assets as of any date you choose that is												
agency)			within 31 days of the date of filing.												
Agency Ethics Official's Opinion	Signature of Designated Agency Ethics Official/Reviewing Officia	al Date (Month, Dav, Year)	Schedule B-Not applicable.												
On the basis of information contained in this report, I conclude that the filer is	0		Schedule C. Part 1 (Liabilities)												
in compliance with applicable laws and	11. =10	2/24/20	The reporting period is the preceding												
regulations (subject to any comments in the box below).	Sugar F Sear	3/34/09	calendar year and the current calendar year up to any date you choose that is												
	Signature	Dats (Month, Day, Year)	within 31 days of the date of filing.												
Office of Government Ethics Use Only	West - Charles	7/3//59	Schedule C. Part II (Agreements or												
	1 4000	-1-1/-1	Arrangements) Show any agreements												
Comments of Reviewing Officials (If addit	ional space is required, if the reverse side of this sheet)		or arrangements as of the date of filing.												
	(Check box If)	filing extension granted & indicate number of days )													
			Schedule D.—The reporting period is the preceding two calendar years and												
			the current calendar year up to the												
			date of filing.  Agency Use Only												
			OGE Use Only												
		(Check box if comments are continued on the reverse side)													

SF278 (Rev. 03/2000) 5 C.F.R Part 2634 U.S. Office of Government Ethics Reporting Individual's Name age Number **SCHEDULE A** 2 Koonin, Steven Assets and Income Valuation of Assets Income: type and amount. If "None (or less than \$201)" is checked, no at close of other entry is needed in Block C for that item. reporting period BLOCK A BLOCK B BLOCK C For you, your spouse, and dependent children, Type Amount report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 Other Date in income during the reporting period, together Income (Mo., Day. with such income. (Specify Yr.) \$1,000,001 - \$5,000,000 Type & S50,001 - \$100,000 For yourself, also report the source and actual Actual Only if Over \$1,600,600 \$15.001 - \$50,000 Over \$1,000,000\* amount of carned income exceeding \$200 (other than from the U.S. Government). For your spouse. \$1,091 - \$15,000 Over \$5,000,000 Amount) Excepted Trust Quantified Trust 55,001 - \$15,000 Honoraria \$1,001 - \$2,500 None (or less report the source but not the amount of earned Dividends income of more than \$1,000 (except report the actual acount of any honoraria over \$200 of your spouse). None Central Airlines Common Examples Doe Jones & Smith, Hometown, State Law Parmership Income \$130,000 Kempstone Equity Fund IRA: Heartland 500 Index Fund 1 Bank of America (USA) Х sash account 2 Merrill Lynch (USA) cash account 3 Fidelity Investments (USA) cash account 4 E-Trade Securities (USA) Х cash account 5 NatWest Bank (Jersey, UK) cash account 6 Harris County Texas Industrial

Municipal Bond

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Development Corporation Revenue

•	CJ		Part	2634	
	•	00	C		and Eighige

Rep	porting Individual's Name	SCHEDULE A continued										Page Number 3																
L		(Use only if											i needed)													W		
:	Assets and Income			a repo	i clo	of A	f	ts		······			Income: type and amount. If "None (or less than \$20) other entry is needed in Block C for that item.  BLOCK C												1)"	is checked, no		
	None	Bone for less than \$1.003)	\$1,001 - \$15,000	\$50,001 - \$100,000	0		990	Over \$1,000.080 *	CE DOS ONE CHE DOS DOS	\$3,000,001 - \$43,000,000 \$25,000,001 - \$50,000,000	Over \$50,000,000	Lecepted towestment franc	Excepted Trust Onelitled Fenst		Interest address a	Calms	None (or less than \$201)			SESSION STRONG	Ar	noun	Side abl \$1.040,600	Over \$1,008,000*	\$1,040,401 \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	AMERICAN US GOVERNMENT AMUSX											×							×									
	AMERICAN MUTUAL FUND AMRMX		X									×						*									-	
3	AMERICAN EURO PACIFIC AEPGX			X								X						X										
4	AMERICAN BOND FUND OF AMERICA ABNDX			X								×								X								
б	AMERICAN CAP WORLD BD CWBFX			X								X							X									
	AMERICAN HIGH INCOME TR AHITX			X								×								X								
	AMERICAN SMALL CAP WORLD SMCWX			X								×					X											
8	AMERICAN GROWTH FD OF AMERICA AGTHX			X								×						×										
g	AMERICA - AIVSX			X								×							X									
*	This category applies only if the asset/income is ark the other higher categories of value, as appro			of the	file	r's sp	ouse	or de	рспо	ient c	hild	ren.	If the as:	set/inc	ome i	s eith	er th	at of 1	he f	iler c	r join	tly he	ld by	y the f	ler v	vith	the spouse or deper	ident children,

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U.S. Office of Government Ethics Reporting Individual's Name age Number **SCHEDULE A continued** Koonin, Steven (Use only if needed) Valuation of Assets Income: type and amount. If "None (or less than \$201)" is checked, no Assets and Income other entry is needed in Block C for that item. at close of reporting period BLOCK A BLOCK B BLOCK C Type Amount Excepted invastment Fund Other Date \$25,000,001 - \$50,000,000 Income (Mo., Day. SS,000,001 - \$25,000,000 \$1,000,001 - \$5,000,000. (Specify Yr.) Туре & \$259,001 - \$500,000 \$100,081 - \$250,080 \$50,001 - \$100,000 Over \$1,009.060 \* Actual Only if None (or less than Over \$50,000,000 Over \$1,000,000\* 100,12 - 100,0012 Excepted Trust Qualified Trust \$15.001-5sp.000 Over \$5,000,000 000/55 - 105/25 \$5,001 - \$15,000 Amount) Honoraria 8201-51-000 \$1,001 - \$2,500 Dividends Interest Nonc 1 JAMERICAN NEW PERSPECTIVE ANWPX 2 BLACKROCK LARGE CAP MCLRX 3 BLACKROCK INTERNATL MCIVX 4 BLACKROCK SHORT TERM MCDUX 5 BLACKROCK TOTAL RETURN MFHQX 6 BLACKROCK HIGH INCOME MFHIX 7 BLACKROCK FUNDAMENTAL MCFGX 8 BLACKROCK BASIC X MCBAX 9 BLACKROCK HIGH INCOME MCHIX This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children,

mark the other higher categories of value, as appropriate.

	COnnec of Oovernment titules		O Non			
	porting Individual's Name	SCHEDULE A continued	Page Number 5			
Ko	ponin, Steven	(Use only if needed)				
	Assets and Income	Valuation of Assets at close of reporting period  Income: type and amount. If "None (or less than \$201)" in other entry is needed in Block C for that item.	s checked, no			
_	BLOCK A	BLOCK B BLOCK C				
	Nane	Note for less than \$1,0613     \$11,001 - \$15,000     \$15,000     \$15,000     \$15,000     \$15,000     \$15,001 - \$100,000     \$250,001 - \$500,000     \$250,001 - \$500,000     \$250,001 - \$500,000     \$25,000,001 - \$25,000,000     \$25,000,001 - \$25,000,000     \$25,000,001 - \$25,000,000     \$25,000,001 - \$25,000,000     \$201 - \$100,000     \$201 - \$100,000     \$201 - \$100,000     \$25,001 - \$100,000     \$25,001 - \$100,000     \$25,001 - \$100,000     \$25,001 - \$100,000     \$25,001 - \$100,000     \$25,001 - \$100,000     \$25,001 - \$100,000     \$25,001 - \$100,000     \$25,001 - \$100,000     \$25,001 - \$100,000     \$25,001 - \$25,000,000     \$25,001 - \$25,000,000     \$25,001 - \$25,000,000     \$25,001 - \$25,000,000     \$25,001 - \$25,000,000     \$25,000 - \$25,000,000     \$25,000 - \$25,000,000     \$25,000     \$25,000	Other Income (Mo Dav. Yr.) (Specify Type & Actual Amount)  Only if Honoraria			
1	BLACKROCK TOTAL RETURN MCHQX	X X X X X X X X X X X X X X X X X X X				
2	BLACKROCK GLOBAL ALLOCATION MCLOX	X				
3	BLACKROCK GLOBAL SMALLCAP MCGCX	X X X				
4	CALVERT INCOME FUND C CIFCX	X X X X X X X X X X X X X X X X X X X				
5	COLUMBIA MARSICO GROWTH NMICX					
6	JENNISON SMALL COMPANY PSCCX					
7	LORD ABBETT AFFILIATED					
8	MDIGX	X X X X X X X X X X X X X X X X X X X				
9	OPPENHEIMER SMALL & MID QSCCX					
7	This category applies only if the asset/incom ark the other higher categories of value, as ap	e is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the propriate.	he spouse or dependent children,			

3 (	 R Pan	2034	
e e	000	- F C	Cabina

Reporting Individual's Name	SCHEDULE A continued	Page Number				
Kopnin, Steven		6				
	(Use only if needed)					
Assets and Income	Valuation of Assets at close of reporting period BLOCK B  Income: type and amount. If "None (or less than \$201)" other entry is needed in Block C for that item.  BLOCK C	is checked, no				
	Type Amount	·				
None	\$1,001 - \$15,000 \$15,000 \$15,000 - \$15,000 \$250,001 - \$100,000 \$250,001 - \$100,000 \$250,001 - \$500,000 \$250,001 - \$500,000 \$250,001 - \$500,000 \$250,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,001,011,010 \$25,001 - \$1,000,000 \$25,001 - \$15,000 \$25,001 - \$15,000 \$25,001 - \$10,000 \$25,001 - \$10,000 \$250,001 - \$10,000 \$25,001 - \$10,000 \$250,001 - \$10,000 \$250,001 - \$10,000 \$250,001 - \$10,000 \$250,001 - \$10,000 \$250,001 - \$10,000 \$250,001 - \$10,000 \$250,001 - \$10,000 \$250,001 - \$10,000	Other Income (Mo., Dav, Yr.) Type & Actual Amount)  Only if Honoraria				
1 PUTNAM AMERICAN GOVERNMENT INCOME - PAGVX						
2 BLACKROCK EUROFUND MDEFX	X					
3 BLACKROCK GLOBAL ALLOCATION MDLOX	X					
4 BLACKROCK PACIFIC FUND MAPCX						
5 Chevron Corporation CVX	X X X					
8 HEWLETT PACKARD CO HPQ	X X X					
7 JOHNSON & JOHNSON JNJ	X X X					
8 Northrup Grumman Corp NOC	X X					
9 Target Corp TGT	X X X					
* This category applies only if the asset/income is mark the other higher categories of value, as appropriate the other higher categories of value, as appropriate the other higher categories of value.	solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with oriate.	the spouse or dependent children,				

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5 C.F.R Part 2634 U.S. Office of Government Ethics Reporting Individual's Name **SCHEDULE A continued** Koonin, Steven

	nin, Steven	(Use only if needed)	
	Assets and Income-	Valuation of Assets at close of reporting period  Income: type and amount. If "None (or other entry is needed in Block C for that	at item.
	BLOCK A	BLOCK B BLOCK C	
	l many	\$1,001 - \$15,000 \$12,001 - \$15,000 \$12,001 - \$15,000 \$250,001 - \$100,000 \$250,001 - \$100,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$250,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,001 - \$25,000 \$25,000 - \$25,000 \$25,000 -	Other Date Income (Mo., Date (Specify Yr.)
	None	X X X	
-	United Technologies Corp UTX	X X X X	
3	TIAA Traditional		
	CREF Stock	X X	
	CREF Growth	X X X	
5	CREF Equity Index	X X X	
,	CREF Global Equities	X X X X X X X X X X X X X X X X X X X	
3	CREF Bond Market	X	
)	CREF Inflation Linked Bond		

Page Number

7

mark the other higher categ

Reporting Individual's Name Koonin, Steven		Page Number 8				
Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B		Income: type other entry is	" is checked, no		
None		\$5,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 Over \$58,000,000 Excepted livest	Dividends  Rout and Royaldins  Interest  Capital Gains		Other Income (Mo. Dav. Specify Yr.) Type & Actual Amount)  Only if Honoratia	
Fidelity Blue Chip Growth FBGRX     Children's UTMA	×	*		×		
CALSTRS Defined benefit plan     (Spouse unvested)				×		
3 MITRE Corporation					Consulting fees/expenses 53,056	
4 Stanford University Drell Lecture					Honorarium Feb 4 2008 5,000	
5 Brown University A.O. Williams Lecture					Honorarium Feb 9 2009	
6 Yale University Zucker Lecture					Honorarium Feb 19 2008 5,000	
7 UC Berkeley Regents' Lecture					Honorarium Mar 3 2008 4,800	
8 MIT Lincoln Labs Fubini Lecture					Honorarium May 29 2006 5,000	
9 Saudi Aramco				per that of the filer or jointly held by the filer with	Honorarium June 5 2008 400 (in kind)	

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U.S. Office of Government Ethics													
Reporting Individual's Name	SCHE	DULE A continued	Page Number										
Koonin, Steven		se only if needed)	9										
Assets and Income	Valuation of Assets at close of reporting period	Income: type and amount. If "None (or less than \$201)" other entry is needed in Block C for that item.	iš checked, no										
BLOCK A	BLOCK B	BLOCK C											
		Type Amount											
None	\$1,001 - \$15,000 \$1,001 - \$15,000 \$1,001 - \$15,000 \$19,001 - \$100,000 \$250,001 - \$100,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$1,000,001 - \$25,000,000 \$2,5000,001 - \$25,000,000 \$2,5000,001 - \$25,000,000	Excepted Trust Qualified Trust Qualified Trust Dividends Rent and Royalites Interest Capital Gills None (or less than \$201) \$281 \$1,001 - \$2,500 \$1,001 - \$2,500 \$2,501 - \$15,600 \$15,001 - \$10,000 \$100.01 - \$1,000,000 \$100.01 - \$1,000,000 \$100.01 - \$1,000,000 \$100.01 - \$1,000,000	Other Income (Mo., Day. Specify Yr.) Type & Actual Amount)  Only if Honoraria										
King Abdullah University of S&T     Presidential and Academic Search     Committees			Consulting										
KAUST Global Research Partnership     Technical Advisory Panel     Investigator Selection Panel			Consulting \$30,000										
3 Novim Group Study Group Leader			Consulting \$6,000										
4 Tri Alpha Energy Corp Scientific Advisory Board			Consulting \$6,000										
5 BP plc			Compensation/ Bonus \$1,855,713										
6 BP plc common shares		X											
7 BP Bonus Calendar Year 2008 BP Bonus Calendar Year 2009		x	\$244,600 Receivable										
8 BP 10,167 unvested shares; vest 12/2009	x	x											
9 BP 11,000 unvested shares; vest 12/2010.	X												
* This category applies only if the asset/income	e is solely that of the filer's spouse or dependent children	a. If the asset/income is either that of the filer or jointly held by the filer with the	he spouse or dependent children,										

	orting Individual's Name								~~~	***	~ * ·	- T	_								*:					Pa	ge Number	
	onin, Steven	SCHEDULE A continued							10																			
r\0	onin, Steven						· · · · · · · · · · · · · · · · · · ·			(U	se c	only	if r	necde	ed)													
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	Assets and Income	Valuation of Assets								- 1														ın \$2	201)	" is	checked, no	
		at close of									ot	her er	ntry is	need	ded i	in B	lock	C fo	r tha	t iter	n.							
	BLOCK A		reporting period BLOCK B										ı							DI.Q	CK C							
-	BLOCK A		1803	8		- CON		8		-			Type Amount													_		
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	•							3	B			. 🌉				\$201)							8				(Specify	Yr.)
				2		\$250,001 - \$580,000 \$500,001 - \$1.000,000	Over \$1,008,000 *	8	\$25,000,001 - \$50,000,000		Excepted Invastment Fund	´-				ឌ					▓,				<b>100</b>		Type & Actual	Only if
			\$1,001 - \$15,000 \$15,001 \$50,000	\$50,001 - \$100,090	\$100,001 \$250,000	\$250,001 - \$580,000 \$500,001 - \$1,000,00	Over \$1,008.000		7	Over \$50,000,800		4 💆		Rent and Royalt		than		اوا		8	STS.001 S.0.060	\$50,001 - \$100,000 \$100,001 - \$100,000	Over 61 000 000*	St (m) (in) - 85 (in) a		₹	Amount)	Honoraria
	F1.	None (or less tha	\$1,001 - \$15,000 \$15,001 \$50,00	3		ş 🎆	8	<b>#</b>		ड्र		Excepted Trust Outlitted Trust	١.	. 🖺	Interest Capital Cains	8	\$201 - \$1,000	\$1,001 - \$2,500		\$5,001 - \$15,000				3	Over \$5 000 000	ğ		
	ean Section of the section of the se	E	1-5	=	ğ	2 2	51,0	3		જ્ઞ		<b>1</b>	Dividends		<b>T</b>	None (or less	麦	-2		S-1	<b>.</b>	-			9	Ž.		: 1
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1	BP Qualified Defined Benefit Pension BP Non-Qualified Defined Benefit			2000		x 💹										X				Š					8			
l	Pension Plan			8		^∭										^												
2	BP vested stock options, 5250			2000						1			623003			S. Carrier		П									value not	
l	shares; \$53.66; exp. 6/7/2014			8												Section 2										1.	readily ascertainable	
3	BP unvested shares, 1970 shares												3			3									Ĭ	+	according to the control of the cont	
	vest 12/2009			X									3			×				6						1		
-	BP unvested shares, 2228 shares			§				# #		-		388	-			,		Н		8			<u> </u>		-	┿		
Γ	vest 12/2010			х												х												
<u> </u>	DD distributed special special 1212			8				** **					§					$\vdash$		**		- 3	<b>-</b>	- 88	<b>8</b>	4-		
5	BP undistributed vested shares; 1312 to be distributed 2/25/10			x						1			000000			×												
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6	BP unvested shares, 5,435 shares												ZOXOX			X												
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	vest 1/2012; 1,000 shares vest 2/2012				×								W. C.			X												
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-	BP unvested shares, 3,000 shares			8						_										- 8		- 8	% %		8 8	+	Estimate of	
9	vest 1/2013; 1,000 shares vest 2/2013			80000	Х								8			×											Future Award	
L	(Bonus CY 09 award)							%					8	. 1											<b></b>		Annual	
*	This category applies only if the asset/incom	e is solcl	y that o	f the	filer's	spous	e or de	pende	nt ch	ildre	n. If	the as	scl	incom	e is cit	her th	nat o	f the	filer	orjo	intly	held	by the	e filc	r wit	th the	spouse or deper	dent children
m	ark the other higher categ																											

SF 278 (Rev. 5 C.F.R Fart U.S. Office of	, 03/2000) 2634 of Government Ethics	Do not Complete S	chedule B if you are a new entrant, nominee	, Vic	e Pı	resi	dential o	r Pre	esid	entia	I Ca	ndid	late						
Reporting I	Individual's Name		SCHEDULE I	3										l'age !	Numbe		11		
Coorni,	Steken									· ·			· · · · · · · · · · · · · · · · · · ·	ļ	42 M			_	
Part I	: Transactions		·					None	3		]								
Report an	y purchase, sale, or excha	nge by you, your spouse,	report a transaction involving property used solely as your personal residence, or a transaction solely between you,		ansact					-	A	mount	of Tran	saction					
property,	lent children during the re- stocks, bonds, commodity when the amount of the tra- nchide transactions that re-	futures, and other ansaction exceeded	your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.			ange	Date (Mo. , Duy, Yr.)	\$1,001 -	15,001 -	50,001 -	\$100,001 -	0,000	\$500,001 -	Dver \$1,000,000*	- 1000,000 -	5,000,001 -	.000.000	3E	Certificate of
			ation of Assets	Purchase	Sale	益		2 2	S 53	35 25	2 2	£ 55	SS 2	8 %	25 25	\$ 55	2 S	, हि झ	. 3
1 Exam	ple: Central Airlines Commo	00		х			2/1/99	-	-	×	├-	-	-	├		├	₩	+	╀
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5			A STATE OF THE STA					-										1	T
* This cat	recory applies only if the u	inderlying asset is solely the	at of the filer's spouse or dependent children. If the underlying a	sset is	either	held	<u>.t.</u>									<u></u>			
			dent children, use the other higher categories of value, as approp																
Part I	I: Gifts, Reimbi	ursements, and T	ravel Expenses															+ 2	
For you, tion, and food, or (2) trave than \$26 as person authority	your spouse and depend the value of: (1) gifts (i entertainment) received il-related cash reimburse (0). For conflicts analyse nal friend, agency appro y, etc. For travel-related	dent children, report the s such as tangible items, tr from one source totaling ments received from one i, it is helpful to indicate wal under 5 U.S.C. § 411 gifts and reimbursement	source, a brief descrip- ansportation, lodging, more than \$260; and source totaling more a basis for receipt, such l or other statutory s, include travel itinerary.	recei inde the d total	ved f pende lonor value	rom ent of s res e from	rnment; giv relatives; re f their relati idence. Als m one source isions.	ceive onshi o. for	d by p to y purp	your s /ou; or oses o	pouse prov faggi	or de ided a egati	epend as per ng gif	ent ch sonal is to d	ild to hospi let <del>ern</del>	tally tality nine th	at 1e		<b>1</b>
dates, an		s provided. Exclude any	thing given to you by																
From	Source (Name a		Airline ticket, hotel room & meals incident to national conference 6/13		rsonal			duty		-							<del> </del>	Value \$500	
EAMI	Frank Jones, San Franc		Leather briefease (personal friend)	nv	0 mm L			22/-			<b></b>							\$300	
1																			

Prior Editions Cannot He Used.

SF 278 (Rev. 03/2000) 5 C.F.R Part 2634 U.S. Office of Government Ethics Reporting Individual's Name SCHEDULE C 12 Koonin, Steven Part I: Liabilities None X Report liabilities over \$10,000 owed to any one creditor at personal residence unless it is rented out; loans secured Category of Amount or Value (x) any time during the reporting period by you, your spouse, by automobiles, household furniture or appliances; and or dependent children. Check the highest amount owed liabilities owed to certain relatives listed in instructions. during the reporting period. Exclude a mortgage on your See instructions for revolving charge accounts. Date Interest \$250,000 \$500,000 \$500,000 \$500,001 Term if Incurred Rate applicable Type of Liability Creditors (Name and Address) First District Bank, Washington, DC 1991 Mortgage on rental property, Delaware 25 yrs. John Jones, 123 J St., Washington, DC 1999 10 % Promissory note on demand 2 5 This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate. Part II: Agreements or Arrangements Report your agreements or arrangements for: continuing participation in an of absence; and (4) future employment. See instructions regarding the reporting employee benefit plan (e.g. 401k, deferred compensation; (2) continuation of negotiations for any of these arrangements or benefits payment by a former employer (including severance payments): (3) leaves None Status and Terms of any Agreement or Arrangement Parties Date Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share Doe Jones & Smith, Hometown, State 7/85 Example: calculated on service performed through 1/00. 1 Pursuant to the company's qualified defined benefit plan, a cash lump sum payment will be paid in January 2010. British Petroleum 3/04 2 Pursuant to company non-qualified defined benefit plan lump sum payment will be paid to filer British Petroleum 3/04 14 months from date of termination. 3 Pursuant to company policy, filer will receive bonus for work performed in CY 2009, including unvested shares. Filer British Petroleum 3/04 will forfeit unvested shares.

British Petroleum

British Petroleum

British Petroleum

3/04

3/04

3/04

4 Pursuant to company policy, BP will repatriate filer and family paying for travel, moving expenses, per diem, temporary

entering government service, BP will accelerate distribution of vested shares and filer will divest. Filer will forfeit

5 Upon resignation, filer will forfeit stock options that are underwater; filer will divest of all BP shares. Prior to

Pursuant to company policy, BP will pay for third-party tax preparation for CY 2008, 2009, 2010, and 2011.

Prior Editions Cannot Be Used.

unvested shares.

housing, and automobile rental upon return.

	Office of Government Ethics				****		
Reporting Individual's Name				Page Number			
Koonin, Steven			SCHEDULE D	13			
					1		
Pa	rt I: Positions Held Outs	ide U.S. Government					
	port any positions held during the a		consultant of any corneration firm	partnership, or other business enterprise or an	•		
		but are not limited to those of an officer.		al institution. Exclude positions with religiou			
dire	ector, trustee, general partner, propr	ietor, representative, employee, or	social, fraternal, or political entities	and those solely of an honorary nature.	21		
					None	. $\square$	
-	Organi	zation (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)	
Examples: Nat'l Assn. of Rock Collectors, NY, NY  Doe Jones & Smith, Hometown, State			Non-profit education	President	6/92	Present	
			Law firm	Partner	7/85	1/00	
1	IBP, plc, London, UK		Corporation	Chief Scientist	3/04	Present	
					İ		
2	MITRE Corporation, McLean, V	A	Not-for-Profit Organization	Consultant	7/88	Present	
3	Physics Department Visting Committee		University	Visiting Committee Member	1989	Present	
	Massachusetts Institute of Technology						
4	Imperial College, London, UK		University	Commercialization Advisory	2005	Present	
				Board Member			
5	King Abdullah University of Science	ence and Technology (KAUST)	University	Search Committee member	2007	2008	
	Jeddah, Saudi Arabia						
6	KAUST Global Research Partn	ership, Washington DC	Research Foundation	Technical Review Panel	2007	Present	
	t			member			
7	Royal Society of Chemistry, Lo	ndon, UK	Professional Society	Editorial Board member	2008	Present	
						, and the second	
8	Tri Alpha Energy		Corporation	Scientific Advisory Board	2007	Present	
	Rancho Santa Margarita, CA			member			
9	Novim Group		Foundation	Scientific Advisory Board	2008	Present	
	Santa Barbara, CA		1	Study Group Leader			
<del> </del>		0505 000 D. 1.1 I O C.		Joseph Louder			
P	irt II: Compensation in E	xcess Of \$5,000 Paid by One So	urce			plete this part	
		mpensation received by you or your		er business enterprise, or any other non-profit			
		ed directly by you during any one year of		ided the services generating a fee or payment	Termination Filer, or		
the reporting period. This includes the names of clients and customers of any			of more than \$5,000. You need not a	Vice Presidential			
						ial Candidate	
·					None		
	Source (Name and Address)			Brief Description of Dutics			
Examples: Doe Jones & Smith, Hometown, State			Legal services				
Metro University (client of Doe Jones & Smith), Moneytown, State			Legal services in connection with university construction  Chief Scientist				
1	BP, plc; London, UK	P, pic; London, UK					
<u> </u>			Chair of Innovation (Insture, coming)				
2			Chair of Innovation (lecture, seminar)				
<u> </u>			Deside with and Academic Lands	and in County County Manager		· · · · · · · · · · · · · · · · · · ·	
3		ence and Technology	Presidential and Academic Leade	ership Search Committee member			
Jeddah, Saudi Arabia							
			Review Committee Member				
Washington, DC							
			Lincoln Lab Fubini Lecturer				
_	Cambridge, MA						

Reporting Individual's Name				Page Number					
Koonin, Steven		SCHEDULE D			14				
Part I: Positions Held Outs									
Report any positions held during the a	pplicable reporting period, whether	consultant of any corporation, firm, partnership, or other business enterprise or any							
compensated or not. Positions include director, trustee, general partner, propri	but are not limited to those of an officer,		non-profit organization or educational institution. Exclude positions with religious. social, fraternal, or political entities and those solely of an honorary nature.						
director, trustee, general partier, propi	demit representative, employee, or	Social, traternal, or political entities and those solery of all mollogary flatting.							
Organ	ization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)				
Not'l Agen of Rock Collectors NV NV		Non-profit education	President	6/92	Present				
Doe Jones & Smith, Hometown, State		Law firm	Partner	7/85	1/00				
Direct Solar Energy Study Advisory Committee		University	Committee Member	05/08	Present				
Massachusetts Institute of Technology, Cambridge, MA									
	esidential Program Adv. Committee	University	Committee Member	12/08	Present				
Michigan State University, East Lansing, MI		University							
3 Clare Hall College, Cambridge	3 Clare Hall College, Cambridge, UK		Associate	3/06	Present				
4 California Institute of Technolog	ny (Caltech) Pasadena, CA	University	Visiting Associate	7/06	Present				
		J. T.	Violing 7 to o o to to	//00	1 /000/11				
	nnovation System Advisory Board	University	Committee Member	12/08	Present				
Massachusetts Institute of Tecl				12105					
6 Sponsored Research Visting C		University	Committee Member	10/05	Present				
Massachusetts Institute of Tec	nnology, Camonage, MA			-					
7									
8									
9									
Part II: Compensation In Excess Of \$5,000 Paid by One Source  Do not complete this part									
Report sources of more than \$5,000 compensation received by you or your corporation, firm, partnership, or other business enterprise, or any other non-profit if you are an incumbent,									
business affiliation for services provid	ed directly by you during any one year of	organization when you directly provi	Termination Filer, or						
	names of clients and customers of any	of more than \$5,000. You need not i	Vice Presidential						
	ŕ		or Presidential Candidate						
		•		None					
Source (Name and Address)			Brief Description of Duties						
Examples: Doe Jones & Smith, Hometow	n, State	Legal services							
Metro University (client of Do	e Jones & Smith), Moneytown, State	Legal services in connection with university construction							
Stanford University Stanford, CA		Drell Lecturer							
2 Yale University		Zucker Lecturer							
New Haven, CT									
3 Trì Alpha Energy		Rancho Santa Margarita, CA							
Rancho Santa Margarita, CA									
4 MITRE Corporation		Consultant							
McLean, VA	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Study Converted to							
5 Novim Group		Study Group leader							
Santa Barbara, CA		<u> </u>							