

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

P.04

203 432 7117

YALE LAW SCHOOL

JAN-25-2009 17:19

Date of Appointment, Candidacy, Election or Nomination (Month, Day, Year)		Reporting Status (Check appropriate boxes) Incumbent <input type="checkbox"/> New Entrant, Nominee, or Candidate <input checked="" type="checkbox"/> Termination Filer <input type="checkbox"/>	Calendar Year Covered by Report	Termination Date (If Applicable) (Month, Day, Year)	Fee for Late Filing Any individual who is required to file this report and files no more than 30 days after the date the report is required to be filed, or if an extension is granted, more than 30 days after the last day of the filing extension period shall be subject to a \$200 fee.
Reporting Individual's Name		Last Name: Koh		First Name and Middle Initial: Harold H	
Position for Which Filing		Title of Position: The Legal Adviser		Department or Agency (If Applicable): U.S. Department of State	
Location of Present Office (or forwarding address)		Address (Number, Street, City, State, and ZIP Code): Yale Law School, 127 Wall Street, New Haven, CT. 06511-1323		Telephone No. (Include Area Code): 203-432-1660	
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)		Title of Position(s) and Date(s) Held: None			
Presidential Nominee Subject to Senate Confirmation		Name of Congressional Committee Considering Nomination: Senate Foreign Relations Committee		Do You Intend to Create a Qualified Diversified Trust? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.		Signature of Reporting Individual: <i>Harold H Koh</i>		Date (Month, Day, Year): January 26, 2009	
Other Review (If desired by agency)		Signature of Other Reviewer:		Date (Month, Day, Year):	
Agency Ethics Official's Opinion On the basis of information obtained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).		Signature of Designated Agency Ethics Official/Reviewing Official: <i>James V Deseri</i>		Date (Month, Day, Year): 2/18/09	
Office of Government Ethics Use Only		Signature: <i>Just 2 back</i>		Date (Month, Day, Year): 3/25/09	
Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet).					
(Check box if filing extension granted & indicate number of days _____) <input type="checkbox"/>					
(Check box if comments are continued on the reverse side) <input type="checkbox"/>					
GCE Use Only					
MAR 23 2009					

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Reporting Individual's Name Koh, Harold H.	SCHEDULE A	Page Number 2
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Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B											Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C																								
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 in income during the reporting period, together with such income. For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than \$1,000 (except report the actual amount of any honoraria over \$200 of your spouse). None <input type="checkbox"/>												Type	Amount										Date (Mo., Day, Yr.) Only if Honoraria													
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$ 5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500		\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)				
Examples	Central Airlines Common											x																								
	Doe Jones & Smith, Hometown, State												x											Law Partnership Income \$110,000												
	Kempstone Equity Fund											x																								
	IRA: Heartland 500 Index Fund											x																								
1	Yale Law School, Yale University New Haven, CT																																	salary \$486,313.22		
2	New Haven Legal Assistance Assoc. S New Haven, CT																																	Salary		
3	J New Alliance Bank (checking acct.) New Haven, CT													x																						
4	New Alliance Bank (money market account) New Haven, CT														x																					
5	J New Alliance Bank (2 CDs) New Haven, CT												x																							
6	Bank of America (Cash IRA) Tampa, FL												x						x																	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name
 Koh, Harold H.

SCHEDULE A continued
 (Use only if needed)

Page Number
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Assets and Income BLOCK A		Valuation of Assets at close of reporting period BLOCK B										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C																				
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$ 5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type	Amount									Date (Mo., Day, Yr.) Only if Honoraria					
															Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000		Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	
1	Wachovia Bank, N.A. (Cash IRA) Charlotte, NC			X													X		X													
2	TIAA/CREF Retirement Account Individual accounts listed below. TIAA Traditional (50%) CREF Stock (25%)					X							X							X												
	CREF Money Market (25%)				X							X								X												
3	Prudential Insurance Policy (whole life policy)			X																X												
4	Fisher Irrevocable Insurance Life Trust (only asset is John Hancock whole life insurance policy on wife's mother.																															
5	spouse is 1/3 beneficiary)			X																X												
6	New Haven Legal Assistance Assoc. Retirement Plan. (see lines 7 & 8)																															
7	--Tax Sheltered Annuity, invested in Russell LifePoints Balanced Strategy R3 Fund (RBDLX)		X										X							X												
8	--Money Purchase Plan, invested in Russell LifePoints Balanced Strategy R3 Fund (RBDLX)		X										X							X												
9																																

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Koh, Harold H.

SCHEDULE A continued

(Use only if needed)

BLOCK A Assets and Income		BLOCK B Valuation of Assets at close of reporting period										BLOCK C Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.										Date (Mo., Day, Yr.) Only if Honoraria												
												Type				Amount							Other Income (Specify, Type & Actual Amount)											
None <input type="checkbox"/>		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000		\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000		
1	Stanford University																																Writing Fee \$200	11/20/08
2	Thomson-Foundation Press																																Income Ed. Bd. Service \$19,000	7/1/08
3	Aspen Institute																																Teaching Fee \$1,000	7/1/08
4	Florida International University Foundation																																Lecture Fee \$2,000	9/1/08
5	Northeastern University Law School																																Lecture Fee \$1,500	9/1/08
6	Princeton University																																Lecture Fee \$2,000	11/1/08
7	University of Connecticut																																Lecture Fee \$2,000	11/1/08
8	Transnational Litigation in U.S. Courts (2008) (Foundation Press)	X																X			X													
9	Transnational Business Problems (4th ed. 08) (Foundation Press) with Detlev F. Vagts & William S. Dodge	X																X			X													

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher category.

Reporting Individual's Name Koh, Harold H.	SCHEDULE A continued (Use only if needed)	Page Number 5
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Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B											Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C																									
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$ 5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount							Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria									
																Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000			\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000					
1	X																X		X																		
2	X																X		X																		
3	X																X		X																		
4	X																X		X																		
5	X																X		X																		
6																																					
7																																					
8																																					
9																																					

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher category.

Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

Reporting Individual's Name Koh, Harold H.	SCHEDULE B	Page Number 6
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Part I: Transactions

None

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not

report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)														
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture			
	Example: Central Airlines Common	x			2/1/99				x											
1																				
2																				
3																				
4																				
5																				

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$260; and (2) travel-related cash reimbursements received from one source totaling more than \$260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by

the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$104 or less. See instructions for other exclusions.

None

	Source (Name and Address)	Brief Description	Value
	Examples: Nat'l Assn. of Rock Collectors, NY, NY Frank Jones, San Francisco, CA	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty) Leather briefcase (personal friend)	\$500 \$300
1			
2			
3			
4			
5			

Reporting Individual's Name Koh, Harold H.	SCHEDULE C	Page Number 7
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Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your

personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None

Category of Amount or Value (x)

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x)															
						\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000					
Examples:	First District Bank, Washington, DC John Jones, 123 J St., Washington, DC	Mortgage on rental property, Delaware Promissory note	1991 1999	8% 10%	25 yrs. on demand			x													
1																					
2																					
3																					
4																					
5																					

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g. 401k, deferred compensation); (2) continuation payment by a former employer (including severance payments); (3) leaves

of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits

None

	Status and Terms of any Agreement or Arrangement	Parties	Date
1	I will be on leave without pay from my professorship at Yale University Law School. I will continue to receive tuition assistance for my son in accordance with the Public Service leave policy of the University.	Yale University, New Haven, CT	2/09
2	I will continue to participate in my former employer's pension plan (TIAA/CREF), no further contributions will be made by me or Yale University, while I serve in the government	Yale University, New Haven, CT	2/09
3	I am teaching a course in the Spring Semester at Yale University Law School. If confirmed, I will stop lecturing at that time but will have the obligation to grade any remaining ungraded papers, which I will do on my personal time without compensation	Yale University, New Haven, CT	2/09
4	after starting employment as Legal Adviser.		
5			
6			

Reporting Individual's Name Koh, Harold H.	SCHEDULE D	Page Number 8
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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY Doe Jones & Smith, Hometown, State	Non-profit education Law firm	President Partner	6/92 7/85	Present 1/00
1	Yale Law School, Yale University New Haven, CT	University	Professor	07/85	Present
2	Brookings Institution Washington, DC	Non-profit educational institution	Board member (Board of Directors) Uncompensated	9/04	Present
3	Hopkins School New Haven, CT	Non-profit educational institution (middle school)	Trustee Uncompensated	1/01	present
4	National Democratic Institute Washington, DC	Non-profit organization	Board member Uncompensated	10/01	present
5	American Arbitration Association New York, New York	Alternative Dispute Resolution Organization	Board member Uncompensated	3/07	Present
6	Human Rights First New York, New York	Human Rights Advocacy Organization	Board member Uncompensated	6/01	Present

Part II: Compensation In Excess Of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any

corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None

	Source (Name and Address)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services Legal services in connection with university construction
1	Yale Law School, Yale University New Haven, CT	Professor
2	Thomson-Foundation Press	Editorial Board service
3		
4		
5		
6		

Reporting Individual's Name Koh, Harold H.	SCHEDULE D	Page Number 9
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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY Doe Jones & Smith, Hometown, State	Non-profit education Law firm	President Partner	6/92 7/85	Present 1/00
1	Foundation Press-Thomson Publishing New York, New York	Law Book Publishing Company	Member of Casebook Series Board of Editors (Compensated)	6/01	present
2	American Law Institute Philadelphia, PA	Learned Society of Lawyers, Law Professors and Judges	Member of the Council (Govern- ing Body) Uncompensated	5/06	present
3	Harvard Kennedy School of Government Cambridge, MA	University	Member of Visiting Committee	08/07	present
4	Thomas J. Dodd Research Center Storrs, CT	Research Center	Advisory Board	06/01	present
5					
6					

Part II: Compensation In Excess Of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any

corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None

	Source (Name and Address)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services Legal services in connection with university construction
1		
2		
3		
4		
5		
6		