SF 278 (Rev. 03/2000) 5 C.F.R. Part 2634

## Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved: OMB No. 3209 - 0001

ILS. Office of Government Ethics Date of Appointment, Candidacy, Election, Termination Termination Date (If Appli-Calendar Year Reporting Incumbent New Entrant New England, Nominee, or Fee for Late Filing or Nomination (Month, Day, Year) Covered by Report cable) (Month, Day, Year) Status Filer Any individual who is required to file (Check Appropriate Candidate this report and does so more than 30 days Royes) after the date the report is required to be Last Name First Name and Middle Initial filed, or, if an extension is granted, more Reporting than 30 days after the last day of the Individual's Name Kienitz filing extension period, shall be subject to a \$200 fee. Department or Agency (If Applicable) Title of Position Position for Which Under secretary of Transportation U.S. Department of Transportation Reporting Periods Filing For Policy Incumbents: The reporting period is the preceding calendar year except Part Address (Number, Street, City, State, and ZIP Code) Telephone No. (Include Area Code) Location of II of Schedule C and Part I of Schedule D Present Office where you must also include the filing 202364-1111 (or forwarding address) year up to the date you file. Part II of U.S. DOT, 1200 New Jersey Ave 58, Washington DC 20590 Schedule D is not applicable. Title of Position(s) and Date(s) Held Position(s) Held with the Federal Senior Advisor to the Secretary of Transportation (3/3/89- Present) Government During the Preceding Termination Filers: The reporting 12 Months (If Not Same as Above) period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Name of Congressional Committee Considering Nomination Do You Intend to Create a Qualified Diversified Trust? Schedule D is not applicable. Presidential Nominees Subject Commerce, Soience, and Transportation to Senate Confirmation Nominees. New Entrants and Candidates for President and Vice President: Certification Signature of Reporting Individual Date (Month, Day, Year) I CERTIFY that the statements I have Schedule A--The reporting period made on this form and all attached for income (BLOCK C) is the preceding schedules are true, complete and correct calendar year and the current calendar to the best of my knowledge. year up to the date of filing. Value assets as of any date you choose that is within Signature of Other Reviewer Date (Month, Day, Year) Other Review 31 days of the date of filing. (If desired by agency) Schedule B--Not applicable. 3-19-0a Schedule C, Part I (Liabilities)--The Signature of Designated Agency Ethics Official/Reviewing Official Agency Ethics Official's Opinion Date (Month, Day, Year) reporting period is the preceding calendar year and the current calendar year up to On the basis of information contained in this any date you choose that is within 31 days report, I conclude that the filer is in compliance with applicable laws and regulations (subject to of the date of filing. any comments in the box below). Schedule C. Part II (Agreements or Signature Office of Government Ethics Arrangements)--Show any agreements or Use Only arrangements as of the date of filing. Schedule D -- The reporting period is Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet) the preceding two calendar years and the current calendar year up to the date of filing. (Check box if filing extension granted & indicate number of days ... Agency Use Only OGE Use Only (Check box if comments are continued on the reverse side)

	Individual's Name	SCHEDULE A															Pa	ge Number															
Kienitz, Roj	y wv		SUMEDUL A																	2 of	7												
_	Assets and Income		Valuation of Assets at close of reporting period  BLOCK B  Income: type and amount. If "None (or le checked, no other entry is needed in Block												ess k C	than \$203 for that it	l)" is em.																
For you	our spouse, and dependent child	dren	BLOCK B BLOCK C Amount																														
report ear production value exceeding periodic in income with such For yours amount of than from report the income of actual am your spot	r the arket port-\$200 ether ctual other ouse, arned	None (or less than \$1,001)	8	\$15,001 - \$50,000	. 1	\$250,001 - \$500,000	\$500,001 - \$1,000,000	ı≍		\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust		d Royalties		Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	٠.	\$5,001 - \$15,000	- \$50,000	\$100,000	0000	*000°	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date ( <i>Mo., Day</i> <i>Yr.</i> ) Only if Honoraria	
	Central Airlines Common				,										П		×						×										
Examples	Doe Jones & Smith, Hometown, State				×					[ -						Ī					7										_	Law Partnership Income \$130.00	<u> </u>
	Kempstone Equity Fund					×			Γ.	Γ		[ ]		×										×							[ -		<u> </u>
	IRA: Heartland 500 Index Fund						Γ	×	Γ.	Γ.	Γ-	[ _	$\lceil \rceil$	×	]			$\lceil \rceil$	7	7	7			_	k	_	_		Ī	_ <u>_</u>	T -	T — —	T
1 Checki	ng Account, SUNTRUST Bank			×																	×												
2 Spouse SUNTE	e's business checking account, RUST Bank			×																	×												
3 Saving Union	s Account, U.S. Senate Federal Cre	dit		×																	×												
4 Lincoln	Finencial Group Delaware Growth a Fund	and		×								-		×							×												
5 Lincoln Awarer	Financial Group Delaware Social ness Fund			×										×							×												
	n Financial T. Rowe Price Structured ap Growth Fund			×										×							×											;	
* This by the	category applies only if the asset/in the filer with the spouse or depende	ncome nt chil	is so	lely	that (	of the	e file ier h	r's s ighe	pou	se o	r dej ries	encof v	lent alue	chil as :	dre appi	n. Ii	f the	2556	t/in	кош	e is	eit	ier t	hat	of t	he fi	ler	ог ј	oint	ly h	eld		

Reporting Individual's Name Kienitz, Roy W		SCHEDULE A continued (Use only if needed)													Page	3	of	7															
Assets and Income  Valuation of Assets at close of reporting period  BLOCK A  BLOCK B  Valuation of Assets at close of reporting period  BLOCK B  Valuation of Assets checked, no other entry is needed in Block of the BLOCK C									ess i	han for th	\$20 hat i	1)" is tem.																					
BLOCK A	+				B	LOC	K B	_				П	┝	1-	$\dashv$	Type Amount																	
	None (or less than \$1,001)		\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	oyaltles		iains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000			000'0	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Oth Inco (Spec Type Acts Amos	me cify e & ual	Date (Mo., Day, Yr.) Only if Honoraria
1 Mora Inc SEP IRA: PAX S World Balenced Fund		×											×							×													
State of Maryland 401(k)/401(a), Goldman Sachs Large Cap/alue Fund		×											×	١					L	×													
Pennsylvania State Employee's Retirement System Defined Ben e #1+ P / an				X								1																			\$740m	nonth etir <del>o</del> nt	
Salary, Commonwealth of Pennsylvania, Office of the Governor	₽ .											1	T																		\$122,9	55.82	
5 Spouse's self-emploment income as consultant for DC Housing Enterprise	t												T									T				Ţ					fees		
Spouse's employee income, Ten Thousand Villages, Inc.   Rockville   M D	1									Ī																					sal	مرمه	
7																																	
8													T			T									Γ		-						
9										1			T		T	T	1			T													
* This category applies only if the asset/inco by the filer with the spouse or dependent	ne is hildr	solel en, c	ly th	at o	f the	file er b	r's s	pou r ca	ise o	r de cries	pen of v	rden Valu	t cl	ildr s apr	en. I	if th	او عا ا	sset/	'inco	me	is ei	ther	tha	t of	the	filer	or j	olni	ly h	eld			

SF 278 (Rev. 03/2000) S C.F.R. Part 2634 U.S. Office of Government Ethics

## Do not complete Schedule B If you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

_	orting Individual's Name nitz, Roy W	SCHEDULE B  Page Number  of														7	
Re by	Part I: Transactions  Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the Check the "Certificate of divestiture" block  Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child.  Transaction Type (x)  Transaction (x)  Transaction Type (x)  Date																
			Tra	nsacti ype (x	noi					Amo	nt of	_		<u>``</u>			
fui an	tures, and other securities when the tount of the transaction exceeded \$1 clude transactions that resulted in a l	Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	1,001 -	50,000	50,001 100,000	\$100,001 \$250,000	\$500,000 -	3,000,000*	\$1,000,001 - \$5,000,000	5,000,001 - 25,000,000	25,000,0001 - 50,000,000	S50,000,000	Certificate of divestiture	
╽┟	Example Central Airlines Common	ification of Assets	×		_	2/1/99	07.07	200	. X	555 6	5,000	08	0.0	77.7	55	08	
1	Example   Central Attimies Continuon		+-				╁	1		+	+-	╁	╁─┈	-		-	$\dashv$
2			-				<del> </del>				+	┿	<del> </del>	-			-
3		, .					lacksquare	<b>T</b>				+					-
4							1										
5			_				1	1			7	- <del> </del>	1				
Fo tid fo (2 th as	r you, your spouse and dependent clon, and the value of: (1) gifts (such as od, or entertainment) received from ) travel-related cash reimbursements an \$260. For conflicts analysis, it is hersonal friend, agency approval unthority, etc. For travel-related gifts a tes, and the nature of expenses prov	s tangible items, transportation, lodging, received one source totaling more than \$260, and indepersisted from one source totaling more the do	S. Gov ed fro enden onor's value f	m re t of t resid	lativ their lence one	; given to y es; received relationshi e. Also, for source, exc	i by ip to oun	your you; pose:	spor or p	use or rovid	depered as parting	nden perso gifts	t chil nal h to de	d tot lospi term insti	ally tality ine t	/ at he	
	Source (Name and Address)					Iption	•								Ļ	alue	
ŀ	Examples Nat'l Assn. of Rock Collectors, NY, NY Frank Jones, San Francisco, CA	Airline ticket, hotel room & meals incident to nation	nai com	erenc	e 6/1	3/99 (persona	u acti	vity u	nrelati	ea to d	u(y)			<del></del>	<b>.</b> — .	500	
1	Praint Jones, sail Francisco, CA	Leather briefcase (personal friend)													<del>  ,</del>	300	
Ļ															ļ		
_	3																
4															<u> </u>		
												<u>.</u>		<u> </u>			
5																	

SF 278 (Rev. 03/2000) 5 C.F.R. Part 2634 U.S. Office of Government Ethics

## Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name Kienitz, Roy W	SCHEDULE B continued (Use only if needed)												Z Vumbe	of		7	
Part I: Transactions							-										
		Transaction Type (x)							Am	ount	of Tr	ansac		ᅱ			
	· ·	) JAC (.	<u>~,</u>	Date			-			٥،	6	<u>.</u>	÷8 5	38	S,	ō,	
		Purchase		Exchange	(Ma, Day, Yr.)	301	,000	-000,0	0,000	000	9,00 1,00,00 1,00,00	300,00	99	000	\$25,000,001	0,000,0	tilicate sstltur
ldent	ification of Assets	<u> </u>	Sale	Š		\$1,6	\$15	\$50 \$10	\$28 \$25	222	\$ £	ठुस	25.	\$25.	388	38	Đặ.
1			-							` .						1	
2	•															·	
3	<del>-</del>	T										-					
4		1						<u> </u>				-			1		
5	<del></del>	T					<b>†</b>	<del> </del>					-	+			
7		<b>†</b>	<b>.</b>	-		<del>                                     </del>		$\vdash$									
7	· · · · · · · · · · · · · · · · · · ·	1	T	<del>                                     </del>		ļ	-	<del>                                     </del>		-							
8		$\dagger$		1			<del>                                     </del>	╁┈	-	<del>                                     </del>	_						
9		1	<del>                                     </del>	+-		-	<del>                                     </del>	<del>                                     </del>	T	<del> </del>							
10				$\dagger$		+	<del>                                     </del>	+	-		-						
11	· · · · · · · · · · · · · · · · · · ·	+	-	<del> </del>			-	1	<del>                                     </del>	<del>                                     </del>	-						
12	***************************************	1	1	<del> </del>		1	T	+-	T	T		-					
В		+	$\vdash$	+-			+	+	-	-		-					<u> </u>
14				+-		+	†	+	+	-	+	<del>  -</del>					
15		╁	+	+-		+	+	$\vdash$	+	<del>  -</del>	-						
16		+	<del> </del>	+		+	+	+-	+	+	-	-	-				
*This category applies only if the underly	ying asset is solely that of the filer's spouse or dependent child lith the spouse or dependent children, use the other higher ca	iren. I	f the	und	erlying asset	s eith	er he	eld.		ــــــــــــــــــــــــــــــــــــــ	1	<u> </u>					<u></u>

Reportin	g Individual's Name		Page Number													
Klenitz, Roy W SCHEDULE C												6	•	of	7	' <b>\</b>
Report	I: Liabilities liabilities over \$10,000 owed	a mortgage on your personal residence unless it is rented out; loans secured by	None 2	3	-				atego	y of A	mount	or Va	lue (x)			
during your s Check	one creditor at any time the reporting period by you, bouse, or dependent children, the highest amount owed the reporting period. Exclude	automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.	Date	Interest	Term If	\$10,001 - \$15,000	\$15,001 - \$50,000	0,001 -	\$100,001- \$250,000	\$250,001 -	\$500,000 - \$1,000,000	Over \$1,000,000*	\$1,000,001-	\$5,000,0001 -	\$25,000,0001 - \$50,000,000	Over \$50,000,000
	Creditors (Name and Address)	Incurred	Rate	applicable	22	25	\$12	22	\$25	\$5	8	22.2	2\$ 58	\$2	ठेश	
Example	First District Bank, Washington, DC John Jones, 123 JSt., Washington, DC	Mortgage on rental property, Delaware Promissory note	1991	8% 10%	on demand	<u> </u>	_	_ <u>*</u> _	-	×		-			-	
1							]									1 1
2	**************************************			<del>                                     </del>												
3			1					<del>                                     </del>								
1	·									<u> </u>			\ <del></del>		ļ	
5			<del> </del>			<del> </del>	†	†		ļ	<del> </del>				<u> </u>	-
*This	category applies only if the liability	Is solely that of the filer's spouse or dependent child tark the other higher categories, as appropriate.	ren. If the l	iability Is t	hat of the fi	ler or	a join	t liabil	lity of	the fi	ler	Ļ	<u> </u>		L	
									v							
Repor	yee benefit plan (e.g. pension, 4	ents for: (1) continuing participation in an Olk, deferred compensation); (2) continuar (including severance payments); (3) leaves			(4) future ns for any								ling t	he re	port- Noru	
	Status and	l Terms of any Agreement or Arrangement							Pari	ies						Date
Example	Pursuant to partnership agreeme calculated on service performed	nt, will receive lump sum payment of capital account & p	armership s	bare	Doe jone	s & Sm	ith, Ho	metow	n, Stat	e						7/85
1 Co	nmonwealth of Pennsylvania retkame	nt plan, benefits receivable upon retirement / 4 a n /s	Formula	based.	Pennsylv	ania S	itale E	mploy	eas' R	etirem	ent Sy	slem			1	/03
2 403 F	(b) retirement account from employme	100				•	5 T	PP						}	2/95	
11	is of Maryland 401(k)/401(a) retiremen	schedul	E A	Maryland	i Supp	lemen	tel Re	tireme	nt Plai	ns					6/01	
4					•											
5																
6																
	· · · · · · · · · · · · · · · · · · ·															

Reporting Individual's Name				Page Number										
Kienītz, Roy W		SCHEDULE D	ד	of	フ									
Part I: Positions Held Outside U.S. Government Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit  Organization (Name and Address)  Type of Organization  Position Held  From (Mo., Yr.) To (Mo., Yr.)														
	and Address)				(r.) 7	o (Mo.,Yr.)	1							
Nat'l Assn. of Rock Collectors, NY, NY		Non-profit education	President	6/92		Present	1							
Doe Jones & Smith, Hometown, State		Law firm	Partner	7/85	L	1/00								
Office of Governor Edward, G. Rendell, Ha	arrisburg, PA	State Government	Deputy Chief of Staff	01/2003	13.	3/2009	50							
Building America's Future		501(c)(4) not-for-profit corportation	Board of Directors	03/2008	3	12009	83)							
3						-								
4														
S														
6							1							
Report Sources of more than \$5,000 considers affiliation for services provide the reporting period. This includes the corporation, firm, partnership, or other	ompensation received by you or yo led directly by you during any one e names of clients and customers o	year of you directly provided the fany services generating a fee	then Presidential or Pre or payment of more than \$5,00	ation Filer, sidential C	, or andi	<b>V</b> łc <b>e</b>								
Source (Name as	nd Address)		Brief Description of Duties				1							
Examples Doe Jones & Smith, Hometown, State		legalservices					1							
Metro University (client of Doe Jones &	Smith), Moneytown, State	Legal services in connection with university con	istruction				1							
Office of Governor Edward G. Rendell, Pe	ennsylvania	Deputy Chief of Staff					1							
2							1							
3							1							
4							1							
S							1							
6					****		1							
		<u></u>					┛							