SF278 (Rev. 03/2000)
5 C.F.R Part 2634
U.S. Office of Government Ethics

Form Approved:
OMB No. 3209-0001

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Date of Appointment, Candidacy, Election or Nomination (*Month, Day, Year*)	Reporting Status (*Check appropriate boxes*)	Incumbent	Calendar Year Covered by Report	New Entrant, Nominee, or Candidate	Termination Filer	Termination Date (*If Applicable*) (*Month, Day, Year*)
April 27, 2009		☐		☒	☐	

Reporting Individual's Name	Last Name: Heyman	First Name and Middle Initial: David F.
Position for Which Filing	Title of Position: Assistant Secretary for Policy	Department or Agency (*If Applicable*): Department of Homeland Security
Location of Present Office (or forwarding address)	Address (*Number, Street, City, State, and ZIP Code*): 1800 K. Street, N.W. Washington, D.C. 20006	Telephone No. (*Include Area Code*): (202)775-3293
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)	Title of Position(s) and Date(s) Held: Member, Homeland Security Quadrennial Review Advisory Council (QRAC) 12/08 - Present	
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination: Homeland Security & Government Affairs	Do You Intend to Create a Qualified Diversified Trust? ☐ Yes ☒ No

Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Signature of Reporting Individual: David Heyman	Date (*Month, Day, Year*): 4/30/09
Other Review (If desired by agency)	Signature of Other Reviewer	Date (*Month, Day, Year*)
Agency Ethics Official's Opinion On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Signature of Designated Agency Ethics Official/Reviewing Official: Maureen C. Gilmore, Alternate Agency Ethics Official	Date (*Month, Day, Year*): 4/30/2009
Office of Government Ethics Use Only	Signature	Date (*Month, Day, Year*): 5/4/09

Comments of Reviewing Officials (*If additional space is required, use the reverse side of this sheet*)

(*Check box if filing extension granted & indicate number of days* ______) ☐

(*Check box if comments are continued on the reverse side*) ☐

Fee for Late Filing
Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period shall be subject to a $200 fee.

Reporting Periods
Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B--Not applicable.

Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)-- Show any agreements or arrangements as of the date of filing.

Schedule D--The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

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Reporting Individual's Name		Page Number
Heyman, David F.	**SCHEDULE A**	2

Assets and Income — BLOCK A

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual acount of any honoraria over $200 of your spouse).

None ☐

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples	Central Airlines Common				x												x						x										
Examples	Doe Jones & Smith, Hometown, State			x																												Law Partnership Income $130,000	
Examples	Kempstone Equity Fund					x								x										x									
Examples	IRA: Heartland 500 Index Fund							x						x											x								
1	T. Rowe Price Emerging Europe and Mediteranean Fund		X											X								X											
2	T. Rowe Price Equity Index 500 Fund		X											X							X												
3	T. Rowe Price Extended Equity Market Index Fund		X											X								X											
4	T. Rowe Price Latin America Fund		X											X								X											
	Citibank Common Stock	X																		X			X										
5	T. Rowe Price Summit Cash Reserves Fund		X											X							X												
6	Eastern Community College Social Science Association Sterling, VA To be paid by Northern VA Comm. College																															Honrarium * $1000.00 *Payment due 05/09	3/27/09

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Prior Editions Cannot be Used.

Reporting Individual's Name: Haymen, David F.

SCHEDULE A continued

(Use only if needed)

Assets and Income — BLOCK A (None ☐)

Valuation of Assets at close of reporting period — BLOCK B

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust
7	General Motors Common Stock	X														
8	T.Rowe Price Extended Equity Market Index Fund (Traditional IRA)		X											X		
9	T. Rowe Price Spectrum Growth Fund (Rollover IRA)			X										X		
10	T. Rowe Price Spectrum Growth Fund (Traditional IRA)		X											X		
11	T.Rowe Price SEP IRA:															
	Emerging Markets Bond (PREMX)		X											X		
	Small Caps Stock (OTCFX)		X											X		
12	Chevy Chase Bank (Cash Account)				X											
13	Center for Strategic and International Studies, Washington, D.C.															
14	CSIS TIAA-CREF 403(b) Retiremnt.:															
	TIAA Traditional Annuity		X											X		
	CREF Stock		X											X		
15	CREF Global Equities		X											X		
	TIAA Real Estate Account		X											X		
	CREF Inflation-Link Bond Account		X											X		

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
7	General Motors Common Stock				X			X										
8	T.Rowe Price Extended Equity Market Index Fund (Traditional IRA)					X												
9	T. Rowe Price Spectrum Growth Fund (Rollover IRA)					X												
10	T. Rowe Price Spectrum Growth Fund (Traditional IRA)					X												
11	T.Rowe Price SEP IRA:																	
	Emerging Markets Bond (PREMX)					X												
	Small Caps Stock (OTCFX)					X												
12	Chevy Chase Bank (Cash Account)					X												
13	Center for Strategic and International Studies, Washington, D.C.																Salary $132,500	
14	CSIS TIAA-CREF 403(b) Retiremnt.:																	
	TIAA Traditional Annuity					X												
	CREF Stock					X												
15	CREF Global Equities					X												
	TIAA Real Estate Account					X												
	CREF Inflation-Link Bond Account					X												

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name: Heyman, David F.

SCHEDULE A continued

(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
16 S	ACCION International Washington, D.C.																															Salary/ Bonus	
17 S	ACCION International ING 403(b) Retirement Plan: Fidelity VIP Growth Portfolio				X									X							X												
18 S	ING Blackrock Lrg Cap Growth Port. ING T.Rowe Price Divers. Mid Cap Gr				X X									X X							X X												
19 S	The Johns Hopkins University School of Advanced International Studies Wahington, D.C.																															Salary	
20 S	Wachovia: Dryden International Equity Fund Class A		X											X							X												
21 S	Wachovia: Jennison Equity Opportunity Fund Class A		X											X							X												
22 S	Wachovia: Jennison Small Company Fund Class A		X											X								X											
23 S	Morgan Stanley Core Plus Fixed Income Portfolio Class I (MPFIX)		X											X								X											
24 S	Merrill Lynch Nationwide Health Properties (REIT)		X																		X												

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name: Heyman, David F.

SCHEDULE A continued

(Use only if needed)

	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income Type (BLOCK C): Dividends	Rent and Royalties	Interest	Capital Gains	Income Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
	None ☐																																
25 S	Merrill Lynch Ready Asset Trust Fund Money Market Account		X																		X												
26 S	ING Direct Savings Account (Cash)		X																X				X										
27 S	SunTrust Checking Account (Cash)			X																	X												
	SunTrust Money Market Account (Cash)		X																		X												
28	Georgetown University Washington, D.C.																															Salary $6,500	
29	Georgetown University (Pro-rated salary not yet received for previous work during Spring 2009 Semester)		X																		X												
30	Syracuse University Syracuse, New York																															Honorarium $1,000	5/1/08
31	Brookings Institution Washington, D.C.																															Honorarium $1,000	2/24/09
32	Lockheed Martin Bethesda, Maryland																															Consulting Fees $50,000	
33	Sandia Corporation, Livermore, CA (Payment not yet received for previous Board particip. in 12/08 & 4/09 Mtgs.)		X																		X												

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

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Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

Reporting Individual's Name		Page Number
Heyman, David F.	**SCHEDULE B**	6

Part I: Transactions

None [ ]

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
Example:	Central Airlines Common	x			2/1/99			x									
1																	
2																	
3																	
4																	
5																	

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $260; and (2) travel-related cash reimbursements received from one source totaling more than $260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $104 or less. See instructions for other exclusions.

None [ ]

	Source *(Name and Address)*	Brief Description	Value
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$300
1			
2			
3			
4			

Prior Editions Cannot Be Used.

Reporting Individual's Name: Heyman, David F.

SCHEDULE C

Part I: Liabilities

Report liabilities over $10,000 owed to any one cre personal residence unless it is rented out; loans secured any time during the reporting period by you, your by automobiles, household furniture or appliances; and or dependent children. Check the highest amount c liabilities owed to certain relatives listed in instructions. during the reporting period. Exclude a mortgage o See instructions for revolving charge accounts.

None [X]

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples:	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, 123 J St., Washington, DC	Promissory note	1999	10 %	on demand					x						
1																
2																
3																
4																
5																

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g. 401k, deferred compensation; (2) continuation payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits

None [ ]

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	I will receive two payments of $2,500 each for my attendance and participation at Board meetings (held on 12/1-3/2008 and on 04/1-3/2009) by 5/31/09.	Sandia Corporation, Livermore, CA	12/08
	I will resign my position as Adjunct Professor from the university. Pusuant to my employment contract I will receive a final pro-rated payment for my services in the amount of $2,300 by 5/31/09.	Georgetown University School of Foreign Service Washington, DC	01/07
3	I will continue my participation in the CSIS TIAA-CREF retirement plan. No further contributions will be made by the Center for Strategic & International Studies or by me.	Center for Strategic & International Studies Washington, DC	10/01
6			

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Reporting Individual's Name		Page Number
Heyman, David F.	**SCHEDULE D**	8

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.**

None ☐

	Organization (*Name and Address*)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	Center for Strategic and International Studies (CSIS) Washington, D.C.	Non-Profit Policy Research Institution	Director and Senior Fellow Homeland Security Program	10/01	Present
2	Georgetown University Washington, D.C.	Non-Profit University	Adjunct Professor	01/07	Present
3	Lockheed Martin Bethesda, Maryland	Corporation	Consultant	04/08	10/08
4	Sandia Corporation Livermore, CA	Corporation	Chair, External Advisory Board	12/08	Present
5	World Economic Forum Geneva Switzerland	Non-profit International Organization	Member, Global Agenda Council	05/08	Present
6					

Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None ☐

	Source (*Name and Address*)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	Center for Strategic and International Studies (CSIS) Washington, D.C.	Director and Senior Fellow Homeland Security Program
2	Georgetown Unversity Washington, D.C.	Adjunct Professor - Homeland Security
3	Lockheed Martin Bethesda, Maryland	Consultant Services regarding understanding the future of homeland security
4		
5		
6		

Prior Editions Cannot Be Used.