

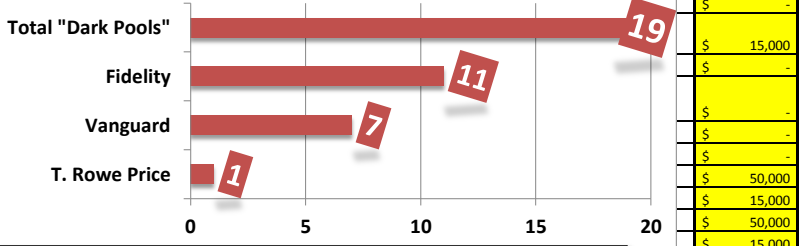
Aneesh Chopra, U.S. Chief Technology Officer, Financial Disclosure, Facebook "dark pools," 2009

No. of Fund Entries: 19
Value/Income: ≤ \$1.1M

No.	Income and Value	J	K	L	M	N	O	P1	P2	P3	P4	
1	401K Principal Bond and Mortgage Separate Account, no ticker symbol											\$ 15,000
2	401K Principal Diversified International Separate Account (no ticker symbol)											\$ 15,000
3	401K Principal Government and HQ Bond Separate Account (no ticker symbol)											\$ 15,000
4	401K Principal Guaranteed Interest Acct (Guaranteed investment contract)											\$ 15,000
5	401K Principal SmallCap S&P 500 Index Separate Account (no ticker symbol)											\$ 15,000
6	401K Principal US Property Separate Account (no ticker symbol)											\$ 15,000
7	Advisory Board Company (ABCO) - Common Stock											\$ 15,000
8	AIG - Principal Protected Curr Notes Publicly Traded Debt Oblig. (MLDHN) (Position sold as of reporting date)	1										\$ -
9	Avatar Capital-Infocruiser, LLC Indirect Investments in Software Dev. Co., based in Arlington, VA											\$ 15,000
10	Avatar Capital-Navic Systems, LLC, LLC sold in 2008	1										\$ -
11	Claymore Securities Defined Port 396 - Publicly Traded Unit Trust (Position sold as of reporting date)	1										\$ -
12	Cohen and Steers Global Income Builder Inc - (INB) - Closed end Fund (Position sold as of reporting date)	1										\$ -
13	Commonwealth of Virginia	1										\$ -
14	Commonwealth of Virginia Retirement System - Defined Benefit Plan											\$ 50,000
15	Continental Investors, LP (Arlington, VA) - A restaurant											\$ 15,000
16	Conus Home Realty (McClean, VA) Loan to the Company											\$ 50,000
17	Davis New York Venture R Fund (NYVRX) (IRA)											\$ 15,000
18	Dell - Common Stock (DELL)											\$ 15,000
19	Fidelity Blue Chip Growth Fund (FBGRX) (IRA)	1										\$ 15,000
20	Fidelity Cash Reserves (FDRXX) (IRA)	1	1									\$ -
21	Fidelity Cash Reserves (FDRXX) (IRA)	1										\$ 15,000
22	Fidelity Diversified International (FDIVX) (IRA)	1										\$ 15,000
23	Fidelity Freedom 2030 Fund (FFFX)	1										\$ 15,000
24	Fidelity Fund (FFIDX) (IRA)	1										\$ 50,000
25	Fidelity Growth Company Fund (FDGRX) (IRA)	1										\$ 15,000
26	Fidelity Independence Fd (FDFFX) (IRA)	1	1									\$ -
27	Fidelity International Value Fund (FIVX) (IRA)	1										\$ 15,000
28	Fidelity Mid Cap Stock Fund (FMCSX)	1	1									\$ -
29	Fidelity OTC Portfolio Fund (FOCPX)	1	1									\$ -
30	General Electric - Common Stock (GE)											\$ 15,000
31	Guardian Whole Life Insurance (Whole Life Insurance)											\$ 50,000
32	Inimitable Pictures (Hamilton, NJ) Holds Options rights for Screenplay											\$ 15,000
33	Intel - Common Stock (INTC)											\$ 15,000
34	iShares Trust MSCI EAFE Emerging Markets Index Fund (EFV)											\$ -
35	iShares Trust MSCI EAFE Index Fund (EFA)											\$ -
36	iShares Trust MSCI EAFE Value Index Fund (EFV)											\$ 15,000
37	Medtronic - Common Stock (MDT)											\$ 15,000
38	ML&Co Bear ARN (BJW) - Publicly Traded Structured Note (Position sold as of reporting date)	1										\$ -
39	ML&Co Curr Rupee USD Notes - Publicly Traded Debt Sec (Note Matured in 2008)	1										\$ -
40	PNC Financial Services (Checking and Savings Account)											\$ 50,000
41	Residential Rental Property Washington, DC											\$ 500,000
42	T. Rowe Price Media & Telecommunications Fund (PRMTX)	1										\$ 50,000
43	Vanguard Mid-Cap Value (VDE)	1										\$ 100,000
44	Vanguard Prime Money Market (VMMXX)	1										\$ 500,000
45	Vanguard Prime Money Market (VMMXX)	1										\$ 15,000

e.g., Fidelity ranked #3, T.Rowe Price ranked #4, and Vanguard #9 in fund purchases of Facebook stock after S.E.C. exemption (Source: Wall Street Journal)

Aneesh Chopra U.S. Chief Technology Officer Facebook "Dark Pool" Holdings, 2009



5 C.F.R. Part 2635: Standards of ethical conduct for employees of the executive branch, Subpart E – Impartiality in Performing Official Duties

"...avoid an appearance of loss of impartiality."

Claims in HealthCare.gov that Facebook social networking software is "open source."

Aneesh Chopra, U.S. Chief Technology Officer, Financial Disclosure, Facebook "dark pools," 2009

No. of Fund Entries: 19
Value/Income: ≤ \$1.1M

46	Vanguard Primecap Fund (VPMCX) (IRA)	1		\$ 15,000																	\$ 15,000	
47	Vanguard Small Cap Value ETF (VBR)	1				\$ 100,000																\$ 100,000
48	Vanguard Total Stock Market ETF (VTI)	1		\$ 5,000																		\$ 5,000
49	Vanguard Value ETF (VTV)	1				\$ 100,000																\$ 100,000
Total		19	13	\$ 365,000	\$ 300,000	\$ 300,000	\$ -	\$ 1,000,000	\$ -													\$ 1,965,000
Cumulative Total				\$ 365,000	\$ 665,000	\$ 965,000	\$ 965,000	\$ 1,965,000	\$ 1,965,000													

Fund Name	No.	Value
T. Rowe Price	1	\$ 50,000
Vanguard	7	\$ 885,000
Fidelity	11	\$ 140,000
Total "Dark Pools"	19	\$ 1,075,000

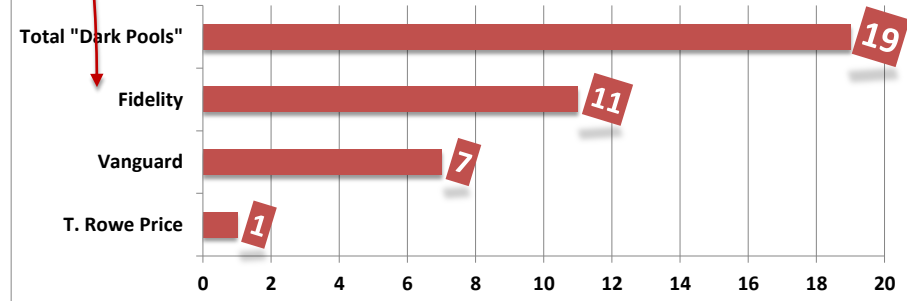
Percentage of Facebook "Dark Pool" Holdings = **0%**

Facebook Shares as of June 30, 2012

RANK	NAME	TOTAL AUM	FB SHARES AS OF JUNE 30
1	Goldman Sachs Asset Management, L.P. (U.S.)	82,329.1	36,634,486.0
2	Baillie Gifford & Company	60,809.1	19,380,440.0
3	Fidelity Management & Research Company	544,656.5	18,774,915.0
4	T. Rowe Price Associates, Inc.	338,744.6	18,663,997.0
5	Morgan Stanley Investment Management, Inc. (U.S.) 54,113.2	54,113.2	16,362,788.0
6	BlackRock Fund Advisors	768,143.8	11,690,656.0
7	Sands Capital Management, LLC	22,157.4	11,649,292.0
8	Jennison Associates, LLC	80,316.4	9,691,825.0
9	The Vanguard Group, Inc.	908,526.5	9,582,480.0
10	Capital Research Global Investors (U.S.)	366,059.2	8,273,200.0

Source: "Who Else Has a Big Bet on Facebook" by Tdis Demos, *The Wall Street Journal*, Aug. 24, 2012

Aneesh Chopra U.S. Chief Technology Officer Facebook "Dark Pool" Holdings, 2009



 = Facebook stock held directly, not even through surrogate funds

Funds that do not qualify under the "safe harbor" mutual fund exemption from disclosure rule include:

- (1) most IRAs;
- (2) funds that issue regular reports where the judge knows or should know the stocks in his portfolio;
- (3) funds with notoriously public activity (e.g., [T. Rowe Price](#) and [Fidelity](#) pre-IPO Facebook investing);
- (4) undisclosed purchases of "dark" instruments which conceal activity subject to transparency laws;
- (5) law firm 401(k) retirement accounts;
- (6) funds where stocks are held in the judge's (or spouse) name — "even one share"; and
- (7) funds where there is an appearance of impropriety.

Sources:

Guide to Judiciary Policy, Ethics & Judicial Conduct, Vol. 2B, Ch. 2, see esp. Section 106, U.S. Courts, United States Department of Justice

<<http://www.uscourts.gov/uscourts/RulesAndPolicies/conduct/Vol02B-Ch02.pdf>>;

See also

<<https://docs.google.com/file/d/0B2SfG2nEsMfqSVQ4dFUyWGNHS0E/edit>> and <<http://www.scribd.com/doc/199638078/Guide-to-Judiciary-Policy-Vol-02-Ethics-and-Judicial-Conduct-Part-B-Ethics-Advisory-Opinions-Ch-02-Published-Advisory-Opinions-accessed-Jan>>.

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THE WALL STREET JOURNAL.

TECHNOLOGY

T. Rowe Price Invests in Facebook

By MARY PILON

Updated April 16, 2011 12:01 a.m. ET

Mutual-fund company T. Rowe Price Group Inc. has invested in Facebook Inc., according to recently released filings, underscoring traditional investment vehicles' growing interest in hot technology companies.

T. Rowe invested a total of \$190.5 million in the social-networking giant, paying \$25 a share for stock it distributed across nearly 20 funds, according to the filings. It isn't immediately clear what value that puts on Facebook.

The Baltimore-based mutual-fund company also disclosed an investment of \$71.8 million in Zynga Inc. and a total stake of about \$35.4 million in Angie's List.

T. Rowe has been more aggressive than most of its mutual-fund peers in building exposure to young technology companies. The investments carry extra risk, because the shares aren't yet publicly traded and can be illiquid. Meanwhile, a rush of interest in the companies has pumped up the companies' valuations, even as they disclose little or no financial data.



T. Rowe Price has invested millions in Facebook, underscoring traditional investment vehicles' growing interest in hot technology companies. Mary Pilon joins digits to discuss.

The investments, however, are a drop in the bucket for T. Rowe, which is trying to manage that risk by keeping the investments to a small percentage of each fund's holdings. None of the funds has even a full percent of its holdings tied up in Facebook, for example. T. Rowe had \$482 billion in assets under management as of the end of 2010.

Investors have been scrambling for a stake in Facebook, which is just seven years old and doesn't publicly report its financial results. In January, Facebook was valued at \$50 billion in a deal that raised \$1.5 billion from investors such as [Goldman Sachs](#) Group Inc. and Russian investment firm

Digital Sky Technologies, as well as some of Goldman's non-U.S. clients. T. Rowe has long taken aim at new companies. Its New Horizons Fund, which doesn't currently have a stake in Facebook but has invested in companies like Twitter Inc. and Angie's List, is the third-oldest fund at the firm. Born in 1960, the fund is known for making longer-term investments in companies at their early stages, including early investments in Starbucks Corp. and Wal-Mart Stores Inc. Other T. Rowe funds were early investors in Google Inc. The fund has had a return of 34.67% in the 2010 calendar year, according to Morningstar Inc.

Recent trades on markets that allow investors to buy and sell shares in private companies have put a market value of around \$75 billion on the company.

The Facebook investment complements other tech holdings at the firm, including a 2009 stake in Twitter and an investment in Groupon Inc. made late last year. In 2007, T. Rowe made an initial investment in Ning and in 2010 invested in YouKu.com.

Among the T. Rowe funds now invested in Facebook are the Science & Technology Fund, New America Growth Fund, Media & Telecommunications Fund, as well as broader funds including the Balanced Fund, Global Stock Fund and the Blue Chip Growth Fund. T. Rowe's funds now have a total investment of \$86.8 million in Groupon, \$66.6 million in Twitter and \$114.7 million in YouKu.com, according to the filings.

T. Rowe declined to comment on how the Facebook shares were purchased. A Facebook spokesman declined to comment.

Geoffrey Fowler contributed to this article.

Corrections & Amplifications

An earlier version of this online article incorrectly said T. Rowe Price invested \$55.4 million in Facebook and \$22 million in Angie's List. The firm invested \$190.5 million and \$35.4 million, respectively, in the two companies.

Write to Mary Pilon at mary.pilon@wsj.com

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Business

Fidelity Invests \$74 Million in Facebook & \$82 Million in Zynga

[Gregory Gomer](#) Jun 2nd 2011 at 2:31 pm

[Facebook](#), [Zynga](#), [Fidelity](#)

+1



According to the [Boston Globe this morning](#), Fidelity Investments made a few large investments in social media during the first quarter of this year. It is reported that William Danoff, the manager of Fidelity's Contrafund - it's biggest and very popular fund - has invested \$74 million in Facebook Class B common shares and \$82 million in Zynga

convertible preferred stock. Danoff has managed the \$80 billion Fidelity Contrafund since September 1990.

In the [article](#), "Vincent Loporchio, a spokesman for Fidelity, said more than 30 of its funds held Facebook shares as of April 30. No fund had more than 0.15 percent of its assets invested in Facebook, said Loporchio, who declined to comment further."

This is certainly no surprise as large funds have been dying to get their hands on the red hot shares of these social media companies, but it does mark a scary trend.

These secondary markets are not regulated at all by the SEC, and most funds have avoided these privately traded stocks for years. These markets can be extremely volatile and guess what is going to be first to crash, once this said "bubble" we are in either pops or deflates?

I certainly like this move, historically these markets have proven to be extremely valuable leading up to the company's IPO's but again can be very risky. The fund also has a \$5.3 billion stake in Apple Inc. and \$3.8 billion in Google Inc.

Having that said, being a Fidelity alum I have money in the Contrafund and dig the investment.

Related Posts



Fidelity Demands Resolution to Nasdaq Trading Glitch

[Sam Dwyer](#)

Cloudant Raises \$12 Million Series B for Database-as-a-Service

[Walter Frick](#)

Bloomberg

Fidelity's Danoff Bets on Facebook, Zynga

By Miles Weiss - Jun 1, 2011

William Danoff, the manager of Fidelity Investment's largest stock fund, established a toehold in the social-networking industry during the first quarter by acquiring shares of [Facebook](#) Inc. and Zynga Inc.

Danoff's Fidelity Contrafund invested \$74 million in Facebook Class B common shares and \$82 million in Zynga convertible preferred stock, according to a quarterly report the fund filed yesterday with the U.S. Securities and Exchange Commission. Danoff, 50, has managed the \$80 billion Fidelity Contrafund since September 1990.

Fidelity and rivals T. Rowe Price Group Inc. and Capital Group Cos. are snapping up stakes in social-networking companies before they go public, after the mutual-fund industry avoided privately traded stocks for years. Boston-based Fidelity and Baltimore's T. Rowe Price may recognize an opportunity as a growing percentage of clients access their fund holdings through Facebook, said [Geoff Bobroff](#), a fund consultant in [East Greenwich, Rhode Island](#).

"We are seeing more of these fund companies embrace and adopt social media as something they are providing to their shareholders," Bobroff said today in an interview. "It's somewhat logical they would think there is value."

[Vincent Loporchio](#), a spokesman for Fidelity, said more than 30 of its funds held Facebook shares as of April 30. No fund had more than 0.15 percent of its assets invested in Facebook, according to Loporchio, who declined to comment further.

T. Rowe, American

T. Rowe Price reported in April that 19 of its mutual funds invested at least \$191 million during the first quarter in Facebook, the [Palo Alto](#), California-based owner of the world's most popular social-networking website. American Funds Growth Fund of America, a \$168 billion stock fund overseen by Los Angeles-based Capital Group, invested \$66.5 million on Feb. 18 in Zynga, the largest maker of games on Facebook, according to an April 29 filing.

[Fidelity Contrafund \(FCNTX\)](#) averaged annual gains of 7 percent over the past 10 years to beat 99

percent of its large-capitalization growth stock peers, according to Chicago-based research firm Morningstar Inc.

Danoff's fund aims to invest in stocks whose value hasn't been fully recognized by the public. At the end of last year, it had about 33 percent of net assets in information technology shares, including a \$5.3 billion stake in [Apple Inc. \(AAPL\)](#) and \$3.8 billion in Google Inc.

The fund acquired 2.97 million Facebook shares during the first quarter for about \$25 each, the same price T. Rowe Price reported paying, according to yesterday's filing. Facebook in January said it had raised \$1.5 billion from investors led by [Goldman Sachs Group Inc. \(GS\)](#), placing a \$50 billion valuation on the closely held business at the time.

Convertible Preferred Shares

Fidelity Contrafund also bought its Zynga convertible preferred stock on Feb. 18, according to yesterday's filing. Zynga held talks in February with T. Rowe Price and Fidelity about selling shares at a price that implied the company's market value was close to \$10 billion, two people familiar with the situation said at the time.

Facebook and Zynga last year laid the groundwork for initial public offerings by imposing fees on employees who sell their shares. Zynga may file for an IPO by the end of June, a person familiar with the plans said last week.

To contact the reporter on this story: Miles Weiss in Washington at mweiss@bloomberg.net

To contact the editor responsible for this story: Christian Baumgaertel at cbaumgaertel@bloomberg.net

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Mutual Funds and Taxes

Distributions from mutual funds occur for several different reasons and are subject to differing tax rates. Many mutual funds bundle most of their payouts into single, net distributions at the end of each year.

Whenever a mutual fund company passes earnings and other payouts to shareholders, it's known as a distribution. The major distribution for most funds comes at the end of each year, when net amounts are calculated—capital gains and other earnings minus the expenses of running the funds.

It's up to you to report mutual fund transactions on your tax return, as well as pay the appropriate taxes on each type of fund income.

Distributions and your taxes

If you hold shares in a taxable account, you are required to pay taxes on mutual fund distributions, whether the distributions are paid out in cash or reinvested in additional shares. The funds report distributions to shareholders on IRS Form 1099-DIV after the end of each calendar year.

For any time during the year you bought or sold shares in a mutual fund, you must report the transaction on your tax return and pay tax on any gains and dividends. Additionally, as an owner of the shares in the fund, you must report and potentially pay taxes on transactions conducted by the fund, that is, whenever the fund sells securities.

If you move between mutual funds at the same company, it may not feel like you received your money back and then reinvested it; however, the transactions are treated like any other sales and purchases, and so you must report them and pay taxes on any gains.

For federal tax purposes, ordinary income is generally taxed at higher rates than qualified dividends and long-term capital gains. The chart below illustrates how each type of mutual fund income is taxed.

Mutual funds in retirement and college savings accounts

Certain accounts, such as individual retirement and college savings accounts, are tax-advantaged. If you have mutual funds in these types of accounts, you pay taxes only when earnings or pre-tax contributions are withdrawn. This information will usually be reported on Form 1099-R.

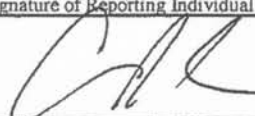
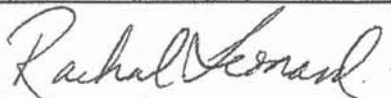
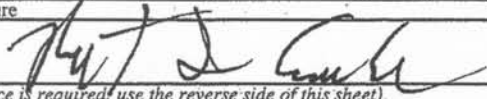
Type of distribution	Definition	Federal income tax treatment
Long-term capital gains	Net gains from the sale of shares held for more than one year; may include some distributions received from investments held by the fund	Subject to the capital gains rates, usually lower than the ordinary income tax rates
Short-term capital gains	Net gains from the sale of shares held for one year or less	May be treated as ordinary dividends, thus taxable at ordinary income tax rates
Qualified dividends	Dividends from common stock of domestic corporations and qualifying foreign corporations	Normally taxed as long-term capital gains (subject to certain holding period and hedging restrictions)
Ordinary or non-qualified dividends	Investment income earned by the fund from interest and non-qualified dividends minus expenses; often used as a blanket term that includes all taxable income except long-term capital gains.	Taxable at ordinary income tax rates
Tax-exempt interest	Some or all interest on certain bonds, usually state or local municipal bonds, designated as tax-exempt	Not taxable for federal tax purposes; may be subject to state and/or local taxes, depending on your resident state and the type of bonds purchased
Taxable interest	Interest on fixed-income securities	Taxable at ordinary income tax rates
Federal interest	Interest on federal debt instruments	Taxable at ordinary federal income tax rates, but exempt from state income tax
Required distributions	Non-investment income required to be distributed by the fund (such as foreign currency gains that are taxed as ordinary income when distributed)	Taxed as ordinary income
Return of capital	A portion of your invested principal returned to you	Not taxable

When there is no distribution

If a mutual fund does not have any capital gains, dividends, or other payouts, no distribution may occur. There may also be a non-taxable distribution. Shareholders will not be required to pay taxes if the fund

“My funds are doing great—I must owe a lot in taxes.”

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Date of Appointment, Candidacy, Election or Nomination (Month, Day, Year)	Reporting Status (Check appropriate boxes) <input type="checkbox"/> Incumbent <input checked="" type="checkbox"/> New Entrant, Nominee, or Candidate	Calendar Year Covered by Report	Termination <input type="checkbox"/> Filer	Termination Date (If Applicable) (Month, Day, Year)	Fee for Late Filing Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period shall be subject to a \$200 fee.
Reporting Individual's Name	Last Name Chopra		First Name and Middle Initial Aneesh		
Position for Which Filing	Title of Position Chief Technology Officer (Associate Director for Technology)		Department or Agency (If Applicable) Office of Science and Technology Policy		
Location of Present Office (or forwarding address)	Address (Number, Street, City, State, and ZIP Code) 1111 East Broad Street #4076, Richmond, VA 23219		Telephone No. (Include Area Code) 804-692-2557		
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)	Title of Position(s) and Date(s) Held				
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination Commerce, Science & Transportation		Do You Intend to Create a Qualified Diversified Trust? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Certification	Signature of Reporting Individual		Date (Month, Day, Year)		
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.			May 12, 2009		
Other Review (If desired by agency)	Signature of Other Reviewer		Date (Month, Day, Year)		
Agency Ethics Official's Opinion	Signature of Designated Agency Ethics Official/Reviewing Official		Date (Month, Day, Year)		
On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).			May 12, 2009		
Office of Government Ethics Use Only	Signature		Date (Month, Day, Year)		
			May 15, 2009		
Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)					
(Check box if filing extension granted & indicate number of days _____) <input type="checkbox"/>					
(Check box if comments are continued on the reverse side) <input type="checkbox"/>					
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OGE Use Only					
MAY 14 2009					

Reporting Individual's Name Aneesh Chopra	SCHEDULE A	Page Number 2
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Assets and Income <small>BLOCK A</small>	Valuation of Assets at close of reporting period <small>BLOCK B</small>										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. <small>BLOCK C</small>																			
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 in income during the reporting period, together with such income. For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than \$1,000 (except report the actual amount of any honoraria over \$200 of your spouse). None <input type="checkbox"/>											Type		Amount								Date (Mo., Day, Yr.) Only if Honoraria									
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)		\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000
Examples	Central Airlines Common												x																	
	Doe Jones & Smith, Hometown, State												x								Law Partnership Income \$130,000									
	Kempstone Equity Fund												x																	
	IRA: Heartland 500 Index Fund												x																	
1	Vanguard Prime Money Market (VMMXX)												x																	
2	Vanguard Total Stock Market ETF (VTI)												x																	
3	Vanguard Value ETF (VTV)												x																	
4	Avatar Capital-Navic Systems, LLC LLC Sold in 2008										x										x									
5	Vanguard Mid-Cap Value (VOE)												x																	
6	Vanguard Small Cap Value ETF (VBR)												x																	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name
 Aneesh Chopra

SCHEDULE A continued
 (Use only if needed)

Page Number
 3

BLOCK A Assets and Income		BLOCK B Valuation of Assets at close of reporting period										BLOCK C Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.										Date (Mo., Day, Yr.) Only if Honoraria										
												Type					Amount						Other Income (Specify Type & Actual Amount)									
None <input type="checkbox"/>		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000		\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000
		1	Corus Home Realty (McLean, VA) Loan to the Company		X															X			X									
2	PNC Financial Services (Checking, Savings Account)		X																		X											
3	Residential Rental Property - Washington, DC					X											X									X						
4	TRowePrice Media & Telecommunications Fund (PRMTX)		X										X			X			X			X										
5	IRA: Fidelity Fund (FFIDX)		X										X			X			X			X										
6	Guardian Whole Life Insurance (Whole Life Insurance)		X																		X											
7	Inimitable Pictures (Hamilton, NJ) Holds Options rights for Screenplay	X																		X												
8	IRA: Fidelity Freedom 2030 Fund (FFFEX)	X											X			X			X		X											
9	IRA: Fidelity Growth Company Fund (FDGRX)	X											X							X												

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Reporting Individual's Name
 Aneesh Chopra

SCHEDULE A continued
 (Use only if needed)

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Assets and Income BLOCK A		Valuation of Assets at close of reporting period BLOCK B										BLOCK C										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.	Date (Mo., Day, Yr.) Only if Honoraria									
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type	Amount														
None <input type="checkbox"/>																Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	
1	IRA: Fidelity International Value Fund (FIVLX)	X											X						X													
2	IRA: Spartan US Equity Index Investor Class Fund (FUSEX)	X											X		X			X		X												
3	Continental Investors, LP (Arlington, VA) - A restaurant	X																													Passive income \$3,500.00	
4	iShares Trust MSCI EAFE Index Fund (EFA)	X											X		X					X												
5	iShares Trust MSCI Emerging Markets Index Fund (EEM)	X											X		X					X												
6	iShares Trust MSCI EAFE Value Index Index (EFV)	X											X		X					X												
7	Advisory Board Company (ABCO) -Common stock	X																	X													
8	IRA: Vanguard Prime Money Market Fund (VMMXX)	X											X						X													
9	IRA: Fidelity Cash Reserves(FDRXX)	X											X						X													

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Reporting Individual's Name
 Aneesh Chopra

SCHEDULE A continued
 (Use only if needed)

Page Number
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BLOCK A	BLOCK B										BLOCK C																					
	Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																					
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
None <input type="checkbox"/>																																
1 S	401K: Principal SmallCap S&P 600 Index Separate Account - (No Ticker symbol)	X											X							X												
2	IRA: Vanguard Primecap Fund (VPMCX)	X											X							X												
3 S	401K: Principal Diversified International Sepàrate Account - (no ticker symbol)	X											X							X												
4	IRA: Fidelity Diversified International Fund (FDIVX)	X											X							X												
5	Dell - Common Stock (DELL)	X																		X												
6	General Electric - Common Stock (GE)	X																		X												
7	Intel - Common Stock (INTC)	X																		X												
8	IRA: Fidelity Mid Cap Stock Fund (FMCSX)	X											X							X												
9	IRA: Fidelity Independence Fd (FDFFX)	X											X							X												

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SCHEDULE A continued

(Use only if needed)

BLOCK A Assets and Income		BLOCK B Valuation of Assets at close of reporting period										BLOCK C Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.										Date (Mo., Day, Yr.) Only if Honoraria											
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Funds	Excepted Trust	Qualified Plans	Dividends	Rental Income Royalties	Interest	Capital Gains	None (or less than \$201)		\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$500,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)
1	Medtronic - Common Stock (MDT)		X																X														
2	IRA: Fidelity OTC Portfolio Fund (FOCPX)	X												X						X													
3	IRA: Fidelity Cash Reserv. (FDRXX)		X											X						X													
4	Claymore Securities Defined Port 398 - Publicly Traded Unit Trust (Position sold as of Reporting date)	X												X	X				X	X													
5	Cohen and Steers Global Income Builder, Inc. - (INB) - Closed end Fund (Position sold as of Reporting date)	X												X	X				X	X													
6	AIG - Principal Protected Curr Notes Publicly Traded Debt Oblig. (MLDHN) (Position Sold as of reporting date)	X															X	X	X	X													
7	ML&Co Curr Rupee USD Notes - Publicly Traded Debt Sec (Note Matured in 2008)	X																	X	X				X									
8	ML&Co Bear ARN (BJW) - Publicly Traded Structured Note Position sold as of reporting date)	X																	X	X				X									
9	Commonwealth of Virginia	X																														Salary Through 04/09 \$201,060.00	

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Reporting Individual's Name
 Aneesh Chopra

SCHEDULE A continued
 (Use only if needed)

BLOCK A Assets and Income		BLOCK B Valuation of Assets at close of reporting period										BLOCK C Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																						
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type	Amount									Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria						
None <input type="checkbox"/>																Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000				
1	Commonwealth of Virginia Retirement System - Defined Benefit Plan		X																	X														
2																																		
3																																		
4																																		
5																																		
6																																		
7																																		
8																																		
9																																		

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

Reporting Individual's Name	SCHEDULE B	Page Number
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Part I: Transactions

None

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not

report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

1	2	3	4	5	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)																
						Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture					
					Example: Central Airlines Common	x			2/1/99				x													
1																										
2																										
3																										
4																										
5																										

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$260; and (2) travel-related cash reimbursements received from one source totaling more than \$260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by

the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$104 or less. See instructions for other exclusions.

None

1	2	3	4	5	Source (Name and Address)	Brief Description	Value
					Examples: Nat'l Assn. of Rock Collectors, NY, NY Frank Jones, San Francisco, CA	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty) Leather briefcase (personal friend)	\$500 \$300
1							
2							
3							
4							
5							

Reporting Individual's Name Aneesh Chopra	SCHEDULE C	Page Number 9
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Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None

Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x)																
					\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000						
Examples: First District Bank, Washington, DC John Jones, 123 J St., Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.																	
	Promissory note	1999	10%	on demand																	
1 Suntrust Mortgage	mortgage on rental property, DC	2005	5.75%	30 yrs																	
2 Suntrust Mortgage	co-signer on sister's rental property, DC	2004	4.75%	30 yrs																	
3																					
4																					
5																					

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g. 401k, deferred compensation); (2) continuation payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None

Example:	Status and Terms of any Agreement or Arrangement	Parties	Date
	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	Participates in a defined benefit plan (since Jan 2006) that is estimated to be worth \$24k, which will be rolled over to an existing IRA account at the termination of employment	Commonwealth of Virginia, Richmond, VA	1/06
2			
3			
4			
5			
6			

Reporting Individual's Name Aneesh Chopra	SCHEDULE D	Page Number 10
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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY Doe Jones & Smith, Hometown, State	Non-profit education Law firm	President Partner	6/92 7/85	Present 1/00
1	Commonwealth of Virginia, Richmond, VA (see appendix at p. 11 for ex officio positions)	State Government	Secretary of Technology	1/06	4/09
2	Northern Virginia Conservation Trust, Arlington, VA	Non-profit land conservation	Board Member (Unpaid)	12/04	Present
3					
4					
5					
6					

Part II: Compensation In Excess Of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any

corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None

	Source (Name and Address)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services Legal services in connection with university construction
1	Commonwealth of Virginia, Richmond, VA	state government
2		
3		
4		
5		
6		

APPENDIX to SCHEDULE D, PART I

Ex officio positions held through service as Secretary of Technology, Commonwealth of Virginia

Organization	Type	Position Held	From	To
Information Technology Investment Board	State Government	Board Member (Unpaid)	1/06	4/09
Innovative Technology Authority/Center for Innovative Technology Board of Directors	State Government	Board Member (Unpaid)	1/06	4/09
Virginia Health Information Technology Council	State Government	Co-chair (unpaid)	7/06	4/09
Secure Commonwealth Panel	State Government	Panel Member (Unpaid)	1/06	4/09
Virginia Geographic Information Network Advisory Board	State Government	Board Member (Unpaid)	1/06	4/09
Virginia Wireless E-911 Services Board	State Government	Board Member (Unpaid)	1/06	4/09
Virginia Research and Technology Advisory Commission	State Government	Commission Member (Unpaid)	1/06	4/09
Modeling and Simulation Advisory Council	State Government	Council Member (Unpaid)	7/07	4/09
Council on Technology Services	State Government	Council Member (Unpaid)	1/06	7/08
State Interoperability Executive Committee	State Government	Committee Member (Unpaid)	1/06	4/09
Virginia Philpott Manufacturing Extension Partnership	State Government	Board Member (Unpaid)	1/06	4/09
Public-Private Partnership Advisory Commission	State Government	Commission Member (Unpaid)	1/06	4/09
Advisory Council on Career and Technical Education	State Government	Council Member (Unpaid)	1/06	4/09
Productivity Investment Fund Oversight Board	State Government	Chair (Unpaid)	10/06	4/09
Governor's Energy Policy Advisory Council	State Government	Council Member (unpaid)	12/07	4/09
Chesapeake Crescent Initiative Steering Committee	Non-Profit Economic Development	Committee Member (Unpaid)	2/08	4/09
Council on Virginia's Future	State Government	Board Member (Unpaid)	1/06	4/09
Carespark	Non-profit Health Information	Ex-Officio Board Member	7/06	4/09
Aerospace Advisory Council	State Government	Council Member (Unpaid)	7/07	4/09