5 C.F.R at 2634.

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

OMB No. 3209-0001

U.S. Office of Government Ethics						
Date of Appointment, Candidacy, Election or Nomination (Month, Day, Year)	Reporting Status	Calendar Year Imbent Covered by Report	New Entrant, Nominee.	Termination	Termination Date (If Appli- cable) (Month, Day, Year)	Fee for Late Filing Any individual who is required to
or Normation (Worth, Day, Year)	apprapriate boxes)	Insent Covered by Report	X or Candidate	Filer		file this report and does so more than
			<u></u>			30 days after the date the report is
Reporting Individual's Name	Last Name		First Name and Middl	le Initial		required to be filed, or, if an extension is granted, more than 30 days after the
	CARTER		Ashton B.			last day of the filing extension period
73 C 77.11 1 77.11	Title of Position		Department or Agency	v (If Applicable)		shall be subject to a \$200 fee.
Position for Which Filing	Logistics	se for Acquisition, Technology	Department of De	fense		Reporting Periods
	Address (Number, Street, Cit	v. State. and ZIP Code)		Telephone No. (Inc	clude Area Code)	Incumbents: The reporting period is
Location of Present Office (or forwarding address)	Harvard Univer	sity, Cambridge	MASS	: .	000	the preceding calendar year except Part II of Schedule C and Part I of
			-3800	617-495-1	.000	Schedule D where you must also
Position(s) Held with the Federal Government During the Preceding	Title of Position(s) and Date(s) Held				include the filing year up to the date you file. Part II of Schedule D is not
12 Months (If Not Same as Above)	None	-				applicable.
						- The same of the
Presidential Nominees Subject to	Name of Congressional Com	mittee Considering Nomination	Do You Intend to Crea	ate a Qualified Diversi	fied Trust?	Termination Filers: The renorting period begins at the end of the period
Senate Confirmation	Senate Armed Services	Committee	Yes	X No		covered by your previous filing and ends
			Yes	I No		at the date of termination. Part II of Schedule D is not applicable.
Certification	Signature of Reporting Indivi	dual		Date (Month, Day,	, Year)	of Schedille D is not applicable.
CERTIFY that the statements I have made on this form and all attached			1			Nominees, New Entrants and Candidates for President and Vice
schedules are true, complete and correct	1 1 2 11 -4	- 11 27		MAR 1	R 2009	President:
to the best of my knowledge,	1 Cart	-/J. Can			**	Schadule A. The reporting period for
	Signature of Other Reviewer	·		Date (Month, Day,	Year)	Schedule A. The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar
Other Review				3/19	109	year up to the date of filing. Value
(If desired by				21.1	[0 1	assets as of any date you choose that is
адепсу)	7					within 31 days of the date of filing.
Agency Ethics Official's Opinion On the basis of information contained	Signature of Designated Age	ncy Ethics Official/Reviewing O)fficial	Date (Month, Day,	Year)	Schedule B-Not applicable
in this report, I conclude that the filer is				Ì		Schedule C. Part I (Liabilities)
in compliance with applicable laws and regulations (subject to any comments						The reporting period is the preceding calendar year and the current calendar
in the box below).						year up to any date you choose that is
Office of Government Ethics	Signature	1		Date (Month Day	Year)	within 31 days of the date of filing.
Use Only	1/4/	A Cuent		3/24/	109	Schedule C Part II (Ameements or
Comments of Reviewing Officials (If addit	tonal space is required, use the	reverse side of this sheet)		1 7 - 4/	9_1	Arrangements)— Show any agreements or arrangements as of the date of
				0 : 1:		filing.
		(Check be	ox if filing extension granted	& indicate number of t	aays	Schedule D-The reporting period is
						the preceding two calendar years and the current calendar year up to the
						date of filing.
						Agency Use Only
			, mg			OGE Use Only
			(Check box If comm	nents are continued on	ine reverse side)	
Supersedes Prior Editions, Which Cannot E	Be Used.		278-112	Form I	Designed in Microsoft Excel 200	00 NSN 7540-01-070-8444

U.S. Office of Government Ethics		
Reporting Individual's Name		Page Number
CARTER, Ashton B.	SCHEDULE A	1
Assets and Income	Valuation of Assets at close of Income: type and amount. If "None (or less than \$201)" other entry is needed in Block C for that item.	is checked, no
	reporting period	
BLOCK A	BLOCK B BLOCK C	
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 in income during the reporting period, together with such income. For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spour report the source but not the amount of earned income of more than \$1,000 (except report the actual acount of any honoraria over \$200 of your spouse).	### \$1.5001) 00 000 000 9,000 9,000 9,000 9,000 \$5.500,000 \$5.500,000 \$7.5000,000 \$7.5000,000 \$7.5000,000 \$7.5000,000 \$7.500	Other Income (Mo., Day, Yr.) (Specify Yr.) Type & Actual Amount) Only if Honoraria
Central Airlines Common Doe Jones & Smith, Hometowa, State Kempstone Equity Fund IRA: Heartland 500 Index Fund		Law Perthership Income \$130,000
1		
2		
3		
4		
5 Bank of America Checking Account		
6		
* This category applies only if the asset/income mark the other higher categories of value, as appr	is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the ropriate.	e spouse or dependent children,

Prior Editions Cannot be Used.

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U.S. Office of Government Ethics

Reporting Individual's Name Page Number **SCHEDULE A continued** 2 CARTER, Ashton B. (Use only if needed) Assets and Income Valuation of Assets Income: type and amount. If "None (or less than \$201)" is checked, no at close of other entry is needed in Block C for that item. reporting period BLOCK A BLOCK B BLOCK C Type Amount Other Date Cacepted larestment frand Income \$25,000,001 SS0,000,000 (Mo., Day. \$5,000,001 - \$25,000,000 \$1,000,001 - \$ 5,000,000 None (or less than \$201) (Specify Yr.\$250,001 - \$500,000 \$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000 8100,001 - 51,006,000 Type & \$100,001 - \$250,000 Rent and Royatties \$50,001 - \$100,000 Over \$1,000.000 * Actual Only if Over \$50,000,000 SS0,001 - S100,000 \$15,001 - \$50,000 Over \$1,000,000* \$1,001 - \$15,000 Ourliffed Trust Over \$5,000,000 Amount) **Excepted Trust** Honoraria 55,001 - \$15,000 \$2,501-\$5,000 \$1,001 - \$2,500 Capital Calin \$201-\$1,600 Dividends None Harvard University Salary Cambridge, MA 02138 \$238,235 2 Global Technology Partners, LLC Distribution Boston, MA 02110 \$54,797 80 (consulting firm) \$47,000 09 3 The MITRE Corporation Trustee & 7515 Colshire Drive Consulting fees McLean, VA 22102-7508 \$65,500 4 Goldman Sachs Consulting fee One New York Plaza, 40th floor New York, NY 10004 \$20,000 5 Massachusetts Institute of Technology Advisory Board Lincoln Laboratory - 244 Wood St. Jun-11-08 fee Lexington, MA 02420 \$1,000 7 Brookings Institution Washington, DC 20036-2103 Managing Nuclear Operations 8 Brookings Institution Washington, DC 20036-2103 Ballistic Missile Defense 9 (values of books in items 7 and 8 are not readily ascertainable) This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

eporting Individual's Name								SI	Ή	ED	TII	LE.	A	COY	ıtir	1116	ed.										Page Number	
CARTER, Ashton B.				-								nly i															3	
Assets and Income			Val	at c	lose	of											and a								\$20	01)";	is checked, no	
BLOCK A			14		ing p OCK		1											•		I	BLOC	КC						
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1																												
2 Center for a New American Security, Inc. 1301 Pennsylvania Ave. NW, suite 403 Washington, DC 20004																											Essay fee \$10,000	Jun-30
3																												
4	1																											
Aspen Strategy Group (Aspen Institute) Washington, DC first installment on paper for Aug-08 mtg																											Essay fee \$500	Aug-08
3																												
 Center for Strategic & Budgetary Assess. Washington, DC SecDef fellows Jul-09-08 presentation 																											Honorarium \$600	Jul-18-
8 The Analysis Group - 300 North Washington St., suite 101 - Falls Church VA 22046 Jan 31-08 USAF CP conf.																											Speaking Fee \$2,000	Jul-21-

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Jul-21-08

5 C.F.R Part 2634 U.S. Office of Government Ethics	-																									
Reporting Individual's Name							SC	H	EDI	ULI	E A	co	ntin	ue	d										Page Number 4	
CARTER, Ashton B.								(Use	only	y if	need	ed)									,			,	
Assets and Income		,		it clos	e of		;	·		•	I	ncon ther e	ne: ty	pe a	ind an	noun	it. I	f "N	one or th	(or l	less tem.	than	\$20)1)"	is checked, no	
BLOCK A				orting BLOC		·a.												BL	ock	С						
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Raytheon Dallas, TX Oct-20-08 Service: Critical Analysis																									\$10,000 Meeting Fee	
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* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

Reporting Individual's Name										SCI		DI	TT T	7 A			in					3000 3 200	**************************************						Page Number	
CARTER, Ashton B.										9C1								lea												5
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Assets and Income		-			repo	clos rting	se of per	f	ts			,		I	nco	me:	typ ry is	e an	d an	noun in B	t. If	C f	or th	at ite	ess t	han	\$20	1)" i	is checked, no	
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None		None for less than \$1,000 \$1,001 - \$15,000	\$1,001 ~ \$15,000 \$15,001 ~ \$50,000	\$50,001 - \$100,000	\$300,5001 - \$250,000	\$250,001 - \$500,000	\$500,004 - \$1,000,000	Over \$1,000.000 *	Statistics satisfied as	\$5,000,001 - \$25,000,000 \$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted investment frand	Excepted Trust	Oughted Frust	Dividends	Arent and noyather	furerest frame	None (or less than \$201)	1 200	\$1,001 - \$2,500	\$2,501 - \$5,600	0		\$50,001 - \$100,000	0	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., D Yr.) Only Honora
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1 The Boeing Company (50 shares, owned by child 1)		,	<												x			×												
2 The Boeing Company (50 shares, owned by child 2)		,	۲												х			×	(
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* This category applies only if the asset/inc	come is s	olely	that	of the	filer	's spo	ouse	or de	pen	dent c	hildi	en.	if the	asse	t/inc	ome	is ei	ther	that	of the	file	rorj	ointl	y held	d by	the f	iler	with	the spouse or de	pendent chil

Reporting individuals Name											S	CF	ΙEΊ	DU	LI	E A	7			<u> </u>							·			Pag	e Number 6		•
Assets and Income BLOCK A			<u>,</u>		porti	lose	of erio	•	3											amo ed in			C f		hat i			1 \$2	01)"	is c	checked, no		
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 in income during the reporting period, together with such income. For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than \$1,000 (except report the actual acount of any honoraria over \$200 of your spouse).	None (or less than \$1,000)	\$1,001 - \$15,000	S S H D S S S S S S S S S	\$50,001 - \$100,000	\$140,001 - \$20,000 \$250,001 - \$20,000	#		\$1,000,001 \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	fangrad Investment Fund	Excepted Trust	Ogalified Francis		Rent and Royalfies		Capital Gains	None (or less than \$201)	\$201-\$1,000	\$1,001 - \$2,500	\$2,503 - \$5,040		_	oun	S100,001 - S1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000		Other Income (Specify Type & Actual Amount)		Date (Mo., Day, Yr.) Only if Honoraria
Examples Doe Jones & Smith, Hometown, State Kempstone Equity Fund IRA: Heartland 500 Index Fund	-			×	-				_ _ _	-		*		, ,	×						X		x	111						Law	Partnership income \$130,0	30	
1 Bank of America Corp.		×												7	X					×													
2 BB&T Corp		×												,	x					×													
3 Franklin Resources inc.		x		ı										3	x				x														
4 Bank of New York Mellon Corp.		×												7	X				x														
5 Capital One Financial Corp.		×												7	×				X													T	
e ConocoPhillips		×												7	x				X														
* This category applies only if the asset/income is mark the other higher categ	solely	that	of th	e fil	er's s	pous	e or d	eper	ndent	chil	dren	. If	he as	set/i	nco	me i	s oit	her	that	of the	e file	er or	rjoi	ntly	held	by t	he fil	er w	ith th	ne sp	ouse or depen	den	t children,

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U.S. Office of Government Ethics Reporting Individual's Name Page Number SCHEDULE A continued 7 CARTER, Ashton B. (Use only if needed) Assets and Income Valuation of Assets Income: type and amount. If "None (or less than \$201)" is checked, no at close of other entry is needed in Block C for that item. reporting period BLOCK A BLOCK B BLOCK C Amount Type Other Date kreepfod Insestment Firm Income (Mo., Day, Dep.(000,0001 - \$50,000,000) \$5,000,001 - \$25,000,000 \$1,000,001 - \$ 5,000,000 None (or less than \$201) Yr.) (Specify \$500,001 \$1,000,000 Type & \$250,001 - \$500,000 Rent and Royalties \$50,001 - \$100,000 Over \$1,000.000 * \$50,001 - \$100,000 Actual Only if Over \$50,000,000 \$15,001 - \$50,000 \$15,001 \$50,000 Over \$1,000,000* \$1,001 - \$15,000 Over \$5,000,000 Ourliffed Trust Amount) Honoraria **Excepted Trust** \$5,001 - \$15,000 \$1,001 - \$2,500 Capital Colles Dividends Interest None Cisco Systems, Inc. X 2 Chevron Corp. X 3 E.I. DuPont de Nemours & Co. х 4 Dell Inc. 5 Diageo PLC ADR 6 Diamond Offshore Drilling 7 Dover Corp. 8 Eaton Corp. Х Х 9 General Electric Co. This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children.

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Reporting Individual's Name	CCUET	DULE A continued	Page Number
CARTER, Ashton B.			8
OARTER, ASHOR B.	(Us	se only if needed)	
Assets and Income	Valuation of Assets	Income: type and amount. If "None (or less than \$201)"	is checked no
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	reporting period	one one, is needed in Store of the man roun	
BLOCK A	BLOCK B	BLOCK C	
		Type Amount	
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None .	\$1,001 \$1,001 \$15,60 \$250,00 \$250,0 \$250,0 \$1,060 \$3,000 \$5,000 \$	Excepted 7 Qualifical Dividends Real and 1 Interest Captial Grant Captial Capti	
i Intel Corp.	×	x	
2 Minois tool Works	×	X X	
3 Johnson & Johnson	X	X X	
4 Lincoln National Corp.	x	X X	
5 3M Company	X	X X	
6 Molex Inc.	x	X X	
7 Merck & Co. Inc.	X	×	
8 Microsoft Corp.	X	x	
9 M&T Bank Corp.	X	X X	
* This category applies only if the asset/income	is solely that of the filer's spouse or dependent children	n. If the asset/income is either that of the filer or jointly held by the filer with	the spouse or dependent children,

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Reporting Individual's Name CARTER, Ashton B.	SCHEDULE A continued (Use only if needed)	Page Number 9
	(Ose only it needed)	
Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B Income: type and amount. If "None (or less than \$201)" is other entry is needed in Block C for that item. BLOCK C	s checked, no
	Type Amount	
		Other Date Income (Mo., Day. (Specify Yr.) Type & Actual Only if
None	Neue (or less than \$1.00 \$1,001 - \$15,000 \$15,000 - \$100,000 \$250,001 - \$100,000 \$250,001 - \$500,000 \$250,001 - \$500,000 \$250,001 - \$25,000,000 \$250,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000 \$25,001 - \$15,000 \$25,001 - \$15,000 \$25,001 - \$15,000,000 \$25,001 - \$15,000,000 \$25,001 - \$15,000,000 \$25,001 - \$15,000,000 \$25,001 - \$15,000,000 \$25,001 - \$15,000,000 \$25,001 - \$15,000,000 \$25,001 - \$15,000,000 \$25,001 - \$15,000,000 \$25,001 - \$15,000,000 \$25,001 - \$15,000,000 \$25,001 - \$15,000,000 \$25,001 - \$15,000,000 \$25,001 - \$15,000,000 \$25,001 - \$15,000,000 \$25,001 - \$15,000,000 \$25,001 - \$15,000,000	Actual Only if Honoraria
		
1 Nokia Corp.		
2 Procter & Gamble Co.	X X X	
3 Parametric Technology Corp.	X X	
4 Prudential Financial Inc.	X X X	
DWS Tax-Exempt Cash Institutional Shares		
6 Staples Inc.		
7 Seagate Technology		
8 Symantec Corp.		
9 Talisman Energy Inc.		
 This category applies only if the asset/income is mark the other higher categ 	solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the	ne spouse or dependent children,

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5 C.F.R Part	2634

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Reporting Individual's Name CARTER, Ashton B.	SCHEDULE A continued	Page Number 10
	(Use only if needed)	makaning
Assets and Income	Valuation of Assets at close of reporting period Income: type and amount. If "None (or less than \$201)" in the content of the	is checked, no
BLOCK A	BLOCK C BLOCK C	
None	1,001-\$15,000	Other Income (Mo., Dav, Yr.) Type & Actual Amount) Only if Honoraria
1 Unilever NV	X X X	
2 Verizon Communications Inc.		
3 Walgreen Co.		
4 Waters Corp.	X X X	
5 American Express Co.		
6 Apple, Inc.	X X X X X X X X X X X X X X X X X X X	
7 Accenture Ltd.		
s Allergan Inc.	X X X	
9 Ametek Inc.		
* This category applies only if the asset/income i mark the other higher categ	solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with t	the spouse or dependent children,

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Rep	orting Individual's Name									S	CH	Œ	DU	JLE	E A	co	nt	inu	ed							PORTOCO				Page Number		
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	Assets and Income			```		eporti	lose ing p	of eriod							II	nco ther	me:	typery is	e and	d am ded i	oun n Bl	t. If ock	C fo	or th	at ite	ess ti	nan S	5201	I)" i	is checked, no		
	BLOCK A	_				BL	OCK	В					283g		***		Гуре	······································	T.		*********		BLC		C							
	None	None (or less than \$1,003)	\$1,001 - \$15,000	\$15,(f)] - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,40 0 0 \$250,001 - \$500,000	000,000,73 - 100,002.	Over \$1,606,000 *	\$1,000,001 - \$3,000,000	\$5,000,001 - \$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Excepted investment fund	Excepted Trust	Cimined Para		Inferent	valus	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$7,501 - \$5,000			\$50,001 - \$100,000	\$100,001 -\$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)		Date Mo., Day, Yr.) Only if Honoraria
1	Comcast Corp. Special CL A		×												7	(-		×													
	Coach Inc.		x																×													
3	Cognizant Technology Solutions CL A		X																Х													
4	Citrix Systems Inc.		х		***************************************							100000000000000000000000000000000000000							х													
5	Danaher Corp.		x												,	C			х													
6	Genentech Inc.		х																Х													
7	DaVita Inc.		x																×													
8	Electronic Arts Inc.		X		***************************************														X												Total Control	
	FMC Technologies Inc.		x																X													
	This category applies only if the asset/income	is solely	tha	t of t	he fi	íler's	spous	e or c	lepe	nden	t chí	ldrer	n. II	f the a	isset	inco	me i	is eiti	ner ti	at of	the	filer	or jo	intly	held	by t	he fil	er w	ith t	the spouse or depo	nder	nt children,

	Office of Government Ethics		
	orting Individual's Name	SCHEDULE A continued	Page Number
CA	RTER, Ashton B.	(Use only if needed)	1
	Assets and Income	Valuation of Assets at close of reporting period Income: type and amount. If "None (or less than \$201)" other entry is needed in Block C for that item.	' is checked, no
	BLOCK A	BLOCK B BLOCK C	
	None	State for less than \$1,001. S1,001 - \$15,000	Other Income (Mo., Day, Yr.) Type & Actual Amount) Only if Honoraria
1	Google Inc.		
2	IDEXX Laboratories Inc.	X X	
3	Intuitive Surgical Inc.	X X	
4	Mastercard Inc.	X X X	
5	MICROS Systems Inc.	X X	
6	Medtronic Inc.	X X X	
7	Millipore Corp.	X X X X X X X X X X X X X X X X X X X	
	NetApp Inc.		
9	PepsiCo. Inc.		
	This category applies only if the asset/incourk the other higher categ	ome is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with	h the spouse or dependent children

5 C.F.R Part 2634 U.S. Office of Government Ethics			
Reporting Individual's Name	CCIII	DULE A continued	Page Number
CARTER, Ashton B.		Jse only if needed)	13
Assets and Income	Valuation of Assets at close of reporting period	s ehecked, no	
BŁOCK A	BLOCK B	BLOCK C	
None	None for less than \$1,001. \$1,001 - \$15,000 \$15,001 - \$10,000 \$50,001 - \$10,000 \$150,001 - \$500,000 \$250,001 - \$500,000 \$500,001 - \$500,000 \$500,001 - \$500,000 \$500,001 - \$25,000,000 \$55,000,001 - \$25,000,000 \$55,000,001 - \$25,000,000	Excepted Trust Chaddified Trust Dividends Rest and Regattes Capital Galus None (or less than \$201) \$201 - \$1,001 - \$2,500 \$1,001 - \$2,500 \$5,001 - \$15,000 \$5,001 - \$15,000 \$5,001 - \$1500,000 \$1,001 - \$5,000,000*	Other Income (Mo., Dav. Yr.) Type & Actual Amount) Only if Honoraria
1 PetSmart Inc.	×	X X	
2 Parametric Technology Corp.	×	x	
3 Roper Industries Inc.	×		
4 Charles Schwab Corp.	x	X X	
5 Schlumberger Ltd.	x	x	
6 Stryker Corp.	×	x	
7 Trimble Navigation Ltd.	×		
8 Ultra Petroleum Corp.	×	X X	
Oppenheimer Developing Mkts Fund, A			
* This category applies only if the asset/income is mark the other higher categ	solely that of the filer's spouse or dependent children	en. If the asset/income is either that of the filer or jointly held by the filer with t	he spouse or dependent children,

II & Office of Government Ethic

Reporting Individual's Name	The state of the s	Page Number
CARTER, Ashton B.	SCHEDULE A continued	14
	(Use only if needed)	
Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B Income: type and amount. If "None (or less than \$201)" i other entry is needed in Block C for that item. BLOCK C	s checked, no
	Type Amount	
None	\$15,001 - \$15,000 \$15,001 - \$15,000 \$15,001 - \$15,000 \$250,001 - \$100,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$250,001 - \$25,000,000 \$250,001 - \$25,000,000 \$250,001 - \$25,000,000 \$250,001 - \$25,000,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$250,000 - \$250,0	Other Income (Mo., Day, Yr.) Type & Actual Amount) Other Income (Mo., Day, Yr.) Yr.) Only if Honoraria
Berkshire Hathaway CL B		
2 ISHARES FTSE/XINHUA CH25		
3 ISHARES MSCI EAFE INDEX		
4 ISHARES S&P LATIN AMER40		48444
5 ISHARES MSCI BRAZIL INDX		
6 ISHARES MSCI MEXICO		
7 ISHARES MSCI EMERGING		
8 Fairholme Fund		
9 Brown Adv Maryland Bond Fund (BIAMX)		
* This category applies only if the asset/income is mark the other higher categ	solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with t	he spouse or dependent children

U.S. Office of Government Ethics			
Reporting Individual's Name	SCHE	DULE A continued	Page Number
CARTER, Ashton B.		Use only if needed)	15
		No only il nococa)	
Assets and Income	Valuation of Assets	Income: type and amount. If "None (or less than \$201)"	is checked, no
	at close of reporting period	other entry is needed in Block C for that item.	
BLOCK A	BLOCK B	BLOCK C	
		Type Amount	
·	None (or less than \$1,001) \$1,001 - \$15,000 \$15,001 - \$10,000 \$15,001 - \$10,000 \$15,001 - \$100,000 \$250,001 - \$200,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$250,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000	Excepted Investment Fand Excepted Trust Qualified Trust Dividends Rent and Royaltes Interest (aptial Cains None (or less than \$201) \$25t - \$1,000 \$1,000 - \$2,500 \$1,500 - \$1,5000 \$15,000 - \$15,000 \$15,001 - \$10,000 \$15,001 - \$10,0000 \$15,001 - \$1,000,0000 \$15,000 - \$1,000,0000 \$15,000 - \$1,000,0000	Other Income (Mo., Day. (Specify Yr.) Type & Actual Amount) Only if Honoraria
None			
Fundamental Invs Inc-F (American Funds)	*	* X	
2 AMR FD SR1 TX EX FD MD (American Funds)	*	X X	
3 Bond Fund of America-A (American Funds)	X		
4 Money Market Fund (American Funds)	×	X X	
5 Anne Arundel 5% 3/1/2017 (refunding bonds)		X X	
Baltimore CN 5% 9/1/2014 (water utilities improvement; sewer improvement; refunding notes)	*	×	
7 Maryland ST 5.25% 3/1/2018 (public improvement)	*	×	
8 Maryland ST 5.25% 12/15/2014 (transit improvement)	x	X X	
Oppenheimer Global Fund Class A	*	X	
* This category applies only if the asset/incommark the other higher categ	me is solely that of the filer's spouse or dependent child	ren. If the asset/income is either that of the filer or jointly held by the filer with	the spouse or dependent children

porting Individual's Name								SC	H	ED	UL	E	A c	on	tin	ue	d										Page Number	3
RTER, Ashton B.										Use	on	lly if	f ne	ede	d)													·
Assets and Income				at	ion o	of									e: ty										n \$2	01)"	is checked, no	
BLOCK A		****	******		BLOCK			······································												E	LOC	K C						
	None (or less than \$1,001)	\$1,001 - \$15,000 \$15,001 - \$86,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	Over \$1,000.000 *	\$1,000,001 - \$ 5,000,000	\$5,000,001 - \$25,000,000	STATE STORY OF STATE STA	Over 330,000,000 Excepted investment Fund	Excepted Trust	Opsitited Trust	Dividends	Rem and Reynthes		Capital Sams	None (or less than \$201)	51 001 \$2 600	21,001 - 32,000 C1 201 - 44,00	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,000 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day Yr.) Only if Honorari
None																												,
Capital Partners Management Co. Baltimore, MD (spouse)(financial analyst)																											salary	
T. Rowe Price Growth Stock		,							_	×							X											
T. Rowe Price Small-Cap Value										×							X											
T. Rowe Price Equity Income										×							X											
T. Rowe Price Spectrum Income				X						×							X											
Fidelity 403(B), Fidelity Small Cap Independent Fund			х							×							X											
Fidelity Low Price Stock Fund			×														x											

X

Х

Fidelity Investment Grade Bond Fund

Vanguard 403(B), Vanguard Int'l. Growth Fund

^{*} This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

U.S. Office of Government Ethics	-	-																				_	Page Niverb	-
Reporting Individual's Name							SC	Н	EDI	JLE	A	con	tinu	ed									Page Number	
CARTER, Ashton B.								(Use	only	ifn	eede	d)											
	7								1															
Assets and Income		V			of A	sset	S														\$20)1)" i	is checked, no	
	l				se oi g peri						Oti	ner en	uy is	neea	ea n	Bloc	K C I	ог ц	at item	ι.				
BLOCK A		DOVOCA .		BLOC		-	T ROO	0001		- 600	_							ock						
											}	Ty	pe 	1	1888	1888	8	Am ∭	ount	8	***	-		
None	None (or less than \$1,000)	VIS.001 KS0.000	\$100,001 - \$100,000	\$250,001 - \$500,000	\$500,001 - \$1,000,808	Cyer 31,000,000 *	\$5,000,001 - \$25,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Dividends	Rent and Royattes	Interest Captal Gams	None (or less than \$201)	\$201 - \$17,000	\$1,001 - \$2,500 \$2,801 - \$8,844	S5,001 - S15,000	\$15,000 - \$50,000	\$50,001 - \$1,00,000 \$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001, -35,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date Mo., Day, Yr.) Only if Honoraria
1																								
2 ABS Partners III, LLC:														Х										
Cyveillance, Inc.(internet intel)														2										
Familymeds Group, Inc.(on line drug)																								
Workscape, Inc.(HR service)					-				-	- 8	% %					- 8								
5 4																								
Maryland ST 0% 1/1/33 (health, hospital, nursing home improvement) This category applies only if the asset/income is	s solely t		e filer	's spo	ouse o	or depe	ndent	child	en.	f the a	sset/i	ncom	X is eith	er th	af of	the file	er or i	ointi	v held b	v the	filer	with 1	the spouse or depen	dent children
mark the other higher categ				-2-													3	_		,	.,		- h	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

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Reporting Individual's Name CARTER, Ashton B.									S	CI	Æ	DU	JL	E	A c	on	tin	ueo	ł										Page Number 18	
JAKTER, ASITION B.											(U	se	onl	y if	nee	ede	d)													
Assets and Income					at c	ose	of												nd an								ı \$20)1)"	is checked, no	
BLOCK A				re	porti: BL	ng p OCK		d ————														ВІ	LOCK	C						
															18	Ту	pe		10000	81 ··	59099	3	Am	oun	t		9549030U			
Non.e	Name for less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$250,001 - \$250,000	\$500,001 - \$1,000,000	Over \$1,000.000 *	SE, BOTH, (1011 - \$ 5, 0008, (1001	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted investment Fund	Excepted Trust	Qualified Triss	Dividends	Rent and Royalties	Interest	Lapital Liams	None (or less than \$201) \$201 - \$4.000	\$1.001 - \$2.500	\$2,501 - \$5,010	\$5,001 - \$15,000	\$15,001 - 550,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., D Yr.) Only Honore
1 Matyland ST 0% 7/1/29 (refunding bonds; health, hospital, nursing home improvement)				х													×	-		×		_								
2 DWS Core Plus Income Fund Class S			×						ĺ			×							×											
3 Chevron Corp.			×															×	×		-									
4																														
5																														
6																				*						i				
7															8						1									

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

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Reporting Individual's Name								\$	SC	HE	DI	JL	E	A (con	tir	ıue	d									and the second	Page Number	1
CARTER, Ashton B.										J)	Jse	onl	y if	ne	ede	ed)													_
Assets and Income	-6.10			a	t clos	of A		ts																less		1 \$20)1)"	is checked,	no
BLOCK A		1000	er -		BLOC				- Kateline		5000500		300000		Ty						E	LOC	K C						
None	None (or less than \$1.101)	\$1,001 - \$15,000	\$50,001 - \$100,000	\$100,001 . \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	C+ EDIRAGE C 7 (887 044)	\$5,000,001 - \$25,000,000	\$25,000,001.4550,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trast	Dividends	Reut and Koyalties	Interest	Capital Coins	None (or less than \$201)		\$1,001 - \$2,500	\$5,001 - \$15,000	\$15.001 - \$50.000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,003 \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	
1 Chevron Corp.	*																*		×										
2 ConocoPhillips																	×		×										
3 CVS Caremark	×																×		×										
4 FMC Technologies																	٠												
5																													
6 Intuitive Surgical			Š.		8		***	*										000			*								

7 Jacobs Engineering

8

19

Date (Mo., Day, Yr.)

Only if Honoraria

This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

5 C.F.R. Part 26:	5	C.F	R	Part	26
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S. Offici, of Government Ethics												
Reporting Individual's Name	SCHE	EDULE A continued	Page Number									
CARTER, Ashton B.		Use only if needed)	20									
Assets and Income	Valuation of Assets at close of reporting period	Income: type and amount. If "None (or less than \$201)" other entry is needed in Block C for that item.	is checked, no									
BLOCK A	BLOCK B	BLOCK C										
None	Nome (or less than \$1,001) \$1,001 - \$15,000 \$15,001 - \$10,000 \$15,001 - \$100,000 \$150,001 - \$500,000 \$250,001 - \$500,000 \$500,001 - \$500,000 \$500,001 - \$25,000,000 \$500,001 - \$25,000,000 \$1,000,001 - \$25,000,000 \$75,000,001 - \$25,000,000 \$75,000,001 - \$25,000,000	Excepted Trust Dividends Rent and Regalities Lapite Stant Sold - St.500 St.501 - S15,000 St.501 - S15,000 St.601 - S15,000 St.6001 - S100,000 St.6001 - S100,000 St.6001 - S100,000 St.600,001 - S100,000 St.600,001 - S1000,000	Other Income (Mo., Day, Yr.) Type & Actual Amount) Other Income (Mo., Day, Yr.) Only if Honoraria									
1 /M&T Bank Corp.												
² Fidelity 457(b) Plan: Fidelity Small Cap Independent Fund	x	× X X X										
3												
4												
5 Nabors Industries	*	X X										
8 Navteq Corp.	×	X X										
7												
8												
9												
* This category applies only if the asset/incommark the other higher category	ne is solely that of the filer's spouse or dependent childr	en. If the asset/income is either that of the filer or jointly held by the filer with	the spouse or dependent children,									

F 278 (Rev. 03/2000) C.F.R Part 2634 J.S. Office of Government Ethics	Do not Complete So	chedule B if you are a new entrant, nomine	e, Vi	ce P	res	identiai	or Pr	esic	ienti	al C	andi	date	;					
teporting Individual's Name CARTER, Ashton B.		SCHEDULE	В						7	-			Page 1	Numbe		21		
Part I: Transactions					**		None					2000						
leport any purchase, sale, or exchar dependent children during the amount, stocks, bonds, commodi courities when the amount of the \$1,000. Include transactions that a	reporting period of any real ity futures, and other transaction exceeded	report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.	1	ransac Type (Date (Mo.	- 00	, 8 E 8	. 98		1	\$500,000 - 100,000,1\$	Over 000,000, \$1,000,000	Ι,	\$5,000,001 -	\$25,000,001 -	Over \$50,000,000	Certificate of
	*** ***		- 39	Sale	do X		\$1,001	\$15,001	8 8	20,00	250,	8 8	51,00	8 8	9, 25	25.05	Over \$50,00	rrifi
Example: Central Airlines Com		etion of Assets	- X	1 8	1 11	2/1/99	* **	in in	X	in in	W W	4 4	Ois	₩ ₩	16 15 E	* *	0 %	Ŏ
1	111021		 ^			211177			^						\vdash			
2					-				- 1	-		<u> </u>			-			
3					-							-			-			_
4	William Control				 	1						-				-		
5			+	-	-					-					·			
	594										<u> </u>							
		of the filer's spouse or dependent children. If the underlying at ent children, use the other higher categories of value, as approp.		ither h	ield													
Part II: Gifts, Reim			iate.										-			-		
For you, your spouse and deption, and the value of: (1) gift food, or entertainment) received the control of th	endent children, report the solution of source totaling rements received from one source totaling rements received from one sis, it is helpful to indicate proval under 5 U.S.C. § 41 and of the solution of t	source, a brief descrip- eansportation, lodging, a more than \$260; and e source totaling more a basis for receipt, such l1 or other statutory s, include travel itinerary,	rece inde the tota	eived epend donor l valu	from lent o r's res le from	rnment; girelatives; if their relasidence. Alm one sour	eceive tionshi so, for	d by p to y purp	your s out or oses o	pouser prov	e or de ided : regati	epend as per ng gii	lent ch sonal fts to d	nild to hospi detern	otally itality mine ti structi	at he		ſ
	ne and Address)			rief De								-10,250,00					Value	
Examples: Nat'l Assn. of Rock Frank Jones, San Fra	<u> </u>	Airline ticket, hotel room & meals incident to national conference 6. Leather briefcase (personal friend)	/15/99 (j	person	al activ	vity unrelated	to duty)										\$500 \$300	
1																		
2								****	_		4							
3																<u> </u>		
4									.,									-
5			******															

rior Editions Cannot Be Used.

C.F.R Part 2634 J.S. Office of Government Ethics																
teporting Individual's Name CARTER, Ashton B.		SCHEDUI	E C									Page 1	Yumber	22		,
Part I: Liabilities												1				=
teport liabilities over \$10,000 owed to a my time during the reporting period by	•	personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and		No	ne		,		Catego	ory of A	mount	or Va	lue (x)			
ir dependent children. Check the highes	st amount owed	liabilities owed to certain relatives listed in instructions.				_	1		I				1.	, ,	÷ 0	T 6
luring the reporting period. Exclude a	mortgage on your	See instructions for revolving charge accounts.	Date Incurred	Interest Rate	Term appli- cable		1,00,0	\$50,001 -	20,001	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000	\$1,000,001	\$5,000,001	\$25,000,001	ייר טיטי ו
Creditors (Name and		Type of Liability	1				\$ 33	\$50	\$10	\$25 \$50	\$3,	9. Ve	\$1,1	\$5.0	\$25	8 8
Examples: First District Bank, Washingto John Jones, 123 J St., Washingto		Mortgage on rental property, Delaware Promissory note	1991	8%	25 yrs		+	4- ×		- x						<u></u> .
1 President & Fellows of Harvard	College	Educational loan for children's college	2006	0	10 yrs		1	1-	1	X	\vdash	 	\vdash		-	
Cambridge, MA				}				1.			1				1	1
2		·														
3						-	+					-				-
4			+			+		-								_
5			-		 		+-	 	├		 	-	-	-		\vdash
				}							L				ĺ	
		er's spouse or dependent children. If the liability is that of the	filer or a je	oint liabili	ty of the	filer	-									
with the spouse or dependent children,		fegories, as appropriate.									-	-				
Part II: Agreements or A	Arrangements															
Report your agreements or arranger			of absen									ding t	he re	ortin	g	
employee benefit plan (e.g. 401k, de payment by a former employer (incl			of negot	iations fo	or any of	these as	Tange	ments	or be	nefits						
Jayment by a former employer (life	iduang severance payn	itents), (3) leaves									ì	Vone		l		
	Status and Terms of any	Agreement or Arrangement	··		-		-		Partie						n	ate
Example: Pursuant to partnership agree calculated on service perform	ement, will receive lump su	m payment of capital account & partnership share			1	Doe Jones	& Smit	h, Hon	netown,	State						/85
	take a Leave of Absen	ice from my position at Harvard University. The leave of	f absence	term is		Harvard									(9
for two years.						Cambric										
such resignation. I estimate suc		lobal Technology Partners, and will receive my partners	hip share i	noon		Global T Boston,		logy i	artne	rs					(9
		Harvard's 403b and 457 plans and its health insurance i	olan but Ha	arvard wil				rsitv							1/	1/90
will no longer contribute to either		Total Co Tool and To Total Co	, Ducin	21 7 23 4 4411												,00
4																
5 Consistent with Harvard's policy	on providing interest-f	rea loans to faculty				Harvard	Unive	rsitv		-					21	006
		r children up to a limit of \$298,116, upon my appointme	nt I will con	tinue											[
6 to participate in this program. U	pon termination of my	leave of absence, if I do not return to my full time Harva														
I will repay the loan in its entirety	'															

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F 278 (Rev. 93/2000)

Re	porting Individual's Name				Page Number	
CA	ARTER, Ashton B.		SCHEDULE D		2	3
Re	port any positions Held Outs port any positions held during the and impensated or not. Positions include ector, trustee, general partner, propr	pplicable reporting period, whether but are not limited to those of an officer.	consultant of any corporation, firm, par non-profit organization or educational i social, fraternal, or political entities and	tnership, or other business enterprise or an institution. Exclude positions with religioud those solely of an honorary nature.	y s, None	
	Organi	ization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Ev	Notil Agen of Pook Collectors		Non-profit education	President	6/92	Present
EX	Doe Jones & Smith, Hometown	n, State	Law firm	Partner	7/85	1/00
1	The MITRE Corporation		Non-profit in technology	Trustee	1/06	Present
L	7515 Colshire Drive, McLean, \		(manages 3 FFRDCs)			
2	Massachusetts Institute of Tech		Federally Funded Research &	Member of Advisory Board		Present
	244 Wood Street, Lexington, M		Development Center (FFRDC)		10/98	L
3	Global Technology Partners, LL Boston, MA	LC	Consulting/Advisory Partnership	Senior Partner	1/98	Present
4	Charles Stark Draper Laborator 555 Technology Square, Camb		Research & Development Laboratory	Member of Corporation	3/00	Present
5	National Security Strategy & Po	olices Expert Working Group,	Advisory Group	Chair	7/08	Present
	Congressional Commission on	Strategic Posture of US - DC	Congressionally mandated		}	
6	Goldman Sachs & Co.		Global Investment Banking &	Consultant	11/97	Present
	One New York Plaza, 40th floor	r, New York, NY 10004	Securities Firm			
Re bu	port sources of more than \$5,000 co siness affiliation for services provide	Excess Of \$5,000 Paid by One Sompensation received by you or your ed directly by you during any one year of an ames of clients and customers of any	corporation, firm, partnership, or other	business enterprise, or any other non-profit of the services generating a fee or payment port the U.S. Government as a source.	if you are ar Termination Vice Preside	ential tial Candidate
	Source (Name and Address)			Brief Description of Duties		
Ex	amples: Doe Jones & Smith, Hometown	n, State	Legal services	200 A 100 A		
1	Harvard University (client of Do	e Jones & Smith), Moneytown, State	Legal services in connection with univers Teaching, Administration & Research			
١,	Cambridge, MA 02138		reading, Administration & Research	cir as setilor faculty member		
2	Global Technology Partners, LL	С	Consulting and Advising (clients inc	clude Textron, Inc., Providence, RI; Cor	stellation Energy	/ & Gas.
Ι"	Boston, MA 02110		Baltimore, MD; McKinsey & Co., Wa			
3	The MITRE Corporation		Advising as trustee			
	7515 Colshire Drive, McLean, \	/A 522102-7508	a same a same a			
4	Aurora Flight Science Corporat		Speaking and advising, Jun-13-07			
	9950 Wakeman Drive, Manass		,			
5	<u> </u>		Conference fee			
	3 Encina Hall, Stanford, CA 94	1305				
6			Fee as member of Corporation			
	555 Technology Square, Camb	oridge, MA 02139-3563				
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Reporting Individual's Name				Page Number			
CARTER, Ashton B.		SCHEDULE D		2	4		
Part I: Positions Held Outsi	de U.S. Government						
Report any positions held during the ap	oplicable reporting period, whether	consultant of any corporation, firm, partne	ership, or other business enterprise or any	y			
	but are not limited to those of an officer,	non-profit organization or educational inst	titution. Exclude positions with religious	S.			
director, trustee, general partner, propri	etor, representative, employee, or	social, fraternal, or political entities and th	lose solely of an honorary nature.	None			
		T	Double of Walt				
	zation (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)		
Examples: Nat'l Assn. of Rock Collectors, Doe Jones & Smith, Hometown	NY, NY	Non-profit education Law firm	President Partner	6/92 7/85	- Present		
1 Aspen Strategy Group (The Asp	oen Institute)	Bipartisan membership organization	Member	8/97	Present		
	700, Washington, DC 20036-1133	foreign policy					
2 Council on Foreign Relations		indep. Nonpartisan membership	Member	11/89	Present		
58 East 68th Street, New York,		organization, think tank & publisher					
3 American Academy of Arts & So		honorary society recognizing	Fellow	4/89	Present		
136 Irving Street, Cambridge, M	IA 02138	achievement in arts & sciences					
4 American Physical Society		society advancing physics	Member	5/76	Present		
One Physics Ellipse, College P							
5 International Institute for Strateg		think tank focused on international	Member	5/97	Present		
	lace, London, England WC2R 3DX	security					
6 National Committee on U.SCh		organization focused on U.SChina	Member	11/98	Present		
71 West 23rd Street, Suite 190		relationship					
Part II: Compensation In E	xcess Of \$5,000 Paid by One So	ource		Do not com	olete this part		
Report sources of more than \$5,000 co	mpensation received by you or your	corporation, firm, partnership, or other bu	siness enterprise, or any other non-profit		Incumbent,		
	ed directly by you during any one year of	organization when you directly provided t		Termination			
the reporting period. This includes the	names of clients and customers of any	of more than \$5,000. You need not report	t the U.S. Government as a source.	Vice Preside	ential		
					tial Candidate		
			D 1 00	None	<u> </u>		
Source (Name and Address) Doe Jones & Smith, Hometown	Stota	Legal services	Brief Description of Duties	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~			
	Jones & Smith), Moneytown, State	Legal services in connection with university	construction				
1 Center for a New American Sec		Report preparation	VOIDEDVITOR				
1301 Pennsylvania Ave. NW, s	suite 403, Washington, DC 20004						
2							
	•						
3 Massachusetts Institute of Tech	nology Lincoln Laboratory	Advising as member of advisory board	<u> </u>				
244 Wood Street, Lexington, M.	A 02420-9185						
4 Goldman Sachs & Co.		Advising					
One New York Plaza, 40th floor	, New York, NY 10004			No. of the last of			
5 Caxton Associates, LLC							
	500 Park Avenue, 10th floor, New York, NY 10022						
6 Raytheon		Service: Critical Analysis on 10/20/08	s, invoiced on 11/3/08				
Dallas, TX							
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Reporting Individual's Name				Page Number	
CARTER, Ashton B.		SCHEDULE D		25	ō
Part I: Positions Held Outs					
Report any positions held during the a compensated or not. Positions include director, trustee, general partner, propr	but are not limited to those of an officer,	consultant of any corporation, firm, partne non-profit organization or educational ins social, fraternal, or political entities and the	titution. Exclude positions with religious		
Organi	ization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples: Nat'l Assn. of Rock Collectors	, NY, NY	Non-profit education	President	6/92	Present
Doe Jones & Smith, Hometow		Law firm	Partner	7/85	1/00
1 American Academy of Diploma		honorary priv. nonprofit, nonpartisan	Member	12/08	Present
1726 M Street, NW Suite 202,		corp. of elected American foreign poli	cy leaders		
2 Review Panel on Future Directi		Review Panel on combatting WMD	Co-Chair	8/07	7/2008
	nd Capabilities To Combat WMD - DC	make reccs to DOD and US gov't			·
3 Center for Strategic & Internation 1800 K Street, NW - suite 400,	Washington, DC 20006	public policy research institution	Senior Associate, International Security Program	3/03	2/09
4 National Missile Defense White Analyses, 4850 Mark Center Di	Team - Institute for Defense rive, Alexandria, VA 22311-1882	Advisory Group to Missile Defense Agency	Member	5/98	Present
5 International Security Advisory DOS, 2201 C St. NW. ISN/SPC	Board to the Secretary of State), Room 3209, Washington, DC 20520	Advisory Board on arms control matters & international security	Member	9/06	1/08
6 Mitretek Systems, Inc. (now No		nonprofit science, technology and strategy organization	Trustee	5/97	5/07
Report sources of more than \$5,000 co business affiliation for services provide	Excess Of \$5,000 Paid by One Sompensation received by you or your ed directly by you during any one year of enames of clients and customers of any	urce corporation, firm, partnership, or other bu organization when you directly provided to of more than \$5,000. You need not repor	if you are an Termination Vice Preside	Filer, or	
Source (Name and Address)			Brief Description of Duties		
1 Mitretek Systems, Inc. (now No	e Jones & Smith), Moneytown, State blis)	Legal services Legal services in connection with university Advising as trustee	construction	Principle of States of States of	
3150 Fairview Park Drive South	n, Falls Church, VA 22042-4519				
3		M			
4					
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B V F Jillian Command Hand					

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Reporting Individual's Name CARTER, Ashton B.		SCHEDULE D		Page Number 2	6
Part I: Positions Held Outs Report any positions held during the a compensated or not. Positions include director, trustee, general partner, propri	pplicable reporting period, whether but are not limited to those of an officer,		tnership, or other business enterprise or any nstitution. Exclude positions with religious those solely of an honorary nature.		
	ization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples: Nat'l Assn. of Rock Collectors Doe Jones & Smith, Hometow	n, State	Non-profit education Law firm	President Partner	6/92 7/85	Present 1/00
Center for a New American Section	curity, Wash, DC	think tank	Board of Advisors	4/07	3/09
2 Stanford University Palo Alto, CA		University	consultant, 1 day	5/07	5/07
3 Aurora Flight Science Corp. Ma Center for a New American Sec	curity, Wash, DC	Space Technology Company think tank	consultant, 1 day consultant, 1 day	6/07 5/08	6/07 5/08
4 MIT Lincoln Laboratory, Lexing	ton, MA	Fed. Funded Research and Dev. Corp.	Chairman and Member of Advisory Board	5/98	present
5 Caxton Associates, LLC NY, NY		Hedge Fund	speaker	3/07	3/07
6 Raytheon, Dallas, TX Harvard University, Cambridge	, MASS	Defense Contractor University	one day technical advice Senior Faculty Member	10/08 9/88	10/08 present
Report sources of more than \$5,000 cc business affiliation for services provide	Excess Of \$5,000 Paid by One Sompensation received by you or your ed directly by you during any one year of enames of clients and customers of any	corporation, firm, partnership, or other organization when you directly provide of more than \$5,000. You need not rep			
Source (Name and Address)			Brief Description of Duties		
Examples: Doe Jones & Smith, Hometow Metro University (client of Do	n, State e Jones & Smith), Moneytown, State	Legal services Legal services in connection with universi	ity construction	-	. When he seems at auto- in made
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