Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

OMB No. 3209-0001

Date of Appointment, Candidacy, Election or Nomination (*Month, Day, Year*)	Reporting Status (*Check appropriate boxes*): [ ] Incumbent	Calendar Year Covered by Report:	[X] New Entrant, Nominee, or Candidate	[ ] Termination Filer; Termination Date (*If Applicable*) (*Month, Day, Year*):
Reporting Individual's Name	Last Name: CARTER		First Name and Middle Initial: Ashton B.	
Position for Which Filing	Title of Position: Under Secretary of Defense for Acquisition, Technology & Logistics		Department or Agency (*If Applicable*): Department of Defense	
Location of Present Office (or forwarding address)	Address (*Number, Street, City, State, and ZIP Code*): Harvard University, Cambridge, MASS 02138-3800		Telephone No. (*Include Area Code*): 617-495-1000	
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)	Title of Position(s) and Date(s) Held: None			
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination: Senate Armed Services Committee		Do You Intend to Create a Qualified Diversified Trust? [ ] Yes [X] No	
Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Signature of Reporting Individual: Ashton B. Carter		Date (*Month, Day, Year*): MAR 18 2009	
Other Review (If desired by agency)	Signature of Other Reviewer: [signature]		Date (*Month, Day, Year*): 3/19/09	
Agency Ethics Official's Opinion On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Signature of Designated Agency Ethics Official/Reviewing Official:		Date (*Month, Day, Year*):	
Office of Government Ethics Use Only	Signature: [signature]		Date (*Month, Day, Year*): 3/29/09	

Comments of Reviewing Officials (*If additional space is required, use the reverse side of this sheet*)

(*Check box if filing extension granted & indicate number of days* ________) [ ]

(*Check box if comments are continued on the reverse side*) [ ]

Fee for Late Filing

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period shall be subject to a $200 fee.

Reporting Periods

Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B--Not applicable.

Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)-- Show any agreements or arrangements as of the date of filing.

Schedule D--The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

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Reporting Individual's Name		Page Number
CARTER, Ashton B.	**SCHEDULE A**	1

Assets and Income — BLOCK A

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual acount of any honoraria over $200 of your spouse).

None ☐

Valuation of Assets at close of reporting period — BLOCK B

	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust
Examples	Central Airlines Common				x											
	Doe Jones & Smith, Hometown, State			x												
	Kempstone Equity Fund					x								x		
	IRA: Heartland 500 Index Fund							x						x		
1																
2																
3																
4																
5	Bank of America Checking Account				X											
6																

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

	Assets and Income	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples	Central Airlines Common	x						x										
	Doe Jones & Smith, Hometown, State																Law Partnership Income $130,000	
	Kempstone Equity Fund								x									
	IRA: Heartland 500 Index Fund									x								
1																		
2																		
3																		
4																		
5	Bank of America Checking Account					X												
6																		

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name: CARTER, Ashton B.

SCHEDULE A continued

(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Harvard University Cambridge, MA 02138																															Salary $238,235	
2	Global Technology Partners, LLC Boston, MA 02110 (consulting firm)			X																												Distribution $54,797 $47,000	08 09
3	The MITRE Corporation 7515 Colshire Drive McLean, VA 22102-7508																															Trustee & Consulting fees $65,500	
4	Goldman Sachs One New York Plaza, 40th floor New York, NY 10004																															Consulting fee $20,000	
5	Massachusetts Institute of Technology Lincoln Laboratory - 244 Wood St. Lexington, MA 02420																															Advisory Board fee $1,000	Jun-11-08
6																																	
7	Brookings Institution Washington, DC 20036-2103 Managing Nuclear Operations																	X			X												
8	Brookings Institution Washington, DC 20036-2103 Ballistic Missile Defense																	X			X												
9	(values of books in items 7 and 8 are not readily ascertainable)																																

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

Reporting Individual's Name: CARTER, Ashton B.

SCHEDULE A continued

(Use only if needed)

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

None ☐

	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	BLOCK C Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1																																	
2	Center for a New American Security, Inc. 1301 Pennsylvania Ave. NW, suite 403 Washington, DC 20004																															Essay fee $10,000	Jun-30-08
3																																	
4																																	
5	Aspen Strategy Group (Aspen Institute) Washington, DC first installment on paper for Aug-08 mtg																															Essay fee $500	Aug-08-08
6																																	
7	Center for Strategic & Budgetary Assess. Washington, DC SecDef fellows Jul-09-08 presentation																															Honorarium $600	Jul-18-08
8	The Analysis Group - 300 North Washington St., suite 101 - Falls Church VA 22046 Jan 31-08 USAF CP conf.																															Speaking Fee $2,000	Jul-21-08
9																																	

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Reporting Individual's Name: CARTER, Ashton B.

SCHEDULE A continued

(Use only if needed)

Page Number: 4

Assets and Income — BLOCK A | Valuation of Assets at close of reporting period — BLOCK B | Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Raytheon Dallas, TX Oct-20-08 Service: Critical Analysis																															$10,000 Meeting Fee and Memoranda	
2																																	
3																																	
4																																	
5																																	
6																																	
7																																	
8																																	
9																																	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

Reporting Individual's Name: CARTER, Ashton B.

SCHEDULE A continued
(Use only if needed)

Assets and Income (BLOCK A) — None ☐

Valuation of Assets at close of reporting period (BLOCK B)

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)

#	Assets and Income (Block A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	The Boeing Company (50 shares, owned by child 1)		X														X				X												
2	The Boeing Company (50 shares, owned by child 2)		X														X				X												
3																																	
4																																	
5																																	
6																																	
7																																	
8																																	
9																																	

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5 C.F.R Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name

SCHEDULE A

Page Number 6

Assets and Income — BLOCK A

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual account of any honoraria over $200 of your spouse).

None ☐

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples	Central Airlines Common				x												x						x										
Examples	Doe Jones & Smith, Hometown, State			x																												Law Partnership Income $130,000	
Examples	Kempstone Equity Fund					x								x										x									
Examples	IRA: Heartland 500 Index Fund							x						x											x								
1	Bank of America Corp.		x														x					x											
2	BB&T Corp		x														x					x											
3	Franklin Resources Inc.		x														x				x												
4	Bank of New York Mellon Corp.		x														x				x												
5	Capital One Financial Corp.		x														x				x												
6	ConocoPhillips		x														x				x												

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Reporting Individual's Name

CARTER, Ashton B.

SCHEDULE A continued

(Use only if needed)

Page Number

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Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Cisco Systems, Inc.		X																		X												
2	Chevron Corp.		X														X				X												
3	E.I. DuPont de Nemours & Co.		X														X					X											
4	Dell Inc.		X																		X												
5	Diageo PLC ADR		X														X				X												
6	Diamond Offshore Drilling		X																		X												
7	Dover Corp.		X														X					X											
8	Eaton Corp.		X																		X												
9	General Electric Co.		X														X					X											

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Reporting Individual's Name

CARTER, Ashton B.

SCHEDULE A continued

(Use only if needed)

	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income (BLOCK C) Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
	None ☐																																
1	Intel Corp.		X														X				X												
2	Illinois tool Works		X														X				X												
3	Johnson & Johnson		X														X					X											
4	Lincoln National Corp.		X														X				X												
5	3M Company		X														X					X											
6	Molex Inc.		X														X				X												
7	Merck & Co. Inc.		X														X					X											
8	Microsoft Corp.		X														X				X												
9	M&T Bank Corp.		X														X				X												

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

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Reporting Individual's Name: CARTER, Ashton B.

SCHEDULE A continued

(Use only if needed)

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

#	Assets and Income (BLOCK A) — None ☐	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income Type (BLOCK C): Dividends	Rent and Royalties	Interest	Capital Gains	Income Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Nokia Corp.		X														X				X												
2	Procter & Gamble Co.		X														X				X												
3	Parametric Technology Corp.		X																		X												
4	Prudential Financial Inc.		X														X				X												
5	DWS Tax-Exempt Cash Institutional Shares		X											X					X			X											
6	Staples Inc.		X														X				X												
7	Seagate Technology		X														X				X												
8	Symantec Corp.		X																		X												
9	Talisman Energy Inc.		X														X				X												

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Reporting Individual's Name: CARTER, Ashton B.

SCHEDULE A continued

(Use only if needed)

Assets and Income — BLOCK A; Valuation of Assets at close of reporting period — BLOCK B; Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Unilever NV		X														X				X												
2	Verizon Communications Inc.		X														X				X												
3	Walgreen Co.		X														X				X												
4	Waters Corp.		X																		X												
5	American Express Co.		X														X				X												
6	Apple, Inc.		X																		X												
7	Accenture Ltd.		X														X				X												
8	Allergan Inc.		X														X				X												
9	Ametek Inc.		X														X				X												

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Reporting Individual's Name: CARTER, Ashton B.

SCHEDULE A continued

(Use only if needed)

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Assets and Income — BLOCK A (None ☐)

Valuation of Assets at close of reporting period — BLOCK B

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust
1	Comcast Corp. Special CL A		X													
2	Coach Inc.		X													
3	Cognizant Technology Solutions CL A		X													
4	Citrix Systems Inc.		X													
5	Danaher Corp.		X													
6	Genentech Inc.		X													
7	DaVita Inc.		X													
8	Electronic Arts Inc.		X													
9	FMC Technologies Inc.		X													

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Comcast Corp. Special CL A	X				X												
2	Coach Inc.					X												
3	Cognizant Technology Solutions CL A					X												
4	Citrix Systems Inc.					X												
5	Danaher Corp.	X				X												
6	Genentech Inc.					X												
7	DaVita Inc.					X												
8	Electronic Arts Inc.					X												
9	FMC Technologies Inc.					X												

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Reporting Individual's Name: CARTER, Ashton B.

SCHEDULE A continued

(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Google Inc.		X																		X												
2	IDEXX Laboratories Inc.		X																		X												
3	Intuitive Surgical Inc.		X																		X												
4	Mastercard Inc.		X														X				X												
5	MICROS Systems Inc.		X																		X												
6	Medtronic Inc.		X														X				X												
7	Millipore Corp.		X																		X												
8	NetApp Inc.		X																		X												
9	PepsiCo. Inc.		X														X				X												

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Reporting Individual's Name: CARTER, Ashton B.

SCHEDULE A continued
(Use only if needed)

#	Assets and Income (BLOCK A) None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
		Valuation of Assets at close of reporting period (BLOCK B)															Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C) Type				Amount												
1	PetSmart Inc.		X														X				X												
2	Parametric Technology Corp.		X																		X												
3	Roper Industries Inc.		X																		X												
4	Charles Schwab Corp.		X														X				X												
5	Schlumberger Ltd.		X																		X												
6	Stryker Corp.		X														X				X												
7	Trimble Navigation Ltd.		X																		X												
8	Ultra Petroleum Corp.		X																		X												
9	Oppenheimer Developing Mkts Fund, A			X										X							X												

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Reporting Individual's Name: CARTER, Ashton B.

SCHEDULE A continued

(Use only if needed)

#	Assets and Income (BLOCK A) — None ☐	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income (BLOCK C) Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Berkshire Hathaway CL B		x																		x												
2	ISHARES FTSE/XINHUA CH25		x											x							X												
3	ISHARES MSCI EAFE INDEX		x											x							X												
4	ISHARES S&P LATIN AMER40		x											x							X												
5	ISHARES MSCI BRAZIL INDX		x											x			x			x	x												
6	ISHARES MSCI MEXICO		x											x							X												
7	ISHARES MSCI EMERGING			x										x							X												
8	Fairholme Fund			x										x			x			x		x											
9	Brown Adv Maryland Bond Fund (BIAMX)					x								x			x			x					x								

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

Reporting Individual's Name: CARTER, Ashton B.

SCHEDULE A continued

(Use only if needed)

Block A: Assets and Income. Block B: Valuation of Assets at close of reporting period. Block C: Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

None ☐

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Fundamental Invs Inc-F (American Funds)			X										X							X												
2	AMR FD SR1 TX EX FD MD (American Funds)			X										X			X					X											
3	Bond Fund of America-A (American Funds)		X											X			X						X										
4	Money Market Fund (American Funds)									X				X										X									
5	Anne Arundel 5% 3/1/2017 (refunding bonds)			X															X				X										
6	Baltimore CN 5% 9/1/2014 (water utilities improvement; sewer improvement; refunding notes)			X															X			X											
7	Maryland ST 5.25% 3/1/2018 (public improvement)			X															X			X											
8	Maryland ST 5.25% 12/15/2014 (transit improvement)		X																X			X											
9	Oppenheimer Global Fund Class A			X										X							X												

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Reporting Individual's Name: CARTER, Ashton B.

SCHEDULE A continued

(Use only if needed)

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

Assets and Income (BLOCK A) None ☐	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income Type (BLOCK C): Dividends	Rent and Royalties	Interest	Capital Gains	Income Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Capital Partners Management Co. Baltimore, MD (spouse)(financial analyst)																															salary	
T. Rowe Price Growth Stock			X										X							X												
T. Rowe Price Small-Cap Value			X										X							X												
T. Rowe Price Equity Income			X										X							X												
T. Rowe Price Spectrum Income					X								X							X												
Fidelity 403(B), Fidelity Small Cap Independent Fund				X									X							X												
Fidelity Low Price Stock Fund				X									X							X												
Fidelity Investment Grade Bond Fund			X										X							X												
Vanguard 403(B), Vanguard Int'l. Growth Fund				X									X							X												

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Reporting Individual's Name: CARTER, Ashton B.

SCHEDULE A continued

(Use only if needed)

#	Assets and Income (BLOCK A) None ☐	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income Type (BLOCK C): Dividends	Rent and Royalties	Interest	Capital Gains	Income Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1																																	
2 S	ABS Partners III, LLC: Cyveillance, Inc.(Internet Intel) Familymeds Group, Inc.(on line drug) Workscape, Inc.(HR service)			x																	X												
3 S																																	
4																																	
5 S	Maryland ST 0% 1/1/33 (health, hospital, nursing home improvement)		X																x			x											

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5 C.F.R Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: CARTER, Ashton B.

SCHEDULE A continued
(Use only if needed)

Page Number: 17 A

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

#	Assets and Income (BLOCK A) None [ ]	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $100,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1																																
2 S	ABS Partners IV, LLC: Cbr Systems, Inc (blood bank) Cobalt Holding Co. (PC Tester)			X																												LLC income $3,266,272	
3 S	Double-Take Software, Inc.(data recov) Payformance Corporation (outsource-Health) Restaurant Technologies, Inc.(software) Rosetta Stone, Inc.(foreign lang) Vibrant Media, Inc.(advertising)																																
4 S																																	
5 S																																	

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Reporting Individual's Name	SCHEDULE A continued	Page Number
CARTER, Ashton B.	(Use only if needed)	18

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

	Assets and Income (BLOCK A) None ☐	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	BLOCK C Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Maryland ST 0% 7/1/29 (refunding bonds; health, hospital, nursing home improvement)				X														x				x										
2	DWS Core Plus Income Fund Class S			x										X							X												
3	Chevron Corp.			X																x		x											
4																																	
5																																	
6																																	
7																																	
8																																	
9																																	

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Reporting Individual's Name

CARTER, Ashton B.

SCHEDULE A continued

(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Chevron Corp.	X																		X		X											
2	ConocoPhillips	X																		X		X											
3	CVS Caremark	X																		X		X											
4	FMC Technologies	X																		X		X											
5																																	
6	Intuitive Surgical	X																		X		X											
7	Jacobs Engineering	X																		X				X									
8																																	
9																																	

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Reporting Individual's Name: CARTER, Ashton B.

SCHEDULE A continued

(Use only if needed)

Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income Type (BLOCK C): Dividends	Rent and Royalties	Interest	Capital Gains	Income Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
None																																
1 M&T Bank Corp.	X																		X		X											
2 Fidelity 457(b) Plan: Fidelity Small Cap Independent Fund		X											X							X												
3																																
4																																
5 Nabors Industries	X																		X			X										
6 Navteq Corp.	X																		X			X										
7																																
8																																
9																																

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

Reporting Individual's Name		Page Number
CARTER, Ashton B.	**SCHEDULE B**	21

Part I: Transactions

None ☐

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
Example:	Central Airlines Common	x			2/1/99			x									
1																	
2																	
3																	
4																	
5																	

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $260; and (2) travel-related cash reimbursements received from one source totaling more than $260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $104 or less. See instructions for other exclusions.

None ☐

	Source *(Name and Address)*	Brief Description	Value
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$300
1			
2			
3			
4			
5			

Reporting Individual's Name		Page Number
CARTER, Ashton B.	**SCHEDULE C**	22

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples:	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, 123 J St., Washington, DC	Promissory note	1999	10 %	on demand					x						
1	President & Fellows of Harvard College Cambridge, MA	Educational loan for children's college	2006	0	10 yrs.					X						
2																
3																
4																
5																

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g. 401k, deferred compensation; (2) continuation payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits

None ☐

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	Upon my appointment, I plan to take a Leave of Absence from my position at Harvard University. The leave of absence term is for two years.	Harvard University Cambridge, MA	09
2	Upon my appointment, I will resign my position from Global Technology Partners, and will receive my partnership share upon such resignation. I estimate such share to be less than $50,000.	Global Technology Partners Boston, MA	09
3	Upon my appointment, I will continue to participate in Harvard's 403b and 457 plans and its health insurance plan, but Harvard will no lon will no longer contribute to either of those plans.	Harvard University	1/1/90
4			
5	Consistent with Harvard's policy on providing interest-free loans to faculty members, whether on leave of absence or not, for their children up to a limit of $298,116, upon my appointment I will continue	Harvard University	2006
6	to participate in this program. Upon termination of my leave of absence, if I do not return to my full time Harvard faculty position, I will repay the loan in its entirety.		

Reporting Individual's Name		Page Number
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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (*Name and Address*)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	The MITRE Corporation 7515 Colshire Drive, McLean, VA 22102 [& Bedford, MA]	Non-profit in technology (manages 3 FFRDCs)	Trustee	1/06	Present
2	Massachusetts Institute of Technology Lincoln Laboratory 244 Wood Street, Lexington, MA 02420-9185	Federally Funded Research & Development Center (FFRDC)	Member of Advisory Board	10/98	Present
3	Global Technology Partners, LLC Boston, MA	Consulting/Advisory Partnership	Senior Partner	1/98	Present
4	Charles Stark Draper Laboratory Corporation 555 Technology Square, Cambridge, MA 02139-3563	Research & Development Laboratory	Member of Corporation	3/00	Present
5	National Security Strategy & Polices Expert Working Group, Congressional Commission on Strategic Posture of US - DC	Advisory Group Congressionally mandated	Chair	7/08	Present
6	Goldman Sachs & Co. One New York Plaza, 40th floor, New York, NY 10004	Global Investment Banking & Securities Firm	Consultant	11/97	Present

Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None ☐

	Source (*Name and Address*)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	Harvard University Cambridge, MA 02138	Teaching, Administration & Research as senior faculty member
2	Global Technology Partners, LLC Boston, MA 02110	Consulting and Advising (clients include Textron, Inc., Providence, RI; Constellation Energy & Gas, Baltimore, MD; McKinsey & Co., Washington, DC)
3	The MITRE Corporation 7515 Colshire Drive, McLean, VA 522102-7508	Advising as trustee
4	Aurora Flight Science Corporation 9950 Wakeman Drive, Manassas, VA 20110-2702	Speaking and advising, Jun-13-07
5	Stanford University 3 Encina Hall, Stanford, CA 94305	Conference fee
6	Charles Stark Draper Laboratory Corporation 555 Technology Square, Cambridge, MA 02139-3563	Fee as member of Corporation

Reporting Individual's Name		Page Number
CARTER, Ashton B.	**SCHEDULE D**	24

Part I: Positions Held Outside U.S. Government

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None ☐

	Organization (*Name and Address*)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	Aspen Strategy Group (The Aspen Institute) One Dupont Circle, NW - Suite 700, Washington, DC 20036-1133	Bipartisan membership organization foreign policy	Member	8/97	Present
2	Council on Foreign Relations 58 East 68th Street, New York, NY 10021	indep. Nonpartisan membership organization, think tank & publisher	Member	11/89	Present
3	American Academy of Arts & Sciences 136 Irving Street, Cambridge, MA 02138	honorary society recognizing achievement in arts & sciences	Fellow	4/89	Present
4	American Physical Society One Physics Ellipse, College Park, MD 20740-3844	society advancing physics	Member	5/76	Present
5	International Institute for Strategic Studies(IISS) 13-15 Arundel Street, Temple Place, London, England WC2R 3DX	think tank focused on international security	Member	5/97	Present
6	National Committee on U.S.-China Relations 71 West 23rd Street, Suite 1901 · New York, NY 10010-4102	organization focused on U.S.-China relationship	Member	11/98	Present

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None ☐

	Source (*Name and Address*)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	Center for a New American Security 1301 Pennsylvania Ave. NW, suite 403, Washington, DC 20004	Report preparation
2		
3	Massachusetts Institute of Technology Lincoln Laboratory 244 Wood Street, Lexington, MA 02420-9185	Advising as member of advisory board
4	Goldman Sachs & Co. One New York Plaza, 40th floor, New York, NY 10004	Advising
5	Caxton Associates, LLC 500 Park Avenue, 10th floor, New York, NY 10022	Advising
6	Raytheon Dallas, TX	Service: Critical Analysis on 10/20/08, invoiced on 11/3/08

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Part I: Positions Held Outside U.S. Government

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None ☐

	Organization (*Name and Address*)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	American Academy of Diplomacy 1726 M Street, NW Suite 202, Washington, DC	honorary priv. nonprofit, nonpartisan corp. of elected American foreign policy leaders	Member	12/08	Present
2	Review Panel on Future Directions for DTRA (Defense Threat Reduction Agency) Missions and Capabilities To Combat WMD - DC	Review Panel on combatting WMD make reccs to DOD and US gov't	Co-Chair	8/07	7/2008
3	Center for Strategic & International Studies 1800 K Street, NW - suite 400, Washington, DC 20006	public policy research institution	Senior Associate, International Security Program	3/03	2/09
4	National Missile Defense White Team - Institute for Defense Analyses, 4850 Mark Center Drive, Alexandria, VA 22311-1882	Advisory Group to Missile Defense Agency	Member	5/98	Present
5	International Security Advisory Board to the Secretary of State DOS, 2201 C St. NW, ISN/SPO, Room 3209, Washington, DC 20520	Advisory Board on arms control matters & international security	Member	9/06	1/08
6	Mitretek Systems, Inc. (now Noblis) 3150 Fairview Park Drive South, Falls Church, VA 22042-4519	nonprofit science, technology and strategy organization	Trustee	5/97	5/07

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None ☐

	Source (*Name and Address*)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	Mitretek Systems, Inc. (now Noblis) 3150 Fairview Park Drive South, Falls Church, VA 22042-4519	Advising as trustee
2		
3		
4		
5		
6		

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CARTER, Ashton B.	**SCHEDULE D**	26

Part I: Positions Held Outside U.S. Government

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	Organization (*Name and Address*)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	Center for a New American Security, Wash, DC	think tank	Board of Advisors	4/07	3/09
2	Stanford University Palo Alto, CA	University	consultant, 1 day	5/07	5/07
3	Aurora Flight Science Corp. Manassas, VA	Space Technology Company	consultant, 1 day	6/07	6/07
	Center for a New American Security, Wash, DC	think tank	consultant, 1 day	5/08	5/08
4	MIT Lincoln Laboratory, Lexington, MA	Fed. Funded Research and Dev. Corp.	Chairman and Member of Advisory Board	5/98	present
5	Caxton Associates, LLC NY, NY	Hedge Fund	speaker	3/07	3/07
6	Raytheon, Dallas, TX	Defense Contractor	one day technical advice	10/08	10/08
	Harvard University, Cambridge, MASS	University	Senior Faculty Member	9/88	present

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	Source (*Name and Address*)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1		
2		
3		
4		
5		
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