SF278 (Rev. 03/2000)

5 C.F.R Part 2634

# Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

OMB No. 3209-0001

U.S. Office of Government Eulics			
Date of Appointment, Candidacy, Election or Nomination (Month, Day, Year)	Reporting Status         Calendar Year           (Check         Incumbent         Covered by Report	New Entrant, Nominee, Termination Termination Date (If Appli- cable) (Month, Day, Year)	Fee for Late Filing Any individual who is required to
		X or Candidate Filer	file this report and does so more than
January 20, 2009			30 days after the date the report is
	Last Name	First Name and Middle Initial	required to be filed, or, if an extension
Reporting Individual's Name	Caldera	Louis E.	is granted, more than 30 days after the
	Title of Position	Department or Agency (If Applicable)	last day of the filing extension period shall be subject to a \$200 fee,
Position for Which Filing	Director, White House Military Office	White House Office	
			Reporting Periods
Location of Present Office	Address (Number, Street, City, State, and ZIP Code)	Telephone No. (Include Area Code)	Incumbents: The reporting period is the preceding calendar year except
(or forwarding address)	The White House, East Wing, Room 206, Washingto	on, DC 20502 (202) 757-2151	Part II of Schedule C and Part I of
(or forwarding address)			Schedule D where you must also
Position(s) Held with the Federal	Title of Position(s) and Date(s) Held		include the filing year up to the date
Government During the Preceding			you file. Part II of Schedule D is not
12 Months (If Not Same as Above)	none		applicable.
			Termination Filers: The reporting
Presidential Nominees Subject to	Name of Congressional Committee Considering Nomination	Do You Intend to Create a Qualified Diversified Trust?	period begins at the end of the period
Senate Confirmation			covered by your previous filing and ends
		Yes No (ND)	at the date of termination. Part II
Certification	Signature of Reporting Individual	Date (Month, Day, Year)	of Schedule D is not applicable.
I CERTIFY that the statements I have			Nominees. New Entrants and
made on this form and all attached	( Sie E. Con		Candidates for President and Vice
schedules are true, complete and correct to the best of my knowledge.		March 16, 2009	President:
			Schedule AThe reporting period for
	Signature of Other Reviewer	Date (Month, Day, Year)	income (BLOCK C) is the preceding calendar year and the current calendar
Other Review	$  \langle X \rangle \rangle \langle I \rangle$		year up to the date of filing. Value
(If desired by		$ \langle \rangle   \rangle   \rangle q$	assets as of any date you choose that is
agency)		7001	within 31 days of the date of filing.
Agency Ethics Official's Opinion	Signature of Designated Agency Ethics Official/Reviewing Offici	al Date (Month, Day, Year)	Schedule BNot applicable.
On the basis of information contained			Saladada C. Bant I. (C. 1996a)
in this report, I conclude that the filer is in compliance with applicable laws and	Vii A. Cat	3/22/09	Schedule C. Part I (Liabilities)- The reporting period is the preceding
regulations (subject to any comments	19-10.000	5/22/09	calendar year and the current calendar
in the box below).		Date (Month, Day, Year)	year up to any date you choose that is within 31 days of the date of filing.
Office of Government Ethics	Signature	Date (Monin, Day, Tear)	while 31 days of the date of filing.
Use Only			Schedule C. Part II (Agreements or
Comments of Reviewing Officials (If addit	ional space is required, use the reverse side of this sheet)		Arrangements) Show any agreements or arrangements as of the date of
Commond of Reviewing Officials [1] addi			filing.
	(Check box if	f filing extension granted & indicate number of days)	Caladada D. The state
			Schedule D-The reporting period is the preceding two calendar years and
			the current calendar year up to the
			date of filing.
1			Agency Use Only
			OGE Use Only
		(Check box if comments are continued on the reverse side)	

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U.S. Office of Government Ethics Reporting Individual's Name

Louis E. Caldera

SCHEDULE A

			1															_																		
		Assets and Income					а	t clo	of ose o g pe	of	sets d	;																	less item		n \$2	01)"	' ís (	checked, no		
		BLOCK A						BLO	CK I	B	_															Ð	LOCI	кс								
rep pro val ing in i	ort each duction ue excee period.	ur spouse, and dependent children, asset held for investment or the of income which had a fair market ding \$1.000 at the close of the report- or which generated more than \$200 uring the reporting period, together	\$1,001)		an an ann an ann an Anna Anna An An Anna Anna				14410 - 14410 - 144		0	00	000		Fend		1		<u>T</u>	pe		()					An	our	nt I		0			Other Income (Specify	Das (Mo., 1 Yr.,	Dav.
For and tha reco inco	voursel ount of e n from the ort the se ome of n	f, also report the source and actual arried income exceeding \$200 (other he U.S. Government). For your spouse, burce but not the amount of earned hore than \$1,000 (except report the ht of any honoraria over \$200 of	None (or less than S1,	\$1,001 - \$15,000	S15,001 - 550,000	550,001 - S100,000	\$100,001 - \$250,000	S250,001 - \$500,000	\$500,001 · S1,000,000	Over \$1,000.000 *	S1,000,001 - S 5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fend	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than 5201)	S201-51,000	\$1,001 - \$2,50f	22,501 - 55,600	S5,001 - S15,000	S15,001 - S50,000	\$50,001 - 51'00,000	\$100,001 - \$1,000,000	O ver S1,000,000*	\$1,000,000 - \$5,000,000	Qver \$5,000,000		(opceny Type & Actual Amount)	Only Honor	ý if
$\vdash$		Central Airlines Common		-		x		+-		┢		$\vdash$		-				×						x		-		-		-			+			
E	amples	Doe Jones & Smith, Hometown, State Kempstone Equity Fund IRA: Heariland 500 Index Fund	1 1		× _										 5 																			Partner-Lip locater 313 7,000		
1		9 Plan (3 accounts) ggressive Growth Portfolio)			x				20 A 10 A						x		10.0.0					x														
2		endent 529 Plan (3 accounts) repaid Tuítion Certificates)					x										301 - 20 M.C.		). }.			x														
3		CREF Account - CREF Growth)				x	and the offers of								×		د بر مرد د بر مرد					x														
4		vestern Mutual e life policy)				X											100	x						×							And a set of a					
5	PFCU	Checking/Savings Acct (joint)					x										100 million			x					x						and the second se					
6	USAA	Checking/Savings Acct (joint)		x			a and a state													×			x													
		egory applies only if the asset/income is s her higher categories of value, as appropriate			at of	the	filer	's sp	ouse	or	ieper	nder	st ch	ildre	n. 1	fthe	asse	t∕in¢	come	e is e	ithe	r tha	t of	the	iler	or je	intly	held	by	the fi	le vi	rith (	he s	pouse or depend	nt child	ren,

Prior Editions Cannot be Used.

porting Individual's Name								_		so			UL e on					ue	d										Ради Литвет З	
Assets and Income					сро	clo rtinį	se o g pe	of criod				Τ										. If ock (					nan	\$20	II)" is checked, no	
BLOCK A			53555		E	BLOC	CK B	3		12		-555	3	alerse:		<b>T</b>		_	_					CK C			_			
None	None (or less than S1,001)		\$15,001 - \$50,000	S50,001 - S100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	SS00,001 - S1,000,000	Over S1,000.000 *	\$1,000,001 - \$ 5,000,000	<b>55,000,001 - 525,000,000</b>	525,000,001 - 559,000,000	Excended lovestment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties		Capital Gains	None (or less than \$201)	S281 - 51,000	\$1,001 - S2,500	\$2,501 - \$5,000		S15,001 - S50,000		000	Over S1,000,000*	\$1,000,001 - \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Dat Yr.) Only if Honorari
T.Rowe Price (IRA) (Retirement Fund 2020)			x									x					6 CONTRACTOR			×					1.000					
T. Rowe Price IRA (spouse) (Retirement Fund 2030)		x										×					100000000000000000000000000000000000000			x										
Nationwide Retirement Solutions/ Ca Savings Plus Program (Asset Allocation Fund)	V - N		x						2			×		100 miles			0.0000000000000000000000000000000000000		x				a farmer			Sec. Sec.	A NUMBER OF STREET			
Nationwide Retirement Solutions/ Ca Savings Plus Program (Large Cap Fund)		x			1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1							<b>X</b>					100000000000000000000000000000000000000		x		0.0000000000000000000000000000000000000				11 11 11 11 11 11 11 11 11 11 11 11 11					
Nationwide Retirement Solutions/ CA Savings Plus Program (Mid Cap Fund)		x								11. SIV		×		1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1				A.K.COD	x						10 (A)		1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1			
Nationwide Retirement Solutions/ CA Savings Plus Program (International Fund)		x								144 (J. 17)	A CONTRACTOR	×		a subscription of the second se			1.000000	8.60 C	x		1000 000 000 000 000 000 000 000 000 00				100 C					
Southwest Airlines Co.	1. C. C. C.																								10.000 AV		Marcal Marca		director's comp \$68K	
Southwest Airlines Co.																							1		. 0.000			1000	imputed income \$1K	
NM Educational Retirement Board					x							à							x		100000		1		No.		1000			

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Value of Airline Fickets given to Directors. (KD)

porting Individual's I	ame										SC	:HI	en de	UI	Æ	A	:0 <b>0</b>	tir	11)@	be											Page Number	
ouis E. Caldera														eon																	4	
Assets	and locome			,		at	clos	e of		ets –			Γ								am ed is							thar	\$2(	)" )	is checked. no	
	BLOCK A	_					LOC		100		1000			a—-								_		BLC	эск	с					_	
Neng		Note (or less than \$1,001)	\$1,001 - \$15,000	\$15001 - \$50,000	SS0,001 - S100,000	\$100,001 - \$250,000	\$250,001 - \$500,000		Over S1,000.000 +	31,400,000 - 3 3,400,000 - 500,000 - 500,000		Over \$50,000,000	Facented Investment Fund		Qualified Trust	Dividends	Rent and Royalties		Capital Quins	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000		000 000 - 830 000		S100,001 - S1,800,000	Over \$1,000,000*	\$1,000,001 - \$5,000,100	Over 55,000,000	Other Income (Specify Type & Actual Amount)	Daie (Mo Dav, Yr.) Onlv if Honoraria
Southwest Airli	nes Co.																														severance \$35K	
Southwest Airli	nes Co.														and the second										10000						phantom stock award payment \$35.5K	
<ul> <li>Southwest Airli</li> <li>(options - see A</li> <li>Value not readi</li> <li>Belo Crop</li> </ul>					_													_		×										_		
(restricted stoc) - see Attachme			x			×									2.2.000					x												
<ul> <li>Belo Corp (dividend equiv stock unit awar</li> </ul>	alents on restricted ds)																														\$1,400	
AH Belo Corp															102														1.		director comp \$80K	
AH Belo Corp (restricted stoc - see Attachme			x																			x										
AH Belo Corp (dividend equiv stock unit awar	alents on restricted ds)	3				*									2		22 22 24														\$2,500	
CA CALPERS (Have not veste	Defined Benefit Plan				x															×					C.							

uis E. Caldera										SC								nue	ed											Page Number	5
Assets and Income			,		uati at	clos	e of	•	ets						Іпс	:0m	e: t									less tem.		n \$2	01)"	is checked, no	
BLOCK A						LOC															_		BL	<u>ock</u>	¢						
None	Note (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	S50,001 - S100,000	S100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Gver S1,000.000 *	SECOND POL - 535 AND AND - 540 AND -	55,400,001 - 545,000,000	Over 550,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dîvidends	Rent and Royaltics A		Capital Cains	None (or less than \$201)	\$201 - \$1,000	S1,001 - S2,500	\$2,501 - \$5,000		000 056 - 100'515	S50,001 - \$100,000	S100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Dav. Yr.) Onlv if Honoraria
Indymac Bancorp, Inc. (restricted stock unit awards - see attachment 4)		V	a	2.		<u>л</u>	, <b>†</b>		50	ev	Ya	41	al	50	Q,	Бı	>		_			×	_				-				
Indymac Bancorp, Inc. (dividend equivalent on RSU awards)																											_			\$2,783	
Indymac Bancorp, Inc. (deferred comp distribution)	er.																													\$168.405	
Indymac Bancorp, Inc. (director comp)					8																			2108100 2000						\$58,750	
Univ of NM Health Sciences Center (spouse)																														salary	
Univ of NM School of Law																						5								salary \$107K	
TLAA CREF Account (spouse) Equities Index Fund)		x		1								×							x												
TIAA CREF Account (spouse) (Growrth Fund)		x										×							x												
TIAA CREF Account (spouse) (Stock Fund)		x				's sp						×		100 F					x												

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BLOCK A	-				810	CK B	t .		- 100	000	-		12:3					_					СК									
	None (or less than \$1,001)	S1,001 - 515,000	S15,001 - 550,600	5100.001 - 5100,000 5100.001 - \$250.000	S250,001 - \$500,000	\$500,001 - \$1,000,000	Over 51,000.000 *	\$1,000,001 - \$ 5,000,000	SS,000.001 - S2S,000.000	\$ 25 000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted 1 rust	Duritiero 3 2 4 1 2 2	tovalties	Interest	suie	None (or less than \$201)	\$201 - \$1,000	S1,001 - 52,500	\$2,501 + \$5,800		000 . 550,000		\$100,001 - \$1,600,000	Over S1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000		Other Income (Specify I vpe & Actual Amount)		Date (Mo., Dav. Yr.) Only if Honoraria
None		S			s	×	Ŭ	~	S		Ĭ								\$	S	~	ŝ	S	S	~	0	s	0				
<ul> <li>Belo Corp (options – see Attachment 2)</li> <li>Value not readily ascertainable.</li> </ul>										2								x				W1000000										
2 AH Belo Corp (options - see Attachment 3) Value not readily ascertainable.									and the second second									×														
3 UBS Separately Managed Account 8) IRA - account closed- reportable acct detail below other holdings below	×								1 CONTRACTOR					×	(		×				x											
4 reporting threshold-income agrregated UBS Resource Management Account (Money Market Portfolio)	×													,				Γ			×	to state of the										
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		Nome (or less than \$1 001)		51,001 - 515,000 E12 Añis - 550 AAA		000,001 - 5100,056	000'0675 - 100'0415	\$250,001 - \$500,000	\$\$00,001 - \$1,000,000	Over S1,000.000 *	\$1,000,001-\$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over 550,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends		Unferrest	Capital Gains	None (or less than \$201)	S201 - S1,000	\$1,001 - S2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	An 000'055 - 100'515	SS0,001 - \$100,000	00	Over S1,000,000*	51,000,001 - 55,000,000	Come of COM DOD	Oversonution	Oth Inco (Spec Type Actu Amou	nic hfy : &: 28}	Date (Mo., Da Yr.) Only it Honorar
•	None UBS Separately Managed Accounts (jointly held – accounts now closed)	x				(footsoo)		100000		_			4					×		x	×	-		 			- Antopa - etc	x	NA AL							
2	reportable account detail below: UBS Resource Management Account (Money Market Portfolio)	X	R. LOCA MANDON 1998								<u></u>							x					x						and the second second			_				
S	GE Money Market CD (matured 2008)	x	and the matching																	x	1996		x													
4	UBS Bank Deposit Account	x	g to concrete the set																	x	1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1			x				-								
5	Univ of NM Hopsital Rev Bonds 2010 (sold 2008)	×	of these shares and the		ŀ															x	1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.			x												
5	Univ of NM Hopsital Rev Bonds 2010 (sold 2008)	×	and a constant																	x	26-5.65				×		10 - 10 - 10 - 10 - 10 - 10 - 10 - 10 -									
7	Accenture	×	- Arriver -										12					x			x		x						Å				T			
B	Avery Dennison	x	a publication of a			a management						-	1					x			addus X 10000		x				Contraction of the						T			
9	Bank of America	×				0.0000000000000000000000000000000000000												x					x			-		-				-	┢			

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BLOCK A				BL		8				-					Typ	De	-						OCK Am		•						I
None	Nome (or less than \$1,001)	 \$15,001 - \$50,000	S50,001 - S100,000	5180,001 - 5250,000 \$2560.601 - 5560.000	5500,001 - 51,000,000	Over \$1,000.000 *	\$1,000,001 - \$ 5,000,000	S5,000,001 - S25,000,000	\$25,000,001 - \$50,000,000	Over \$\$0,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust		d Royal tics		Capital Gains	None (or less than \$201)	S284 - \$1,800	51,001 - 52,500	\$2,501 - \$5,000	<b>S5,001 - 5.15,000</b>	\$15,001 - \$50,000		00	Over\$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000		Other Income (Specify Type & Actual Amount)	Date (Mo Do Yr.) Onlv i Honora
Citigroup	×		N LAN		-									×	-+			_	x												
Family Dollar Stores	x													x			x			×											
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General Electric	×													×					x										$\square$		
Home Depot	x												2	×					×				1 11 M								
Intel	×			an arres										×					x						1						
ІВМ	×													×			x			x											
Johnson & Johnson	×													×			×		×				14 A 44								
JP Morgan Chase	×		_											X					x												

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buis E. Caldera					_						(U	se (	only	if r	leed	ed)		_												
Assets and Income BLOCK A					port	lose	of erio		:						her e							C fo		at it		han	\$20	) <b>!</b> )" i	is checked. no	
None	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	S50,001 - S100,000	\$100,001 - \$250,000 5120,001 - 5260,000	000'0055 - 100'00755 \$500.001 - \$1.000.003	Over \$1,000.000 *	51,000,001 - 5 5,000,000	S5,000,001 - S25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Dividends		Interest	Capital Guins	None (or less than \$201)	5201-\$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000,		\$15,001 - \$50,000		000	Over \$1,000,000*	S1,000,001 - S5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo Do Yr.) Only i Honorar
KB Home	×			0.2	a she a			Į.						×			100		x								11. 11. 11.			
Lincoln National	×			1000 C										×					x				No. Korker				1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.			
Masco Corp	x													x					x											
Pfizer	×							100						×			Predator		x			Notes:		13						
Popular	x						C. C			C.							x		×											
Travelers	×										1	3:		U U			X		×											
Wachovia	×													×			1.848.8		×			A CONTRACTOR								
3 Walmart	x									-				×			x			x										
Watson Pharmaceutical	×						Namon (								T		x		x					1000						

mark the other higher categories of value, as appropriate.

Prior Editions Cannoi be Used.

### 5 C.F.R Part 2634

U.S. Office of Government Ethics

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eporting Individual's Name										SC	H	ED	UL	Ê A		ont	int	red										1	age Number	
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BLOCK A	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000			CK 000,000,12 - 100,005S		\$1,000,001 - \$ 5,000,000	55,000,001 - 525,000,000 575 000 001 - 550 000 000	Over 550.000.000	Excepted Investment Fund	Excepted Trust	Qualified Trust		Kenf and Koyalites	Caine	None (or less than S201)	\$201-\$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000		S15,001 - 550,000		S100,001 - S1,000,000	Over \$1,000,000*	S1.000.001 - S5,000.000	Over 55,000.000	Other Income (Specify Type & Actual Amount)	Date (Mo., Dz Yr.) Onlv i Honorau
Microsoft	x				2 10 10 10 10 10 10 10 10 10 10 10 10 10						50.				x				x											
Elan Corp PLC	x				2. 2. 2.														X				x							
intentionally left blank																		T												
USAA Brokerage Account (joint) (new account – money market only)						x		0.0000 14.00000				x						x												
USAA SEP IRA Account (new account – money market only)				x				Constant of the		1000 AN		x						X												
USAA IRA Account (2030 Fund)		x						at starters of				x						×												
USAA Roth IRA Account (2030 Fund)		x							- Andrew			x						x												
USAA IRA Account (spouse) (new account – money market only)	1997 N. 1998			×								×						×												
USAA Roth IRA Account (spouse) (2030 Fund)		×										x						x												-

Prior Editions Cannot be Used.

SF 278 (Rev. 03/2000) 5 C.F.R Part 2634 U.S. Office of Government Ethics	Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate
Penerting Indusidual's Name	

Reporting Individual's Name Louis E. Caldera		SCHEDULE B 11																
Part I: Transactions							None	•		]								
	t any purchase, sale, or exchange by you, your spouse, endent children during the reporting period of any real personal residence, or a transaction solely between you,				on )					ιA	nount o	f Trans	saction	(x)				
property, stocks, bonds, commodity fi securities when the amount of the tran \$1,000. Include transactions that resu	your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.	Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	\$1,001 - \$15,000	5,001 - 0,000	0,001 - 00,000	00,001 - 50,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	er 0,000,000	Certificate of divestiture	
		cation of Assets		Sa	<u>ش</u>	2/1/99	5 5	\$1; \$5		\$1.	\$2 \$2	\$5 \$1	<u></u> 5 €	\$1, \$5,	\$2°	\$2; \$5(	Over \$50,0	div Cer
Example: Central Airlines Common	1		X			2/1/99			x									
2	<u> </u>												'	<u> </u>		┥──┦		<u> </u>
3													$\vdash$	<u> </u>	<u> </u>			<u> </u>
4															<del>                                     </del>	┝─┦		
5			_												<u> </u>	┝─┤		<u> </u>
* This category applies only if the unit	derlying asset is solely the	t of the filer's spouse or dependent children. If the underlying	asset is ei	ther he	eld									<u> </u>				
		dent children, use the other higher categories of value, as appro					_											
Part II: Gifts, Reimbu	rsements, and [	<b>Fravel Expenses</b>																
For you, your spouse and dependition, and the value of: (1) gifts (st food, or entertainment) received ft (2) travel-related cash reimburser than \$260. For conflicts analysis, as personal friend, agency approvauthority, etc. For travel-related g dates, and the nature of expenses	uch as tangible items, t from one source totalin nents received from on it is helpful to indicate al under 5 U.S.C. § 41 gifts and reimbursemen	ransportation, lodging, g more than \$260; and e source totaling more e a basis for receipt, such 11 or other statutory ts, include travel itinerary,	rece inde the o total	ived f pende donor' value	rom ent o s res e from	rnment; gi relatives; f f their rela idence. Al m one soun isions.	receive tionsh so, for	ed by ip to y	your s you; o oses c	spous r prov	e or de vided a regatii	epend as pers ng gif	lent ch sonal fts to c	hild to hospi detern	otally itality mine t structi	at he		]
Source (Name an	d Address)			ief Des													Value	
Examples: Nat'l Assn. of Rock Coll Frank Jones, San Francis		Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty) Leather briefcase (personal friend)									+	- <u>\$500</u> \$300						
1																		
2																		
3													-					
4																		
5																		

Prior Editions Cannot Be Used.

5 C.F.	8 (Rev. 03/2000) R Part 2634																
	Office of Government Ethics			_									10				
1 °	rting Individual's Name s E. Caldera		SCHEDUI	LE C									Page N	lumber	12		
												_					
Par	rt I: Liabilities																
Repo	ort liabilities over \$10,000 owed to an	y one creditor at	personal residence unless it is rented out; loans secured		No	one X				Cateo	ory of A	mount	or Val	ue (x)			
	time during the reporting period by y	by automobiles, household furniture or appliances; and							Calce	019 01 7	unoun						
1 ·	pendent children. Check the highest		liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.	Date	Interest	Term	;r			1.	.	. g	6	- 0	- 8	58	8
durir	ig the reporting period. Exclude a m	longage on your	See instructions for revolving charge accounts.	Incurred				3 5 8	5 8	88	\$250,001 - \$500,000	100 Q	Over \$1,000,000	\$1,000,001 \$5,000,000	\$5,000,001 \$25,000,000	\$25,000,001 \$50,000,000	r 000,000
			The second state of the se	-		cable	\$10,001	\$15,000 \$50,000	0,0	250	200,220	8 6	Over \$1,000	0,0	5,00	25,0 50,0	ver 50,0
	Creditors (Name and First District Bank, Washington		Type of Liability Mortgage on rental property, Delaware	1991	8%	25 yrs		9 69 69 	<u> ө</u> ө х	କ୍ର	କ୍	64 64	0 \$	କ କ	\$ \$	66 69	6 69
Exar	John Jones, 123 J St., Washington		Promissory note	1999	10%	on dema		+			$f_{x}$		1	<u> </u>			
1																	
												L	<u> </u>		<u> </u>	L	
2																	
3						-									<u> </u>		┝─┤
4																	
										<u> </u>			$\square$		$\vdash$		
5																	
* 71	a set some applies only if the lightlity	vis cololy that of the fil	er's spouse or dependent children. If the liability is that of the	filerorai	oint liabili	ity of the :	filer										<u> </u>
	the spouse or dependent children, m			, mer or a j	onn naon	ity of the	iner										
_																	
	rt II: Agreements or A			6.1					G				1			-	
Rep	ort your agreements or arrangeme loyee benefit plan (e.g. 401k, det	ents for: continuing j	participation in an	of absen	, ,	(4) future							aing t	ne re	bortin	g	
	nent by a former employer (inclu			of negot	lations it	of any of	these a	range	ments	SULD	enems						
Days												1	None				
		Status and Terms of any	Agreement or Arrangement				-			Partie	es						Date
Exa	ample: Pursuant to partnership agreer calculated on service performe		in payment of capital account & partnership share			1	Doe Jone	s & Smi	th, Hor	netown	, State					7,	/85
1	Fenured professor on leave of ab	sence without pay.					Univ of	NM Sc	chool d	of Lav	v, Albı	quero	jue, N	IM		1	/09
2																	
	Continue to participate in deferred comp program at educational institution (identified as TIAA-CREF in Sched A) Univ of NM , Albuquerque, NM 6/03																
3	Continue to participate in deferred comp program at educational institution (identified as NRS/CA Savings Plus in Sched A) Cal State Univ, Long Beach, CA 6/07								/01								
4	Continue to participate in defined	benefit plan at educa	ational institution identifed as CA Calpers in Sched A (N	lot vested)			Cal Sta	e Univ	, Lon	g Bea	ch, C/	۹				6	/01
5			ational institution identified as NM ERB in Schedule A (				Univ of	NM. A	lbuqu	eraue	NM					6	/03
6	continue to participate in defined	Solient plan at educe														1	

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U.S. Office of Government Ethics

Reporting Individual's Name

Louis E. Caldera

## SCHEDULE D

13

None

#### Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
	xamples: Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
Ľ.	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1					
	Southwest Airlines Co., Dallas, TX	Corporation	board member	3/03	1/09
2	Belo Corp, Dallas TX	Corporation	board member	7/01	2/08
	AH Belo Corp, Dallas, TX	Corporation	board member	2/08	1/09
3	Indymac Bank FSB, Pasadena,CA	Bank	board member	5/02	8/08
	Indymac Bancorp, Inc., Pasadena CA	Bank Holding Co.	board member	5/02	8/08
4	Groupo Centro, LLC, Los Angeles, CA	business entity	board member	2/07	12/08
	Helinet Aviation Services, LLC, Van Nuys, CA	business entity	board member	1/06	2/07
5	Claremont McKenna College, Claremont CA	non-profit educational institution	trustee	1/06	1/09
	National WWII Museum Board, New Orleans, LA	nonprofit museum	trustee	6/07	1/09
6	USC Tomas Rivera Policy Center, Los Angeles, CA	non-profit policy research insitution	board member	4/03	1/09
	UNM School of Law, Albuquerque, NM	non-profit educational institution	faculty member	6/03	current

#### Part II: Compensation In Excess Of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any

corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source. Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate None

		110he	
	Source (Name and Address)	Brief Description of Duties	
Evennles	Doe Jones & Smith, Hometown, State	Legal services	
Examples	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction	
1			
Univ	v of NM School of Law	Faculty salary	
2			
Sou	thwest Airlines Co.	Directors compensation	
3			
AH	Belo Corporation	Directors compensation	
4			
Indy	mac Bancorp, Inc.	Directors compensation	
5			
6			

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Attachment 1 SF 278 for Louis E. Caldera March 13, 2009

Southwest Airlines Co.

**Options Grant** 

Grant	Number	Exercise	Options	Expiration	Market
Date	Options	Price	Vested	Date	Value (3/09)
3/20/03	10,000	\$14.10	10,000	4/15/09	0

Attachment 2 SF 278 for Louis E. Caldera March 13, 2009

Belo Corp.

**Option Grants** 

Grant Date	Number <u>Options</u>	Exercise Price	Options Vested	Expiration Date	Market Value (3/09)
7/27/01	7 710	¢15 10	7 710	7/07/11	0
7/27/01	7,710	\$15.19	7,710	7/27/11	0
5/08/02	7,195	\$18.14	7,195	5/8/12	0
5/13/03	9,090	\$18.19	9,090	5/13/13	0
5/11/04	6,120	\$22.93	6,120	5/11/14	0
5/10/05	7,720	\$18.83	7,720	5/10/15	0
5/9/06	6,615	\$14.38	6,615	5/9/16	0
5/8/07	5,673	\$16.19	5,673	5/8/17	0

Time-Based Restricted Stock Unit Award Grants

Grant	Vest	Payment	Number	Units	Estimated
Date	Date	Date	Units	Vested	<u>Value*</u>
5/9/06	5/8/07	5/12/09	2,205	2,205	\$1,985
5/8/07	5/13/08	5/11/10	1,730	1,730	\$1,557

\* Estimated market value is based on 3/13 share price. Payment on payment date (for services performed in year of RSU award grant) is 60% in shares and 40% in cash.

Attachment 3 SF 278 for Louis E. Caldera February 19, 2009

AH Belo Corp.

**Option Grants** 

Grant Date	Number Options	Exercise Price	Options Vested	Expiration Date	Market Value (3/09)
7/07/01	1.540	<b>\$10.00</b>	1 5 40	2/02/11	0
7/27/01	1,542	\$19.02	1,542	7/27/11	0
5/08/02	1,439	\$22.72	1,439	5/8/12	0
5/13/03	1,816	\$22.78	1,816	5/13/13	0
5/11/04	1,224	\$28.70	1,224	5/11/14	0
5/10/05	1,544	\$23.57	1,544	5/10/15	0
5/9/06	1,323	\$18.40	1,323	5/9/16	0
5/8/07	1,134	\$20.27	1,134	5/8/17	0

Time-Based Restricted Stock Unit Award Grants

Grant <u>Date</u>	Vest Date	Payment Date	Number <u>Units</u>	Units Vested	Estimated <u>Value*</u>
5/9/06	5/8/07	5/12/09	441	441	\$309
5/8/07	5/13/08	5/11/10	346	346	\$622
7/23/08	1/16/09	7//23/11	5,300	3,591**	\$2,514

\* Estimated market value is based on 3/13 share price. Payment on payment date (for services performed in year of RSU award grant) is 60% in shares and 40% in cash.

\*\* Balance of units granted on 7/23/08 were forfeited on 1/16/09.

Attachment 4 SF 278 for Louis E. Caldera February 19, 2009

Indymac Bancorp, Inc.

## Time-Based Restricted Stock Unit Award Grants

Grant	Vest	Payment	Number	FMV at	Estimated
<u>Date</u>	Date	Date	<u>Units</u>	Vesting	Value (3/09)
3/15/06	3/15/08	3/15/08	625	\$3,100	0
3/23/07	3/23/08	3/23/08	631	\$3,350	0

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