

Annual Report for Calendar 2014

The Honorable Mitchell A McConnell (McConnell, A. Mitchell Jr.)

Filed 05/15/2015 @ 3:03 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was		Who Paid	Amount
	Paid	Type		Paid
1	Spouse	Salary	The Heritage Foundation Washington, DC	> \$1,000
2	Spouse	Other (Director Fees)	News Corp. (formerly News America Inc.) New York, NY	> \$1,000
3	Spouse	Other (Deferred Fees)	Dole Food Company, Inc. Westlake Village, CA	> \$1,000
4	Spouse	Other (Director Fees)	The Bloomberg Family Foundation, Inc. New York, NY	> \$1,000
5	Spouse	Other (Deferred Fees)	Delta Airlines, Inc. Atlanta, GA	> \$1,000
6	Spouse	Other (Director Fees)	Protective Life Insurance Co. Birmingham, AL	> \$1,000
7	Spouse	Other	Wells Fargo & Company	>

(Director Fees)

San Francisco, CA

\$1,000

8	Spouse	Other (Pass Through)	Worldwide Speakers Group Alexandria, VA	> \$1,000
9	Spouse	Other (Speaker Fee for speech given on 2/27/2014)	Women Freedom Forum (through Worldwide Speakers Group) Washington, DC	> \$1,000
10	Spouse	Other (Speaker Fee for speech given on 11/21/2014)	NC State University speech (through Worldwide Speakers Group) Raleigh, NC	> \$1,000

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
1	Republic Bank and Trust (Louisville, KY) Type: Checking,	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	None (or less than \$201)
2	<u>MNAX</u> - Victory Munder Growth Opportunities A (NASDAQ)	Trust General (Trust)	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
3	<u>VHCAX</u> - Vanguard Capital Opportunity Adm (NASDAQ)	Trust General (Trust)	Self	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
4	<u>VSIAX</u> - Vanguard Small Cap Value Index Admiral (NASDAQ)	Trust General (Trust)	Self	\$250,001 - \$500,000	Excepted Investment Fund,	None (or less than \$201)
5	<u>VFIAX</u> - Vanguard 500 Index Admiral (NASDAQ)	Trust General (Trust)	Self	\$250,001 - \$500,000	Excepted Investment Fund,	None (or less than

\$201)

6	A. Mitchell McConnell, Jr. Revocable Trust	Trust General (Trust)	Self			
6.1	Merrill Lynch (Louisville , KY) <i>Type:</i> Money Market Account, <i>Filer comment:</i> Reported last year as CMA Tax Exempt Fund (money market)	Bank Deposit	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
7	Mitch IRA MLPA	Retirement Plans IRA	Self			
7.1	Bank of America (Louisville, Kentucky) <i>Type:</i> IRA Cash Accounts, <i>Filer comment:</i> last year reported as FIA Card Services NA RASP, but as of Oct. 1, 2014, acquired by BOA	Bank Deposit	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7.2	<u>YACKX</u> - AMG Yacktman Service (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
7.3	<u>FEVIX</u> - First Eagle US Value I (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
7.4	<u>RYSEX</u> - Royce Special Equity Invmt (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
7.5	<u>SCPB</u> - SPDR Barclays Short Term Corp Bd ETF (NYSEArca)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
7.6	<u>TMCPX</u> - Touchstone Mid Cap Y	Mutual Funds	Self	\$15,001 -	Excepted	None (or

	(NASDAQ)	Mutual Fund		\$50,000	Investment Fund,	less than \$201)
7.7	<u>VCSH</u> - Vanguard Short-Term Corporate Bond ETF (NASDAQ)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
8	Vanguard 529 College Savings Plan <i>Institution: Vanguard</i>	529 College Savings Account Savings	Self			
8.1	Vanguard Aggressive Growth Portfolio (Aged Based)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
9	Loan to 2014 Campaign Committee <i>Company: McConnell Senate Committee '14 (Louisville , Kentucky)</i>	Accounts Receivable From a Business	Self	\$100,001 - \$250,000	Interest,	None (or less than \$201)
10	<u>VMMXX</u> - Vanguard Money Market Reserves (NASDAQ)	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
11	<u>VMSXX</u> - Vanguard Tax-Exempt Money Marke (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	None (or less than \$201)
12	<u>VFIAX</u> - Vanguard 500 Index Admiral (NASDAQ)	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	None (or less than \$201)
13	SunTrust Advantage Money Market Account (Washington, DC)	Bank Deposit	Joint	\$1,000,001 - \$5,000,000	Interest,	\$201 - \$1,000

Type: Money Market Account,

14	UBS Pension Trust (9 items)	Trust General (Trust)	Spouse			
14.1	UBS (uninvested cash held by UBS) (Washington, DC) Type: Checking,	Bank Deposit	Spouse	\$15,001 - \$50,000	Interest,	None (or less than \$201)
14.2	WGIFX - American Funds Capital World Gr&Inc F2 (NASDAQ) <i>Filer comment:</i> From American Funds Growth Fund of America F1 to American Funds Capital World Gr&Inc F2 and American Funds Growth Fund of America F2 on 8/11	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
14.3	SDSCX - Dreyfus/The Boston Co Sm/Md Cp Gr I (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
14.4	FEGIX - First Eagle Gold I (NASDAQ) <i>Filer comment:</i> Share class change from SGGDX to FEGIX on 8/11	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
14.5	PEQPX - Principal Equity Income P (NASDAQ) <i>Filer comment:</i> Share class changed from Principal Equity Income A to P on 8/11	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
14.6	GFFFX - American Funds Growth Fund of Amer F2 (NASDAQ) <i>Filer comment:</i> From American Funds Growth Fund of America F1 to American Funds Capital World Gr&Inc F2 and American Funds Growth Fund of America F2 on 8/11	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	None (or less than \$201)
14.7	DPFFX - Delaware Diversified Income Instl (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment	\$1,001 - \$2,500

Filer comment: Share class change from DPDFX to DPFFX on 8/11

Fund,

14.8	TGBAX - Templeton Global Bond Adv (NASDAQ) <i>Filer comment: Share class change from TPINX to TGBAX on 8/11</i>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
14.9	PGDPX - Principal Global Div Inc P (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
15	(Heritage Foundation 403(b)) SWIXX-Schwab Retirement Advantage Mon (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
16	(Heritage Foundation Retirement) SWIXX-Schwab Retirement Advantage Mon (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
17	(Retirement) Deutsch VSI Capital Growth - Mutual of America <i>Filer comment: Tax Deferred Annuity</i>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$5,001 - \$15,000
18	SunTrust Solid Choice Banking (Washington, DC) <i>Type: Checking,</i>	Bank Deposit	Spouse	\$15,001 - \$50,000	Interest,	None (or less than \$201)
19	Suntrust Business Checking (Firebird International LLC) (Washington, DC) <i>Type: Checking,</i> <i>Filer comment: Firebird International LLC is a single member LLC and this entity does not have any value other than the cumulative value of its individual assets.</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
20	Suntrust Business Money Market Performance (Firebird International LLC)	Bank Deposit	Spouse	\$500,001 - \$1,000,000	Interest,	\$201 - \$1,000

(Washington, DC)

Type: Money Market Account,

Filer comment: Firebird

International LLC is a single member LLC and this entity does not have any value other than the cumulative value of its individual assets.

21	Suntrust Personal Savings Account (Washington, DC) Type: Savings,	Bank Deposit	Spouse	\$1,001 - \$15,000	Interest,	None (or less than \$201)
22	UBS IRA (7 items)	Retirement Plans IRA	Spouse			
22.1	WGIFX - American Funds Capital World Gr&Inc F2 (NASDAQ) Filer comment: Share class change from CWGFX to WGIFX on 9/22	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
22.2	FEGIX - First Eagle Gold I (NASDAQ) Filer comment: Purchase on 2/18 and share class change from SGGDX to FEGIX on 9/22	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
22.3	PEQPX - Principal Equity Income P (NASDAQ) Filer comment: Share class change from PQIAX to PEQPX on 9/22	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
22.4	RSPYX - RS Partners Y (NASDAQ) Filer comment: Share class change from RSPFX to RSPYX on 9/22	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
22.5	GFFFX - American Funds Growth Fund of Amer F2 (NASDAQ) Filer comment: Share class change from GFAFX to GFFFX on 9/22	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$201 - \$1,000
22.6	DPFFX - Delaware Diversified Income Instl (NASDAQ) Filer comment: Share class change from DPDFX to DPFFX on 9/22	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$2,501 - \$5,000

22.7	<u>TGBAX</u> - Templeton Global Bond Adv (NASDAQ) <i>Filer comment: Share class change from TPINX to TGBAX on 9/22</i>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
23	Scottrade (Washington, DC) <i>Type: Brokerage Sweep Account, Checking,</i>	Bank Deposit	Spouse	None (or less than \$1,001)	Interest,	None (or less than \$201)
24	<u>TRBCX</u> - T. Rowe Price Blue Chip Growth (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$5,001 - \$15,000
25	<u>PRITX</u> - T. Rowe Price International Stock Fd (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
26	<u>RPMGX</u> - T. Rowe Price Mid-Cap Growth (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund,	\$15,001 - \$50,000
27	<u>PRSCX</u> - T. Rowe Price Science & Tech (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund,	\$2,501 - \$5,000
28	<u>OTCFX</u> - T. Rowe Price Small-Cap Stock (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$2,501 - \$5,000
29	(Retirement) PREIX-T. Rowe Price Equity Index 500 (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$1,001 - \$2,500
30	(Pension Trust) VFIAX-Vanguard 500 Index Admiral (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$5,001 - \$15,000
31	<u>VGIAX</u> - Vanguard Growth & Income Adm (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$15,001 - \$50,000

32	VMSXX - Vanguard Tax-Exempt Money Marke (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
33	VFIAX - Vanguard 500 Index Admiral (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,000,001 - \$5,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
34	(IRA) VFIAX-Vanguard 500 Index Admiral (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund,	\$2,501 - \$5,000
35	MNMAX - Victory Munder Growth Opportunities A (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
36	WFC - Wells Fargo & Company (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
37	News Corp./phantom stock (Director Deferred Compensation) <i>Company: News Corp. (New York, NY) Description: Phantom Stock (Director Deferred Compensation)</i>	Deferred Compensation	Spouse	\$250,001 - \$500,000	None,	None (or less than \$201)
38	Wells Fargo & Company/phantom stock (Director Deferred Compensation) <i>Company: Wells Fargo & Company (San Francisco, CA) Description: Phantom stock (Director Deferred Compensation)</i>	Deferred Compensation	Spouse	\$500,001 - \$1,000,000	None,	None (or less than \$201)
39	Protective Life Corporation/phantom stock (Director Deferred Compensation) <i>Company: Protective Life Corporation (Birmingham, AL) Description: Phantom stock</i>	Deferred Compensation	Spouse	\$500,001 - \$1,000,000	None,	None (or less than \$201)

(Director Deferred Compensation)

40	Real Property with Carriage House Rental	Real Estate Residential	Joint	\$1,000,001 -	Rent/Royalties, \$5,000,000	\$5,001 - \$15,000
	<i>Description:</i> Real Property with Carriage House Rental (Washington, DC)					

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Self	<u>TIP</u>	iShares TIPS Bond (NYSEArca)	Sale (Full)	04/21/2014	\$1,001 - \$15,000	out of Mitch IRA MLPA
2	Self	<u>RYSEX</u>	Royce Special Equity Invmt (NASDAQ)	Sale (Partial)	04/21/2014	\$15,001 - \$50,000	out of Mitch IRA MLPA
3	Self	<u>FEVIX</u>	First Eagle US Value I (NASDAQ)	Sale (Partial)	04/21/2014	\$15,001 - \$50,000	out of Mitch IRA MLPA
4	Self	<u>ILTIX</u>	Ivy Limited-Term Bond I (NASDAQ)	Sale (Full)	04/21/2014	\$15,001 - \$50,000	out of Mitch IRA MLPA
5	Self	<u>VCSH</u>	Vanguard Short-Term Corporate Bond ETF (NASDAQ)	Purchase	04/21/2014	\$15,001 - \$50,000	into Mitch IRA MLPA
6	Self	<u>SCPB</u>	SPDR Barclays Short Term Corp Bd ETF (NYSEArca)	Purchase	04/21/2014	\$15,001 - \$50,000	into Mitch IRA MLPA
7	Self	<u>YACKX</u>	AMG Yacktman Service (NASDAQ)	Purchase	04/21/2014	\$15,001 - \$50,000	into Mitch IRA MLPA
8	Self	<u>TMCPX</u>	Touchstone Mid	Purchase	04/21/2014	\$15,001 -	into Mitch IRA MLPA

			Cap Y (NASDAQ)			\$50,000	
9	Self	--	Aggress Growth (Agg Age-based)	Purchase	09/19/2014	\$1,001 - \$15,000	into 529 Plan Account
10	Joint	<u>VMSXX</u>	Vanguard Tax- Exempt Money Marke (NASDAQ)	Purchase	11/25/2014	\$500,001 - \$1,000,000	into Vanguard Tax- Exempt Money Market Account
11	Spouse	<u>PQIAX</u>	Principal Equity Income A (NASDAQ)	Sale (Partial)	02/18/2014	\$1,001 - \$15,000	Pension Trust (but remainder share class changed from Principal Equity Income A to P on 8/11)
12	Spouse	<u>GFAFX</u>	American Funds Growth Fund of Amer F1 (NASDAQ)	Sale (Partial)	02/18/2014	\$1,001 - \$15,000	Pension Trust (but remainder share class changed from GFAFX to WGIFX and GFFFX on 8/11)
13	Spouse	<u>WGIFX</u>	American Funds Capital World Gr&Inc F2 (NASDAQ)	Purchase	10/01/2014	\$1,001 - \$15,000	Pension Trust
14	Spouse	<u>GFFFX</u>	American Funds Growth Fund of Amer F2 (NASDAQ)	Purchase	10/01/2014	\$1,001 - \$15,000	Pension Trust
15	Spouse	<u>WGIFX</u>	American Funds Capital World Gr&Inc F2 (NASDAQ)	Sale (Partial)	10/08/2014	\$1,001 - \$15,000	Pension Trust
16	Spouse	<u>GFFFX</u>	American Funds Growth Fund of Amer F2 (NASDAQ)	Sale (Partial)	10/08/2014	\$1,001 - \$15,000	Pension Trust
17	Spouse	<u>RSPFX</u>	RS Partners A (NASDAQ)	Sale (Partial)	02/18/2014	\$1,001 - \$15,000	Pension Trust
18	Spouse	<u>RSPFX</u>	RS Partners A (NASDAQ)	Sale (Full)	10/01/2014	\$1,001 - \$15,000	Pension Trust

19	Spouse	<u>RSPYX</u>	RS Partners Y (NASDAQ)	Sale (Full)	10/02/2014	\$1,001 - \$15,000	Pension Trust
20	Spouse	<u>CWGFY</u>	American Funds Capital World Gr&Inc F1 (NASDAQ)	Sale (Full)	02/18/2014	\$1,001 - \$15,000	Pension Trust
21	Spouse	<u>DPDFX</u>	Delaware Diversified Income A (NASDAQ)	Purchase	02/19/2014	\$1,001 - \$15,000	Pension Trust
22	Spouse	<u>DPFFX</u>	Delaware Diversified Income Instl (NASDAQ)	Purchase	10/01/2014	\$1,001 - \$15,000	Pension Trust
23	Spouse	<u>DPFFX</u>	Delaware Diversified Income Instl (NASDAQ)	Sale (Partial)	10/08/2014	\$1,001 - \$15,000	Pension Trust
24	Spouse	<u>TGBAX</u>	Templeton Global Bond Adv (NASDAQ)	Purchase	10/01/2014	\$1,001 - \$15,000	Pension Trust
25	Spouse	<u>TGBAX</u>	Templeton Global Bond Adv (NASDAQ)	Sale (Partial)	10/08/2014	\$1,001 - \$15,000	Pension Trust
26	Spouse	<u>SDSCX</u>	Dreyfus/The Boston Co Sm/Md Cp Gr I (NASDAQ)	Purchase	10/01/2014	\$1,001 - \$15,000	Pension Trust
27	Spouse	<u>SDSCX</u>	Dreyfus/The Boston Co Sm/Md Cp Gr I (NASDAQ)	Sale (Partial)	10/08/2014	\$1,001 - \$15,000	Pension Trust
28	Spouse	<u>FEGIX</u>	First Eagle Gold I (NASDAQ)	Purchase	02/18/2014	\$1,001 - \$15,000	Pension Trust
29	Spouse	<u>FEGIX</u>	First Eagle Gold I (NASDAQ)	Sale (Partial)	10/08/2014	\$1,001 - \$15,000	Pension Trust
30	Spouse	<u>PEQPX</u>	Principal Equity Income P (NASDAQ)	Purchase	10/01/2014	\$1,001 - \$15,000	Pension Trust
31	Spouse	<u>PEQPX</u>	Principal Equity	Sale	10/08/2014	\$1,001 -	Pension Trust

			Income P (NASDAQ)	(Partial)		\$15,000	
32	Spouse	<u>PGDPX</u>	Principal Global Div Inc P (NASDAQ)	Purchase	10/01/2014	\$15,001 - \$50,000	Pension Trust
33	Spouse	<u>PGDPX</u>	Principal Global Div Inc P (NASDAQ)	Sale (Partial)	10/08/2014	\$1,001 - \$15,000	Pension Trust
34	Spouse	<u>PQIAX</u>	Principal Equity Income A (NASDAQ)	Sale (Partial)	02/18/2014	\$1,001 - \$15,000	IRA
35	Spouse	<u>CWGFY</u>	American Funds Capital World Gr&Inc F1 (NASDAQ)	Sale (Partial)	02/18/2014	\$1,001 - \$15,000	IRA
36	Spouse	<u>GFFFX</u>	American Funds Growth Fund of Amer F2 (NASDAQ)	Sale (Partial)	02/18/2014	\$1,001 - \$15,000	IRA
37	Spouse	<u>RSPFX</u>	RS Partners A (NASDAQ)	Sale (Partial)	02/18/2014	\$1,001 - \$15,000	IRA
38	Spouse	<u>TPINX</u>	Templeton Global Bond A (NASDAQ)	Purchase	02/19/2014	\$1,001 - \$15,000	IRA
39	Spouse	<u>SPY</u>	SPDR S&P 500 ETF (NYSEArca)	Sale (Full)	10/01/2014	\$1,001 - \$15,000	IRA (not reported as asset in 2013 report because it did not meet threshold reporting reqs for 2013)
40	Spouse	<u>FEGIX</u>	First Eagle Gold I (NASDAQ)	Purchase	02/18/2014	\$1,001 - \$15,000	IRA
41	Spouse	<u>TRBCX</u>	T. Rowe Price Blue Chip Growth (NASDAQ)	Purchase	12/12/2014	\$1,001 - \$15,000	Dividend Reinvestment
42	Spouse	<u>PRITX</u>	T. Rowe Price International Stock Fd (NASDAQ)	Purchase	12/16/2014	\$1,001 - \$15,000	Dividend Reinvestment

43	Spouse	<u>RPMGX</u>	T. Rowe Price Mid-Cap Growth (NASDAQ)	Purchase	12/12/2014	\$15,001 - \$50,000	Dividend Reinvestment
44	Spouse	<u>PRSCX</u>	T. Rowe Price Science & Tech (NASDAQ)	Purchase	12/15/2014	\$1,001 - \$15,000	Dividend Reinvestment
45	Spouse	<u>OTCFX</u>	T. Rowe Price Small-Cap Stock (NASDAQ)	Purchase	12/12/2014	\$1,001 - \$15,000	Dividend Reinvestment
46	Spouse	<u>VFIAX</u>	Vanguard 500 Index Admiral (NASDAQ)	Purchase	06/24/2014	\$15,001 - \$50,000	transfer into Pension Trust
47	Spouse	<u>VFIAX</u>	Vanguard 500 Index Admiral (NASDAQ)	Purchase	09/30/2014	\$1,001 - \$15,000	transfer into Pension Trust
48	Spouse	<u>VFIAX</u>	Vanguard 500 Index Admiral (NASDAQ)	Purchase	09/30/2014	\$100,001 - \$250,000	Pension Trust
49	Spouse	<u>VGIAX</u>	Vanguard Growth & Income Adm (NASDAQ)	Purchase	06/20/2014	\$1,001 - \$15,000	Dividend Reinvestment
50	Spouse	<u>VGIAX</u>	Vanguard Growth & Income Adm (NASDAQ)	Purchase	12/17/2014	\$15,001 - \$50,000	Dividend Reinvestment
51	Spouse	<u>VFIAX</u>	Vanguard 500 Index Admiral (NASDAQ)	Purchase	04/21/2014	\$1,001 - \$15,000	IRA

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **No**

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Dates	Position Held	Organization	Organization Type	Comments
1	Jun 1994 to present	Other (Member, Visiting Committee (Uncompensated))	University of Kentucky College of Law Lexington, Kentucky	Other (Public University/Law School)	
2	Jul 2011 to present	Other (Member, Board of Dean's Advisors (Uncompensated))	Harvard Business School Cambridge, MA	Other (Private University/Business School)	

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **No**

Attachments & Comments

No attachments added.

No comments added.