### Facebook "Dark Pools" Holdings

<table>
<thead>
<tr>
<th>Funds</th>
<th>Amt. Invested (up to)</th>
</tr>
</thead>
<tbody>
<tr>
<td>T. Rowe Price</td>
<td>$7,000,000</td>
</tr>
<tr>
<td>Vanguard</td>
<td>$6,915,000</td>
</tr>
<tr>
<td>TIAA-CREF</td>
<td>$515,000</td>
</tr>
</tbody>
</table>

Total "Dark Pools" = 24

Percentage of Facebook "Dark Pool" Holdings: 88.9%

### Funds that do not qualify under the "safe harbor"

- (1) most IRAs;
- (2) funds that issue regular reports where the judge knows or should know the stocks in his portfolio;
- (3) funds with notorious activity (like T. Rowe Price and Fidelity pre-IPO Facebook investing);
- (4) undisclosed purchases of "dark" instruments which conceal activity subject to transparency laws;
- (5) law firm 401(k) retirement accounts;
- (6) funds where stocks are held in the judge's (or spouse) name — "even one share"; and
- (7) funds where there is an appearance of impropriety.


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### Summary of Facebook Club Basket Funds

<table>
<thead>
<tr>
<th>Funds</th>
<th>No. of Fund Entries</th>
<th>Amt. Invested (up to)</th>
</tr>
</thead>
<tbody>
<tr>
<td>T. Rowe Price</td>
<td>6</td>
<td>$7,000,000</td>
</tr>
<tr>
<td>TIAA-CREF</td>
<td>1</td>
<td>$515,000</td>
</tr>
<tr>
<td>Vanguard</td>
<td>17</td>
<td>$6,915,000</td>
</tr>
</tbody>
</table>

Total "Dark Pools" = 24

### NOTORIously Public Investments REquiring DISCLOSure:

- **T. Rowe Price**: T. Rowe Price bought 5.2% of Facebook stock after S.E.C. exemption (Source: S-1)