**United States Senate Financial Disclosure Report**

For Annual and Termination Reports

<table>
<thead>
<tr>
<th>Last Name</th>
<th>First Name and Middle Initial</th>
<th>Annual Report</th>
<th>Senate Office / Agency in Which Employed</th>
</tr>
</thead>
<tbody>
<tr>
<td>Clinton</td>
<td>Hillary Rodham</td>
<td>2007</td>
<td>U.S. Senate</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Senate Office Address (Number, Street, City, State, and ZIP Code)</th>
<th>Senate Office Telephone Numbers (Include Area Code)</th>
<th>Termination Report</th>
<th>Prior Office / Agency in Which Employed</th>
</tr>
</thead>
<tbody>
<tr>
<td>476 Russell Office Bldg Washington, D.C. 20510</td>
<td>202-224-4451</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**After Reading the Instructions - Answer Each of These Questions and Attach the Relevant Part**

<table>
<thead>
<tr>
<th>Question</th>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
<tbody>
<tr>
<td>Did any individual or organization make a donation to charity in lieu of</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>paying you for a speech, appearance, or article in the reporting period?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>If Yes, Complete and Attach PART I.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Did you or your spouse have earned income (e.g., salaries or fees) or</td>
<td></td>
<td>X</td>
</tr>
<tr>
<td>non-investment income of more than $200 from any reportable source in</td>
<td></td>
<td></td>
</tr>
<tr>
<td>the reporting period?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>If Yes, Complete and Attach PART II.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Did you, your spouse, or dependent child hold any reportable asset worth</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>more than $1,000 at the end of the period, or receive an unrealized</td>
<td></td>
<td></td>
</tr>
<tr>
<td>or investment income of more than $200 in the reporting period?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>If Yes, Complete &amp; Attach PART IIIA and/or IIIB.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Did you, your spouse, or dependent child purchase, sell, or exchange any</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>reportable asset worth more than $1,000 in the reporting period?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>If Yes, Complete and Attach PART IV.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Did you, your spouse, or dependent child receive any reportable gift in</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>the reporting period (i.e., aggregating more than $335 and not otherwise</td>
<td></td>
<td></td>
</tr>
<tr>
<td>exempt)?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>If Yes, Complete and Attach PART V.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Each question must be answered and the appropriate PART attached for each “YES” response.

File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, DC 20510. $200 Penalty for filing more than 30 days after due date.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 6, 104, and 18 U.S.C. 1001.)

I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.

Signature of Reporting Individual: ____________________________

Date (Month, Day, Year): 6/28/08

For Official Use Only - Do Not Write Below This Line

Signature of Reviewing Official: ____________________________

Date (Month, Day, Year): ____________________________

It is the Opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act.
PART II. EARNED AND NON-INVESTMENT INCOME

Report the source (name and address), type, and amount of earned income to you from any source aggregating $200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate $1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:
For you and/or your spouse, report honoraria income received which aggregates $200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

<table>
<thead>
<tr>
<th>Name of Income Source</th>
<th>Address (City, State)</th>
<th>Type of Income</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 General Electric (Spouse)</td>
<td>Boca Raton, FL</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
<tr>
<td>2 AEG Live Productions (Spouse)</td>
<td>Grand Prairie, TX</td>
<td>Speech</td>
<td>$200,000</td>
</tr>
<tr>
<td>3 Goldman Sachs (Spouse)</td>
<td>Miami, FL</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
<tr>
<td>4 North American Association of Food Equipment Managers (Spouse)</td>
<td>Tuscon, AZ</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
<tr>
<td>5 United Jewish Federation of San Diego County (Spouse)</td>
<td>San Diego, CA</td>
<td>Speech</td>
<td>$100,000</td>
</tr>
<tr>
<td>6 Boys and Girls Club of Los Angeles (Spouse)</td>
<td>Los Angeles, CA</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
<tr>
<td>7 Autodesk Inc. (Spouse)</td>
<td>Las Vegas, NV</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
<tr>
<td>8 Goldman Sachs (Spouse)</td>
<td>Phoenix, AZ</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
<tr>
<td>9 Novo Nordisk A/S (Spouse)</td>
<td>New York, NY</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
<tr>
<td>10 TV Land (Spouse)</td>
<td>New York, NY</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
<tr>
<td>11 McKinsey &amp; Co. (Spouse)</td>
<td>Orlando, FL</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
<tr>
<td>12 GTECH Corporation (Spouse)</td>
<td>Ameila Island, FL</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
<tr>
<td>13 Cisco Systems (Spouse)</td>
<td>Kiawah Island, SC</td>
<td>Speech</td>
<td>$100,000</td>
</tr>
<tr>
<td>14 CTIA The Wireless Association (Spouse)</td>
<td>Orlando, FL</td>
<td>Speech</td>
<td>$125,000</td>
</tr>
</tbody>
</table>
## PART II. EARNED AND NON-INVESTMENT INCOME

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<table>
<thead>
<tr>
<th>Name of Income Source</th>
<th>Address (City, State)</th>
<th>Type of Income</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cushman and Wakefield (Spouse)</td>
<td>Orlando, FL</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
<tr>
<td>The Power Within, Inc. (Spouse)</td>
<td>Montreal, CN</td>
<td>Speech</td>
<td>$250,000</td>
</tr>
<tr>
<td>KCBS Radio (Spouse)</td>
<td>San Francisco, CA</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
<tr>
<td>Savage/Rothenberg Productions Music Center (Spouse)</td>
<td>Los Angeles, CA</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
<tr>
<td>World Affairs Council of Oregon (Spouse)</td>
<td>Portland, OR</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
<tr>
<td>Brasilinvest Global Business Development (Spouse)</td>
<td>New York, NY</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
<tr>
<td>Lehman Brothers (Spouse)</td>
<td>New York, NY</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
<tr>
<td>Citigroup N.A. (Spouse)</td>
<td>New York, NY</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
<tr>
<td>National Retail Federation (Spouse)</td>
<td>New York, NY</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
<tr>
<td>Advertising Specialty Institute (Spouse)</td>
<td>Philadelphia, PA</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
<tr>
<td>The Japan Society (Spouse)</td>
<td>New York, NY</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
<tr>
<td>Axiom (Spouse)</td>
<td>London, UK</td>
<td>Speech</td>
<td>$170,000</td>
</tr>
<tr>
<td>American Friends of Hebrew University (Spouse)</td>
<td>New York, NY</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
<tr>
<td>Cushman and Wakefield (Spouse)</td>
<td>New York, NY</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
</tbody>
</table>
# Earned and Non-Investment Income

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<table>
<thead>
<tr>
<th>Name of Income Source</th>
<th>Address (City, State)</th>
<th>Type of Income</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Example:</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>JP Computers</td>
<td>Wash., DC</td>
<td>Salary</td>
<td>$15,000</td>
</tr>
<tr>
<td>MCI (Spouse)</td>
<td>Arlington, VA</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 World Celebrity Events Ltd (Spouse)</td>
<td>Tromso, Norway</td>
<td>Speech 05/20/07</td>
<td>$290,000</td>
</tr>
<tr>
<td>2 World Celebrity Events Ltd (Spouse)</td>
<td>Arhus, Denmark</td>
<td>Speech 05/21/07</td>
<td>$290,000</td>
</tr>
<tr>
<td>3 World Celebrity Events Ltd (Spouse)</td>
<td>Bergen, Norway</td>
<td>Speech 05/22/07</td>
<td>$290,000</td>
</tr>
<tr>
<td>4 Investor AB (Spouse)</td>
<td>Stockholm, Sweden</td>
<td>Speech 05/23/07</td>
<td>$325,000</td>
</tr>
<tr>
<td>5 World Celebrity Events Ltd (Spouse)</td>
<td>Oslo, Norway</td>
<td>Speech 05/23/07</td>
<td>$290,000</td>
</tr>
<tr>
<td>6 Promax &amp; Broadcast Designers' Association (Spouse)</td>
<td>New York, NY</td>
<td>Speech 06/13/07</td>
<td>$150,000</td>
</tr>
<tr>
<td>7 Economic Club of Grand Rapids (Spouse)</td>
<td>Grand Rapids, MI</td>
<td>Speech 06/18/07</td>
<td>$150,000</td>
</tr>
<tr>
<td>8 The Power Within Inc. (Spouse)</td>
<td>Minneapolis, MN</td>
<td>Speech 06/18/07</td>
<td>$150,000</td>
</tr>
<tr>
<td>9 Nemex Network Corp. (Spouse)</td>
<td>Quebec City, CN</td>
<td>Speech 06/20/07</td>
<td>$150,000</td>
</tr>
<tr>
<td>10 United Parcel Service (Spouse)</td>
<td>Toronto, CN</td>
<td>Speech 06/20/07</td>
<td>$150,000</td>
</tr>
<tr>
<td>11 American Academy of Achievement (Spouse)</td>
<td>Washington, DC</td>
<td>Speech 06/21/07</td>
<td>$150,000</td>
</tr>
<tr>
<td>12 AEG London (Spouse)</td>
<td>London, UK</td>
<td>Speech 08/14/07</td>
<td>$425,000</td>
</tr>
<tr>
<td>13 Aurora Economic Development Council (Spouse)</td>
<td>Denver, CO</td>
<td>Speech 09/17/07</td>
<td>$150,000</td>
</tr>
<tr>
<td>14 World Celebrity Events Ltd (Spouse)</td>
<td>Faroe Islands</td>
<td>Speech 10/01/07</td>
<td>$250,000</td>
</tr>
</tbody>
</table>
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<table>
<thead>
<tr>
<th>Name of Income Source</th>
<th>Address (City, State)</th>
<th>Type of Income</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>World Celebrity Events Ltd (Spouse)</td>
<td>Copenhagen, Denmark</td>
<td>Speech</td>
<td>$250,000</td>
</tr>
<tr>
<td>OVG Rotterdam (Spouse)</td>
<td>Rotterdam, NL</td>
<td>Speech</td>
<td>$250,000</td>
</tr>
<tr>
<td>Capital Forum (Spouse)</td>
<td>Athens, Greece</td>
<td>Speech</td>
<td>$300,000</td>
</tr>
<tr>
<td>CEBC-bt (Spouse)</td>
<td>Budapest, Hungary</td>
<td>Speech</td>
<td>$275,000</td>
</tr>
<tr>
<td>World Celebrity Events Ltd (Spouse)</td>
<td>Hamburg, Germany</td>
<td>Speech</td>
<td>$250,000</td>
</tr>
<tr>
<td>World Celebrity Events Ltd (Spouse)</td>
<td>Gothenburg, Sweden</td>
<td>Speech</td>
<td>$270,000</td>
</tr>
<tr>
<td>Korea Economic Daily (Spouse)</td>
<td>Seoul, Korea</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
<tr>
<td>The Power Within, Inc (Spouse)</td>
<td>Toronto, CN</td>
<td>Speech</td>
<td>$150,000</td>
</tr>
<tr>
<td>The Power Within, Inc (Spouse)</td>
<td>Toronto, CN</td>
<td>Speech</td>
<td>$200,000</td>
</tr>
<tr>
<td>The Power Within, Inc (Spouse)</td>
<td>Niagara on the Lake, CN</td>
<td>Speech</td>
<td>$175,000</td>
</tr>
<tr>
<td>Axiom (Spouse)</td>
<td>New York, NY</td>
<td>Speech</td>
<td>$185,000</td>
</tr>
<tr>
<td>Merrill Lynch (Spouse)</td>
<td>New York, NY</td>
<td>Speech</td>
<td>$175,000</td>
</tr>
</tbody>
</table>
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<thead>
<tr>
<th>Name of Income Source</th>
<th>Address (City, State)</th>
<th>Type of Income</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Simon &amp; Schuster Inc. - Living History</td>
<td>Parsippany, NJ</td>
<td>Book Royalties</td>
<td>$126,512</td>
</tr>
<tr>
<td>2 Simon &amp; Schuster Inc. - It Takes a Village (Senator Clinton donates the royalties from this book to charity)</td>
<td>Parsippany, NJ</td>
<td>Book Royalties</td>
<td>$26,352</td>
</tr>
<tr>
<td>3 Random House (Spouse) - Giving</td>
<td>New York, NY</td>
<td>Book Advance</td>
<td>over $1,000</td>
</tr>
<tr>
<td>4 Random House (Spouse) - My Life</td>
<td>New York, NY</td>
<td>Book Royalties</td>
<td>over $1,000</td>
</tr>
<tr>
<td>5 Info USA (Spouse)</td>
<td>Omaha, NE</td>
<td>Nonemployee Compensation</td>
<td>over $1,000</td>
</tr>
<tr>
<td>6 Yucaipa Global Holdings (Spouse)</td>
<td>Los Angeles, CA</td>
<td>Guaranteed payments to partner and management fee</td>
<td>over $1,000</td>
</tr>
<tr>
<td>S. No.</td>
<td>Description</td>
<td>Example</td>
<td>Dividends</td>
</tr>
<tr>
<td>-------</td>
<td>-------------------------------------------------------------------------------------------------------</td>
<td>---------</td>
<td>-----------</td>
</tr>
<tr>
<td>1</td>
<td><strong>IBM Corp. (stock)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td><strong>Keystone Fund</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td><strong>SINCE 1983 ALL THE ASSETS LISTED BELOW ON PAGES 7-25 HAVE BEEN HELD IN A BLIND</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td><strong>TRUST MANAGED BY A TRUSTEE. PURSUANT TO A 26 APRIL 2007 DIRECTIVE FROM THE TRUSTEE.</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td><strong>ASSETS WERE UNLOCKED ON 27 APRIL 2007 TO COMPLY WITH DISCLOSURE REQUIREMENTS</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td><strong>FOR PRESIDENTIAL CANDIDATES ALL THE ASSETS FORMERLY HELD IN THE PENDING</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td><strong>THE TRUSTEES ALREADY BEGAN THE WITHDRAWAL PROCESS IN 2006. THE PROCEEDS</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td><strong>OF THE SALES ARE BEING PLACED IN A CASH ACCOUNT.</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9</td>
<td><strong>ABBOTT LABS INC COMMON STOCK</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10</td>
<td><strong>EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.</strong></td>
<td>X</td>
<td></td>
</tr>
</tbody>
</table>

**Exemption Test Note:** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.
### BLOCK A
**Identity of Publicly Traded Assets And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. *(See p.3, CONTENTS OF REPORTS Part B of Instructions)* for production of income or investment which:
1. had a value exceeding $1,000 at the close of the reporting period; and/or
2. generated over $200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**Example:** IBM Corp. (stock)

### BLOCK B
**Valuation of Assets**

At the close of reporting period. If None, or less than $1,001, Check the first column.

<table>
<thead>
<tr>
<th>Value Range</th>
<th>X</th>
</tr>
</thead>
<tbody>
<tr>
<td>$1,000 - $15,000</td>
<td></td>
</tr>
<tr>
<td>$15,001 - $30,000</td>
<td></td>
</tr>
<tr>
<td>$30,001 - $50,000</td>
<td></td>
</tr>
<tr>
<td>$50,001 - $100,000</td>
<td></td>
</tr>
<tr>
<td>$100,001 - $250,000</td>
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<tr>
<td>$250,001 - $500,000</td>
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<tr>
<td>$500,001 - $1,000,000</td>
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</tr>
<tr>
<td>$1,000,001 - $5,000,000</td>
<td></td>
</tr>
<tr>
<td>$5,000,001 - $20,000,000</td>
<td></td>
</tr>
<tr>
<td>$20,000,001 - $50,000,000</td>
<td></td>
</tr>
<tr>
<td>Over $50,000,000</td>
<td></td>
</tr>
</tbody>
</table>

### BLOCK C
**Type and Amount of Income**

If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

<table>
<thead>
<tr>
<th>Type of Income</th>
<th>Amount of Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dividends</td>
<td></td>
</tr>
<tr>
<td>Rental</td>
<td></td>
</tr>
<tr>
<td>Interest</td>
<td></td>
</tr>
<tr>
<td>Capital Gains</td>
<td></td>
</tr>
<tr>
<td>Excepted Trust</td>
<td></td>
</tr>
<tr>
<td>Qualified Blind Trust</td>
<td></td>
</tr>
<tr>
<td>Other</td>
<td></td>
</tr>
<tr>
<td>(Specify Type)</td>
<td></td>
</tr>
</tbody>
</table>

### Example

<table>
<thead>
<tr>
<th>Type of Income</th>
<th>Amount of Income</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tr>
</tbody>
</table>

### Exemption Test

(see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

---

**This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.**

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<table>
<thead>
<tr>
<th>Example</th>
<th>CB</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td></td>
</tr>
</tbody>
</table>

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**Actual Amount Required if "Other" Specified**

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
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</thead>
<tbody>
<tr>
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<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

---

Exemption Test (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

---

This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.
<table>
<thead>
<tr>
<th>Example</th>
<th>Description</th>
<th>Type of Income</th>
<th>Amount of Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>J</td>
<td>IBM Corp. (stock)</td>
<td>X</td>
<td>Example</td>
</tr>
<tr>
<td>J</td>
<td>ARDEN INSTITUTIONAL ADVISORS II LP</td>
<td>X</td>
<td>Example</td>
</tr>
<tr>
<td>J</td>
<td>AUTODESK INC COMMON STOCK</td>
<td>X</td>
<td>Example</td>
</tr>
<tr>
<td>J</td>
<td>AXA S A SPONSORED ADR</td>
<td>X</td>
<td>Example</td>
</tr>
<tr>
<td>J</td>
<td>BAKER HUGHES INC COMMON STOCK</td>
<td>X</td>
<td>Example</td>
</tr>
<tr>
<td>J</td>
<td>BANK OF AMERICA CORP COMMON STOCK</td>
<td>X</td>
<td>Example</td>
</tr>
<tr>
<td>J</td>
<td>BANK OF IRELAND - SPONSORED ADR</td>
<td>X</td>
<td>Example</td>
</tr>
<tr>
<td>J</td>
<td>BASF AG SPONSORED ADR</td>
<td>X</td>
<td>Example</td>
</tr>
<tr>
<td>J</td>
<td>BED BATH &amp; BEYOND INC COMMON STOCK</td>
<td>X</td>
<td>Example</td>
</tr>
<tr>
<td>J</td>
<td>BERKSHIRE HATHAWAY INC COMMON STOCK</td>
<td>X</td>
<td>Example</td>
</tr>
</tbody>
</table>

**EXEMPTION TEST** (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

**PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

**BLOCK A**
Identity of Publicly Traded Assets And Untaxed Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:
1. had a value exceeding $1,000 at the close of the reporting period; and/or
2. generated over $200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**BLOCK B**
Valuation of Assets
At the close of reporting period. If None, or less than $1,000, check the first column.

<table>
<thead>
<tr>
<th>Value Range</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>$1,000 - $15,000</td>
<td>$15,001 - $50,000</td>
<td>$50,001 - $100,000</td>
</tr>
<tr>
<td>$100,001 - $250,000</td>
<td>$250,001 - $500,000</td>
<td>$500,001 - $1,000,000</td>
</tr>
<tr>
<td>$1,000,001 - $5,000,000</td>
<td>$5,000,001 - $25,000,000</td>
<td>$25,000,001 - $50,000,000</td>
</tr>
<tr>
<td>Over $50,000,000</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**BLOCK C**
Type and Amount of Income
If "None (or less than $200)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

<table>
<thead>
<tr>
<th>Type of Income</th>
<th>Amount of Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dividends</td>
<td>Rent</td>
</tr>
<tr>
<td>Other</td>
<td>Capital Gains</td>
</tr>
<tr>
<td>Excepted Trust</td>
<td>Exempted Trust</td>
</tr>
<tr>
<td>Qualified Blind Trust</td>
<td>Other (Specify Type)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Actual Amount Required if &quot;Other&quot; Specified</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>$1,000,001 - $5,000,000</td>
<td>$5,000,001 - $10,000,000</td>
<td>$10,000,001 - $20,000,000</td>
</tr>
<tr>
<td>$20,000,001 - $50,000,000</td>
<td>$50,000,001 - $100,000,000</td>
<td>$100,000,001 - $500,000,000</td>
</tr>
<tr>
<td>$200,000,000 - $500,000,000</td>
<td>$500,000,001 - $1,000,000,000</td>
<td>$1,000,000,001 - $5,000,000,000</td>
</tr>
</tbody>
</table>

*Example values are provided for illustration purposes only.*
### BLOCK A
Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

1. had a value exceeding $1,000 at the close of the reporting period, and/or
2. generated over $200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

<table>
<thead>
<tr>
<th>Example</th>
<th>Stock or Fund</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>J</td>
<td>BIOGEN IDEC INC COMMON STOCK</td>
</tr>
<tr>
<td>2</td>
<td>J</td>
<td>BJ SVCS CO COMMON STOCK</td>
</tr>
<tr>
<td>3</td>
<td>J</td>
<td>BOEING CO COMMON STOCK</td>
</tr>
<tr>
<td>4</td>
<td>J</td>
<td>BP AMOCO PLC SPONSORED ADR</td>
</tr>
<tr>
<td>5</td>
<td>J</td>
<td>BROADCOM CORP COMMON STOCK</td>
</tr>
<tr>
<td>6</td>
<td>J</td>
<td>CABLEVISION SYS CORP COMMON STOCK</td>
</tr>
<tr>
<td>7</td>
<td>J</td>
<td>CANON INC ADR</td>
</tr>
<tr>
<td>8</td>
<td>J</td>
<td>CATERPILLAR INC COMMON STOCK</td>
</tr>
<tr>
<td>9</td>
<td>J</td>
<td>CHARMING SHOPPES COMMON STOCK</td>
</tr>
<tr>
<td>10</td>
<td>J</td>
<td>CHEVRON CORP COMMON STOCK</td>
</tr>
</tbody>
</table>

### BLOCK B
Valuation of Assets

At the close of reporting period. If None, or less than $1,001, check the first column.

<table>
<thead>
<tr>
<th>Value Range</th>
<th>Example</th>
</tr>
</thead>
<tbody>
<tr>
<td>$1,000 - $15,000</td>
<td>X</td>
</tr>
<tr>
<td>$15,001 - $50,000</td>
<td></td>
</tr>
<tr>
<td>$50,001 - $100,000</td>
<td></td>
</tr>
<tr>
<td>$100,001 - $250,000</td>
<td></td>
</tr>
<tr>
<td>$250,001 - $500,000</td>
<td></td>
</tr>
<tr>
<td>$500,001 - $1,000,000</td>
<td></td>
</tr>
<tr>
<td>$1,000,001 - $2,500,000</td>
<td></td>
</tr>
<tr>
<td>$2,500,001 - $5,000,000</td>
<td></td>
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<tr>
<td>$5,000,001 - $20,000,000</td>
<td></td>
</tr>
<tr>
<td>Over $20,000,000</td>
<td></td>
</tr>
</tbody>
</table>

### BLOCK C
Type and Amount of Income

If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

<table>
<thead>
<tr>
<th>Type of Income</th>
<th>Amount of Income</th>
<th>Example</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dividends</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Interest</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Capital Gains</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Exempted Fund</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Qualified Blind Trust</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other (Specify Type)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Actual Amount Required if &quot;Other&quot; Specified</th>
</tr>
</thead>
<tbody>
<tr>
<td>None (or less than $201)</td>
</tr>
<tr>
<td>$201 - $1,000</td>
</tr>
<tr>
<td>$1,001 - $5,000</td>
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<td>$5,001 - $15,000</td>
</tr>
<tr>
<td>$15,001 - $50,000</td>
</tr>
<tr>
<td>$50,001 - $100,000</td>
</tr>
<tr>
<td>$100,001 - $200,000</td>
</tr>
<tr>
<td>Over $200,000</td>
</tr>
</tbody>
</table>

**EXEMPTION TEST** (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is held independently by the spouse or dependent child. If the asset is either held by the filer or jointly held, use the other categories of value, as appropriate.
## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

### BLOCK A
**Identity of Publicly Traded Assets And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. *(See p 3, CONTENTS OF REPORTS Part B of Instructions)* for production of income or investment which:

1. had a value exceeding $1,000 at the
date of the reporting period, and/or
2. generated over $200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

<table>
<thead>
<tr>
<th>S. Ex.</th>
<th>Description</th>
<th>Amount</th>
<th>Type of Income</th>
<th>Amount of Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>CHUBB CORP COMMON STOCK</td>
<td>$1,000</td>
<td>Dividends</td>
<td>D</td>
</tr>
<tr>
<td>2</td>
<td>CISCO SYSTEMS INC COMMON STOCK</td>
<td>$15,000</td>
<td>Dividends</td>
<td>CG</td>
</tr>
<tr>
<td>3</td>
<td>CITIBANK MARKET DEPOSIT ACCOUNT</td>
<td>$50,000</td>
<td>Dividends</td>
<td>CG</td>
</tr>
<tr>
<td>4</td>
<td>COCA COLA COMPANY INC. COMMON STOCK</td>
<td>$75,000</td>
<td>Dividends</td>
<td>CG</td>
</tr>
<tr>
<td>5</td>
<td>COMCAST CORP COMMON STOCK</td>
<td>$100,000</td>
<td>Dividends</td>
<td>CG</td>
</tr>
<tr>
<td>6</td>
<td>CORE LABORATORIES NV COMMON STOCK</td>
<td>$150,000</td>
<td>Dividends</td>
<td>CG</td>
</tr>
<tr>
<td>7</td>
<td>CREE INC COMMON STOCK</td>
<td>$200,000</td>
<td>Dividends</td>
<td>CG</td>
</tr>
<tr>
<td>8</td>
<td>CRH PLC ADR</td>
<td>$500,000</td>
<td>Dividends</td>
<td>CG</td>
</tr>
<tr>
<td>9</td>
<td>DELL INC COMMON STOCK</td>
<td>$750,000</td>
<td>Dividends</td>
<td>CG</td>
</tr>
<tr>
<td>10</td>
<td>DIA GEO PLC - SPONSORED ADR</td>
<td>$1,000,000</td>
<td>Dividends</td>
<td>CG</td>
</tr>
</tbody>
</table>

### BLOCK B
**Valuation of Assets**

At the close of reporting period. If None, or less than $1,000, check the first column.

- None (or less than $1,000)
- $1,000 - $15,000
- $15,000 - $50,000
- $50,001 - $100,000
- $100,001 - $250,000
- $250,001 - $500,000
- $500,001 - $1,000,000
- Over $1,000,000

### BLOCK C
**Type and Amount of Income**

If "None (or less than $201)" is checked, no other entry is needed in BLOCK C for that item. This includes income received or accrued to the benefit of the individual.

<table>
<thead>
<tr>
<th>Type of Income</th>
<th>Amount of Income</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$1,001 - $5,000</td>
</tr>
<tr>
<td></td>
<td>$5,001 - $10,000</td>
</tr>
<tr>
<td></td>
<td>$10,001 - $25,000</td>
</tr>
<tr>
<td></td>
<td>$25,001 - $50,000</td>
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<tr>
<td></td>
<td>$50,001 - $100,000</td>
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<tr>
<td></td>
<td>Over $100,000</td>
</tr>
<tr>
<td>Dividends</td>
<td>X</td>
</tr>
<tr>
<td>Rent</td>
<td>X</td>
</tr>
<tr>
<td>Interest</td>
<td>X</td>
</tr>
<tr>
<td>Capital Gains</td>
<td></td>
</tr>
<tr>
<td>Exception Fund</td>
<td></td>
</tr>
<tr>
<td>Other (Specify Type)</td>
<td></td>
</tr>
<tr>
<td>Qualified Trust</td>
<td></td>
</tr>
</tbody>
</table>

**Exemption Test**: (see instructions before marking box) If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.
**PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

**BLOCK A: Identity of Publicly Traded Assets And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p. 3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

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<table>
<thead>
<tr>
<th>S. No.</th>
<th>Security</th>
<th>Description</th>
<th>Value Range</th>
<th>Dividends</th>
<th>Rent</th>
<th>Interest</th>
<th>Capital Gains</th>
<th>Exempted Investment Fund</th>
<th>Exempted Trust</th>
<th>Qualified Blind Trust</th>
<th>Other</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>IBM Corp. (stock)</td>
<td>X</td>
<td>$1,001 - $15,000</td>
<td>X</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>DISCOVERY HLDG COMMON STOCK</td>
<td>X</td>
<td>$16,001 - $50,000</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>DOW CHEM CO COMMON STOCK</td>
<td>X</td>
<td>$50,001 - $100,000</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>DU PONT E I DE NEMOURS &amp; CO COMMON STOCK</td>
<td>X</td>
<td>$100,001 - $250,000</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>EBAY INC COMMON STOCK</td>
<td>X</td>
<td>$250,001 - $500,000</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>EMERSON ELEC CO COMMON STOCK</td>
<td>X</td>
<td>$500,001 - $1,000,000</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>ENDESA S.A. SPONSORED ADR</td>
<td>X</td>
<td>Over $1,000,000</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>EXPEDIA INC COMMON STOCK</td>
<td>X</td>
<td>$1,000,001 - $5,000,000</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>FED HOME LN MTG 4.875% 11/15/13 BOND</td>
<td>X</td>
<td>Over $5,000,000</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>FED HOME LN MTG 3.625% 9/15/08 BOND</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**BLOCK B: Valuation of Assets**

At the close of reporting period. If None, or less than $1,001, check the first column.

- Dividends
- Rent
- Interest
- Capital Gains
- Exempted Investment Fund
- Exempted Trust
- Qualified Blind Trust
- Other

**BLOCK C: Type and Amount of Income**

If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

<table>
<thead>
<tr>
<th>Type of Income</th>
<th>Amount of Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>X</td>
<td>Other</td>
</tr>
<tr>
<td>X</td>
<td>Other</td>
</tr>
<tr>
<td>X</td>
<td>Other</td>
</tr>
<tr>
<td>X</td>
<td>Other</td>
</tr>
<tr>
<td>X</td>
<td>Other</td>
</tr>
<tr>
<td>X</td>
<td>Other</td>
</tr>
<tr>
<td>X</td>
<td>Other</td>
</tr>
<tr>
<td>X</td>
<td>Other</td>
</tr>
<tr>
<td>X</td>
<td>Other</td>
</tr>
<tr>
<td>X</td>
<td>Other</td>
</tr>
<tr>
<td>X</td>
<td>Other</td>
</tr>
</tbody>
</table>

**EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.**

---

*This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.*
### PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

#### BLOCK A
**Identity of Publicly Traded Assets And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

1. had a value exceeding $1,000 at the close of the reporting period; and/or
2. generated over $200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

#### BLOCK B
**Valuation of Assets**

At the close of the reporting period. If None, check the first column.

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Asset Description</th>
<th>Value Range</th>
<th>Dividends</th>
<th>Capital Gains</th>
<th>Other</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>FED NATL MTG ASSN 6.00% 5/15/08 BOND</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>2</td>
<td>FED NATL MTG ASSN 3.375% 12/15/08 BOND</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>3</td>
<td>FED NATL MTG ASSN 5.75% 2/15/08 BOND</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>4</td>
<td>FOREST LABS INC COMMON STOCK</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>5</td>
<td>FRANKLIN RES INC COMMON STOCK</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>6</td>
<td>GAP INC COMMON STOCK</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>7</td>
<td>GENENTECH INC COMMON STOCK</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>8</td>
<td>GENERAL ELEC CO COMMON STOCK</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>9</td>
<td>GENZYME CORP COMMON STOCK</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>10</td>
<td>GLAXOSMITHKLINE PLC SPONSORED ADR</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
</tr>
</tbody>
</table>

#### BLOCK C
**Type and Amount of Income**

If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

<table>
<thead>
<tr>
<th>Type of Income</th>
<th>Amount of Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dividends, Rent, Interest</td>
<td></td>
</tr>
<tr>
<td>Capital Gains</td>
<td></td>
</tr>
<tr>
<td>Excepted Investment Fund</td>
<td></td>
</tr>
<tr>
<td>Excepted Blind Trust</td>
<td></td>
</tr>
<tr>
<td>Other (Specify Type)</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Type of Income</th>
<th>Amount of Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>None (or less than $201)</td>
<td></td>
</tr>
<tr>
<td>$201 - $1,000</td>
<td></td>
</tr>
<tr>
<td>$1,000 - $2,500</td>
<td></td>
</tr>
<tr>
<td>$2,501 - $5,000</td>
<td></td>
</tr>
<tr>
<td>$5,001 - $15,000</td>
<td></td>
</tr>
<tr>
<td>$15,001 - $50,000</td>
<td></td>
</tr>
<tr>
<td>$50,001 - $250,000</td>
<td></td>
</tr>
<tr>
<td>Over $250,000</td>
<td></td>
</tr>
</tbody>
</table>

**Actual Amount Required if "Other" Specified**

- Over $5,000,000
### PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

**BLOCK A**
**Identity of Publicly Traded Assets And UNEARNED Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

1. had a value exceeding $1,000 at the close of the reporting period; and/or
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Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Example</th>
<th>Stock/Title</th>
<th>Dividends</th>
<th>Interest</th>
<th>Capital Gains</th>
<th>Other</th>
<th>Amount of Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>J</td>
<td>GLOBAL SANTAFE CORP COMMON STOCK</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>J</td>
<td>GRANT PRIDECO INC COMMON STOCK</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>J</td>
<td>GROUP DANONE SPONSORED ADR</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>J</td>
<td>GRUPO TELEVISA SA SPONSORED ADR</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>J</td>
<td>HOME DEPOT INC COMMON STOCK</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>J</td>
<td>HONDA MTR LTD ADR</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>J</td>
<td>HONEYWELL INTERNATIONAL INC COMMON STOCK</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>J</td>
<td>HONG KONG AND CHINA GAS LTD - SPONS ADR</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>J</td>
<td>HSBC HOLDINGS PLC - SPONS ADR</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>J</td>
<td>HUTCHISON WHAMPOA LTD ADR</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**BLOCK B**
**Valuation of Assets**

At the close of reporting period. If None, or less than $1,000, Check the first column.

<table>
<thead>
<tr>
<th>Value Range</th>
<th>Dividends</th>
<th>Interest</th>
<th>Capital Gains</th>
<th>Other</th>
</tr>
</thead>
<tbody>
<tr>
<td>$1,000 - $15,000</td>
<td>$15,001 - $50,000</td>
<td>$50,001 - $100,000</td>
<td>$100,001 - $250,000</td>
<td>$250,001 - $500,000</td>
</tr>
<tr>
<td>$500,001 - $1,000,000</td>
<td>$1,000,001 - $5,000,000</td>
<td>$5,000,001 - $25,000,000</td>
<td>$25,000,001 - $50,000,000</td>
<td>Over $50,000,000</td>
</tr>
</tbody>
</table>

**BLOCK C**
**Type and Amount of Income**

If "None (or less than $200)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

<table>
<thead>
<tr>
<th>Type of Income</th>
<th>Amount of Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dividends</td>
<td>Rent</td>
</tr>
</tbody>
</table>

**EXEMPTION TEST (see instructions before marking box):** If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.
## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

### BLOCK A
**Identity of Publicly Traded Assets And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p. 3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

1. had a value exceeding $1,000 at the close of the reporting period; and/or
2. generated over $200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

### BLOCK B
**Valuation of Assets**

At the close of reporting period. If None, or less than $1,001, check the first column.

<table>
<thead>
<tr>
<th>S. DC. or J</th>
<th>Example</th>
<th>Valuation of Assets</th>
</tr>
</thead>
<tbody>
<tr>
<td>IBM Corp. (stock)</td>
<td>X</td>
<td>$1,001 - $15,000</td>
</tr>
<tr>
<td>(S) Keystone Fund</td>
<td>X</td>
<td>$16,001 - $50,000</td>
</tr>
<tr>
<td>IDEARC INC COMMON STOCK</td>
<td>X</td>
<td>$50,001 - $100,000</td>
</tr>
<tr>
<td>IMclone SYSTEMS INC COMMON STOCK</td>
<td>X</td>
<td>$100,001 - $250,000</td>
</tr>
<tr>
<td>ING GROEP NV SPONSORED ADR</td>
<td>X</td>
<td>$250,001 - $500,000</td>
</tr>
<tr>
<td>INTEL CORP COMMON STOCK</td>
<td>X</td>
<td>Over $500,000</td>
</tr>
<tr>
<td>INTERNATIONAL BUSINESS MACHS CORP COMMON STOCK</td>
<td>X</td>
<td>$1,001 - $5,000</td>
</tr>
<tr>
<td>INTERPUBLIC GROUP COS INC COMMON STOCK</td>
<td>X</td>
<td>$5,001 - $25,000</td>
</tr>
<tr>
<td>J P MORGAN CHASE &amp; CO COMMON STOCK</td>
<td>X</td>
<td>$25,001 - $75,000</td>
</tr>
<tr>
<td>J P MORGAN CHASE &amp; CO 5.250% 5/30/07 BOND</td>
<td>X</td>
<td>$75,001 - $250,000</td>
</tr>
<tr>
<td>JOHNSON &amp; JOHNSON INC COMMON STOCK</td>
<td>X</td>
<td>Over $50,000,000</td>
</tr>
</tbody>
</table>

### BLOCK C
**Type and Amount of Income**

If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

<table>
<thead>
<tr>
<th>Type of Income</th>
<th>Amount of Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dividends</td>
<td></td>
</tr>
<tr>
<td>Rent</td>
<td></td>
</tr>
<tr>
<td>Interest</td>
<td></td>
</tr>
<tr>
<td>Capital Gains</td>
<td></td>
</tr>
<tr>
<td>Other</td>
<td></td>
</tr>
<tr>
<td>Excepted Blind Trust</td>
<td></td>
</tr>
<tr>
<td>(Specify Type)</td>
<td></td>
</tr>
<tr>
<td>D</td>
<td>CG</td>
</tr>
<tr>
<td>D</td>
<td>CG</td>
</tr>
<tr>
<td>D</td>
<td>CG</td>
</tr>
<tr>
<td>D</td>
<td>CG</td>
</tr>
</tbody>
</table>

**EXEMPTION TEST** (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

---

**Instructions:**

- This category applies only if the asset is held independently by the spouse or dependent child. If the asset is held either held by the filer or jointly held, use the other categories of value, as appropriate.
**BLOCK A**
Identity of Publicly Traded Assets
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p. 3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

1. had a value exceeding $1,000 at the close of the reporting period; and/or
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Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

<table>
<thead>
<tr>
<th>S.</th>
<th>Example OC.</th>
<th>IBM Corp. (stock)</th>
<th>X</th>
<th>X</th>
<th>X</th>
<th>X</th>
<th>X</th>
<th>Example</th>
<th>Example</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 J</td>
<td>KIMBERLY CLARK CORP COMMON STOCK</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 J</td>
<td>L3 COMMUNICATIONS HOLDINGS INC COMMON STOCK</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 J</td>
<td>LEHMAN BROTHERS HOLDINGS INC COMMON STOCK</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 J</td>
<td>LIBERTY GLOBAL INC SER A COMMON STOCK</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5 J</td>
<td>LIBERTY GLOBAL INC SER C COMMON STOCK</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 J</td>
<td>LIBERTY MEDIA HOLDING CORP SER A COMMON STOCK</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7 J</td>
<td>LIBERTY MEDIA HOLDING CORP INTERACTIVE SER A COMMON STOCK</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8 J</td>
<td>LILLY ELI &amp; CO.COM COMMON STOCK</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9 J</td>
<td>MAGNA INTL INC COMMON STOCK</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10 J</td>
<td>MERRILL LYNCH &amp; CO INC COMMON STOCK</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**EXEMPTION TEST** (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

---

**PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

**BLOCK B**
Valuation of Assets
At the close of reporting period. If None, or less than $1,000, check the first column.

<table>
<thead>
<tr>
<th>Value Range</th>
<th>Dividends</th>
<th>Interest</th>
<th>Capital Gains</th>
<th>Excepted Investment Fund</th>
<th>Excepted Blind Trust</th>
<th>Other</th>
<th>Type of Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>$1,000 - $15,000</td>
<td>$15,001 - $50,000</td>
<td>$50,001 - $100,000</td>
<td>$100,001 - $250,000</td>
<td>$250,001 - $500,000</td>
<td>$500,001 - $1,000,000</td>
<td>$1,000,001 - $5,000,000</td>
<td>$5,000,001 - $25,000,000</td>
</tr>
</tbody>
</table>

---

**BLOCK C**
Type and Amount of Income
If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

<table>
<thead>
<tr>
<th>Type of Income</th>
<th>Amount of Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>None</td>
<td>Over $1,000,000</td>
</tr>
<tr>
<td>$1,001 - $2,500</td>
<td>Over $5,000,000</td>
</tr>
<tr>
<td>$2,501 - $5,000</td>
<td>Over $10,000,000</td>
</tr>
<tr>
<td>$5,001 - $10,000</td>
<td>Over $15,000,000</td>
</tr>
<tr>
<td>$10,001 - $1,000,000</td>
<td>Over $20,000,000</td>
</tr>
<tr>
<td>$1,000,001 - $5,000,000</td>
<td>Over $25,000,000</td>
</tr>
<tr>
<td>$5,000,001 - $25,000,000</td>
<td>Over $30,000,000</td>
</tr>
<tr>
<td>$25,000,001 - $50,000,000</td>
<td>Over $35,000,000</td>
</tr>
<tr>
<td>Over $50,000,000</td>
<td>Over $40,000,000</td>
</tr>
</tbody>
</table>

---

Actual Amount Required if "Other" Specified

---

This category applies only if the asset is held independently by the spouse or dependent child. If the asset is held by the file or jointly held, use the other categories of value, as appropriate.
## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

### BLOCK A
**Identity of Publicly Traded Assets And Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

1. had a value exceeding $1,000 at the close of the reporting period; and/or
2. generated over $200 in "uneearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Example Description</th>
<th>Amount of Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>IBM Corp. (stock)</td>
<td>Dividends $1,000</td>
</tr>
<tr>
<td>2</td>
<td>METTLER-TOLEDO INTL INC COMMON STOCK</td>
<td>Dividends $1,000</td>
</tr>
<tr>
<td>3</td>
<td>MGIC INV TR CORP COMMON STOCK</td>
<td>Dividends $1,000</td>
</tr>
<tr>
<td>4</td>
<td>MICROSOFT CORP COMMON STOCK</td>
<td>Other $201</td>
</tr>
<tr>
<td>5</td>
<td>MILLENNIUM PHARMACEUTICALS INC COMMON STOCK</td>
<td>Other $201</td>
</tr>
<tr>
<td>6</td>
<td>MITSUBISHI UFJ FINL GROUP INC SPONSOR ADR</td>
<td>Other $201</td>
</tr>
<tr>
<td>7</td>
<td>MOLSON COORS BREWING COMMON STOCK</td>
<td>Other $201</td>
</tr>
<tr>
<td>8</td>
<td>MOTOROLA INC COMMON STOCK</td>
<td>Other $201</td>
</tr>
<tr>
<td>9</td>
<td>MURPHY OIL COMMON STOCK</td>
<td>Other $201</td>
</tr>
<tr>
<td>10</td>
<td>NY ST TWY AUTH HWY 5.00% 4/01/13 BOND</td>
<td>Other $201</td>
</tr>
</tbody>
</table>

### BLOCK B
**Valuation of Assets**

At the close of reporting period. If None, or less than $1,001, check the first column.

- None (or less than $1,001)
- $1,001 - $15,000
- $15,001 - $50,000
- $50,001 - $100,000
- $100,001 - $250,000
- $250,001 - $500,000
- $500,001 - $1,000,000
- Over $1,000,000

### BLOCK C
**Type and Amount of Income**

If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

<table>
<thead>
<tr>
<th>Type of Income</th>
<th>Amount of Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dividends</td>
<td>Example</td>
</tr>
<tr>
<td>Interest</td>
<td>Example</td>
</tr>
<tr>
<td>Capital Gains</td>
<td>Example</td>
</tr>
<tr>
<td>Other (Specify Type)</td>
<td>Example</td>
</tr>
<tr>
<td>Other</td>
<td>Example</td>
</tr>
<tr>
<td>Other</td>
<td>Example</td>
</tr>
</tbody>
</table>

---

**EXEMPTION TEST (see instructions before marking box):** If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

---

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.
### Part IIIA. Publicly Traded Assets and Unearned Income Sources

**Block A**

**Identity of Publicly Traded Assets and Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p. 3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

1. Had a value exceeding $1,000 at the close of the reporting period; and/or
2. Generated over $200 in "unearned" income during the reporting period.

Include on this Part IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**Example:**

<table>
<thead>
<tr>
<th>S.</th>
<th>DC or J</th>
<th>Description</th>
<th>Value</th>
<th>IM</th>
<th>Other</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>J</td>
<td>NATIONAL BANK GREECE SA SPONSORED ADR</td>
<td>X</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>2</td>
<td>J</td>
<td>NESTLE SA SPONSORED ADR</td>
<td>X</td>
<td>X</td>
<td>D</td>
</tr>
</tbody>
</table>

**Block B**

**Valuation of Assets**

At the close of reporting period. If None, or less than $1,000, check the first column.

<table>
<thead>
<tr>
<th>Value Ranges</th>
<th>Dividends</th>
<th>Interest</th>
<th>Capital Gain</th>
<th>Other</th>
</tr>
</thead>
<tbody>
<tr>
<td>$1,001 - $15,000</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$15,001 - $50,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$50,001 - $100,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$100,001 - $250,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$250,001 - $500,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$500,001 - $1,000,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$1,000,001 - $5,000,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$5,000,001 - $25,000,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Over $25,000,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Block C**

**Type and Amount of Income**

If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

<table>
<thead>
<tr>
<th>Type of Income</th>
<th>Amount of Income</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Example</td>
</tr>
</tbody>
</table>

**Exemption Test** (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

---

---
<table>
<thead>
<tr>
<th>S. No.</th>
<th>Description</th>
<th>Type of Income</th>
<th>Amount of Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>NEW YORK ST DORM 5.90% MAT 12/15/12 BOND</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>NEW YORK ST LOCAL GOVT ASSISTANCE CORP 5.90% 04/30/2012 BOND</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>NEW YORK ST TWY 5.25% 04/01/2010 BOND</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>NEWS CORP COMMON STOCK</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>NIPPON TELEG &amp; TEL CORP SPONSORED ADR</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>NOKIA CORP SPONSORED ADR</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>NOMURA HOLDINGS INC SPONSORED ADR</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>NOVARTIS AG - ADR</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>NOVELLUS SYS INC COMMON STOCK</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>NOVO NORDISK A S ADR</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

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*** This category applies only if the asset is/are held independently by the spouse or dependent child. If the asset is/are either held by the filer or jointly held, use the other categories of value, as appropriate.
<table>
<thead>
<tr>
<th>Example</th>
<th>S. No.</th>
<th>Name of Asset</th>
<th>Value of Asset</th>
<th>Income Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>J</td>
<td>NY ST TWY AUTH 5.25% 4/01/10 BOND</td>
<td>$50,000 - $100,000</td>
<td>Dividends</td>
</tr>
<tr>
<td>2</td>
<td>J</td>
<td>NY ST TWY AUTH 5.50% 4/01/07 BOND</td>
<td>$50,000 - $100,000</td>
<td>Dividends</td>
</tr>
<tr>
<td>3</td>
<td>J</td>
<td>ORIX CORP SPONSORED ADR</td>
<td>$50,000 - $100,000</td>
<td>Capital Gains</td>
</tr>
<tr>
<td>4</td>
<td>J</td>
<td>PALL CORP COMMON STOCK</td>
<td>None (or less than $1,001)</td>
<td>Dividends</td>
</tr>
<tr>
<td>5</td>
<td>J</td>
<td>PANAMA N Y CENT SCH 4.75% MAT 6/15/12 BOND</td>
<td>Over $50,000,000</td>
<td>Dividends</td>
</tr>
<tr>
<td>6</td>
<td>J</td>
<td>PEARSON PLC SPND ADR</td>
<td>None (or less than $1,001)</td>
<td>Capital Gains</td>
</tr>
<tr>
<td>7</td>
<td>J</td>
<td>PEPSICO INC COMMON STOCK</td>
<td>None (or less than $1,001)</td>
<td>Dividends</td>
</tr>
<tr>
<td>8</td>
<td>J</td>
<td>PFIZER INC COMMON STOCK</td>
<td>None (or less than $1,001)</td>
<td>Capital Gains</td>
</tr>
<tr>
<td>9</td>
<td>J</td>
<td>PHILIPS ELECTRONICS NV SPONSORED ADR</td>
<td>Over $1,000,001 - $2,000,000</td>
<td>Dividends</td>
</tr>
<tr>
<td>10</td>
<td>J</td>
<td>PMI GROUP INC COMMON STOCK</td>
<td>Over $1,000,001 - $2,000,000</td>
<td>Dividends</td>
</tr>
</tbody>
</table>

**EXEMPTION TEST (see instructions before marking box):** If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

**This category applies only if the asset was held independently by the spouse or dependent child.** If the asset was either held by the filer or jointly held, use the other categories of value, as appropriate.
**PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

**BLOCK A**

Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

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Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**Example**

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Security Description</th>
<th>X or Blank</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>PROCTER &amp; GAMBLE CO COMMON STOCK</td>
<td>X</td>
</tr>
<tr>
<td>2</td>
<td>QUELLOS ALPHA ENGINE LP (CASH RECEIVABLE)</td>
<td>X</td>
</tr>
<tr>
<td>3</td>
<td>RAYTHEON COMPANY COMMON STOCK</td>
<td>X</td>
</tr>
<tr>
<td>4</td>
<td>RIO TINTO PLC SPN ADR</td>
<td>X</td>
</tr>
<tr>
<td>5</td>
<td>ROYAL DUTCH SHELL PLC SPD ADR</td>
<td>X</td>
</tr>
<tr>
<td>6</td>
<td>SAFEWAY INC COMMON STOCK</td>
<td>X</td>
</tr>
<tr>
<td>7</td>
<td>SANDISK CORP COMMON STOCK</td>
<td>X</td>
</tr>
<tr>
<td>8</td>
<td>SAP AKTIENSELLSCHAFT SPNS ADR</td>
<td>X</td>
</tr>
<tr>
<td>9</td>
<td>SEAGATE TECHNOLOGY COMMON STOCK</td>
<td>X</td>
</tr>
<tr>
<td>10</td>
<td>SIMPSON MFG INC COMMON STOCK</td>
<td>X</td>
</tr>
</tbody>
</table>

**BLOCK B**

Valuation of Assets

At the close of reporting period. If None, or less than $1,001, check the first column.

<table>
<thead>
<tr>
<th>Value Range</th>
<th>X or Blank</th>
</tr>
</thead>
<tbody>
<tr>
<td>$0 - $50,000</td>
<td>X</td>
</tr>
<tr>
<td>$50,001 - $100,000</td>
<td></td>
</tr>
<tr>
<td>$100,001 - $250,000</td>
<td></td>
</tr>
<tr>
<td>$250,001 - $500,000</td>
<td></td>
</tr>
<tr>
<td>$500,001 - $1,000,000</td>
<td></td>
</tr>
<tr>
<td>$1,000,001 - $5,000,000</td>
<td></td>
</tr>
<tr>
<td>$5,000,001 - $25,000,000</td>
<td></td>
</tr>
<tr>
<td>$25,000,001 - $50,000,000</td>
<td></td>
</tr>
<tr>
<td>$50,000,001 and over</td>
<td></td>
</tr>
</tbody>
</table>

**BLOCK C**

Type and Amount of Income

If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

<table>
<thead>
<tr>
<th>Type of Income</th>
<th>Amount of Income</th>
<th>Example</th>
</tr>
</thead>
<tbody>
<tr>
<td>D</td>
<td>$1,001 - $1,250</td>
<td>Example</td>
</tr>
<tr>
<td>I</td>
<td>$1,251 - $2,500</td>
<td>Example</td>
</tr>
<tr>
<td>C</td>
<td>$2,501 - $5,000</td>
<td></td>
</tr>
<tr>
<td>G</td>
<td>$5,001 - $10,000</td>
<td></td>
</tr>
<tr>
<td>S</td>
<td>$10,001 - $25,000</td>
<td></td>
</tr>
<tr>
<td>E</td>
<td>$25,001 - $50,000</td>
<td></td>
</tr>
<tr>
<td>F</td>
<td>$50,001 - $100,000</td>
<td></td>
</tr>
<tr>
<td>H</td>
<td>$100,001 - $250,000</td>
<td></td>
</tr>
<tr>
<td>N</td>
<td>$250,001 and over</td>
<td></td>
</tr>
</tbody>
</table>

**EXEMPTION TEST** (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

---

This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the flier or jointly held, use the other categories of value, as appropriate.
<table>
<thead>
<tr>
<th>Example</th>
<th>S. No.</th>
<th>Name of Asset</th>
<th>Type of Income</th>
<th>Amount of Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>IBM Corp. (stock)</td>
<td>1</td>
<td>SK TELECOM CO LTD - ADR</td>
<td>$1,000 - $5,000</td>
<td>D, CG</td>
</tr>
<tr>
<td>(S) Keystone Fund</td>
<td>2</td>
<td>SMITH &amp; NEPHEW PLC SPSP ADR</td>
<td>$1,000 - $5,000</td>
<td>D, CG</td>
</tr>
<tr>
<td></td>
<td>3</td>
<td>STATE ST CORP COMMON STOCK</td>
<td>$1,000 - $5,000</td>
<td>D, CG</td>
</tr>
<tr>
<td></td>
<td>4</td>
<td>TAIWAN SEMICONDUCTOR MFG CO LTD SPONSORED ADR</td>
<td>$1,000 - $5,000</td>
<td>D, CG</td>
</tr>
<tr>
<td></td>
<td>5</td>
<td>TELEFONICA S A COMMON STOCK</td>
<td>$1,000 - $5,000</td>
<td>D, CG</td>
</tr>
<tr>
<td></td>
<td>6</td>
<td>TESCO PLC SPONSORED ADR</td>
<td>$1,000 - $5,000</td>
<td>D, CG</td>
</tr>
<tr>
<td></td>
<td>7</td>
<td>TEXAS INSTRS INC COMMON STOCK</td>
<td>$1,000 - $5,000</td>
<td>D, CG</td>
</tr>
<tr>
<td></td>
<td>8</td>
<td>TIME WARNER INC COMMON STOCK</td>
<td>$1,000 - $5,000</td>
<td>D, CG</td>
</tr>
<tr>
<td></td>
<td>9</td>
<td>TOMKINS PLC SPONSORED ADR</td>
<td>$1,000 - $5,000</td>
<td>D, CG</td>
</tr>
<tr>
<td></td>
<td>10</td>
<td>TOTAL S A ADR</td>
<td>$1,000 - $5,000</td>
<td>D, CG</td>
</tr>
</tbody>
</table>

**EXEMPTION TEST (see instructions before marking box):** If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.
<table>
<thead>
<tr>
<th>S. No.</th>
<th>Description</th>
<th>Type of Income</th>
<th>Amount of Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>TREND MICRO INC SPONSORED ADR</td>
<td>Dividends</td>
<td>Example</td>
</tr>
<tr>
<td>2</td>
<td>TRIBOROUGH BRIDGE&amp;UNION NEW YORK 5.90% 03/10/20 BOND</td>
<td>Capital Gains</td>
<td>J</td>
</tr>
<tr>
<td>3</td>
<td>TRIBOROUGH BRIDGE&amp;UNION NEW YORK 5.90% 01/15/10 BOND</td>
<td>Capital Gains</td>
<td>CG I</td>
</tr>
<tr>
<td>4</td>
<td>TYCO INTL LTD COMMON STOCK</td>
<td>Dividends</td>
<td>Example</td>
</tr>
<tr>
<td>5</td>
<td>U.S. TREAS NTS 4.825% MAT 2/29/12 BOND</td>
<td>Capital Gains</td>
<td>D</td>
</tr>
<tr>
<td>6</td>
<td>U.S. TREAS NTS 4.00% 4/15/10 BOND</td>
<td>Capital Gains</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>U.S. TREAS NTS 4.25% 8/15/14 BOND</td>
<td>Capital Gains</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>U.S. TREAS NTS 4.875% 2/15/12 BOND</td>
<td>Capital Gains</td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>U.S. TREAS NTS 5.00% 2/15/11 BOND</td>
<td>Capital Gains</td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>U.S. TREAS NTS 4.875% 8/15/16 BOND</td>
<td>Capital Gains</td>
<td></td>
</tr>
</tbody>
</table>

**Exemption Test** (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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**PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNEO INCOME SOURCES**

**BLOCK A**

Identity of Publicly Traded Assets and Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p.3. CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

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Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**Example: IBM Corp. (stock)**

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Description</th>
<th>Value</th>
<th>Income Type</th>
<th>Initial Value</th>
<th>Ending Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>UBS AG COMMON STOCK</td>
<td>$1,000 - $15,000</td>
<td>Dividend</td>
<td>None</td>
<td>None</td>
</tr>
<tr>
<td>2</td>
<td>UNILEVER PLC SPONSORED ADR</td>
<td>$15,001 - $50,000</td>
<td>Rent</td>
<td>None</td>
<td>None</td>
</tr>
<tr>
<td>3</td>
<td>UNITED OVERSEAS BK LTD SPONSORED ADR</td>
<td>$50,001 - $100,000</td>
<td>Interest</td>
<td>None</td>
<td>None</td>
</tr>
<tr>
<td>4</td>
<td>UNITEDHEALTH GROUP INC COMMON STOCK</td>
<td>$100,001 - $250,000</td>
<td>Capital Gains</td>
<td>None</td>
<td>None</td>
</tr>
<tr>
<td>5</td>
<td>US TREASURY NOTE 5.5% DUE 5/15/2009 BOND</td>
<td>$250,001 - $500,000</td>
<td>Exempted Investment Fund</td>
<td>None</td>
<td>None</td>
</tr>
<tr>
<td>6</td>
<td>US TREASURY NOTE 5.75% DUE 6/15/2010 BOND</td>
<td>$500,001 - $1,000,000</td>
<td>Other</td>
<td>None</td>
<td>None</td>
</tr>
<tr>
<td>7</td>
<td>US TREASURY NOTE 6% DUE 8/15/2009 BOND</td>
<td>Over $1,000,000</td>
<td>Qualified Blind Trust</td>
<td>None</td>
<td>None</td>
</tr>
<tr>
<td>8</td>
<td>VERIGY LTD COMMON STOCK</td>
<td>None</td>
<td>Dividend</td>
<td>None</td>
<td>None</td>
</tr>
<tr>
<td>9</td>
<td>VERISIGN INC COMMON STOCK</td>
<td>None</td>
<td>Rent</td>
<td>None</td>
<td>None</td>
</tr>
<tr>
<td>10</td>
<td>VERIZON COMMUNICATIONS COMMON STOCK</td>
<td>None</td>
<td>Interest</td>
<td>None</td>
<td>None</td>
</tr>
</tbody>
</table>

**BLOCK B**

Valuation of Assets

At the close of reporting period. If None, or less than $1,001, check the first column.

- $1,000 - $15,000
- $15,001 - $50,000
- $50,001 - $100,000
- $100,001 - $250,000
- $250,001 - $500,000
- $500,001 - $1,000,000
- Over $1,000,000

**BLOCK C**

Type and Amount of Income

If "None (or less than $200)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

<table>
<thead>
<tr>
<th>Type of Income</th>
<th>Amount of Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dividend</td>
<td>$201 - $1,000</td>
</tr>
<tr>
<td>Rent</td>
<td>$1,001 - $2,500</td>
</tr>
<tr>
<td>Interest</td>
<td>$2,501 - $5,000</td>
</tr>
<tr>
<td>Capital Gains</td>
<td>$5,001 - $10,000</td>
</tr>
<tr>
<td>Exempted Invest. Fund</td>
<td>$10,001 - $25,000</td>
</tr>
<tr>
<td>Qual. Blind Trust</td>
<td>$25,001 - $50,000</td>
</tr>
<tr>
<td>Other</td>
<td>$50,001 - $100,000</td>
</tr>
<tr>
<td>(Specify Type)</td>
<td>Over $100,000</td>
</tr>
</tbody>
</table>

Actual Amount

Required if "Other" Specified

---

**EXEMPTION TEST** (see instructions before marking box). If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

---

---
<table>
<thead>
<tr>
<th>S. No.</th>
<th>Security Description</th>
<th>Type of Income</th>
<th>Amount of Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>VODAFONE GROUP PLC SP ADR</td>
<td>X</td>
<td>D, CG</td>
</tr>
<tr>
<td>2</td>
<td>WAL-MART STORES INC COMMON STOCK</td>
<td>X</td>
<td>D, CG</td>
</tr>
<tr>
<td>3</td>
<td>WAL-MART DE MEXICO SA - SPONSORED ADR</td>
<td>D</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>WALT DISNEY CO COMMON STOCK</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>WEATHERFORD INTERNATIONAL LT COMMON STOCK</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>WEYERHAUSER CO COMMON STOCK</td>
<td>X</td>
<td>D, CG</td>
</tr>
<tr>
<td>7</td>
<td>WILLIAMS COS INC DEL COMMON STOCK</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>WPP GROUP PLC SPONSORED ADR</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>Wrigley F M JR CO COMMON STOCK</td>
<td>X</td>
<td>D, CG</td>
</tr>
<tr>
<td>10</td>
<td>WYETH INC COMMON STOCK</td>
<td>X</td>
<td></td>
</tr>
</tbody>
</table>

**Exemption Test** (See instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

***This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.***
**PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

**BLOCK A**
Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

1. had a value exceeding $1,000 at the close of the reporting period, and/or
2. generated over $200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**Example:**
- DC: IBM Corp. (stock)
- J: Keystone Fund

<table>
<thead>
<tr>
<th>S.</th>
<th>IBM Corp. (stock)</th>
<th>X</th>
<th>X</th>
<th>X</th>
<th>X</th>
</tr>
</thead>
</table>

| 1 | Citibank (Deposit Accounts) | X | X | X | X |
| 2 | Northwestern Mutual Life Insurance (cash value - Whole Life Policy) | X | X | X | X |
| 3 | Northwestern Mutual Life Insurance (cash value - Whole Life Policy) | X | X | X | X |
| 4 | Arkansas Public Employees Retirement System | X | X | X | X |
| 5 | National Life Insurance Company (cash value - Universal Life Policy) | X | X | X | X |

**BLOCK B**
Valuation of Assets

At the close of reporting period. If None, or less than $1,000, Check the fist column.

<table>
<thead>
<tr>
<th>Value Range</th>
<th>Dividends</th>
<th>Rent</th>
<th>Interest</th>
<th>Capital Gains</th>
<th>Other</th>
</tr>
</thead>
<tbody>
<tr>
<td>$0 - $1,000</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$1,001 - $15,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$15,001 - $50,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$50,001 - $100,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$100,001 - $250,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$250,001 - $500,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$500,001 - $1,000,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$1,000,001 - $5,000,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$5,000,001 - $25,000,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$25,000,001 - $50,000,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$50,000,000,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**BLOCK C**
Type and Amount of Income

If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

<table>
<thead>
<tr>
<th>Type of Income</th>
<th>Amount of Income</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Actual Amount</td>
</tr>
<tr>
<td></td>
<td>Required if &quot;Other&quot; Specified</td>
</tr>
<tr>
<td>None</td>
<td>$0.00 - $200.00</td>
</tr>
<tr>
<td>$201 - $1,000.00</td>
<td></td>
</tr>
<tr>
<td>$1,001 - $2,500.00</td>
<td></td>
</tr>
<tr>
<td>$2,501 - $5,000.00</td>
<td></td>
</tr>
<tr>
<td>$5,001 - $15,000.00</td>
<td></td>
</tr>
<tr>
<td>$15,001 - $50,000.00</td>
<td></td>
</tr>
<tr>
<td>$50,001 - $100,000.00</td>
<td></td>
</tr>
<tr>
<td>$100,001 - $1,000,000.00</td>
<td></td>
</tr>
<tr>
<td>Over $1,000,000.00</td>
<td></td>
</tr>
</tbody>
</table>

**EXEMPTION TEST (see instructions before marking box):** If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. **This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.**
<table>
<thead>
<tr>
<th>Name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>WJC International Investments GP, LLC (New York, NY) (sovereign owned by spouse) (holds interests below)</td>
</tr>
<tr>
<td>2.</td>
<td>WJC International Investments LP, LLC (New York, NY) (sovereign owned by spouse) (holds interests below)</td>
</tr>
<tr>
<td>5.</td>
<td>Emaar Worldwide Holdings Ltd (retail jeweler with flagship store in London, England)</td>
</tr>
</tbody>
</table>

**Exemption Test** (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset was held independently by the spouse or dependent child. If the asset was either held by the filer or jointly held, use the other categories of value, as appropriate.
<table>
<thead>
<tr>
<th></th>
<th>BLOCK A</th>
<th>BLOCK B</th>
<th>BLOCK C</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Identity of Non-Publicly Traded Assets and Untaxed Income Sources</td>
<td>Valuation of Assets</td>
<td>Type and Amount of Income</td>
</tr>
<tr>
<td></td>
<td>Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p. 3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding $1,000 at the close of the reporting period; and/or (2) generated over $200 in &quot;unearned&quot; income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by the public entity may be listed on Part IIIA.</td>
<td>At the close of the reporting period. If None, or less than $1,001, check the first column.</td>
<td>If &quot;None (or less than $201)&quot; is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.</td>
</tr>
<tr>
<td>1</td>
<td>S. J.</td>
<td>JP Computer, Software Design, Wash DC</td>
<td>X</td>
</tr>
<tr>
<td>2</td>
<td></td>
<td>Undeveloped land, Dubuque, Iowa</td>
<td>X</td>
</tr>
<tr>
<td>3</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the flier or jointly held, use the other categories of value, as appropriate.
PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

<table>
<thead>
<tr>
<th>Transaction Type (x)</th>
<th>Transaction Date (Mo., Day, Yr.)</th>
<th>Amount of Transaction (x)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Purchase</td>
<td>X</td>
<td>$1,001 - $15,000</td>
</tr>
<tr>
<td>Sale</td>
<td>X</td>
<td>$15,001 - $50,000</td>
</tr>
<tr>
<td>Exchange</td>
<td>X</td>
<td>$50,001 - $100,000</td>
</tr>
<tr>
<td></td>
<td>X</td>
<td>$100,001 - $250,000</td>
</tr>
<tr>
<td></td>
<td>X</td>
<td>$250,001 - $500,000</td>
</tr>
<tr>
<td></td>
<td>X</td>
<td>$500,001 - $1,000,000</td>
</tr>
<tr>
<td></td>
<td>X</td>
<td>Over $1,000,000</td>
</tr>
</tbody>
</table>

Identification of Assets

<table>
<thead>
<tr>
<th></th>
<th>IBM Corp. (stock) NYSE</th>
<th>2/1/07</th>
<th>X</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>ABBOTT LABS INC COMMON STOCK</td>
<td>5/16/07</td>
<td>X</td>
</tr>
<tr>
<td>2</td>
<td>ADVENT SOFTWARE INC COMMON STOCK</td>
<td>5/16/07</td>
<td>X</td>
</tr>
<tr>
<td>3</td>
<td>AGILENT TECHNOLOGIES INC COMMON STOCK</td>
<td>5/16/07</td>
<td>X</td>
</tr>
<tr>
<td>4</td>
<td>ALBANY CNTY NY 4.00% 11/1/2009 GENERAL OBLIGATION BOND</td>
<td>5/16/07</td>
<td>X</td>
</tr>
<tr>
<td>5</td>
<td>ALCOA INC COMMON STOCK</td>
<td>5/16/07</td>
<td>X</td>
</tr>
<tr>
<td>6</td>
<td>ALKERMES INC COMMON STOCK</td>
<td>5/16/07</td>
<td>X</td>
</tr>
<tr>
<td>7</td>
<td>ALLIED WORLD ASSURANCE COMMON STOCK</td>
<td>5/16/07</td>
<td>X</td>
</tr>
<tr>
<td>8</td>
<td>AMAZON COMMON STOCK</td>
<td>5/16/07</td>
<td>X</td>
</tr>
<tr>
<td>9</td>
<td>AMERICAN EXPRESS CO COMMON STOCK</td>
<td>5/16/07</td>
<td>X</td>
</tr>
<tr>
<td>10</td>
<td>AMERICAN INTERNATIONAL GROUP INC COMMON STOCK</td>
<td>5/16/07</td>
<td>X</td>
</tr>
<tr>
<td>11</td>
<td>AMGEN INC COMMON STOCK</td>
<td>5/16/07</td>
<td>X</td>
</tr>
<tr>
<td>12</td>
<td>ANADARKO PETE CORP COMMON STOCK</td>
<td>5/16/07</td>
<td>X</td>
</tr>
</tbody>
</table>

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.
PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (see p. 3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

<table>
<thead>
<tr>
<th>Identification of Assets</th>
<th>Transaction Type (x)</th>
<th>Transaction Date (Mo., Day, Yr.)</th>
<th>Amount of Transaction (x)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Example: S, DC, or J</td>
<td>Purchase</td>
<td>2/1/07</td>
<td>$1,000-$15,000</td>
</tr>
<tr>
<td>IBM Corp. (stock) NYSE</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(DC) Microsoft (stock) NASDAQ/OTC</td>
<td>X</td>
<td>1/27/07</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Sale</td>
<td></td>
<td>$15,001-$50,000</td>
</tr>
<tr>
<td></td>
<td>Exchange</td>
<td></td>
<td>$50,001-$200,000</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>$250,001-$1,000,000</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Over $1,000,000</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>$1,000,001-$5,000,000</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Over $5,000,000</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>$25,000,000-$50,000,000</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Over $50,000,000</td>
</tr>
</tbody>
</table>

1. APPLIED MATERIALS INC COMMON STOCK
2. AUTODESK INC COMMON STOCK
3. AXA S A SPONSORED ADR
4. BAKER HUGHES INC COMMON STOCK
5. BANK OF AMERICA CORP COMMON STOCK
6. BASF AG SPONSORED ADR
7. BED BATH & BEYOND INC COMMON STOCK
8. BERKSHIRE HATHAWAY INC COMMON STOCK
9. BIOGEN IDEC INC COMMON STOCK
10. BJ SVCS CO COMMON STOCK
11. BOEING CO COMMON STOCK
12. BP AMOCO PLC SPONSORED ADR

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.
PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

<table>
<thead>
<tr>
<th>S.</th>
<th>Transaction Type (x)</th>
<th>Transaction Date (Mo., Day, Yr.)</th>
<th>Amount of Transaction (x)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Purchase</td>
<td>2/1/07</td>
<td>$15,000 - $50,000</td>
</tr>
<tr>
<td>2</td>
<td>Purchase</td>
<td>1/27/06</td>
<td>$50,000 - $100,000</td>
</tr>
<tr>
<td>3</td>
<td>Exchange</td>
<td>5/16/07</td>
<td>$250,000 - $500,000</td>
</tr>
<tr>
<td>4</td>
<td>Exchange</td>
<td>5/16/07</td>
<td>$1,000,000 - $2,500,000</td>
</tr>
<tr>
<td>5</td>
<td>Purchased</td>
<td>5/16/07</td>
<td>$2,500,000 - $5,000,000</td>
</tr>
<tr>
<td>6</td>
<td>Purchased</td>
<td>5/16/07</td>
<td>$5,000,000 - $10,000,000</td>
</tr>
<tr>
<td>7</td>
<td>Purchased</td>
<td>5/16/07</td>
<td>Over $10,000,000</td>
</tr>
<tr>
<td>8</td>
<td>Purchased</td>
<td>5/16/07</td>
<td>Over $10,000,000</td>
</tr>
<tr>
<td>9</td>
<td>Purchased</td>
<td>5/16/07</td>
<td>Over $10,000,000</td>
</tr>
<tr>
<td>10</td>
<td>Purchased</td>
<td>5/16/07</td>
<td>Over $10,000,000</td>
</tr>
<tr>
<td>11</td>
<td>Purchased</td>
<td>5/16/07</td>
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Identification of Assets

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<tr>
<td>J</td>
<td>GROUP DANONE SPONSORED ADR</td>
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<th>S.</th>
<th>Asset Description</th>
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<th>Amount</th>
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<td>HONG KONG AND CHINA GAS LTD - SPONS ADR</td>
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<td>(DC) Microsoft (stock) NASDAQ/OTC</td>
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<td>$15,001 - $50,000</td>
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1. MAGNA INTL INC COMMON STOCK
2. MERRILL LYNCH & CO INC COMMON STOCK
3. METROPOLITAN NEW YORK TRNS AUTH 5.00% 11/15/11 BOND
4. METTLER-TOLEDO INTL INC COMMON STOCK
5. MGIC INVT CORP COMMON STOCK
6. MICRON TECHNOLOGY INC COMMON STOCK
7. MICROSOFT CORP COMMON STOCK
8. MILLENNIUM PHARMACEUTICALS INC COMMON STOCK
9. MITSUBISHI UF J FINL GROUP INC SPONSOR ADR
10. MOTOROLA INC COMMON STOCK
11. NEW YORK NY CITY MUN WTR FIN 5.00% 6/15/16 BOND
12. NEW YORK ST TWY AUTH HWY 5.00% 4/01/13 BOND

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<td>NESTLE SA SPONSORED ADR</td>
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<td>NEW YORK NY 4.00% 4/01/08 GENERAL OBLIGATION BOND</td>
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<td>4 J</td>
<td>NEW YORK CITY 5.25% MAT 11/1/11 REVENUE BOND</td>
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<td>5 J</td>
<td>NEW YORK CITY 5.00% MAT 11/1/13 REVENUE BOND</td>
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<td>6 J</td>
<td>NEW YORK ST DORM 5.00% 7/01/11 REVENUE BOND</td>
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<td>NEW YORK ST DORM 5.00% MAT 12/15/12 BOND</td>
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<td>NEW YORK ST TWY 5.25% 04/01/2010 BOND</td>
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<tr>
<td>11 J</td>
<td>NEWS CORP COMMON STOCK</td>
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<th>Example: DC, or J</th>
<th>IBM Corp. (stock) NYSE</th>
<th>Transaction Date (Mo., Day, Yr.)</th>
<th>EXAMPL E</th>
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<td>4</td>
<td>NOVARTIS AG - ADR</td>
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<tr>
<td>5</td>
<td>NOVELLUS SYS INC COMMON STOCK</td>
<td>X</td>
<td>5/16/07</td>
<td>X</td>
</tr>
<tr>
<td>6</td>
<td>NOVO NORDISK A S ADR</td>
<td>X</td>
<td>5/16/07</td>
<td>X</td>
</tr>
<tr>
<td>7</td>
<td>NEW YORK ST TWY 5.25% 04/01/2010 BOND</td>
<td>X</td>
<td>5/16/07</td>
<td>X</td>
</tr>
<tr>
<td>8</td>
<td>ORIX CORP SPONSORED ADR</td>
<td>X</td>
<td>5/16/07</td>
<td>X</td>
</tr>
<tr>
<td>9</td>
<td>PALL CORP COMMON STOCK</td>
<td>X</td>
<td>5/16/07</td>
<td>X</td>
</tr>
<tr>
<td>10</td>
<td>PANAMA N Y CENT SCH DST 4.75% MAT 6/15/12 BOND</td>
<td>X</td>
<td>5/16/07</td>
<td>X</td>
</tr>
<tr>
<td>11</td>
<td>PEARSON PLC SPND ADR</td>
<td>X</td>
<td>5/16/07</td>
<td>X</td>
</tr>
<tr>
<td>12</td>
<td>PEPSICO INC COMMON STOCK</td>
<td>X</td>
<td>5/16/07</td>
<td>X</td>
</tr>
</tbody>
</table>

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

**This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.**
Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p. 3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

### Identification of Assets

<table>
<thead>
<tr>
<th>S.</th>
<th>Example: Stock Name</th>
<th>Transaction Type</th>
<th>Transaction Date</th>
<th>Amount of Transaction</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Pfizer Inc Common Stock</td>
<td>X</td>
<td>2/1/07 (X)</td>
<td>$1,001 - $15,000</td>
</tr>
<tr>
<td>2</td>
<td>Philips Electronics NV Sponsored ADR</td>
<td>X</td>
<td>5/16/07</td>
<td>$15,001 - $50,000</td>
</tr>
<tr>
<td>3</td>
<td>PMI Group Inc Common Stock</td>
<td>X</td>
<td>5/16/07</td>
<td>$50,001 - $100,000</td>
</tr>
<tr>
<td>4</td>
<td>Proctor &amp; Gamble Co NT 4.75% Due 6/15/07 Bond</td>
<td>X</td>
<td>5/16/07</td>
<td>$100,001 - $250,000</td>
</tr>
<tr>
<td>5</td>
<td>Proctor &amp; Gamble Co Common Stock</td>
<td>X</td>
<td>5/16/07</td>
<td>$250,001 - $500,000</td>
</tr>
<tr>
<td>6</td>
<td>Raytheon Company Common Stock</td>
<td>X</td>
<td>5/16/07</td>
<td>Over $500,000</td>
</tr>
<tr>
<td>7</td>
<td>Rio Tinto PLC SPN ADR</td>
<td>X</td>
<td>5/16/07</td>
<td>$1,000,000 - $5,000,000</td>
</tr>
<tr>
<td>8</td>
<td>Royal Dutch Shell PLC SPD ADR</td>
<td>X</td>
<td>5/16/07</td>
<td>Over $5,000,000</td>
</tr>
<tr>
<td>9</td>
<td>Sandisk Corp Common Stock</td>
<td>X</td>
<td>5/16/07</td>
<td>$5,000,001 - $25,000,000</td>
</tr>
<tr>
<td>10</td>
<td>SAP Aktiengesellschaft SPNS ADR</td>
<td>X</td>
<td>5/16/07</td>
<td>Over $25,000,000</td>
</tr>
<tr>
<td>11</td>
<td>Seagate Technology Common Stock</td>
<td>X</td>
<td>5/16/07</td>
<td>$25,000,001 - $50,000,000</td>
</tr>
<tr>
<td>12</td>
<td>Simpson Mfg Inc Common Stock</td>
<td>X</td>
<td>5/16/07</td>
<td>Over $50,000,000</td>
</tr>
</tbody>
</table>

**EXEMPTION TEST** (see instructions before marking box). If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.
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<table>
<thead>
<tr>
<th>Identification of Assets</th>
<th>Transaction Type (x)</th>
<th>Transaction Date (Mo., Day, Yr.)</th>
<th>Amount of Transaction ($)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Example: IBM Corp. (stock) NYSE (DC) Microsoft (stock) NASDAQ/OTC</td>
<td></td>
<td>2/1/07</td>
<td></td>
</tr>
<tr>
<td>1 J SK TELECOM CO LTD - ADR</td>
<td>X</td>
<td>5/16/07</td>
<td>$15,000 - $50,000</td>
</tr>
<tr>
<td>2 J SMITH &amp; NEPHEW PLC SPD ADR</td>
<td>X</td>
<td>5/16/07</td>
<td>$15,000 - $50,000</td>
</tr>
<tr>
<td>3 J STATE ST CORP COMMON STOCK</td>
<td></td>
<td>5/16/07</td>
<td>$15,000 - $50,000</td>
</tr>
<tr>
<td>4 J TAIWAN SEMICONDUCTOR MFG CO LTD SPONSORED ADR</td>
<td>X</td>
<td>5/16/07</td>
<td>$15,000 - $50,000</td>
</tr>
<tr>
<td>5 J TELEFONICA S A COMMON STOCK</td>
<td>X</td>
<td>5/16/07</td>
<td>$15,000 - $50,000</td>
</tr>
<tr>
<td>6 J TESCO PLC SPONSORED ADR</td>
<td>X</td>
<td>5/16/07</td>
<td>$15,000 - $50,000</td>
</tr>
<tr>
<td>7 J TEXAS INSTRS INC COMMON STOCK</td>
<td>X</td>
<td>5/16/07</td>
<td>$15,000 - $50,000</td>
</tr>
<tr>
<td>8 J TIME WARNER INC COMMON STOCK</td>
<td>X</td>
<td>5/16/07</td>
<td>$15,000 - $50,000</td>
</tr>
<tr>
<td>9 J TOMKINS PLC SPONSORED ADR</td>
<td>X</td>
<td>5/16/07</td>
<td>$15,000 - $50,000</td>
</tr>
<tr>
<td>10 J TOTAL S A ADR</td>
<td></td>
<td>5/16/07</td>
<td>$15,000 - $50,000</td>
</tr>
<tr>
<td>11 J TREND MICRO INC SPONSORED ADR</td>
<td>X</td>
<td>5/16/07</td>
<td>$15,000 - $50,000</td>
</tr>
<tr>
<td>12 J TRIBOROUGH BRIDG&amp;TUNN NEW YORK 5.00% 1/01/32 BOND</td>
<td>X</td>
<td>5/16/07</td>
<td>$15,000 - $50,000</td>
</tr>
</tbody>
</table>

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*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.
Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

<table>
<thead>
<tr>
<th>Date</th>
<th>Transaction Type</th>
<th>Purchase</th>
<th>Sale</th>
<th>Exchange</th>
<th>Amount of Transaction</th>
</tr>
</thead>
<tbody>
<tr>
<td>2/1/0X</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td>$1,001 - $15,000</td>
</tr>
<tr>
<td>1/27/0X</td>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td>$15,001 - $50,000</td>
</tr>
</tbody>
</table>

**Identification of Assets**

<table>
<thead>
<tr>
<th>Example</th>
<th>IBM Corp. (stock) NYSE</th>
<th>Microsoft (stock) NASDAQ/OTC</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 J</td>
<td>TRIBOROUGH BRIDG&amp;TUNN NEW YORK 5.00% 11/15/10 BOND</td>
<td></td>
</tr>
<tr>
<td>2 J</td>
<td>TYCO INTL LTD COMMON STOCK</td>
<td></td>
</tr>
<tr>
<td>3 J</td>
<td>UBS AG COMMON STOCK</td>
<td></td>
</tr>
<tr>
<td>4 J</td>
<td>UNILEVER PLC SPONSORED ADR</td>
<td></td>
</tr>
<tr>
<td>5 J</td>
<td>UNITED OVERSEAS BK LTD SPONSORED ADR</td>
<td></td>
</tr>
<tr>
<td>6 J</td>
<td>UNITEDHEALTH GROUP INC COMMON STOCK</td>
<td></td>
</tr>
<tr>
<td>7 J</td>
<td>VERIGY LTD COMMON STOCK</td>
<td></td>
</tr>
<tr>
<td>8 J</td>
<td>VERISIGN INC COMMON STOCK</td>
<td></td>
</tr>
<tr>
<td>9 J</td>
<td>VERIZON COMMUNICATIONS COMMON STOCK</td>
<td></td>
</tr>
<tr>
<td>10 J</td>
<td>VODAFONE GROUP PLC SP ADR</td>
<td></td>
</tr>
<tr>
<td>11 J</td>
<td>WAL-MART DE MEXICO SA - SPONSORED ADR</td>
<td></td>
</tr>
<tr>
<td>12 J</td>
<td>WAL-MART STORES INC COMMON STOCK</td>
<td></td>
</tr>
</tbody>
</table>

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<table>
<thead>
<tr>
<th>Transaction Type (x)</th>
<th>Transaction Date (Mo., Day, Yr.)</th>
<th>Amount of Transaction (x)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Purchase</td>
<td>2 / 1 / 0X</td>
<td>$1,001 - $15,000</td>
</tr>
<tr>
<td>Exchange</td>
<td>1 / 27 / 0X</td>
<td>$15,001 - $50,000</td>
</tr>
</tbody>
</table>

**Identification of Assets**

<table>
<thead>
<tr>
<th>S.</th>
<th>Example: <strong>DC. or J</strong></th>
<th>Company Name</th>
<th>Transaction Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>IBM Corp. (stock) NYSE</td>
<td>WALT DISNEY CO COMMON STOCK</td>
<td>Purchase</td>
</tr>
<tr>
<td>2</td>
<td>(DC) Microsoft (stock) NASDAQ/OTC</td>
<td>WEATHERFORD INTERNATIONAL LT COMMON STOCK</td>
<td>Purchase</td>
</tr>
<tr>
<td>3</td>
<td>Weyerhauser Co. (stock) NYSE</td>
<td>WEYERHAUSER CO COMMON STOCK</td>
<td>Purchase</td>
</tr>
<tr>
<td>4</td>
<td>WILLIAMS COS INC DEL COMMON STOCK</td>
<td>WILLIAMS SONOMA INC COMMON STOCK</td>
<td>Purchase</td>
</tr>
<tr>
<td>5</td>
<td>WPP GROUP PLC SPONSORED ADR</td>
<td>WPP GROUP PLC SPONSORED ADR</td>
<td>Purchase</td>
</tr>
<tr>
<td>6</td>
<td>WRIGLEY WM JR CO COMMON STOCK</td>
<td>WRIGLEY WM JR CO COMMON STOCK</td>
<td>Purchase</td>
</tr>
<tr>
<td>7</td>
<td>WYETH INC COMMON STOCK</td>
<td>WYETH INC COMMON STOCK</td>
<td>Purchase</td>
</tr>
<tr>
<td>8</td>
<td>LIBERTY MEDIA HOLDG CORP INTERACTIVE SER A COMMON STOCK</td>
<td>LIBERTY MEDIA HOLDG CORP INTERACTIVE SER A COMMON STOCK</td>
<td>Purchase</td>
</tr>
<tr>
<td>9</td>
<td>HONG KONG &amp; CHINA GAS LTD</td>
<td>HONG KONG &amp; CHINA GAS LTD</td>
<td>Purchase</td>
</tr>
</tbody>
</table>

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Report any purchase, sale, or exchange by you, your spouse, or dependent child (see p.3 CONTENTS OF REPORTS Part B of instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

<table>
<thead>
<tr>
<th>Transaction</th>
<th>Transaction Date</th>
<th>Amount of Transaction</th>
</tr>
</thead>
<tbody>
<tr>
<td>Purchase</td>
<td>2/1/08</td>
<td>$100,001 - $150,000</td>
</tr>
<tr>
<td>Sale</td>
<td>1/21/08</td>
<td>$50,001 - $100,000</td>
</tr>
</tbody>
</table>

**Identification of Assets**

<table>
<thead>
<tr>
<th>Example</th>
<th>Description</th>
<th>Transaction Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>REAL ESTATE - 209 BAYSIDE DRIVE, HOT SPRINGS, ARKANSAS</td>
<td>7/16/07</td>
</tr>
<tr>
<td>2</td>
<td>WJC INTERNATIONAL INVESTMENTS GP, LLC AND WJC INTERNATIONAL</td>
<td>10/15/07</td>
</tr>
<tr>
<td>3</td>
<td>INVESTMENTS LP, LLC WITHDREW FROM THE PARTNERSHIPS DESCRIBED ON PAGE</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>27 EFFECTIVE OCTOBER 15, 2007. VALUATION UNASCERTAINABLE DUE</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>TO ONGOING NEGOTIATIONS.</td>
<td></td>
</tr>
</tbody>
</table>

**EXEMPTION TEST (see instructions before marking box):** If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

**This category applies only if the asset is/was held independently by the spouse or dependent child.** If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.
Report liabilities over $10,000 owed by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) Mortgages on your personal residences unless rented; (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.

<table>
<thead>
<tr>
<th>Name of Creditor</th>
<th>Address</th>
<th>Type of Liability</th>
<th>Date Incurred</th>
<th>Interest Rate</th>
<th>Term if Applicable</th>
<th>Category of Amount of Value (x)</th>
</tr>
</thead>
<tbody>
<tr>
<td>J</td>
<td>Citigroup</td>
<td>Credit Card (monthly balance - paid)</td>
<td>2007</td>
<td>15.24%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1</td>
<td></td>
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<td></td>
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<tr>
<td>12</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

<table>
<thead>
<tr>
<th>Name of Organization</th>
<th>Address (City, State)</th>
<th>Type of Organization</th>
<th>Position Held</th>
<th>From (Mo/Yr)</th>
<th>To (Mo/Yr)</th>
</tr>
</thead>
<tbody>
<tr>
<td>National Assn. of Rock Collectors</td>
<td>NY, NY</td>
<td>EXAMPLE</td>
<td>Non-profit education</td>
<td>President</td>
<td>6/90</td>
</tr>
<tr>
<td>Jones &amp; Smith</td>
<td>Hometown, USA</td>
<td>EXAMPLE</td>
<td>Law Firm</td>
<td>Partner</td>
<td>7/95</td>
</tr>
<tr>
<td>The Clinton Family Foundation</td>
<td>Chappaqua, NY</td>
<td></td>
<td>Non-Profit Charity</td>
<td>Secretary/Treasurer</td>
<td>12/01</td>
</tr>
</tbody>
</table>

Compensation in excess of $200 from any position must be reported in Part II.
Report your agreements or arrangements for future employment (including agreements with a publisher for writing a book or sale of other intellectual property), leaves of absence, continuation of payment by a former employer (including severance payments), or continuing participation in an employee benefit plan. See Instructions regarding the reporting of negotiations for any of these arrangements or benefits.

<table>
<thead>
<tr>
<th>Status and Terms of any Agreement or Arrangement</th>
<th>Parties</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Example: Pursuant to partnership agreement, will receive lump sum payment of capital account &amp; partnership share calculated on services performed through 11/0X and retained pension benefits (diversified, independently managed, fully funded, defined contribution plan)</td>
<td>Jones &amp; Smith, Hometown, USA</td>
<td>1/93</td>
</tr>
<tr>
<td>Employment agreement with XYZ Co. to become Vice President of Government Relations. Terms of agreement include salary between $50,000-$100,000, signing bonus between $2,501-$5,000 and stock options</td>
<td>XYZ Co., Bethesda, MD</td>
<td>1/0X</td>
</tr>
<tr>
<td>Publishing agreement regarding the literary work &quot;It Takes a Village&quot; (will receive royalty payments pursuant to usual and customary terms of the trade)</td>
<td>Simon &amp; Schuster, Inc.</td>
<td>01/04/06 &amp; 12/08/06</td>
</tr>
<tr>
<td>Publishing agreement regarding the literary work &quot;Living History&quot; approved by Select Committee on Ethics (will receive royalty payments pursuant to usual and customary terms of the trade)</td>
<td>Simon &amp; Schuster, Inc.</td>
<td>01/02/01</td>
</tr>
<tr>
<td>Publishing agreement regarding the literary work &quot;Dear Socks, Dear Buddy&quot; (royalty payments assigned to the National Parks Foundation)</td>
<td>Simon &amp; Schuster, Inc.</td>
<td>09/98</td>
</tr>
<tr>
<td>Charitable gift assignment regarding the literary work &quot;Dear Socks, Dear Buddy&quot; (royalty payments assigned to National Parks Foundation and paid directly by publisher)</td>
<td>National Parks Foundation</td>
<td>09/98</td>
</tr>
<tr>
<td>Publishing agreement regarding the literary work &quot;Invitation to the White House&quot; (royalty payments assigned to the White House Historical Society)</td>
<td>Simon &amp; Schuster, Inc.</td>
<td>12/99</td>
</tr>
<tr>
<td>Charitable gift assignment regarding the literary work &quot;Invitation to the White House&quot; (royalty payments assigned to the White House Historical Society and paid directly by publisher)</td>
<td>White House Historical Society</td>
<td>12/99</td>
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