| UNIT | ED STATES | SENATE PUBL | | IAL DISCLOS | URE REPO | RT | | | | | |
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| Last Name | | | | ION REPORT | | | | | | | |
| CLINTON | HILLAR | Y RODHAM | Calendar Year Cove | ered by Report: | Senate Office / Agency in U.S. SENATE | | | | | | |
| Senate Office Address (Number, Street, City, State, and ZIP of 476 RUSSELL SENATE OFFICE | | Telephone No. (Include Area Code) 4–4451 | Termination Report Termination Date (I | Ao., Day, Yr.): | Prior Office / Agency in W | hich Employed | | | | | |
| WASHINGTON, D.C. 20510 | | | | | | | | | | | |
| AFTER READING THE INS | | - ANSWER EAU | | | | | EVANT PART | | | | |
| Did any individual or organization make a donatio paying you for a speech, appearance, or article in If yes, Complete and Attach PART I. | the reporting period? | YES NO X | Did you, your spou bursements for trav source)? If yes, Complete an | ise, or dependent child rec el in the reporting period (i d Attach PART VI | ceive any reportable tra .e., worth more than \$2 | avel or reim- 260 from one | YES NO X | | | | |
| Did you or your spouse have earned income (e.g. non-investment income of more than \$200 from a in the reporting period? If yes, Complete and Attach PART II. | ny reportable source | YES X NO | | se, or dependent child have | e any reportable liability | y (more than | YES X NO | | | | |
| Did you, your spouse, or dependent child receive ment income of more than \$200 in the reporting reportable asset worth more than \$1,000 at the en If yes, Complete and Attach PART IIIA and/or IIIB. | pariod or hold any | YES X NO | Did you hold any re calendar year? If yes, Complete an | portable positions on or be d Attach PART VIII. | fore the date of filing in | n the current | YES NO X | | | | |
| Did you, your spouse, or dependent child purchas any reportable asset worth more than \$1,000 in the off yes, Complete and Attach PART IV. | e, sell, or exchange ne reporting period? | YES NO X | Do you have any re If yes, Complete and | portable agreement or arrar Attach PART IX. | gement with an outside | e entity? | YES X NO | | | | |
| Did you, your spouse, or dependent child receive a the reporting period (i.e., aggregating more than wise exempt)? If yes, Complete and Attach PART V | \$260 and not other- /. | YES NO X | If yes, Complete and | Report: Did you receive col in the two prior years? I attach Part X. | | | YES NO X | | | | |
| File this report and any ame | ndments wit | h the Secretary of | of the Senate | e. Office of Pub | lic Records | Room 23 | 2 Hart Sonato | | | | |
| Office Building, U.S. Senate | , Washington | , D.C. 20510. \$20 | 00 Penalty fo | or filing more th | an 30 davs a | after due | dato | | | | |
| This Financial Disclosure Statement i | s required by the | Ethics in Government | Act of 1078 a | amondod The stat | amant will be E | | | | | | |
| made available by the Office of the Sec by the Select Committee on Ethics. Ar this report may be subject to civil and | retary of the Sen | ate to any requesting p | erson upon writte | en application and wi | I has not descended | or Onicial Use Only | O Not Write Below This Line | | | | |
| | Reporting Individual | | , 104, and 10 0. | | | | KETAF KAY | | | | |
| I CERTIFY that the statements I have | \sim | lhan Clin | aton | Date (Month, Day, Year) May 15, 2001 | | | V OF THE | | | | |
| For Official Use Only - Do Not Wri | te Below This Line |) | | | | | : 00 | | | | |
| It is the opinion of the reviewer that the Signature of statements made in this form are in compliance with Title I of the Ethics in Government Act. | Reviewing Official | | | Date (Month, Day, Year) | | $\tilde{\Sigma}$ | SENATE 3: DIJ | | | | |
| evious Editions Cannot Be Used | an a | | | | <u>(</u> | P | Revised 3/00 | | | | |

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Reporting Individual's Name

HILLARY RODHAM CLINTON

PART II. EARNED AND NON-INVESTMENT INCOME

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse (see page 3, Part B of the Instructions). Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:

For you and/or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

| Nâme of Income Source | Address (City, State) | Type of Income | Amount |
|---------------------------------------|--------------------------------------|--------------------------|---------------------------------------|
| Example: JP Computers MCI (Spouse) | EXAMPLE Wash., D.C. Arlington, VA | EXAMPLE Salary Salary | \$15,000 Over \$1,000 |
| ¹ SIMON & SHUSTER, INC. | LIVONIA, MI | BOOK ROYALTIES | \$8,534* |
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| ³ *Senator Clinto | n donates the entire amount to | | |
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Reporting individual's Name Page Number PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES HILLARY RODHAM CLINTON 3 BLOCK A BLOCK B BLOCK C **Identity of Publicly Traded Assets** Valuation of Assets Type and Amount of Income and Unearned Income Sources At close of reporting period. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that If none, or less than \$1,001, Report the complete name of each publicly item. This includes income received or accrued to the benefit of the individual. check the 1st column. traded asset held by you, your spouse, or your dependent child (see page 3. Part B of the Instructions), for Type of Income Amount of Income production of income or investment which: (1) had a value exceeding \$1,000 at the close Fund - \$50,000,000 of the reporting period; and/or \$25,000,000 2201) \$1,000,001 - \$5,000,000 (2) generated over \$200 in "unearned" income 8 \$500,000 \$1,000,00 - \$250,000 Ë \$1,000,000 \$100,000 Actual during the reporting period. - \$50,000 \$15,000 Other 8 Over \$1,000,000*** (or less than - \$100,000 Amount Over \$1,000,000-\$50,000,000 \$50,000 Include on this Part IIIA a complete identification of each \$15,000 \$1,000,001 - \$5,00 Over \$5,000,000 \$5,000 **Inves** - \$2,500 Excepted Trust **Qualified Blind** \$1,000 public bond, mutual fund, publicly traded partnership Gains (Specify Required . \$25,000,001 . interest, excepted investment funds, bank accounts, \$5,000,001 Type) . if "Other" \$500,001 . \$100,001 \$250,001 . Excepted \$15,001 . \$50,001 \$5,001 - 1 excepted and qualified blind trusts, and publicly traded Interest \$100,001 Specified . \$50,001 \$1,001 Capital (\$15,001 None \$1,001 . assets of a retirement plan. Divide 50 Over Rent None \$201 ß IBM Corp. (stock) NYSE DC EXAMPLE Example: EXAMPLE x EXAMPLE Keystone Equity Fund (widely diversified) EXAMPLE х EXAMPLE × EXAMPLE х LBANK OF AMERICA - PERSONAL CITIBANK - CHECKING ACCOUNT Х ñ., FIRST STAR CD (FORMERLY **MERCANTILE BANK)** Di x U.S. SAVINGS BONDS D х 5.8 VERIZON COMMON (FORMERLY D C BELL ATLANTIC COMMON) 5.4 5.4 X C BELLSOUTH COMMON D х NORTHWESTERN MUTUAL LIFE INSURANCE (CASH VALUE) 2 8.4 x NORTHWESTERN MUTUAL LIFE INSURANCE (CASH VALUE) 5.4 X ARKANSAS PUBLIC EMPLOYEES RETIREMENT SYSTEM Х OGE CERTIFIED & SENATE QUALIFIED BLIND TRUST EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the Instructions, please check here. *** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



Page Number PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES HILLARY RODHAM CLINTON BLOCK A BLOCK B BLOCK C **Identity of Publiciv Traded Assets** Valuation of Assets Type and Amount of Income and Unearned Income Sources At close of reporting period. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that If none. or less than \$1,001, Report the complete name of each publicly item. This includes income received or accrued to the benefit of the individual. check the 1st column. traded asset held by you, your spouse, or your dependent child (see page 3. Part B of the Instructions), for Type of Income Amount of Income production of income or investment which: Fund (1) had a value exceeding \$1,000 at the close - \$50,000,000 \$5,000,001 - \$25,000,000 of the reporting period; and/or \$1,000,001 - \$5,000,000 \$1,000,001 - \$5,000,000 (2) generated over \$200 in "unearned" income - \$1,000,000 83 **\$1,000,12** Actual - \$250,000 \$500,000 \$100,000 \$50,000 during the reporting period. Qualified Bilnd Thu Other \$15,000 Over \$1,000,000*** Amount \$50,000 \$100,000 \$50,000,000 \$5,001 - \$15,000 Include on this Part IIIA a complete identification of each \$2,500 \$5,000 Over \$1,000,000 Over \$5,000,000 Excepted Trust Excepted Inve \$1,000 public bond, mutual fund, publicly traded partnership **Capital Gains** (Specify Required \$25,000,001 . Турө) if "Other" interest, excepted investment funds, bank accounts, \$250,001 \$500.001 \$100,001 . \$15,001 \$50,001 5 ٠ . \$100,001 Specified excepted and qualified blind trusts, and publicly traded \$50,001 Interest \$1,001 \$15,001 . 100,18 \$2,501 Divier assets of a retirement plan. Over Rent \$201 ÷... S. DC or IBM Corp. (stock) NYSE EXAMPL 87 Example: 8 EXAMPLE EXAMPLE x Keystone Equity Fund (widely diversified) EXAMPLE x EXAMPLE . х EXAMPLE OGE CERTIFIED & SENATE OUALIFIED BLIND TRUST Х **)** (OGE CERTIFIED & SENATE COUALIFIED BLIND TRUST D x 5.8 AT&T COMMON ê D Х 2 JUCENT TECHNOLOGY COMMON D C X IT TAKES A VILLAGE" (1)(2) BOOK SIMON & SHUSTER, INC. (ALSO 23 ROYALTIES 8,534 REPORTED PART II) THE RIGGS BANK OF WASHINGTON DC (CHECKING ACCOUNT) Х HENRY G. FREEDMAN, JR. \$12,000 PIN MONEY FUND (2) ANNUITY STATE STREET CORPORATION å Х > @ 10 (1) NO ROYALTIES DUE AND OWING AS OF 12/31/00. FUTURE VALUE NOT EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the Instructions, please check here. ASCERTAINABLE. *** This category applies only if the asset is/was held independentify by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate. CLINTON CONTRIBUTES THE ENTIRE AMOUNT TO CHARITABLE Hevised 2/01 Previous Editions Cannot Be Used (2) EACH YEAK MKS. ORGANIZATIONS:



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Reporting Individual's Name

HILLARY RODHAM CLINTON

PART IX. AGREEMENTS OR ARRANGEMENTS

Page Number

6

Report your agreements or arrangements for future employment (including agreements with a publisher for writing a book), leaves of absence, continuation of payment by a former employer (including severance payments), or continuing participation in an employee benefit plan. See Instructions regarding the reporting of negotiations for any of these arrangements or benefits.

| | Status and Terms of any Agreement or Arrangement | Parties | Date |
|------------|---|---------------------------------------|--------|
| Exam | Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on services per- formed through 11/9X and retained pension benefits (diversified, independently managed, tully funded, defined contribution plan) | Jones & Smith, Hometown, USA EXAMPLE | 1/83 |
| | PUBLISHING AGREEMENT REGARDING THE LITERARY WORK 'IT TAKES A VILLAGE" (WILL RECEIVE ROYALTY PAYMENTS | SIMON & SHUSTER, INC. | 1/4/96 |
| 2 P | URSUANT TO USUAL AND CUSTOMARY TERMS OF THE TRADE) | | |
| 3] 7 | PUBLISHING AGREEMENT FOR AUTOBIOGRAPHICAL LITERARY WORK | SIMON & SHUSTER, INC. | 1/2/01 |
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| S | UBLISHING AGREEMENT REGARDING THE LITERARY WORK "DEAR SOCKS, DEAR BUDDY" (ROYALTY PAYMENTS ASSIGNED TO | SIMON & SHUSTER, INC. | 9/98 |
| | ATIONAL PARK FOUNDATION) | | |
| 7 | HARITABLE GIFT ASSIGNMENT REGARDING THE LITERARY WORK DEAR SOCKS, DEAR BUDDY" (ROYALTY PAYMENTS ASSIGNED TO | NATIONAL PARK FOUNDATION | 9/98 |
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| 9 F | PUBLISHING AGREEMENT REGARDING THE LITERARY WORK 'INVITATION TO THE WHITE HOUSE" (ROYALTY PAYMENTS | SIMON & SHUSTER, INC. | 12/99 |
| 10 A | ASSIGNED TO WHITE HOUSE HISTORICAL SOCIETY) | | |
| | CHARITABLE GIFT ASSIGNMENT REGARDING THE LITERARY WORK | WHITE HOUSE HISTORICAL SOCIETY | 12/99 |
| 12 A L | ASSIGNED TO THE WHITE HOUSE HISTORICAL SOCIETY AND PAID DIRECTLY BY PUBLISHER) | | |
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