STATEMENT UNDER 37 CFR 3.73(c)

Applicant/Patent Owner: Brett A. McCielan
Application No./Patent No.: 13/925,605Filed/Issue Date: June 24, 2013
Titled: COMMUNICATION SYSTEM AND ENCODING METHOD HAVING LOW OVERHEAD
MARVELL INTERNATIONAL LTD., a CORPORATION

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that, for the patent application/patent identified above, it is (choose one of options 1, 2, 3 or 4 below):

1. ✓ The assignee of the entire right, title, and interest.

2. □ An assignee of less than the entire right, title, and interest (check applicable box):
   ☐ The extent (by percentage) of its ownership interest is __%. Additional Statement(s) by the owners holding the balance of the interest must be submitted to account for 100% of the ownership interest.
   ☐ There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:

3. □ The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:

4. □ The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose one of options A or B below):

A. □ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _________, Frame _________, or for which a copy thereof is attached.

B. ✓ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Brett A. McCielan To: Solarflare Communications, Inc.
   The document was recorded in the United States Patent and Trademark Office at Reel 032400138084, Frame 0203, or for which a copy thereof is attached.

2. From: Solarflare Communications, Inc. To: Marvell International Ltd.
   The document was recorded in the United States Patent and Trademark Office at Reel 026434, Frame 0922, or for which a copy thereof is attached.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.
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3. From: Solarflare Communications, Inc. To: Marvell International Ltd.

The document was recorded in the United States Patent and Trademark Office at Reel 026434, Frame 0910, or for which a copy thereof is attached.

4. From: To:

The document was recorded in the United States Patent and Trademark Office at Reel __________, Frame __________, or for which a copy thereof is attached.

5. From: To:

The document was recorded in the United States Patent and Trademark Office at Reel __________, Frame __________, or for which a copy thereof is attached.

6. From: To:

The document was recorded in the United States Patent and Trademark Office at Reel __________, Frame __________, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☑ As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.06]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Gregory E. Stanton #45,127/ 
Signature 

September 18, 2014 
Date 

Gregory E. Stanton 
Printed or Typed Name 

45,127 
Title or Registration Number 

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Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency’s responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.