

AO 10
Rev. 1/2010FINANCIAL DISCLOSURE REPORT
NOMINATION FILINGReport Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wallach, Evan J.	2. Court or Organization Federal Circuit	3. Date of Report 07/28/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) <input checked="" type="checkbox"/> Nomination, Date 07/28/2011 <input type="checkbox"/> Initial <input type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2010 to 07/26/2011
7. Chambers or Office Address U.S. Court of International Trade One Federal Plaza New York, NY 10278	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
<p>IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Partner	WWII Partnership (Investment Partnership)
2. Member	Speedway Stone Associates, LLC (Investment)
3. Board of Advisors	International Humanitarian Law Clinic at Emory Law School
4. Member	American Law Institute
5. Honorary Fellow	Hughes Hall College
6. Executor	Estate #1
7. Trustee	Trust #1

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income
☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	Brooklyn Law School-Teaching	\$8,200.00
2. 2010	Brooklyn Law School Teaching	\$8,200.00
3. 2009	Brooklyn Law School Teaching	\$8,200.00
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
 (Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2011	US Department of Education, Salary
2. 2010	US Department of Education, Salary
3.	
4.	

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.
 (Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*
☐ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Exempt			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*
☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS — Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (excluding trust assets) Place "X" after each asset except from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Account Code 1 (A-H)	(2) Type (e.g., div., rent, or loss)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Cash	A	Interest	J	T	Exempt				
2. Citibank Cash	A	Interest	K	T					
3. Chase Bank Household Escrow Account	A	Interest	J	T					
4. Charles Schwab Cash	A	Int./Div.	L	T					
5. Fidelity Contrafund (IRA)	B	Dividend	L	T					
6. Fidelity Magellan (IRA)	C	Dividend	L	T					
7. Fidelity Puritan (IRA)	B	Dividend	L	T					
8. TIAA-Traditional	B	Interest	K	T					
9. TIAA-CREF Stock	D	Dividend	L	T					
10. TIAA-Growth Stock	A	Dividend	J	T					
11. TIAA-Global Equities	C	Dividend	K	T					
12. TIAA-Soc Ch	C	Dividend	L	T					
13. Addison Ave. Federal Credit Union	A	Dividend	J	T					
14. Fidelity Advisor Ser. I Equity Growth (IRA)	A	Dividend	L	T					
15. Fidelity Advisor Equity Port Income (IRA)	A	Dividend	K	T					
16. Franklin Strategic Biotechnology (IRA)	A	Dividend	L	T					
17. Growth Fund America (IRA)	A	Dividend	K	T					

1. Income-Only Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$3,500 G = \$100,001 - \$1,000,000 K = \$3,501 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$2,500,000 L = \$50,001 - \$100,000 P = \$1,000,001 - \$5,000,000 R = Cost (Real Estate Only) V = Other	D = \$5,001 - \$15,000 I1 = \$1,000,001 - \$2,500,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 S = Annuitant W = Estimated	E = \$15,001 - \$50,000 I2 = More than \$2,500,000 N = \$250,001 - \$500,000 Q = \$25,000,001 - \$50,000,000 T = Cash Market
2. Value Codes: (See Columns C1 and D3)					
3. Value Method Codes: (See Column C3)	Q = Appraisal U = Book Value				

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Name of Person Reporting

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Date of Report

07/28/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of spouse and dependent children; see pp. 34-59 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset except from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(1) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Seligman Communications & Information Fund (IRA)	A	Dividend	K	T					
19. Alcatel-Lucent Corp Commonstock (IRA)	A	Dividend	J	T					
20. General Electric Co (IRA)	B	Dividend	K	T					
21. LSI Corp (IRA)	A	Dividend	J	T					
22. Microsoft Corp (IRA)	A	Dividend	K	T					
23. MVC Capital (IRA)	B	Dividend	K	T					
24. Pfizer Incorporated (IRA)	A	Dividend			Exempt				
25. Wells Fargo Cash (IRA)	A	Interest	K	T					
26. Wells-Fargo First Clearing, LLC	E	Distribution			Exempt				
27. General Electric	A	Dividend			Exempt				
28. 1 Parcel Real Property, Tucson AZ (1/3) Interest	A	Rent	K	W					
29. 1 Parcel real Property, Tucson, AZ (1/3) Interest	A	Rent	M	W					
30. Trustee UBS Cash Account (Trust #1)	A	None	P1	T					

1. Income Origin Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,001 or less (See Column C1 and D3)	B = \$1,001 - \$3,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$3,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 N4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessed W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report)*

The only asset WWH partnership owns is one parcel of land in Tucson, (part VII line 28) and the only asset Speedway/Stone LLC owns is a contiguous parcel (part VII line 27).

I am the executor of Trust #1 whose sole assets is cash account referenced in line 30. Trust is in the process of being liquidated.

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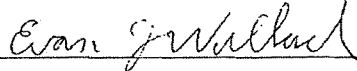
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
 Administrative Office of the United States Courts
 Suite 2-301
 One Columbus Circle, N.E.
 Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		91	567	Notes payable to banks-secured			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities - see schedule		857	910	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable - personal residence		124	738
Real estate owned - see schedule	1	050	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		250	000				
Cash value-life insurance							
Other assets itemize:							
Thrift Savings Plan		30	200				
				Total liabilities		124	738
				Net Worth	2	154	939
Total Assets	2	279	677	Total liabilities and net worth	2	279	677
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							

FINANCIAL STATEMENT**NET WORTH SCHEDULES**Listed Securities

Alcatel-Lucent	\$9,475
General Electric	48,133
LSI Corporation	3,862
Microsoft	27,664
MVC Capital	32,375
401(k) cash account	21,993
Growth Fund of America CLC	39,798
Columbia Seligman Comm'n's & Info. Fund	42,405
Fidelity Advisor Equity Income Class T	33,027
Fidelity Advisor Equity Growth Class T	75,951
Fidelity Contra Fund	116,561
Fidelity Magellan Fund	67,960
Fidelity Puritan	87,138
Franklin Biotechnology Discovery Fund	66,086
TIAA-Traditional	25,289
TIAA-CREF Global Equities	24,615
TIAA-CREF Growth	4,546
TIAA-CREF Social Choice	54,737
TIAA-CREF Stock	76,295
Total Listed Securities	<u>\$857,910</u>

Real Estate Owned

Personal residence	\$750,000
2 undeveloped contiguous lots (1/3 interest)	<u>300,000</u>
Total Real Estate Owned	<u>\$1,050,000</u>

Note: Not later than August 3, 2011, I will receive a distribution of approximately \$430,000 as a 1/3 beneficiary of my parent's living trust

AFFIDAVIT

I, Evan J. Wallach, do swear
that the information provided in this statement is, to the best
of my knowledge, true and accurate.

26 July 2011
(DATE)

Evan J. Wallach
(NAME)

Linda Sue Sloane
(NOTARY)

LINDA SUE SLOANE
Notary Public, State of New York
No. 01SL4634165
Qualified in New York County
Commission Expires June 30, 2014