

#### UNITED STATES PATENT AND TRADEMARK OFFICE

OFFICE OF THE GENERAL COUNSEL

August 7, 2013

# VIA U.S. MAIL

Re: Freedom of Information Act (FOIA) Request No. F-13-00218

Dear

The United States Patent and Trademark Office (USPTO) FOIA Office received your e-mail dated July 09, 2013 in which you requested, under the provisions of the Freedom of Information Act, 5 U.S.C. § 552, a copy of:

Re: USPTO FOIA Request re Leader Technologies, Inc. v. Facebook, Inc., U.S. Pat No. 7,139,761 and 3<sup>rd</sup> Reexam No. 95/001,261

- (1) Patent Office Employee Conflict of Interest Information: I request the following information regarding the U.S. Patent Office employees listed following:
  - (a) Full biographical disclosures, including updates, from Jan. 1, 2004 present;
  - (b) Any and all conflicts of interest disclosures made by the person, including rationale and description of the matter, from Jan. 1, 2004 present;
  - (c) Any and all recusals by the person, including rationale and description of the matter, from Jan. 1, 2004 present; and
  - (d) All required disclosures of financial holdings from Jan. 1, 2004 present.
  - U.S. Patent Office employees for whom the information is requested:
  - (i) Former Director David J. Kappos
  - (ii) Deputy FOIA Counsel Kathryn Siehndel
  - (iii) Administrative Judge Stephen C. Siu
  - (iv) Administrative Judge James R. Hughes
  - (v) Administrative Judge Meredith C. Petravick
  - (vi) Administrative Judge Alan R. MacDonald
  - (vii) Administrative Judge Catherine Timm
  - (viii) Administrative Judge Jackie W. Bonilla
  - (ix) Administrative Judge Jason V. Morgan

- (x) Administrative Judge Mike Strauss
- (xi) Administrative Judge George Best
- (xii) Administrative Judge Matt Clements
- (xiii) Administrative Judge Lynne Pettigrew
- (xiv) Administrative Judge Bart A. Gerstenblith
- (xv) Administrative Judge Kit Crumbley
- (xvi) Administrative Judge Stacey White
- (xvii) Administrative Judge Hung J. Jung
- (2) A.F.L.C.T.A.C.A.F.C. (Assoc. of Former Law Clerks and Technical Assistants for the United States Court of Appeals for the Federal Circuit).
  - (a) Complete list of patent office employees who are/have been a member and/or participated in events sponsored by this organization, from 2008 to the present.
  - (b) Program materials created by or on behalf of this organization and made available to patent office employees, in any form.
- (3) Executive Communication Privilege.

Any and all Freedom of Information Act (FOIA) inquiries, Congressional inquiries, and Inspector General inquiries to the U.S. Patent Office where executive communication privilege was invoked (see *Loving v. Dep't of Defense*, 550 F.3d 32, 37 (D.C. Cir 2008 and related statutes) to prevent disclosure of the information requested, from Jan. 1, 2004 – present.

In our July 9, 2013 telephone call to clarify this request, you indicated that you intended your requests to be limited to records related to the subject line of your request, regarding "Leader Technologies, Inc. v. Facebook, Inc., U.S. Pat. No. 7,139,761 and 3<sup>rd</sup> Reexam No. 95/001,261," where applicable.

#### Item 1

Regarding Item 1 of your request, the USPTO identified 67 pages of documents that are responsive to parts (a), (b), and (c). A copy of this material is enclosed. There are no records for David Kappos or Kathryn Siehndel. Records concerning required disclosures of financial holdings, part (d) of the request, are withheld in full pursuant to Exemption (b)(6) of the FOIA. 5 U.S.C. § 552(b)(6).

The financial disclosures are withheld in full pursuant to Exemption (b)(6) of the FOIA, which permits the withholding of "personnel and medical files and similar files the disclosure of which would constitute a clearly unwarranted invasion of personal privacy." 5 U.S.C. § 552(b)(6). The term "similar files" has been broadly construed to cover "detailed Government records on an individual which can be identified as applying to that individual." See Dep't of State v. Washington Post, 456 U.S. 595, 601 (1982). Exemption (b)(6) requires a balancing of an individual's right to privacy against the public's right to disclosure. See Dep't of the Air Force v. Rose, 425 U.S. 352, 372 (1976); Multi Ag Media LLC v. USDA, 515 F.3d 1224, 1228 (D.C.

Cir. 2008). The burden is on the requester to establish that disclosure would serve the public interest. See Bangoura v. Dep't of the Army, 607 F. Supp. 2d 134, 148-49 (D.D.C. 2009).

Here, the requested information is directly tied to an individual's personal financial history, is part of USPTO personnel files, and is information in which individuals have a significant privacy interest. Release of personal financial information could result in fraud or abuse by unauthorized individuals. Further, the withheld information does little to shed light or contribute significantly to public understanding of the operations or activities of the USPTO. When balancing the public interest of release against individual privacy interest, the Supreme Court has made clear that information that does not directly reveal the operations or activities of the federal government fall outside the ambit of the public interest. See Dep't of Justice v. Reporters Comm. for Freedom of the Press, 489 U.S. 749, 775 (1989). Your FOIA request does not assert a public interest that outweighs the privacy interest, nor is a public interest otherwise evident. Since the privacy interest in this information is greater than any identifiable public interest, the FOIA requires that the information be withheld.

#### Item 2

Regarding Item 2, part (a) of your request, the USPTO has no records. As for part (b), the USPTO requires clarification of this portion of the request. As it currently reads, your request does not provide sufficient information to permit the agency to formulate a reasonable search for responsive documents. We kindly request a clarification of the records you seek. See 37 C.F.R. § 102.4(b).

The FOIA imposes two key requirements on requesters: they must "reasonably describe" the records sought and must make requests in accordance with an agency's published FOIA regulations. 5 U.S.C. § 552(a)(3)(A). A description of a requested record is sufficient if it enables a professional agency employee familiar with the subject area to locate the record with a "reasonable amount of effort." See Frank v. U.S. Dep't of Justice, 941 F. Supp. 4, 5 (D.D.C. 1996) (stating that an agency is not required to "dig out all the information that might exist, in whatever form or place it might be found, and to create a document that answers plaintiff's questions").

Your request fails to identify possible custodians, a system or records, or a subject matter for the records sought in Item 2, part (b). Defining a specific universe of records desired should provide serviceable search parameters for USPTO subject-matter experts and help us respond most effectively and efficiently to your request. If you wish to pursue this part of your request, please resubmit your request and more clearly define the records you seek by identifying potential custodians, systems of records, subject matter, or other limiting parameter.

#### Item 3

Regarding Item 3 of your request, the USPTO has no records.

\* \* \*

You have the right to appeal this initial decision to the Deputy General Counsel, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. An appeal must be received within 30 calendar days from the date of this letter. See 37 C.F.R. § 102.10(a). The appeal must be in writing. You must include a copy of your original request, this letter, and a statement of the reasons that form the basis for the appeal. Both the letter and the envelope must be clearly marked "Freedom of Information Appeal."

Sincerely,

Kathyn Siehndel

Kathryn Siehndel USPTO FOIA Officer Office of General Law

Enclosure

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95 J	ZI		Bonilla, Jacqueline	Nucleix	
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523	J	BM		Petravick, Meredith	Answers mailed Oct 2006 - Sept. 2008	
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524	J	BM		Petravick, Meredith	3657) for Answers mailed Oct 2006 - Sept. 2008	
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525	<u> </u>	ВМ		Petravick, Meredith	mailed Oct 2006 - Sept. 2008	<u> </u>
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526	<u>J</u>	BM	-	Petravick, Meredith	Answers mailed Oct 2006 - Sept. 2008	
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528	J	BM		Petravick, Meredith	for Answers mailed Oct 2006 - Sept. 2008 Art Units 3680s (3681, 3682, 3683) for Answers mailed	
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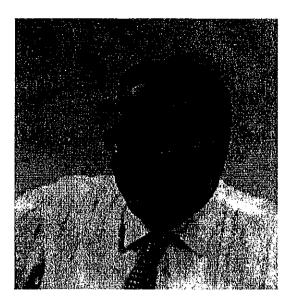
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Dr. Victor Gorelick
Alcatel-Lucent
Buckley, Maschoff & Talwalkar
Schwabe, Williamson & Wyatt
DLA Piper
Nixon & VanderHye
David H. Judson
King and Spalding

Jason V. Morgan

Judge George C. Best



Judge George C. Best was a partner with Foley & Lardner before coming to the Board. He is a graduate of the University of Chicago (S.B., chemistry, with honors; S.B., biological chemistry, 1989); the California Institute of Technology (Ph.D., chemistry, 1995); and the Law School of the University of Chicago (J.D., with honors, 1997), where he was the managing editor of the University of Chicago Legal Forum. Before joining Foley & Lardner, he was a law clerk for the Hon. Randall R. Rader of the U.S. Court of Appeals for the Federal Circuit. Judge Best was appointed to the Board of Patent Appeals and Interferences in February 2012.



**BE IT KNOWN THAT**, effective January 1, 2012, upon selection by the Secretary of Commerce of the Government of the United States of America,

# Jacqueline D. Wright Bonilla, Esquire

was appointed as an Administrative Patent Judge to decide appeals arising from adverse decisions of Examiners at the United States Patent and Trademark Office, to determine priority in interferences, and to help implement and conduct proceedings under the America Invents Act of 2011. The aforesaid Judge Bonilla is a graduate of the University of Virginia Law School, holds a Doctor of Philosophy degree in Cellular and Molecular Pharmacology from the University of Virginia, and a Bachelor of Arts degree in Biochemistry from the University of California, Berkeley.

Judge Bonilla's extensive career in patent law began with practice at Finnegan, Henderson, Farabow, Garrett & Dunner, LLP. She subsequently clerked for the Honorable Chief Judge Randall Rader at the United States Court of Appeals for the Federal Circuit in Washington, DC before becoming a partner at Foley & Lardner, LLP, specializing in biotechnology and pharmaceuticals. Judge Bonilla has published numerous articles in legal and medical journals and magazines on molecular pathology and gene patenting, among other topics, and was a featured biotechnology commentator on television.



Judge Gregg Anderson . . .



Judge Justin T. Arbes has a B.S. in Computer Science from Michigan State University and a J.D. from the University of Illinois College of Law. His background is primarily in software design and development. During and after college, he worked for Lycos, two startup software companies, and the FBI. He clerked for the Honorable Avern Cohn on the U.S. District Court for the Eastern District of Michigan, and then practiced patent and general commercial litigation at Arnold & Porter in Washington, DC. For the last five years, Justin practiced patent litigation and prosecution at Hunton & Williams in Washington, DC.



Judge James Arpin earned his Bachelor of Applied Science and his Bachelor of Science in Economics (Finance) from the University of Pennsylvania in 1981 and graduated from the Boston University School of Law in 1989. Upon completion of his undergraduate education, Judge Arpin was commissioned as an Ensign in the U.S. Navy and served two division office tours aboard U.S. combatant ships: first, as the Missile Officer and Combat Information Center and Electronic Warfare Officer on U.S.S. Leftwich (DD 984) and, later, as the Damage Control Assistant on U.S.S. Horne (CG 30). After leaving the U.S. Navy and following law school, Judge Arpin practiced patent law at Sutherland, Asbill & Brennan until 1992 and, thereafter, at Baker Botts L.L.P., where he became a partner. While at Baker Botts, Judge Arpin earned a Masters in Electrical Engineering from the Johns Hopkins University. In private practice, Judge Arpin has handled a wide range of patent prosecution, licensing, and opinion matters in the mechanical, business methods, plant, and electrical technologies and has been involved in various IP litigation matters.



Judge Bradley W. (Bill) Baumeister received his B.S. in Nuclear Engineering from the University of Cincinnati. He worked as a Systems Engineer and Test Engineer at Commonwealth Edison's Ouad Cities Nuclear Power Station in Cordova, Illinois. Judge Baumeister is a graduate of the University of Iowa College of Law, where he served as a member of the Journal of Transnational Law and Contemporary Problems. Following law school he worked for West Publishing, drafting bar review study guides. He next worked as a Patent Attorney at Litman Law Offices, prosecuting patent applications and supervising the firm's patent search department. Judge Baumeister joined the USPTO in 1998, working as a Patent Examiner and Supervisory Patent Examiner in the areas of semiconductor devices and manufacturing and nanotechnology. He received a Department of Commerce Bronze Medal Award in 2006 for his work in establishing the USPTO's Classification Schedule for Nanotechnology. Judge Baumeister joined the BPAI in 2008. He is a member of the Giles S. Rich American Inn of Court and a Senior Member of the IEEE.



Judge Barbara A. Benoit joins the BPAI after being a principal at Fish & Richardson and serving as a law clerk to the Honorable Daniel M. Friedman of the United States Court of Appeals for the Federal Circuit. Judge Benoit also completed a clerkship with the United States Court of Federal Claims. After leaving Fish & Richardson and before joining the Board, Judge Benoit was a principal at Booz Allen Hamilton and ran its technology group providing information technology consulting to the National Institutes of Health.

She earned a J.D. with honors from the George Washington University Law School where she was a member of the *George Washington University Law Review* and the Order of the Coif. Judge Benoit also earned a Ph.D. in Information Technology and an M.S. in Systems Engineering from the School of Information Technology and Engineering at the

George Mason University. Judge Benoit is a member of the Virginia and District of Columbia Bars, and is registered to practice before the USPTO. Prior to attending law school, Judge Benoit worked for approximately ten years in the area of information technology consulting, which included operating her own small consulting firm.



Judge Jennifer S. Bisk joins the BPAI from her most recent position as a patent litigation associate at Sterne, Kessler, Goldstein & Fox. Prior to that, she was a Law Clerk to the Honorable Richard Linn with the United States Court of Appeals for the Federal Circuit. Judge Bisk also completed a clerkship with the United States Court of Federal Claims, and has prior experience as an associate at Crowell & Moring in Washington, DC, and Cooley Godward Kronish LLP. She earned a J.D., summa cum laude, from George Mason University School of Law, and holds a M.S. in Computer Science from Stanford University and a Bachelor of Engineering, Computer Science and Electrical Engineering, cum laude, from Vanderbilt University. Prior to law school, Judge Bisk worked for approximately ten years as a software developer for several small startup software companies, Microsoft Corporation, and NASA.



Judge Scott R. Boalick was appointed to the Board in 2007. He is the Lead Judge for the Electrical II Section and an Acting Lead Judge for the Electrical I Section. Prior to joining the Board, Judge Boalick served as a patent attorney with the Department of the Navy at two different laboratories. Before that, he was an associate at Fish & Richardson and served as a law clerk to the Honorable Alvin A. Schall of the United States Court of Appeals for the Federal Circuit. Judge Boalick received a J.D., magna cum laude, from the Georgetown University Law Center where he was a Notes and Comments Editor of The Georgetown Law Journal. He received both a M.S.E. in Systems Engineering and a B.S.E. in Electrical Engineering, magna cum laude,

from the University of Pennsylvania. He is a member of the Virginia and District of Columbia Bars, and is registered to practice before the USPTO. Prior to attending law school, Judge Boalick worked as a radar systems engineer for Technology Service Corporation. He also served as an officer in the U.S. Navy where he was the Ship's Electrician, Communications Officer, and Fire Control Officer aboard the USS Mobile Bay (CG-53) and an instructor at the Surface Warfare Officer School.



Judge Patrick Boucher holds a Ph.D. in Physics from Queen's University (Canada) and a J.D. from Touro College, where he graduated as valedictorian. He joins the Board from Marsh, Fischmann & Breyfogle LLP, and was previously a partner at Townsend and Townsend and Crew LLP and an associate with Pennie & Edmonds LLP; his legal practice focused primarily on patent prosecution, with particular emphasis in the areas of optics, acoustics, semiconductor processing, and telecommunications. Prior to attending law school, Judge Boucher acted as a senior editor of *Physical Review B* for seven years after completing a postdoctoral fellowship at the Continuous Electron Beam Accelerator Facility (now the Thomas Jefferson National Accelerator Facility) in Newport News, Virginia. He is licensed to practice law in Colorado and New York.



Judge Gene Branch received his BS in Electrical Engineering from Louisiana State University and his JD from the University of Denver Sturm College of Law. Prior to law school, Judge Branch worked in the aerospace industry, first as an Air Force officer designing and building infrastructure for Titan space launch rockets, then as an engineer at Lockheed Martin working on a number of space launch programs. As a patent agent while attending law school, Judge Branch worked at Displaytech, a pre-IPO manufacturer of liquid crystal microdisplays. Judge Branch became a partner in private practice while at Townsend and

Townsend and Crew LLP then joined Perkins Coie as a partner where he initiated Perkins Coie's patent prosecution practice into Denver, Colorado. Judge Branch holds an MS in Environmental Management and an MBA. He is licensed to practice in Colorado, as well as before the United States Patent and Trademark Office.



Judge Hung H. Bui graduated from the Washington College of Law, American University in 1994 and earned his B.S. in Electrical & Computer Engineering with a minor in International Affairs from Drexel University in 1990. Judge Bui started as a patent examiner in Group 230 and a student associate at a patent boutique in Washington DC, while attending law school at night. Subsequently, Judge Bui served as a partner at Antonelli, Terry, Stout & Kraus, LLP and a founding partner at Stein, McEwen & Bui LLP and Bui Garcia-Zamor. In private practice since 1991, Judge Bui has handled a wide range of electrical and computer technologies and all aspects of patent practice, including patent preparation, prosecution, reissue, reexamination, interference and appeals before the U.S. Court of Appeals for the Federal Circuit, patent portfolios, opinions and patent litigation before the International Trade Commission and District Courts. In addition, Judge Bui has organized comprehensive IP training programs, trained and lectured extensively at seminars and conferences in U.S. and Asia regarding U.S. patent law and practice.



Judge Justin Busch has a B.S.E. in Electrical Engineering and a B.S.E. in Computer Engineering from the University of Michigan. He has worked for Ford Motor Company, Advanced Micro Devices, Hewlett-Packard, and KETL Internet Consulting. Justin worked as a software engineer, primarily designing and developing business-to-business enterprise systems. He attended the Northwestern University School of Law where he earned his J.D. and was a founding board member of the Northwestern Journal of Technology

and Intellectual Property. Justin has worked at Katten, Muchin Rosenman and Banner & Witcoff, where he practiced various aspects of intellectual property law, focusing primarily on patent prosecution and litigation. Most recently, Justin started his own firm, where he continued to work on intellectual property matters and also expanded his practice to include commercial litigation.



Judge St. John Courtenay III received his Bachelor of Science with honors in Computer Science from the University of Alabama in Huntsville (magna cum laude). including completion of both a minor in Mathematics, and a second optional minor in Electrical and Computer Engineering. At UAH, he was also member of Upsilon Pi Epsilon, the Honor Society in the Computing Sciences. He also holds a Master of Business Administration degree from George Mason University (Fairfax, VA), and a J.D. degree from the Washington College of Law, American University (Washington, D.C.). He is a member of the District of Columbia Bar and is also a graduate of the Berklee College of Music (Boston, MA, 1977). Judge Courtenay joined the Board as a Patent Attorney in 2006 and was appointed to the position of Administrative Patent Judge in March 2007. Prior to joining the Board, he was a GS-15 Examiner in the USPTO Central Reexamination Unit. Before joining the Central Reexamination Unit, he was a Primary Patent Examiner in Technology Center 2100. In August 2001, he received the Department of Commerce Bronze Medal Award as a Primary Examiner. Prior to joining the USPTO in 1994, he worked as a computer programmer (primarily assembly language, C, and C++) for a number of small startup companies in the Huntsville, AL area.



Judge Eric B. Chen received a Sc.B. (with honors) and a Ph.D. from Brown University in materials engineering. He also received a J.D. from the Arizona State University College of Law (now Sandra Day O'Connor College of Law), where he was a senior editor of Jurimetrics. During law school. Judge Chen was a legislative legal intern for the Democratic Staff Counsel in the Arizona State Legislature and was a judicial extern for the Honorable Stephen M. McNamee of the U.S. District Court. Judge Chen then served as a patent examiner in Technology Center 1700, where he examined applications related to semiconductor manufacturing. He was an associate in the materials science practice group of Buchanan, Ingersoll & Rooney PC. Judge Chen also served as a patent attorney at the Board in the contested cases section prior to his appointment as a Judge. Before attending law school, he worked a ceramics engineer for Saint-Gobain Corporation and a chemistry researcher at the University of Massachusetts. Judge Chen is a member of the State Bar of Arizona.



Judge Matthew R. Clements was appointed to the Board in 2013. He is assigned to the Electrical II Section. Prior to joining the Board, Judge Clements was an associate at Ropes & Gray LLP, where he litigated patents in district court and in the ITC, as well as prosecuted patents. Judge Clements earned a J.D. from Columbia Law School, where he was a Harlan Fiske Stone Scholar and served on the Science and Technology Law Review. Prior to law school, he earned an M.S.E.E. from the University of Washington, and a B.S.E.E. from Auburn University. He is a member of the bar of California, of the District of Columbia, and of New York.



Judge Jeremy Curcuri was appointed to the Board of Patent Appeals and Interferences in 2012. He holds a B.S. in electrical engineering from GMI Engineering & Management Institute (now Kettering University), an M.S. in finance from Walsh College, and a J.D from Wayne State University. Jeremy has also completed several graduate courses in computer engineering at the University of Michigan-Dearborn, and is a licensed professional engineer. Prior to his appointment to the Board, Jeremy was at Brooks Kushman P.C. from 1993 to 2012 as a cooperative-education engineering student, patent agent, associate attorney, and then shareholder attorney. Jeremy's previous work experience includes extensive domestic patent prosecution.



Judge Thu Ann Dang



Judge Kalyan K. Deshpande received his B.S. in Biomedical Engineering from Case Western Reserve University and a J. D. from Moritz College of Law at The Ohio State University. He worked as a business technology consultant for Accenture, designing technical infrastructure and implementing supply chain and customer relationship management software. Kal further helped found and managed all information technology at the startup company Viands Concerted. Kal has spent the last several years at the USPTO, serving the roles of patent examiner, patent attorney, and now administrative patent judge. Kal had spent his first few years with the business methods group before joining the electrical team.



Judge Andrew J. Dillon received a BSEE from Purdue University while on active duty with the United States Navy (1966-1978). Following his graduation he served as a Surface Warfare Officer and ended his sea duty as the Chief Engineer of the USS Schenectady, LST-1185. Judge Dillon is a graduate of John Marshall Law School in Chicago. Following graduation from law school he initially worked as a Division Patent Counsel for Texas Instruments in Dallas, Texas. After working as an associate/partner in private practice for many years, preparing and prosecuting over a thousand patent applications, he founded his own firm in Austin, Texas. From 2003 through 2006 he served as a voting member of the United States Patent Office Public Advisory Committee.



Judge Joseph L. Dixon earned a BSEE degree, cum laude, from the University of Maryland. He earned a JD from Catholic University of America, Columbus School of Law and is licensed in MD and DC. While working at the USPTO, APJ Dixon earned his Engineer In Training (EIT) Certificate and then his Professional Engineering (PE) License in Maryland. Judge Dixon has earned a graduatelevel Certificate of Advanced Public Administration from the Maxwell School of Citizenship and Public Affairs at Syracuse University. While working as an APJ, Judge Dixon worked on a work assignment in TC 2100 in the computer area from March 1, 2006 - Sept. 30, 2006 working as a liaison to the TC and working on Pre-Appeals and Appeals conferences with Examiners. Prior to being appointed as an APJ in 1999, Judge Dixon worked as a Special Programs Examiner (SPRE) reviewing Reexaminations Proceedings, Reissue Applications, and PCT applications as a member of the quality team overseeing these examinations in the computer and communications areas. Judge Dixon worked as an Associate Solicitor in the Office of the Solicitor representing the USPTO before the Federal Circuit and Eastern District of Virginia. He has also worked as Quality Assurance Specialist (QAS) in TC 2700

(Computer/Communications Areas) and received a Special Act Award for participating as a trainer in the training of the Examining Corp. in the Section 101 Guidelines for Computer-Related Inventions and for participating in developing the examples used in the training. Judge Dixon also participated in PREP I (Patent ReEngineering Prototype) for the first attempt at electronic processing of applications and amendment processing at the USPTO. Judge Dixon worked as a Supervisory Patent Examiner (SPE) in the computer error correction area and then in the computer memory system area. Prior to being selected as an SPE, Judge Dixon worked on detail to the Office of the Commissioner of Patents. In 1989, Judge Dixon received his "Masters Level" rating in the computer "Measuring, testing, and monitoring" arts. In 1990, APJ earned his Primary Examiner status. Judge Dixon started as an Examiner in the USPTO in 1985 as an Examiner working in the computerrelated arts of "measuring, testing, and monitoring" in the catch-all area that the rest of the USPTO classification did not fit during the beginning of the computer processing and information age. Prior to coming to the USPTO, he worked for Westinghouse Elec. Co. as an electrical engineer and a "COSTEP" in the Public Health Service. Judge Dixon was the on the Board of Directors of the USPTO Fitness Center from 2001-2009 (Vice-President for 7 years). Judge Dixon has held many volunteer positions as a youth basketball coach, National Kidney Foundation Volleyball Marathon Chairman, PTOS Picnic Chairman, Prince Georges County Vol. Fireman, College Gardens Elementary School PTA Secretary, Cub Scout PACK 1450 Volunteer Board member, Past President of the Government Intellectual Property Law Association.

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Judge Kristen L. Droesch earned her Juris Doctorate at the Catholic University of America Columbus School of Law and is a member of the Virginia Bar. Prior to earning her law degree, Kristen earned a Master of Science in Material Science and Engineering, and a Bachelor of Science in Engineering Science and Mechanics from Virginia Polytechnic Institute and State University. Kristen joined the Board as a Judge in the Electrical III Section in April of 2011. Prior to April 2011, Kristen served as a Patent Attorney for several years under the guidance and direction of Judge Sally Medley of the Trial Section. Kristen's previous work experience includes positions at the USPTO as a Patent Examiner and Primary Examiner and at the Naval Explosive Ordnance Disposal Technology Division as a Mechanical Engineer.



Judge John A. Evans received his B.S. in Biology from Haverford College and his Ph.D. in Biochemistry from the University of Hawaii. Judge Evans was an N.I.H. research fellow in the National Heart, Lung and Blood Institute and then a grants administrator in the National Institute if General Medical Sciences. Judge Evans was a Research Assistant Professor in the Departments of Surgery and Biochemistry at the Medical School, University of Maryland at Baltimore. Judge Evans' research specialized in the biochemical kinetics of energy transduction using biophysical rapid-reaction and isotope exchange techniques. Judge Evans received his J.D. From Georgetown University where he was Senior Articles Editor of the Georgetown International Environmental Law Review. Judge Evans has experience with patent prosecution and litigation most recently at Pillsbury Winthrop Shaw Pittman. Judge Evans has prosecuted patent applications in the biotechnology, polymer chemistry, materials science, and semiconductor arts.



Judge Daniel Fishman joins the PTAB from 21 years in private practice as a patent attorney performing patent preparation and prosecution on behalf of numerous electronics, computing, and telecommunications clients. Just prior to joining the PTAB, Judge Fishman was a partner for 8 years in a small boutique "prep and pros" firm in Boulder Colorado (Duft Bornsen & Fishman comprising 4 attorneys and one agent and now renamed as Duft Bornsen & Fettig). Earlier in his legal career, Judge Fishman worked with other small boutique "prep and pros" firms as well as Lathrop & Gage in their Boulder office. Prior to his legal career, Judge Fishman worked for 16 years in the software industry primarily in embedded systems such as laser printer controllers and real time control and measurement systems. Judge Fishman received his B.S. degree in Computer Science from the University of Michigan (1977) and his J.D. from the University of Denver (1990). Judge Fishman is licensed to practice law in Colorado and is admitted to practice before the 10<sup>th</sup> Circuit and the US Supreme Court. Judge Fishman is a long time member of the Colorado Bar Association . (CBA), the AIPLA, and the Association for Computing Machinery (ACM).



Judge Eric S. Frahm is a graduate of American University, the Washington College of Law, and holds a B.S. in Electrical Engineering from Virginia Polytechnic University and State University. Subsequent to his graduation from engineering school, Judge Frahm worked as a Primary Patent Examiner at the Patent and Trademark Office, while he attained his Law degree. He then worked as a legal advisor to the Deputy Commissioner for Patent Examination Policy and then became a judge at the Board of Patent Appeals and Interferences. As a Patent Attorney he moved on to prosecute patent applications with Stanzione & Kim, LLP, was an Attorney Advisor with the Office of General Counsel with the International Trade Commission, and then returned to the Board, where he serves again as a judge.



Judge Gregory J. Gonsalves received a J.D with honors from Georgetown University. He also received a Ph.D. in Computer Engineering and a M.S. in Electrical Engineering from Syracuse University and a B.S. in Electrical Engineering from Northeastern University. Immediately prior to joining the Board, he maintained his own law firm, where he focused his practice on patent litigation, patent licensing, and inter partes reexamination. Prior to that, he practiced intellectual property law as a partner at Jenner & Block. He also worked at Jones Day and at Pennie & Edmonds. Before he became an attorney, Judge Gonsalves worked as an electrical engineer and computer scientist at IBM and elsewhere.



Judge Marc S. Hoff \_\_\_



Judge Jean R. Homere holds a Juris Doctor from the George Mason University School of Law, a Master in Legal Administration from Marymount University, a Master of Science in Management Information Systems from the George Washington University School of Business and Public Management, and a Bachelor of Science in Electrical Engineering from the University of Connecticut. Prior to joining the Board, Judge Homere served as a Legal Advisor in the Office of Patent Legal Administration at the USPTO, as a Supervisory Patent Examiner in workgroups 2120 and 2160, and worked as a Primary Examiner in the database and file management art in which he obtained his Master's level rating. Judge Homere is a member the Golden Key National Honor Society, Eta Kappa Nu, the District of Columbia Bar, and the Court of Appeals for the Federal Circuit. He is also a Bronze Medal Award recipient. Judge Homere has authored various law review articles, and also co-authored the law text book "Intellectual Property, Trade and Development"

(Oxford University Press, 2007).



Judge James R. Hughes received both a B.S. (Bachelor of Science) in Electrical Engineering and a B.S. in Engineering Administration from Michigan Technological University. He worked as a Design and Development Engineer in automotive electrical systems for suppliers to Ford Motor Company, General Motors, and Chrysler, and as a senior Design/Development Engineer for Yazaki North America. He also worked as contract administrator and legal intern for Apex Global Internet Services, Inc. (AGIS), one of the original Internet tier 1 (backbone) providers. Judge Hughes graduated with honors (Magna Cum Laude) from the Michigan State University (Detroit) College of Law and was a published member of the Law Review. Following his graduation and prior to joining the Board he worked as an associate attorney with Sughrue Mion, PLLC and a senior associate attorney with Milbank, Tweed, Hadley & McCloy LLP and Howrey LLP, handling patent prosecution and intellectual property litigation. He was also an Associate Solicitor with the U.S.P.T.O.'s Office of the Solicitor representing the United States in intellectual property litigation before various district courts and appeals before the Federal Circuit Court of Appeals.



Judge Larry J. Hume received his B.S. in Electrical Engineering from Arizona State University, as well as a Masters in Electrical Engineering and the Electrical Engineer degree from the Naval Postgraduate School in Monterey, California. In addition, he received his Masters in Business Administration from Hawaii Pacific University. Larry retired at the rank of Commander from the United States Navy after serving 20 years as an Engineering Duty Officer. He served in a variety of technical management positions on board ships, in naval shipyards, and in program offices responsible for computer software initiatives and tactical missile development for cruise missile and ballistic missile defense. After his Navy retirement, he worked as a

consultant to the U.S. Navy's Standard Missile Program Office in the Naval Sea Systems Command. Larry received his law degree from George Mason University School of Law, and has worked as a patent agent at Young & Thompson and Birch Stewart Kolasch and Birch, and as a patent attorney at Connolly Bove Lodge and Hutz. While at Connolly Bove, he was given increasing levels of responsibility, including management of various intellectual property prosecution and counseling matters, as well as providing litigation support. Prior to joining the Board, Larry was a senior associate at Pillsbury Winthrop Shaw Pittman, where he managed patent portfolios for a number of high-technology companies and the business method patent portfolio for a large national bank, in addition to carrying out numerous patentability, infringement, and freedom to operate opinions in the electrical and computer-related arts.



Judge Trevor M. Jefferson received his B.S. with honors in Electrical Engineering from Howard University and a M.S. in Electrical Engineering from the University of Illinois. He worked as a worked as a Systems Engineer for Delco Electronics and GE Astro Space. He also worked for Motorola, Inc, deploying GSM cellular systems in Jakarta, Indonesia. Judge Jefferson is a graduate of the Northwestern University School of Law, where he served as Editor-in-Chief of the Northwestern Journal of International Law & Business and was awarded the Wigmore Key. He served as a law clerk to the Honorable R. Guy Cole, Jr. at the United States Court of Appeals for the Sixth Circuit. Following his clerkship he was selected as a Temple Bar Scholar by the American Inns of Court in 2000. He then worked as an associate attorney at Latham & Watkins' Silicon Valley office, handling intellectual property litigation and transactions. Prior to joining the board, he worked as a Patent/Trial Attorney at the United States Department of Justice, where he represented the United States in intellectual property litigation before the Court of Federal Claims and the Court of Appeals for the Federal Circuit.



Judge John A. Jeffery is an Administrative Patent Judge with the U.S. Patent & Trademark Office (USPTO) Board of Patent Appeals & Interferences Electrical II section, and is currently involved with the Board's mentoring and training efforts. Before joining the Electrical II section, John served as the Lead Judge of the Board's Computers and Electrical I sections. John received a Bachelor of Science in Electrical Engineering with Honors from the University of Tennessee in 1984. In 2004, he received a J.D. from The Catholic University of America in Washington, D.C., graduating magna cum laude. During law school, he was a member of The Catholic University Law Review while working full time as a Primary Examiner at the USPTO. Before his appointment as a judge in March 2007, John was a Patent Attorney with the Board and worked for judges in electrical technologies. Before arriving at the Board, John was a Primary Examiner in Technology Center (TC) 3700 examining patent applications in electrical technologies, and served as an instructor for the USPTO Patent Academy. John also worked for the TC 3700 Special Programs Unit. In 1999, John received the Department of Commerce Bronze Medal, and in 2002, received the American Intellectual Property Law Association Outstanding Examiner Award. Before joining the USPTO, John was a commissioned officer with the U.S. Air Force. John has also worked in the private sector as an electrical engineer for ALCOA, as well as a patent attorney for an IP law firm. John is a member of the Virginia State Bar.



Judge **David M. Kohut** is a graduate of the University of Dayton School of Law and holds a Bachelor's degree in Electrical Engineering from the University of Dayton. He is a member of the California State Bar. Subsequent to graduation from law school, Judge Kohut worked as a Sales Engineer with Eaton/Cutler-Hammer negotiating contracts with companies such as Eli Lilly, Daimler Chrysler, and Purdue University regarding electrical distribution products

and services. While in law school, Judge Kohut served as a Business Advisor for the Small Business Development Center counseling inventors on intellectual property issues and concerns and worked for Yanny & Smith in Los Angeles assisting with entertainment and intellectual property litigation and transactions. After law school, he worked for Covington & Burling LLP before joining the U.S. Patent and Trademark Office as a Patent Examiner dealing with finance, health care, and insurance business method patents. Judge Kohut then joined the Board of Patent Appeals and Inteferences as a Patent Attorney under Judge Robert Nappi, after which he became a Judge.





Judge Johnny A. Kumar has a Bachelor of Science degree (1983) in Electrical Engineering from the University of Texas, Austin and a JD from George Mason University School of Law. Johnny has worked as a partner at several law firms including Mayer Brown, Winston & Strawn and Foley Lardner. For the past 22 years, he has practiced in all phases of patent trials in various U.S. District Courts around the country, handled appeals at the CAFC and has done patent prosecution at the PTO. His technical background is primarily in circuits, semiconductors, computer software and hardware and wireless communications. Prior to law school he worked as a design Engineer for 7 years with IBM and GE.

Judge Allen R. MacDonald received a B.A. in Mathematics from the University of Maine in 1978, a B.S. in Electrical Engineering from the University of Maine in 1983, and a J.D. from The National Law Center at George Washington in 1988. In 1983, Judge MacDonald joined the Patent and Trademark Office as a patent Examiner. He rose through the ranks and was promoted to Patent Examining Group Director of Group 2100 in July 2000. In 2004, Judge MacDonald joined the Board of Patent Appeals and Interferences (BPAI) as an Administrative Patent Judge. From June 2008 to November 2008 and again from April 2009 to October 2010, Judge MacDonald served as Acting Vice Chief Judge of the BPAI. He is currently the Lead Judge for the Electrical III Section of BPAI and an Acting Lead Judge for the Electrical I Section. He has been awarded the Department of Commerce Bronze Medal Award on three occasions and he has twice been awarded the Department's Silver Medal Award for meritorious federal service. Judge MacDonald is a member of the Pennsylvania Bar.



Judge Jennifer L. McKeown has a B.E. in Electrical Engineering from Stevens Institute of Technology and a J.D. from the American University, Washington College of Law. At the outset of Judge McKeown's career, she was an associate at Oblon Spivak where she prosecuted patent applications in the consumer electronics field. Thereafter, she was a member of the litigation team at McKenna, Long, & Aldridge in Washington, DC, for seven years litigating patents, including substantial discovery, motion and trial practice, before the Federal District Courts and the International Trade Commission. Judge McKeown's litigation representations were primarily in the field of semiconductor technology, including thin film transistor array design and manufacture, and micro display projection systems. Prior to law school, Jennifer worked as a technical advisor at Ward & Olivo in Springfield, NJ.



Judge David McKone earned his Bachelor of Science in Electrical Engineering from Michigan State University in 1997 and his J.D. from the University of Michigan Law School in 2002. Between undergraduate and law schools, Judge McKone was an electrical engineer for General Electric Medical Systems in Milwaukee, WI. Following law school, he worked as an attorney for Fish & Richardson in Washington, D.C., and clerked for Honorable William C. Bryson on the United States Court of Appeals for the Federal Circuit. Following his clerkship, Judge McKone practiced patent litigation with Latham & Watkins in Chicago and later patent litigation and prosecution with Nixon Peabody in Chicago, where he became a partner. Having joined the new Detroit office of the USPTO, Judge McKone is excited to be back in Michigan, where he grew up.



Judge Eleni Mantis Mercader joined the USPTO in 1996. and joined the Board as a Judge in the Electrical Section in August of 2008. In 2007 she served as a Patent Attorney for nine months under the guidance and direction of Judge Kenneth Hairston in the Electrical Section prior to being appointed as a Judge. Judge Mercader is a member of the District of Columbia Bar, and holds a J.D. from Catholic University. She also holds a B.S. in bioengineering (electrical engineering discipline) from Syracuse University and an M.S. in environmental physiology (toxicology) from the State University of NY. Prior to joining the USPTO, she worked as a toxicology analyst for Syracuse Research Corporation for three years on various Environmental Protection Agency (EPA) and Occupational Safety and Health Administration (OSHA) projects. At the USPTO, she served as a Supervisory Patent Examiner (SPE), a Primary Examiner, and Patent Examiner in a Master's level docket in the areas of nuclear, electromagnetic, and ultrasonic imaging technologies and ultrasonic therapy. As a Primary Examiner she also served as an Instructor at the USPTO Patent Academy teaching numerous Patent Examiner Initial Training (PEIT) and Introduction to Practice and Procedure

(IPP) courses. She received the Bronze Medal Award in 2007 for high level productivity, superior degree of competence in technical matters, as well as patent practice and outstanding responsiveness to the public.



Judge Bryan F. Moore has a B.S. in Electrical Engineering from Stanford University and a J.D. from Georgetown University Law Center. After college, he worked for Accenture (formerly Andersen Consulting) designing and developing software and managing software development. He practiced patent litigation and prosecution at firms including Fish and Neave, and Hunton and Williams, LLP. For the last six years, Bryan worked for United States International Trade Commission as an Investigative Attorney, where he represented the government of the United States and the public interest in Section 337 investigations involving alleged patent infringement.



Judge Jason V. Morgan is a graduate of the George Washington University Law School, where he graduated with honors, and holds a Bachelor degree in Computer Science with a minor in Mathematics from the University of Utah. After graduating from the University of Utah, he worked for the National Security Agency (NSA), during which he became a registered patent agent. He then joined Science Applications International Corporation (SAIC), where he worked in both the fields of software engineering and patent practice, while pursuing his law degree as an evening student. During this time, he worked on contracts for the CIA and the FBI, as well as for Intellectual Property Attorneys at the Army Research Laboratory in Adelphi, Maryland. He then joined the Board as a Patent Attorney, after which he became an Administrative Patent Judge. In addition to his education and experience in software engineering and law, Judge Morgan is both a Project Management Professional and a Registered Parliamentarian. He has an active interest in communications and leadership training and development, and has been an active member of

Toastmasters International for several years. Currently, Judge Morgan is also active in fostering excellence in professionalism, ethics, civility, and legal skills as a member of the Pauline Newman Intellectual Property American Inn of Court.



Judge Robert E. Nappi joined the USPTO as a Patent Examiner in 1992 in what is now Tech. Center 2800. He examined patent applications involving elevator control systems and battery charging systems. He was promoted to the position of Primary Examiner in 1996. In 1999, he was selected as the Supervisory Patent Examiner for Art Unit 2837, which is assigned a docket of patent applications involving Motor Control Systems, Elevator Control Systems, Musical Instruments and Acoustic Devices. He also served on a work assignment detail to the Office of Petitions in 1997 and to the Board of Patent Appeals and Interferences in 2000. Judge Nappi received a Bachelor of Science degree in Electrical Engineering in 1988 from Clemson University, a Master of Science degree in Electrical Engineering from Rensselaer Polytechnic University in 1991, and a Juris Doctor, cum laude, from American University, Washington College of Law in 1999. Judge Nappi is a member of the Virginia Bar. Prior to working at the USPTO, Judge Nappi worked as a nuclear project engineer at General Dynamics, in the Electric Boat Division. Judge Nappi was appointed to the Board of Patent Appeals and Interferences April 2004. Judge Nappi has served on in the Electrical teams in their various incarnations, the Mechanical Business Method Team and was the Team Lead, from Sept 2009-April 2011, for one of the electrical teams. Currently Judge Nappi is a mentor on Electrical Team 3.



Judge **Brian J. McNamara** spent 15 years working as an electrical engineer in the defense industry prior to beginning practice as a patent lawyer. He spent his entire 25 year legal career at Foley & Lardner. For the first 5 years of his career at F&L, Brian's work concentrated on patent prosecution.

The remainder of his career was primarily focused on litigation, although Brian maintained a prosecution docket as well. He had a significant role in about 35 patent and trademark litigations, in addition to a number of state and Federal Circuit appeals. Brian also did pro bono work in several areas for the Washington Lawyers Committee for Civil Rights and Urban Affairs. Brian retired from F&L in February, 2012. During his career, Brian also taught electronics courses at Dundalk Community College for 4 years and taught patent and Internet Law courses as an adjunct professor at George Mason University for 14 years. He has also published a number of articles and presented a number of seminars. Brian has worked in a wide variety of technologies, including analog and digital communications, satellite TV, RFID, computer networks and business methods. Brian has a bachelor's degree in electrical engineering from Manhattan College, a master of science in electrical engineering from Johns Hopkins University, a Masters of Administrative Science from Johns Hopkins and a J.D. with honors from the University of Maryland, where he was a member of the moot court board.



Judge John G. New received a B.S. in Marine Biology with a minor in Chemistry from the University of Massachusetts, an M.S. in Neurobiology from the University of Michigan, and a Ph.D. in Neuroscience from Wesleyan University. He was a postdoctoral fellow at the Centre National de la Recherche Scientifique in France and at Scripps Institution of Oceanography at the University of California San Diego. He was for twenty years a Professor of Biology at Loyola University Chicago, where he was also Adjunct Professor of the Parmly Sensory Sciences Institute and Adjunct Professor of Cellular Biology, Neurobiology, and Anatomy at the Loyola University Stritch School of Medicine. He has also been a visiting professor at Scripps Institution of Oceanography and at the University of Hawaii's Institute of Marine Biology. Judge New received his J.D. magna cum laude from Chicago-Kent College of Law, where he was

inducted into the Order of the Coif, served as Executive Articles Editor of the Chicago-Kent Law Review, Executive Editor of the inaugural issue of the Seventh Circuit Review, and was a member of the Moot Court Honor Society. Judge New served as a law clerk to the Hon. Joan B. Gottschall of the United States District Court for the Northern District of Illinois and to the Hon. Paul R. Michel, Chief Judge (retired) of the United States Court of Appeals for the Federal Circuit. He worked as an intellectual property litigator for the firm of Winston & Strawn LLP in Chicago and for the Civil Division of the United States Department of Justice. Amongst his awards are the Capranica Foundation Prize in Neuroethology, a Grass Fellowship in Neurophysiology at the Marine Biological Laboratory at Woods Hole, and the William J. Pierce Prize of the National Council of Commissioners on Uniform State Laws.



Judge Glenn J. Perry was an electronic design engineer at the RCA Space Center in Princeton, N.J. 1970-1971. He attended law school at the University of Maryland and then engaged in the general practice of law for two years, litigating in both state and federal courts. He transitioned into patent law working for the U.S. Navy and was assigned to the Naval Surface Weapons Center. Judge Perry then joined Cushman, Darby & Cushman, an IP boutique firm in Washington, DC and was a partner in that firm at the time of its merger into the Pillsbury law firm in 1996. He was a member of the Managing Board of Pillsbury Winthrop, LLP 2001-2004. In 2005-2006 Judge Perry was the managing partner of the DC office of Smith Gambrell & Russell, LLP. Then, in 2006 he became a director at Sterne Kessler Goldstein & Fox, PLLC. (SKGF). Most recently at SKGF, Judge Perry engaged in patent owner defenses in reexamination as part of the "smart phone wars". He is responsible for obtaining the patent for i4i that became the subject of the recent Supreme Court case confirming the strong presumption of validity of a US patent and that "clear and convincing" evidence is required to overturn that

presumption.



Judge Lynne E. Pettigrew joined the Board from the USPTO's Office of the Solicitor, where she represented the USPTO in appeals before the United States Court of Appeals for the Federal Circuit and in federal district court. Before joining the USPTO, she served for eleven years as law clerk to the Honorable S. Jay Plager of the United States Court of Appeals for the Federal Circuit. She also has prior experience as an associate with Finnegan, Henderson, Farabow, Garrett & Dunner LLP. She holds a J.D., cum laude, from Georgetown University Law Center, an M.S.E. in Electrical Engineering from Princeton University, and a B.S., cum laude, in Electrical Engineering from Yale University. Prior to law school, she worked for several years as a systems engineer at AT&T Bell Laboratories.

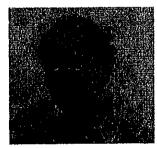


Judge **Denise M. Pothier** holds a J.D. and Masters in Intellectual Property from the University of New Hampshire (formerly known as Franklin Pierce Law Center), an M.S. in Electrical Engineering from Virginia Polytechnic Institute and State University, and a B.S. in Mechanical Engineering and Applied Mechanics from the University of Pennsylvania. She has worked at the U.S. Patent Office in various capacities for over fifteen years. Besides her current position at the Board of Patent Appeals and Interferences, she has served as an Examiner in Technology Center 3700, a Quality Assurance Specialist for Technology Centers 3600, 3700, and 2900, a Petitions Examiner in the Office of Petitions, and an acting Supervisory Patent Examiner.



Miriam L. Quinn was appointed to the Board in 2012. Judge Quinn holds a B.S. in Electrical Engineering from Florida International University, where she graduated with honors. She worked as a telecommunications engineer for 6 years before going to law school. At St. Thomas University School of Law (Miami, FL), Judge Quinn was Editor-In-Chief of the law review. She also attended the Intellectual

Property Summer Institute at the then-known-as Franklin Pierce Law Center (now University of New Hampshire). She was valedictorian of her law school class and graduated summa cum laude. Her career in patent law began with employment at Baker Botts (Dallas, TX) as an IP Associate. She worked in prosecution matters dealing with a wide variety of technologies. Prior to joining the Board, Judge Quinn was a partner at Fulbright & Jaworski L.L.P., where she practiced, exclusively, in the area of patent litigation. She is a member of the State Bar of Texas and is a Barrister in the Hon. Barbara MG Lynn Inn of Court.



Judge Joseph F. Ruggiero was appointed to the Board of Patent Appeals and Interferences in January 1999 and is currently assigned to the Electrical II Team. He received a Bachelor's Degree in Electrical Engineering from Villanova University in 1964 and a Law Degree from Catholic University School of Law in 1968. He is a member of the Virginia State Bar. Judge Ruggiero began his career in the Federal Government as a Patent Examiner in the Patent and Trademark Office in 1964. In 1967, he was assigned as an examiner in the computer process control arts and became a Primary Examiner in 1971. Judge Ruggiero was awarded a Senior Examiner Rating in 1982. He was the recipient of the Department of Commerce Bronze Medal in 1974.



Judge Mahshid D. Saadat is a graduate of the Washington College of Law at American University and holds a B.S. in Architectural Engineering and a B.S. in Electrical Engineering. Prior to joining USPTO, Mahshid worked at Advanced Micro Devices, Inc. as a product engineer specializing in design and manufacturing of memory devices. She then began her career in the government as a Patent Examiner and became involved in a variety of projects and completed details to the Office of Petitions and the Solicitor's Office. She later served as a Supervisory Patent Examiner in Technology Center 2800 supervising and training examiners in semiconductor art and a Quality

Assurance Specialist in Technology Center 2100 focusing on training Examiners and reviewing Office actions in business method and software-related applications. Prior to her appointment to the Board of Patent Appeals and Interferences, Mahshid worked as an associate in the intellectual property department of the law firm of McDermott, Will and Emery in their District of Columbia office prosecuting patent applications in a wide range of electrical technologies, including microelectronics, software, and business methods.

Judge Catherine Shiang holds a J.D. from Stanford Law School and a M.S. in Computer and Systems Engineering from Rensselaer Polytechnic Institute. Prior to her appointment at the Board, Catherine spent over a decade in private practice, and her practice included patent litigation and patent prosecution. Prior to entering Stanford Law School, Catherine worked as a wireless engineer and software engineer.



Judge Jeffrey S. Smith \_\_\_



Judge **Debra K. Stephens** received her B.S. in Electrical Engineering from Virginia Tech and her J.D. from Rutgers University School of Law. Prior to joining the Board, she was Vice President and General Counsel, Intellectual Property for Sony Ericsson. She also worked in-house at Telefonaktiebolaget LM Ericsson and Philips Electronics and at the law firm of Staas and Halsey. Previous to her law career, she worked as an electrical engineer for General Electric, Unisys, and ADP.



Judge Michael Strauss has a B.A. in Mathematics and Physics from the University of the State of New York, B.S.E.E. from Union College, and M.S. and D.Sc. in Computer Science and J.D. from the George Washington University. His background is in a wide range of electrical, computer and semiconductor arts. During the course of his 25-year patent career he worked for a number of law firms including Lowe, Price, LeBlanc & Becker, Hunton & Williams, Fulbright & Jaworski and Morrison & Foerster and was chief in-house patent counsel at Bell Atlantic (now Verizon) and Verisign.



Judge Carolyn D. Thomas \_\_\_



Judge Trenton A. Ward joins the PTAB from his most recent position as a partner at Troutman Sanders LLP. At Troutman Sanders LLP, Judge Ward was the Practice Team Leader for the Intellectual Property Litigation Team and represented a wide variety of technology clients, including Google, Philips, LexisNexis, and Georgia Tech. Judge Ward has practiced in all phases of patent litigation in various District Courts, the ITC, and on appeals before the Federal Circuit and Supreme Court. Additionally, Judge Ward has represented clients in a large number of patent prosecution matters, managed their patent portfolios, and counseled clients on patent licensing matters. Judge Ward received his Bachelor of Electrical Engineering with honors from the Georgia Institute of Technology and his Juris Doctorate from the Emory University School of Law. Upon graduating from Georgia Tech, Judge Ward worked as an electrical engineer at Nortel Networks in the field of high speed internet access

devices. While completing his undergraduate degree, Judge Ward worked as a co-op engineer at Scientific Atlanta, now a division of Cisco, on broadband cable access devices. His technical background is in telecommunications and computer hardware and software.



Judge Stanley M. Weinberg received a Bachelor of Science degree in Electrical Engineering from Drexel University and a Juris Doctor degree from George Washington University. He is admitted to practice in the District of Columbia, New Jersey, Pennsylvania, and Virginia, and is registered to practice before the USPTO. He was an Assistant United States Attorney in the District of Columbia and in Philadelphia. PA from 1973 to 1985 where he prosecuted street crime and white collar crime cases and defended the government in a wide variety of civil litigation as a first chair trial and appellate attorney. In private practice, he engaged in a wide variety of criminal and civil litigation. He was an examiner in the late 1960's and again from July 2010 until now, prosecuted patent applications as in-house counsel for a major U.S. corporation and at a Philadelphia area law firm where he also litigated patent, trademark, and copyright matters.



Judge Stacey G. White is an Administrative Patent Judge with the U.S. Patent & Trademark Office (USPTO) Patent Trial & Appeal Board. She received a Bachelor of Science in Computer Science from Michigan State University and a Master of Science from DePaul University in Human Computer Interaction. Judge White received her J.D. magna cum laude from University of Illinois College of Law, where she served as Editor-in-Chief of the University of Illinois Journal of Law, Technology & Policy. Judge White clerked for the Honorable Barbara M.G. Lynn of the U.S. District Court for the Northern District of Texas, and then practiced patent and trademark litigation at Jones Day and Alston & Bird in Dallas, Texas. Prior to law school, Judge White was

a software engineer for Motorola. She is a member of the Texas and Illinois State Bars.



Judge Carl W. Whitehead, Jr.



Judge Bruce R. Winsor received his B.S. in Electrical Engineering from the University of Missouri at Rolla (now the Missouri University of Science and Technology) and his M.S. in Electrical Engineering from the University of Texas at Arlington. He worked at the consulting engineering firms Black & Veatch, Culp-Wesner-Culp, and Rothberg, Tamburini & Winsor, Inc. His engineering work focused on the design and project management of power supply and process control systems for water, wastewater, and solid waste management projects throughout the United States. Judge Winsor attended the University of Denver School of Law. He was an associate attorney at Holland & Hart focused on intellectual property matters. He was later employed by Gambro, a global medical device manufacturing, and medical services company, as a patent attorney, eventually serving as the company's US General Counsel and Secretary as well as its global Senior Vice President for Intellectual Property. Immediately prior to joining the Board as a patent attorney, he attended the professional artists' program at Studio Incamminati in Philadelphia and studied, worked, and taught as a full time artist. Judge Winsor is a member of the Colorado Bar and a Registered Professional Engineer in Colorado and California.



Judge Michael R. Zecher received his B.S. in Genetics from the University of Georgia, his J.D. from the Sturm College of Law—University of Denver, and his LL.M. in Intellectual Property from the George Washington University. While in law school he served as the Managing Editor of Corporations, Securities, and Intellectual Property, Electronic Journal of Transactional Law. Additionally, he interned for Magistrate Judge Craig B. Shaffer of the U.S. District Court, District of Colorado. Upon graduating law school, he worked as a Patent Examiner in TC3600. Thereafter, he worked as a Patent Attorney in the Computer section of the BPAI for two years prior to being appointed as an Administrative Patent Judge. Judge Zecher now serves as an APJ in the Electric III section.

## Hughes, James R. (PTAB)

From:

Hughes, James R. (BPAI)

Sent:

Saturday, June 09, 2012 12:40 PM

To:

MacDonald, Allen

Subject:

FW: Biographies for Electrical Section

Allen,

I was cleaning out my mailbox and came across your request for a biography (message below). Although it's belated, I've attached a brief bio as requested:

Judge Hughes received both a B.S. (Bachelor of Science) in Electrical Engineering and a B.S. in Engineering Administration from Michigan Technological University. He worked as a Design and Development Engineer in automotive electrical systems for suppliers to Ford Motor Company, General Motors, and Chrysler, and as a senior Design/Development Engineer for Yazaki North America. He also worked as contract administrator and legal intern for Apex Global Internet Services, Inc. (AGIS), one of the original Internet tier 1 (backbone) providers. Judge Hughes graduated with honors (Magna Cum Laude) from the Michigan State University (Detroit) College of Law and was a published member of the Law Review. Following his graduation and prior to joining the Board he worked as an associate attorney with Sughrue Mion, PLLC and a senior associate attorney with Milbank, Tweed, Hadley & McCloy LLP and Howrey LLP, handling patent prosecution and intellectual property litigation. He was also an Associate Solicitor with the U.S.P.T.O.'s Office of the Solicitor representing the United States in intellectual property litigation before various district courts and appeals before the Federal Circuit Court of Appeals.

--Jim

From: MacDonald, Allen

Sent: Friday, April 27, 2012 9:02 AM

To: Nappi, Robert; Saadat, Mahshid; Stephens, Debra (BPAI); Deshpande, Kalyan; Droesch, Kristen; Frahm, Eric; Kohut, David M.; Morgan, Jason; Zecher, Michael R.; Barry, Lance; Courtenay, St.John; Gonsalves, Gregory; Homere, Jean;

Hughes, James R. (BPAI)

Cc: Boalick, Scott

Subject: Biographies for Electrical Section

#### Everyone,

I have been sending out biographies of the new Judges, but it has occurred to me that the new Judges also need to know about the other electrical Judges that were already at the Board. I want to collect all our biographies so that they are in a single location for the rest of the section. Please sent me a copy of your bio. As an example, below is a prior last bio I sent to the Electrical team.

Allen

Judge Trevor M. Jefferson (Madison West 9C74) (Phone: 2-4004)

Judge Jefferson received his B.S. with honors in Electrical Engineering from Howard University and a M.S. in Electrical Engineering from the University of Illinois. He worked as a worked as a Systems Engineer for Delco Electronics and GE Astro Space. He also worked for Motorola, Inc, deploying GSM

cellular systems in Jakarta, Indonesia. Judge Jefferson is a graduate of the Northwestern University School of Law, where he served as Editor-in-Chief of the Northwestern Journal of International Law & Business and was awarded the Wigmore Key. He served as a law clerk to the Honorable R. Guy Cole, Jr. at the United States Court of Appeals for the Sixth Circuit. Following his clerkship he was selected as a Temple Bar Scholar by the American Inns of Court in 2000. He then worked as an associate attorney at Latham & Watkins' Silicon Valley office, handling intellectual property litigation and transactions. Prior to joining the board, he worked as a Patent/Trial Attorney at the United States Department of Justice, where he represented the United States in intellectual property litigation before the Court of Federal Claims and the Court of Appeals for the Federal Circuit.

## Hughes, James R. (PTAB)

From:

Hughes, James R. (PTAB)

Sent:

Wednesday, November 28, 2012 1:03 PM

To:

Ward, Trenton

Subject:

RE: Reminder: Enhancing the Electrical Judge Biographies

Attachments:

JamesHughesformal.jpg

Photo for my bio.

From: Ward, Trenton

Sent: Monday, November 05, 2012 1:19 PM

To: Baumeister, B William; Arbes, Justin; Caldwell, Andrew; Curcuri, Jeremy; Evans, John; Giannetti, Thomas; Jefferson, Trevor; Kumar, Johnny; Moore, Bryan; New, John; Strauss, Michael; Weinberg, Stanley M.; Benoit, Barbara; McKeown, Jennifer; Arpin, James; Pettigrew, Lynne; McKone, David; Hume, Larry; Chen, Eric; Deshpande, Kalyan; Droesch, Kristen; Frahm, Eric; Kohut, David M.; Ruggiero, Joseph; Smith, Jeffrey S. (PTAB); Winsor, Bruce; Zecher, Michael R.; Boalick, Scott; MacDonald, Allen; Nappi, Robert; Pothier, Denise; Saadat, Mahshid; Stephens, Debra (PTAB); Courtenay, St.John; Dang, Thu A.; Dixon, Joe; Gonsalves, Gregory; Hoff, Marc; Hughes, James R. (PTAB); Krivak, Carla; Mantis-Mercader, Eleni; McNamara, Brian; Thomas, Carolyn D. (PTAB); Whitehead, Carl

Cc: Bisk, Jennifer; Bui, Hung (PTAB); Busch, Justin; Dillon, Andrew; Homere, Jean; Jeffery, John A., Morgan, Jason;

Perry, Glenn

Subject: Reminder: Enhancing the Electrical Judge Biographies

#### Fellow Electrical Judges,

Thanks to those Judges who submitted a photo for the biographies document (receiving this email by CC). You can find the updated document here:

http://usptoexec/sites/bpai/elec/Shared%20Documents/Electrical%20Section%20Judge%20Biographies.docx

As a reminder to those interested, please forward me a profile picture, or let me know if you would like one taken. Best Regards,

Trenton Ward
Patent Trial and Appeal Board
United States Patent & Trademark Office
703-756-1222
Trenton.Ward@uspto.gov

From: Ward, Trenton

**Sent:** Friday, October 19, 2012 3:56 PM

To: Baumeister, B William; Arbes, Justin; Bisk, Jennifer; Busch, Justin; Caldwell, Andrew; Curcuri, Jeremy; Evans, John; Giannetti, Thomas; Jefferson, Trevor; Kumar, Johnny; Moore, Bryan; New, John; Strauss, Michael; Weinberg, Stanley M.; Benoit, Barbara; McKeown, Jennifer; Arpin, James; Bui, Hung (PTAB); Pettigrew, Lynne; McKone, David; Hume, Larry; Chen, Eric; Deshpande, Kalyan; Droesch, Kristen; Frahm, Eric; Kohut, David M.; Ruggiero, Joseph; Smith, Jeffrey S. (PTAB); Winsor, Bruce; Zecher, Michael R.; Boalick, Scott; Jeffery, John A.; MacDonald, Allen; Nappi, Robert; Pothier, Denise; Saadat, Mahshid; Stephens, Debra (PTAB); Best, George; Courtenay, St.John; Dang, Thu A.; Dillon, Andrew; Dixon, Joe; Gonsalves, Gregory; Hoff, Marc; Hughes, James R. (PTAB); Homere, Jean; Krivak, Carla; Mantis-Mercader, Eleni; Morgan, Jason; McNamara, Brian; Perry, Glenn; Thomas, Carolyn D. (PTAB); Whitehead, Carl

Subject: Enhancing the Electrical Judge Biographies

Fellow Electrical Judges,

As discussed at the brown bag meeting this week, it seems a consensus has been reached that it would be beneficial to provide photographs in the Electrical Judge Biographies document. It will be helpful to have these photos as a reference, and, more importantly, we cannot be outdone by the Mechanical Judges (see Ken's email below).

I have taken on the dubious task of attempting to gather a photo from each of you. Of course, this is completely voluntary. If you are interested, please send me a profile picture to be placed in the biographies document. Alternatively, I can stop by your office and take a quick picture with my phone.

Please note that you are receiving this email because you are currently listed in the Electrical Judge Biographies document.

Best Regards,

Trenton Ward
Administrative Patent Judge
Patent Trial and Appeal Board
United States Patent & Trademark Office
703-756-1222
Trenton.Ward@uspto.gov

From: Barrett, Ken

**Sent:** Thursday, October 04, 2012 4:52 PM

To: PTAB Users

Subject: New Mechanical Section Judges

# All,

It is again my pleasure to introduce to you new members of the Mechanical Section and the Board. Judges Bart Gerstenblith, Timothy O'Hearn, Mitchell Weatherly, and Jeremy Plenzler joined us last week. Judges O'Hearn and Plenzler are stationed in Detroit, and Judges Gerstenblith and Weatherly are stationed in Alexandria. Please join me in welcoming these latest additions to the Board family.

#### Ken



Judge Bart Gerstenblith joins the Board following

several years of patent law practice at Finnegan, Henderson, Farabow, Garrett & Dunner LLP,

Morrison & Foerster LLP, and Wiley Rein LLP. Judge Gerstenblith represented clients before numerous U.S. district courts, the International Trade Commission, and the U.S. Court of Appeals for the Federal Circuit in the electrical, mechanical, and biotechnology disciplines. He also has experience preparing and prosecuting patent applications before the USPTO. Judge Gerstenblith received his J.D., with high honors, from the George Washington University Law School, where he was a member of the Order of the Coif, The George Washington Law Review, Trial Court Board, Alternative Dispute Resolution Board, and a Presiding Officer of the University Hearing Board. He received an M.S. in Criminal Justice, concentrating in Forensic Science, from Virginia Commonwealth University, where he was a member of Alpha Phi Sigma, the National Criminal Justice Honor Society, and received a B.A. in Natural Sciences from Johns Hopkins University, where he received the Provost's Undergraduate Award for Research and Excellence. During law school, Judge Gerstenblith worked as an intern at the Board of Patent Appeals and Interferences for the Honorable Eric B. Grimes, and as an intern for the Honorable Irma S. Raker of the Maryland Court of Appeals. Following law school, Judge Gerstenblith served as a judicial law clerk to the Honorable Kent A. Jordan of the United States District Court for the District of Delaware, and the Honorable Sharon Prost of the United States Court of Appeals for the Federal Circuit. While in private practice, Judge Gerstenblith devoted a substantial portion of his time to pro bono matters, and has written and presented on numerous topics in patent law. His office is Madison East 9D59.

Judge Timothy (Tim) O'Hearn is joining the PTO

from a career of patent litigation with the law firm of Jones Day. Over the years, he has represented both patent owners and accused infringers in reexaminations, in litigation in Federal Courts across the country, in appeals to the Court of Appeals for the Federal Circuit and in contested proceedings in various foreign courts and tribunals, including opposition proceedings in the EPO. Judge O'Hearn earned his Bachelor of Science degree in Mechanical Engineering from the University of Cincinnati and his law degree from the University of Akron, both with honors. He is located in the new PTO Detroit office at River Place (RPL) 2037.

Bachelor's degree in Mechanical Engineering, with honor, from the cooperative education program at the Georgia Institute of Technology. While attending Georgia Tech, he worked at the NASA Goddard Spaceflight Center and IBM in Atlanta. Before earning his law degree at the University of California – Davis, he worked in the Space Systems Division of General Dynamics. In 1994, he entered private practice in Atlanta at a small handful of larger law firms where he eventually became a partner before he founded his own firm in 2002. Throughout his career, he has focused on patent and trademark prosecution and intellectual property litigation. His office is MDE 9D49.



Judge Jeremy Plenzler is a graduate of Wayne State

University Law School. He holds a Bachelor of Science in Applied Mathematics with a concentration in Mechanical Engineering from Kettering University. During and after law school, Judge Plenzler worked in private practice at Harness, Dickey & Pierce where he prosecuted patents and worked on legal opinions regarding patent validity and product clearance. Before pursuing a legal career, Judge Plenzler worked as an engineer at General Motors in the area of engine design. His office is RPL 2039 (Detroit).

Jung, Hyun	
From:	Jung, Hyun
Sent:	Wednesday, June 06, 2012 11:18 AM
To:	Barrett, Ken RE: short bio
Subject:	RE. SHOTE DIO
Hello Ken,	
Below should be a draft short bio	).
Engineering, summa cum laude, to U.S. Air Force. After law school, practice included prosecution of and/or nuclear engineering. Prior	University of Virginia School of Law. He holds a Bachelor of Science degree in Aerospace from Boston University. He was a law clerk in the Office of the General Counsel of the he practiced patent law at Blank Rome LLP and then at Drinker Biddle & Reath LLP. His applications and opinion work for inventions related to mechanical, electrical, software, or to law school, Judge Jung served as a Naval Officer on board a nuclear submarine, chool, and worked as a mechanical engineer in the power generation industry.
Thanks, Hyun	
From: Barrett, Ken Sent: Tuesday, June 05, 2012 4: To: Jung, Hyun Subject: short bio	18 PM
When you get a chance, poto me. See below for example to me.	lease draft a short bio for distribution to the entire Board and send that mples.
Thanks,	
Ken	
Non Responsive	

Non Responsive	-	<del></del>	
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jvm\_judge\_bio
Judge Jason V. Morgan is a graduate of the George Washington University Law School, where he graduated with honors, and holds a Bachelor degree in Computer Science with a minor in Mathematics from the University of Utah. After graduating from the University of Utah, he worked for the National Security Agency (NSA), during which he became a registered patent agent. He then joined Science Applications International Corporation (SAIC), where he worked in both the fields of software engineering and patent practice, while pursuing his law degree as an evening student. During this time, he worked on contracts for the CIA and the FBI, as well as for Intellectual Property Attorneys at the Army Research Laboratory in Adelphi, as for Intellectual Property Attorneys at the Army Research Laboratory in Adelphi, Maryland. He then joined the Board as a Patent Attorney, after which he became an Administrative Patent Judge. In addition to his education and experience in software engineering and law, Judge Morgan is both a Project Management Professional and a Registered Parliamentarian. He has an active interest in communications and leadership training and development, and has been an active member of Toastmasters International for several years. Currently, Judge Morgan is also active in fostering excellence in professionalism, ethics, civility, and legal skills as a member of the Pauline Newman Intellectual Property American Inn of Court.

### biographical

#### **EXPERIENCE**

UNITED STATES PATENT AND TRADEMARK OFFICE, Alexandria, Virginia Administrative Patent Judge (April 2011 - Present); Patent Attorney (March 2009 - April 2011)

SCIENCE APPLICATIONS INTERNATIONAL CORPORATION, Washington, D.C. Patent Agent (May 2007 - February 2009); Senior Software Developer (June 2004 - February 2009)

NATIONAL SECURITY AGENCY, Fort George G. Meade, Maryland Software Development Specialist, GG-11 (September 2002 - June 2004)

#### **EDUCATION**

GEORGE MASON UNIVERSITY, Fairfax, Virginia Candidate for Bachelor of Art in Foreign Languages (Chinese Concentration) (August 2012 - Present)

THE GEORGE WASHINGTON UNIVERSITY LAW SCHOOL, Washington, District of Columbia Juris Doctor (May 2008)

UNIVERSITY OF UTAH, Salt Lake City, Utah Bachelor of Science in Computer Science with Mathematics Minor (May 2002)

## Siehndel, Kathryn

From:

Petravick, Meredith

Sent:

Wednesday, November 28, 2012 8:26 AM

To:

Crawford, Murriel

Subject:

RE: bios and other stuff

Meredith Petravick joined the U.S. Patent and Trademark Office as a Patent Examiner and subsequently became a Primary Patent Examiner. She then took positions as a TQAS, specializing in appeals for TC 3600 and then as a Patent Attorney at the Board of Patent Appeals and Interferences, after which she became a Judge. Meredith hold a B.S. in Mechanical Engineering from the University of Illinois Champaign-Urbana and a J.D. from The Catholic University of America Columbus School of Law. She served in various offices in the Patent and Trademark Office Society, including three terms as President, and currently serves on the Board of the Pauline Newman IP American Inn of Court. Meredith's interests including biking and travel.

#### Meredith Petravick

Administrative Patent Judge Patent Trial and Appeal Board Madison East 9D65 571-272-6695 phone 571-273-6995 fax

From: Crawford, Murriel

Sent: Tuesday, November 27, 2012 9:32 AM

To: Fetting, Anton; Fischetti, Joseph; Mohanty, Bibhu; Petravick, Meredith; Kim, Michael; Medlock, Nina; Tartal, James;

Hoffmann, Philip

Subject: bios and other stuff

Hello team,

Please send me a short bio so I can introduce you at the meeting on Thursday. Also, please include a little known or fun fact about you---a hobby, interest etc. so that the introductions are more personal.

Judges Tartal and Hoffmann, I know you have recently sent the bio so could you just send the little known or fun fact I can share.

Thanks,

Μ

Judge Lynne E. Pettigrew joins the Board from the USPTO's Office of the Solicitor, where she served as an Associate Solicitor representing the USPTO in appeals before the United States Court of Appeals for the Federal Circuit and in various federal district courts. Before joining the USPTO, Judge Pettigrew served for eleven years as Law Clerk to the Honorable S. Jay Plager of the United States Court of Appeals for the Federal Circuit. She also has prior experience as an associate with Finnegan, Henderson, Farabow, Garrett & Dunner, LLP. Judge Pettigrew received a J.D., cum laude, from Georgetown University Law Center, an M.S.E. in Electrical Engineering from Princeton University, and a B.S., cum laude, in Electrical Engineering from Yale University. Prior to law school, she worked for several years as a systems engineer at AT&T Bell Laboratories.

Lynne joins the Board from the USPTO's Office of the Solicitor, where she represented the USPTO in appeals before the United States Court of Appeals for the Federal Circuit and in federal district court. Prior to that, she served for eleven years as law clerk to the Honorable S. Jay Plager of the United States Court of Appeals for the Federal Circuit. She also has prior experience as an associate with Finnegan, Henderson, Farabow, Garrett & Dunner LLP. She holds a J.D. from Georgetown University Law Center, an M.S.E. in Electrical Engineering from Princeton University, and a B.S. in Electrical Engineering from Yale University. Prior to law school, she worked for several years as a systems engineer at AT&T Bell Laboratories.

# Siehndel, Kathryn

From:

Pettigrew, Lynne

Sent:

Thursday, November 08, 2012 3:24 PM

To:

Watson, Holly

Subject:

RE: BIO

Judge Lynne E. Pettigrew joins the Board from the USPTO's Office of the Solicitor, where she served as an Associate Solicitor representing the USPTO in appeals before the United States Court of Appeals for the Federal Circuit and in various federal district courts. Before joining the USPTO, Judge Pettigrew served for eleven years as Law Clerk to the Honorable S. Jay Plager of the United States Court of Appeals for the Federal Circuit. She also has prior experience as an associate with Finnegan, Henderson, Farabow, Garrett & Dunner, LLP. Judge Pettigrew received a J.D., cum laude, from Georgetown University Law Center, an M.S.E. in Electrical Engineering from Princeton University, and a B.S., cum laude, in Electrical Engineering from Yale University. Prior to law school, she worked for several years as a systems engineer at AT&T Bell Laboratories.

From: Watson, Holly

Sent: Thursday, November 08, 2012 3:15 PM

To: Pettigrew, Lynne

Subject: BIO

Hi, do you have a BIO? I'm writing up the appointment notices. Thank you

Holly Watson Management Analyst Patent Trial and Appeal Board U.S. Patent and Trademark Office (571) 272-2989

#### FREEDOM OF INFORMATION ACT (FOIA) REQUEST



July 9, /2013

#### **USPTO FOIA Officer**

United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 efoia@uspto.gov

USPTO FOIA (ref. 37 C.F.R. Part 102.4(a)) Officer, United States Patent and Trademark Office, Washington DC 20231

Dear USPTO FOIA Officer:

Re: USPTO FOIA Request re. Leader Technologies, Inc., v. Facebook, Inc., U.S. Pat. No. 7,139,761 and 3<sup>rd</sup> Reexam No. 95/001,261

This request is submitted pursuant to your website instructions at <a href="http://www.uspto.gov/ip/boards/foia\_rr/submit.jsp">http://www.uspto.gov/ip/boards/foia\_rr/submit.jsp</a> Those instruction are: (1) request must be submitted in writing, (2) provide exact title of document or a reasonable description of the document sought, and (3) provide a return mailing address (included above). You will log my request and provide me with a tracking number assigned to it.

I submit this request both by email to <u>efoia@uspto.gov</u> and by Express Mail. Courtesy copies are being sent to Acting Director Teresa Stanek Rea and Congressman Jim Jordan (4<sup>th</sup>-OH) from the House Oversight Committee on Government Reform.

- (1) Patent Office Employee Conflict of Interest Information. I request the following information regarding the U.S. Patent Office employees listed following:
  - (a) Full biographical disclosures, including updates, from Jan. 1, 2004 to present;
  - (b) Any and all conflicts of interest disclosures made by the person, including rationale and description of the matter, from Jan 1, 2004 to present;
  - (c) Any and all recusals by the person, including rationale and description of the matter, from Jan. 1, 2004 to present; and
  - (d) All required disclosures of financial holdings from Jan. 1, 2004 to present.
  - U.S. Patent Office employees for whom the information is requested:
    - (i) Former Director David J. Kappos;
    - (ii) Deputy FOIA Counsel Kathryn W. Siehndel;
    - (iii) Administrative Judge Stephen C. Siu;
    - (iv) Administrative Judge James R. Hughes:

- (v) Administrative Judge Meredith C. Petravick;
- (vi) Administrative Judge Alan R. MacDonald;
- (vii) Administrative Judge Catherine Timm;
- (viii) Administrative Judge Jackie W. Bonnila;
- (ix) Administrative Judge Jason V. Morgan;
- (x) Administrative Judge Mike Strauss;
- (xi) Administrative Judge George Best;
- (xii) Administrative Judge Matt Clements;
- (xiii) Administrative Judge Lynne Pettigrew;
- (xiv) Administrative Judge Bart A. Gerstenblith;
- (xv) Administrative Judge Kit Crumbley;
- (xvi) Administrative Judge Stacey White; and
- (xvii) Administrative Judge Hung J. Jung.
- (2) A.F.L.C.T.A.C.A.F.C. (Assoc. of Former Law Clerks and Technical Assistants for the United States Court of Appeals for the Federal Circuit).
  - (a) Complete list of Patent Office employees who are/have been a member and/or participated in events sponsored by this organization, from 2008 to the present.
  - (b) Program materials created by or on behalf of this organization and made available to Patent Office employees, in any form.
- (3) Executive Communication Privilege.

Any and all Freedom of Information Act (FOIA) Inquiries, Congressional Inquiries, and Inspector General Inquiries to the U.S. Patent Office where executive communication privilege was invoked (see *Loving v. Dep't of Defense*, 550 F.3d 32, 37 (D.C. Cir. 2008 and related statutes) to prevent disclosure of the information requested, from Jan. 1, 2004 to present.

- a. I am greatly concerned as to why is the President would be getting involved in this matter. Could it be that this corruption has reached the highest levels of the Executive Branch?
- b. For what reason are you re-examining for yet a 3rd time a legitimate patent that belongs to Leader Technologies and was stolen by Facebook?
- c. As a small business owner myself I must ask, why would a small business inventor want to apply for a patent after spending hard earned money and endless hours if the Patent Office is willing to accommodate thieves?

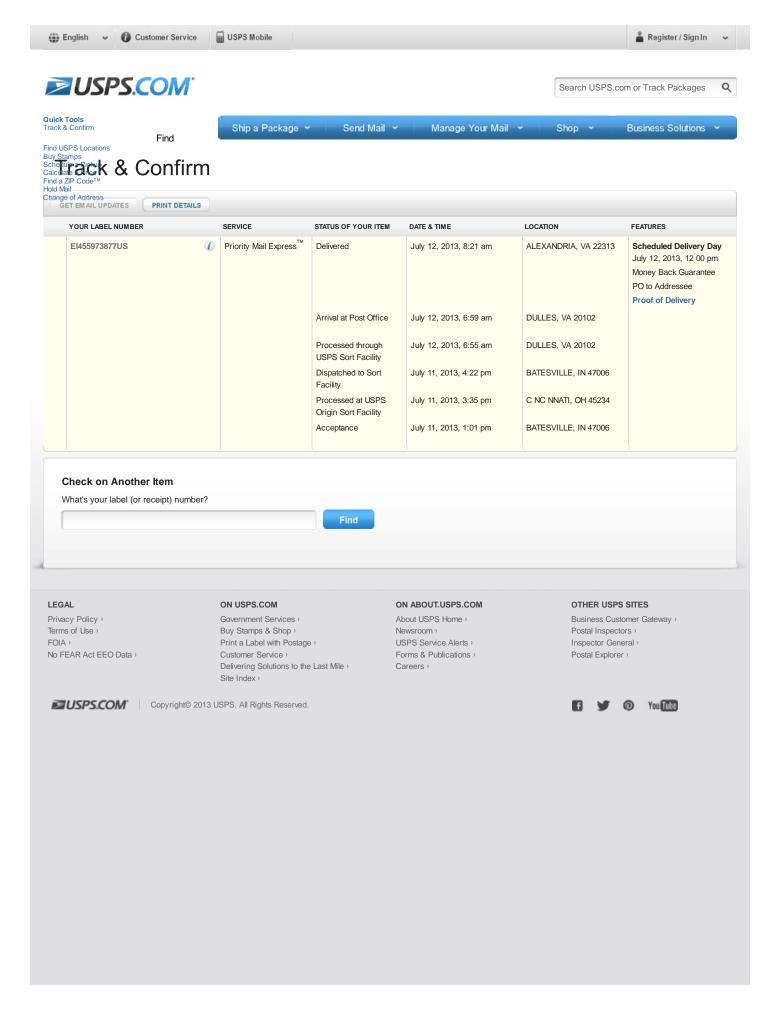
I respectfully request a waiver of fees. This information is for my personal use. I am a shareholder of Leader Technologies, Inc. I have grave concern both as a shareholder and as a U.S. citizen about the conduct of the Patent Office. That conduct is exposed in this website <a href="http://www.fbcoverup.com/Faces-of-Facebook-Corruption-We-see-We-like-We-steal-Jul-5-2013.html">http://www.fbcoverup.com/Faces-of-Facebook-Corruption-We-see-We-like-We-steal-Jul-5-2013.html</a>.

Thank you for your assistance.

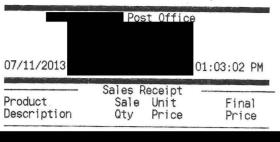


**The Honorable Jim Jordan** (4<sup>th</sup> Dist. OH) 3121 West Elm Plaza Lima, OH 45805 T (419) 999-6455 F (419) 999-4238

Acting Director Teresa Stanek Rea U.S. Patent & Trademark Office 600 Dulany Street Alexandria, VA 22314



1 of 1 8/20/2013 10:57 AM



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# Freedom of Information Act Request

.com>

You replied to this message on 7/11/2013 11:41 AM.

Sent: Wed 7/10/2013 5:58 PM To: efoia@uspto.gov

etola@uspto.g

Message USPTO-FOIA-Request-Template-JULY-08-2013-1.pdf (106 KB)

To whom it may concern:

I am submitting a Freedom of Information Act Request to the U.S Patent Office as an American Citizen concerned for personal property rights and steps that are being taken by this office against company regarding a legal patent we hold. Please see the attached letter I have submitted.

Thank You,