Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

OMB No. 3209-00

U.S. Office of Government Ethics

Date of Appointment Candidacy, Election	Reporting Status Calendar Year	· · · · · · · · · · · · · · · · · · ·	Termination Date (If Appli-	Fee for Late Filing
or Nomination (Mon h, Day, Year)	(Check Incumbent Covered by Report	New Entrant, Nominee, Termination	cable) (Month, Day, Year)	Any individual who is required to
	appropriate boxes)	or Candidate Filer		file this report and does so more than
	Last Name	First Name and Middle Initial		30 days after the date the report is required to be filed, or, if an extension
Reporting Individual's Name	Szabo			is granted, more than 30 days after the
·		Joseph C.		last day of the filing extension period
Danking for William Pilliam	Title of Position	Department or Agency (If Applicable)		shall be subject to a \$200 fee,
Position for Which Filing	Administrator	Federal Railroad Administration - U.	S, DOT	Reporting Periods
	Address (Number, Street, City, State, and ZIP Code)	Telephone No. And	lude Area Code)	Incumbents: The reporting period is
Location of Present Office (or forwarding address)	304 Pennsylvania Ave, SE, Washington, DC 20003	202-543-7714		the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also
Position(s) Held with the Federal	Title of Position(s) and Date(s) Held		.,	include the filing year up to the date
Government During the Preceding 17 ths (If Not Same as Above)	None			you file, Part II of Schedule D is not applicable.
Presidential Nominees Subject to	Name of Congressional Committee Considering Nomination	Do You Intend to Create a Qualified Diversif	ied Trust?	Termination Filers: The reporting period begins at the end of the period
Senate Confirmation	Wind A Company of the			covered by your previous filing and ends
-	Commerce, Science and Transportation	Yes X No		at the date of termination. Part II
				of Schedule D is not applicable.
Certification I CERTIFY that the statements I have	Signature of Reporting Individual	Date (Month, Day,	1ear)	Nominees, New Entrants and
made on this form and all uttached schedules are true, complete and correct to the best of my knowledge,	John C. Szabo	- 3/11	1/09	Candidates for President and Vice President:
	Signature of Other Reviewer	Date (Month, Day,	Vern	Schedule AThe reporting period for income (BLOCK C) is the preceding
Other Review (If desired by agency)	4-7-09 Wn Register gra) /0 //	8-09	calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.
Agency Ethics Official's Opinion On the basis of information contained	Signature of Designated Agency Ethics Official/Reviewing Official	Date (Month, Day,	Year)	Schedule B-Not applicable.
On the basis of information contained in this report, I conclude that the filer is in a pliance with applicable laws and it is in the you sellow).	Boolelttyp	41870	,	Schedule C, Part I (Liabilities)— The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is
Office of Government Ethics Use Only	Signature	Date (Month, Day, 4/10	109	within 31 days of the date of filing. Schedule C, Part II (Agreements or
Comments of Reviewing Officials (If additional addition	itional space is required, use the reverse side of this sheet)		<u> </u>	Arrangements) Show any agreements or arrangements as of the date of
			. 	filing.
	(Спеск вох у у	iling extension granted & indicate number of a	lays	Schedule DThe reporting period is
				the preceding two calendar years and
				the current calendar year up to the
				date of filing. Agency Use Only
		(Check box if comments are continued on	the reverse side	OGE Use Only
		facing how & confinedity at a collection of		APR - 8 2009

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Assets and Income BLOCK A					port	ing	e of	, \$5 , 4	ets							Inc	om er er	e: ty	pe a	and i	aino d in	unt Blo	. If ock	Cf	one or tl	nat i	ess tem	thar	\$20	01)"	'is	checked,	OO.		
For you, your spouse, and dependent children,		1.3		OX.VO		- Coloco										Ş	Ty	pe	3 1	7			2	-		oun	t.		è-			198	-	754	, ; , ;
report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200	None (or less than S1,001)	S1,001S15,098	\$15,001\$50,000	. \$50,001 - \$100,000	\$100,007 \$250,000	3 I	\$500,001 - \$1,000,900	Cre-51,000,600	\$1,000,001 - \$ 5,000,000	S5,060,001 - \$22,000,090	\$25,000,001 \$50,000,000	Over \$56,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trasf	or Dividends of the second second	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	10.0	\$1,001 5.82,500	52,581 L 55,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001, \$160,000	S100,001 - S1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,008,008		Other Incon (Speci Type Actur Amou	ne fy &	Date (Mo., I Fr.) Onty Honor	Day if
Central Airlines Common		्र _्		X.				1			黎					χ		\$*		7.5	9	х			23.5			5 50°			9	ar de	ĬĮ.		/٠. سبد
Examples Doe Jones & Smith, Hometown, State		L.					2	1		Ź							28		\$ 10°	7°4		Ā.		<i>'</i>		. }	· 300	16	33	80 50	译	ii. Parkundido Inc	come \$330,00	\$,,
Kempstone Equity Fund		.)	- 1			_		23/6		3 3			X			Ĺ		Ļ.,	300	4		48.3 4.3						7.		200		33.			ar u
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e IRA Fideltiy Low Price Stock Fund			×				300		ながま				×					20 TO STORES	· · · · · · · · · · · · · · · · · · ·				Х												
* This category applies only if the asset/income is children, mark the other higher categories of value	is sol	lely (appi	that copri	of th ate.	e file	r's s	spau	sę o	r de	pen	dent	chil	dren). If	the	asse	t/inc	ome	is e	ther	that	oft	he f	iler	or jo	ointly	hel	d by	the	filer	wit	h the spor	use or d	ependent	-

U.S. Office of Government Ethics Reporting Individual's Name Page Number **SCHEDULE A continued** 3 of 6 Joseph C. Szabo (Use only if needed) Assets and Income Valuation of Assets Income: type and amount. If "None (or less than \$201)" is checked, no at close of other entry is needed in Block C for that item. reporting period BLOCK A BLOCK B BLOCK C Type Amount Other Date Income (Mo., Day, None (or less than \$1,001) (Specify $\hat{Y}_{P,J}$ \$25,000,001 - \$50,000, Type & \$1,000,001 - \$ 5,000, \$5,000,001 - \$25,000 \$\$60,001 - \$1,000,00 Interest
Capital Gains
None (or less than \$
\$201-\$1,000
\$1,001 - \$2,500 \$250,001 - \$500,000 Rent and Royalties. \$100,001 - \$250,000 \$100,001 - 51,000,0018 Only if \$1,001 - \$15,000 \$15,001 \$50,000 Actual \$50,001 - \$100,080 Excepted Investme \$50,001 - \$100,000 Over \$1,000,000* Over \$1,000.000 \$15,001 - \$50,000 Honoraria \$2,501 - \$5,000 \$5,001 - \$15,000 Over \$5,000,000 Amount) Excepted Trust None IRA (Fixed Annuity) ·X United Transportation Union Insurance Association 2 Pension: \$223/mo Illinois Municipal Retirement Fund Starting at Defined Benefit Plan age 60 3 Pension: \$1800/mo X United Transportation Union Starting at Defined Benefit Plan age 65 Salary United Transportation Union \$157,656 Cleveland, OH Salary Illinois AFL-CIO \$1,750 Springfield, IL В This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent

children, mark the other higher categories of value, as appropriate

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Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

U.S. Öffice of Government Ethics Reporting Individual's Name Joseph C. Szabo		SCHI	EDULE	В	3	C Canada	Andreas Philippe and property of		2000 1	eder and the test		\.\.\.\.\.\.\.\.\.\.\.\.\.\.\.\.\.\.\.		Page 1	Number		of 6					
Part I: Transactions			\$		^1	1		Non	e]				<u> Alexandre</u>	manada a meno manada a sojih						
Report any purchase, sale, or exchan or dependent children during the rep	nge by you, your spouse, porting period of any real	report a transaction involving property used sole nersonal residence, or a transaction solely between	ely as your , een vou	; ·	100						Āi	nount (of Trans	saction	(x)							
property, stocks, bonds, commodity securities when the amount of the tra \$1,000, Include transactions that res	the period of any real personal residence, or a transaction solely between you, witners, and other assection exceeded lated in a loss. Do not certificate of divestiture from COB. Identification of Assets Identification of the filer's spouse or dependent children. If the underlying asset is either held with the spouse or dependent children, use the other higher categories of value, as appropriate. In U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouses or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value of the relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value of the relationship to you; or provided as personal hospitality a						000,	Over \$50,000,000														
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by the filer or jointly held by the file	r with the spouse or depend	dent children, use the other higher categories of val	lue, as appro	riate.		سيستنان	National Constant Constant															
Part II: Gifts, Reimbut For you, your spouse and dependent ion, and the value of: (1) gifts (standard food, or entertainment) received (2) travel-related cash reimburse than \$260. For conflicts analysis as personal friend, agency approaut y, etc. For travel-related date and the nature of expenses	dent children, report the such as tangible items, trefrom one source totaling ments received from one, it is helpful to indicate wal under 5 U.S.C. § 41 gifts and reimbursements provided. Exclude any	Fravel Expenses source, a brief descrip- ansportation, lodging, g more than \$260; and e source totaling more a basis for receipt, such 11 or other statutory is, include travel itingrary, orthing given to you by		rec ind the tota	eived lepend dono al vali	from lent o or's res ue fro	relatives; if their rela- sidence. Al m one sour	receivi tionsh	ed by lip to y	your s you; o	spous r prov of ago	or ded	epend as per	ent cl sonal fts to ess. S	hosp	itally itality nine the structi	at					
Source (Name a	and Address)			I	Rrief Di	ekorinti	AB .					-										
Examples: Nat'l Assn. of Rock Col	llectors, NY, NY	Airline ticket, hotel room & meals incident to nationa	l conference 6	15/99 (persona	al activi	itý unrelated t	o duty)				<u> بني</u>	···	<u> بنایات</u>		***************************************		\$50				
Frank Jones, San Franci	isco, CA	Leather briefcase (personal friend)	5				to fill and the Landson				,	-	************	***************************************	***************************************			\$30				
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Joseph C. Szabo		SCHEDUI	LE C		•									5 of 6		
Part I: Liabilities										3.		•		•		
Report liabilities over \$10,000 owed to an any time during the reporting period by y		personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and		. No	ne X				Categ	\mount	t or Valu	·				
or dependent children. Check the highest during the reporting period. Exclude a m	amount owed	liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.	Date Incurred	Interest Rate	Term if appli- cable	- 100	\$15,001 -	.001 -	0,001 -	0,000	9,001	r 100;000*	000,001	5 of 6	\$25,000,001 - \$50,000,000	Over \$50,000,000
Creditors (Name and	Address)	Type of Liability		, -	CADIC	\$10,	\$15,	\$50,	\$10	\$28 \$50 \$50	\$300	8 5	\$1,0	\$5,0 \$25,	\$25, \$50,	800
ples: First District Bank, Washington John Jones, 123 J St., Washington	, DC	Mortgage on tental property, Delaware Promissory note	1991 1999	8% 10 %	25 yrs. on deman	1		_ ×_			F					
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with the spouse or dependent children, m. Part II: Agreements or A	ark the other higher ca rrangements				·		·	Can is	· · ·	ما ما د		المام المام		-uti-a		
Report your agreements or arrangement employee benefit plan (e.g. 401k, def payment by a former employer (inclu	erred compensation	; (2) continuation			r) future a r any of t						-	Nobe Ding m	етер	orung 		
	Status and Terms of ar	y Agreement or Arrangement	-			••		•	Partie	s .					, D	ate
calculated on service performe	ed through 1/00,	sum payment of capital account & partnership share				oe Jones	· ·									85
1 Continued participation in Illinois I	Municipal Retiremen	nt Fund - Defined benefit plan - \$223/month at age 60				inois M pringfie		al Re	lireme	ent Fu	nd (IM	IRF)			5/	87
2 Leave of absence (from 11/2004 -	indefinitely) with rig	pht of return. I will forfeit my right to return upon confirma	ttion.			ETRA hicago,	ſĹ								11.	/04
3 Continued participation in United Transportation Union Retirement Defined Benefit Plan - \$1800/month at age 65 UTU Cleveland, OH											10/90					
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Reporting Individual's Name	-	and the second of the second second of the property of the second of the second of		Page Number	
Joseph C. Szabo		SCHEDULE D		6 0	f B
Part I: Positions Held Outs	ide U.S. Government			* *	*,
Report any positions held during the a compensated or not. Positions include director, trustee, general partner, propretations.	but are not limited to those of an officer.	consultant of any corporation, firm, pa non-profit organization or educational social, fraternal, or political entities an	artnership, or other business enterprise or an I institution. Exclude positions with religiound those solely of an honorary nature.	y s, None	S. June
	nization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo.,
Nat'l Assn. of Rock Collectors	, NY, NY	Non-profit education	President	6/92	Present
Examples: Doe Jones & Smith, Hometow	n, State	Law firm	Partner	7/85	1/00
United Transportation Union Cleveland, OH		Labor Union	Illinois State Legislative Director	02/96	01/09
 United Transportation Union Cleveland, OH 		Labor Union	Alternate National Legis ative	01/09	Presen
3 Illinois AFL-CIO Springfield, IL		Labor Affiliation	Vice President	10/06	Presen
4 Metropolis 2020 Chicago, IL		Civic Organization	Executive Council Member (Unpaid)	02/99	Presen
5 Climate Advisory Group Chicago, IL		State Government Committee (Appointed)	Member	01/07	12/07
 Transportation for Illinois Coali Chicago, IL 	tion	Transportation Advocacy Organization	Member	01/07	Presen
Report sources of more than \$5,000 co business affiliation for services provid	Excess Of \$5,000 Paid by One Sompensation received by you or your led directly by you during any one year of a names of clients and customers of any	corporation, firm, partnership, or othe organization when you directly provide	er business enterprise, or any other non-profited the services generating a fee or payment eport the U.S. Government as a source.	Do not comp if you are an Termination Vice President or President	Incumber Filer, or ential tial Candid
Source (Name and Address)			Brief Description of Duties		13/13
Examples: Doe Jones & Smith, Hometow	n, State	Legal services		+	./ · / ·
ivieiro University (client of Do	ne Jones & Smith), Moneytown, State	Legal services in connection with unive	rsity construction		· //
United Transportation Union Cleveland, OH		Salary			
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5				When a real polar field to do a real polar field to the real polar field to th	

SF 278 (Rev. 03/2000) 5 C.F.R Part 2634 U.S. Office of Government Filics Page Number Reporting Individual's Name **SCHEDULE D** 6A of 6 Joseph C. Szabo Part I: Positions Held Outside U.S. Government Report any positions held during the applicable reporting period, whether consultant of any corporation, firm, partnership, or other business enterprise or any compensated or not. Positions include but are not limited to those of an officer. non-profit organization or educational institution. Exclude positions with religious. director, trustee, general partner, proprietor, representative, employee, or social, fraternal, or political entities and those solely of an honorary nature. None Organization (Name and Address) Type of Organization Position Held From (Mo., Yr.) To (Mo., Yr.) Nat'l Assn. of Rock Collectors, NY, NY Non-profit education Present President 6/92 7/85 Doe Jones & Smith, Hometown, State Law firm Partner 1/00 + Thistoric Pullman Foundation, Chicago, IL 9/2007 Historic Society Board of Directors 04/2000 2 3 Part II: Compensation In Excess Of \$5,000 Paid by One Source Do not complete this part if you are an incumbent. Report sources of more than \$5,000 compensation received by you or your corporation, firm, partnership, or other business enterprise, or any other non-profit Termination Filer, or business affiliation for services provided directly by you during any one year of organization when you directly provided the services generating a fee or payment the reporting period. This includes the names of clients and customers of any of more than \$5,000. You need not report the U.S. Government as a source. Vice Presidential or Presidential Candidate None Source (Name and Address) Brief Description of Duties Doe Jones & Smith, Hometown, State

Metro University (client of Doe Jones & Smith), Moneytown, State Legal services Examples: Legal services in connection with university construction 4

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