## SC.F.R Par 2634



| Reporing Individual's Name | SCHEDULE A | Page Numier |
| :---: | :---: | :---: |
| Preston, Staphen W. |  | A1 |



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| Reporing Individuly Namb |  |
| :--- | :---: | :---: |
| Presion．Slephen W． | SCHEDULE A continued |


| Assets and IncomeBLOCK A |  | Valuation of Assets as close of reporting period BLOCK B |  |  |  |  |  |  |  |  |  |  |  |  |  |  | Income：type and amount．If＂None（or less than \＄201）＂is checked．no other entry is needed in Block C for that item． <br> BLOCK C |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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| None $\square$ |  |  |  |  |  |  | 000600ss－100 0 osts－： |  |  |  |  |  | $000^{6} 000^{6} 05 \$ 2310$ |  |  |  | Type |  |  | Amonnt |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | Other <br> Income <br> （Specify <br> Type 委 <br> Actual <br> Amousi） | Date Mo．，Day． $Y_{r}$ ．） <br> Onlyif Honoraria |
| 1 | （DC） <br> Franklin Income Fund |  |  |  |  |  |  |  |  |  |  |  |  |  | \％ |  |  |  |  |  |  |  |  |  | $x_{1} x^{4}$ |  |  |  |  |  |  |  |
| 2 | IRA Ivy Asset Stralegy Fund | 翏 |  |  |  |  |  |  |  |  |  |  |  |  |  | $10$ |  |  | 540 |  | X | 䃯 |  |  |  |  |  |  |  |  |  |
| 3 | （DC） First Trust Strategic Income Plus Closed End Fund Serles 11 |  |  |  |  |  |  |  |  |  |  |  |  | 絾 |  |  |  |  |  |  |  |  |  |  |  |  |  | $\square$ |  |  |  |
| 4 | MMKT．（J）MMKT．\＆（DC）MMKT Schwab Advisor Cash Reserves Fund |  |  |  |  |  | X |  |  |  |  |  |  |  |  |  |  | 緘 |  |  | X | －${ }^{\text {y }}$ |  |  |  | $\mid=1$ |  |  |  |  |  |
| 5 | （S）IRA Fairholime funo |  | X | 率 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 5\％ |  |  |  |  |  |
| 6 | American International Group Common |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  |
| 7 | 3M Company Common | \％ | X |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 8 | Coca Cola Common |  | X |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  | \％ |  |  |  |  |  |  |  | － |  |
| 8 | Ganuine Paris Common | \％${ }^{\text {\％}}$ | X |  |  |  |  |  |  |  |  | 5矢 |  |  |  |  | X |  | \％${ }^{\text {5／4．}}$ |  |  | 54．${ }^{\text {5xa }}$ |  |  |  |  |  |  |  |  | － |

－This category applies only if the assetincome is solely that of the filer＇s spouse or dependent children．If the assetimcome is either that of the filer or joindly beld by the filer with ithe spouse or dependent children，
mark the other higher categories of value，as appropriale．
Priar Pditions Cannol be Used

| Reporing Individual＇s NameFreston，Slephen W． | SCHIEDULE A continued <br> （Use only if needed） | Page Number |
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| Assets and Income BLOCK A | Valuation of Assets at close of reporting period BLOCK B |  |  |  |  |  |  |  |  |  |  |  |  |  |  | Income：type and amount．If＂None（or less than $\$ 201$ ）＂is checked no olber entry is needed in Block $C$ for that item． <br> BLOCK C |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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| None $\square$ |  | $000^{6} 515-100^{6} \mathrm{I} \$$ |  |  |  |  |  |  |  |  |  |  |  |  |  | Type |  |  |  | Amount |  |  |  |  |  |  |  |  |  |  |  | Date <br> ／MO．Dew． Yr．） <br> Onty if Honomin |
|  |  |  |  |  |  |  |  |  |  |  | Intarest |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | Over $\$ 5,000,000$ | Other <br> Income （Specity Typo \＆ Actival Amouni） |  |
| 1 （J）Resource Real Estate Investors 5 LP （housing complexas in GA，TX，MD，AR \＆ mezzanine debt on property in MD） | 析 |  |  | － |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 5 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 2 <br> （J）Breckinridge Capital Advlsors Intermediate Tax－Exempl Portfolio （For holdings see Exhibil A．） |  |  | 筧 |  |  |  | ，${ }^{\text {W }}$ |  |  |  |  |  |  |  |  | $x$ |  | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 3 （J）Tradewinds Global Investors |  |  |  |  |  | X |  |  |  |  |  |  |  |  |  | X |  |  |  |  | 耑 |  |  |  |  |  |  |  |  |  |  |  |
| 4$\begin{array}{l}\text {（S）Brandes Investment Panners } \\ \text { Global Equity Portfolio } \\ \text {（For holdings see Exhlblt C．）}\end{array}$ |  |  |  |  |  | X |  |  |  |  |  |  | 笏 |  |  | X |  | X |  |  |  |  |  |  | 5ay |  |  |  | －${ }^{\text {¢ }}$ |  |  |  |
| 5（S）Lateaf Investment Advisors <br> Taxable All Cap Growth Equity Sirategy <br> （For holdings see Exhbil D．） |  |  | 析 |  |  | X | 䜌 |  |  |  |  |  |  |  | ｜${ }^{\text {Waxy }}$ |  |  |  |  |  |  |  |  |  |  |  |  |  | \％${ }^{\text {\％}}$ |  |  |  |
| 6 $\begin{array}{l}\text { Horizon Asset Managemenl } \\ \text { Core Value Strategy } \\ \text {（For holdings se日 Extibil E．）}\end{array}$ |  |  | 率 |  | －${ }^{\text {5 }}$ |  | 緗 |  |  |  |  |  | 部 |  |  | $x$ | $\mathrm{F}^{\text {3 }}$ |  |  |  | 汮 |  | 18. | $x$ | 5－5 |  |  |  | （\％at |  |  |  |
| 7 JP Morgan Invesiment Management U．S．Large Cap Equity－Tax Aware Strategy（For holdings see Extiolt F．） |  |  |  |  |  |  |  |  |  |  |  |  | 物 |  |  | X |  |  |  |  |  |  |  |  | （7ay |  | \％${ }^{\text {率 }}$ |  | （474 |  |  |  |
| 8 $\begin{array}{l}401(k) \\ \text { Rainier Large Cap Equity Fund }\end{array}$ |  |  |  |  | （xay |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | \％${ }^{\text {Hex }}$ |  |  |  |  |  |  |  |  |  |
| 9 $401(k)$ <br>  Dodge \＆Cox Balanced Fund |  |  |  | $x$ |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| ＊．This calegory applies only if the assethacome is soiely that of the tiler＇s spouse or dependent children．If the assotiocome is oither that of the filer or jointly feld by the filer with the spouso or dependent children， uark the other higher categories of value，is appropriate． |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |


(Use only if needed)


Prior Edisions Cannot oo Used.

## Part I：Transactions

Reporl any purchase，salc，or exchango by you，your spouse or dependent childna during the reporting period of any real property，slocks，bonds，commodity fuaries，and other
socurios whon the amoun of the tansaction excoeded
$\$ 1,000$ ．Include ransactions that resulted in a loss．Do not
personal residence or a cransaction solely between you． your spouse，or dependent child．Check the＂Cernificate of divestiure＂block to indicate sales made pumunt to a certificate of divestiture from OGE

None

|  |  |  |  | Amoun of Tramsacion（ x ） |  |  |  |  |  |  |  |  |  |  |  |
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| $\begin{aligned} & \text { 曷 } \\ & \text { 空 } \\ & \stackrel{3}{2} \end{aligned}$ | $\stackrel{\stackrel{y}{4}}{\stackrel{4}{n}}$ | $\begin{aligned} & \text { 欲 } \\ & \text { 号 } \\ & \text { 品 } \end{aligned}$ | $\begin{aligned} & \text { Dale }\left(M_{0} .\right. \\ & \text { Doy. } Y r .) \end{aligned}$ |  |  |  |  |  |  |  |  |  |  | $\begin{array}{r} 8 \\ 8 \\ 0 \\ 8 \\ 8 \\ \hline 8 \\ 0 \\ 0 \\ 0 \end{array}$ |  |
| $\times$ |  |  | 21199 |  |  | $x$ |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| ． |  |  |  |  | － |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  | ． |  |  |  |
| $\therefore$ |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

＊This category applies ooly if the underlying asset is solely that of the filers spouse or dependent children．If the underlying asset is either held
by the filer or jointy held by the fler with the spouse or dependent childen，use the other higher categories of value，as appropriate．

## Part I：Gifts，Reimbursements，and Travel Expenses

For you，your spouse and dependent children，repor the source，a brief descrip－ yion and the value of：（1）gifts（such as tangble items，transooration lodging food or entertaimment）received yom one source totaling more than $\$ 260$ ：and （2）travel－related cash reimbursements received from one source totaling more than $\$ 260$ ．For conflicts amalysis，it is helpful to indicate a basis for reccipt，such as personal friend quency aoproval under 5 U．S．C．$\$ 4111$ or other statutory authority，etc．For travel－related gifts and reimbursements，include travel itinerary． dates．and the nature of exdenses provided．Exclude ampling ejven to you by


Ption Erituas Caniot be Used．
the U．S．Government given to your apency in connection with official ravel； received．from relatives：received by your spouse or dependent child totally independent of their relationshid to you：or provided as dersonal hospitality at the donor＇s residenoe Also．for purgoses of ageregating pits to determine the total value from one source．exclude items worth $\$ 104$ or less．See insmuctions for other exclusions．

None


|  | Repornig Indruidualk Name |
| :---: | :---: |

Part I: Transactions

|  | $\cdots$ |  | Transaction Tyde (x) |  |  | $\begin{aligned} & \text { Date (Mo. , } \\ & \text { Doy, } \left.Y_{r} .\right) \end{aligned}$ | Anount of Transamion ( x ) |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | $\begin{aligned} & \text { 苞 } \\ & \text { 苟 } \\ & 0 \\ & \hline \end{aligned}$ | $\stackrel{\stackrel{0}{\mathrm{~m}}}{\stackrel{y}{\mathrm{~m}}}$ |  |  |  |  |  |  |  |  |  |  |  |  | $\begin{array}{r} 8 \\ 0 \\ 0 \\ -8 \\ 0 \\ 0 \\ 0 \\ 6 \end{array}$ |  |
| 1 | Example: | Cencral Ajrlias Common | $x$ |  |  | 21/99 |  |  | x |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  | , |  |  |  |  |
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| 6 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 7 |  |  |  |  |  |  |  |  |  |  |  | . |  |  |  |  |  |  |
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| 10 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 11 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 12 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 13 |  |  |  |  |  |  |  |  |  |  | - |  |  |  |  |  |  |  |
| 14 |  |  |  |  |  | . |  |  |  |  |  |  |  |  |  |  |  |  |
| 15 |  |  |  |  | . |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 16 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 4 This category applies only if the undenlying atset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or iointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate. |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

## Part I: Liabilities

Repor liabilities over $\$ 10,000$ owed to any one creditor a aoy time during, the reporting period by you, your spouse or dependent children. Cleck the highest amouns owed during the reparing period. Exclude a morgage on your
personal residence unless it is rented ouc; loans seewed by automobiles, houschold furninure or appliances; and liabilifies ovved to certain redatives listed in insmuctions. Soe instructions for revolving charge accounts.

*This calegory apples only if the liabilify is solely thal of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer

## with the spouse or dependent children, mark the other highes categorios, as appropriate.

## Part 1: Agreements or Arrangements

Repori your agreements or arrangements for: continuing paricipation in an employse beacfit plan (e.p. 401k, deferred compensation: (2) continuation payment by a former employer (wcluding severance payments): (3) leaves
of absence; and (4) funure employment. See instructions regarding the reporting of negotjations for any of these arrangements or benefics


Prior Editions Cannot Be Used

| Reporing lndividual's Name |  | Prage Nuriber |
| :---: | :---: | :---: |
| Preston. Stephen W. | SCHEDULE D | D1 |

## Part I: Positions Held Outside U.S. Government

Repert any Dositions held during the applicable reporting period, whether compensated or nol. Positions include but are not hmited to those of an officer. director. trustee, general parmer, Drodnetor, redrescntative, emplovee, dr
consultant of any corporation, fimm, partnershid, or other business entenprise or any on-dx oreanization or educaionah instionon. Exelude oosions with religious. social, fraterna, or dolirical maties and chose solely of an honorary nature.

| Organization (Name and Address) |  | Type of Organizalion | Position Held | From (Mo., Yr.) | To (Mo., Yr.) |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Non-profil education Lsw firm | President <br> Partuer | $\frac{6192}{7 / 85}--$ | $\begin{aligned} & \text { Prescn! } \\ & \frac{1 / 00}{} \end{aligned}$ |
| 1 | Wifmer Cutler Plckering Hale and Dor LLP Washington, DC | Law firm | Partner | $3 / 01$ | Presont |
| 2 | EWB irrevocable Trust Washington, DC | Irevocable life insurance trust | Trusise | 3/04 | Present |
| 3 | HCBi! Irrevocable Trust Washington, DC | jrrevocable life insurance frust | Trustee | 3104 | Present |
| 4 | Center for Strategic and Budgelary Assessments Washington, DC | Not-for-profil research institute | Board of Direciors (uncompensated) | 9/05 | Present |
| 5 | Trust u/w/o BWP f/b/o JCP Washington, DC | Testamentary trusk | Trustee | $3 / 03$ | Present |
| 6 | Trust u/w/o BWP f/b/o CSWP Washinglon, DC | Testamentary tust | Trusteg | 3/03 | Present |

## Part II: Compensation In Excess Of \$5,000 Paid by One Source

 the reporting period. This includes the names of clients and customers of any
corporacion, firm, parmership, or other business enterprise, or any other mon-profir organization when yous directly provjded the scrvices generationg a foe or payment of more than $\$ 5,000$. You need not report the U.S. Government as a source.

Do not complete this part If you are an Incumbent, Termlnation Filer, or Vice Presidential or Presidential Candidate None $\square$


Prior Editions Cannot Be Used

## Exhibit A

(J) Breckinridge Capital Advisors Intermediate Tax-Exempt Portfollo

|  | VALUE | INCOME | TYPE |
| :---: | :---: | :---: | :---: |
| SCHWAB MUNICIPAL MONEY FUND- SWEEP SHARES TOTAL | \$15,001-50,000 | \$201-1,000 | EIF |
| CLARK CNTY NEV BOND BK 6.000\% 07/01/10 B/EDTD 07/01/00 Total | \$15,001-50,000 | \$1,001-2,500 | Interest |
| DALLAS TEX INDPT SCHDIST RFDG $5.500 \% 02 / 15 / 16$ B/EDTD 03/15/02 CLB Total | \$1,000-15,000 | \$201-1,000 | Interest |
| DALLAS TEX INDPT SCHDIST RFDG $5.500 \%$ 02/15/16 B/EDTD 03/15/02 PRF Total | \$15,001-50,000 | \$1,001-2,500 | Interest |
| DISTRICT COLUMBIA FRFDG SER B $5.500 \%$ 05/01/09 B/EDTD 07/15/99 Total | \$15,001-50,000 | \$1,001-2,500 | Interest |
| FOREST HILLS MICH 5\% GOUTX DUE 05/01/14 Total | \$15,001-50,000 | \$1,001-2,500 | Interest |
| ILLINOIS ST SALES TAX REV RFDG-FIRST SER FGIC-MBIA RE $5.500 \%$ 06/15/13 B/EDTD 05/02/02 Total | \$15,001-50,000 | \$1,001-2,500 | Interest |
| INDIANA TRANSN FIN AUTH HWY REV SER A CPN 5.250\% DUE006/01/15 Total | \$15,001-50,000 | \$1,001-2,500 | Interest |
| LAFAYETTE LA PUB IM 5\% REV DUE 05/01/17 Total | \$15,001-50,000 | \$2,501-5,000 | Interest |
| MISSOUAI ST HEALTH EDL FACS AUTH EDL FACS REV WASHINGTON UNIV-SER A $\quad 5.000 \% 02 / 15 / 15$ B/E Total | \$15,001-50,000 | \$2,501-5,000 | Interest |
| OHIOST - 5\% GOLTX DUE 03/01/16 Total | \$15,001-50,000 | \$2,501-5,000 | interest |
| PLANO TEX INDPT SCH DIST RFDG $5.250 \%$ 02/15/13 B/EDTD 02/01/01 CLB Total | \$15,001-50,000 | \$1,001-2,500 | Interest |
| SALT LAKE SANDY UTAHMET WTR DIST WTA REV 5.000\% 07/01/13 B/EDTD 03/24/04 Total | \$15,001-50,000 | \$1,001-2,500 | Interest |
| SAN ANTONIO TEX 5\% GO LTX DUE 02/01/14 Total | \$15,001-50,000 | \$1,001-2,500 | Interest |
| SEATTLE WASH DRAIN WASTEWATER REV RFDG 5.000\% 02/01/17 B/EDTD 11/01/06 Total | \$15,001-50,000 | \$2,501-5,000 | Interest |
| SOUTH CAROLINA TRAN 5\% REV DUE 10/01/17 Total | \$15,001-50,000 | \$1,001-2,500 | Interest |
| TAMPA FLA WTA \& SWR 5\% REV DUE 10/01/16 Total | \$15,001-50,000 | \$1,001-2,500 | Interest |
| UNIVERSITY TEX UNIV 5\% REV DUE 08/15/11 Total | \$15,001-50,000 | \$1,001-2,500 | Interest |
| WASHINGTON ST MOTOR VEHICLE FUEL TAX SER C $\quad 5.000 \% 01 / 01 / 08 \mathrm{~B} / E D T D$ 01/15/02 Total | None (less than $\$ 1,000$ ) | \$1,001-2,500 | Interast |
| WAYNE CNTY MICH MICHTRANSN FD $5.300 \% 10 / 01 / 13$ B/EDTD 11/01/99 CLB Total | \$15,001-50,000 | \$1,001-2,500 | Interest |
| WHITE SETTLEMENT TEXINDPT SCH DIST SCH BLDG $5.000 \%$ 08/15/14 8/EDTD 07/15/05 Total | \$15,001-50,000 | \$1,001-2,500 | Interest |
| WILLIAMSON CNTY TENNRFDG-RURAL SCH $5.000 \%$ 04/01/72 B/EDTD 02/01/04 Total | \$15,001-50,000 | \$1,001-2,500 | Interest |

## Exhibit B

(J) Tradewinds Global Investors International Value ADR Strategy

| Asset Name | Value(\$) | Type | Income |
| :---: | :---: | :---: | :---: |
| AEGON N V NY REGISTRY SH | \$1,001-15,000 | Dividends | None (less than \$201) |
| ALCATELUCENT | \$1,001-15,000 |  | None (less than \$201) |
| ALUMINA LTD SPONSORED ADR | \$1,001-15,000 | Dividends | \$201-\$1,000 |
| ANGLOGOLD ASHANTI LTD SPONSORED ADR | \$1,001-15,000 |  | None (less than \$201) |
| BARRICK GOLD CORP COM | \$1,001-15,000 |  | None (less than \$201) |
| BP PLC SPONSORED ADR | \$1,001-15,000 | Dividends | \$201-\$1,000 |
| SCHWAB ADVISOR CASH RESERVES FUND- PREMIER SWEEP SHARES | \$15,001-50,000 | EIF | \$201-\$1,000 |
| CAMECO CORP COM | \$1,001-15,000 |  | None (less than \$201) |
| SCHWAB CASH PREMIER SWEEP | \$1,001-15,000 | EIF | \$201-\$1,000 |
| CENTRAIS ELETRICAS BRASILEIR SPON ADA PFD B | \$1,001-15,000 | Dividends | \$201-\$1,000 |
| CENTRAIS ELETRICAS BRASILEIR SPONSORED ADR | None (less than \$201) |  | None (iess than \$201) |
| COMPANHIA DE SANEAMENTO BASI SPONSORED ADR | \$1,001-15,000 |  | None (less than \$201) |
| DA! NIPPON PRTG LTD JAPAN SPON ADR ISIN\#US2338063066 | \$1,001-15,000 |  | None (less than \$201) |
| DAIWA HOUSE IND LTD ADRF1 ADR REP 10 ORD | \$1,001-15,000 |  | None (jess than \$201) |
| ERICSSON L M TEL CO ADR B SEK 10 | \$1,001-15,000 | Dividends | \$201-\$1,000 |
| FUJIFILM HLDGS CORP ADR 2 ORD | \$1,001-15,000 |  | None (less than \$201) |
| GEMALTO NV ADR FUNSPONSORED ADR | \$1,001-15,000 |  | None (less then \$201) |
| GOLD FIELDS LTD NEW SPONSORED ADR | \$1,001-15,000 | Dividends | \$201-\$1,000 |
| HACHIJUNI BANK LTD ADR | \$1,001-15,000 |  | None (less than \$201) |
| IVANHOE MINES LTD COM | \$1,001-15,000 |  | None (less than \$201) |
| KOREA ELECTRIC PWR SPONSORED ADR | \$1,001-15,000 |  | None (less than \$201) |
| LIHIR GOLD LTD SPONSORED ADR | \$1,001-15,000 |  | None (less than \$201) |
| MAGNA INTL INC CL A | \$1,001-15,000 |  | None (less than \$201) |
| MITSUI SUMITOMO INS GROUP HLDGS INC | \$1,007-15,000 |  | None (less than \$201) |
| NEWCREST MNG LTD SPONSORED ADR | \$1,001-15,000 |  | None (less than \$201) |
| NEWMONT MINING CORP COM | \$1,001-15,000 |  | None (less than \$201) |
| NEXEN INC COM | \$1,001-15,000 |  | None (less than \$201) |
| NIPPON TELEG \& TEL CORP SPONSORED ADR | \$1,001-15,000 |  | None (less than \$201) |
| NOKIA CORP SPONSORED ADR | \$1,007-15,000 |  | None (less than \$201) |
| NOVARTIS A G SPONSORED ADR | \$1,001-15,000 |  | None (less than \$201) |


| Asset Name | Value(\$) | Type | Income |
| :---: | :---: | :---: | :---: |
| PANASONIC CORP ADR | \$1,001-15,000 |  | None \{less than \$201) |
| PROMISE CO LTD ADR | \$1,001-15,000 |  | None (less than \$201) |
| ROHM CO LTD ADR FUNSPONSORED ADR | \$1,001-15,000 |  | None (less than \$201) |
| ROYAL DUTCH SHELL PLC SPON ADR B | \$1,001-15,000 | Dividends | \$201-\$1,000 |
| SANOFI AVENTIS SPONSORED ADR | \$1,001-15,000 | Dividends | \$201-\$1,000 |
| SEGA SAMMY HLDGS INCSPONS ADR REPSTG COMSEGA S | \$1,001-15,000 |  | None (less than \$201) |
| SEKISUI HOUSE | \$1,001-15,000 |  | None (less than \$201) |
| SEVEN I HOLDINGS CO LTD ADR | \$1,001-15,000 |  | None (less than \$201) |
| SHISEIDO CO LTD | \$1,001-15,000 |  | None (less than \$201) |
| SIEMENS A G SPONSORED ADR | \$1,001-15,000 | Dividends | \$201-\$1,000 |
| SK TELECOM LTD SPONSORED ADR | \$1,001-15,000 |  | None (less than \$201) |
| SOCIETE GENERALE | \$1,001-15,000 |  | None (less than \$201) |
| STORA ENSO CORP SPON ADR REP R | \$1,001-15,000 | Dividends | \$201-\$1,000 |
| SUMITOMO TR \& BKG LTD SPONS ADR ISIN \#US8656252066 | \$1,001-15,000 |  | None (less than \$201) |
| SUNCOR ENERGY INC COM | \$1,001-15,000 |  | None (less than \$201) |
| SWISSCOM AG SPONSORED ADR | \$1,001-15,000 | Dividends | \$201-\$1,000 |
| TDK CORP AMERN DEP SH | \$1,001-15,000 |  | None (less than \$201) |
| TECHNIP NEW SPONSORED ADR | \$1,001-15,000 |  | None (less than \$201) |
| TELECOM ITALIA S P A NEW SPON ADR SVGS | \$1,001-15,000 | Dividends | \$1,001-2,500 |
| U8S AG SHS NEW | \$1,001-15,000 |  | None (less than \$201) |
| UNITED UTILS GROUP PLC SPONSORED ADR | \$1,001-15,000 | Dlvidends | \$2,501-5,000 |
| VODAFONE GROUP PLC NEW SPONS ADR NEW | \$1,001-15,000 | Dividends | \$201-\$1,000 |
| WACOAL HOLDINGS CORP ADR | \$1,001-15,000 |  | None (less than \$201) |
| WOLTERS KLUWER S | \$1,001-15,000 |  | None (less than \$201) |
| CHARLES SCHWAB CASH RESERVES PREMIER SWEEP | None (less than \$201) | EIF | \$201-\$1,000 |
| ASTRAZENECA PLC SPONSORED ADR | None (less than \$201) | Dividends | \$201 \$1,000 |
| IMPALA PLATINUM HLDG LTD | None (less than \$201) | Dividends | \$201-\$1,000 |
| ISHARES TR MSCI EAFE INDEX | None (less than \$201) | EIF | \$2,501-5,000 |
| TOMKINS PLC SPONSORED ADA | None (less than \$201) | Dividends | \$201:\$1,000 |
| KT CORP SPONSORED ADR | None (less than \$201) | Dividends | \$201-\$1,000 |
| TOPPAN PRTG LTD | None (less than \$201) | Dividends | \$201-\$1,000 |
| UPM KYMMENE CORP | None (less than \$201) | Dividends | \$201-\$1,000 |

## Exhibit C

(S) Brandos Investment Partners Global Equity Portfolio

| Asset Name | Value(\$) | Type | Income |
| :---: | :---: | :---: | :---: |
| AEGON N V NY REGISTRY SH | \$1,001-15,000 |  | None (less than \$201) |
| AKZO NOBEL NV SPONSORED ADR | \$1,001-15,000 | Dividends | \$201-1,000 |
| ALCATELLUCENT | None (or less than \$1,001) |  | None (less than \$201) |
| ASTRAZENECA PLC SPONSORED ADR | \$1,001-15,000 | Dividends | \$201-1,000 |
| AT\&T INC COM | \$1,001-15,000 | Dividends | \$201-1,000 |
| BANK OF AMERICA CORPORATION COM | \$1,001-15,000 | Dividends | \$201-1,000 |
| BB\&T CORP COM | \$1,001-75,000 | Dividends | \$201-1,000 |
| BOSTON SCIENTIFIC | \$1,001-15,000 |  | None (less than \$201) |
| BRASIL TELECOM PARTICIPACOES SPON ADR PFD | \$1,001-15,000 | Dividends | \$201-1,000 |
| BRISTOL MYERSSQUIBB | \$1,001-15,000 | Dividends | \$201-1,000 |
| CANON INC ADR | \$1,001-15,000 |  | None (less than \$201) |
| FIDELITY CASH MM | None (or less than \$1,001) | EIF | \$201-1,000 |
| CIT GROUP INC COM | None (or less than \$1,001) |  | None (less than \$201) |
| CITIGROUP INC COM | None (or less than \$1,001) | Dividends | \$201-1,000 |
| CONTAX PARTICIPACOES SP ADR | None (or less than \$1.001) |  | None (less than \$201) |
| DELL INC COM | \$1,001-15,000 |  | None (less than \$201) |
| DEUTSCHE TELEKOM AG SPONSORED ADR | \$15,001-50,000 | Dividends | \$1,001-2,500 |
| DOW CHEM CO COM | \$1,001-15,000 | Dividends | \$201-1,000 |
| EASTMAN KODAK CO COM | None (or less than \$1,001) | Dividends | \$201-1,000 |
| EBAY INC COM | \$1,001-15,000 |  | None (less than \$201) |
| ERICSSON L M TEL CO ADR B SEK 10 | \$1,001-15,000 | Dividends | \$201-1,000 |
| FAIRPOINT COMMUNICATIONS INC COM | None (or less than \$1,001) |  | None (less than \$201) |
| FIDELITY TAX-FREE MONEY MARKET | \$1,001-15,000 | EIF | None (less than \$201) |
| FIFTH THSRD BANCORP COM | None (or less than \$1,001) | Dividends | \$201-1.000 |
| FORD MTR CO DEL COM PAR 0.01 | None (or less than \$1,001) |  | None (less than \$201) |
| FRANCE TELECOM SPONSORED ADR | \$1,001-15,000 | Dividends | \$201-1,000 |
| FUJIFILM HLDGS CORP ADR 2 ORD | \$1,001-15,000 |  | None (less than \$201) |
| GANNETT INC COM | \$1,001-15,000 | Dividends | \$201-1,000 |
| GENERAL ELECTRIC CO | \$1,001-15,000 |  | None (less than \$201) |
| GLAXOSMITHKLINE PLC SPONSORED ADR | \$1,001-15,000 | Dividends | \$201-1,000 |


| Asset Name | Value(\$) | Type | Income |
| :---: | :---: | :---: | :---: |
| HITACHI LIMITED ADR 10 COM | \$1,001-15,000 |  | None (less than \$201) |
| HOME DEPOT INC COM | \$1,001-15,000 | Dividenos | \$201-1.000 |
| HSBC HLDGS PLC SPON ADR NEW | \$1,001-15,000 | Dividends | \$201-1,000 |
| INTEL CORP COM | \$1,001-15,000 | Dividends | \$201-1,000 |
| J SAINSBURY PLC SPONSORED ADR NEW | \$1,001-15,000 |  | None (less than \$201) |
| KEYCORP NEW COM | \$1,001-15,000 |  | None (less than \$201) |
| KONINKLIJKE AHOLD N V SPON ADR 2007 | \$1,001-15,000 |  | None (less than \$201) |
| KT CORP SPONSORED ADA | \$1,001-15,000 |  | None (less than \$201) |
| MARKS SPENCER | \$1,001-15,000 | Dividends | \$201-1,000 |
| MASCO CORP COM | \$1,001-15,000 |  | None (less than \$201) |
| MCCLATCHY CO CL A | None <or less than \$1,001) |  | None (less than \$201) |
| MICRON.TECHNOLOGY INC COM | \$1,001-15,000 |  | None (less than \$201) |
| MICROSOFT CORP COM | \$1,001-15,000 | Dividends | \$201-1,000 |
| MITSUBISHI UFJ FINL GROUP IN SPONSORED ADR | \$1,001-15,000 | Dividends | \$201-1,000 |
| MITSUI SUMITOMO INS GROUP HLDGS INC | \$1,001-15,000 |  | None (less than \$201) |
| MIZUHO FINL GROUP INC SPONSORED ADR | \$1,001-15,000 | Dividends | \$201-1,000 |
| MOTOROLA INC COM | \$1,001-15,000 | Dividends | \$201-1,000 |
| NIPPON TELEG \& TEL CORP SPONSORED ADR | \$1,001-15,000 | Dividends | \$201-1,000 |
| NISSAN MOTORS SPONSORED ADR | \$1,001-15,000 |  | None (less than \$201) |
| NOKIA CORP SPONSORED ADA | \$1,001-15,000 |  | None (less than \$201) |
| PFIZER INC | \$1,001-15,000 | Dividends | \$1,001-2,500 |
| PNC FINL SVCS GROUP INC COM | \$1,001-15,000 |  | None (less than \$201) |
| PORTUGAL TELECOM SGPS S A SPONSORED ADR | \$1,001-15,000 | Dividends | \$201-1,000 |
| REGIONS FINANCIAL CORP NEW COM | \$1,001-15,000 |  | None (less than \$201) |
| ROYAL BK SCOTLAND GROUP PLC SPONS ADR 200 | None (or less than \$1,001) | Dividends | \$1,001-2,500 |
| SAFEWAY INC COM NEW | \$1,001-15,000 |  | None (less than \$201) |
| SANOFI AVENTIS SPONSORED ADR | \$1,001-15,000 | Dividends | \$201-1,000 |
| SARA LEE CORP COM | \$1,001-15,000 | Dividends | \$201-1,000 |
| SCHERING PLOUGH CORP COM | \$1,001-15,000 |  | None (less than \$201) |
| SLM CORP COM | \$1,001-15,000 |  | None (less than \$201) |
| SONY CORP ADR NEW | \$1,001-15,000 |  | None (less than \$201) |
| STMICROELECTRONICS N V NY REGISTRY | \$1,001-15,000 | Dividends | \$201-1,000 |
| SUMITOMO MITSUI FINANCIAL. GROUP | \$1,001-15,000 | Dividenós | \$201-1,000 |
| SUNTRUST BKS INC COM | None (or less than \$1,001) |  | None (less than \$201) |
| SUPERVALUINC COM | \$1,001-15,000 |  | None (less than \$201) |
| TELE NORTE LESTE PART S A SPON ADR PFD | \$1,001-15,000 | Dividends | \$1,001-2,500 |


| Asset Name | Value(\$) | Type | Income |
| :---: | :---: | :---: | :---: |
| TELECOM TTALIA S P A NEW SPON ADR ORD | \$1,001-15,000 | Dividends | \$1,001-2,500 |
| TELECOMUNICACOES BRASILEIRAS SPONSORED ADR | None (or less than \$1,001) | Dividends | \$201-1,000 |
| TELEFONICA S A SPONSORED ADR | \$1,001-15,000 |  | None (less than \$201) |
| TELEFONOS DE MEXICO S A B SPON ADR ORD L | \$1,001-15,000 |  | None (less than \$201) |
| TELEMIG CELULAR PART S A SPON ADR PFD | None (or less than \$1,001) |  | None (less than \$201) |
| TELMEX INTERNACIONAL SAB DE SPONS ADR SR L | \$1,001-15,000 |  | None (less than \$201) |
| TEXAS INSTRS INC COM | \$1,001-15,000 |  | None (less than \$201) |
| TIM PARTICIPACOES S A SPONS ADR PFD | \$1,001-15,000 |  | None (less than \$201) |
| TOKIO MARINE HOLDINGS SPONS ADR | \$1,001-15,000 |  | None (less than \$201) |
| TYCO ELECTRONICS LTD COM NEW | \$1,001-15,000 |  | None (less than \$201) |
| UNILEVER N V N Y SHS NEW | \$1,001-15,000 | Dividends | \$201-1,000 |
| VALERO ENERGY CORP NEW COM | \$1,001-15,000 |  | None (iess than \$201) |
| VERIZON COMMUNICATIONS INC COM | \$1,001-15,000 | Dividends | \$201-1,000 |
| VIVO PARTICIPACOES S A SPON ADR PFD NEW | \$1,001-15,000 |  | None (less than \$201) |
| WELLS FARGO \& CO NEW COM | \$1,001-15,000 |  | None (less than \$201) |
| WYETH COM | \$1,001-15,000 | Dividends | \$201-1,000 |
| XEROX CORPORATION | \$1,001-15,000 |  | None (less than \$201) |
| AMERICAN INTERNATIONAL GROUP | None (or less than \$1,001) | Dividends | \$201-1,000 |
| FEDERAL HOME LN MTG CORP | None (or less than \$1,001) | Dividends | \$201-1,000 |
| FEDERAL NATL MTG ASSN COM | None (or less than \$1,001) | Dividends | \$201-1,000 |
| GENERAL MOTORS | None (or less than \$1,001) | Dividends | \$201-1,000 |
| WACHOVIA | None (or less than \$1,001) | Dividends | \$201-1,000 |

## Exhibit D

(S) Lateef Investment Advisors Taxable Allcap Growth Equïty Strategy
(Previously managed by Private Capital Management)

| Asset Name | Value(\$) | Type | Income |
| :---: | :---: | :---: | :---: |
| AUTOMATIC DATA PROCESSING IN COM | \$15,001-50,000 | Dividends | \$201-1,000 |
| BERKSHIRE HATHAWAY INC DEL CL B | \$15,001-50,000 |  | None (or less than \$201) |
| SCHWAB MUNICIPAL MONEY FUND- SWEEP SHARES | \$50,001-\$100,000 | EIF | \$2,501-5,000 |
| COLGATE PALMOLIVE CO COM | \$15,001-50,000 | Dividends | \$201-1,000 |
| COSTCO WHOLESALE CORP NEW | \$15,001-50,000 |  | None (or less than \$201) |
| DENTSPLY INTL INC NEW COM | \$15,001-50,000 |  | None (or less than \$201) |
| DISNEY INC | \$15,001-50,000 | Dividends | \$201-1,000 |
| ECOLAB INC COM | \$15,001-50,000 |  | None (or less than \$201) |
| EMC COAPORATION | \$15,001-50,000 |  | None (or less than \$201) |
| EXPEDITORS INTL WASH INC COM | \$15,001-50,000 | Dividends | \$201-1,000 |
| FASTENAL CO COM | \$15,001-50,000 | Dividends | \$201-1,000 |
| ITT EDUCATIONAL SERVICES INC COM | \$15,001-50,000 |  | None (or less than \$201) |
| LIBERTY GLOBAL INC COM SER A | \$1,001-15,000 |  | None (or less than \$201) |
| NASDAQ OMX GROUP INC COM | \$15,001-50,000 |  | None (or less than \$201) |
| QUALCOMM INC COM | \$15,001-50,000 |  | None (or less than \$201) |
| ROCKWELL COLLINS INC COM | \$15,001-50,000 | Dividends | \$201-1,000 |
| SCRIPPS NETWORKS INTERACT IN CL A COM | \$15,001-50,000 |  | None (or less than \$201) |
| SUNCOR ENERGY INC COM | \$15,001-50,000 |  | None (or less than \$201) |
| VISA INC COM CL A | \$15,001-50,000 |  | None (or less than \$201) |
| BANK OF HAWAlI CORP | None (less than \$1,001) | Dividends | \$201-1,000 |
| BOYD GAMING CORP | None (less than \$1,001) | Dividends | \$201-1,000 |
| CA INC COM | None (less than \$1,001) | Dividends | \$201-1,000 |
| EASTMAN KODAK CO COM | None (less than \$1,001) | Dividends | \$201-1,000 |
| FIRST FIN HLDGS INC | None (less than \$1,001) | Dividenas | \$201-1,000 |
| FIRST NIAGARA FIN GP INC COM | None (less than \$1,001) | Dividerids | \$201-1,000 |
| FULTON FINL CORP | None (less than \$1,001) | Dividends | \$201-1,000 |
| GLACIER BANCORP | None (less than \$1,001) | Dividends | \$201-1,000 |
| HEARST-ARGYLE TELEVISION | None (less than \$1,001) | Dividends | \$201-1,000 |
| HEWLETT PACKARD | Norle (less than \$1,001) | Dividends | \$201-1,000 |


| Asset Name |  | Value( $\$$ ) | Type | Income |
| :--- | :--- | :--- | :--- | :--- |
| HUNTINGTON BANCSHARES |  | None (less than $\$ 1,001$ ) | Dividends | $\$ 201-1,000$ |
| INTERNATIONAL GAME TECH |  | None (less than $\$ 1,001$ ) | Dividends | $\$ 201-1,000$ |
| MINE SAFETY APPLIANCES |  | None (less than $\$ 1,001$ ) | Dividends | $\$ 201-1,000$ |
| MOTOROLA |  | None (less than $\$ 1,001$ ) | Dividends | $\$ 201-1,000$ |
| NORTHERN TR CORP | None (less than $\$ 1,001$ ) | Dividends | $\$ 201-1,000$ |  |
| OCEANFIRST FINL |  | None (less than $\$ 1,001$ ) | Dividends | $\$ 201-1,000$ |
| PROVIDENT NEW YORK BANCORP |  | None (less than $\$ 1,001$ ) | Dividends | $\$ 201-1,000$ |
| RAYMOND JAMES FINANCIAL INC | None (less than $\$ 1,001$ ) | Dividends | $\$ 201-1,000$ |  |
| ROYAL CARIBBEAN CRUISES |  | None (less than $\$ 1,001$ ) | Dividends | $\$ 201-1,000$ |
| SOTHEBYS COM |  | None (less than $\$ 1,001$ ) | Dividends | $\$ 201-1,000$ |
| STERLING FINL CORP | None (less than $\$ 1,001$ ) | Dividends | $\$ 201-1,000$ |  |
| VALLEY NATL BANCORP |  | None (less than $\$ 1,001$ ) | Dividends | $\$ 201-1,000$ |
| WINOSTREAM CORP |  | None (less than $\$ 1,001$ ) | Dividends | $\$ 201-1,000$ |

## Exhibit E

## Horizon Asset Management Core Value Strategy

| Asset Name | Value(\$) | Type | Income |
| :---: | :---: | :---: | :---: |
| ALLEGHENY ENERGY INC COM | \$1,001-15,000 |  | None (or less than \$201) |
| ANGLO AMERN PLC ADR NEW | \$1,001-15,000 |  | None (or less than \$201) |
| AOL TIME WARNER INC | \$1,001-15,000 |  | None (or less than \$201) |
| BEIJING CAPITAL INTERNATIONAL AIRPORT CO LTD BCIA SHS H ISIN\#CNE100000221 | \$1,001-15,000 |  | None (or less than \$201) |
| BERKS | \$1,001-15,000 |  | None (or less than \$201) |
|  |  |  |  |
| SCHWAB U.S. TREASURY MONEY FUND | \$15,001-50,000 | EIF | None (or less than \$201) |
| BROOKFIELD ASSET MGMT INC CL A LTD VT SH | \$1,001-15,000 | Dividends | \$201-1,000 |
| BURLINGTON NORTHN SANTA FE C COM | \$1,001-15,000 |  | None (or less than \$201) |
| CARNIVAL CORP | \$1,001-15,000 |  | None (or less than \$201) |
|  |  |  |  |
| CHINA LIFE INS CO LTD SPON ADR REP H | \$1,001-15,000 |  | None (or less than \$201) |
| CHINA UNICOM (HONG KONG) LT.D SPONSORED ADR | \$1,001-15,000 |  | None (or less than \$201) |
| CME GROUP INC COM | \$1,001-15,000 |  | None (or less than \$201) |
| CNOOC LTD SPONSORED ADR | \$1,001-15,000 | Dividends | \$201-1,000 |
| DISNEY INC | \$1,001-15,000 |  | None (or less than \$201) |
| EL PASO CORP COM | \$1,001-15,000 |  | None (or less than \$201) |
| ENCANA CORP COM | \$1,001-15,000 | Dividends | \$201-1,000 |
| GAZPROM O A O SPON ADR REG S ISIN\#US3682872078 | \$1,001-15,000 |  | None (or less than \$201) |
| HENDERSON LAND DEVELOPMENT CO LTD SHS ISIN\#HK0012000102 | \$1,001-15,000 |  | None (or less than \$201) |
| HONG KONG EXCHANGES AND CLEARING LTD ISIN\#HK0388045442 SHS | \$1,001-15,000 | Dividends | \$201-1,000 |
| HUANENG PWR INTL INC SPON ADP H SHS | \$1,001-15,000 | Dividends | \$201-1,000 |
| ICICI BK LTD ADA | \$1,001-15,000 |  | None (or less than \$201) |
| BMPERIAL OIL LTD COM NEW | \$1,001-15,000 |  | None (or less than \$201) |
| LEGG MASON INC COM | \$1,001-15,000 |  | None (or less than \$201) |
| LEUCADIA NATL CORP COM | \$1,001-15,000 |  | None (or less than \$201) |
| LONDON STOCK EXCHANGGROUP PLC LONDON ISIN\#GBOOBOSWJX34 | \$1,001-15,000 | Dividends | \$201-1,000 |
| MASTERCARD INC CL A | \$1,001-15,000 |  | None (or less than \$201) |
| NASDAQ OMX GROUP INC COM | \$1,001-15,000 |  | None (or less than \$201) |
| NYSE EURONEXT COM | \$1,001-15,000 | Dividenós | \$201-1,000 |


| Asset Name | Value(\$) | Type | Income |
| :---: | :---: | :---: | :---: |
| PHILIP MORAIS INTL INC COM | \$1,001-15,000 |  | None (or less than \$201) |
| RELIANT ENERGY INC COM | \$1,001-15,000 |  | None (or less than \$201) |
| UNION PAC CORP COM | \$1,001-15,000 |  | None (or less than \$201) |
| BANK OF NEW YORK | None (or less than $\$ 1,001)$ | Dividends | \$201-1,000 |
| FEDERAL NATAL.MTG ASSN COM | None (or less than $\$ 1,001$ ) | Dividends | \$201-1,000 |
| SIERRA PAC RES | None (or less than $\$ 1,001)$ | Dividends | \$201-1,000 |
| SPDR TR UNIT SER 1 | None (or less than $\$ 1,001)$ | EIF | \$1,001-2,500 |

## Exhibit F

JP Morgan Investment Management U.S. Large Cap Equity- Tax Aware Strategy
(Previously managed by State Street Global Advisors)

| Asset Name | Value(\$) | Type | Income |
| :---: | :---: | :---: | :---: |
| 3M CO COM | \$100,001-250,000 | Dividends | \$5,001-15,000 |
| ABBOTT LABS COM | \$15,001-50,000 | Dividends | \$201-1,000 |
| ACE LTD SHS | \$1,001-15,000 |  | None (or less than \$201) |
| ADVANCE AUTO PARTS INC COM | \$15,001-50,000 |  | None (or less than \$201) |
| AETNA INC NEW COM | \$1,001-15,000 |  | None (or less than \$201) |
| ALCOA INC COM | \$15,001-50,000 | Dividends | \$2,501-5,000 |
| ALTRIA GROUP INC COM | \$1,001-15,000 |  | None (or less than \$201) |
| AMERIPRISE FINL INC COM | \$1,001-15,000 |  | None (or less than \$201) |
| AOL TIME WARNER INC | \$15,001-50,000 |  | None (or less than \$201) |
| APACHE CORP COM | \$15,001-50,000 |  | None (or less than \$201) |
| APPLE INC COM | \$100,001-250,000 |  | None (or less than \$201) |
| AT\&T INC COM | \$15,001-50,000 |  | None (or less than \$201) |
| AXIS CAPITAL HOLDINGS SHS | \$1,001-15,000 |  | None (or less than \$201) |
| BANK OF AMERICA CORPORATION COM | \$1,001-15,000 | Dividends | \$2,501-5,000 |
| BANK OF NEW YORK MELLON CORP | \$15,001-50,000 | Dividends | \$201-1.000 |
| BE\&T CORP COM | \$1,001-15,000 |  | None (or less than \$201) |
| BRISTOL MYERSSQUIBB | \$50,001-100,000 | Dividends | \$2,501-5,000 |
| SCHWAB ADVISOR CASH RESERVES FUND- PREMIER SWEEP SHARES | \$15,001-50,000 | EIF | \$201-1,000 |
| CATERPILLAR INC DEL COM | \$1,001-15,000 |  | None (or less than \$201) |
| CELGENE CORP COM | \$15,001-50,000 |  | None (or less then \$201) |
| CISCO SYS INC COM | \$50,001-100,000 |  | None (or less than \$201) |
| CONOCOPHILLIPS COM | \$1,001-15,000 |  | None (or less than \$201) |
| CORNING INC COM | \$15,001-50,000 | Dividends | \$201-1,000 |
| COVIDIEN LTD COM | \$1,001-15,000 |  | None (or less than \$201) |
| CVS CAREMARK CORPORATION COM | \$15,001-50,000 | Dividends | \$201-1.000 |
| D R HORTON INC COM | \$1,001-15,000 |  | None (or less than \$201) |
| DEERE \& CO COM | \$1,001-15,000 |  | None (or less than \$201) |
| DEVON ENERGY CORP NEW COM | \$1,001-15,000 |  | None (or less than \$201) |
| DISNEY INC | \$15,001-50,000 |  | None (or less than \$201) |
| DUPONT DE NEMOURS | \$15,001-50,000 | Dividends | \$2,501-5,000 |


| Asset Name | Value(\$) | Type | Income |
| :---: | :---: | :---: | :---: |
| ECOLAB INC COM | \$1,001-15,000 |  | None (or less than \$201) |
| EDISON INTL COM | \$1,001-15,000 |  | None (or less than \$201) |
| EXELON CORP COM | \$15,001-50,000 |  | None (or less than \$201) |
| EXXON MOBIL CORP | \$100,001-250,000 | Dividends | \$5,001-15,000 |
| FIRSTENERGY CORP COM | \$1,001-15,000 | Dividends | \$201-1,000 |
| GENERAL ELECTRIC CO | \$50,001-100,000 | Dividends | \$5,001-15,000 |
| GENERAL MLS INC COM | \$1,001-15,000 |  | None (or less than \$201) |
| GILEAD SCIENCES INC COM | \$50,001-100,000 |  | None (or less than \$201) |
| GOLDMAN SACHS GROUP INC COM | \$15,001-50,000 | Dividends | \$201-1,000 |
| GOOGLE INC CLA | \$15,001-50,000 |  | None (or less than \$201) |
| HESS CORP COM | \$1,001-15,000 |  | None (or less than \$201) |
| HEWLETTPACKARD CO | \$100,001-250,000 | Dividends | \$1,001-2,500 |
| IBM | \$15,001-50,000 |  | None (or less than \$201) |
| JOHNSON JOHNSON | \$100,001-250,000 | Dividends | \$5,001-15,000 |
| JOHNSON CTLS INC COM | \$1,001-15,000 |  | None (or less than \$201) |
| JUNIPER NETWORKS INC COM | \$1,001-15,000 |  | None (or less than \$201) |
| KOHLS COPP COM | \$1,001-15,000 |  | None (or less than \$201) |
| LAM RESEARCH CORP COM | \$1,001-15,000 |  | None (or less than \$201) |
| LILLY ELI \& CO COM | \$50,001-100,000 | Dividends | \$5,001-15,000 |
| MARATHON OIL CORP COM | \$1,001-15,000 | Dividends | \$201-1,000 |
| MERCK CO | \$15,001-50,000 |  | None (or less than \$201) |
| METLIFE INC COM | \$1,001-15,000 |  | None (or less than \$201) |
| MICROSOFT CORP COM | \$15,001-50,000 | Dividends | \$201-1,000 |
| MONSANTO CO NEW COM | \$1,001-15,000 | Dividends | \$201-1,000. |
| MORGAN STANLEY COM NEW | \$15,001-50,000 | Dividends | \$1,001-2,500 |
| NJKE INC CL B | \$15,001-50,000 |  | None (or less than \$201) |
| NORFOLK SOUTHERN CORP COM | \$15,001-50,000 |  | None (or less than \$201) |
| OCCIDENTAL PETROLEUM CORP | \$15,001-50,000 |  | None (or less than \$201) |
| PACCAR INC COM | \$1,001-15,000 |  | None (or less than \$201) |
| PEPSICO INC | \$15,001-50,000 |  | None (or less than \$201) |
| PFIZER INC | \$15,001-50,000 |  | None (or less than \$201) |
| PHILIP MORAIS INTL INC COM | \$1,001-15,000 |  | None (or less than \$201) |
| PROCTER GAMBLE CO | \$15,001-50,000 | Dividends | \$201-1,000 |
| QUALCOMM INC COM | \$15,001-50,000 |  | None (or less than \$201) |
| SAFEWAY INC COM NEW | \$1,001-15,000 |  | None (or less than \$201) |
| SCHERING PLOUGH CORP COM | \$1,001-15,000 | Dividends | \$201-1,000 |


| Asset Name | Value(\$) | Type | Income |
| :---: | :---: | :---: | :---: |
| SCHLUMBERGER LTD COM | \$15,001-50,000 |  | None (or less than \$201) |
| STAPLES INC COM | \$1,001-15,000 |  | None (or less than \$201) |
| SYSCO CORP COM | \$1,001-15,000 |  | None (or less than \$201) |
| TD AMERITRADE HLDG CORP COM | \$1,001-15,000 |  | None (or less than \$201) |
| TORCHMARK CORP COM | \$50,001-100,000 | Dividends | \$2,501-5,000 |
| TRAVELERS COMPANIES INC COM | \$1,001-15,000 |  | None (or less than \$201) |
| URBAN OUTFITTERS INC COM | \$1,001-15,000 |  | None (or less than \$201) |
| US BANCORP DEL COM NEW | \$1,001-15,000 |  | None (or less than \$201) |
| VERIZON COMMUNICATIONS INC COM | \$15,001-50,000 |  | None (or less than \$201) |
| WAL MART STORES INC COM | \$15,001-50,000 | Dividends | \$201-1.000 |
| WELLS FARGO \& CO NEW COM | \$15,001-50,000 | Dividends | \$1,001-2,500 |
| WYETH COM | \$1,001-15,000 |  | None (or less than \$201) |
| XILINX INC COM | \$15,001-50,000 |  | None (or less than \$201) |
| YAHOO INC COM | \$1,001-15,000 |  | None (or less than \$201) |
| YUM BRANDS INC COM | \$1,001-15,000 |  | None (or less than \$201) |
| ZIMMER HLDGS INC COM | \$1,001-15,000 |  | None (or less than \$201) |
| WACHOVIA | None(or less than \$1,001) | Dividends | \$5,001-15,000 |
| ABB LTD. | Nonefor less than \$1,001) | Dividends | \$1,001-2,500 |
| CARNIVAL CORP | None(or less than \$1,001) | Dividends | \$1,001-2,500 |
| CITIGROUP | None(or less than \$1,001) | Dividends | \$1,001-2,500 |
| CME GROUP | None(or less than \$1,001) | Dividends | \$1,001-2,500 |
| FRANKLIN RES INC COM | None(or less than \$1,001) | Dividends | \$201-7,000 |
| FREEPORT-MCMORAN COPPER \& GO | None(or less than \$1,001) | Dividends | \$201-1,000 |
| HALLIBURTON | None(or less than \$1,001) | Dividends | \$201-7,000 |
| INTERNATIONAL GAME TECHNOL | None(or less than \$1,001) | Dividends | \$201-1,000 |
| INTL PAPER CO COM | None(or less than \$1,001) | Dividends | \$201-1,000 |
| JP MORGAN CHASE \& CO | None(or less than \$1,001) | Dividends | \$1,001-2,500 |
| LINEAR TECH CORP COM | None(or less than \$1,001) | Dividends | \$201-7,000 |
| LORILLARD INC COM | None(or less than \$1,001) | Dividends | \$201-1.000 |
| NOBLE CORP SHS | None(or less than \$1,001) | Dividends | \$201-7,000 |
| TELEFLEX | None (or less than \$1,001) | Dividends | \$1,001-2,500 |
| TORCHMARK CORP COM | None(or less than \$1,001) | Dividends | \$2,501-5,000 |
| WRIGLEY WM JR CO | None(or less than \$1,001) | Dividends | \$201-1,000 |

## Client

Boaing Company, The Chicago, IL

Alcatel-Lucent
Murray Hill, NJ
Citigroup
New York, NY
Navy Federal Credit Union
Vienna, VA
Google, inc.
Mountain View, CA
Siemens PLM Soltware Inc.
Plano, TX
NOVA Chemicals Corporation
Moon Township, PA
ChoicePoint Inc.
Alpharetta, GA
Morgan Stanley
New York, NY
Data Physics Corporation
San Jose, CA
Murray Energy Corp.
Pepper Pike, OH
Hewitt Associates L\&C Investigations/Litigation
Chicago, lL
Stuart Piltch Investigations/Litigation
Eagleville, PA
Deutsche Post AG
Bonn, Germany
General Dynamics Advanced Information Systoms
Fairfax, VA
Morganti Group, Inc. Investigations/Litigation
Danbury, CT
Danaher Corporation Investigations/Litigation
Washington, DC

## Legal Services

Investigations/Litigation

Regulatory Compliance

Regulatory Compliance

Investigations/Litigation

Regulatory Compliance

Invesfigations/Litigation

Regulatory Compliance

Regulatory Compliance

Regulatory Compliance

Investigations/Litigation

Investigations/Litigation

Regulatory Compliance

Investigations/Litigation

## Exhibit G <br> to SF-278 for <br> Stephen W. Preston

| Cllent | Legal Services |
| :---: | :---: |
| Global Computer Enterprises Inc. Reston, VA | Investigations/Litigation |
| Emerson Radio Corp. Parslppany, NJ | Investlgations/Litigation |
| Dynamics Research Corporation Andover, MA | Investigations/Litigation |
| Deutsche Bank AG New York, NY | Regulatory Compliance |
| J.P. Morgan Chase \& Co. New York, NY | Investigations/Litigation |
| Cobham ple Wimboume, UK | Regulatory Compliance |
| UGS <br> Plano, TX | Regulatory Compliance |
| Cabot Corporation Boston, MA | Regulatory Compliance |
| Johnson Controls, Jnc. Milwaukee, WI | Investigations/Litigation |
| BP ple London, UK | Investigations/Litigation |
| Lutthansa AG Frankfurt, Germany | Regulatory Compliance |
| Teledyne Technologies Incorporated Thousand Oaks, CA | Investigations/Litigation |
| Generas Dynamics Corporation Falls Church, VA | Regulatory Compliance |
| Monsanto Company St. Louls, MO | Investigations/Litigation |
| General Electric Company Fairfield, CT | Investigations/Litigation |
| BP America Warrenville, IL | Investigations/Lifigation |

Period: 1/1/07-2/28/09

