SF278 (Rev. 03/2000)								Form Approved:
5 C.F.R Part 2634	Executive	Branch Pe	ersonnel PUB	LIC FINANC	IAL DISC	CLOSU	JRE REPORT	OMB No. 3209-0001
U.S. Office of Government Ethics								
Date of Appointment, Candidacy, Election	Reporting Status	Incumbent	Calendar Year	New Entrant, Nom	Tomati	ination	Termination Date (If Appli- cable ) (Month. Day, Tear )	Any individual who is required to
or Nomination (Month. Day. Year)	appropriate boxes)	Incumbent	Covered by Report	X or Candidate	Filer			file this report and docs so more than
3/26/04 (110 3/		لحما						30 days after the date the report is
Derenting Ledisidently Mana	Last Name		· · · · · · · · · · · · · · · · · · ·	First Name and M	liddle initial			required to be filed, or, if an extension
Reporting Individual's Name	Koonin			Steven E.				is granted, more than 30 days after the last day of the filing extension period
	Title of Position	·····	<u> </u>	Department or A	ency (If Applicabl	ole)		shall be subject to a \$200 fee.
Position for Which Filing	Under Secretar	v for Science		Department o	f Energy			
		Street, City, State.	and TIP (ade)			c No (Incl	lude Area Code )	Reporting Periods
Location of Present Office				·····	tolephone	<u></u> (1995)	due nieu cinue j	the preceding calendar year except
(or forwarding address)	1000 Independ	ence Avenue, S	SW 20585		10000			Part II of Schedule C and Part I of
Position(s) Held with the Federal	Title of Position(s)	and Date(s) Held			(202) 58	86-5000		Schedule D where you must also include the filing year up to the date
Government During the Preceding			··· ··· ··· ··· ··· ···					you file. Part II of Schedule D is not
12 Months (If Not Same as Above)	NONE							applicable.
								Termination Filers: The reporting
Presidential Nominees Subject to	Name of Congress	ional Committee C	onsidering Nomination	Do You Intend to	Crcate a Qualifier	d Diversif	red Trust?	period begins at the end of the period
Senate Confirmation	Senate Energy	and Natural Re	sources Committe	e Yes		XNO		covered by your previous filing and ends at the date of termination. Part II
								of Schedule D is not applicable.
Certification	Signature of Repo	rting Individual			Date 110	onth, Llay,	Tear )	
I CERTIFY that the statements I have made on this form and all attached	110	7	1 11					Nominces, New Entrants and Candidates for President and Vice
schedules are true, complete and correct		411	412		2	13 -	7/09	President:
to the best of my knowledge.	NT-4	ien C!'	ich / th	in		12	FILT	Schedule A-The reporting period for
	Signature of Other	Reviewer			Date (Mo	onth, Day,	Year )	income (BLOCK C) is the preceding calendar year and the current calendar
Other Review					ľ			year up to the date of filing. Value
(If desired by					[			assets as of any date you choose that is within 31 days of the date of filing.
agency)								
Agency Ethics Official's Opinion On the basis of information contained	Signature of Desig	mated Agenev Ethi	cs Official/Reviewing (	Official	Date (Mo	onth, Dav,	Year)	Schedule B-Not applicable.
in this report, I conclude that the filer is	0					1 1		Schedule C, Part 1 (Liabilitics)
in compliance with applicable laws and regulations (subject to any comments	Lel-a	FA	· 2		3/	30/1)	9	The reporting period is the preceding calendar year and the current calendar
in the box below).	10 man	7 6	an			10	/	year up to any date you choose that is
Office - F.C.	Signature		<u>-</u>		Date (Mo	onth. Day.	Year)	within 31 days of the date of filing.
Office of Government Ethics Use Only	14	M L	-C.U.A		31	3	29	Schedule C. Part II (Agreements or
Comments of Reviewing Officials (If addin		10						Arrangements) Show any agreements or arrangements as of the date of
Continents of Reviewing O(licials (1) audit	tonal space is requi	rea, upe ine reverse	star of this sheet)				(C)	filmg.
			(Check t	ox If filing extension gro	nted & indicate n	umber of a	lays )	
								Schedule DThe reporting period is the preceding two calendar years and
								the current calendar year up to the
								date of filing. Agency Use Only
								MELINY OSC VIA
				(Check box i	comments are con	ntinued on	the reverse side	OGE Use Only
Supersedes Prior Editions, Which Cannot	Be Used.			278-112		Form I	Designed in Microsoft Excel 2	000 NSN 7540-01-070-8444

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5 C.F.R Part 2634

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Koonin, Steven	1	

	Assets and Income			v		ation at cl ortin	ose o	of																or les at ites		an S	201)	" is checked, n	0	
	BLOCK A			0.000 000		BLC	CK E	3			1	N100004										BLO	CKC	2						
eport production and period of the period of the period of the period of the period of the period of	bu, your spouse, and dependent children, each asset held for investment or the ction of income which had a fair market exceeding \$1.000 at the close of the report- ried, or which generated more than \$200 ome during the reporting period, together uch income. burself, also report the source and actual at of earned income exceeding \$200 (other rom the U.S. Government). For your spouse, the source but not the amount of earned at of more than \$1,000 (except report the acount of any honoraria over \$200 of pouse).	None (Arties than \$1,001)	S1,001 - S15,000	S15,001 \$50,000	559,001 - 5100,000 \$180,001 - 5150,000	\$250,001 - \$500,000	000/000/35-100/0655	Over \$1,000.000 *	\$1,006,001 - X 5,000,008	\$5,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust Qualified Frust	Dividends	Rent and Royalties		el Galtes	None (or less than \$201)	5281~51,800	<b>S1,001 - S2,500</b>	\$2,301 - \$5,800			\$50,001 - \$100,000	Quer 51 000 000*	Cret 31,000,000	Over \$5,000.000	Other Income (Specify Type & Actual Amount)		Date (Mo., Dav Yr.) Only if Honoraria
	Central Airlines Common Doe Jones & Smith, Hometown, State Kempstone Equity Fund IRA: Heartland 500 Index Fund			* -	×									×	+ +					×	*					-		Law Parmenthip Income SL	30,000	
	ank of America (USA) ash account						X									X		×		0.00101010000										
	lerrill Lynch (USA) ash account															X		20120-10120101		×										
	idelity Investments (USA) ash account			×												X		X		10000										
	-Trade Securiães (USA) ash account		X															×		000000000000000000000000000000000000000										
C	atWest Bank (Jersey, UK) ash account			X												×		X											L	
D	larris County Texas Industrial Development Corporation Revenue funicipal Bond				×											×		5. WEDGER		×				held						

SCHEDULE A

Page Number

2

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	oning Individual's Name								1	SCI								ed										ļ	Page Number	3
			·•••								<u>n</u>	Jsc	only	/ if :	ncc	dcd	)								-					
	Assets and Income				a	t clo	se o		ts															or le at ite		an S	5201	1)"	is checked, no	
	BLOCK A			:		ortinį BLOC		bor														BLC	ск	5						
· .	None	Nens (or Bass (hen \$1,001)	\$1,001 - \$15,000		5110(0):\$259,000		906	Over \$1,000.000 *	51,000,001 - 5 3,000,000 65 000 001 - 535 000 000	000/000/11 - 110/00/110	Over \$50,000,000	× Excepted investment frank	Excepted Trust	Qualified Frast	L/WUCRUS Trace and Domains	Interest advances	Cagital Gains	None (or less than \$201)	\$201-\$1,000	× \$1,001 - \$2,500	\$2,502 - \$5,000		\mo	unt	Signation Statement	Over \$1,009,000*	010°846'555 100'806'15	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Da Yr.) Only if Honorari
	AMERICAN MUTUAL FUND		x									X		_					×											
	AMERICAN EURO PACIFIC AEPGX											×							X											
	AMERICAN BOND FUND OF AMERICA ABNDX			×								X									×									
	AMERICAN CAP WORLD BD CWBFX		2																	×										
	AMERICAN HIGH INCOME TR AHITX											X									*									
	AMERICAN SMALL CAP WORLD SMCWX											X						х												
	AMERICAN GROWTH FD OF AMERICA AGTHX											X							×											
9	AMERICAN INVESTMENT CO OF AMERICA - AIVSX											X								×										

Prior Editions Cannot be Used.

SF278 (Rev. 03/2000)	SF278	(Rev.	03/2000)	
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5 C.F.R Part 2634 U.S. Office of Government Ethics

eporting Individual's Name	SCHEDULE A continued Page Number	
oonin, Steven	(Use only if needed)	
Assets and Income	Valuation of Assets at close of reporting period Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.	
BLOCK A	BLOCK B BLOCK C	
Nonc	Krune (nr i.s.s. than \$1,001)   S1,001   S1,000   S1,000 </th <th>Date (Mo., Da Yr.) Only ii Honorar</th>	Date (Mo., Da Yr.) Only ii Honorar
AMÉRICAN NEW PERSPECTIVE ANWPX		
2 BLACKROCK LARGE CAP MCLRX		
BLACKROCK INTERNATL		
BLACKROCK SHORT TERM		
5 BLACKROCK TOTAL RETURN MFHQX		
6 BLACKROCK HIGH INCOME MFHIX		
7 BLACKROCK FUNDAMENTAL MCFGX		
BLACKROCK BASIC MCBAX		
BLACKROCK HIGH INCOME MCHIX		

Prior Editions Cannot be Used.

S. Office of Government Ethics eporting Individual's Name	SCHEDULE A continued	age Number
oonin, Steven	(Use only if needed)	5
Assets and Income	Valuation of Assets at close of reporting period Income: type and amount. If "None (or less than \$201)" is other entry is needed in Block C for that item.	checked, no
BLOCK A	BLOCK B BLOCK C	
Nane	None (or lass flam \$1,001)     \$1,001 - \$15,000   \$1,001)     \$1,001 - \$15,000   \$1,00,000     \$50,001 - \$100,000   \$1,000,800     \$50,001 - \$100,000   \$1,000,800     \$50,001 - \$100,000   \$1,000,800     \$50,001 - \$100,000   \$5,000,000     \$5,000,000 - \$1,000,800   \$5,000,000     \$5,000,000   \$5,000,000     \$5,000,000   \$5,000,000     \$5,000,000   \$5,000,000     \$5,000,000   \$5,000,000     \$5,000,000   \$5,000,000     \$5,000,000   \$5,000,000     \$5,000,000   \$5,000,000     \$5,000,000   \$5,000,000     \$5,000,000   \$5,000,000     \$5,000,000   \$5,000     \$5,000,000   \$5,000     \$5,000   \$5,000     \$5,000   \$5,000     \$5,000   \$5,000     \$5,000   \$5,000     \$5,000   \$5,000     \$5,000   \$5,000     \$5,000   \$5,000     \$5,000   \$5,000     \$5,000   \$5,00	Other Date Income (Mo Da (Specify Yr.) Type & Actual Only is Amount) Honorar
BLACKROCK TOTAL RETURN		
BLACKROCK GLOBAL ALLOCATION		
BLACKROCK GLOBAL SMALLCAP MCGCX		
CALVERT INCOME FUND C		
5 COLUMBIA MARSICO GROWTH NMICX		
JENNISON SMALL COMPANY PSCCX		
7 LORD ABBETT AFFILIATED LAFCX		
MFS INTERNATIONAL MDIGX		ger,
OPPENHEIMER SMALL & MID		

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5 C.F.R Part 2634 U.S. Office of Government Ethics

eporting Individual's Name	SCHEDULE A continued	6
conin, Steven	(Use only if needed)	
Assets and Income	Valuation of Assets at close of reporting period Income: type and amount. If "None (or less than \$201)" is checked, the other entry is needed in Block C for that item.	no
BLOCK A	BLOCK B BLOCK C	
None	Mome (or Hass Ahan \$1,000)   State (or Hass Ahan \$1,000)     \$1,0001 - \$15,000   \$520,0001 - \$100,000     \$1,0001 - \$15,000   \$250,0001 - \$2550,000     \$1,0001 - \$100,000   \$500,000     \$1,0001 - \$100,000   \$500,000     \$1,000,000   \$5000,000     \$1,000,000   \$5000,000     \$1,000,000   \$5000,000     \$1,000,000   \$5000,000     \$1,000,000   \$5000,000     \$1,000,000   \$5000,000     \$1,000,000   \$5000,000     \$1,000,000   \$5000,000     \$1,000,000   \$5000,000     \$1,000,000   \$5000,000     \$1,000,000   \$5000,000     \$1,000,000   \$1,000,000     \$1,000,000   \$1,000,000     \$1,000,000   \$1,500     \$1,000,000   \$1,500     \$1,000,000   \$1,500,000     \$1,000,000   \$1,500,000     \$1,000,000   \$1,500,000     \$1,000,000   \$1,500,000     \$1,000,000   \$1,500,000     \$1,000,000   \$1,500,000,000     \$1,000,0	Yr.) Only is
PUTNAM AMERICAN GOVERNMENT		
2 BLACKROCK EUROFUND MDEFX		
BLACKROCK GLOBAL ALLOCATION		
4 BLACKROCK PACIFIC FUND MAPCX		
5 Chevron Corporation CVX		
HEWLETT PACKARD CO		
7 JOHNSON & JOHNSON JNJ		
8 Northrup Grumman Corp NOC		
9 Target Corp TGT		

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lepi	ort	ing	g Individual's Name									S	CF	IEI	DU	LE	A	co	nti	nu	ed									Page Number	7	
(00	n	in,	Steven									-				only															1	
				 										- 1			1-					_			 	 						
			Assets and Income-			V		it ch	ose	As of erio		6											ioun in Bl				han :	\$20	<b>1)</b> " i	is checked, no	,	
			BLOCK A	 	272	53		BLO			685633				2004	2000				<u> </u>					 OCK	 						
		No	one	None (or less than \$1,081)	SI,001 - \$15,000		\$50,001 - \$100,000 \$100,001 - \$259,000	\$250,001 - \$500,000	5508,011 - 51,060,000	Over \$1,000.000 *	\$1,808,001 • \$ 5,000,800	\$\$,000,001 - \$25,000,000	\$25,006,061 - \$56,000,008	Over \$50,900,009	Excepted Investment Fund	Excepted Trust Onalified Tenar	Dividends	Coyalites	Interest	Capital Cains	None (or less than \$201)	5101-51,000	51,001 - \$2,500	S7,501-85,000	National Association	INH/MAC'IN-LENYMOIS	Over \$1,000,000*	\$1,000,001 + \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)		Date fo., Di Yr.) Only i onora
			rota Motors Corp		x									212 AW394			x				x								-		+	
		M												1000			00000															
		nit	ted Technologies Corp X		×									1000			X				x											
	Т	A	A Traditional					X						Z INVESTIG			0		x						X							
	C	RE	EF Stock				×								×						x										+	
	C	R	EF Growth				×							100000000000000000000000000000000000000	x						х											
5	c	RE	EF Equity Index				X								X						x											
	c	R	EF Global Equities				X								X						x										1	
3	C	RI	EF Bond Market				×								X						x											
)	c	R	EF Inflation Linked Bond												×						x								-	L <sup>aix</sup>		

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5 C.F.R Part 2634

U.S.	Office o	f Government	Ethics

	rting Individual's Name								SC	CHI	EDI	ULE	A	col	ntin	ue	ed								8	Page Number 8	
	nin, Steven							<del></del>	197, 3 <i>20</i> 70 - 1172	(	Use	only	if n	eed	ed)												
	Assets and Income				at cl	ose	oſ						In ot)	con ter e	ne: ty	ype is a	and a cedeo	mou I in I	int. I Bloci	f"N kCf	one or th	(or les	is tha n.	n \$2	01)"	is checked, no	
	BLOCK A					CK I		-												BL	OCK	с					
	None	Acques (or less than \$1,400). et Ant - et e And	S13.801.4 550,000	\$50,001 - \$100,000	\$100,001 - \$260,000 \$250,001 - \$500,000	SSID 001 - S1.000,000	Over \$1,000.000 *	\$1,000,401 \$ 5,000,000	S5,000,001 - \$25,000,000	S25,5000,001 + 254,000,4008 Over \$50,000,000	Excepted Investment Fond	Excepted Trust Owned Trust	Dividends	logalites	luterest	Capital Calms	None (or less than \$201)	ST JOI - S2 508	\$2,501 35,606			\$50,001 - \$1.00,000 \$100,000 - \$1.00,000	Over \$1,000,000+	\$1,000,001 \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Do Yr.) Only in Honorat
Ţ	Fidelity Blue Chip Growth FBGRX Children's UTMA			X							X							×									
	CALSTRS Defined benefit plan (Spouse unvested)		X														×										
3	MITRE Corporation																									Consulting fees/expenses 53,056	
	Stanford University Drell Lecture						·																			Honorarium 5,000	Feb 4 20
	Brown University A.O. Williams Lecture																									Honorarium 1,000	Feb 9 20
	Yale University Zucker Lecture								Ĭ																	Honorarium 5,000	Feb 19 20
	UC Berkeley Regents' Lecture																									Honorarium 4,800	Mar 3 20
	MIT Lincoln Labs Fubini Lecture																									Honorarium 5,000	May 29 20
9	Saudi Aramco																									Honorarium 400 (in kind)	June 5 20

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U.S. Office of Government Ethics

cporting Individual's Name	SCHEDULE A continued	Page Number 9
oonin, Steven	(Use only if needed)	9
Assets and Income	Valuation of Assets at close of reporting period   Income: type and amount. If "None (or less than S other entry is needed in Block C for that item.	201)" iš checked, no
BLOCK A	BLOCK B BLOCK C	
None	St. 001 - 515,000   Addit of the st. st. 00     \$1,001 - 510,000   \$1,000,000     \$250,001 - 5100,000   \$250,000 - 5100,000     \$250,001 - 5100,000   \$55,000,000     \$250,001 - 520,000,000   \$55,000,000     \$25,000,001 - \$25,000,000   \$55,000,000     \$55,000,001 - \$25,000,000   \$55,000,000     \$55,000,001 - \$25,000,000   \$55,000,000     \$55,000,000   \$55,000,000     \$55,000,000   \$55,000,000     \$55,000,000   \$55,000,000     \$55,000,000   \$55,000,000     \$55,000,000   \$55,000,000     \$55,000,000   \$55,000     \$55,000,000   \$55,000     \$55,000,000   \$55,000     \$55,000,000   \$55,000     \$55,000,000   \$55,000     \$55,000   \$55,000     \$55,000   \$55,000     \$55,000   \$55,000     \$55,000   \$55,000     \$55,000   \$55,000     \$55,000   \$55,000     \$55,000   \$55,000     \$55,000   \$55,000     \$55,00	Other Data Income (Mo., D (Specify Yr.) Type & Actual Only Amount) Honora
King Abdullah University of S&T Presidential and Academic Search Committees		Consulting
KAUST Global Research Partnership Technical Advisory Panel Investigator Selection Panel		Consulting \$30,000
Novim Group Study Group Leader		Consulting \$6,000
Tri Alpha Energy Corp Scientific Advisory Board		Consulting \$6,000
s BP plc		Compensation/ Bonus \$1,855,713
BP plc common shares	X	
BP Bonus Calendar Year 2008 BP Bonus Calendar Year 2009		\$244,600 Receivable
BP 10,167 unvested shares; vest 12/2009		
BP 11,000 unvested shares; vest 12/2010.		

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US	Office of	Government	Ethics
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	orting Individual's Name							S	CH	ED	ULI	ΕA	cor	tin	ued									Page Number 10	
00	onin, Steven	(Use only if needed)																							
	Assets and Income				ation at clo portin	ose o	-	s		-		_	ncom ther e		-							han \$	201)	" is checked, no	
	BLOCK A		10,0000			CK B			560000									E	BLOCH						
	None	None (or liss than \$1,09)) st ant - sts and	000/658-100515	\$50,001 - \$100,090	\$1.00.001 - \$580,000 \$250,001 - \$580,000	3500,601 - S1,000,000	Over \$1,008.000 * \$1.800.001 - 5.57000 fb00	\$5,000,001 - \$25,000,000	\$25.008,001 - \$50,800,000	Over \$50,000,000 Excepted lavestment Fand	Excepted Trust	Qualified Trust	Dividences Rent and Royalities	Interest	Capital Catos None (or less then \$2011)	\$201 - \$1,006	\$1,001 - \$2,500	\$2,501 - \$5,000 \$5,001 - \$15,000		\$50,001 - \$100,000	900	Over \$1,000,000*	Derr SC AAA DAA	Other Income (Specify Type & Actual Amount)	Date (Mo., D Yr.) Only Honora
	BP Qualified Defined Benefit Pension BP Non-Qualified Defined Benefit Pension Plan				××										X X										
2	BP vested stock options, 5250 shares; \$53.66; exp. 6/7/2014																							value not readily ascertainable	
3	BP unvested shares, 1970 shares vest 12/2009			x											×	(									
4	BP unvested shares, 2228 shares vest 12/2010			x											×										
5	BP undistributed vested shares; 1312 to be distributed 2/25/10			x											×	(									
6	BP unvested shares, 5,435 shares vest 1/2012			100000000000000000000000000000000000000	x										×	(									
7	BP unvested shares, 3,000 shares vest 1/2012; 1,000 shares vest 2/2012				×										×	1									
8	Intentionally left blank													1000 (100 A) A			-								
	BP unvested shares, 3,000 shares vest 1/2013; 1,000 shares vest 2/2013 (Bonus CY 09 award) This category applies only if the asset/income				×										×	(								Estimate of Future Award Annual	

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S.C.F.H. Part 2634

Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

U.S. Office of Government Ethics Reporting Individual's Name	1							-		-			Paure	Numbe				
Koonin, Steven		SCHEDULE I	3													11		
Part I: Transactions		anna shiyan kanga canga yakada kanga Kanga a sa				adalah Makatalan	Non	e		]								
Report any purchase, sale, or exchanged or dependent children during the report		report a transaction involving property used solely as your personal residence, or a transaction solely between you,		ansacti ype (x			Γ			٨	mount	of Tran	saction	(x)				
property, stocks, bonds, commodity is securities when the amount of the tra \$1,000. Include transactions that resu	futures, and other insaction exceeded	your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.	Purchase	2	Exchange	Date (Mo., Duy, Yr.)	\$1,001 - \$15,000	\$15,001 -	\$50,001 - \$100,000	0.000	0.000	\$500,001 - \$1,000,000	r 00.000*	00,001 -	00,001 -	\$25,000.001 - \$50,000,000	r .000,000	Certificate of
	Identifi	cation of Assets	Pm	Sale	ă	Í	\$1.0	\$15	\$50	\$10	52 52 52 52	550	8 <del>.</del>	\$1.0	\$5.0	\$26	560,0	US -
Example: Central Airlines Common	1		x			2/1/99			X									
1																		
.2		a Martin Constant of Constant							1						<u> </u>		:	
3							$\mathbf{t}$	t										
4			┝─┤					$\vdash$		-7		-	<u> </u>					
5																	. •	
		at of the filer's spouse or dependent children. If the underlying a		either	held				1									L
Construction of the local data and the local data a		dent children, use the other higher categories of value, as approp	riate.					-										
Part II: Gifts, Reimbu					_										_	_		
For you, your spouse and dependention, and the value of: (1) gifts (su	ent children, report the	source, a brief descrip-	the L	LS. C	iover	nment; giv relatives; r	cn to	your :	agenc	y in c	onneo	tion v	vith o	llicia)	l trave	s);		
food, or entertainment) received f	from one source totaling	g more than \$260; and				their relat										at	·	
(2) travel-related cash reimburser	nents received from one	e source totaling more				idence. Al												
than \$260. For conflicts analysis, as personal friend, agency approv						n one sour sions.	ce, ex	ciude	items	WOL	0.210	4 or 10	SS, 5	ee ins	Siructi	ons		
authority, etc. For travel-related a	gifts and reimbursement	ts, include travel itinerary.			~~~~~	540120.									1	None		Í.
Source (Name an			Bri	ef Des	criptio			_		un - mpri							Value	
Examples: Natl Assn. of Rock Colle		Airline ticket, hotel room & meals incident to national conference 6/15		_			o duty)		······								\$500	
Frank Jones, San Francis	co, CA	Leather briefcase (personal friend)															\$300	
1																		
2																		
3																		
4																<u>.</u>	-	
5											•••	. <u></u>		_			<u></u>	

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Reporting Individual's Name												Page N	Number			
Koonin, Steven		SCHEDUL	E C													
Part I: Liabilities																
Report liabilities over \$10,000 or any time during the reporting pe		personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and		No	one X				Categ	ory of A	mount	or Vai	iue (x)			
or dependent children. Check the during the reporting period. Exc	e highest amount owed	liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.	Date Incurred	Interest Rate	Term i appli-	\$10,001 - \$15,000	- 100	\$50,001 - \$100,000	,001 -	\$250,001 -	,001 - 00,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 -	Over \$50,000,000
: Creditors (P	ame and Address)	Type of Liability	1	1	cable	610	\$15,001	50,	25.00	2500	00 E		20.0	5.0	25,25	Net 1
Examples: First District Bank, W	ashington, DC	Mortgage on rental property, Delaware Promissory note	1991	<u>8%</u> _	25 yrs			×_								
1										1						
2						1			1							
3							1		1							<u> </u>
4																
5			1				-	-		-				$\vdash$	<u> </u>	
		iler's spouse or dependent children. If the liability is that of the	filer or a jo	int liabilit	y of the fi	ler				_						L
with the spouse or dependent ch																
Part II: Agreement	•												:			
Report your agreements or an			of absen								egard	ing th	ie rep	orting	,	
employee benefit plan (e.g. 4 payment by a former employ			of negoti	ations to	r any of	these an	rangen	ients	or be	nefits						
payment by a former employ	ar (morading severance pay)										N	one	<b></b>			
	Status and Terms of an	y Agreement or Arrangement							Partie	5					D	ate
Example: Pursuant to partners calculated on service	hip agreement, will receive lump s e performed through 1/00.	um payment of capital account & parinership share			Ĩ	oe lones	& Smit)	, Horr	ietown,	State					7/	85
1 Pursuant to the company	s qualified defined benefit p	an, a cash lump sum payment will be paid in January 20	10.		E	British Pe	etroleu	m							3/	04
2 Pursuant to company nor 14 months from date of te		an lump sum payment will be paid to filer			e	British Pe	ətroleu	m						$\neg$	3/	04
3 Pursuant to company poli	cy, filer will receive bonus fo	r work performed in CY 2009, including unvested shares.		Filer	E	British Pe	etroleu	m	<u>-</u>						3/	04
	cy, BP will repatriate filer an	d family paying for travel, moving expenses, per diem, ter	nporary		E	British Pe	etroleu	m							3/0	04
	forfeit stock options that an	s underwater; filer will divest of all BP shares. Prior to			E	ritish Pe	etroleu	m		-					3/0	04
	ice, BP will accelerate distri	bution of vested shares and filer will divest. Filer will forfe	t													
6 unvested shares. Pursuant to company poli	cy, BP will pay for third-part	y tax preparation for CY 2008, 2009, 2010, and 2011.	-		E	British Pe	etroleu	m							3/(	04
and an and the second part									_	_		_				-

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Reporting	Individu	ial's Name

Koonin, Steven

# **SCHEDULE D**

## Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer. director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

mee	tor, trustee, general partner, proprietor, representative, employee, or		and those solely of an honorary nature.	None	
	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Y
Exan	aples: Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	3P, plc, London, UK	Corporation	Chief Scientist	3/04	Present
2 1	MITRE Corporation, McLean, VA	Not-for-Profit Organization	Consultant	7/88	Present
	Physics Department Visting Committee Assachusetts Institute of Technology	University	Visiting Committee Member	1989	Present
	mperial College, London, UK	University	Commercialization Advisory Board Member	2005	Present
	(ing Abdullah University of Science and Technology (KAUST) leddah, Saudi Arabia	University	Search Committee member	2007	2008
6	AUST Global Research Partnership, Washington DC	Research Foundation	Technical Review Panel member	2007	Present
7 1	Royal Soclety of Chemistry, London, UK	Professional Soclety	Editorial Board member	2008	Present
	rri Alpha Energy Rancho Santa Margarita, CA	Corporation	Scientific Advisory Board member	2007	Present
9 1	Novim Group	Foundation	Scientific Advisory Board	2008	Present
	Santa Barbara, CA		Study Group Leader		
Repo	t II: Compensation In Excess Of \$5,000 Paid by One Sec ent sources of more than \$5,000 compensation received by you or your mess affiliation for services provided directly by you during any one year of eporting period. This includes the names of clients and customers of any	corporation, firm, partnership, or oth organization when you directly provi	er business enterprise, or any other non-profit ided the services generating a fee or payment report the U.S. Government as a source.	Do not complet if you are an I Termination F Vice President or Presidentia None	ncumbent, filer, or nial
-	Source (Name and Address)		Brief Description of Dutics	INDILE	
-	Das loves & Smith Hometoum State	Legal services	Biter Description of Duties	and the second se	
xam	ples: Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with unive	crsity construction		
1	3P, plc; London, UK	Chief Scientist			. <u></u> ,
20	Queen's University, Belfast, Northern Ireland	Chair of Innovation (lecture, semi	nar)		
	King Abdullah University of Science and Technology Ieddah, Saudi Arabia	Presidential and Academic Leade	ership Search Committee member		
4 1	KAUST Global Research Partnership Nashington, DC	Review Committee Member			
11		Lincoln Lab Fubini Lecturer			

Reporting Individual's Name

Koonin, Steven

**SCHEDULE D** 

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## Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustec, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

unector, in	siec, general bartier, proprietor, representative, employee, or	social, natorial, or pontical church and th	ose solely of all holistic reality.	None	
	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner Committee Member	7/85	1/00
	Solar Energy Study Advisory Committee achusetts Institute of Technology, Cambridge, MA	University	Committee Member	05/08	Present
	tadioactive Ion Beam Presidential Program Adv. Committee	University	Committee Member	12/08	Present
	an State University, East Lansing, MI	University	Committee Member	12/00	Fresent
	Hall College, Cambridge, UK	University	Associate	3/06	Present
3 Giares	nall College, Campinge, Cit	Chiversity	Associate	3/00	Ficacin
A Colifor	nia Institute of Technology (Caltech), Pasadena, CA	University	Visiting Associate	7/06	Present
4 Camor	ma institute of recimology (Canech), i zaadena, CA	Oniversity	Visiting Associate	1100	ricadin
E Strong	thening the US Energy Innovation System Advisory Board	University	Committee Member	12/08	Present
	chusetts Institute of Technology, Cambridge, MA		Sommace moniber	12.00	1 resent
	ored Research Visting Committee	University	Committee Member	10/05	Present
	chusetts Institute of Technology, Cambridge, MA				
7					
8				· · · · · · · · · · · · · · · · · · ·	
9				-	
- 11					
Part II:	Compensation In Excess Of \$5,000 Paid by One Sou	urce .		· Do not comp	lete this part
	rces of more than \$5,000 compensation received by you or your	corporation, firm, partnership, or other bus	iness enterprise, or any other non-profit	if you are an	
business af	filiation for services provided directly by you during any one year of	organization when you directly provided th		Termination	
the reportin	ag period. This includes the names of clients and customers of any	of more than \$5,000. You need not report		Vice Preside	ntial
1999 B 1997 B		•		or Presidenti	al Candidate
1				None	
	Source (Name and Address)		Brief Description of Duties		
	Doe Jones & Smith, Hometown, State	Legal services			
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university of Droll Lecturer	construction		
	ord, CA				
2 Yale U		Zucker Lecturer	·····		
	laven, CT				
the second se	bha Energy	Rancho Santa Margarita, CA			
	o Santa Margarita, CA				
	Corporation	Consultant			
	an, VA				
5 Novim	Group	Study Group leader			
Santa	Barbara, CA				