SF 278 (Rev. 03/2000) 5 C.F.R. Part 2634 U.S. Office of Government Ethics

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Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Date of Appointment, Candidacy, Election, or Nomination (Month, Day, Year)	Reporting Status (Check Appropriate Boxes)	Incumbent	Calendar Year Covered by Repor	t I	New Entrant, Nominee, or Candidate	Termination Filer	Termination Date (If Appli- cable) (Month, Day, Year)	Fee for Late Filing Any individual who is required to file this report and does so more than 30 days
D	Last Name				First Name and M	fiddle Initial		after the date the report is required to be filed, or, if an extension is granted, more
Reporting Individual's Name	Kienitz				Roy		W	than 30 days after the last day of the filing extension period, shall be subject
	Title of Position				Department or A	gency (If Appl	licable)	to a \$200 fee.
Position for Which Filing	Under Sect For Policy	,	•		U.S. Department	of Transportat	ion	Reporting Periods Incumbents: The reporting period is
Location of	Address (Number,	Street, City, St	ate , and ZIP Code	=)		Telephone N	o. (Include Area Code)	the preceding calendar year except Part Il of Schedule C and Part I of Schedule D
Present Office	4.5. DOT, 120	o new Jei	sey Ave SE,	Was	hungton DC205	90	202-366-111	where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)	Title of Position(s)	and Date(s) He Adviror 7	o the Secr	e tor	y of transp	ortation((3/s/89-present)	Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends
	Name of Congressi	onal Committe	e Considering No	minatio	on Do You Intend to	Create a Qualif	ied Diversified Trust?	at the date of termination. Part II of Schedule D is not applicable.
Presidential Nominees Subject to Senate Confirmation	Commerce, So				Yes		No	
	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~		- Tarspinga	ner~			10	Nominees, New Entrants and Candidates for President and
Certification	Signature of Repor	ting Individual				Date (Mon	th, Day, Year)	Vice President:
I CERTIFY that the statements I have	Signature of Repor					Date (MOIII	11, Day, 10a1/	
made on this form and all attached schedules are true, complete and correct to the best of my knowledge.				$\left( \right)$	, '	Lonuo	y 30, 2009	Schedule AThe reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets
OtherReview	Signature of Other	Reviewer				Date (Moni	h, Day, Year)	as of any date you choose that is within
(If desired by agency)	Win Reg	,	-/		alt 3-19-0 g	3-19-	09	31 days of the date of filing. Schedule BNot applicable.
Agency Ethics Official's Opinion	Signature of Design	nated Agency I	thics Official/Rev	viewing	, Official	Date (Moni	th, Day, Year)	Schedule C, Part I (Liabilities)The reporting period is the preceding calendar
On the basis of information contained in this report, I conclude that the liler is in compliance with applicable laws and regulations (subject to any comments in the box below).		2.1A	Ker			3/1	9103	year and the current calendar year up to any date you choose that is within 31 days of the date of filing.
Office of Government Ethics Use Only	Signature	1 Lu	le le			Date (Mont	th, Day, Year) 40 J	Schedule C, Part II (Agreements or Arrangements)Show any agreements or arrangements as of the date of filing.
Comments of Reviewing Officials ()	If additional space i	s required, use	the reverse side	of this	sheet)	1		Schedule D The reporting period is the preceding two calendar years and
			(Check box if i	filing ex	xtension granted & ind	licate лиmber o	f days)	the current calendar year up to the date of filing.
								Agency Use Only
					all have if an entry		•he minute state [77]	OGE Use Only
				(Che	ck box if comments an	e continued on		MAR 2 0 2009

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Reporting Kienitz, Ro	; Individual's Name y W	SCHEDULE A										Ра	ge Number 2 of	7																		
	Assets and Income Valuation of Assets at close of reporting period BLOCK A BLOCK B																In Ch	co eck	<b>me</b> æd,	: ty no	pe a	and	ant	our ry is	nt. I S ne	f "h ede	lon ed i	e (e n B	or le loci	ess k C	than \$201 for that it	.)" is em.
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report ea productio value exce ing period in income with such For yours amount o thanfrom report th income o	elf, also report the source and a fearned income exceeding \$200 ( the U.S. Government). For your sp a source but not the amount of ea f more than \$1,000 (except repor jount of any honoraria over \$20	r the arket port- \$200 ether other ouse, arned rt the	None (or less than \$1,001)		\$15,001 - \$50,000 \$50,001 - \$100,000		1	\$500,001 - \$1,000,000	Over \$1,000,000*		\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust		Rent and Royalties			None (or less than \$201)		\$1,001 - 32,500 \$2 201 - #5 000	<b>\$5.001 - \$15.000</b>	- \$50,000	- \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date ( <i>Mo., Day</i> Yr.) Only if Honoraria
	Central Airlines Common		-		×	+	┢──	+ -	-						-		×		-†		-+			$\uparrow$	┿	╈	╈	┢	┢──			
Examples	Doe Jones & Smith, Hometown, State		٦	٦	×	-		F	<b>f</b> - <b>'</b>	<b>-</b> -	<b>-</b> -	t-				- <b></b>			- †	-1	1	1	-			F	Ľ	t.	†-	t-	Law Partnership Income \$130.000	† — — -
-	Kempstone Equity Fund IRA: Heartland 500 Index Fund			-		×	F	x	-	<b>-</b> -		-		×	ᅴ			_	- +	- 1	+			· · ·		F	F	<b>†</b>	<b>†</b> -		·	
¹ Check	ng Account, SUNTRUST Bank			×			T														×		Ţ	T			1			1		
	e's business checking account, RUST Bank			×			T														×		T									
³ Saving Union	s Account, U.S. Senate Federal Cre	dit		×																	×			Ţ		Ţ		Ì		1		
	Lincoln Financial Group Delaware Growth and Income Fund						T					,		×		ŀ					×		T		T		T	T				
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	n Financial T. Rowe Price Structured ap Growth Fund			×			T	T	T	Γ	Γ	Γ		x			-				x		T			T	1		T		· · · · · · · · · · · · · · · · · · ·	

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	porting Individual's Name								S	: <b>C</b>	HF	Ð	A II	LE	A		or	nti	nu	eć	1									1	Page	e Nuga	ber	
Gen	itz, Roy W	SCHEDULE A continued (Use only if needed) 3 of   Valuation of Assets Income: type and amount. If "None (or less than \$201											٦																					
	Assets and Income	at close of reporting period checked, no other entry is needed in Block B BLOCK C											r le ocl	ess f	than for t	. \$201 hat it	)" is em.																	
	BLOCK A														T																			
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		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 ~ \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royaltles	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	<b>\$5,001 - \$15,000</b>	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Typ Act		Date (Mo., Day Yr.) Only if Honoraria
1 5	Mona Inc SEP IRA : PAX World Balenced Fund		×	Γ				$\prod$						×							×													
2	State of Maryland 401(k)/401(a), Goldman Sachs Large Capitabue Fund		×											×							×													
	Pennsylvania State Employee's Retirement System Defined Bebe #1+ Plan				Х																												month retirent	
4	Salary, Commonwealth of Pennsylvania, Office of the Governor																															\$122.	,955.82	
5	Spouse's self-emploment income as consultant for DC Housing Enterprise																															fens		
6	Spouse's employee income, Ten Thousand Villages, Inc Rock wille, MD																															sal	lary	
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	Do not complete Schedule B If you are a new entrant, nominee, or Vice Presidential or Presidential Candidate
U.S. Office of Government Ethics	

Reporting Individual's Name Kienitz, Roy W	SCHED	ULI	EB	}							1 -		ber Ol	F	ך	·				
Part I: Transactions Report any purchase, sale, or exchange by you, your spouse, or dependent	property used solely as your personal	None																		
children during the reporting period o real property, stocks, bonds, commodil		Tra T	nsact	ion k)					Amour	t of T	ransa	ction	(x)							
futures, and other securities when the amount of the transaction exceeded \$1 Include transactions that resulted in a	Check the "Certificate of divestiture" block ,000. to indicate sales made pursuant to a	Purchase		Exchange	Date (Mo., Day, Yr.)	\$1,001 - \$15,000	5,001 - 0,000	000'00 00'000 00'001 -	\$250,000 \$250,001 -	000,000	Over \$1,000,000*	- 000,000,	\$5,000,001 - \$25,000,000	5,000,001 - 5,000,000	0ver \$50,000,000	Certificate of divestiture				
Iden	tification of Assets	7	Sale	۵.		55	55	2 2 2	2 22	555	22	55 55	32	<b>3</b> 21	ိန	రికే				
Example Central Airlines Common		X			z/1/99			x												
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5										-										
by the filer or jointly held by the filer with <b>Part II: Gifts, Reimbu</b> For you, your spouse and dependent of tion, and the value of: (1) gifts (such a food, or entertainment) received from (2) travel-related cash reimbursement than \$260. For conflicts analysis, it is as personal friend, agency approval u authority, etc. For travel-related gifts a	In the second se	gories of e S S. Gov red fro enden phor's value f her ex	ernn m re t of i resic rom clusi	nent elativ their dence one	s appropriate ; given to yo ves; received relationshi e. Also, for source, exc	our a i by j p to j purp	genc your you;	spous or pro of ag	e or d ovided grega	lepen 1 as p ting g	dent erso jifts t	chilo nal h o deo	d tot ospi term instr	ally tality ine t ruction	v at he ons					
Nat'l Assn. of Rock Collectors, NY, NY					Iption	<b>X</b>								<b></b>	alue					
Examples Frank Jones, San Francisco, CA	Airline ticket, hotel room & meals incident to nation Leather briefcase (personal friend)			.e 0/1	or yo (persuna		y un			.y) 				÷	500					
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Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name Kienitz, Roy W	SCHEDULE I (Use only if							Page	Numb	er of		7					
Part I: Transactions																	
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		Purchage		Exchange	(Ma., Day, Yr.)	\$1,001 - \$15,000	000	- 100,000,0	000,001	000'0	00,001	100'00(	0000	00,000,0	000	,000,0(	tificate estiture
}dent	ification of Assets		Sale	Excl		\$15	\$15 \$50	\$50 \$10	\$10 \$25	\$25 \$50	\$50 \$1,(	ð∓		\$5,6 \$25	522 522	\$50 \$50	d C
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by the filer or jointly held by the filer w	ying asset is solely that of the filer's spouse or dependent child ith the spouse or dependent children, use the other higher ca	legorie	s of	value	, as appropri	ate.	er ne										

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Reporting Individual's Name Kienitz, Roy W													Page Number			
Kienitz, Ro	y W	S	THED	JLF C								6	>	of	7	
Report li	I: Liabilities abilities over \$10,000 owed	a mortgage on your personal residence unless it is rented out; loans secured by	None 🛛	3				C	ategor	y of A	mount	or Va	lue (x)			
during th your spo Check th	ne creditor at any time ne reporting period by you, use, or dependent children. e highest amount owed ne reporting period. Exclude	automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.	Date	Interest	Term If	- 100'015 \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001- \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001- \$5,000,000	\$25,000,001 - \$25,000,000 -	\$25,000,001 - \$50,000,000	Over \$50,000,000
	Creditors (Name and Address)	Type of Liability	Incurred	Rate	applicable	22	22		52	\$2 \$5	<b>\$</b> 5 <b>\$</b> 1	55	\$1 \$5	22	<b>\$</b> 2 <b>\$</b> 2	22
Examples	First District Bank, Washington, DC John Jones, 123 JSt., Washington, DC	Mortgage on rental property, Delaware Promissory note	1991 1999	<u>8%</u> 10%	on demand	<u></u>	┣	<u> </u>		- <u>-</u>		• •				<u> </u>
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Part Report y employ	II: Agreements of arrangements of arrangements of arrangements of arrangements benefit plan (e.g. pension, 4	is solely that of the filer's spouse or dependent child ark the other higher categories, as appropriate. <b>Arrangements</b> nts for: (1) continuing participation in an 01k, deferred compensation); (2) continua- (including severance payments); (3) leaves	of abse	nce; and	(4) future ns for any	empl	оуше	nt. Se	e inst	ructi	ons r		ling t	he re	•	•
	Status and	Terms of any Agreement or Arrangement						-	Paru	es						Dale
Example	Pursuant to partnership agreement calculated on service performed to	nt, will receive lump sum payment of capital account & p hrough 1/00.	armership s	bane	Doe jone	s & Sma	ith, Ho	metowr	n, State	e						7/85
1 Com	nonwealth of Pennsylvania retiramar	nt plan, benefits receivable upon retirement f lan is	Furmula	based.	Pennsylvania State Employees' Retirement System											1/03
2 403(b	) retirement account from employment	زtions	STPP										1	2/95		
11		it account, no continuing contributions	schedul	εĂ	Maryland	i Supp	lemen	tal Reti	iremer	nt Plar	us					6/0
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Re	porting Individual's Name				Page Number		1
Kie	anitz, Roy W		SCHEDULE D		<b>7</b> of	7	
Re sa tn	art I: Positions Held port any positions held during the ap ted or not. Positions include but are r ustee, general partner, proprietor, rep by corporation, firm, partnership, or c	as with religious honorary N	s, None 🔲				
	Organization (Name	and Address)	Type of Organization	Position Held	From (Mo., Yr.)		4
Exa	Mat'l Assn. of Rock Collectors, NY, NY		Non-profit education	President	<u>6/92</u>	Present	1
	Doe Jones & Smith, Hometown, State		Law firm	Partner	7/85	1/00	4
1	Office of Governor Edward, G. Rendell, Ha	imisburg, PA	State Government	Deputy Chief of Staff	01/2003	3/2009	501
2	Building America's Future		501(c)(4) not-for-profit corportation	Board of Directors	03/2008	3/2009	SDU
3	· ·		······································				1
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R b ti	Part II: Compensation eport sources of more than \$5,000 cc usiness affiliation for services provide the reporting period. This includes the orporation, firm, partnership, or othe	ompensation received by you or yo ed directly by you during any one e names of clients and customers of	year of you directly provided the f any services generating a fee or	payment of more than \$5,000	ation Filer, o Idential Can D. You	r Vice	
F	Source (Name ar	nd Address)	Bri	ef Description of Duties			-
E	Camples Doe Jones & Smith, Hometown, State Metro University (client of Doe Jones & S	Smith), Moneytown, State	Legal services Legal services in connection with university const				
	Office of Governor Edward G. Rendell, Pe	nnsylvania	Deputy Chief of Staff				
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