Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:
OMB No. 3209-0001

Date of Appointment, Candidacy, Election or Nomination (*Month, Day, Year*): 1/20/09	Reporting Status (*Check appropriate boxes*)	☐ Incumbent	Calendar Year Covered by Report:	[x] New Entrant, Nominee, or Candidate	☐ Termination Filer; Termination Date (*If Applicable*) (*Month, Day, Year*):
Reporting Individual's Name	Last Name: Gips			First Name and Middle Initial: Donald H	
Position for Which Filing	Title of Position: Asst to the President, Director			Department or Agency (*If Applicable*): Office of Presidential Personnel	
Location of Present Office (or forwarding address)	Address (*Number, Street, City, State, and ZIP Code*): 1600 Pennsylvania Ave nw Washington DC			Telephone No. (*Include Area Code*): 202.395.1323	
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)	Title of Position(s) and Date(s) Held:				
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination:			Do You Intend to Create a Qualified Diversified Trust? ☐ Yes ☐ No	
Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Signature of Reporting Individual: [signature]			Date (*Month, Day, Year*): 1/27/09	
Other Review (If desired by agency)	Signature of Other Reviewer: [signature]			Date (*Month, Day, Year*): 02.23.09	
Agency Ethics Official's Opinion On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Signature of Designated Agency Ethics Official/Reviewing Official: [signature]			Date (*Month, Day, Year*): 3/10/09	
Office of Government Ethics Use Only	Signature:			Date (*Month, Day, Year*):	

Comments of Reviewing Officials (*If additional space is required, use the reverse side of this sheet*)

(*Check box if filing extension granted & indicate number of days* ________) ☐

(*Check box if comments are continued on the reverse side*) ☐

Fee for Late Filing

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period shall be subject to a $200 fee.

Reporting Periods

Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B--Not applicable.

Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)-- Show any agreements or arrangements as of the date of filing.

Schedule D--The reporting period is the preceding **two** calendar years and the current calendar year up to the date of filing.

Agency Use Only

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Reporting Individual's Name: Donald Gips

SCHEDULE A

Page Number: 2

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual acount of any honoraria over $200 of your spouse).

None ☐

Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000.000 *	$1,000,001 - $ 5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples: Central Airlines Common				x												x						x										
Examples: Doe Jones & Smith, Hometown, State			x																												Law Partnership Income $130,000	
Examples: Kempstone Equity Fund					x								x										x									
Examples: IRA: Heartland 500 Index Fund							x						x											x								
1 Level 3 Communications Salary																															bonus $ 238,262 salary $642,898.00	
2 Mindspeed Communications Board of Directors Fess																															$65,000.00	
3 Global Education Fund (Spouse)																															Salary	
4 LINE INTENTIONALLY LEFT BLANK																																
5 Vanguard Primecap Fund (spouse IRA)				x									x							x												
6 American Funds Growth Fund of America Class F UBS IRA		x											x									x										

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name: Donald Gips

SCHEDULE A continued

(Use only if needed)

Page Number: 3

Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)															Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)																	
																Type				Amount												
None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $ 5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Columbia Marsico Growth Fund Class A ubs ira		x											x							x												
2 Columbia Acorn usa fund class a ubs ira		x											x									x										
3 Eaton Vance Large Cap Value Fund Class A ubs ira			x										x									x										
4 First Eagle Global Fund Class A ubs ira			x										x										x									
5 Oppenheimer Developing Markets fund class a ubs ira		x											x										x									
6 Thornburg Internaitonal Value Fund Class A ubs ira			x										x										x									
7 Thornburg Core Growth fund class a ubs ira		x											x							x												
8 Ivy Global Natural Resources Fund Class A ubs ira		X											X									X										
9 Level 3 options (see attachment 1) value not readily ascertainable Fully vested																				x												

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Prior Editions Cannot be Used.

Reporting Individual's Name: Donald Gips

SCHEDULE A continued

(Use only if needed)

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

#	Assets and Income (BLOCK A) None ☐	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income Type (BLOCK C): Dividends	Rent and Royalties	Interest	Capital Gains	Income Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Mckinsey and Company 401k plan: -- Compass Special Situations Long-term Aggressive Portfolio						x														x												
2	Level 3 401k plan Oakmark equity and income			x										x							x												
3	Level 3 401k plan dodge and cox stock fund			x										x							x												
4	Level 3 401k plan Vanguard Institutional Index					x								x							x												
5	Level 3 401k plan WF Advantage Small Cap Opp-Adm		x											x							x												
6	Level 3 401k plan American Funds EuroPacific			x										x							x												
7	Level 3 401k plan Level 3 Stock in 401k)			x																	x												
8	Level 3 Stock held outright						x														x												
9	First Eagle Global Fund					x								x									x										

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Reporting Individual's Name: Donald Gips

SCHEDULE A continued

(Use only if needed)

Page Number: 5

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Oppenheimer Quest International Value Fund						x							x										x									
2	Seaver Maritime Zero coupon bond			x																	x												
3	UBS International Bond Fund						x							x											x								
4	UBS Select Series II				x									x							x												
5	UBS Activist Partners LLC							x						x							x												
6	Met Life Investors class L Share variable annuity -- MetLife Moderate Strategy Portfolio (see attachment 2)						x														x												
7	UBS Scholars Choice 529 College Equity 80% investment Option class c (1fund for each of my three sons)						x							x							x												
8	Obama Transition																															salary $13,769.23	
9	LINE INTENTIONALLY LEFT BLANK																																

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Reporting Individual's Name: Donald Gips

SCHEDULE A continued
(Use only if needed)

Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000.000 *	$1,000,001 - $ 5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income (BLOCK C) Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
None ☐																																
1 Mindspeed Deferred Directors Fees Aim VI Dynamics Fund		x											X							x												
2 Mindspeed Deferred Directors Fees aim VI Financial Services Fund		x											X							x												
3 Mindspeed Deferred Directors Fees DWS Equity 500 index		x											X							x												
4 Mindspeed Defered Directors Fees DWS smalll Cap Index		x											X							x												
5 Mindspeed Deferred Directors Fees Fidelity Contrafund			x										X							x												
6 Mindspeed Deferred Directors Fees Janus Aspen Series Worldwide		x											X							x												
7 Mindspeed Defered Directors Fees T Rowe Price Equity Income		x											X							x												
8 mindspeed Defered Directors Fees T ROWE price International Stock		x											X							x												
9 mindspeed Defereed Directors Fess Vanguard International Portfolio		x											X							x												

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Reporting Individual's Name: Donald Gips

SCHEDULE A continued

(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

Assets and Income (BLOCK A) None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000.000 *	$1,000,001 - $ 5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Bessemer Venture Partners			x										x			x		x	x						x							
2 Columbia Capital Venture fund LP		x											x							x												
3 Telesoft Venture fund			x										x						x		x											
4 Skyterra Stock Options vested, value not readily ascertainable, 176,250 shares strike price $2.287, expires 2/14/2014	x																			x												
5 Gips Management checking Account, gp for investment fund				x																	x										$5,113.03 management fee	
6 Mindspeed options (see attachment 3) value not readily ascertainable Fully vested	x																			x												
7 Wells Fargo Bank Accounts (saving and checking)					x													x			x											
8 US Savings Bonds	x																			x												
9 Mindspeed Stock		x																		x												

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Reporting Individual's Name: donald gips

SCHEDULE A continued

(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $ 5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust
1	UBS Pace Large Company growth Equity Fund Ira plan	x												x		
2	uBS Pace intl Emerging Markets Equity Invesntement Fund Ira plan	x												x		
3	UBS Large Company Value Equtiy Invesntement Fund Ira plan		x											x		
4	UBS Pace International Equity Invesntement Fund Ira plan		x											x		
5	UBS Pace Small/Medium Co Value growth equity Investment Fund Ira plan	x												x		
6	UBS Pace Small/Medium Co Value Equity Invesntement Fund Class P Ira plan	x												x		
7	UBS Pace Govt fixed income Invesntement Fund Ira plan		x											x		
8	UBS Pace Govt sec fixed income Invesntement Fund Ira plan		x											x		
9	UBS Pace Strategic Fixed Income Invesntement Fund Ira plan		x											x		

#	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1					x												
2					x												
3					x												
4					x												
5					x												
6					x												
7					x												
8					x												
9					x												

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Reporting Individual's Name: Donald Gips

SCHEDULE A continued

(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (None ☐)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	Amount: $201 - $1,000	Amount: $1,001 - $2,500	Amount: $2,501 - $5,000	Amount: $5,001 - $15,000	Amount: $15,001 - $50,000	Amount: $50,001 - $100,000	Amount: $100,001 - $1,000,000	Amount: Over $1,000,000*	Amount: $1,000,001 - $5,000,000	Amount: Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Abbott Labs Stock Neuberger managed account			x													x				x												
2	RMA Money Market Portfolio Neuberger managed account					x											x							x									
3	American Tower Corp Class A neuberger managed account			x																	x												
4	Blackrock Inc Neuberger managed account			x													x					x											
5	Ch Robinson Worldwide, incnew Neuberger managed account		x														x			x			x										
6	Covance Neuberger managed account			x																x			x										
7	Denbury Resources Neuberger managed account		x																	x				x									
8	Ecolab Neuberger managed account			x													x					x											
9	Johnson and Johnson Neuberger managed account		x														x				x												

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SCHEDULE A continued

(Use only if needed)

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

Assets and Income (BLOCK A) None ☐	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	BLOCK C Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 JP Morgan Chase managed by Neuberger and Berman		x														x					x											
2 Kansas City STHN New managed by Neuberger and Berman		x																	x				x									
3 Medco Health Solutions managed by Neuberger and Berman		x																		x												
4 Monsanto managed by Neuberger and Berman		x														x				x												
5 Oracle managed by Neuberger and Berman		x																		x												
6 Pepsico managed by Neuberger and Berman		x														x				x												
7 Procter and 'Gamble managed by Neuberger and Berman			x													x					x											
8 Suncor managed by Neuberger and Berman		x																	x					x								
9 Walmart managed by Neuberger and Berman			x													x				x												

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Reporting Individual's Name: Donald Gips

SCHEDULE A continued

(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	Amount: $201 - $1,000	Amount: $1,001 - $2,500	Amount: $2,501 - $5,000	Amount: $5,001 - $15,000	Amount: $15,001 - $50,000	Amount: $50,001 - $100,000	Amount: $100,001 - $1,000,000	Amount: Over $1,000,000*	Amount: $1,000,001 - $5,000,000	Amount: Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Enbridge Energy Partners managed by Neuberger and berman		x														x					x											
2	Plains All America Pipeline managed by Neuberger and berman			x													x						x										
3	Enterprise Products Partner managed by Neuberberger and Berman			x													x						x										
4	rma money Market Portfolio managed by Nuveen		x																x			x											
5	Puerto Rico Bldg GTD Ser M Bond managed by nuveen				x														x					x									
6	Colorado ST Higher ED CA ser 2008 bond managed by Nuveen					x													x		x												
7	Denver Co. City and and county WTR co Rev bond managed by Nuveen					x													x				x										
8	Donna Texas Ind Sch Dist bond managed by nuveen				x														x					x									
9	Douglass Co colo s/d bond managed by nuveen					x													x							x							

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Reporting Individual's Name: Donald Gips

SCHEDULE A continued

(Use only if needed)

Assets and Income (BLOCK A) None ☐	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income Type (BLOCK C): Dividends	Rent and Royalties	Interest	Capital Gains	Income Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 University Colo Enterprise sys rev bond managed by nuveen				x														x					x									
2 Denton Co Texas ser A bond managed by nuveen					x													x						x								
3 Garland Texas CFTS Oblig ser a bond managed by Nuveen					x													x						x								
4 Denver Colo city and county ser a Rev bond managed by nuveen				x														x				x										
5 Boulder Larimer Weld co st vrain 03 MBIA be/r bond managed by nuveen				x														x					x									
6 Mesa County Colo vy SD 51 ser a MBIA b/e bond managed by nuveen				x														x					x									
7 Jefferson Cnty co s/d no R-001 fsa B/E BOND managed by nuveen					x													x					x									
8 ADAMS County Colo school District FSA B/E bond managed by nuveen					x													x						x								
9 Colorado Health Facs Atut 2008 RV BE/r bond managed by nuveen					x													x		x												

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SF278 (Rev. 03/2000)
5 C.F.R Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: Donald Gips

SCHEDULE A continued

(Use only if needed)

Page Number 113

Assets and Income BLOCK A | **Valuation of Assets** at close of reporting period BLOCK B | **Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C

None ☐

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000.000 *	$1,000,001 - $ 5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Neuberger Berman Instl Liquidity Series - Instl Cash (NBAXX) Gips Partnership Interest					x								x					x						x								
2	Amdocs Gips Partnership Interest			x																	x												
3	Applied Materials Gips Partnership Interest			x													x					x											
4	Arris Group Gips Partnership Interest		x																		x												
5	Cahtay Pacific Airways sponsored Adr Gips Partnership Interest		x														x					x											
6	Charles River laboratories Gips Partnershp Interest		x																		x												
7	Chevron Gips Partnership Interest			x													x					x											
8	Chicago Bridge and Iron co Gips Partnership Interest		x														x				x												
9	Corning Gips Partnership Interest		x														x					x											

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Reporting Individual's Name: Donald Gips

SCHEDULE A continued
(Use only if needed)

Assets and Income BLOCK A — **Valuation of Assets** at close of reporting period BLOCK B — **Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C

None ☐

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $ 5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Dresser Rand Group inc Gips Partnership Interest			x																	x												
2	EMC Corp Gips Partnership Interest		x																		x												
3	EOG Res Inc Gips Partnership Interest			x													x				x												
4	Fuel Cell Energy Gips Partnership Interest		x																		x												
5	General Electric Gips Partnership Interest		x														x					x											
6	Itt Industries Gips Partnershp Interest			x													x					x											
7	Johnson and Johnson Gips Partnershp Interest			x													x					x											
8	Met Life Gips Partnershp Interest			x													x				x												
9	Nalco Gips Partnershp Interest			x													x				x												

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SF278 (Rev. 03/2000)
5 C.F.R Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: Donald gips

SCHEDULE A continued

(Use only if needed)

Page Number: 15

Assets and Income BLOCK A

Valuation of Assets at close of reporting period BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C

None ☐

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000.000 *	$1,000,001 - $ 5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust
1	NBTY Inc Gips Partnership Interest			x												
2	Novartis sponsored ADR Gips Partnership Interest			x												
3	petsmart inc Gips Partnership Interest			x												
4	Reinsurance Group of America Gips Partnership Interest			x												
5	Talisman Energy Inc Gips Partnership Interest		x													
6	Tupperware Brands Corp Gips Partnership Interest			x												
7	UCBH Holdings inc Gips Partnership Interest			x												
8	VCA Antech Inc Gips Partnership Interest		x													
9	Wilbros Group inc Gips Partnership Interest		x													

#	Assets and Income	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	NBTY Inc Gips Partnership Interest					x												
2	Novartis sponsored ADR Gips Partnership Interest	x					x											
3	petsmart inc Gips Partnership Interest	x				x												
4	Reinsurance Group of America Gips Partnership Interest	x				x												
5	Talisman Energy Inc Gips Partnership Interest	x					x											
6	Tupperware Brands Corp Gips Partnership Interest	x					x											
7	UCBH Holdings inc Gips Partnership Interest	x					x											
8	VCA Antech Inc Gips Partnership Interest					x												
9	Wilbros Group inc Gips Partnership Interest					x												

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5 C.F.R Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: Donald Gips

SCHEDULE A continued

(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C (Type / Amount)

None ☐

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	American Funds Growth Fund of America G		x											x							x												
2	Columbia Marsico Growth		x											x							x												
3	Davis New York Venture Fund			x										x							x												
4	First Eagle Global Fund			x										x							x												
5	Columbia Acorn USA		x											x							x												
6	Oppenheimer Developing Markets Fund		x											x							x												
7	Thornburg Core Growth Fund		x											x							x												
8	Ivy Global Natural resources Fund		x											x							x												
9	UBS Pace Money Market Investment fund	x												x							x												

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Reporting Individual's Name: Donald Gips

SCHEDULE A continued

(Use only if needed)

Page Number: 17

Assets and Income: BLOCK A

Valuation of Assets at close of reporting period: BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

BLOCK C

Assets and Income (BLOCK A) None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000.000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	Amount: $201 - $1,000	Amount: $1,001 - $2,500	Amount: $2,501 - $5,000	Amount: $5,001 - $15,000	Amount: $15,001 - $50,000	Amount: $50,001 - $100,000	Amount: $100,001 - $1,000,000	Amount: Over $1,000,000*	Amount: $1,000,001 - $5,000,000	Amount: Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Transoceanic Inc Ser A, CVRT Sr Notes Gips Partnership Interest			x															x			x											
2 Federal Farm Credit Bank Notes Gips Partnership Interest			x															x				x										
3 Federal Home Loan Bank Notes Gips Partnership Interest			x															x				x										
4 Expeditors International managed by Neuberger	x															x			x				x									
5 Arapohoe County Co SD No 5 bond managed by Nuveen	x																	x	x			x										
6																																
7																																
8																																
9																																

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Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

Reporting Individual's Name		Page Number
Donald Gips	**SCHEDULE B**	19

Part I: Transactions

None ☐

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (*Mo., Day, Yr.*)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
Example:	Central Airlines Common	x			2/1/99			x									
1																	
2																	
3																	
4																	
5																	

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $260; and (2) travel-related cash reimbursements received from one source totaling more than $260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $104 or less. See instructions for other exclusions.

None ☐

	Source (*Name and Address*)	Brief Description	Value
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$300
1			
2			
3			
4			
5			

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5 C.F.R Part 2634
U.S. Office of Government Ethics

Do not Complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name		Page Number
Donald Gips	**SCHEDULE B continued** (Use only if needed)	18

Part I: Transactions

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): \$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture
Example:	Central Airlines Common	x			2/1/99			x									
1																	
2																	
3																	
4																	
5																	
6																	
7																	
8																	
9																	
10																	
11																	
12																	
13																	
14																	
15																	
16																	

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Reporting Individual's Name: Donald Gips

SCHEDULE C

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None [x]

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples:	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, 123 J St., Washington, DC	Promissory note	1999	10 %	on demand					x						
1																
2																
3																
4																
5																

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g. 401k, deferred compensation; (2) continuation payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits

None [ ]

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	level 3. Resigned. Continued participation in 401K plan. No further contributions. All assets reported on Schedule A.	Level 3, Broomfield CO	1/20/09
2	Continued participation in Mindspeed deferred compensation plan. no further contributions. All assets reported on schedule A.	Mindspeed Corp. Newport Beach CA	1/20/2009
3	Continued participation in McKinsey 401K plan. No further contributions. All assets reported on Schedule A	Mckinsey and Company, New York, NY	1/20/2009
4			
5			
6			

Reporting Individual's Name: donald Gips

SCHEDULE D

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (*Name and Address*)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	President, Gips Management. Resigned Boulder Co	Family Partnership	President	2/02	1/19/09
2	Transition Advisory Board Resigned. Washington, DC	Non Profit, set up to govern transition	Board Member	7/08	1/19/09
3	Level 3. Resigned Broomfield CO	Public Corporation	Group Vice President Corporate Development	9/98	1/12/09
4	Mindpspeed Corporation. Resigned Newport Beach CA	Public Corporation	Board Member	5/2004	1/18/09
5	Mobile Satellite Ventures, Washington DC. Resigned	Private Corporation	Board Member	12/2001	11/2008
6					

Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None ☐

	Source (*Name and Address*)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	Level 3 Communciations, Broomfield CO	Employee leading Corporate Development and Strategy efforts
2	Mindspeed Corporation, Newport Beach, CA	Board member
3	Gips Management, Washington, DC	President of Family Partnership
4	Obama Transition	Board member and staff member
5		
6		

Attachment 1

Level 3--Option summary

Grant Date	Initial Strike Price	Awards Outstanding	Expiration Date
7/1/2005	$2.03	5,749	7/1/2009
10/1/2005	$2.32	5,879	10/1/2009
1/1/2006	$2.87	23,000	1/1/2010
4/1/2006	$5.18	29,900	1/11/2010
7/1/2006	$4.44	23,000	1/11/2010
10/1/2006	$5.39	23,000	1/11/2010

Attachment 2

MetLife Moderate Allocation Portfolio Allocations
(estimated percentages)

Western Asset Management U.S. Government Portfolio	2%	EIF
PIMCO Inflation Protected Bond Portfolio	1%	EIF
PIMCO Total Return Portfolio	21%	EIF
Western Asset Management Strategic Bond Opportunities Portfolio	10%	EIF
BlackRock High Yield Portfolio	1%	EIF
Lord Abbett Bond Debenture Portfolio	2%	EIF
Harris Oakmark International Portfolio	3%	EIF
Julius Baer International tock Portfolio	4%	EIF
Met/Dimensional International Small Company	2%	EIF
Van Eck global Natural Resources Portfolio	1%	EIF
BlackRock Large Cap Core Portfolio	3%	EIF
BlackRock Large Cap Value Portfolio	6%	EIF
Davis Venture Value Portfolio	7%	EIF
Jennison Growth Portfolio	4%	EIF
Legg Mason Value Equity Portfolio	3%	EIF
MetLife Stock Index Portfolio	5%	EIF
T. Rowe Price Large Cap Growth Portfolio	4%	EIF
Clarion Global Real Estate Portfolio	1%	EIF
FI Mid Cap Opportunities Portfolio	2%	EIF
Lazard Mid-Cap Portfolio	3%	EIF
Neuberger Berman Mid Cap Value Portfolio	3%	EIF
Dreman Small-Cap Portfolio	3%	EIF
MET/AIM Small Cap Growth Portfolio	2%	EIF
Russell 2000 Index Portfolio	2%	EIF

Attachment 3

Mindspeed--Option Summary

Grant Date	Initial Strike Price	Awards Outstanding	Expiration Date
5/7/2004	$23.40	8000	5/7/2014
3/24/2005	$13.50	4000	2/24/2015
3/7/2006	$16.90	4000	3/7/2016
3/5/2007	$10.55	4000	3/5/2017
4/7/2018	$3.05	4000	4/7/2018