SF278 (Rev. 03/2000)
5 C.F.R Part 2634
U.S. Office of Government Ethics

Form Approved
OMB No. 3209-0001

# Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

| Date of Appointment, Candidacy, Election or Nomination (*Month, Day, Year*) | Reporting Status (*Check appropriate boxes*) | Incumbent [ ] | Calendar Year Covered by Report | New Entrant, Nominee, or Candidate [X] | Termination Filer [ ] | Termination Date (*If Applicable*) (*Month, Day, Year*) |
|---|---|---|---|---|---|---|
| | | | | | | |

| | | |
|---|---|---|
| Reporting Individual's Name | Last Name: Panetta | First Name and Middle Initial: Leon E. |
| Position for Which Filing | Title of Position: Director | Department or Agency (*If Applicable*): Central Intelligence Agency |
| Location of Present Office (or forwarding address) | Address (*Number, Street, City, State, and ZIP Code*): California State University Monterey Bay, 100 Campus Center, Building 86-E, Seaside, CA 93956 | Telephone No. (*Include Area Code*): (831)582-4200 |
| Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above) | Title of Position(s) and Date(s) Held | |
| Presidential Nominees Subject to Senate Confirmation | Name of Congressional Committee Considering Nomination: Senate Select Committee on Intelligence | Do You Intend to Create a Qualified Diversified Trust? [ ] Yes [X] No |

| | Signature | Date (*Month, Day, Year*) |
|---|---|---|
| **Certification** I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge. | Signature of Reporting Individual: [signature] | 1/23/09 |
| **Other Review** (If desired by agency) | Signature of Other Reviewer: [signature] | 26 JAN 09 |
| **Agency Ethics Official's Opinion** On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below). | Signature of Designated Agency Ethics Official/Reviewing Official: [signature] | 1/26/09 |
| **Office of Government Ethics Use Only** | Signature: [signature] | 1/30/09 |

Comments of Reviewing Officials (*If additional space is required, use the reverse side of this sheet*)

(*Check box if filing extension granted & indicate number of days* ________) [ ]

(*Check box if comments are continued on the reverse side*) [ ]

## Fee for Late Filing

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period shall be subject to a $200 fee.

## Reporting Periods

**Incumbents:** The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

**Termination Filers:** The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

**Nominees, New Entrants and Candidates for President and Vice President:**

**Schedule A**--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

**Schedule B**--Not applicable.

**Schedule C, Part I** (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

**Schedule C, Part II** (Agreements or Arrangements)-- Show any agreements or arrangements as of the date of filing.

**Schedule D**--The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

Agency Use Only

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Reporting Individual's Name: Leon Panetta

# SCHEDULE A



**Assets and Income** — BLOCK A

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual acount of any honoraria over $200 of your spouse).

None ☐

**Valuation of Assets** at close of reporting period — BLOCK B

**Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C (Type; Amount)

| # | Assets and Income | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000 * | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Examples | Central Airlines Common | | | | x | | | | | | | | | | | | x | | | | | | x | | | | | | | | | | |
| | Doe Jones & Smith, Hometown, State | | | x | | | | | | | | | | | | | | | | | | | | | | | | | | | | Law Partnership Income $130,000 | |
| | Kempstone Equity Fund | | | | | x | | | | | | | | x | | | | | | | | | | x | | | | | | | | | |
| | IRA: Heartland 500 Index Fund | | | | | | | x | | | | | | x | | | | | | | | | | | x | | | | | | | | |
| 1 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3 | Bond: Caterpillar Fin | | | X | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | |
| 4 | Bond: Genl Elec Cap Cp | | | X | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | |
| 5 | GNMA | | | X | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| 6 | GNMA II | | | X | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |

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Prior Editions Cannot be Used.

Reporting Individual's Name: Leon Panetta

# SCHEDULE A continued

(Use only if needed)

Page Number: 3

**Assets and Income** — BLOCK A

**Valuation of Assets** at close of reporting period — BLOCK B

**Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

| # | Assets and Income (BLOCK A) | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000 * | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type: Dividends | Type: Rent and Royalties | Type: Interest | Type: Capital Gains | Amount: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | GNMA II | | | X | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| 2 | GNMA II | | | X | | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | |
| 3 | GNMA II | | | X | | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | |
| 4 | Bond: Goldman Sachs | | | X | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| 5 | Bond: Goldman Sachs | | | | X | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | |
| 6 | Bond: Merrill Lynch | | | | X | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | |
| 7 | Bond: Merrill Lynch | | | X | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| 8 | Bond: Motorola Inc | | | X | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| 9 | Bond: Unilever Cap Co | | | | X | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | |

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Reporting Individual's Name: Leon Panetta

# SCHEDULE A continued
(Use only if needed)



**Assets and Income** (BLOCK A) — **Valuation of Assets** at close of reporting period (BLOCK B) — **Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)

None ☐

| # | Assets and Income | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000 * | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type: Dividends | Type: Rent and Royalties | Type: Interest | Type: Capital Gains | Amount: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | Discover Bank CD | | | | X | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | |
| 2 | Morgan Stanley CD | | | | X | | | | | | | | | | | | | | | | X | | | | | | | | | | | | |
| 3 | American Century Intl Bond Fd | | | X | | | | | | | | | | X | | | | | | | | | | X | | | | | | | | | |
| 4 | Artio Intl Equity Fd | | | | X | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | |
| 5 | Bjurman Barry Micro Cap Growth Fd | | X | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | |
| 6 | Dodge & Cox Income Fd | | | | | X | | | | | | | | X | | | | | | | | | | | X | | | | | | | | |
| 7 | Dodge & Cox Stock Fd | | | | | X | | | | | | | | X | | | | | | | | | | | X | | | | | | | | |
| 8 | Federated Capital Appreciation Fd Cl A | | X | | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| 9 | Harding Loevner Emerging Markets | | X | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | |

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Reporting Individual's Name: Leon Panetta

# SCHEDULE A continued

(Use only if needed)

Page Number: 5

| Assets and Income (BLOCK A) | Valuation of Assets at close of reporting period (BLOCK B) | | | | | | | | | | | | | | | Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C) — Type | | | | Amount | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| None ☐ | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000 * | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
| 1 Janus Growth & Income Fd | | | | | X | | | | | | | | X | | | | | | | | | X | | | | | | | | | | |
| 2 Loomis Sayles Bond Fd Cl R | | | | | X | | | | | | | | X | | | | | | | | | | | | X | | | | | | | |
| 3 Meridian Growth Fd | | | X | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | |
| 4 Oakmark Global Fd Cl I | | | | X | | | | | | | | | X | | | | | | | | | | X | | | | | | | | | |
| 5 Rainer Small/Mid Cap Equity Portfolio | | X | | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| 6 Royce Premier Fd | | | X | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | |
| 7 Schwab Core Equity Fd | | | | X | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| 8 Vanguard Inflation Protected Sec Fd | | | | | X | | | | | | | | X | | | | | | | | | | | X | | | | | | | | |
| 9 FHLB CD | | | X | | | | | | | | | | | | | | | X | | | | | X | | | | | | | | | |

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Reporting Individual's Name: Leon Panetta

# SCHEDULE A continued
(Use only if needed)

Page Number: 6

**Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

BLOCK A: Assets and Income — None [ ]
BLOCK B: Valuation of Assets at close of reporting period
BLOCK C: Income — Type / Amount

| # | Assets and Income (BLOCK A) | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type: Dividends | Type: Rent and Royalties | Type: Interest | Type: Capital Gains | Amount: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | Schwab Cash Reserves | | | | | X | | | | | | | | X | | | | | | | | | X | | | | | | | | | | |
| 2 | Schwab Value Advantage Money Fund | | | | X | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | |
| 3 | Leon Panetta & Associates, Monterey, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Salary Spouse | |
| 4 | Catholic Healthcare West healthcare summit | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Honorarium $35,000 | 2/12-13/08 |
| 5 | Zicklin School of Business @ Baruch College, the Wharton School & Center for Political Accountability | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Honorarium $20,000 | 2/28/08 |
| 6 | Commonfund (arranged by Washington Speakers Bureau) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Honorarium $28,000 | 3/10/08 |
| 7 | Merrill Lynch (arranged by Washington Speakers Bureau) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Honorarium $28,000 | 4/29/08 |
| 8 | Carlyle Group (arranged by Washington Speakers Bureau) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Honorarium $28,000 | 9/9/08 |
| 9 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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Reporting Individual's Name: Leon Panetta

# SCHEDULE A continued
(Use only if needed)



| # | Assets and Income (BLOCK A) — None ☐ | Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000 * | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C) Type: Dividends | Rent and Royalties | Interest | Capital Gains | Amount: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | American Association of Universities | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Honorarium $1,500 | 10/20/08 |
| 2 | California League of Credit Unions (arranged by Washington Speakers Bureau) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Honorarium $20,000 | 11/6/08 |
| 3 | LECG | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Honorarium $25,000 | 11/14/08 |
| 4 | Bay Area Bioscience Association | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Honorarium $10,000 | 1/18/08 |
| 5 | California Forward | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Director's Fees $15,000 | |
| 6 | California State University Office of the Chancellor | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Consulting Fees $150,000 | |
| 7 | San Francisco Chronicle | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Honorarium $300 | 11/14/08 |
| 8 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 9 | Meridian Institute | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Director's Fees $25,000 | |

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Prior Editions Cannot be Used.

Reporting Individual's Name: Leon Panetta

# SCHEDULE A continued
(Use only if needed)



Assets and Income — BLOCK A | Valuation of Assets at close of reporting period — BLOCK B | Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

| # | Assets and Income (BLOCK A) | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000 * | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type: Dividends | Type: Rent and Royalties | Type: Interest | Type: Capital Gains | Amount: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | Wachovia Corporation (arranged by Washington Speakers Bureau) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Honorarium $28,000 | 10/30/08 |
| 2 | Merrill Lynch (arranged by Washington Speakers Bureau) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Honorarium $28,000 | 10/11/08 |
| 3 | Monterey County Herald | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Writing Column $1,333 | |
| 4 | Fleishman Hillard | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Director's Fees $120,000 | |
| 5 | Corinthian Colleges, Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Director's Fees $11,500 | |
| 6 | BP Corporation North America, Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Director's Fees $125,000 | |
| 7 | Blue Shield of California | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Director's Fees $93,250 | |
| 8 | Commonwealth Club | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Director's Fees $15,000 | |
| 9 | Zenith National Insurance Corp. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Director's Fees $170,000 | |

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Reporting Individual's Name: Leon Panetta

# SCHEDULE A continued

(Use only if needed)



**Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

| # | Assets and Income (BLOCK A) None ☐ | Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000 * | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Income Type (BLOCK C): Dividends | Rent and Royalties | Interest | Capital Gains | Income Amount: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | Pacific Maritime | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Governmental Advisor Fee $60,000 | |
| 2 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3 | Bank of America accounts: checking, savings & CDs | | | X | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| 4 | Santa Clara University, Santa Clara, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Salary $50,000 | |
| 5 | Pacific Capital Bank CD | | | X | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | |
| 6 | 1/2 interest of commercial office building, Monterey, CA | | | | | X | | | | | | | | | | | | X | | | | | | | X | | | | | | | | |
| 7 | IDT Restricted Stock Units 2,666 | X | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | | | | |
| 8 | Inns of Monterey LTD & Inns of Cannery R Monterey, CA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Director's Fee $5,000 | |
| 9 | Zenith Insurance Corp. Common Stock | | | | | X | | | | | | | | | | | X | | | | | | | X | | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

Reporting Individual's Name: Leon Panetta

# SCHEDULE A continued

(Use only if needed)



**Assets and Income** — BLOCK A

**Valuation of Assets** at close of reporting period — BLOCK B

**Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

| # | Assets and Income (BLOCK A) | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000 * | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type: Dividends | Type: Rent and Royalties | Type: Interest | Type: Capital Gains | Amount: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | Zenith National Insurance Corp. Restricted Stock | | | | X | | | | | | | | | | | | X | | | | | | | | X | | | | | | | | |
| 2 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

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**Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate**

| Reporting Individual's Name | SCHEDULE B | Page Number 11 |
|---|---|---|

## Part I: Transactions

None ☐

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

| | Identification of Assets | Transaction Type (x): Purchase | Sale | Exchange | Date (Mo., Day, Yr.) | Amount of Transaction (x): $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Certificate of divestiture |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Example: | Central Airlines Common | x | | | 2/1/99 | | | x | | | | | | | | | |
| 1 | | | | | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | | | |

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

## Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $260; and (2) travel-related cash reimbursements received from one source totaling more than $260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $104 or less. See instructions for other exclusions.

None ☐

| | Source *(Name and Address)* | Brief Description | Value |
|---|---|---|---|
| Examples: | Nat'l Assn. of Rock Collectors, NY, NY | Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty) | $500 |
| | Frank Jones, San Francisco, CA | Leather briefcase (personal friend) | $300 |
| 1 | | | |
| 2 | | | |
| 3 | | | |
| 4 | | | |
| 5 | | | |

Prior Editions Cannot Be Used.

| Reporting Individual's Name | | Page Number |
|---|---|---|
| Leon Panetta | **SCHEDULE C** | 12 |

## Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None [X]

| | Creditors (Name and Address) | Type of Liability | Date Incurred | Interest Rate | Term if applicable | $10,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Examples: | First District Bank, Washington, DC | Mortgage on rental property, Delaware | 1991 | 8% | 25 yrs. | | | x | | | | | | | | |
| | John Jones, 123 J St., Washington, DC | Promissory note | 1999 | 10 % | on demand | | | | | x | | | | | | |
| 1 | | | | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | | |

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

## Part II: Agreements or Arrangements

Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g. 401k, deferred compensation; (2) continuation payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits

None [ ]

| | Status and Terms of any Agreement or Arrangement | Parties | Date |
|---|---|---|---|
| Example: | Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00. | Doe Jones & Smith, Hometown, State | 7/85 |
| 1 | Leon E. Panetta 401(k) Profit Sharing Plan: I will retain my investments through this plan. All investments are disclosed on Schedule A. | Leon E Panetta 401k Profit Sharing Plan | 1/1/05 |
| 2 | Restricted stock: all IDT restricted stock will vest in the next 90 days | IDT Corporation, Newark, NJ | 1/5/05 |
| 3 | Restricted stock: following resignation, Zenith will accelerate the vesting of my restricted stock | Zenith Insurance Company, Woodland Hills, CA | 5/00 |
| 4 | | | |
| 5 | | | |
| 6 | | | |

| Reporting Individual's Name | | Page Number |
|---|---|---|
| Leon Panetta | **SCHEDULE D** | 13 |

## Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

| | Organization (*Name and Address*) | Type of Organization | Position Held | From (Mo., Yr.) | To (Mo., Yr.) |
|---|---|---|---|---|---|
| Examples: | Nat'l Assn. of Rock Collectors, NY, NY | Non-profit education | President | 6/92 | Present |
| | Doe Jones & Smith, Hometown, State | Law firm | Partner | 7/85 | 1/00 |
| 1 | Santa Clara University, Santa Clara, CA | University | Presidential Professor | 9/99 | present |
| 2 | CA State University Office of the Chancellor | University | Distinguished Scholar | 4/97 | present |
| 3 | Joint Ocean Commission Initiative, Washington, DC | Non-profit | Commissioner & Co-Chair | 12/96 | present |
| 4 | Leon & Sylvia Panetta Institute for Public Policy, Monterey, CA | Non-profit | Co-Director | 12/98 | present |
| 5 | Blue Shield of California, San Francisco, CA | Health insurance | Director | 6/01 | present |
| 6 | Connetics, Palo Alto, CA | Technology firm | Director | 9/00 | 12/06 |

## Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

**Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate**

None ☐

| | Source (*Name and Address*) | Brief Description of Duties |
|---|---|---|
| Examples: | Doe Jones & Smith, Hometown, State | Legal services |
| | Metro University (client of Doe Jones & Smith), Moneytown, State | Legal services in connection with university construction |
| 1 | CA State University Office of the Chancellor, Santa Clara, CA | Consulting services |
| 2 | Fleischman-Hillard, Inc., Washington, DC | Director services |
| 3 | Washington Speakers Bureau, Washington, DC | Speaking serviceses |
| 4 | IDT Corporation, Newark, NJ | Director services |
| 5 | CA Physician Service dba Blue Shield of California<br>San Francisco, CA | Director services |
| 6 | Zenith National Insurance Co., Woodland Hills, CA | Director services |

Reporting Individual's Name: Leon Panetta

# SCHEDULE D



## Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.**

None ☐

| | Organization (*Name and Address*) | Type of Organization | Position Held | From (Mo., Yr.) | To (Mo., Yr.) |
|---|---|---|---|---|---|
| Examples: | Nat'l Assn. of Rock Collectors, NY, NY | Non-profit education | President | 6/92 | Present |
| | Doe Jones & Smith, Hometown, State | Law firm | Partner | 7/85 | 1/00 |
| 1 | Fleishman-Hillard, Washington, DC | Public relations firm | Internation Advisory Board member | 4/98 | present |
| 2 | Corinthian Colleges, Inc., Santa Ana, CA | Private college | Director | 9/08 | present |
| 3 | BP Corporation North America, Inc., Houston, TX | Enery firm | Advisory Board member | 11/05 | present |
| 4 | Zenith Insurance Company, Wiidland Hills, CA | Insurance firm | Director | 5/00 | present |
| 5 | California Forward, Sacramento, CA | Non-profit | Co-chairman | 12/07 | present |
| 6 | Leon Panetta & Associates, Monterey, CA | Sole proprietorship | Sole Proprietor | 12/98 | present |

## Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

**Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate**

None ☐

| | Source (*Name and Address*) | Brief Description of Duties |
|---|---|---|
| Examples: | Doe Jones & Smith, Hometown, State | Legal services |
| | Metro University (client of Doe Jones & Smith), Moneytown, State | Legal services in connection with university construction |
| 1 | Pacific Maritime Association, San Francisco, CA | Government advisor services |
| 2 | Santa Clara University, Santa Clara, CA | Teaching and speaking services |
| 3 | Genentech, Inc., San Francisco, CA | Speaking services |
| 4 | Assoc of CA Life & Health Insurance Companies Sacramento, CA | Speaking services |
| 5 | Meridian Institute, Washington, DC | Consulting services |
| 6 | BP Corporation North America, Inc., Houston, TX | Director services |

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| Reporting Individual's Name | | Page Number |
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| Leon Panetta | **SCHEDULE D** | 15 |

## Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

| | Organization (*Name and Address*) | Type of Organization | Position Held | From (Mo., Yr.) | To (Mo., Yr.) |
|---|---|---|---|---|---|
| Examples: | Nat'l Assn. of Rock Collectors, NY, NY | Non-profit education | President | 6/92 | Present |
| | Doe Jones & Smith, Hometown, State | Law firm | Partner | 7/85 | 1/00 |
| 1 | Public Policy Institute of CA, San Francisco, CA | Non-profit organization | Director (uncompensated) | 8/04 | present |
| 2 | Aspen Rodel Fellowship Pogram, Washington, DC | Non-profit organization | Member, National Advisory Council (uncompensated) | 2/05 | present |
| 3 | Big Sur Land Trust - Marks Ranch Advisory Committee, Carmel, CA | Non-profit organization | Co-chair (uncomensated) | 2/05 | 5/07 |
| 4 | California Foundation on the Environment and the Economy San Francisco, CA | Non-profit organization | Director (uncompensated) | 1/00 | present |
| 5 | Campaign for the Civic Mission of Schools - National Avisory Committee | Non-profit organization | Trustee (uncompensated) | 8/08 | present |
| 6 | Children's Neurobiological Solutions, Santa Barbara, CA | Non-profit organization | Member, Board of Advisors (uncompensated) | 1/01 | present |

## Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

**Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate**

None ☐

| | Source (*Name and Address*) | Brief Description of Duties |
|---|---|---|
| Examples: | Doe Jones & Smith, Hometown, State | Legal services |
| | Metro University (client of Doe Jones & Smith), Moneytown, State | Legal services in connection with university construction |
| 1 | Catholic Healthcare West, San Francisco, CA | Speaking services |
| 2 | Baruch College, New York, NY | Speaking services |
| 3 | Commonfund, Wilton, CT | Speaking services |
| 4 | Merrill Lynch, New York, NY | Speaking services |
| 5 | Carlyle Group, Washington, DC | Speaking services |
| 6 | Commonwealth Club, San Francisco, CA | Director services |

| Reporting Individual's Name | | Page Number |
|---|---|---|
| Leon Panetta | **SCHEDULE D** | 16 |

## Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

| | Organization (*Name and Address*) | Type of Organization | Position Held | From (Mo., Yr.) | To (Mo., Yr.) |
|---|---|---|---|---|---|
| Examples: | Nat'l Assn. of Rock Collectors, NY, NY | Non-profit education | President | 6/92 | Present |
| | Doe Jones & Smith, Hometown, State | Law firm | Partner | 7/85 | 1/00 |
| 1 | | | | | |
| 2 | Inns of Monterey LTD & Inns of Cannery Road LTD, Monterey, CA | Hotel association | Director | 1/03 | present |
| 3 | Committee for a Responsible Budget, Washington, DC | Bi-Partisan Committee | Member (uncompensated) | 4/00 | present |
| 4 | Meridian Insitute, Dillon, CO | Non-profit organization | Director | 4/08 | present |
| 5 | Pacific Maritime Association, San Francisco, CA | Non-profit organization | Governmental Advisor | 4/98 | present |
| 6 | Bread for the World, Washington, DC | Non-governmental organization | Director (uncompensated) | 2001 | present |

## Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

**Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate**

None ☐

| | Source (*Name and Address*) | Brief Description of Duties |
|---|---|---|
| Examples: | Doe Jones & Smith, Hometown, State | Legal services |
| | Metro University (client of Doe Jones & Smith), Moneytown, State | Legal services in connection with university construction |
| 1 | American Association of Universities, Washington, DC | Speaking services |
| 2 | California League of Credit Unions, Fresno, CA | Speaking services |
| 3 | LECG, Emeryville, CA | Speaking services |
| 4 | Bay Area Bioscience Association, San Franscisco, CA | Speaking services |
| 5 | California Forward, San Franscisco, CA | Speaking services |
| 6 | Roger Williams University, Bristol, RI | Speaking services |

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| Reporting Individual's Name | | Page Number |
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| Leon Panetta | **SCHEDULE D** | 17 |

## Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

| | Organization (*Name and Address*) | Type of Organization | Position Held | From (Mo., Yr.) | To (Mo., Yr.) |
|---|---|---|---|---|---|
| Examples: | Nat'l Assn of Rock Collectors, NY, NY | Non-profit education | President | 6/92 | Present |
| | Doe Jones & Smith, Hometown, State | Law firm | Partner | 7/85 | 1/00 |
| 1 | National Maritime Sanctuary Foundation, Silver Spring, MD | Non-governmental oranization | Director (uncompensated) | 2004 | present |
| 2 | Close-Up Foundation, Alexandria, VA | Non-profit education | Director (uncompensated) | 1999 | present |
| 3 | Junior Statesmen Foundation, Inc., San Mateo, CA | Non-profit organization | Trustee (uncompensated) | 4/03 | present |
| 4 | Santa Clara University, Santa Clara, CA | Academic organization | Member of Board of Trustees (uncompensated) | 2000 | present |
| 5 | Community Hospital of the Monterey Peninsula, Monterey, CA | Health care organization | Trustee (uncompensated) | 2000 | 2007 |
| 6 | Monterey Bay Acquarium, Monterey, CA | Non-profit organization | Director (uncompensated) | 2004 | 2008 |

## Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

**Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate**

None ☐

| | Source (*Name and Address*) | Brief Description of Duties |
|---|---|---|
| Examples: | Doe Jones & Smith, Hometown, State | Legal services |
| | Metro University (client of Doe Jones & Smith), Moneytown, State | Legal services in connection with university construction |
| 1 | Corinthian Colleges, Inc., Santa Ana, CA | Director services |
| 2 | Wachovia Corporation, Charlotte, NC | Speaking services |
| 3 | | |
| 4 | Northwestern University, Evanstown, IL | Speaking services |
| 5 | National Association of Children's Hospitals, San Francisco, CA | Speaking services |
| 6 | National Multi Housing Council, Half Moon Bay, CA | Speaking services |

Reporting Individual's Name: Leon Panetta

# SCHEDULE D



## Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

| | Organization (*Name and Address*) | Type of Organization | Position Held | From (Mo., Yr.) | To (Mo., Yr.) |
|---|---|---|---|---|---|
| Examples: | Nat'l Assn. of Rock Collectors, NY, NY | Non-profit education | President | 6/92 | Present |
| | Doe Jones & Smith, Hometown, State | Law firm | Partner | 7/85 | 1/00 |
| 1 | Center for the Study of Presidency, Washington, DC | Non-profit organization | Trustee (uncompensated) | 8/08 | present |
| 2 | Committee for Economic Development, Washington, DC | Non-profit organization | Member, Making Washington Work Steering Committee | 9/06 | present |
| 3 | Consortium for Ocean Leadership, Washington, DC | Non-profit organization | Trustee (uncompensated) | 4/08 | present |
| 4 | Council for Excellence in Government, Washington, DC | Non-profit organization | Principal (uncompensated) | 8/00 | present |
| 5 | Committee on Constitutional System, Washington, DC | Non-profit organization | Co-Chair (uncompensated) | 3/99 | present |
| 6 | | | | | |

## Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

**Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate**

None ☐

| | Source (*Name and Address*) | Brief Description of Duties |
|---|---|---|
| Examples: | Doe Jones & Smith, Hometown, State | Legal services |
| | Metro University (client of Doe Jones & Smith), Moneytown, State | Legal services in connection with university construction |
| 1 | LPL Financial Services, Boston, MA | Speaking services |
| 2 | Leading Authorities, Inc. f/s/t MPRI, San Mateo, CA | Speaking services |
| 3 | Union University, Jackson, TN | Speaking services |
| 4 | | |
| 5 | | |
| 6 | | |