## Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

U.S. Office of Government Ethics				
Date of Appointment Candidacy, Election or Nomination (Month, Day, Year)	Reporting Status  Clack  Clack  Clack  Covered by Report	New Entrant, Nominee:	Termination Date (If Apoli- cable ) (Month Day, Year)	Any individual who is required to
	appropriate boxes	or Candidale	Filer	file this report and does so more than
Reporting Individual's Name	Last Name визделей администривации мирения в при выправления при		Initial ###8744000##############################	
	Geithner	Timothy F.		last day of the filing extension period
Position for Which Filing	Title of Position streaments should be a second of the sec	The Department or Agency of	(If Applicable)	shall be subject to a \$200 fee.
	Secretary  Address (Number, Street, City, State, and ZIP Code)			Reporting Periods Incumbents: The reporting period is
Location of Present Office (or forwarding address)	33 Liberty Street, New York, New York 10045		212-720-6180	the preceding calendar year except to Part II of Schedule C and Part I of Schedule D where you must also
Position(s) Held with the Federal Government During the Preceding	Title of Position(s) and Date(s) Held and a superior and the superior and		<u>म्बर्गामाम् स्टरीकृतं स्तराहः सुर्वास्त्राम् स्थापकृत्यान्त्रम् । स्तराहरू स्वतान्त्रम् स्थापकृत्याः स्थापकृत</u>	
12 Months (If Not Same as Above)	President & Chief Executive Officer, Federal Reserve	Bank of New York (1	11/03-01/09)	applicable.
Presidential Nominees Subject to	Name of Congressional Committee Considering Normation	Do:You Intend to Creat	e a Qualified Diversified Trust? ************************************	Termination Filers: The reporting period begins at the end of the period
Seaste Confirmation	Senate Finance Committee	Yes	X <sub>N</sub> o.	covered by your previous filing and ends at the date of termination. Part II
I CERTIFY that the statements I have	Signature of Reporting Individual management and approximation of Reporting Individual management and approximation of the control of the con	anangiyang teraman din mender	Date (Month, Days 1ear)	Nominees New Entrants and
made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	gmod		1.12.09	Candidates for President and Vice President; Schedule A—The reporting period for
	Signature of Other Reviewer as a communication of the state of the sta	isesami kanangunushingsi	Date (Month: Day: Year )	
Other Review: (If desired by, agency)	Shire bain Marke		1/13/09	year up to the date of filing. Value assets as of any date you choose that is within 1 (days of the date of flling.
Agency Ethics Official's Opinion On the basis of information contained	Signature of Designated Agency Ethics Official/Reviewing Officia	A STANDARD OF THE STANDARD OF	Date (Month: Day: Year ) Date (Month: Day: Year )	Schedule BNot applicable.
in this report conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below)	Bourse & Veiner	1.h	1/3/09	Schedule C. Part I (Liabilities)— The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is
Office of Government Ethics	Signature unesety statement of the Vinter Vinter of the Vi	nagyarian galaktar anchilisti.	Date (Months Days Year )	
Use Only	But A Curules	and the state and and the state of the state	(//3/09	Schedule C Part II (Agreements or Arrangements) Show any agreements
Comments of Reviewing Officials (If additional addition	onal space is required; use the reverse side of this sheet) 神神神神神神神神神神神神神神神神神神神神神神神神神神神神神神神神神神神		indicate number of days :)	or arrangements as of the date of filing.
	(Check out y)	ing extension granted &	muncuse number of days	Schedule D-The reporting period is
All access and income received from	n the Federal Reserve Bank of New York are voluntarily	renorted and not re	equired to be reported because the	the preceding two calendar years and the current calendar year up to the
				date of filing
I Sor Crawes on 1	,,,,,,		ents are continued on the reverse side)	JAN 13 2009

U.S. Office of Covernment Ethics Reporting listividual's Name SCHEDULE A Timothy F. Geilhner Income: type and amount. If "None (or less than \$201)" is checked, no Valuation of Assets Assets and Income other entry is needed in Block C for that item. at close of reporting period BLOCK B BLOCK A BLOCK C For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the report. Amount Type ing period, or which generated more than \$200. Other Date Income (Mo. Day. in income during the reporting period-together Yr.) (Specify with such income. Type & For yourself, also report the source and actual amount of carned income exceeding \$200 (other than from the U.S. Government). For your spouse report the source but not the amount of earned Actual Amount) Only if Honoruria income of more than \$1,000 (except report the actual acount of any honoraria over \$200 of your spouse). None Central Airlines Common Examples Doe Jones & Smith, Hometown, State Kempsione Equity Fund IRA: Heartland 500 Index Fund 1 1801 2 Fidelity Sparten Total Market Index Investor Class (Rollover IRA) Fidelity Spartan 500 Index Investor Class (Rollover IRA) Fidelity Municipal Money MRI Account 5 Fidelity Spartan Total Mkt Index Investor Class Citibank Checking and Savings Accounts This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is without that of the filer or jointly field by the filer with the spouse or dependent children. mark the other higher categories of value, as appropriate.

SF278 (Rev. 03/2000) 5 C.F.M. Part 2634

U.S. Office of Government Educa Reporting Individual's Name 'ago Number SCHOOL OF THE STREET Timothy F. Gelliner 3 (Use only if needed) Assets and Income Valuation of Assets Income: type and amount "If." None (or less than \$201); is checked, he at close of other entry is needed in Block C for that item. reporting period BLOCKA BLOCK B BLOCKC Amount \$11,001 | \$15,050 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1000 | \$1 Other Date income (Mo. Day (17r.) (Specify Type & Only if Actual Oyer \$1,000,000\* Over \$5,000,000 Amount) Honorana 1 Artisan International Investor Class (Rollover IRA) OakMark Fund (Rollover IRA) 3 OakMark International (Röllover IRA) TransAmerica PIMCO Total Return (Rolloyer IRA) Jenus High Yield Bond (Rollover IRA) Vanguard Short Term Federal (Rollover IRA) Neuberger Berman Guardian (UTMA) Investor Class s Fidelity 529 College Savings Account - NH Portfolio 2009 Oakmark Fund (Keogh) This enterior applies only if the asset/income is solely that of the files's spouse or dependent children. If the asset/income is cittler that of the files or jointly held by the files with the spouse or dependent. children, mark the other higher categories of value, as appropriate.

JCFR Part 2614
U.S. Office of Government Ethics

Reporting Individual's Name SCHEDULE A continued Timothy F. Geithner (Use only if needed) Assets and Income Valuation of Assets Income: type and amount. If "None (or less than \$201)" is checked, no at close of other entry is needed in Block C for that item. reporting period BLOCK A BLOCK B BLOCK C Amount Other Date Income (Mo. Day (Specify Yrj Type & Actual Onlyif Over \$5,000,000 Amount) Honoraria None 1 Melion - New York's 529 College Savings Program: Direct Plan Fidelity Cash Reserves 3 Real Property (residential) Orleans, MA (Part Interest) 4 Fidelity 529 College Savings Account NH Portfolio 2012 5 Mellon, New Yorks 529 College Savings Program: Direct Plan s: Federal Reserve Bank of New York Wages \$411,200 Federal Reserve Bank of New York \$434,668 Severance Payment # Federal Reserve Bank of New York \$63,111 Pension - Portable Cash Option (defined benefit plan) a Spouse's income **Wages** (self-employed therapist) This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children. mark the other higher categories of value, as appropriate.

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Reporting Individual's Name Timothy F. Geithner				SCHEDULE	B									Page 1	lumber			
Part E Transaction									Молк									
Report any purchase, sale, or exc or dependent children during the	reporting period of any real	report a transaction in personal tesidence, or	a transaction so	lely between you.		ansact Type (>						Amoun	of Tra	nsaction	(x)			
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For you, your spouse and dep tion, and the value of (1) gift	endent children, report the s	ource a brief descrip						nment giv elatives: r										
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SF 278 (Rev. 03/2000) 5 C.F.R Part 2634 U.S. Office of Government Ethics Reporting Individual's Name

Timothy F. Geithner	SCHEDU	LEC				age number	6
Part I: Liabilities Report liabilities over \$10,000 owed to any one creditor at	personal residence unless it is rented out, loans secured.	None	x I		legory of Amou		
any time during the reporting period by you, your spouse- or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your	by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.	incurred Rate a	rm if	000 ( 000) (000)	80 00 00 00 00 00 00 00 00 00 00 00 00 0	2000'00	000'000 1001'000 1001'000
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This category applies only if the liability is solely that of twith the spouse or dependent children, mark the other high Part II: Agreements or Arrangemet Report your agreements or arrangements for: continuemployee benefit plan (e.g. 401k; deterred compenses payment by a former employer fincluding severance.	nts  uing participation in an  ation; (2) continuation	the filer or a joint liability of the filer or a joint liability of the file of absence; and (4) full of negotiations for any	ure employn			ding the r	eporting:
	of any Agreement or Arrangement		Doe Jones &	Pai E Smith, Hometo Reserve, New	rties wn: State		7/8 11/
(no further contributions are being made by the er Federal Reserve defined benefit plan. (lump sum payment to be made following termina)	ation of employment)		Foderal R	eserve, New			11/
Federal Reserve unused accrued vacation and co (lump sum payment to be made following terminal)		termination of employment	Federal R	eserve, New '	York, New Yo	rk	1/0
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Reporting Individual's Name Timothy F. Geithner	SCHEDULE D		Page Number	7
Part I: Positions Held Outside U.S. Government  Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, musice, general partner, proprietor, representative, employee, or	consultant of any corporation, firm, partner non-profit organization or educational instructional fraternal or political entities and the	tution. Exclude positions with religious	None	
Organization (Name and Address)  Examples: Doc-Jones & Smith, Hometown, State  1 Center for Global Development	Type of Organization  Non-profit education  Law from  Non-profit think tank	President Partner Board Member	From (Mol., Yr.) 6/92 (Mol., Yr.) 6/92 (Mol., Yr.) 06/03	To (Mo., Yr.)
2 The Economic Club of New York	Civic discussion forum	Trustee	12/04	Present
3 The RAND Corporation 4 The Partnership for NYC	Non-profit think tank  Civic organization	Trustee Ex-officio Board Member	4/06 6/06	Present Present
5 National Academy Foundation	Civic organization	Board Member/Director	6/06	Present
8 International Rescue Committee	Non-profit relief organization	Overseer	4/07	Present
Part II: Compensation In Excess Of \$5,000 Paid by One Soul Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any.	corporation, firm, partnership, or other bus organization when you directly provided th of more than \$5,000. You need not report.	e services generating a fec or payment	if you are at Termination Vice Presid	ential tial Candidate
Source (Name and Address)  Doe Jones & Smith, Hometown, State  Examples:  Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services  Legal services in connection with university c		karaterakan karatarken en Majalakan pertekaran Majalakan karatarkan karatar	ologias parto compositorio Signi esperimenta parto espe Signi esperimenta parto esperimenta parto esperimenta parto esperimento esperimenta parto esperimenta parto e
Federal Reserve Bank of New York	President and CEO services			2
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Reporting Individual's Name Timothy F. Geithner	SCHEDUJED		Page Number	В
Part 1: Positions/Held Outside U.S. Government Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or	consultant of any corporation, firm, partne non-profit organization or educational inst social, fraternal or political entities and th	itution. Exclude positions with religious	None	
Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo. Yr.)
Examples: Doe lones & Smith; Hometown; State	Non-profit education	President Sphrough Strange Sphrough	6/92 19 19 19 19 19 19 19 19 19 19 19 19 19	Present
Trilateral Commission	International Policy Discussion Group	Member Member	8/08	Present
2 Council on Foreign Relations	Non-partisan think tank	Member	1996	Present
3 Group of Thirty	Private International think tank	Member	12/03	Present
4 Bank for International Settlements	Forum for Central Banks	Director	12/03	Present
5 New York State Commission to Modernize Financial Services	NY State sponsored commission	Member	9/07	Present
6 Peterson Institute for International Economics	Private non-partisan research institution	Director	01/08	Present
Part II: Compensation in Excess Of S5,000 Paid by One Sou Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any  Source (Name and Address)  Examples:    Doe Jones & Smith; Hometown; State	corporation, firm, parthership, or other bus organization when you directly provided it of more than \$5,000. You need not report	ie services generating a fee or payment; the U.S. Government as a source.  Brief Description of Duties	If you are a Termination Vice Presid or Presiden Nonc	ential tial Candidate
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Mr. Bernard J. Knight, Jr.
Assistant General Counsel for General Law, Ethics & Regulation and Designated Agency Ethics Official
U.S. Department of the Treasury
1500 Pennsylvania Ave, NW
Washington, DC 20220

Dear Mr. Knight:

The purpose of this letter is to inform you of the steps that I will take to avoid any actual or apparent conflicts of interest in the event that I am confirmed for the position of Secretary of the Treasury.

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter that has a direct and predictable effect on my financial interests or those of any person whose interests are imputed to me, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

Before I assume the duties of the position of Secretary of the Treasury, I will divest my interests in the following entities: Transamerica PIMCO Total Return Fund; Vanguard Short-Term Federal Fund; Fidelity 529 College Savings Account – NH Portfolio 2009; Fidelity 529 College Savings Account – NH Portfolio 2012; Mellon New York's 529 College Savings Program – Direct Plan; Federal Reserve Fixed Income Fund; and the Federated Fund for U.S. Government Securities. As required by 31 U.S.C. § 329(a)(1)(D), I will not be involved in buying or disposing of obligations of a State or the United States during my appointment to the position of Secretary of the Treasury.

Upon confirmation, I will resign from my positions as President and Chief Executive Officer of the Federal Reserve Bank of New York. Pursuant to advice from the U.S. Office of Government Ethics, you have indicated that the Federal Reserve Bank of New York is a Federal entity for purposes of Federal ethics laws and regulations. Therefore, in consultation with the U.S. Office of Government Ethics, you have advised me that I will not need to recuse from

Mr. Bernard J. Knight, Jr.
Deputy Assistant General Counsel (General Law & Ethics)
and Designated Agency Ethics Official
Page 2

particular matters involving specific parties in which the Federal Reserve Bank of New York is a party or represents a party, under either 5 C.F.R. § 2635,502 or 5 C.F.R. § 2635,503.

Upon confirmation I also will resign from my positions with the following entities: the Center for Global Development (Board Member); the Economic Club of New York (Trustee); the RAND Corporation (Trustee); the Partnership for NYC (Ex-officio Board Member); the National Academy Foundation (Board Member/Director); the International Rescue Committee (Overseer); the Trilateral Commission (Member); the Council on Foreign Relations (Member); the Group of Thirty (Member); the Bank for International Settlements (Director); the Peterson Institute for International Economics (Director); and the New York State Commission to Modernize Financial Services (Member). I do not hold any financial interests in these entities. For a period of one year after my resignation from each of these entities, I will not participate personally and substantially in any particular matter involving specific parties in which any of these entities is a party or represents a party, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).

Sincerely

Timothy F. Geithner

Source: The Wall Street Journal Online

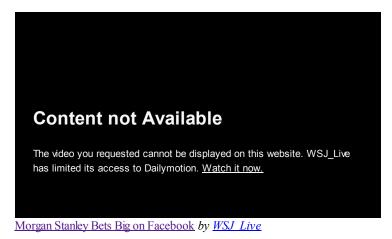
# THE WALL STREET JOURNAL. ■ MARKETS

MARKETS

# Morgan Stanley Funds in Big Facebook Bet

By Aaron Lucchetti and Telis Demos Updated Aug. 24, 2012 11:57 a.m. ET

U.S. mutual funds run by Morgan Stanley, MS -0.12% the lead underwriter in Facebook Inc. FB \$16 billion initial public offering, have disproportionately high investments in the social-media company, leaving fund shareholders exposed to the stock's big drop since its May 18 IPO.



New data show that eight of the top nine U.S. mutual funds with Facebook shares as a percentage of total assets are run by Morgan Stanley's asset-management arm, according to fund tracker Morningstar Inc.

Morgan Stanley had a crucial role in lining up orders for Facebook as the social-media company prepared to go public. It helped advise Facebook executives to increase the size and price of the IPO, despite warnings the company was making about its profit outlook. The New York securities firm, which declined to comment, took in \$200 million in underwriting fees and trading profits,

according to regulatory filings and people involved in the deal.

The Morgan Stanley funds that have Facebook shares got many of them before the IPO at prices well below the \$38 offering price.

That means that fund shareholders may still have paper gains on their Facebook purchases, depending on when the fund bought their original stake. It also means the funds have been unable to sell any of their pre-IPO holdings.

The company's mutual funds have made large bets on other big-name technology companies in recent years, including bets on <a href="Apple">Apple</a> Inc., AAPL +1.48% <a href="Amazon.com">Amazon.com</a> Inc.AMZN -1.06% and <a href="LinkedIn">LinkedIn</a> Corp. LNKD +0.14% whose values have all surged this year.

## The Funds That Own Facebook

Hundreds of mutual funds have stakes in the social media company. See a list and sort by percentage of fund assets devoted to Facebook, and by absolute and relative performance.

Click here for an Excel file containing all four sections of the table below: GoogleDocs | XLS file

August 24, 2012

The Funds That Own Facebook

Hundreds of mutual funds have stakes in the social media company. Click column headers to sort by percentage of fund assets devoted to Facebook and by absolute relative performance.

<< first < prev 1 2 (#) 3 (#) 4 (#) next > (#) last >> (#)

2014		Morgan Stanl	ey Funds in Big Fa	cebook Bet - `	THE WALL STRE	ET JOURNA	L, Aug. 24, 2012 11	:57 a.m. ET	
Fund Name (yui- dt0-href-col 0)	Ticker (yui- dt0- href- col 1)	Total Facebook Weight (yui-dt0- href- col 2)	Total Investment as of portfolio date (yui-dt0- href-col 3)	July 2012 Return (yui-dt0- href- col 4)	July % rank against similar funds (yui-dt0-href- col 5)	Year-to- date Return (yui-dt0- href- col 6)	Year-to-date % rank against like funds (yui- dt0-href- col 7)	One- year return (yui-dt0- href- col 8)	One-year % rank against like funds (yui dt0-href- col 9)
Morgan Stanley Inst Focus Growth I	MSAGX	7.77%	\$28,777,414	-2.98%	98%	9.64%	90%	8 33%	99%
Morgan Stanley Focus Growth B	AMOBX	7.72%	\$1,586,239,713	-3.08%	98%	8.63%	94%	7 06%	99%
Morgan Stanley Inst Opportunity H	MEGHX	7.39%	\$244,919,024	-3.91%	99%	6.71%	98%	10.10%	98%
Morgan Stanley Inst Advantage H	MAPHX	6.71%	\$12,159,351	-1.57%	96%	11 96%	71%	21.88%	77%
Morgan Stanley Institutional Growth	MSEQX	6.63%	\$847,917,689	-2.33%	97%	11 34%	78%	12.88%	97%
Transamerica Capital Growth A	IALAX	6.58%	\$746,167,025	-2.39%	98%	10 35%	85%	11.62%	97%
Morgan Stanley Multi Cap Grow th B	CPOBX	6.42%	\$313,515,817	-2.33%	97%	7.93%	96%	8 32%	99%
Morgan Stanley Inst Global Opportunity I	MGGIX	6.42%	\$15,221,935	-3.96%	98%	4.98%	93%	3.77%	93%
Morgan Stanley Inst Glbl Advantage I	MIGIX	5.19%	\$2,513,921	-0.36%	86%	13 94%	10%	19.13%	9%
Turner Concentrated Gr	TTOPX	5.00%	\$35,255,772	-4.20%	99%	9.28%	92%	14.60%	96%
Morgan Stanley Inst Glbl Discovery I	MLDIX	4.79%	\$5,032,255	-1.53%	93%	11 60%	29%	15.92%	31%
Goldman Sachs Technology Tollkeeper A	GITAX	2.85%	\$358,167,660	-2.66%	92%	17 63%	26%	28.63%	29%
Turner Global Opportunities Instl	TGLBX	2.32%	\$1,420,185	-1.11%	91%	9.89%	52%	16.77%	23%
Chesapeake Core Grow th	CHCGX	2.23%	\$31,550,392	0.20%	66%	16 83%	12%	28.85%	19%
Chesapeake Growth Instl	CHESX	2.15%	\$10,660,814	-1.39%	70%	15 84%	2%	23.82%	46%
Saratoga Large Capitalization Growth I	SLCGX	1.73%	\$20,539,302	0.63%	46%	12.43%	65%	26.38%	40%
Lord Abbett Grow th Leaders A	LGLAX	1.67%	\$23,284,142	-0.78%	90%	10 58%	84%	22.02%	76%
Tocqueville Opportunity	TOPPX	1.61%	\$68,606,152	-2.15%	77%	12.18%	25%	25.75%	45%
Goldman Sachs Concentrated Grow th A	GCGAX	1.60%	\$176,037,973	1.77%	7%	17 33%	9%	28.74%	20%
American Independence Large Cap Growth I	A FLX	1.59%	\$5,723,812	-3.24%	99%	1.67%	99%	10.15%	98%
Eagle Capital Appreciation A	HRCPX	1.58%	\$312,936,069	1.75%	8%	17 64%	7%	27.76%	26%
Loomis Sayles Growth Y	LSGRX	1.58%	\$137,102,956	1.09%	27%	13 02%	59%	26.08%	42%
Sands Capital Global Growth Inst	SCMGX	1.55%	\$26,411,222	-0.16%	84%	12 51%	18%	18.99%	10%
Firsthand Technology Opportunities	TEFQX	1.52%	\$83,809,180	2.91%	1%	17.78%	24%	15.43%	93%
Touchstone Sands Capital Select Grow th Z	PTSGX	1.51%	\$2,650,677,414	0.34%	61%	20 80%	3%	34.98%	2%
Touchstone Sands Capital Inst Gr	CISGX	1.50%	\$1,879,329,652	0.36%	60%	21.45%	2%	35.72%	2%
Turner Large Grow th Institutional	TTMEX	1.36%	\$254,252,990	-0.57%	86%	11.79%	73%	21.25%	80%
Columbia Global Extended Alpha A	RTAAX	1.36%	\$18,990,332	1.43%	34%	8.50%	73%	13.66%	59%
Invesco Leisure Investor	FLISX	1.25%	\$362,284,050	-2.50%	83%	18 34%	12%	29.20%	79%
Invesco Technology Sector B	FOBX	1.19%	\$103,441,217	-1.45%	81%	12 59%	67%	28.04%	34%
AllianceBern Global Thematic Gr A	ALTFX	1.17%	\$830,246,855	-3.98%	99%	5.56%	91%	-0.55%	95%
Invesco Technology	FTCHX	1.17%	\$709 896 602	-1.29%	71%	12 27%	69%	25.97%	44%

/2014		worgan Star	liey runus in big ra	cebook bet -	I II E WALL S	IKEET JOOKINA	AL, Aug. 24, 201	2 11.57 a.III. ⊑1	
Investor		~	Ψ 00,000,00=	,	,,,		55,0	20.0 /0	,~
Artisan Global Opportunities Inv	ARTRX	1.15%	\$238,682,629	2.30%	12%	22.11%	1%	26.59%	1%
Baron Global Advantage Institutional	BGAIX	1.11%	\$2,313,424	-1.64%	94%	N/A	N/A	N/A	N/A
PL Large-Cap Grow th P	N/A	1.08%	\$125,638,896	-0.21%	80%	16.11%	19%	27.24%	31%
Invesco Van Kampen American Franchise A	VAFAX	1.04%	\$5,607,536,462	-0.25%	81%	12 63%	62%	19.20%	89%
Invesco Constellation A	CSTGX	1.04%	\$2,462,252,859	-0.22%	80%	11 61%	75%	17.55%	93%
Invesco Van Kampen Mid Cap Gr A	VGRAX	1.04%	\$1,891,989,133	-1.72%	78%	8.66%	75%	19.77%	74%
Invesco Dynamics Inv	FIDYX	1.02%	\$821,481,822	-1.76%	80%	9.18%	70%	21.21%	68%
T. Row e Price Global Stock	PRGSX	1.00%	\$513,696,057	0.12%	78%	9.77%	54%	11.82%	68%
JHancock US Global Leaders Gr A	USGLX	0.99%	\$570,665,220	0.38%	59%	16 58%	14%	33.99%	3%
T. Row e Price Media & Telecommunications	PRMTX	0.97%	\$2,176,042,473	1.34%	75%	20 59%	12%	28.47%	12%
Hodges Pure Contrarian Retl	HDPCX	0.95%	\$6,538,442	-0.27%	28%	19 01%	2%	20.88%	77%
T. Row e Price Science & Tech	PRSCX	0.93%	\$2,691,539,999	-3.08%	96%	7.42%	93%	15.44%	92%
VALIC Company I Science & Technology	VCSTX	0.93%	\$239,494,861	-0.85%	51%	13 67%	58%	21.83%	64%
Hartford Growth Opportunities B	HGOBX	0.91%	\$1,878,737,839	0.00%	74%	20 86%	3%	25.27%	48%
Oppenheimer Main Street A	MSIGX	0.90%	\$5,199,383,488	2.20%	7%	14.49%	16%	30.19%	6%
Hartford Growth Opportunities HLS A	HAGOX	0.89%	\$1,068,479,670	0.14%	69%	21 83%	2%	26.91%	35%
MassMutual Premier	MSSAX	0.87%	\$150 288 656	2 21%	7%	14 20%	18%	20 78%	7%

Still, the Morgan Stanley funds' large stakes raise questions about whether the firm's role as lead underwriter influenced decisions.

A large investment bank that simultaneously buys and sells shares in any company "is in this conflicted position," said Frank Partnoy, a law professor at the University of San Diego who worked for Morgan Stanley in the 1990s. "This time it didn't work out."

The funds span the \$1.6 billion Focus Growth fund to the \$2.5 million Institutional Global Advantage fund.

Morgan Stanley's funds don't appear to have violated Securities and Exchange Commission rules limiting investments in offerings underwritten by an affiliate. SEC rules allow bank-affiliated mutual funds to participate in offerings in which the bank's investment bankers are advising the company, as long as the fund managers don't buy more than 25% of the deal and they buy the shares from a different bank.

The concentration of Morgan Stanley's funds stands out when compared with funds operated by other large institutional holders of Facebook stock.

Morgan Stanley Focus Growth Portfolio had 5.7% of its assets in Facebook shares as of July 31, according to Morgan Stanley's website, while Morgan Stanley Institutional Opportunity Portfolio had 5.5% and Morgan Stanley Institutional Growth Portfolio had 4.8%. Others among the eight Morgan Stanley mutual funds range between 3.6% and 4.6%. Those proportions ranged between 5% and 7.8% on June 30, according to the most recent Morningstar data that included other fund families.

"It's surprising that so many Morgan Stanley affiliated funds out of the thousands of mutual funds show up as having

extremely big weights," said Jay Ritter, a professor of finance at the University Florida.

Morgan Stanley isn't the largest institutional holder of Facebook.

Larger holders by dollar value include Fidelity Investments, <u>T. Rowe Price Group</u>Inc. TROW -0.79% and Goldman Sachs Asset Management, a unit of underwriter <u>Goldman Sachs Group</u> Inc. GS -0.24% Goldman also owned Facebook shares before its IPO

Goldman's most concentrated mutual fund position in Facebook was the Technology Tollkeeper fund, with Facebook making up 2.85% of its portfolio as of the end of June.

No mutual funds operated by Fidelity or T. Rowe Price, two other large institutional holders, publicly reported holding more than 1% of their portfolios in Facebook through June.

Many of the Morgan Stanley funds are sold to institutions only, and require a \$5 million minimum investment.

Morgan Stanley Multicap Growth Fund—one fund open to retail investors, including Morgan Stanley Smith Barney brokers—had a stake in Facebook as early as November 2010, when Facebook shares were valued at about \$13. They closed Thursday at \$19.44, down 49% since the IPO.

In June, a commentary on Morgan Stanley's fund website noted that Facebook and other technology stocks were "the leading detractor in the portfolio this quarter," attributing the decline in Facebook shares "to post-IPO volatility."

Under SEC rules, mutual fund managers also are bound by fiduciary duties to look out for their investors' interests over their own.

### **Read More**

- Deal Journal: Who Else Has a Big Bet on Facebook?
- Nasdaq's Facebook Plan Under Fire

There's no sign that fund managers at Morgan Stanley bought Facebook shares because of the firm's underwriting relationship with Facebook, or to help curry favor with Facebook executives who chose Morgan Stanley for a key underwriting assignment in the spring IPO.

Mr. Ritter cited "psychological factors" as a possible explanation for the large investments, driven by the fact that many of the funds owned a big chunk of Facebook shares before the company sold shares to the public.

"There's a tendency to fall in love with what you've got rather than stepping back," said Mr. Ritter. Many Morgan Stanley funds added to their pre-public stakes during the month of the IPO—a sign, he said, that "they were drinking the Kool-Aid and became true believers."

Morgan Stanley has streamlined its mutual fund business under Gregory Fleming, who runs both the firm's asset management and wealth management units. Morgan Stanley's asset management at the end of June managed \$311 billion and produced \$456 million in revenues.

Morgan Stanley funds with the strongest liking for Facebook are overseen by Dennis Lynch, the firm's head of growth investing. A Morgan Stanley spokesman declined to comment on behalf of Mr. Lynch.

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Source: The Wall Street Journal Online



**Business** 

## Fidelity Invests \$74 Million in Facebook & \$82 Million in Zynga

<u>Gregory Gomer</u> Jun 2nd 2011 at 2:31 pm <u>Facebook</u>, <u>Zynga</u>, <u>Fidelity</u>



According to the <u>Boston Globe this morning</u>, Fidelity Investments made a few large investments in social media during the first quarter of this year. It is reported that William Danoff, the manager of Fidelity's Contrafund - it's biggest and very popular fund - has invested \$74 million in Facebook Class B common shares and \$82 million in Zynga

+1

convertible preferred stock. Danoff has managed the \$80 billion Fidelity Contrafund since September 1990.

In the <u>article</u>, "Vincent Loporchio, a spokesman for Fidelity, said more than 30 of its funds held Facebook shares as of April 30. No fund had more than 0.15 percent of its assets invested in Facebook, said Loporchio, who declined to comment further."

This is certainly no surprise as large funds have been dying to get their hands on the red hot shares of these social media companies, but it does mark a scary trend.

These secondary markets are not regulated at all by the SEC, and most funds have avoided these privately traded stocks for years. These markets can be extremely volatile and guess what is going to the be first to crash, once this said "bubble" we are in either pops or deflates?

I certainly like this move, historically these markets have proven to be extremely valuable leading up to the company's IPO's but again can be very risky. The fund also has a \$5.3 billion stake in Apple Inc. and \$3.8 billion in Google Inc.

Having that said, being a Fidelity alum I have money in the Contrafund and dig the investment.

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# Fidelity's Danoff Bets on Facebook, Zynga

By Miles Weiss - Jun 1, 2011

William Danoff, the manager of Fidelity Investment's largest stock fund, established a toehold in the social-networking industry during the first quarter by acquiring shares of <u>Facebook</u> Inc. and Zynga Inc.

Danoff's Fidelity Contrafund invested \$74 million in Facebook Class B common shares and \$82 million in Zynga convertible preferred stock, according to a quarterly report the fund filed yesterday with the U.S. Securities and Exchange Commission. Danoff, 50, has managed the \$80 billion Fidelity Contrafund since September 1990.

Fidelity and rivals T. Rowe Price Group Inc. and Capital Group Cos. are snapping up stakes in social-networking companies before they go public, after the mutual-fund industry avoided privately traded stocks for years. Boston-based Fidelity and Baltimore's T. Rowe Price may recognize an opportunity as a growing percentage of clients access their fund holdings through Facebook, said <u>Geoff Bobroff</u>, a fund consultant in <u>East Greenwich</u>, <u>Rhode Island</u>.

"We are seeing more of these fund companies embrace and adopt social media as something they are providing to their shareholders," Bobroff said today in an interview. "It's somewhat logical they would think there is value."

<u>Vincent Loporchio</u>, a spokesman for Fidelity, said more than 30 of its funds held Facebook shares as of April 30. No fund had more than 0.15 percent of its assets invested in Facebook, according to Loporchio, who declined to comment further.

## T. Rowe, American

T. Rowe Price reported in April that 19 of its mutual funds invested at least \$191 million during the first quarter in Facebook, the <u>Palo Alto</u>, California-based owner of the world's most popular social-networking website. American Funds Growth Fund of America, a \$168 billion stock fund overseen by Los Angeles-based Capital Group, invested \$66.5 million on Feb. 18 in Zynga, the largest maker of games on Facebook, according to an April 29 filing.

Fidelity Contrafund (FCNTX) averaged annual gains of 7 percent over the past 10 years to beat 99

percent of its large-capitalization growth stock peers, according to Chicago-based research firm Morningstar Inc.

Danoff's fund aims to invest in stocks whose value hasn't been fully recognized by the public. At the end of last year, it had about 33 percent of net assets in information technology shares, including a \$5.3 billion stake in Apple Inc. (AAPL) and \$3.8 billion in Google Inc.

The fund acquired 2.97 million Facebook shares during the first quarter for about \$25 each, the same price T. Rowe Price reported paying, according to yesterday's filing. Facebook in January said it had raised \$1.5 billion from investors led by <u>Goldman Sachs Group Inc. (GS)</u>, placing a \$50 billion valuation on the closely held business at the time.

## Convertible Preferred Shares

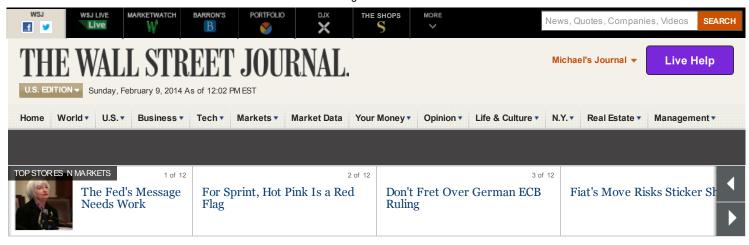
Fidelity Contrafund also bought its Zynga convertible preferred stock on Feb. 18, according to yesterday's filing. Zynga held talks in February with T. Rowe Price and Fidelity about selling shares at a price that implied the company's market value was close to \$10 billion, two people familiar with the situation said at the time.

Facebook and Zynga last year laid the groundwork for initial public offerings by imposing fees on employees who sell their shares. Zynga may file for an IPO by the end of June, a person familiar with the plans said last week.

To contact the reporter on this story: Miles Weiss in Washington at <a href="mailto:mweiss@bloomberg.net">mweiss@bloomberg.net</a>

To contact the editor responsible for this story: Christian Baumgaertel at cbaumgaertel@bloomberg.net

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**WSJ BLOGS** 

# **Deal Journal**

An up-to-the-minute take on deals and deal makers.

August 24 2012 2:18 PM

# Who Else Has a Big Bet on Facebook



By TELIS DEMOS CONNECT

We <u>reported this morning about the concentration</u> of Facebook stock in the portfolio of one group of investors, several mutual funds operated by Morgan Stanley Asset Management. But that is not where the concentration of Facebook stock ends.

According to fresh data from Ipreo, which has tallied up the public filings by all investors, a relatively large chunk of Facebook stock just three months after its \$16 billion IPO in May is held by the company's 10 largest institutional investors (that excludes insiders, like CEO Mark Zuckerberg, and the early VC investors, like Accel Partners).



AFP/Getty Images

The top 10 "accounts," in banker-speak,

represent about 50% of Facebook's institutional ownership, according to Ipreo, the capital markets data firm. That tops the 42% concentration for the top 10 institutions for all second quarter tech IPOs three months after they went public. Across more comparable \$1 billion-plus IPOs since 2010, the concentration is even lighter: The top 10 institutional accounts held 32% of those companies' shares.

This data, keep in mind, does not tell us who bought the IPO. That's a closely guarded secret held by the lead underwriters. These figures are based on public disclosures as of June 30. They are at best a proxy for how the IPO was actually distributed.

So who are the biggest betters — by total number of shares, not necessarily by weighting within the fund – on Facebook? Morgan Stanley Asset Management is only the fifth largest holder by that measure, according to lpreo. Above them are Goldman Sachs Asset Management, Baillie Gifford & Company, Fidelity Investments, and T. Rowe Price Group Inc. Rounding out the top 10 are BlackRock Inc., Sands Capital

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**SEARCH** 

Management LLC, Jennison Associates LLC, The Vanguard Group Inc. and Capital Research Global Advisors Inc.

Some of those investors were big pre-IPO holders. Goldman Sachs famously marketed a fund with pre-IPO Facebook stock to international investors, which accounts for a big chunk of its holdings. T. Rowe Price and Fidelity also bought in before the IPO. The point here is that they all may still be sitting on Facebook stock gains, depending on when exactly they got into the stock.

Others, however, appear to have gotten in primarily via the IPO, or after it began trading. That includes Baillie Gifford, an Edinburgh-based fund management giant that manages assets of £76.0 billion (\$120 billion). They are a sub-advisor to some large fund management families, like Vanguard, but also manage money on behalf of giant pension funds such as the California Public Employees' Retirement System (CALPERS), the New York City Police Pension Fund and the Korea National Pension Service, according to their website. The firm did not return requests for an interview.

Some of the big investors are also there just because they have to be. BlackRock and Vanguard operate many index funds that may have bought Facebook stock solely because it likely will be a member someday of indexes such as the S&P 500 and Nasdaq 100, and is already in indexes such as the Russell 1000.

What speaks loudly are the absences of firms that are typically very big holders of recently IPO'd companies. Citadel Advisors, which bought 17 other second-quarter IPOs according to Ipreo, owned just 167,164 Facebook shares at the end of June. Wellington Management Company LLP and Lord Abbett & Company LLC, which bought 11 second quarter IPOs, both held fewer than 1m shares. For context, Baillie Gifford reported holding 19 million shares.

RANK	NAME	AUM	JUNE 30
1	Goldman Sachs Asset Management, L.P. (U.S.)	82,329.1	36,634,486.0
2	Baillie Gifford & Company	60,809.1	19,380,440.0
3	Fidelity Management & Research Company	544,656.5	18,774,915.0
4	T. Rowe Price Associates, Inc.	338,744.6	18,663,997.0
5	Morgan Stanley Investment Management, Inc. (U.S.) 54,113.2	54,113.2	16,362,788.0
6	BlackRock Fund Advisors	768,143.8	11,690,656.0
7	Sands Capital Management, LLC	22,157.4	11,649,292.0
8	Jennison Associates, LLC	80,316.4	9,691,825.0
9	The Vanguard Group, Inc.	908,526.5	9,582,480.0
10	Capital Research Global Investors (U.S.)	366,059.2	8,273,200.0

Next » « Previous Private Equity, Others, Eye Cytec Industries's Morgan Stanley Really Likes Facebook Coating Resins Business

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APRIL 15, 2011, 12:34 PM

## T. Rowe Price Discloses \$190 Million Stake in Facebook

By EVELYN M. RUSLI

T. Rowe Price has made several recent investments in social media companies, including Facebook and Zynga, according to recent filings.

The value of its Facebook investments, made through various funds, totals \$190.5 million (as of the end of March), according to calculations made by DealBook from data on T. Rowe's Web site. T. Rowe Price confirmed the value to DealBook.

Although the firm did not disclose exactly how much it paid for its shares, the current value is close to the initial investment since the stakes were purchased in March. T. Rowe Price's funds also had investments in Zynga worth \$71.8 million and in Groupon worth \$86.8 million.

Although the holdings represent a small fraction of T.Rowe's investments — the firm has some \$482 billion in assets under management — T.Rowe has become increasingly aggressive in the social media and larger technology sectors. In 2009, T. Rowe participated in a \$100 million round for Twitter, with Insight Venture Partners, Benchmark Capital and Morgan Stanley. Since then, the firm has courted several fast-growing social-centric start-ups.

According to data on its site, T. Rowe's investments in social Internet companies are worth more than half a billion dollars, spread across more than a dozen funds. Still, no individual holdings represents more than 1 percent of any fund.

The firm's investment in Ning is worth about \$10 million, its stake in Angie's List is valued at \$35 million, its stake in YouKu.com is valued at \$114 million, and the 2009 investment in Twitter is now worth nearly \$67 million, according to data on its site.



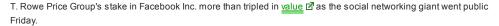
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## T. Rowe Price sees Facebook investment more than triple in a year

May 18, 2012 | By Gus G. Sentementes, The Baltimore Sun



At the close of the market Friday, T. Rowe's investment 🗹 in Facebook was valued at \$695.8 million. The Baltimorebased mutual fund company invested \$190.5 million in Facebook last spring.

## Top 10 Stocks for 2014

www.streetauthority.com

These 10 stocks are set to crush the S&P 500 in the coming year.

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Shares of Facebook of finished trading at \$38.23 — just 23 cents above the initial public offering price set by the company and its underwriters.

T. Rowe holds 18.2 million Facebook shares, which are spread across 80 mutual funds and accounts of controlled by the investment company, according to Facebook's IPO registration statement filed earlier this year.

Last year, T. Rowe made broad investments in Internet and <a href="mailto:social media">social media</a> <a href="mailto:social media">media</a> <a href="mailto:social media">social media</a> <a href="mailto:socia millions to buy stakes in Facebook, Twitter, Groupon, Zynga, Ning and Angie's List.

Facebook raised \$16 billion in its sale of more than 421 million shares.

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- Obama administration officials:
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- 8. **Ivan K. Fong**, Homeland Security
- 9. **Alison J. Nathan**. White House
- 10. **Denis R. McDonough**, White House

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- 1> Leonard P. Stark, Dist. Crt. Del.
- 2. **Stephen G. Breyer**, Sup. Crt.
- 3. **Clarence Thomas**, Sup. Crt.
- 4> Rebecca M. Blank, Com. Sec., USPTO
- 5> Cameron F. Kerry, Com. GC, USPTO
- 6. **Timothy Geitner**, Treas. Sec.
- 7. Steven Chu, Energ. Sec.
- 8. **David S. Kris**, Just.
- 9. **Donald M. Remy**, Army

## **101 Obama Cabinet Members** & **11 Federal**

hold one or more Fidelity Funds. 30-Fidelity Funds invested (via Fid. Central Fund) in Facebook pre-IPO dark pools.

## Summary of Facebook conflicts of interests.

Code of Conduct for United States Judges:

Yellow Highlight = Notorious Facebook collaborator

# "Avoid even the appearance of impropriety."

No.	Conflict	Notorious Facebook collaborators in whom Fidelity Contrafund also holds shares and other rights	Shares	Value (000s)
1	Leader* patent theft	Accenture PLC Class A a	12,015,464	\$799,028
2	Leader patent theft	athenahealth, Inc. (a) <sup>b</sup>	1,371,392	100,729
3	Leader patent theft	Boston Scientific Corp. (a) <sup>c</sup>	956,300	5,480
4	FB Dark Pools	Charles Schwab <sup>d</sup>	997,500	14,324
5	Accel Partners	Dropbox, Inc. Series A (h) <sup>e</sup>	1,260,898	11,410
6	Accel Partners	Dropbox, Inc. (h) <sup>e</sup>	5,464,028	49,445
7	Microsoft	Expedia, Inc. (Microsoft) <sup>f</sup>	644,487	39,604
8	Patent Infringer	Facebook, Inc. Class A <sup>g</sup>	24,588,325	654,787
9	FB Dark Pools	Fidelity Cash Central Fund, 0.18% (b) h	1,072,093,617	1,072,094
10	FB Dark Pools	Fidelity National Information Services, Inc. h	4,527,299	157,595
11	FB Dark Pools	Fidelity Securities Lending Cash Central Fund, 0.18% (b)(c) h	218,692,235	218,692
12	FB Dark Pools	Goldman Sachs Group, Inc. i	347,800	44,365
13	Leader patent theft	IBM Corp. <sup>j</sup>	915,236	175,313
14	FB Dark Pools	JPMorgan Chase & Co. k	4,560,232	200,513
15	Leader patent theft	LinkedIn Corp. (a) 1	2,244,889	257,758
16	FB Dark Pools	Morgan Stanley <sup>m</sup>	2,406,700	46,016
17	FB Dark Pools	State Street Corp. n	2,594,400	121,963
18	FB Dark Pools	T. Rowe Price Group, Inc. °	275,000	17,911
19	Leader patent theft	Tesla Motors, Inc. (a) <sup>p</sup>	1,968,741	66,681
20	Accel Partners	Wal-Mart Stores, Inc. <sup>q</sup>	13,839,600	944,276
21	FB Dark Pools	Workday, Inc. <sup>r</sup>	1,037,800	56,560
22	FB Dark Pools	Workday, Inc. (h) r	1,223,783	60,027
		TOTAL		\$5,103,105

<sup>\*</sup> Leader Technologies, Inc., Columbus, Ohio, U.S. Patent No. 7,139,761.

# FIGURE CONTRACTOR 12-31-2012 FCNTX Fidelity Contrafund K 12-31-2012 FCNKX FCNKX FCNKX FCNKX FCNKX FCNKY hold by Lorder Forebook indigences

FCNTX and/or FCNKX held by **Leader v. Facebook** judges &

 $Obama\ administration\ officials:$ 

- 1. **John G. Roberts, Jr.**, Supreme Court
- 2. Kimberly A. Moore, Federal Circuit
- 3. **Evan J. Wallach**, Federal Circuit
- 4. **Howard K. Koh**, Health & Human Services
- 5. **Eric H. Holder**, Attorney General
- 6. **John J. Sullivan**, Federal Election Commission
- 7. **Carmel M. Martin**, Education
- 8. **Ivan K. Fong**, Homeland Security
- 9. **Alison J. Nathan**. White House
- 10. **Denis R. McDonough**, White House

Other Fidelity "dark pool" funds held by judges and Obama cabinet:

- 1> Leonard P. Stark, Dist. Crt. Del.
- 2. **Stephen G. Breyer**, Sup. Crt.
- 3. **Clarence Thomas**, Sup. Crt.
- 4> Rebecca M. Blank, Com. Sec., USPTO
- 5> Cameron F. Kerry, Com. GC, USPTO
- 6. **Timothy Geitner**, Treas. Sec.
- 7. Steven Chu, Energ. Sec.
- 8. **David S. Kris**, Just.
- 9. **Donald M. Remy**, Army

101 Obama Cabinet Members & 11 Federal Judges involved with Leader v. Facebook

hold one or more Fidelity Funds. 30+ Fidelity Funds invested (via Fid. Central Fund) in Facebook pre-IPO dark pools.

## Common Stocks - 98.7%

	Shares	<b>Value</b> (000s)
CONSUMER DISCRETIONARY - 20.0%		
Automobiles - 0 2%		
Hyundai Motor Co.	397,072	\$ 82,388
Tesla Motors, Inc. (a)	1,968,741	66,681
		149,069
Internet & Catalog Retail - 2.8%		
Amazon.com, Inc. (a)	5,901,237	1,482,037
Expedia, Inc.	644,487	39,604
Liberty Media Corp.:		_
Interactive Series A (a)	2,547,100	50,127
CVS Caremark Corp.	10,048,000	485,821
Fresh Market, Inc. (a)	1,452,437	69,848
Wal-Mart Stores, Inc.	13,839,600	944,276
Whole Foods Market, Inc.	661,350	60,401
Health Care Equipment & Supplies - 1.0%		
Baxter International, Inc.	2,948,000	196,514
Boston Scientific Corp. (a)	956,300	5,480
CareFusion Corp. (a)	621,900	17,774
FINANCIALS - continued		
Capital Markets - continued		
Charles Schwab Corp.	997,500	\$ 14,324
Goldman Sachs Group, Inc.	347,800	44,365
Morgan Stanley	2,406,700	46,016
State Street Corp.	2,594,400	121,963
T. Rowe Price Group, Inc.	275,000	17,911
Diversified Financial Services - 0.5%		<u>261,849</u>
Citigroup, Inc.	4,962,702	196,324
JPMorgan Chase & Co.	4,560,232	200,513
Kotak Mahindra Bank Ltd.	1,183,309	14,141
A Sun Maria Sun Da.	1,100,507	410,978
Health Care Technology - 0.7%		,,,,,,
athenahealth, Inc. (a)	1,371,392	100,729
Cerner Corp. (a)	6,251,149	485,339
* * /	* *	

# Fidelity Contrafund 12-31-2012

FCNTX Fideli

Fidelity Contrafund K  $_{12-31-2012}$ 

FCNKX

FCNTX and/or FCNKX held by Leader v. Facebook judges & Obama administration officials:

Electronic Equipment & Components - 0.7%

Amphenol Corp. Class A (e)

- 1. John G. Roberts, Jr., Supreme Court
- 2. Kimberly A. Moore, Federal Circuit
- 3. **Evan J. Wallach**, Federal Circuit
- 4. **Howard K. Koh**, Health & Human Services
- 5. **Eric H. Holder**, Attorney General
- 6. John J. Sullivan, Federal Election Commission
- 7. **Carmel M. Martin**, Education
- 8. **Ivan K. Fong**, Homeland Security
- 9. **Alison J. Nathan**, White House
- 10. **Denis R. McDonough**, White House

Other Fidelity "dark pool" funds held by judges and Obama cabinet:

- 1> Leonard P. Stark, Dist. Crt. Del.
- 2. **Stephen G. Breyer**, Sup. Crt.
- 3. **Clarence Thomas**, Sup. Crt.
- 4> Rebecca M. Blank, Com. Sec., USPTO
- 5> Cameron F. Kerry, Com. GC, USPTO
- 6. **Timothy Geitner**, Treas. Sec.
- 7. **Steven Chu**, Energ. Sec.
- 8. **David S. Kris**, Just.

9,418,529

9. **Donald M. Remy**, Army

**101 Obama Cabinet Members** & **11 Federal** 

609,379

Judges involved with Leader v. Facebook hold one or more Fidelity Funds. 30+ Fidelity Funds invested (via Fid. Central Fund) in Facebook pre-IPO dark pools.

* *		
Internet Software & Services - 8.3%		
Akamai Technologies, Inc. (a)	876,731	35,867
Constant Contact, Inc. (a)(d)	1,038,840	14,762
Cornerstone OnDemand, Inc. (a)	1,906,002	56,284
Dropbox, Inc. (h)	5,464,028	49,445
eBay, Inc. (a)	16,805,428	857,413
Equinix, Inc. (a)	690,600	142,402
ExactTarget, Inc.	1,732,500	34,650
Facebook, Inc. Class A	24,588,325	654,787
Google, Inc. Class A (a)	6,291,877	4,463,269
LinkedIn Corp. (a)	2,244,889	257,758
MercadoLibre, Inc. (d)	479,359	37,663
Rackspace Hosting, Inc. (a)	916,400	68,061
Web.com Group, Inc. (a)	193,103	2,858
Yahoo!, Inc. (a)	17,717,200	352,572
		<u>7,027,791</u>
IT Services - 5.7%		_
Accenture PLC Class A	12,015,464	799,028
Alliance Data Systems Corp. (a)(e)	2,731,011	395,341
Cognizant Technology Solutions Corp. Class A (a)	479,600	35,514
Fidelity National Information Services, Inc.	4,527,299	157,595
Fiserv, Inc. (a)	3,073,955	242,935
FleetCor Technologies, Inc. (a)	812,251	43,577
Gartner, Inc. Class A (a)	362,200	16,668
IBM Corp.	915,236	175,313
MasterCard, Inc. Class A	2,603,058	1,278,830
Paychex, Inc.	253,100	7,882
Syntel, Inc.	28,841	1,546
Vantiv, Inc.	132,971	2,715
Visa, Inc. Class A	10,661,179	1,616,022

4,772,966

# **Fidelity Contrafund** 12-31-2012 **FCNTX**

FIGURE CONTRACTOR & 12-31-2012

FCNKX

FCNKX FCNKX hold by Leader & Freebook inde

FCNTX and/or FCNKX held by **Leader v. Facebook** judges &

 $Obama\ administration\ officials:$ 

- 1. John G. Roberts, Jr., Supreme Court
- 2. Kimberly A. Moore, Federal Circuit
- 3. **Evan J. Wallach**, Federal Circuit
- 4. Howard K. Koh, Health & Human Services
- 5. **Eric H. Holder**, Attorney General
- 6. **John J. Sullivan**, Federal Election Commission
- 7. **Carmel M. Martin**, Education
- 8. **Ivan K. Fong**, Homeland Security
- 9. **Alison J. Nathan**. White House
- 10. **Denis R. McDonough**, White House

Other Fidelity "dark pool" funds held by judges and Obama cabinet:

- 1> Leonard P. Stark, Dist. Crt. Del.
- 2. **Stephen G. Breyer**, Sup. Crt.
- 3. **Clarence Thomas**, Sup. Crt.
- 4> Rebecca M. Blank, Com. Sec., USPTO
- 5> Cameron F. Kerry, Com. GC, USPTO
- 6. **Timothy Geitner**, Treas. Sec.
- 7. **Steven Chu**, Energ. Sec.
- 8. **David S. Kris**, Just.
- 9. **Donald M. Remy**, Army

101 Obama Cabinet Members & 11 Federal Judges involved with *Leader v. Facebook* hold one or more Fidelity Funds. 30+

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Fidelity Funds invested (via Fid. Central Fund) in Facebook pre-IPO dark pools.

Software -	3.0%
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Splunk, Inc.	1,301,088	37,758	
Symantec Corp. (a) 8,309,100			
Trion World Network, Inc. warrants 8/10/17 (a)(h)	124,282	0*	
Ultimate Software Group, Inc. (a)	614,846	58,048	
VMware, Inc. Class A (a)	1,141,600	107,470	
Workday, Inc.	1,037,800	56,560	
Workday, Inc. (h)	1,223,783	60,027	

## INFORMATION TECHNOLOGY - 0.0%

Internet Software & Services - 0.0%

_		_
Dropbox, Inc. Series A (h)	1,260,898	<u>11,410</u>

## **Other Affiliated Issuers**

An affiliated company is a company in which the Fund has ownership of at least 5% of the voting securities. Fiscal year to date transactions with companies which are or were affiliates are as follows:

## Affiliate

(Amounts in thousands)	Value, beginning of period	Purchases	Sales Proceeds	Dividend Income	Value, end of period
Alliance Data Systems Corp.	\$ 156,000	\$ 152,954	\$ -	\$ -	\$ 395,341
Allot Communications Ltd.	-	53,271	-	-	37,894
Amphenol Corp.					
Class A	506,556	70,663	159,917	4,031	609,379
athenahealth, Inc.	45,749	131,579	81,322	-	-
Avion Gold Corp.	59,448	-	3,525	-	_
B2Gold Corp.	83,805	15,738	-	-	112,214
B2Gold Corp. (144A)	17,807	-	-	-	20,937

## Fidelity Contrafund 12-31-2012 **FCNTX** Fidelity Contrafund K 12-31-2012 **FCNKX** FCNTX and/or FCNKX held by Leader v. Facebook judges & Obama administration officials:

Other Fidelity "dark pool" funds held by judges and Obama cabinet:

- 1> Leonard P. Stark, Dist. Crt. Del.
- **Stephen G. Breyer**, Sup. Crt.
- **Clarence Thomas**, Sup. Crt.
- 4> Rebecca M. Blank, Com. Sec., USPTO
- 5> Cameron F. Kerry, Com. GC, USPTO
- **Timothy Geitner**. Treas. Sec.
- Steven Chu, Energ. Sec. 7.
- **David S. Kris**, Just.
- **Donald M. Remy**, Army

**101 Obama Cabinet Members** & **11 Federal Judges** involved with *Leader v. Facebook* hold one or more Fidelity Funds. 30+ Fidelity Funds invested (via Fid. Central Fund) in Facebook pre-IPO dark pools.

- John G. Roberts, Jr., Supreme Court
- Kimberly A. Moore, Federal Circuit
- Evan J. Wallach, Federal Circuit
- **Howard K. Koh**, Health & Human Services
- **Eric H. Holder**, Attorney General
- John J. Sullivan, Federal Election Commission
- **Carmel M. Martin**, Education
- Ivan K. Fong, Homeland Security
- Alison J. Nathan. White House
- 10. **Denis R. McDonough**, White House

# Relationship to Facebook (Conflict of Interest):

No.	Entity/Person	Description of the relationship to Facebook principals
a.	Accenture	Appointed to replace CGI Federal as contractor responsible for <b>HealthCare.gov.</b> This appointment appears to be out of one Facebook cartel pocket ( <b>Michelle Ohama (CGI Federal), Todd Y. Park, Robert Kocher, Ann H. Lamont</b> ) and into another cartel participant. The site continues to make intimate use of <b>Facebook</b> and <b>Leader Technologies' social networking invention</b> , including the false claims that the technology is " <b>Open Source</b> ."  "Accenture to Take Over Fixing HealthCare.gov Website" by Stephanie Armour, <i>The Wall Street Journal</i> , Jan. 12, 2014 <a href="http://online.wsj.com/news/articles/SB10001424052702303819704579316944287311118">http://online.wsj.com/news/articles/SB10001424052702303819704579316944287311118</a> >.
b.	athenahealth Inc.	Founded by Todd Y. Park, Barack Obama's current U.S. Chief Technology Officer, former chief technology officer at U.S. Health & Human Services, chief architect of HealthCare.gov. athenahealth [sic] makes claims that social networking technology is "open source" without justification.  Robert Kocher MD, Pres. Obamacare architect and member of the National Economic Council led by Lawrence "Larry" Summers is a director of U.S. CTO Todd Y. Park's Castlight Health. Park's bother David Y.Park is chief operating officer of athenahealth. Ann H. Lamont, Meritech Management, a large Facebook investor, is also a Castlight Health director.  Obama's Chief Tech Officer Todd Y. Park Mired in Conflicts of Interest - Park misled the House Oversight Committee about his knowledge and role in HealthCare.gov" by Americans For Innovation, Nov. 15, 2013 <a href="http://americans4innovation.blogspot.com/2013/11/obamas-chief-tech-">http://americans4innovation.blogspot.com/2013/11/obamas-chief-tech-</a>

# FIGURE CONTRACTOR 12-31-2012 FCNTX Fidelity Contrafund K 12-31-2012 FCNKX FCNKX FCNKX FCNTX and/or FCNKY hold by London Frenhost judges 8

FCNTX and/or FCNKX held by **Leader v. Facebook** judges & Obama administration officials:

- 1. John G. Roberts, Jr., Supreme Court
- 2. Kimberly A. Moore, Federal Circuit
- 3. **Evan J. Wallach**, Federal Circuit
- 4. **Howard K. Koh**, Health & Human Services
- 5. **Eric H. Holder**, Attorney General
- 6. **John J. Sullivan**, Federal Election Commission
- 7. **Carmel M. Martin**, Education
- 8. **Ivan K. Fong**, Homeland Security
- 9. **Alison J. Nathan**. White House
- 10. **Denis R. McDonough**, White House

Other Fidelity "dark pool" funds held by judges and Obama cabinet:

- 1> Leonard P. Stark, Dist. Crt. Del.
- 2. **Stephen G. Breyer**, Sup. Crt.
- 3. **Clarence Thomas**, Sup. Crt.
- 4> Rebecca M. Blank, Com. Sec., USPTO
- 5> Cameron F. Kerry, Com. GC, USPTO
- 6. **Timothy Geitner**, Treas. Sec.
- 7. Steven Chu, Energ. Sec.
- 8. **David S. Kris**, Just.
- 9. **Donald M. Remy**, Army

**101 Obama Cabinet Members** & **11 Federal Judges** involved with *Leader v. Facebook* hold one or more Fidelity Funds. 30+ Fidelity Funds invested (via Fid. Central Fund) in Facebook pre-IPO dark pools.

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		officer-todd-y-park.html>.
c.	Boston Scientific	Site of a Leader Technologies beta testing site in the fall of 2003 for its invention, now called "social networking," where companies owned by <b>Accel Partners LLP</b> elicited trade secrets information just a month before Mark Zuckerberg hacked into the Harvard House sites on Oct. 28, 2003. Several months later, Facebook was on the market, supported by <b>Facebook's</b> largest shareholder and director, <b>Accel Partners, James W. Breyer</b> , Managing Partner, along with <b>Facebook</b> director <b>Reid Hoffman</b> , also CEO of <b>LinkedIn</b> , and along with one of <b>Facebook's</b> largest shareholders and director, <b>Peter Thiel</b> .
		See Leader Technologies, Inc. v. Facebook, Inc., 08-cv-862-JJF-LPS (D.Del. 2008) Trial Transcripts; See also Leader v. Facebook FULL DOCKET Case 08-cv-862 JJF/LPS (D.Del. 2008) <a href="http://www.scribd.com/doc/61256189/Leader-v-Facebook-FULL-DOCKET-Case-08-cv-862-JJF-LPS-D-Del-2008">http://www.scribd.com/doc/61256189/Leader-v-Facebook-FULL-DOCKET-Case-08-cv-862-JJF-LPS-D-Del-2008</a> >.
d.	Charles Schwab	Given the number of funds ( <b>Fidelity, T.RowePrice, Blackrock, Goldman Sachs, Morgan Stanley, JPMorgan,</b> etc.) in which Schwab invests co-terminously with various members of the Facebook cartel, a reasonable person will assume by inference that Schwab has inside knowledge of which funds would benefit disproportionately by the <b>Facebook</b> IPO et al.
e.	Dropbox, Inc.	This company is funded by <b>Facebook's former director and largest shareholder James W. Breyer, Accel Partners LLP</b> , and <b>Goldman Sachs</b> , among others.
		http://www.crunchbase.com/company/dropbox
f.	Expedia	Notoriously known to be owned by <b>Microsoft</b> , one of <b>Facebook's</b> largest shareholders. Microsoft is a "Leader" in the "Leaders Circle" at the <b>Federal Circuit Bar Association</b> . Microsoft was formerly represented by <b>Thomas G. Hungar</b> of <b>Gibson Dunn LLP</b> during the <i>Microsoft v. i4i</i> proceedings. Hungar also represented the Federal Circuit judges and the Federal Circuit Bar Association in a 2010 conflicts of interest matter, yet failed to disclose these conflicts of interest when the <i>Leader v. Facebook</i> matter came before the Federal Circuit.

# Fidelity Contrafund 12-31-2012

## **FCNTX**

# FIGURE CONTRACTOR & 12-31-2012

FCNTX and/or FCNKX held by Leader v. Facebook judges &

Obama administration officials:

- 1. John G. Roberts, Jr., Supreme Court
- 2. Kimberly A. Moore, Federal Circuit
- 3. **Evan J. Wallach**, Federal Circuit
- 4. **Howard K. Koh**, Health & Human Services
- 5. **Eric H. Holder**, Attorney General
- 6. **John J. Sullivan**, Federal Election Commission
- 7. **Carmel M. Martin**, Education
- 8. **Ivan K. Fong**, Homeland Security
- 9. **Alison J. Nathan**, White House
- 10. **Denis R. McDonough**, White House

Other Fidelity "dark pool" funds held by judges and Obama cabinet:

- 1> Leonard P. Stark, Dist. Crt. Del.
- 2. **Stephen G. Breyer**, Sup. Crt.
- 3. **Clarence Thomas**, Sup. Crt.
- 4> Rebecca M. Blank, Com. Sec., USPTO
- 5> Cameron F. Kerry, Com. GC, USPTO
- 6. **Timothy Geitner**, Treas. Sec.
- 7. **Steven Chu**, Energ. Sec.
- 8. **David S. Kris**, Just.
- 9. **Donald M. Remy**, Army

101 Obama Cabinet Members & 11 Federal Judges involved with Leader v. Facebook hold one or more Fidelity Funds. 30+ Fidelity Funds invested (via Fid. Central Fund) in Facebook pre-IPO dark pools.

Microsoft. The Federal Circuit Bar Association, "Leaders Circle" Webpage, Accessed Sep. 30, 2012 <a href="http://www.scribd.com/doc/108466240/The-Federal-Circuit-Bar-Association-Leaders-Circle-Webpage-Accessed-Sep-30-2012">http://www.scribd.com/doc/108466240/The-Federal-Circuit-Bar-Association-Leaders-Circle-Webpage-Accessed-Sep-30-2012</a>>.

## g. Facebook

No. 7,139,761 on 11 of 11 claims. The verdict was split with a ruling of on-sale bar. However, Facebook presented no hard evidence and failed to perform any of the well-settled tests, e.g. *Pfaff Electronics* and *Group One v. Hallmark Cards*. The Federal Circuit affirmed the lower court after rejecting the lower court's arguments for on-sale bar and fabricating new evidence on argument for Facebook in the secrecy of chambers, without a hearing. Chief Justice **John G. Roberts** refused to take Leader Technologies' Petition for Writ of Certiorari.

Tellingly, the judges in this case hold this Fidelity Contrafund stock, namely Chief Justice John G. Roberts, Federal Circuit Judge **Kimberly A. Moore** and Federal Circuit Judge **Evan J. Wallach**.

It is notoriously known that during the pendency of the *Leader v. Facebook* trial, President Obama's political organization via **Obama for America** and **Organizing for America** exploited over 47 million "likes" on Facebook, an infringed technology, to raise money and influence voters in the 2008 and 2012 elections.

Petition for Writ of Certiorari *Leader Technologies, Inc., v. Facebook, Inc.* No. 12-617, Nov. 16, 2012 <a href="http://www.scribd.com/doc/113545399/Petition-for-Writ-of-Certiorari-Leader-Technologies-Inc-v-Facebook-Inc-No-12-617-U-S-Supreme-Court-Nov-16-212-clickable-citations">http://www.scribd.com/doc/113545399/Petition-for-Writ-of-Certiorari-Leader-Technologies-Inc-v-Facebook-Inc-No-12-617-U-S-Supreme-Court-Nov-16-212-clickable-citations</a>>.

Organizing for Action: Our Founding Members. ORGANIZING for ACTION. Apr. 12, 2013. Accessed by May 25, 2013 < <a href="http://www.barackobama.com/founding-members">http://www.barackobama.com/founding-members</a>.

Barack Obama. Facebook Fan Page. Accessed May 25, 2013 < <a href="https://www.facebook.com/barackobama/">https://www.facebook.com/barackobama/</a>>; See also Apr. 16, 2013 capture online<a href="http://www.scribd.com/doc/144490626/Barack-Obama-Facebook-">http://www.scribd.com/doc/144490626/Barack-Obama-Facebook-</a>

# Fidelity Contrafund 12-31-2012 **FCNTX**

Fidelity Contrafund K 12-31-2012 **FCNKX** 

FCNTX and/or FCNKX held by Leader v. Facebook judges &

Obama administration officials:

- John G. Roberts, Jr., Supreme Court
- Kimberly A. Moore, Federal Circuit 2.
- 3. Evan J. Wallach, Federal Circuit
- Howard K. Koh, Health & Human Services
- **Eric H. Holder**, Attorney General
- John J. Sullivan, Federal Election Commission
- **Carmel M. Martin**, Education
- **Ivan K. Fong**, Homeland Security
- **Alison J. Nathan**. White House
- 10. **Denis R. McDonough**, White House

Other Fidelity "dark pool" funds held by judges and Obama cabinet:

- 1> Leonard P. Stark, Dist. Crt. Del.
- **Stephen G. Breyer**, Sup. Crt.
- **Clarence Thomas**, Sup. Crt. 3.
- 4> Rebecca M. Blank, Com. Sec., USPTO
- 5> Cameron F. Kerry, Com. GC, USPTO
- **Timothy Geitner**. Treas. Sec.
- 7. Steven Chu, Energ. Sec.
- **David S. Kris**, Just. 8.
- **Donald M. Remy**, Army

**101 Obama Cabinet Members & 11 Federal Judges** involved with *Leader v. Facebook* hold one or more Fidelity Funds. 30+ Fidelity Funds invested (via Fid. Central Fund) in Facebook pre-IPO dark pools.

Screen-Capture-Apr-16-2013-11-36am-AM-EDT>.

Barack Obama Contributors, 2012 Federal Election Cycle, Cooley Godward Kronish LLP (Facebook attorneys; adviser to Barack Obama on the judicial appointments of Leonard P. Stark and Evan J. Wallach) donors through 12/31/2012 < http://www.fec.gov/disclosurep/pnational.do >.

#### **Fidelity** h.

Fund manager **Robert C. Ketterson** is a business and investing partner with **Facebook's** largest shareholder and former chairman, **James W. Breyer**, **Accel Partners LLC**. Breyer and Ketterson served for years as director of the **National Venture Capital Association** which also included **Vangaurd's Anne Rockhold** (now CFO of Accel Partners LLP) and **Ann H. Lamont**, director of U.S. CTO **Todd Y.** Park's companies athenahealth and Castlight Health which are embroiled in current **Obamacare** and **HealthCare.gov** scandals. Lamont serves as a director of athenahealth and Castlight Health with Robert Kocher, Obamacare architect and member of the **National Economic Council** with **Lawrence "Larry" Summers**, former director of the Council where he oversaw the bailout of Facebook underwriters and large shareholders, **Goldman Sachs** and **Morgan Stanley** under the direction of JPMorgan Chase and Jamie Dimon.

## i. Goldman Sachs

Facebook's underwriter and large investor, notoriously known. Goldman also received a \$13+ billion 2008 stimulus grant which was overseen by Facebook collaborator Lawrence "Larry" Summers, who had just recently received hundreds of thousands in speaking stipends from Goldman earlier that year, among other perks. Goldman is also notoriously known to be a Moscow, Russia business partner with Russian oligarchs **Alisher Usmanov** and **Yuri Milner**. Yuri Milner is a World Bank protégé of Summers and was teamed with Facebook COO **Shervi K. Sandberg.** 

Briefing for Representative Jim Jordan (OH) - HOUSE OVERSIGHT COMMITTEE -American and Russian Opportunists Undermining U.S. Sovereignty and Corrupting U.S. Financial and Judicial Systems, Oct. 19, 2012 <a href="http://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-for-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-For-Representative-Jim-thttp://www.scribd.com/doc/110575673/Briefing-For-Representative-Jim-thttp://www.scribd.com/doc/110575673/ Jordan-OH-HOUSE-OVERSIGHT-COMMITTEE-American-and-Russian-

# Fidelity Contrafund 12-31-2012 **FCNTX**

**FCNKX** 

Fidelity Contrafund K 12-31-2012

FCNTX and/or FCNKX held by Leader v. Facebook judges & Obama administration officials:

- John G. Roberts, Jr., Supreme Court
- 2. Kimberly A. Moore, Federal Circuit
- 3. Evan J. Wallach, Federal Circuit
- Howard K. Koh, Health & Human Services
- **Eric H. Holder**, Attorney General
- John J. Sullivan, Federal Election Commission
- **Carmel M. Martin**, Education
- **Ivan K. Fong**, Homeland Security
- **Alison J. Nathan**. White House
- 10. **Denis R. McDonough**, White House

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- **Clarence Thomas**, Sup. Crt. 3.
- 4> Rebecca M. Blank, Com. Sec., USPTO
- 5> Cameron F. Kerry, Com. GC, USPTO
- **Timothy Geitner**. Treas. Sec.
- 7. Steven Chu, Energ. Sec.
- **David S. Kris**, Just. 8.
- **Donald M. Remy**, Army

**101 Obama Cabinet Members & 11 Federal Judges** involved with *Leader v. Facebook* hold one or more Fidelity Funds. 30+ Fidelity Funds invested (via Fid. Central Fund) in Facebook pre-IPO dark pools.

## Opportunists-Undermining-U-S-Sovereignty-and-Corruptin>.

## **IBM**

IBM sold **Facebook** 750 patents during the pendency of Leader v. Facebook. The former Director of the U.S. Patent Office, **David J. Kappos**, systematically failed to disclose his bias toward Facebook's and IBM's interests regarding all matters related to Facebook's intellectual property claims; neither has **USPTO** staff. Additionally, Facebook's patent counsel in these IBM transactions is **Fenwick & West LLP** who has substantial conflicts of interest since the firm formerly represented **Leader Technologies, Inc.** in 2002-2003—the company whose social networking technology is being infringed by Facebook.

Prior to leaving his post as Director of the Patent Office, David J. Kappos ordered an unprecedented third reexamination of Leader Technologies' U.S. Patent No. 7,139,761 using arguments identical to those that Facebook lost on at trial and in two previous reexaminations. Magically, the Examiner accepted ALL of Facebook's arguments the fourth time around and is attempting to invalidate the entire patent, even claims that were not asserted at trial.

In addition, former IBMer David J. Kappos established a Patent Office Facebook page for over 10,000 employees during the pendency of the Leader v. Facebook case.

Petition for Writ of Certiorari Leader Technologies, Inc., v. Facebook, Inc. No. 12-617, Nov. 16, 2012 <a href="http://www.scribd.com/doc/113545399/Petition-for-Writ-">http://www.scribd.com/doc/113545399/Petition-for-Writ-</a> of-Certiorari-Leader-Technologies-Inc-v-Facebook-Inc-No-12-617-U-S-Supreme-Court-Nov-16-212-clickable-citations>; See also PATENT OFFICE REMOVES CRITICAL LEADER V. FACEBOOK DISCLOSURE ITEMS by Americans For Innovation, Aug. 15, 2013 < http://americans4innovation.blogspot.com/2013/08/usptoconduct-parallels-foia.html>.

"Patent Office Removes Critical Leader v. Facebook Disclosure Items" by Americans For Innovation, Aug. 15, 2013

<a href="http://americans4innovation.blogspot.com/2013/08/uspto-conduct-parallels-">http://americans4innovation.blogspot.com/2013/08/uspto-conduct-parallels-</a> foia.html>; See also Kathryn W. Siehndel, FOIA Deputy Counsel, U.S. Patent

# Fidelity Contrafund 12-31-2012

## **FCNTX**

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Office FOIA Response re. *Leader v. Facebook*, F-13-00218, Aug. 7, 2013 <a href="http://www.scribd.com/doc/160572991/Patent-Office-FOIA-Response-re-Leader-v-Facebook-F-13-00218-Aug-7-2013">http://www.scribd.com/doc/160572991/Patent-Office-FOIA-Response-re-Leader-v-Facebook-F-13-00218-Aug-7-2013</a>; also available at <a href="https://docs.google.com/file/d/0825fG2nEsMfqSWQ5SIJkbERjN0U/edit?usp=sharing">https://docs.google.com/file/d/0825fG2nEsMfqSWQ5SIJkbERjN0U/edit?usp=sharing</a> and <a href="https://www.leader.com/docs/Patent-Office-FOIA-Response-re-Leader-v-Facebook-F-13-00218-Aug-7-2013.pdf">https://www.leader.com/file/d/0825fG2nEsMfqSWQ5SIJkbERjN0U/edit?usp=sharing</a> and <a href="https://www.leader.com/docs/Patent-Office-FOIA-Response-re-Leader-v-Facebook-F-13-00218-Aug-7-2013.pdf">https://www.leader.com/docs/Patent-Office-FOIA-Response-re-Leader-v-Facebook-F-13-00218-Aug-7-2013.pdf</a>.

U.S. PATENT OFFICE PRESS RELEASE ANNOUNCING ITS FACEBOOK PAGE, MAY 20, 2010: "US Patent Office Page on Facebook." USPTO Press Release, May 20, 2010 < <a href="http://www.scribd.com/doc/161914552/US-Patent-Office-Page-on-Facebook-USPTO-Press-Release-May-20-2010">https://www.scribd.com/doc/161914552/US-Patent-Office-Page-on-Facebook-USPTO-Press-Release-May-20-2010</a>; See also < <a href="https://docs.google.com/file/d/0B2SfG2nEsMfqa3A1YjR1OC1sM3c/edit?usp=sharing">https://docs.google.com/file/d/0B2SfG2nEsMfqa3A1YjR1OC1sM3c/edit?usp=sharing</a>.

# k. JPMorgan Chase

Notoriously known to be directing the investing activities of Morgan Stanley, T. Rowe Price, Goldman Sachs regarding all things Facebook via Chairman Jamie Dimon. Castlight Health and athenahealth Director Ann H. Lamont is married to Edward "Ned" Lamont, grandson of the founder of JPMorgan, Thomas W. Lamont. Castlight Health and athenahealth are embroiled in the Obamacare and HealthCare.gov scandal. U.S. CTO Todd Y. Park is founder of both athenahealth and Castlight Health. Park's brother, David Y. Park, is the current chief operating officer at athenahealth. Todd Y. Park has advised the Obama administration that they are clear to make the claim that HealthCare.gov technology is open source, despite the evident Leader v. Facebook frauds, among others. The Lamont's hold substantial amounts of Goldman Sachs, JPMorgan and Morgan Stanley holdings which benefited remarkably from Facebook transactions.

Ann Huntress Lamont (a.k.a. Ann H. Lamont) and Edward M. ("Ned") investments in Facebook Club Funds, invested by 2006, prepared Nov. 26, 2013 <a href="http://www.scribd.com/doc/187452662/Ann-Huntress-Lamont-a-k-a-Ann-H-Lamont-and-Edward-M-Ned-investments-in-Facebook-Club-Funds-invested-by-2006-prepared-Nov-26-2013">https://docs.google.com/file/d/082SfG2nEsMfqckwwdGg2Yy10NWs/edit></a>.

# Fidelity Contrafund 12-31-2012 FCNTX Fidelity Contrafund K 12-31-2012

FCNKX

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I.	LinkedIn Corp.	<b>Facebook director Reid Hoffman</b> is the founder and CEO of LinkedIn. It now notoriously known that Hoffman provided business coaching and early financing to <b>Mark Zuckerberg</b> while he was still a student at Harvard in 2003. LinkedIn software mimics Facebook's functionality, perhaps this is no coincidence.
m.	Morgan Stanley	<b>Facebook's</b> underwriter and large investor, notoriously known. Morgan Stanley also received a \$13+ billion 2008 stimulus grant which was overseen by Facebook collaborator <b>Lawrence "Larry" Summers</b> , who had just recently received hundreds of thousands in speaking stipends from Morgan Stanley compatriots at Goldman Sachs earlier that year, among other perks. Summers counts Facebook COO <b>Sheryl K. Sandberg</b> as his protégé and former employee at the <b>World Bank</b> and <b>U.S. Treasury</b> .
n.	State Street Corporation	Fellow recipient with <b>Goldman Sachs</b> and <b>Morgan Stanley</b> of approximately \$33 billion (collectively) in 2008 stimulus funds overseen by <b>Facebook</b> collaborator <b>Lawrence "Larry" Summers</b> , who had just recently received hundreds of thousands in speaking stipends from Goldman earlier that year, among other perks.
0.	T. Rowe Price	Purchased a 5.2% stake in <b>Facebook's</b> private, pre-IPO "dark pools" stock, as notoriously disclosed in the Facebook S-1 Registration. Also heavily invested in <b>U.S. CTO Todd Y. Park's</b> companies <b>athenahealth</b> and <b>Castlight Health</b> , two companies closely associated with <b>Obamacare</b> and the current administration.
p.	Tesla Motors, Inc.	Michael G. Rhodes, Cooley Godward LLP, Facebook's litigator in Leader Technologies, Inc. v. Facebook, Inc., cv-08-862-JJF-LPS (D. Del. 2008), was appointed Chief Counsel to Tesla Motors, five months before the trial. Tesla Motors received \$465 million in energy stimulus funds at the recommendation of McBee Strategic LLC and Michael Sheehy, former National Security Adviser for House Speaker Nancy Pelosi.  Zusha Elinson. "Michael Rhodes (Cooley Godward LLP, Tesla Motors)." The Recorder, www.callaw.com, Feb. 22, 2010 <http: files="" rhodes.the%20recorder.2.22.10.pdf="" www.cooley.com="">. See also<http: 144432049="" doc="" th="" www.scribd.com="" zusha-elinson-%e2%80%9cmichael-<=""></http:></http:>

### Fidelity Contrafund 12-31-2012 Other Fidelity "dark pool" funds held by judges and Obama cabinet: **FCNTX** 1> Leonard P. Stark, Dist. Crt. Del. Fidelity Contrafund K 12-31-2012 **Stephen G. Breyer**, Sup. Crt. **Clarence Thomas**, Sup. Crt. 3. **FCNKX** 4> Rebecca M. Blank, Com. Sec., USPTO FCNTX and/or FCNKX held by Leader v. Facebook judges & 5> Cameron F. Kerry, Com. GC, USPTO Obama administration officials: John G. Roberts, Jr., Supreme Court **Timothy Geitner**. Treas. Sec. Kimberly A. Moore, Federal Circuit 2. 7. Steven Chu, Energ. Sec. 3. Evan J. Wallach, Federal Circuit **David S. Kris**, Just. Howard K. Koh, Health & Human Services **Donald M. Remy**, Army **Eric H. Holder**, Attorney General **101 Obama Cabinet Members & 11 Federal** John J. Sullivan, Federal Election Commission **Carmel M. Martin**, Education **Judges** involved with *Leader v. Facebook* **Ivan K. Fong**, Homeland Security hold one or more Fidelity Funds. 30+ **Alison J. Nathan.** White House Fidelity Funds invested (via Fid. Central 10. **Denis R. McDonough**, White House Fund) in Facebook pre-IPO dark pools. Rhodes-THE-RECORDER-Feb-22-2010-Accessed-May-29-2013>. Petition for Writ of Certiorari Leader Technologies, Inc., v. Facebook, Inc. No. 12-617, Nov. 16, 2012 <a href="http://www.scribd.com/doc/113545399/Petition-for-Writ-">http://www.scribd.com/doc/113545399/Petition-for-Writ-</a> of-Certiorari-Leader-Technologies-Inc-v-Facebook-Inc-No-12-617-U-S-Supreme-Court-Nov-16-212-clickable-citations> Faceboook's largest investor and director, James W. Breyer, Accel Partners LLP, Wal-Mart q. was also a director at Wal-Mart and was responsible for a deep embedding of Facebook technology in the Wal-Mart site. Breyer resigned as a long time director of Wal-Mart after the **Mexican bribery scandal** was uncovered. "Vast Mexico Bribery Case Hushed Up by Wal-Mart After Top-Level Struggle -Confronted with evidence of widespread corruption in Mexico, top Wal-Mart executives focused more on damage control than on rooting out wrongdoing, an examination by The New York Times found" by David Barstow, *The New York*

Times, Apr. 21, 2012 <a href="http://www.nytimes.com/2012/04/22/business/at-wal-">http://www.nytimes.com/2012/04/22/business/at-wal-</a>

This company is financed by principal Facebook investors and underwriters,

mart-in-mexico-a-bribe-inquiry-silenced.html?pagewanted=all& r=0>.

including T. Rowe Price and Morgan Stanley.

http://www.crunchbase.com/company/workday

Workday Inc.

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# FIGURE CONTRACTOR 12-31-2012 FCNTX Fidelity Contrafund K 12-31-2012 FCNKX FCNKX FCNKX FCNKX FCNKX FCNKX FCNKY hold by Lordon Freshoot judger 8

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## **Evidence Resources:**

- 1. **Conflicts Analysis:** The most comprehensive archive of judicially recognizable source material and conflicts of interest analysis is accessible from the *Leader v. Facebook* investigative news reporting Google search tool at <a href="http://americans4innovation.blogspot.com">http://americans4innovation.blogspot.com</a>.
- 2. **FCNTX Fidelity® Contrafund® Annual Report, December 31, 2012**, Posted March 01, 2013, Fidelity, accessed Jan. 17, 2014 < <a href="https://fundresearch.fidelity.com/mutual-funds/view-all/316071109#composition">https://fundresearch.fidelity.com/mutual-funds/view-all/316071109#composition</a> (Select "Prospectus & Reports," then select "Annual Report" tab).
- 3. FCNKX Fidelity Contrafund Class K Annual Report, December 31, 2012, Posted March 01, 2013 <a href="https://www.actionsxchangerepository.fidelity.com/ShowDocument/ComplianceEnvelope.htm">https://www.actionsxchangerepository.fidelity.com/ShowDocument/ComplianceEnvelope.htm</a>
  <a href="https://example.com/showDocument/ComplianceEnvelope.htm">https://example.com/showDocument/ComplianceEnvelope.htm</a>
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  <a href="https://example.com/showDocument/ComplianceEnvelope.httm">https://example.com/showDocument/Compliance
- 4. FCNTX Fidelity Contrafund, FCNKX Fidelity Contrafund Class K, U.S. Securities & Exchange Commission, EDGAR, accessed Jan. 17, 2014
  <a href="http://www.sec.gov/Archives/edgar/data/24238/000079542212000136/0000795422-12-000136-index.htm">http://www.sec.gov/Archives/edgar/data/24238/000079542212000136/0000795422-12-000136-index.htm</a> CIK <a href="https://outcommons.org/000006037">0000006037</a> Fidelity Contrafund | Class/Contract C000016601 FCNTX | Class K Class/Contract C000064233 FCNKX.