

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Date of Appointment, Candidacy, Election or Nomination (Month, Day, Year)		Reporting Status (Check appropriate box) Incumbent <input type="checkbox"/> <input checked="" type="checkbox"/> New Entrant, Nominee, or Candidate	Calendar Year Covered by Report	Termination Date (If Applicable) (Month, Day, Year)	Fee for Late Filing: Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period shall be subject to a \$200 fee.
Reporting Individual's Name		Last Name: Abbott		First Name and Middle Initial: Sherburne B.	
Position for Which Filing		Title of Position: Associate Director		Department or Agency (If Applicable): Office of Science and Technology Policy	Reporting Periods: Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable. Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends on the date of termination. Part II of Schedule D is not applicable.
Location of Present Office (or forwarding address)		Address (Number, Street, City, State, and ZIP Code): 1 University Station, C1900 Austin, TX 78712		Telephone No. (Include Area Code): (512)471-2457	
Position(s) Held with the Federal Government During the Preceding 24 Months (If Not Same as Above)		Title of Position(s) and Date(s) Held:			
Presidential Nominee Subject to Senate Confirmation		Name of Congressional Committee Considering Nomination: U.S. Senate Committee on Commerce, Science and Transportation		Do you intend to create or hold a federal office or position? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Nominees, New Entrants and Candidates for President and Vice President: Schedule A - The reporting period for the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing. Schedule B - Not applicable.
Signature of Reporting Individual		Signature of Other Reviewer		Date (Month, Day, Year)	
Agency Ethics Official's Opinion		Signature of Designated Agency Ethics Official/Reviewing Official: Rachael Leonard		Date (Month, Day, Year): 3/14/2009	Schedule C, Part I (Liabilities)-- The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing. Schedule C, Part II (Agreements or Arrangements)-- Show any agreements or arrangements as of the date of filing.
Office of Government Ethics Use Only		Signature: Robert J. ...		Date (Month, Day, Year): 3/17/09	
Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)		(Check box if filing extension granted & indicate number of days _____) <input type="checkbox"/>			
		(Check box if comments are continued on the reverse side) <input type="checkbox"/>			
		Agency Use Only OGE Use Only MAR 16 2009			

SCHEDULE A

Sherburne B. Abbott

Assets and Income	Valuation of Assets at close of reporting period								BLOCK B		Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																						
BLOCK A	BLOCK B								BLOCK C																								
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the report- ing period, or which generated more than \$200 in income during the reporting period, together with such income. For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than \$1,000 (except report the actual amount of any honoraria over \$200 of your spouse). None <input type="checkbox"/>	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type	Amount								Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria							
															Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000			\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	Over \$5,000,000		
Examples				X											X						X										Law Partnership Income \$130,000		
1							X													X													
2	Fidelity Municipal Money Market FTEXX																																
3	Ario International Equity Fund BJBIX		X																			X											
4	Dodge & Cox International Stock DODFX		X																				X										
5	Fidelity Magellan FMAGX		X																					X									
6	Fidelity Spartan 500 Index Investor FSMKX			X																	X												
7	Janus Twenty JAVLX		X																X														

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name
 Sherburne B. Abbott

SCHEDULE A continued

(Use only if needed)

BLOCK A Assets and Income		BLOCK B Valuation of Assets at close of reporting period											BLOCK C Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																										
None <input type="checkbox"/>		None (or less than \$1,000)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount								Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria									
																	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000			Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000						
1	Permanent Portfolio PRPFX			X										X																									
2	Oakmark Equity & Income OAKBX				X									X																									
3	Fidelity Balanced FBALX					X								X																									
4	Fidelity Spartan Extended Mkt Index FSEMXX				X									X																									
5	T. Rowe Price Capital Appreciation PRWCX				X									X																									
6	Royce Low-Priced Stock Svc RYLPX				X									X																									
7	Royce Value Plus Svc RYVPX				X									X																									
8	Value Line Emerging Opportunities VLEOX				X									X																									
9	TIAA- Traditional Annuity				X									X																									

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name
 Sherburne B. Abbott

SCHEDULE A continued
 (Use only if needed)

BLOCK A Assets and Income	BLOCK B Valuation of Assets at close of reporting period											BLOCK C Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.															
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	Amount						Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria				
																None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000			\$50,001 - \$100,000	\$100,001 - \$1,000,000*	Over \$1,000,000	
1 Vanguard 500 Index VFINX			X						X																		
2 Vanguard Emerging Mkts Stock Idx VIEIX	X								X																		
3 Vanguard Wellesley Income VWINX			X						X										X								
4 TIAA-CREF Global Equities			X						X										X								
5 TIAA-CREF International Equity				X					X										X								
6 TIAA-CREF International Equity Index				X					X										X								
7 TIAA-CREF Stock				X					X										X								
8 TIAA-CREF Growth									X										X								
9																											

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Reporting Individual's Name
Sherburne B. Abbott

SCHEDULE A continued

(Use only if needed)

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Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C															
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) - Only if Honoraria					
None <input type="checkbox"/>																										
1 TIAA-CREF Equity Index	X														X											
2 New York's 529 College Savings Program - Moderate Growth Portfolio			X												X											
3 New York's 529 College Savings Program - Aggressive Growth Portfolio	X														X											
4 DC College 529 State Street Equity 500 Index			X												X											
5 ING Savings		X														X										
6 Bank of America Savings, CD, Checking				X										X												
7 Savings Bank Life Insurance Company of Massachusetts (whole life)		X													X											
8 Residential Rental Washington, DC							X											X								
9 Fidelity Cash Reserves			X												X											

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name

Sherburne B. Abbott

SCHEDULE A continued

(Use only if needed)

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BLOCK A	Valuation of Assets at close of reporting period										BLOCK B		BLOCK C																	
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type	Amount										Date (Mo., Day, Yr.) Only if Honoraria				
None <input type="checkbox"/>	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*		Over \$5,000,000	Other Income (Specify Type & Actual Amount)		
1 The University of Texas at Austin Austin, TX (spouse)																												Salary and bonus		
2 The Glover Park Group Public Affairs Consulting Washington, DC (spouse)																													Consulting fees	
3 Center for A New American Security Washington, DC (spouse)																													Honorarium \$7,500.00	9/7/08
4 Washington Quarterly Washington, DC (spouse)																													Article payment \$500.00	9/1/08
5 World Presidents' Organization Los Angeles (WPOLA) Los Angeles, CA (spouse)																													Honorarium \$1,500.00	1/17/08
6 Nikkei Shimbun Tokyo, Japan (spouse)																													Honorarium \$10,000.00	6/2/08
7 Newsweek, Inc. New York, NY (spouse)																													Article payment \$600.00	1/1/08
8 American Behavioral Scientist Newbury Park, CA (spouse)																													Article payment \$500.00	5/1/08
9 Southern Methodist University Dallas, TX (spouse)																													Honorarium \$1,500.00	1/31/08

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher category.

Reporting Individual's Name
 Sherburne B. Abbott

SCHEDULE A continued
 (Use only if needed)

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BLOCK A Assets and Income	BLOCK B Valuation of Assets at close of reporting period											BLOCK C Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.															
	None <input type="checkbox"/>	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount							Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria		
													Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000			\$100,001 - \$1,000,000	Over \$1,000,000*
1 Asahi Shimbun Tokyo, Japan (spouse)																								Honorarium \$10,000.00	6/13/08		
2 Aspen Institute India New Delhi, India (spouse)																									Honorarium \$1,000.00 paid in 08	12/20/07	
3 Confederation of Indian Industries New Delhi, India (spouse)																									Honorarium \$5,000.00 paid in 08	12/19/07	
4 Smith Richardson Foundation Westport, CT (spouse)																									Honorarium \$250.00	5/28/08	
5 "Difficult Transitions" Brookings Pub. Center for A New American Security, DC (payment and potential royalties) (spouse)																									Book payment \$25,000.00		
6 Yomiuri Shimbun Tokyo, Japan (spouse)																									Honorarium \$10,000.00	6/4/08	
7 Washington Quarterly Washington, DC (spouse)																									Article payment \$250.00	5/1/08	
8 University of Texas at Austin Austin, Texas and LBJ Foundation (spouse)																									Bonus		
9																											

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher category.

Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

Reporting Individual's Name Sherburne B. Abbott	SCHEDULE B	Page Number 9 of 11
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Part I: Transactions

None

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

1	2	3	4	5	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)													
						Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture		
					Example: Central Airlines Common	x			2/1/99														
1																							
2																							
3																							
4																							
5																							

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$260; and (2) travel-related cash reimbursements received from one source totaling more than \$260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by

the U.S. Government, given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$104 or less. See instructions for other exclusions.

None

	Source (Name and Address)	Brief Description	Value
Examples:	Natl Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	\$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	\$300
1			
2			
3			
4			
5			

Reporting Individual's Name Sherburne B. Abbott	SCHEDULE C	Page Number 10 of 11
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Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your

personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None

Category of Amount or Value (x)

Examples:	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x)																
						\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000						
	First District Bank, Washington, DC John Jones, 123 F St., Washington, DC	Mortgage on rental property, Delaware Promissory note	1991 1999	8% 10%	25 yrs on demand			x														
1	National City Bank Dayton, OH	Mortgage on rental property, Washington DC	2005	5.80%	30 yrs									x								
2																						
3																						
4																						
5																						

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g. 401k, deferred compensation); (2) continuation payment by a former employer (including severance payments); (3) leaves

of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits

None

Example:	Status and Terms of any Agreement or Arrangement	Parties	Date
	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	I will take an unpaid leave of absence effective upon confirmation. Pursuant to the University of Texas at Austin policy, I can apply for extensions of the unpaid leave in one year intervals.	University of Texas at Austin Austin, Texas	02/09
2	UT Saver TSA 403(b) Fidelity Balanced (defined contribution plan) no further contributions by myself or the university while on leave	University of Texas at Austin Austin, Texas	01/68
3	UT Saver DCP-457(b) Fidelity Balanced (defined contribution plan) no further contributions by myself or the university while on leave	University of Texas at Austin Austin, Texas	01/08
4	Optional retirement program 403(b) TIAA-CREF (defined contribution plan) no further contributions by myself or the university while on leave	University of Texas at Austin Austin, Texas	01/08
5			
6			

Reporting Individual's Name Sherburne B. Abbott	SCHEDULE D	Page Number 11 of 11
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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY Doe Jones & Smith, Hometown, State	Non-profit education Law firm	President Partner	6/92 7/85	Present 1/00
1	The University of Texas at Austin Austin, TX	Educational Institution	Director, Center for Science and Practice of Sustainability	1/06	Present
2	The World Bank Washington, DC	International Bank	Consultant	5/07	11/07
3	Heldref Publications, Environment magazine Washington, DC	Magazine publisher	Contributing Editor, Environment	12/01	Present
4					
5					
6					

Part II: Compensation In Excess Of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any

corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None

	Source (Name and Address)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services Legal services in connection with university construction
1	The University of Texas at Austin Austin, TX	Director, Center for Science and Practice of Sustainability
2		
3		
4		
5		
6		