

United States Senate  
Financial Disclosures

00000013883

**UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT  
FOR ANNUAL AND TERMINATION FILERS**

<b>Amendment</b>			
<b>Last Name</b>		<b>First Name and Middle Initial</b>	
McConnell		A. Mitchell, Jr	
<b>Senate Office Address (Number, Street, City, State, and ZIP Code)</b>		<b>Senate Office Telephone Number (Include Area Code)</b>	
317 Russell Senate Building Washington, DC 20510		(202) 224-2541	
<b>Annual Report</b>		<b>Termination Report</b>	
Calendar Year Covered by Report		Termination Date (mm/dd/yy)	
2013		N/A	
<b>Senate Office / Agency in Which Employed</b>		<b>Prior Office / Agency in Which Employed</b>	
United State Senate		N/A	

**AFTER READING THE INSTRUCTIONS – ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART**

	YES	NO		YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, complete and attach PART I.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$350 from one source)? If Yes, complete and attach PART VI.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, complete and attach PART II.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, complete and attach PART VII.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, complete & attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, complete and attach PART VIII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, complete and attach PART IV.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, complete and attach PART IX.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If Yes, complete and attach PART V.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If this is your <b>FIRST Report</b> : Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, complete and attach PART X.	<input type="checkbox"/>	<input type="checkbox"/>

Each question must be answered and the appropriate PART attached for each "YES" response

a12	FOR OFFICIAL USE ONLY Do Not Write Below this Line
	FEB 3 39 U.S. SENATE

2882100000

**PART II. EARNED AND NON-INVESTMENT INCOME**

A. Mitchell McConnell, Jr.

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the J.S. Government for you or your spouse.

**Individuals not covered by the Honoraria Ban:**

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

Name of Income Source		Address (City, State)	Type of Income	Amount	
Example:	JP Computers	Wash., DC	EXAMPLE	Salary	\$15,000
	MCI (Spouse)	Arlington, VA	EXAMPLE	Salary	Over \$1,000
	(S) The Heritage Foundation	Washington, DC		Salary	Over \$1,000
	(S) News America Incorporated	New York, NY		Fees	Over \$1,000
	(S) Dole Food Company, Inc.	Westlake Village, CA		Fees/Deferred Comp.	Over \$1,000
	(S) The Bloomberg Family Foundation Inc.	New York, NY		Fees	Over \$1,000
	(S) Delta Airlines, Inc.	Atlanta, GA		Deferred Compensation	Over \$1,000
	(S) Protective Life Insurance Co.	Birmingham, AL		Fees/Deferred Comp.	Over \$1,000
	(S) Wells Fargo & Company	San Francisco, CA		Fees/Deferred Comp.	Over \$1,000
	(S) Worldwide Speakers Group (speaker's bureau pass through)	Alexandria, VA		Speaker Fees	Over \$1,000
3	(S) National Association of Industrial & Office Properties: 9/11/13 speech	Houston, TX		Speaker Fees	Over \$1,000
1	(S) Florida Realtors: 10/3/13 speech	Jacksonville, FL		Speaker Fees	Over \$1,000
2	(S) Phoenix Mart: 11/7/13 speech	Phoenix, AZ		Speaker Fees	Over \$1,000
3					

00000013884

**PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources	BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																						
	At the close of reporting period. If None, or less than \$1,001, Check the first column.										If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																						
	Type of Income					Amount of Income					Actual Amount					Required if "Other" Specified																	
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000		
Example: DC or J S IBM Corp. (stock)				X									X							Example	X											Example	
(S) Keystone Fund				X												X				Example	X											Example	
Republic Bank and Trust Checking Account	X													X								X											
Trust: Munder Growth and Opportunities Fund			X														X					X											
Trust: Vanguard Capital Opportunity Admiral Shares				X													X					X											
Trust: Vanguard 500 Index Funds Admiral Shares					X												X					X											
Trust: Vanguard Small-Cap Value Index Admiral					X												X					X											
A. Mitchell McConnell, Jr Revocable Trust: M. Lynch CMA Tax Exmpt Fund			X										X									X											
IRA: FIA Card Services NA RASP			X										X									X											
IRA: IShares Barclays TIPS Bond Fund		X															X					X											
IRA: First Eagle US			X														X					X											
IRA: Royce Special Equity Fund				X													X					X											

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.  
 \* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child... (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period.

BLOCK B Valuation of Assets

At the close of reporting period. If None, or less than \$1,001. Check the first column.

Table with 10 columns for valuation ranges: None (or less than \$1,001), \$1,001 - \$15,000, \$15,001 - \$50,000, \$50,001 - \$100,000, \$100,001 - \$250,000, \$250,001 - \$500,000, \$500,001 - \$1,000,000, Over \$1,000,000\*\*, \$1,000,001 - \$5,000,000, \$5,000,001 - \$25,000,000, \$25,000,001 - \$50,000,000, Over \$50,000,000

BLOCK C Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Table with 2 main columns: Type of Income and Amount of Income. Type of Income includes None, Dividends, Rent, Interest, Capital Gains, Excepted Investment Fund, Excepted Trust, Qualified Blind Trust, Other. Amount of Income includes None (or less than \$201), \$201 - \$1,000, \$1,001 - \$2,500, \$2,501 - \$5,000, \$5,001 - \$15,000, \$15,001 - \$50,000, \$50,001 - \$100,000, \$100,001 - \$1,000,000, Over \$1,000,000\*\*, \$1,000,001 - \$5,000,000, Over \$5,000,000. Includes 'Actual Amount Required if "Other" Specified' column.

Example: S, IBM Corp. (stock) or J, (S) Keystone Fund

Table listing assets: IRA: IVY Limited Term Bond Fund, (J) Vanguard Prime Money Market, (J) Vanguard Tax Exempt Money Market, \* (J) Vanguard 500 Index Admiral Shares, \* Fund listed on 2012 report as "Over \$1,000,000"

Valuation columns for the listed assets, showing 'X' marks in various valuation ranges.

Income type and amount columns for the listed assets, showing 'X' marks in 'Dividends', 'Capital Gains', and 'None (or less than \$201)' categories.

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. \*\* This category applies only if the asset is/was held independently by the spouse or dependent child.







PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or our dependent child, (See p.3, CONTENTS OF REPORTS Part B of instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B Valuation of Assets

At the close of reporting period. If None, or less than \$1,001, Check the first column.

Table with 10 columns for valuation ranges: None (or less than \$1,001), \$1,001 - \$15,000, \$15,001 - \$50,000, \$50,001 - \$100,000, \$100,001 - \$250,000, \$250,001 - \$500,000, \$500,001 - \$1,000,000, Over \$1,000,000\*\*, \$1,000,001 - \$5,000,000, \$5,000,001 - \$25,000,000, \$25,000,001 - \$50,000,000, Over \$50,000,000

BLOCK C Type and Amount of Income

Type of Income and Amount of Income

Table with 12 columns for income types: None, Dividends, Rent, Interest, Capital Gains, Excepted Investment Fund, Excepted Trust, Qualified Blind Trust, Other (Specify Type), None (or less than \$201), \$201 - \$1,000, \$1,001 - \$2,500, \$2,501 - \$5,000, \$5,001 - \$15,000, \$15,001 - \$50,000, \$50,001 - \$100,000, \$100,001 - \$1,000,000, Over \$1,000,000\*\*, \$1,000,001 - \$5,000,000, Over \$5,000,000, Actual Amount Required if "Other" Specified

Example: S, IBM Corp. (stock) or J (S) Keystone Fund

Table listing various assets with checkboxes for S or J and corresponding valuation and income data.

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. \*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A
Identity of Publicly Traded Assets And Unearned Income Sources
Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child...

BLOCK B
Valuation of Assets
At the close of reporting period. If None, or less than \$1,001, Check the first column.

BLOCK C
Type and Amount of Income
Type of Income: Dividends, Rent, Interest, Capital Gains, etc.
Amount of Income: None (or less than \$201), \$201 - \$1,000, etc.

Main data table with columns for asset name, valuation, and income type/amount. Includes rows for IBM Corp. (stock), Keystone Fund, UBS IRA, and various mutual funds.

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. This category applies only if the asset is/was held independently by the spouse or dependent child.









**PART IV. TRANSACTIONS**

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

S, Example: DC, or J	Identification of Assets	Transaction Type (x)			Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)										
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
						E	X	A	M	P	L	E				
	IBM Corp. (stock) NYSE	X			2 / 1 / 1X	X				E	X	A	M	P	L	E
	(DC) Microsoft (stock) NASDAQ/OTC		X		1 / 27 / 1X			X		E	X	A	M	P	L	E
1	Vanguard Tax Exempt Money Market (to Pension Trust)			X	4/2/13			X								
2	Vanguard 500 Index Fund Admiral (Pension Trust)			X	4/2/13			X								
3	Vanguard Tax Exempt Money Market (to Traditional IRA)			X	3/26/13	X										
4	Vanguard 500 Index Fund Adm (Traditional IRA)			X	3/26/13	X										
5	Vanguard 500 Index Fund Adm (Pension Trust)	X			4/4/13			X								
6	Vanguard 500 Index Fund Adm (Pension Trust)	X			7/8/13			X								
7	Vanguard 500 Index Fund Adm (Pension Trust)	X			8/1/13	X										
8	Dole Food Company, Inc. (compulsory stock sale due to company going private)		X		11/4/13			X								
9																
0																
1																
2																

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.   
 \*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



